



Council of the European Union
General Secretariat

**Interinstitutional files:
2018/0247 (COD)**

Brussels, 11 June 2021

WK 7788/2021 INIT

LIMITE

ELARG

This is a paper intended for a specific community of recipients. Handling and further distribution are under the sole responsibility of community members.

MEETING DOCUMENT

From:	Presidency
To:	Working Party on Enlargement and Countries Negotiating Accession to the EU
N° Cion doc.:	COM(2018) 465 final
Subject:	Draft IPA III Regulation: – Outcome of the trilogue held on 2 June 2021 and of technical meetings held on 7 and 10 June 2021 on Articles 1-19

Delegations will find enclosed the outcome of the trilogue held on 2 June 2021 and of technical meetings held on 7 and 10 June 2021 on **Articles 1-19**, with compromises reflected in the fourth column of the table (highlighted in blue), in view of submitting the overall text to Coreper for analysis of the final compromise text with a view to agreement.

**Proposal for a Regulation of the European Parliament and of the Council establishing the Instrument for Pre-accession Assistance (IPA III):
outcome of the trilogue of 2 June 2021 and technical meetings of 7 and 10 June 2021**

The amendments made by the Council and the EP in the text of the draft Regulation compared to the Commission's proposal are marked as follows:

- the new text is marked in ***bold italics***;
- the deleted parts of the text are marked in ~~strikethrough~~.

Where full paragraphs of the Commission's proposal were not amended by the EP and/or the Council, they are not repeated in the columns reflecting their respective positions.

Lines in **dark green** are provisionally closed.

Lines in **light green** are provisionally closed, pending agreement on parts of them related to issues outside of the Article discussed.

Parts in **grey** are to be discussed at a later stage.

Parts in **yellow** are not agreed yet but an agreement could be reached at technical level.

Parts in **red** are not agreed and should be discussed during the Trilogue.

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
84	<i>CHAPTER I</i>			<i>CHAPTER I</i>	<i>Provisionally agreed at the technical meeting on 4/12/2019, confirmed at the trilogue on 12/6/2020</i>
85	<i>GENERAL PROVISIONS</i>			<i>GENERAL PROVISIONS</i>	<i>Idem</i>
86	<i>Article 1</i>			<i>Article 1</i>	<i>Idem</i>
87	<i>Subject matter</i>			<i>Subject matter</i>	<i>Idem</i>
88	This Regulation establishes the Programme 'Instrument for Pre-accession Assistance' ('IPA III').	<i>Completed mandate:</i> This Regulation establishes the Programme 'Instrument for Pre-		This Regulation establishes the Programme 'Instrument for Pre-accession Assistance' ('IPA III') for the period of the	Agreed at the trilogue on 2/6/2021

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		accession Assistance' (IPA III) <i>for the period from 1 January 2021 to 31 December 2027.</i>		multiannual financial framework 2021-2027.	
89	It lays down its objectives, the budget for the period 2021-2027, the forms of Union assistance and the rules for providing such assistance.			It lays down its objectives, the budget for the period 2021-2027, the forms of Union assistance and the rules for providing such assistance.	<i>Provisionally agreed at the technical meeting on 4/12/2019, confirmed at the trilogue on 12/6/2020</i>
90	<i>Article 2</i>			<i>Article 2</i>	<i>Provisionally agreed at the technical meeting on 4/12/2019, confirmed at the trilogue on 12/6/2020</i>
91	<i>Definitions</i>			<i>Definitions</i>	<i>Idem</i>
92	For the purposes of this Regulation, the following definition apply:	For the purposes of this Regulation, the following definitions apply applies :		For the purposes of this Regulation, the following definitions apply applies :	<i>Provisionally agreed, following provisional agreement of 21/02/2020, confirmed at the trilogue on 12/6/2020</i>
93	'cross-border cooperation' means cooperation between member states of the EU and beneficiaries listed in	'cross-border cooperation' means cooperation between M member S states		'cross-border cooperation' means cooperation between M member S states of the EU	<i>Provisionally agreed at the technical meeting</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
	Annex I, between two or more beneficiaries listed in Annex I or between beneficiaries listed in Annex I and countries and territories listed in Annex I of the [NDICI Regulation] as referred to in point (b) of Article 3 (1) of [ETC Regulation] ¹⁸ .	of the EU and beneficiaries listed in Annex I <i>as referred to in point (b) of [Article 3(1) of ETC Regulation]¹⁸</i> , between two or more beneficiaries listed in Annex I or between beneficiaries listed in Annex I and countries and territories listed in Annex I of the [NDICI Regulation] as referred to in point (b) of Article 3 (1) of [ETC Regulation] .		and beneficiaries listed in Annex I <i>as referred to in point (b) of [Article 3(1) of ETC Regulation]¹⁸</i> , between two or more beneficiaries listed in Annex I or between beneficiaries listed in Annex I and countries and territories listed in Annex I of the [NDICI Regulation].	<i>on 10/01/2020, confirmed at the trilogue on 12/6/2020, under understanding that maritime cooperation is included in the definition of CBC in ETC Regulation.</i>
94	¹⁸ (footnote): COM(2018) 374 final - Proposal for a Regulation of the European Parliament and of the Council on specific provisions for the European territorial cooperation goal (Interreg) supported by the European Regional Development Fund and external financing instruments			¹⁸ (footnote): COM(2018) 374 final - Proposal for a Regulation of the European Parliament and of the Council on specific provisions for the European territorial cooperation goal (Interreg) supported by the European Regional Development Fund and external financing instruments	
95			<i>(1a) 'Fair share principle of assistance' means complementing the performance-based approach with a corrective allocation</i>	---	<i>Provisional agreement of 21/02/2020 in new line 170a, confirmed at the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>mechanism, in cases where assistance provided to the beneficiary would otherwise be disproportionately low or high as compared to the other beneficiaries, taking into account the needs of the population affected and the relative progress on reforms related to the opening of accession negotiations or progress therein; [Am. 32]</i>		<i>trilogue on 12/6/2020</i>
96	Article 3			Article 3	<i>Provisionally agreed at the technical meeting on 4/12/2019, confirmed at the trilogue on 12/6/2020</i>
97	Objectives of IPA III			Objectives of IPA III	<i>Provisionally agreed at the technical meeting on 4/12/2019, confirmed at the trilogue on 12/6/2020</i>
98	1. The general objective of IPA III shall be to support the beneficiaries listed in Annex I in adopting and implementing the political, institutional, legal, administrative,	1. The general objective of IPA III shall be to support the beneficiaries listed in Annex I in adopting and implementing the political,	1. The general objective of IPA III shall be to support the beneficiaries listed in Annex I in adopting and implementing the political, institutional,	1.The general objective of IPA III shall be to support the beneficiaries listed in Annex I in adopting and implementing the political,	<i>Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
	social and economic reforms required by those beneficiaries to comply with Union values and to progressively align to Union rules, standards, policies and practices with a view to Union membership, thereby contributing to their stability, security and prosperity.	institutional, legal, administrative, social and economic reforms required by those beneficiaries to comply with Union values and to progressively align to Union rules, standards, policies and practices with a view to future Union membership, thereby contributing to their stability, security and prosperity.	legal, administrative, social and economic reforms required by those beneficiaries to comply with Union values and acquis and to progressively align to Union rules, standards, policies and practices with a view to Union membership, thereby contributing to their peace , stability, security and prosperity as well as to the strategic interests of the Union. [Am. 33]	institutional, legal, administrative, social and economic reforms required by those beneficiaries to comply with Union values and to progressively align to Union rules, standards, policies and practices ('acquis') with a view to future Union membership thereby contributing to their mutual stability, security, peace and prosperity.	<i>trilogue on 12/6/2020, with the following addition in Recital (5):</i> "The enlargement policy of the Union is an a strategic investment in peace, security and stability in Europe (...) "
99	2. IPA III shall have following specific objectives:			2. IPA III shall have following specific objectives:	<i>Provisionally agreed at the technical meeting on 4/12/2019, confirmed at the trilogue on 12/6/2020</i>
100	(a) To strengthen the rule of law, democracy, the respect of human rights, fundamental rights and international law, civil society and security as well as improve migration management including border management;	(a) To strengthen the rule of law, democracy, and the respect of human rights, and fundamental rights, and international law, civil society, and freedoms; this includes in particular support to judicial reform, reinforced security and	(a) To to strengthen the rule of law, democracy, the respect of human rights, including those of minorities and children, gender equality , fundamental rights and international law, civil society, academic freedom, peace and security, the respect for cultural diversity,	(a) to strengthen the rule of law, democracy, the respect of human rights and fundamental freedoms including through promoting an independent judiciary, reinforced security and the fight against corruption and organised crime,	<i>Confirmed at the trilogue on 12/6/2020 + at the trilogue on 2/6/2021</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		<i>the fight against corruption and organised crime, compliance with international law, an enabling environment for civil society, the respect for the rights of persons belonging to minorities and the promotion of gender equality as well as support to improve migration management including border management and combating illegal migration;</i>	<i>non-discrimination and tolerance as well as improve migration management including border management; [Am. 34]</i>	<i>compliance with international law, freedom of media and academic freedom, an enabling environment for civil society; to promote non-discrimination and tolerance; the respect for the rights of persons belonging to minorities and the promotion of gender equality; as well as improve migration management including border management. {1} improving migration management including border management and tackling irregular migration, as well as addressing forced displacement.;</i>	
101			<i>(a a) to address forced displacement and irregular migration, ensuring that migration takes place in a safe, orderly and regular manner, and safeguarding access to international protection; [Am. 35]</i>	-----	<i>Agreed at the trilogue on 2/6/2021 - no text (addressed in the line above)</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
102	(b) To reinforce the effectiveness of public administration and support structural reforms and good governance at all levels;		(b) To to reinforce the effectiveness of public administration and support transparency , structural reforms, judicial independence fight against corruption and good governance at all levels, including in the field of public procurement, state-aid, competition, foreign investments and intellectual property ; [Am. 36]	(b) to reinforce the effectiveness of public administration and support transparency , structural reforms, and good governance at all levels, including in the areas of public procurement and state aid ;	<i>Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the trilogue on 12/6/2020</i>
103	(c) To shape the rules, standards, policies and practices of the beneficiaries listed in Annex I in alignment to those of the Union and to reinforce reconciliation and good neighbourly relations, as well as people to people contacts and communication;	(c) To shape the rules, standards, policies and practices of the beneficiaries listed in Annex I in alignment to those of the Union and to reinforce regional cooperation , reconciliation and good neighbourly relations, as well as people to people contacts and strategic communication;	(c) To to shape the rules, standards, policies and practices of the beneficiaries listed in Annex I in alignment to those of the Union, including on CFSP, strengthen the rules-based multilateral international order and to reinforce internal and external reconciliation and good neighbourly relations, as well as, peace-building and conflict prevention, including through confidence-building and mediation, inclusive and integrated education people	(c) to shape the rules, standards, policies and practices of the beneficiaries listed in Annex I in alignment to those of the Union and to reinforce regional cooperation , reconciliation and good neighbourly relations, as well as people to people contacts and strategic communication;	<i>Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the trilogue on 12/6/2020 together with additional recital (7b), provisionally agreed at the technical meeting on 9/3/2020: (7b) Actions under this Regulation</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			to people contacts, <i>freedom of the media</i> and communication; [Am. 37]		<i>should support reconciliation, peace-building and conflict prevention, through mediation efforts, confidence-building measures, and processes promoting justice, truth-seeking, reparations and guarantees of non-recurrence.</i>
104	(d) To strengthen economic and social development including through increased connectivity and regional development, agriculture and rural development and social and employment policies, to reinforce environmental protection, increase resilience to climate change, accelerate the shift towards a low-carbon economy and develop the digital economy and society.	(d) To strengthen economic and social development <i>with particular attention to youth</i> including through increased connectivity <i>in all its dimensions</i> and regional development, agriculture and rural development and social and employment policies, to reinforce environmental protection, increase resilience to climate	(d) To <i>to</i> strengthen economic, and social <i>and territorial</i> development <i>and cohesion</i> including through increased connectivity and regional development, agriculture and rural development and social and employment policies, to <i>reinforce environmental protection, increase resilience to climate change, accelerate the shift towards a low-carbon economy and develop</i>	(d) to strengthen economic and social development <i>and cohesion with particular attention to youth, including through quality education and employment policies, supporting investment and private sector development with a focus on small and medium-sized enterprises (SMEs)</i> , as well as agriculture and rural development;"	<i>Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the trilogue on 12/6/2020</i> (NB: public health emergencies to be covered in the Annexes when

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		change, accelerate the shift towards a low-carbon economy and develop the digital economy and society-;	the digital economy and society reducing poverty and regional imbalances, promoting social protection and inclusion by strengthening state-level regional cooperation structures, small and medium-sized enterprises (SMEs), the capacities of community-based initiatives, supporting investment in rural areas and improving business and investment climate; [Am. 38]		<i>Annexes are discussed)</i>
105			(da) to reinforce environmental protection, increase resilience to climate change, accelerate the shift towards a low-carbon economy and develop the digital economy and society, thereby creating job opportunities, in particular for the youth; [Am. 39]	(da) to reinforce environmental protection, increase resilience to climate change, accelerate the shift towards a low-carbon economy and develop the digital and economy and society and to strengthen sustainable connectivity in all its dimensions;	<i>Provisionally agreed at the technical meeting on 4/12/2019, confirmed at the trilogue on 12/6/2020</i>
106	(e) To support territorial and cross-border cooperation.	(e) To support territorial cooperation, including and cross-border, transnational, maritime	(e) To to support territorial and cross-border cooperation including across maritime borders, and enhance trade and economic relations by	(e) to support territorial cohesion and cross-border cooperation across land and maritime borders, including transnational,	<i>Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		<i>and interregional</i> cooperation.	<i>fully implementing existing</i> <i>agreements with the Union,</i> <i>reducing regional</i> <i>imbalances. [Am. 40]</i>	<i>and interregional</i> cooperation.	<i>trilogue on</i> <i>12/6/2020</i>
106a				3. In accordance with the specific objectives, assistance may, as appropriate, address the following thematic priorities: (a) Establishing and promoting from an early stage the proper functioning of the institutions necessary in order to secure the rule of law and consolidating further democratic institutions (b) Strengthening capacities to face migration challenges at regional and international level. (c) Enhancing capacities of strategic communication, including communicating to the public on necessary reforms for meeting the EU membership criteria	Agreed at the technical meetings following the trilogue of 2/6/2021

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
				<i>(d) Enhancing good governance and reforming public administrations in line with the Principles of Public Administration.</i> <i>(e) Strengthening fiscal and economic governance</i> <i>(f) Strengthening all aspects of good neighbourly relations, regional stability and mutual cooperation.</i> <i>(g) Strengthening the Union and its partners' capacity to prevent conflict, build peace, address pre- and post-crisis</i> <i>(h) Strengthening the capacities, independence and plurality of civil society organisations</i> <i>(i) Promoting the alignment of partner countries' rules, standards, policies and practices of those of the Union</i> <i>(j) Promoting gender equality and women's and girls' empowerment.</i> <i>(k) Strengthening access to and quality of education,</i>	

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
				<i>training and lifelong learning at all levels, and offering support to cultural and creative sectors and sport.</i> <i>(l) Fostering quality employment and access to the labour market.</i> <i>(m) Promoting social protection and inclusion and Promoting social protection and inclusion and combating poverty</i> <i>(n) Promoting smart, sustainable, inclusive, safe transport and removing bottlenecks in key network infrastructures and enhancing energy security and diversification</i> <i>(o) Improving the private-sector environment and competitiveness of enterprises, in particular SMEs</i> <i>(p) Improving access to digital technologies and services and strengthening research, technological development and innovation</i>	

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
				(q) Contributing to the security and safety of food and water supply (r) Protecting and improving the quality of the environment (s) Cooperating with beneficiaries listed in Annex I in the peaceful use of nuclear energy in the areas of health, agriculture and food safety (t) Increasing the ability of the agri-food and fisheries sectors	
106b				4. With a view to promoting good neighbourly relations, fostering Union integration and promoting socio-economic development, assistance for cross-border cooperation between beneficiaries listed in Annex I may, as appropriate, address the following thematic priorities: (a) promoting employment, labour mobility and social and cultural inclusion across borders	Agreed at the technical meetings following the trilogue of 2/6/2021

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
				<i>(b) protecting the environment and promoting climate change adaptation and mitigation, risk prevention and management</i> <i>(c) promoting sustainable transport and improving public infrastructures</i> <i>(d) promoting the digital economy and society</i> <i>(e) encouraging tourism and preserving and promoting cultural and natural heritage</i> <i>(f) investing in youth, sport, education and skills</i> <i>(g) promoting local and regional governance</i> <i>(h) Promoting cross-border initiatives to foster reconciliation and transitional justice</i> <i>(i) enhancing competitiveness, the business environment and the development of small and medium-sized enterprises, trade and investment</i>	

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
				<i>(j) strengthening research, technological development, innovation and digital technologies.</i>	
107	3. In accordance with the specific objectives, thematic priorities for providing assistance according to the needs and capacities of the beneficiaries listed in Annex I are set out in Annex II. Thematic priorities for cross-border cooperation between beneficiaries listed in Annex I are set out in Annex III. Each of those thematic priorities may contribute to the attainment of more than one specific objective.			3. 5. In accordance with the specific objectives. Thematic priorities for providing assistance according to the needs and capacities of the beneficiaries listed in Annex I are <i>further elaborated set out</i> in Annex II. Thematic priorities for cross-border cooperation between beneficiaries listed in Annex I are <i>further elaborated set out</i> in Annex III. Each of those thematic priorities may contribute to the attainment of more than one specific objective.	<i>Provisionally agreed at the technical meeting on 4/12/2019, confirmed at the trilogue on 12/6/2020 + adjusted to reflect agreement of the trilogue on 2/6/2021</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
107a				<u>6. The Commission shall be empowered to adopt, prior to the adoption of the IPA programming framework referred to in Article 7, a delegated act in accordance with Articles [13 and 14] in order to supplement this Regulation by setting out certain specific objectives and thematic priorities for cooperation related to the matters-referred to in paragraph 3, points (a) to (m) and point (r) of this Article and in paragraph 4, points (a) to (j) of this Article.</u>	Agreed at the technical meetings following the trilogue of 2/6/2021
108	Article 4	Article 4 3b		Article 4	Provisionally agreed at the technical meeting on 29/10/2020
109	Budget			Budget	Provisionally agreed at the technical meeting on 29/10/2020
110	1. The financial envelope for the implementation of IPA III for the	Completed mandate: 1. The financial envelope for the implementation of	1. The financial envelope for the implementation of IPA III for the period 2021-2027 shall be EUR 14 500 000 000	1. The financial envelope for the implementation of IPA III for the period 2021-2027 shall be EUR 14 500	Agreed at the trilogue on 2/6/2021

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
	period 2021-2027 shall be EUR 14 500 000 000 in current prices.	IPA III for the period 2021-2027 shall be EUR 14 500 000 000 14 162 000 000 in current prices.	13 009 976 000 in 2018 prices (EUR 14 663 401 000 in current prices). [Am. 41]	000 000 14 162 000 000 in current prices.	
111	2. The amount referred to in paragraph 1 may be used for technical and administrative assistance for the implementation of the Programme, such as preparatory, monitoring, control, audit and evaluation activities including corporate information technology systems and any activities related to the preparation of the successor programme for pre-accession assistance, in accordance with Article 20 of [NDICI Regulation].	2. The amount referred to in paragraph 1 may be used for technical and administrative assistance financing support measures for the implementation of the Programme, such as preparatory, monitoring, control, audit and evaluation activities including corporate information technology systems in accordance with Article 20 of [NDICI Regulation] and any activities related to the preparation of the successor programme for pre-accession assistance, in accordance with Article 20 of [NDICI Regulation].	2. A set percentage of the amount referred to in paragraph 1 may shall be used for technical and administrative assistance for the implementation execution of the Programme, such as which shall include preparatory, monitoring, control, audit and evaluation activities, support for institutional strengthening and administrative capacity-building including corporate information technology systems and any activities related to the preparation of the successor programme for pre-accession assistance, in accordance with Article 20 of [NDICI Regulation]. [Am. 42]	2. The amount referred to in paragraph 1 may be used for technical and administrative assistance financing support measures for the implementation of the Programme, such as preparatory, monitoring, control, audit and evaluation activities including corporate information technology systems in accordance with Article 20 of [NDICI Regulation] and any activities related to the preparation of the successor programme for pre-accession assistance, in accordance with Article 20 of [NDICI Regulation].	<i>Provisionally agreed at the technical meeting on 29/10/2020</i> NB: Successor programme covered in Art. 18(2); other elements covered in Art 20 NDICI

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
112	Article 5	Article 5 3a		Article 5	Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the trilogue on 12/6/2020
113	Cross-programme provisions			Cross-programme provisions	Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the trilogue on 12/6/2020
114	1. In implementing this Regulation, consistency, synergies and complementarities with other areas of Union external action, with other relevant Union policies and programmes, as well as policy coherence for development shall be ensured.		1. In implementing applying this Regulation, consistency, synergies and complementarities with other areas of Union external action, with other relevant Union policies and programmes, as well as policy coherence for development shall be ensured. [Am. 43]	1. In implementing this Regulation, consistency, synergies and complementarities with other areas of Union external action, with other relevant Union policies and programmes, as well as policy coherence for development shall be ensured.	Provisionally agreed at the technical meeting on 10/01/2020, , confirmed at the trilogue on 12/6/2020
115	2. The [NDICI Regulation] shall apply to activities implemented under this Regulation where referred to in this Regulation.		2. Regulation (EU) .../...[NDICI Regulation] shall apply to activities implemented executed under this Regulation where	2. The [NDICI Regulation] shall apply to activities implemented under this	Same as above

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			referred to in this Regulation. [Am. 44]	Regulation where referred to in this Regulation.	
116	3. IPA III shall contribute to actions established under Regulation [(Erasmus ¹⁸). [Regulation (EU) Erasmus] shall apply to the use of those funds. To that end, the contribution of IPA III shall be included in the single indicative programming document referred to in paragraph 7 of Article 11 of the [NDICI Regulation] and adopted in accordance with the procedures laid down in that Regulation.	3. IPA III shall contribute to actions established under Regulation [(Erasmus ¹⁸). [Regulation (EU) Erasmus] shall apply to the use of those funds. To that end, the contribution of IPA III shall be included in the single indicative programming document referred to in paragraph 7 of Article 11 of the [NDICI Regulation] and adopted in accordance with the procedures laid down in that Regulation. <i>This programming document shall contain an indicative minimum amount to be allocated to actions established under Regulation [Erasmus].</i>		3. IPA III shall contribute to actions established under Regulation [(Erasmus ¹⁸). [Regulation (EU) Erasmus] shall apply to the use of those funds. To that end, the contribution of IPA III shall be included in the single indicative programming document referred to in paragraph 7 of Article 11 of the [NDICI Regulation] and adopted in accordance with the procedures laid down in that Regulation. <i>This programming document shall contain an indicative minimum amount to be allocated to actions established under Regulation [Erasmus].</i>	<i>Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the trilogue on 12/6/2020</i> <i>Comment: Erasmus link with NDICI</i>
117	¹⁸ (footnote): COM(2018) 367 final Proposal for a Regulation of the European Parliament and of the Council establishing 'Erasmus': the Union programme for			¹⁸ (footnote): COM(2018) 367 final Proposal for a Regulation of the European Parliament and of the Council establishing	

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
	education, training, youth and sport and repealing Regulation (EU) No 1288/2013.			'Erasmus': the Union programme for education, training, youth and sport and repealing Regulation (EU) No 1288/2013.	
118	4. Assistance under IPA III may be provided to the type of actions provided for under the European Regional Development Fund and the Cohesion Fund ¹⁹ , the European Social Fund Plus ²⁰ and the European Agricultural Fund for Rural Development ²¹ .	4. Assistance under IPA III may also be provided to the type of actions provided for under the European Regional Development Fund and the Cohesion Fund ¹⁹ , the European Social Fund Plus ²⁰ and the European Agricultural Fund for Rural Development ²¹ .	4. Assistance under IPA III may be provided to the type of actions provided for under the European Regional Development Fund and the Cohesion Fund ¹⁹ , the European Social Fund Plus ²⁰ , and the European Agricultural Fund for Rural Development ²¹ and the Justice, Rights and Values Fund, at national level as well as in a cross-border, transnational, interregional or macro-regional context. [Am. 45]	4. Assistance under IPA III may be provided to the type of actions provided for under the European Regional Development Fund and the Cohesion Fund ¹⁹ , the European Social Fund Plus ²⁰ and the European Agricultural Fund for Rural Development ²¹ .	<i>Provisionally agreed at the technical meeting on 10/01/2020, confirmed at the trilogue on 12/6/2020</i> <i>(see also recital (20))</i>
119	¹⁹ (footnote): COM(2018) 372 final Proposal for a Regulation of the European Parliament and of the Council on the European Regional Development Fund and on the Cohesion Fund.			¹⁹ (footnote): COM(2018) 372 final Proposal for a Regulation of the European Parliament and of the Council on the European Regional Development Fund and on the Cohesion Fund.	
120	²⁰ (footnote):			²⁰ (footnote):	

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
	COM(2018) 382 final Proposal of the European Parliament and of the Council on the European Social Fund Plus (ESF+)			COM(2018) 382 final Proposal of the European Parliament and of the Council on the European Social Fund Plus (ESF+)	
121	²¹ (footnote): COM(2018) 392 final Proposal for a Regulation of the European Parliament and of the Council establishing rules on support for strategic plans to be drawn up by Member States under the Common agricultural policy (CAP Strategic Plans) and financed by the European Agricultural Guarantee Fund (EAGF) and by the European Agricultural Fund for Rural Development (EAFRD) and repealing Regulation (EU) No 1305/2013 of the European Parliament and of the Council and Regulation (EU) No 1307/2013 of the European Parliament and of the Council.			²¹ (footnote): COM(2018) 392 final Proposal for a Regulation of the European Parliament and of the Council establishing rules on support for strategic plans to be drawn up by Member States under the Common agricultural policy (CAP Strategic Plans) and financed by the European Agricultural Guarantee Fund (EAGF) and by the European Agricultural Fund for Rural Development (EAFRD) and repealing Regulation (EU) No 1305/2013 of the European Parliament and of the Council and Regulation (EU) No 1307/2013 of the European Parliament and of the Council.	
122			<i>4a. The Commission shall allocate a percentage of IPA III resources to prepare the beneficiaries listed in Annex I for the participation in the European Structural and</i>	----	<i>Provisionally agreed at the technical meeting on 10/01/2020, confirmed at the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>Investment Funds (ESIF), in particular in the European Social Fund (ESF).</i> [Am. 46]		<i>trilogue on 12/6/2020</i>
123	5. The [ERDF] ²² shall contribute to programmes or measures established for cross-border cooperation between the beneficiaries listed in Annex I and Member States. These programmes and measures shall be adopted by the Commission in accordance with Article 16. The amount of the contribution from IPA-CBC shall be determined pursuant to Article 10 (3) of [ETC Regulation]. IPA-Cross Border Cooperation programmes shall be managed in accordance with [ETC Regulation].	5. The [ERDF] ²² shall contribute to programmes or measures established for cross-border cooperation between the beneficiaries listed in Annex I and Member States. These programmes and measures shall be adopted by the Commission in accordance with Article 16. The amount of the contribution from IPA- CBC Cross Border Cooperation shall be determined pursuant to Article 10 (3) of [ETC Regulation]. IPA-Cross Border Cooperation programmes shall be managed in accordance with [ETC Regulation].	5. The [ERDF] ²² shall contribute to programmes or measures established for cross-border cooperation between the beneficiaries listed in Annex I and one or more Member States. These programmes and measures shall be adopted by the Commission in accordance with Article 16. The amount of the contribution from IPA-CBC shall be determined pursuant to Article 10 (3) of [ETC Regulation], with a maximum threshold for an IPA III contribution set at 85 % . IPA-Cross Border Cooperation programmes shall be managed in accordance with [ETC Regulation]. [Am. 47]	5. The [ERDF] ²² shall contribute to programmes or measures established for cross-border cooperation between the beneficiaries listed in Annex I and one or more Member States. These programmes and measures shall be adopted by the Commission in accordance with Article 16 . The amount of the contribution from IPA- CBC Cross Border Cooperation shall be determined pursuant to Article 10 (3) of [ETC Regulation]. IPA-Cross Border Cooperation programmes shall be managed in accordance with [ETC Regulation].	<i>Provisionally agreed at the technical meeting on 10/01/2020, confirmed at the trilogue on 12/6/2020 + at the trilogue on 2/6/2021</i>
124	²² (footnote): COM(2018) 372 final Proposal for a Regulation of the European Parliament and of the Council on the European			²² (footnote): COM(2018) 372 final Proposal for a Regulation of the European Parliament and	

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
	Regional Development Fund and on the Cohesion Fund.			of the Council on the European Regional Development Fund and on the Cohesion Fund.	
125	6. IPA III may contribute to transnational and interregional cooperation programmes or measures that are established and implemented under [ETC Regulation] and in which the beneficiaries listed in Annex I to this Regulation participate.	6. IPA III may contribute to transnational and interregional cooperation programmes or measures that are established and implemented under [ETC Regulation], <i>taking into account, where applicable, macro-regional strategies or sea basin strategies</i> , and in which the beneficiaries listed in Annex I to this Regulation participate.		6. IPA III may contribute to transnational and interregional cooperation programmes or measures that are established and implemented under [ETC Regulation], <i>taking into account, where applicable, macro-regional strategies or sea basin strategies</i> , and in which the beneficiaries listed in Annex I to this Regulation participate. <u>Where a transnational and interregional cooperation programme or measure is also supported by NDICI, a pre-financing shall be paid in accordance with Article 18(5) of Regulation [NDICI].</u>	<i>Provisionally agreed at the technical meeting on 10/01/2020, confirmed at the trilogue on 12/6/2020.</i> <i>Provisionally agreed at the technical meeting on 29/10/2020 to add the last element stemming from Interreg discussions.</i>
126	7. Where appropriate, other Union programmes may contribute to actions established under this Regulation in accordance with Article 8, provided that the			7. Where appropriate, other Union programmes may contribute to actions established under this Regulation in accordance	<i>Provisionally agreed at the technical meeting on 10/01/2020, confirmed at the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
	contributions do not cover the same costs. This Regulation may also contribute to measures established under other Union programmes, provided that the contributions do not cover the same costs. In such cases, the work programme covering those actions shall establish which set of rules shall be applicable.			with Article 8, provided that the contributions do not cover the same costs. This Regulation may also contribute to measures established under other Union programmes, provided that the contributions do not cover the same costs. In such cases, the work programme covering those actions shall establish which set of rules shall be applicable.	<i>trilogue on 12/6/2020</i>
127	8. In duly justified circumstances and in order to ensure the coherence and effectiveness of Union financing or to foster regional cooperation, the Commission may decide to extend the eligibility of action programmes and measures referred to in Article 8(1) to countries, territories and regions other than those referred in Annex I, where the programme or measure to be implemented is of a global, regional or cross-border nature.	8. In duly justified circumstances and in order to ensure the coherence and effectiveness of Union financing or to foster regional cooperation, the Commission may decide to extend the eligibility of action programmes and measures referred to in Article 8(1) to countries, territories and regions <i>which would not otherwise be eligible for financing pursuant to Article 3(1) other than those referred in Annex I,</i>	8. In duly justified circumstances and in order to ensure the coherence and effectiveness of Union financing or to foster regional cooperation, the Commission may decide to extend the eligibility of action programmes and measures referred to in Article 8(1) to countries, territories and regions other than those referred in Annex I, where the programme or measure to be implemented <i>applied</i> is of a global, regional or cross-	8. In duly justified circumstances and in order to ensure the coherence and effectiveness of Union financing or to foster regional cooperation, the Commission may decide to extend the eligibility of action programmes and measures referred to in Article 8(1) to countries, territories and regions <i>which would not otherwise be eligible for financing pursuant to Article 3(1) other than those referred in Annex I,</i> where the	<i>Provisionally agreed at the technical meeting on 10/01/2020, , confirmed at the trilogue on 12/6/2020</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		where the programme or measure to be implemented is of a global, regional or cross-border nature.	border nature. [Am. 48]	programme or measure to be implemented is of a global, regional or cross-border nature.	
128	CHAPTER II			CHAPTER II	Provisionally agreed at the technical meeting on 28/1/2020, confirmed at the trilogue on 12/6/2020
129	STRATEGIC PLANNING			STRATEGIC PLANNING	Idem
130	Article 6			Article 6	Idem
131	Policy framework and general principles			Policy framework and general principles	Idem
132	1. The enlargement policy framework defined by the European Council and the Council, the agreements that establish a legally binding relationship with the beneficiaries listed in Annex I, as well as relevant resolutions of the European Parliament, communications of the Commission or joint Communications of the Commission and the High	1. Assistance under this Regulation shall be provided in accordance with the enlargement policy framework as defined by the European Council and the Council, the agreements that establish a legally binding relationship with the beneficiaries listed in Annex I, and shall take	1. The enlargement policy framework defined by the European Council and the Council, the agreements that establish a legally binding relationship with the beneficiaries listed in Annex I, as well as relevant resolutions of the European Parliament, communications of the Commission or joint Communications of the	1. The enlargement policy framework defined by the European Council and the Council, the agreements that establish a legally binding relationship with the beneficiaries listed in Annex I, as well as relevant resolutions of the European Parliament, communications of the Commission or joint Communications of the	Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the trilogue on 12/6/2020

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
	Representative of the Union for Foreign Affairs and Security Policy, shall constitute the overall policy framework for the implementation of this regulation. The Commission shall ensure coherence between the assistance and the enlargement policy framework.	<i>due account of as well as</i> relevant resolutions of the European Parliament, communications of the Commission or joint Communications of the Commission and the High Representative of the Union for Foreign Affairs and Security Policy, shall constitute the overall policy framework for the implementation of this regulation. The Commission shall ensure coherence between the assistance and the enlargement policy framework.	Commission and the High Representative of the Union for Foreign Affairs and Security Policy, shall constitute the overall <i>comprehensive</i> policy framework for the implementation <i>application</i> of this regulation. The Commission shall ensure coherence between the assistance and the <i>overall</i> enlargement policy framework.	Commission and the High Representative of the Union for Foreign Affairs and Security Policy, shall constitute the overall policy framework for the implementation of this regulation. The Commission shall ensure coherence between the assistance and the enlargement policy framework.	
133			<i>The VP/HR and the Commission shall ensure coordination between the Union's external action and the enlargement policy within the framework of the policy objectives set out in Article 3.</i>	-----	Agreed at the trilogue on 2/6/2021 - no text
134			<i>The Commission shall coordinate programming under this Regulation with</i>	-----	Agreed at the trilogue on 2/6/2021 - no text

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>appropriate involvement of the EEAS.</i>		
135			<i>The enlargement policy framework shall be the basis on which assistance is provided. [Am. 49]</i>	---	<i>Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the trilogue on 12/6/2020</i> <i>(Amendment dropped as part of compromise in line 132)</i>
136	2. Programmes and actions under this Regulation shall mainstream climate change, environmental protection and gender equality and shall, where applicable, address interlinkages between Sustainable Development Goals ²³ , to promote integrated actions that can create co-benefits and meet multiple objectives in a coherent way.	2. Programmes and actions under this Regulation <i>in the pursuit of the specific objectives referred to in Article 3</i> shall mainstream <i>the following horizontal priorities</i> : climate change, environmental protection and gender equality, and shall, where applicable, address interlinkages between Sustainable Development Goals ²³ , to promote integrated actions that can create co-benefits and meet multiple	2. Programmes and actions under this Regulation shall mainstream climate change, environmental protection, <i>human rights conflict prevention and resolution, migration and forced displacement, security, social and regional cohesion, poverty reduction</i> and gender equality and shall, where applicable, address interlinkages between Sustainable Development Goals ²³ , to promote integrated actions that can create co-benefits and meet	2. Programmes and actions under this Regulation <i>in the pursuit of the specific objectives referred to in Article 3</i> shall mainstream <i>the following horizontal priorities</i> : climate change, environmental protection, <i>human rights</i> and gender equality to promote integrated actions that can create co-benefits and meet multiple objectives in a coherent way. Programmes and actions shall, where applicable, address interlinkages between	<i>Provisionally agreed at the technical meeting on 9/3/2020, confirmed at the trilogue on 12/6/2020 (with reconciliation further reflected in recital (7b) (see proposed compromise in line 103)) and at the trilogue on 04/12/2020 as regards addressing the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		objectives in a coherent way.	multiple objectives in a coherent way. <i>They shall aim to contribute at least 16 % of the overall financial envelope to climate objectives.</i> [Am. 50]	Sustainable Development Goals ²³ , <i>including on promoting peaceful and inclusive societies, as well as on poverty reduction.</i>	<i>climate target in recitals (together with a reference to biodiversity ambition).</i>
137	²³ (footnote): https://ec.europa.eu/europeaid/policies/sustainable-development-goals_en			https://ec.europa.eu/info/strategy/international-strategies/sustainable-development-goals_en	Corrected link
138		<i>2a. The Commission, in liaison with Member States, shall contribute to the implementation of Union commitments towards increased transparency and accountability in the delivery of assistance, including by making available, through web-based databases, information on assistance volume and allocation, ensuring that data is comparable and can be easily accessed, shared and published.</i>		<i>2a. The Commission, in liaison with Member States, shall contribute to the implementation of Union commitments towards increased transparency and accountability in the delivery of assistance, including by making available, through web-based databases, information on assistance volume and allocation, ensuring that data is comparable and can be easily accessed, shared and published.</i>	<i>Provisionally agreed at the technical meeting on 28/1/2020, confirmed at the trilogue on 12/6/2020</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
139	3. The Commission and the Member States shall cooperate in ensuring coherence and shall strive to avoid duplication between assistance provided under IPA III and other assistance provided by the Union, the Member States and the European Investment Bank, in line with the established principles for strengthening operational coordination in the field of external assistance, and for the harmonisation of policies and procedures, in particular the international principles on development effectiveness ²⁴ . Coordination shall involve regular consultations, frequent exchanges of information during the different phases of the assistance cycle and inclusive meetings aimed at coordinating the assistance and shall constitute a key step in the programming processes of the Union and the Member States.	3. The Commission and the Member States shall cooperate in ensuring coherence and shall strive to avoid duplication between assistance provided under IPA III and other assistance provided by the Union, the Member States and the European Investment Bank (EIB), in line with the established principles for strengthening operational coordination in the field of external assistance, including through enhanced coordination with Member States at local level , and for the harmonisation of policies and procedures, in particular the international principles on development effectiveness ²⁴ . Coordination shall involve regular and timely consultations, frequent exchanges of information during the different phases of the assistance cycle and	3. The Commission and the Member States shall cooperate in ensuring coherence and shall strive to avoid duplication between assistance provided under IPA III and other assistance provided by the Union, the Member States and the European Investment Bank, in line with the established principles for strengthening operational coordination in the field of external assistance, and for the harmonisation of policies and procedures, in particular the international principles on development effectiveness. ²⁴ Coordination shall involve regular consultations, frequent exchanges of information during the different phases of the assistance cycle and inclusive meetings aimed at coordinating the assistance and shall constitute a key step in the programming processes of the Union and the Member States. The assistance shall	3. The Commission and the Member States shall cooperate in ensuring coherence and shall strive to avoid duplication between assistance provided under IPA III and other assistance provided by the Union, the Member States and the European Investment Bank Group (EIB Group) , in line with the established principles for strengthening operational coordination in the field of external assistance, including through enhanced coordination with Member States at local level , and for the harmonisation of policies and procedures, in particular the international principles on development effectiveness ²⁴ . Coordination shall involve regular and timely consultations, frequent exchanges of information during the different phases of the assistance cycle and inclusive meetings aimed at	<i>Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the trilogue on 12/6/2020</i> <i>Provisionally agreed Recital (12b) confirmed at the trilogue on 12/6/2020:</i> <i>(12b) The Union must lead the transition to a healthy planet and a more connected world. The [European Green Deal] provides a renewed commitment and a new strategic framework to achieve this global objective. The Union should use its influence,</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		inclusive meetings aimed at coordinating the assistance, <i>including at the local level</i> , and shall constitute a key step in the programming processes of the Union and the Member States.	<i>aim at ensuring alignment with the Union strategy for smart, sustainable and inclusive growth, effective and efficient implementation of the funds, arrangements for the partnership principle and an integrated approach to territorial development.</i> [Am. 51]	coordinating the assistance, <i>including at the local level</i> , and shall constitute a key step in the programming processes of the Union and the Member States.	<i>expertise and financial assistance to mobilise the beneficiaries listed in Annex I to join it on a sustainable path. This Regulation should promote the green agenda by reinforcing environmental protection, contributing to mitigation and increasing resilience to climate change and, accelerating the shift towards a low-carbon economy.</i>
140	²⁴ (footnote): https://ec.europa.eu/europeaid/policies/eu-approach-aid-effectiveness_en			²⁴ (footnote): https://ec.europa.eu/info/aid-development-cooperation-fundamental-rights/ensuring-aid-effectiveness_en	Corrected link
141		<i>3a. In line with the principle of inclusive</i>		<i>3a. In line with the principle of inclusive</i>	<i>Provisionally agreed at the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		<i>partnership, where appropriate, the Commission shall ensure that relevant stakeholders of beneficiaries listed in Annex I, including civil society organisations, local and regional authorities, are duly consulted and have timely access to relevant information allowing them to play a meaningful role during the design, implementation and associated monitoring processes of programmes.</i>		<i>partnership, where appropriate, the Commission shall ensure that relevant stakeholders of beneficiaries listed in Annex I, including civil society organisations, local and regional authorities as appropriate, are duly consulted and have timely access to relevant information allowing them to play a meaningful role during the design, implementation and associated monitoring processes of programmes. Coordination among the relevant stakeholders shall be encouraged by the Commission.</i>	<i>technical meeting on 6/2/2020, confirmed at the trilogue on 12/6/2020</i> <i>(covers lines 141 and 142)</i>
142			<i>3 a. The Commission shall act in partnership with the beneficiaries. The partnership shall include, as appropriate, competent national and local authorities, as well as civil society organisations, enabling them to play a meaningful role during the</i>	---	<i>(part of compromise above in line 141)</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>design, implementation and monitoring phases.</i>		
143			<i>The Commission shall encourage coordination among the relevant stakeholders. IPA III assistance shall strengthen the capacities of civil society organisations, including, as appropriate, as direct beneficiaries of assistance. [Am. 52]</i>	<i>The capacities of civil society organisations shall be strengthened, including, as appropriate, as direct beneficiaries of assistance.</i>	<i>Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the trilogue on 12/6/2020</i>
144	4. The Commission, in liaison with the Member States, shall also take the necessary steps to ensure coordination and complementarity with multilateral and regional organisations and entities, such as international organisations and financial institutions, agencies and non-Union donors.			4. The Commission, in liaison with the Member States, shall also take the necessary steps to ensure coordination and complementarity with multilateral and regional organisations and entities, such as international organisations and financial institutions, agencies and non-Union donors.	<i>Provisionally agreed at the technical meeting on 28/1/2020, confirmed at the trilogue on 12/6/2020</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
145	CHAPTER III			CHAPTER III	
146	IMPLEMENTATION		PROGRAMMING FRAMEWORK AND EXECUTION [Am. 53]	IMPLEMENTATION	<i>Agreed at the trilogue on 2/6/2021</i>
147	<i>Article 7</i>			<i>Article 7</i>	<i>Provisionally agreed at the technical meeting on 28/1/2020, confirmed at the trilogue on 12/6/2020</i>
148	<i>IPA programming framework</i>			<i>IPA programming framework</i>	<i>Provisionally agreed at the technical meeting on 28/1/2020, confirmed at the trilogue on 12/6/2020</i>
149	1. Assistance under IPA III shall be based on an IPA programming framework for the delivery of the specific objectives referred to in Article 3. The IPA programming framework shall be established by the Commission for the duration of	1. Assistance under IPA III shall be based on an IPA programming framework for the delivery of the specific objectives referred to in Article 3(2) and thematic priorities referred to in Annexes II	1. Assistance under IPA III shall be based on This Regulation shall be supplemented by an IPA programming framework for the delivery of establishing further provisions on how the specific objectives	1. Assistance under IPA III shall be based on an IPA programming framework for the delivery of the specific objectives referred to in Article 3(2) and thematic priorities referred to in Annexes II and III.	<i>Provisionally agreed at the technical meeting on 29/10/2020, elements linked to governance agreed at the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
	the Union's multiannual financial framework.	and III. The IPA programming framework shall be established by the Commission for the duration of the Union's multiannual financial framework.	referred to in Article 3 shall be pursued. The IPA programming framework shall be established by the Commission for the duration of the Union's multiannual financial framework by means of delegated acts, in accordance with paragraph 3 of this Article.	The IPA programming framework shall be established by the Commission for the duration of the Union's multiannual financial framework.	trilogue on 2/6/2021
150			The Commission shall submit to the European Parliament the relevant programming documents in due time prior to the start of the programming period. [Those documents shall lay down the indicative allocations per thematic window and, where available, per country/region, covering expected results and the choice of assistance arrangements. [Am. 54]]	-----	Agreed at the trilogue on 2/6/2021 - no text <i>Second part deleted - agreed in line 153 (with the deletion of 'emerging needs')</i>
151			1a. The European Parliament and the Council shall authorise the annual appropriations within the limits of the multiannual	1a. The European Parliament and the Council shall authorise the annual appropriations within the limits of the multiannual	<i>Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>financial framework for the period from 2021 to 2027. [Am. 55]</i>	<i>financial framework for the period from 2021 to 2027.</i>	<i>trilogue on 12/6/2020</i> <i>(in line with IPA II, Art. 15(2))</i>
152	2. The IPA programming framework shall take relevant national strategies and sector policies into due account.	2. The IPA programming framework <i>shall be developed in accordance with the policy framework and general principles set out in Article 6 and</i> shall take relevant national strategies and sector policies into due account.	2. The IPA programming framework shall take relevant <i>resolutions and positions of the European Parliament</i> and national strategies and sector policies into due account. [Am. 56]	2. The IPA programming framework <i>shall be developed in accordance with the policy framework and general principles set out in Article 6 and</i> shall take relevant national strategies and sector policies into due account.	<i>Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the trilogue on 12/6/2020</i> <i>(as part of compromise in line 132)</i>
153		<i>2a. The IPA programming framework shall include an indicative allocation of Union funds per specific objective referred to in Article 3(2), as applicable, broken down per year, and shall allow for addressing emerging needs, without prejudice to the possibility of combining assistance contributing to the achievement of different specific objectives.</i>		<i>2a. The IPA programming framework shall include indicative allocations of Union funds per thematic area in accordance with the specific objectives referred to in Article 3(2), as applicable, broken down per year, without prejudice to the possibility of combining assistance contributing to the achievement of different specific objectives."</i>	<i>Provisionally agreed at the technical meeting on 21/2/2020, confirmed at the trilogue on 12/6/2020</i> <i>NB: Refer to public health emergencies in the Annexes</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
					(leave lines 104 and 153 as they are).
154	Assistance shall be targeted and adjusted to the specific situation of the beneficiaries listed in Annex I, taking into account further efforts needed to meet the membership criteria as well as the capacities of those beneficiaries. Assistance shall be differentiated in scope and intensity according to needs, commitment to reforms and progress in implementing those reforms.	Assistance shall be targeted and adjusted to the specific situation of the beneficiaries listed in Annex I, taking into account further efforts needed to meet the membership criteria as well as the capacities of those beneficiaries. Assistance shall be differentiated in scope and intensity according to needs, commitment to reforms and progress in implementing those reforms.			Moved to lines 172 and 173
155	3. Without prejudice to paragraph 4, the IPA programming framework shall be adopted by the Commission by means of an implementing act. That implementing act shall be adopted in accordance with the examination procedure of the Committee referred to in Article 16.	3. 2c. Without prejudice to paragraph 4, the IPA programming framework shall be adopted by the Commission by means of an implementing act. That implementing act shall be adopted in accordance with the examination procedure of the	3. Without prejudice to paragraph 4 of this Article, the Commission shall adopt the IPA programming framework shall be adopted by the Commission, including the arrangements to enact the “fair share” principle, by means of an implementing act delegated acts in, accordance with	3. Without prejudice to paragraph 4, the IPA programming framework shall be adopted by the Commission by means of an implementing act. That implementing act shall be adopted in accordance with the examination procedure	Agreed at the trilogue on 2/6/2021

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		Committee referred to in Article 16.	<i>Article 14. That implementing act shall be adopted in accordance with the examination procedure of the Committee referred to in Article 16. The IPA programming framework shall expire by 30 June 2025 at the latest. The Commission shall adopt a new IPA programming framework by 30 June 2025, based on the mid-term evaluation being consistent with the other external financing instruments and taking into account relevant resolutions of the European Parliament. The Commission may also review, where necessary, the effective implementation of the IPA programming framework, in particular where there are substantive changes in the policy framework referred to in Article 6 and taking into account relevant resolutions of the European Parliament. [Am. 57]</i>	of the Committee referred to in Article 16.	

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
156	4. The programming framework for cross border cooperation with Member States shall be adopted by the Commission in accordance with Article 10 (1) of [ETC Regulation].			4. The programming framework for cross border cooperation with Member States shall be adopted by the Commission in accordance with Article 10 (1) of [ETC Regulation] Article 16.	<i>Provisionally agreed at the technical meeting on 28/1/2020, confirmed at the trilogue on 12/6/2020 + updated during technical meeting on 10/6/2021</i>
157	5. The IPA programming framework shall include indicators for assessing progress with regard to attainment of the targets set therein.	5. 2b. The IPA programming framework shall include indicators for assessing progress with regard to attainment of the expected results targets set therein in accordance with the specific objectives referred to in Article 3(2). These indicators shall be coherent with the indicators referred to in Annex IV.	5. The IPA programming framework shall include be based on clear and verifiable performance indicators set out in Annex IV for assessing progress with regard to attainment of the targets set therein-, inter alia, progress and results in the areas of:	2b. The IPA programming framework shall include indicators for assessing progress with regard to attainment of the targets set therein towards the achievement of the specific objectives referred to in Article 3(2). These indicators shall be coherent with the indicators referred to in Annex IV.	<i>Provisionally agreed at the technical meeting on 29/10/2020</i> <i>Park areas in lines 154-164 until discussion on Annexes</i>
158			(a) democracy, the rule of law and an independent and efficient justice system;	----	<i>Provisionally agreed at the technical meeting on 21/04/2021 - no text</i>
159			(b) human rights and fundamental freedoms,	-----	<i>Provisionally agreed at the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>including the rights of persons belonging to minorities and vulnerable groups;</i>		<i>technical meeting on 21/04/2021 - no text</i>
160			<i>(c) gender equality and women's rights;</i>	-----	<i>Provisionally agreed at the technical meeting on 21/04/2021 - no text</i>
161			<i>(d) the fight against corruption and organised crime;</i>	-----	<i>Provisionally agreed at the technical meeting on 21/04/2021 (see also together with line 444) - no text</i>
162			<i>(e) reconciliation, peace- building good neighbourly relations;</i>	-----	<i>Provisionally agreed at the technical meeting on 21/04/2021 - no text</i>
163			<i>(f) freedom of the media;</i>	-----	<i>Provisionally agreed at the technical meeting on 21/04/2021 (see also together with line 444) - no text</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
164			<i>(g) tackling climate change in compliance with the obligations set out in the Paris Agreement.</i>	----	<i>Provisionally agreed at the technical meeting on 21/04/2021 (</i>
165			<i>The Commission shall include progress against those indicators in its annual reports.</i>	-----	<i>Provisionally agreed at the technical meeting on 29/10/2020 to delete as covered in NDICI.</i>
166			<i>The performance-based approach under this Regulation shall be subject to a regular exchange of views in the European Parliament and in the Council. [Am. 123]</i>	----	<i>Agreed at the trilogue on 2/6/2021 - no text</i>
167		<i>2d. The Commission shall make an annual assessment of the implementation of the IPA programming framework in the light of the evolution of the policy framework referred to in Article 6 and based on the indicators referred to in paragraph 2b. This assessment shall also include a state of play of</i>		<i>2d. The Commission shall make an annual assessment of the implementation of the IPA programming framework in the light of the evolution of the policy framework referred to in Article 6 and based on the indicators referred to in paragraph 2b. This assessment shall also include a state of play of the allocations</i>	<i>Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the trilogue on 12/6/2020 + trilogue of 2/6/2021</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		<i>the allocations committed and planned per beneficiary and on how the fair-share and performance approach referred to in Article 7a has been effected. This assessment shall be submitted to the committee referred to in Article 16.</i>		<i>committed and planned per beneficiary and on how the fair-share and performance approach referred to in Article 7a has been effected. This assessment shall be submitted to the committee referred to in Article 16.</i>	
168		<i>2e. On the basis of this assessment, the Commission may propose a revision of the IPA programming framework, as appropriate in accordance with the procedure referred to in paragraph 2c. The IPA programming framework may also be reviewed following the interim evaluation and may be revised as appropriate.</i>		<i>2e. On the basis of this assessment, the Commission may propose a revision of the IPA programming framework, as appropriate in accordance with the procedure referred to in paragraph 3. The IPA programming framework may also be reviewed following the interim evaluation and may be revised as appropriate.</i>	<i>Provisionally agreed at the technical meeting on 12/11/2020 + following the trilogue of 2/6/2021</i>
169		<i>Article 7a</i>		<i>Article 7a</i>	<i>Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
					<i>trilogue on 12/6/2020</i>
170		<i>Assistance to beneficiaries, performance assessment and fair share</i>		<i>Assistance to beneficiaries, performance assessment and fair share <u>principle</u></i>	<i>Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the trilogue on 12/6/2020</i>
170a				<i><u>- 1 a. Assistance under this Regulation shall be based both on a performance- based approach and a fair share principle, as described in paragraphs 2 to 4 of this Article.</u></i>	<i>Provisionally agreed at the VTC technical meeting on 12/5/2020, confirmed at the trilogue on 12/6/2020</i>
171		<i>1. Assistance to the beneficiaries listed in Annex I shall be decided in the framework of implementing measures referred to in Article 8.</i>		<i>1. 6. Assistance to the beneficiaries listed in Annex I shall be decided in the framework of implementing measures referred to in Article 8.</i>	<i>Provisionally agreed at the VTC technical meeting on 9/6/2020 to move this provision to the end of this Article and delete "implementing", as being redundant given that measures are further defined in Article 8;</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
					<i>confirmed at the trilogue on 12/6/2020</i>
172		<i>2. Assistance shall be targeted and adjusted to the specific situation of the beneficiaries listed in Annex I, taking into account further efforts needed to meet the objectives of this Regulation. The needs and capacities of those beneficiaries shall also be taken into account in accordance with the fair share principle in order to avoid a disproportionately low level of assistance as compared to other beneficiaries.</i>		<i>2. Assistance <u>shall aim to ensure progress in all beneficiaries listed in Annex I</u> and shall be targeted and adjusted to <u>their specific situation of the beneficiaries listed in Annex I</u>, taking into account further efforts needed to meet the objectives of this Regulation. The needs and capacities of those beneficiaries shall also be taken into account in accordance with the fair share principle in order to avoid a disproportionately low level of assistance as compared to other beneficiaries.</i>	<i>Provisionally agreed at the technical meeting on 6/2/2020, and updated at the VTC technical meeting on 12/5/2020, confirmed at the trilogue on 12/6/2020.</i>
173		<i>3. Assistance shall aim to ensure progress in all beneficiaries and shall be differentiated in scope and intensity according to their performance, in</i>		<i>3. Assistance shall aim to ensure progress in all beneficiaries and shall be differentiated in scope and intensity according to <u>needs and performance of the</u></i>	<i>Provisionally agreed at the VTC technical meeting on 12/5/2020, confirmed at the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		<i>particular commitment to and progress in implementing reforms, as well as their needs.</i>		<i><u>beneficiaries listed in Annex I</u>, in particular their commitment to and progress in implementing reforms, <u>as well as their needs</u>.</i>	<i>trilogue on 12/6/2020.</i>
174		<i>4. In assessing the performance of the beneficiaries listed in Annex I and deciding on the assistance to be provided, particular attention shall be paid to the efforts made in the field of the rule of law and fundamental rights, democratic institutions and public administration reform as well as economic development and competitiveness.</i>		<i>4. In assessing the performance of the beneficiaries listed in Annex I and deciding on the assistance to be provided, particular attention shall be paid to the efforts made in the field of the rule of law and fundamental rights, democratic institutions and public administration reform as well as economic development and competitiveness.</i>	<i>Provisionally agreed at the technical meeting on 6/2/2020, confirmed at the trilogue on 12/6/2020</i>
175		<i>5. In case of significant regression or persistent lack of progress by a beneficiary listed in Annex I in the areas referred to in paragraph 4 as measured by the indicators referred to in Article 7(2b), the scope</i>		<i>5. In case of significant regression or persistent lack of progress by a beneficiary listed in Annex I in the areas referred to in paragraph 4 as measured by the indicators referred to in Article 7(2b), the scope and intensity of assistance</i>	<i>Agreed at the trilogue on 2/6/2021</i> <i>Possible draft statement by COM:</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		<i>and intensity of assistance shall be modulated accordingly, in line with paragraph 1, including by reducing proportionally and redirecting the funds, in ways that should avoid compromising support for improving fundamental rights, democracy and the rule of law, including support to civil society and, where appropriate, cooperation with local authorities. Where progress has resumed, the assistance shall also be modulated accordingly in line with paragraph 1 to further support those efforts.</i>		<i>shall be modulated accordingly, in line with paragraph 1, including by reducing proportionally and redirecting the funds, in ways that should avoid compromising support for improving fundamental rights, democracy and the rule of law, including support to civil society and, where appropriate, cooperation with local authorities. Where progress has resumed, the assistance shall also be modulated accordingly in line with paragraph 1 to further support those efforts.</i>	" The European Commission considers that the provision in Article [7a, paragraph 5] respects the powers of the Commission in the implementation of Union programmes, and the Union budget in general as long as it is without prejudice to the prerogatives and powers entrusted to the Commission by the Treaties and the Financial Regulation to suspend Union assistance provided to third countries."

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
176			<i>Article 7 a</i>	---	
177			<i>Mid-term review and evaluation</i>	---	<i>Provisionally agreed at technical meeting of 09/03/2020 that reporting obligations should be covered in lines 312 and following – through cross reference to Articles 31 and 32 of NDICI; , confirmed at the trilogue on 12/6/2020</i>
178			<i>1. The Commission shall adopt a new IPA programming framework based on the mid-term evaluation. No later than 30 June 2024, the Commission shall submit a mid-term evaluation report on the application of this Regulation. The mid-term evaluation report shall cover the period from 1 January 2021 to 31 December 2023</i>	---	<i>See line 177</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>and shall examine the Union contribution to the achievement of the objectives of this Regulation, by means of indicators measuring the results delivered and any findings and conclusions concerning the impact of this Regulation.</i>		
179			<i>The European Parliament may provide input to that evaluation. The Commission and the EEAS shall organise a consultation with key stakeholders and beneficiaries, including civil society organisations. The Commission and EEAS shall give particular attention to ensure that the most marginalised are represented.</i>	---	<i>See line 177</i>
180			<i>The Commission shall also evaluate the impact and effectiveness of its actions per area of intervention, and the effectiveness of programming, by means of external evaluations. The Commission and the EEAS</i>	---	<i>See line 177</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>shall take into account proposals and views of the European Parliament and the Council on independent external evaluations. The interim evaluation shall assess how the Union performed on targets established by this Regulation.</i>		
181			<i>2. The mid-term evaluation report shall also address efficiency, the added value, the functioning of the simplified and streamlined external financing architecture, internal and external coherence, and the continued relevance of the objectives of this Regulation, the complementarity and synergies between the actions funded, the contribution of the measures to consistent Union external action, and the degree to which the public in recipient countries are aware of Union financial support, where appropriate.</i>	---	<i>See line 177</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
182			<i>3. The mid-term evaluation report shall be undertaken for the specific purpose of improving the application of Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented under this Regulation.</i>	---	<i>See line 177</i>
183			<i>4. The mid-term evaluation report shall also contain consolidated information from relevant annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds offering a breakdown of spending by beneficiary country, use of financial instruments, commitments and payments.</i>	---	<i>See line 177</i>
184			<i>5. The Commission shall communicate the conclusions of the evaluations, accompanied by its observations, to the European Parliament, to the Council and to Member</i>	---	<i>See line 177</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>States. The results shall feed into programme design and resource allocation.</i>		
185			<i>6. The Commission shall associate all relevant stakeholders, including civil society organisations, in the evaluation process of the Union's funding provided under this Regulation, and may, where appropriate, seek to undertake joint evaluations with the Member States with close involvement of the beneficiaries.</i>	---	<i>See line 177</i>
186			<i>7. The Commission shall submit the mid-term evaluation report referred to in this Article to the European Parliament and to the Council, accompanied, if appropriate, by legislative proposals setting out necessary amendments to this Regulation.</i>	---	<i>See line 177</i>
187			<i>8. At the end of the period of application of this Regulation, but no later than three years after the end of the period specified in</i>	---	<i>See line 177</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>Article 1, the Commission shall carry out a final evaluation of the Regulation on the same terms as the mid-term evaluation referred to in this Article. [Am. 124]</i>		
188			<i>Article 7 b</i>	-----	Agreed at the trilogue on 2/6/2021 - no text
189			<i>Suspension of assistance</i>	-----	Agreed at the trilogue on 2/6/2021 - no text
190			<i>1. Where a beneficiary fails to respect the principle of democracy, the rule of law, good governance, respect for human rights and fundamental freedoms, or nuclear safety standards, or violates the commitments taken in the relevant agreements concluded with the Union or consistently backslides on one or more of the Copenhagen criteria, the Commission shall be empowered, in accordance with Article 14, to adopt delegated acts to amend Annex I to this Regulation in</i>	-----	Agreed at the trilogue on 2/6/2021 - no text

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>order to suspend or partially suspend Union assistance. In the event of a partial suspension, the programmes for which the suspension applies shall be indicated.</i>		
191			<i>2. Where the Commission finds that the reasons justifying the suspension of assistance no longer apply, it shall be empowered to adopt delegated acts, in accordance with Article 14, to amend Annex I in order to reinstate Union assistance.</i>	-----	Agreed at the trilogue on 2/6/2021 - no text
192			<i>3. In cases of partial suspension, Union assistance shall primarily be used to support civil society organisations and non-state actors for measures aimed at promoting human rights and fundamental freedoms and supporting democratisation and dialogue processes in partner countries.</i>	-----	Agreed at the trilogue on 2/6/2021 - no text
193			<i>4. The Commission shall take due account of relevant European Parliament</i>	-----	Agreed at the trilogue on 2/6/2021 - no text

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>resolutions in its decision-making. [Am. 125]</i>		
194			<i>Article 7 c</i>	-----	Agreed at the trilogue on 2/6/2021 - no text
195			<i>Governance</i>	-----	Agreed at the trilogue on 2/6/2021 - no text
196			<i>A horizontal steering group composed of all relevant Commission and EEAS services and chaired by the VP/HR or a representative of that office shall be responsible for the steering, coordination and management of this instrument throughout the management cycle in order to ensure consistency, efficiency, transparency and accountability of all Union external financing. The VP/HR shall ensure overall political coordination of the Union's external action. Throughout the whole cycle of programming, planning and application of the instrument, the VP/HR and</i>	-----	Agreed at the trilogue on 2/6/2021 - no text

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>the EEAS shall work with the relevant members and services of the Commission, identified on the basis of the nature and objectives of the action foreseen, building upon their expertise. The VP/HR, the EEAS and the Commission shall prepare all proposals for decisions in accordance with the Commission's procedures and shall submit them for adoption.</i>		
197			<i>The European Parliament shall be fully involved in the design, programming, monitoring and evaluation phases of the external financing instruments in order to guarantee political control and democratic scrutiny and accountability of Union funding in the field of external action. [Am. 126]</i>	-----	Agreed at the trilogue on 2/6/2021 - no text

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
198	Article 8			Article 8	
199	Implementing measures and methods		Implementing Executing measures and methods [Am. 62]	Implementing measures and methods	Agreed at the trilogue on 2/6/2021
200	1. Assistance under IPA III shall be implemented in direct management or in indirect management in accordance with the Financial Regulation through annual or multi-annual action plans and measures as referred to in Chapter III of Title II of [NDICI Regulation]. Chapter III of Title II of [NDICI Regulation] shall apply to this Regulation with the exception of paragraph 1 of Article 24 [eligible persons and entities].	1. Assistance under IPA III shall be implemented in direct management or in indirect management in accordance with the Financial Regulation through annual or multi-annual action plans and measures as referred to in Chapter III of Title II of [NDICI Regulation]. Action plans and measures shall be adopted by means of implementing acts adopted in accordance with the examination procedure referred to in Article 16. Chapter III of Title II of [NDICI Regulation] shall apply to this Regulation with the exception of paragraph 1 of Article 24 [eligible persons and entities].	1. Assistance under IPA III shall be implemented executed in direct management or in indirect management in accordance with the Financial Regulation through annual or multi-annual action plans and measures as referred to in Chapter III a of Title II of [NDICI Regulation]. Chapter III of Title II of [NDICI Regulation] shall apply to this Regulation with the exception of paragraph 1 of Article 24 [eligible persons and entities]. [Am. 63]	1. Assistance under IPA III shall be implemented in direct management or in indirect management in accordance with the Financial Regulation through annual or multi-annual action plans and measures as referred to in Chapter III of Title II of [NDICI Regulation]. Action plans and measures shall be adopted by means of implementing acts adopted in accordance with the examination procedure referred to in Article 16. Chapter III of Title II of [NDICI Regulation] shall apply to this Regulation with the exception of paragraph 1 of Article 24 [eligible persons and entities].	<i>Provisionally agreed at the VTC technical meeting on 9/6/2020, confirmed at the trilogue on 12/6/2020:</i> <i>cross-reference to Chapter III reinstated. Subsequently, lines 208-293 (Chapter IIIa) are deleted. At the same time, text in lines 201, 205 reinforced with elements that needed highlighting in IPA.</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
201			<i>1a. Indirect management may be reversed if the beneficiary is unable or unwilling to administer the awarded funds in accordance with the established rules, principles and objectives under this Regulation. In the event of a beneficiary's failure to observe the <u>principles of democracy, the rule of law and respect for human rights and fundamental freedoms</u> or in the event of violation of <u>the commitments</u> taken in the relevant agreements concluded with the Union, the Commission may, in specific policy areas or programmes, revert from indirect management with that beneficiary to indirect management by one or more entrusted entities other than a beneficiary or to direct management. [Am. 64]</i>	<i>1a. The transition from direct management by the Commission to indirect management by the beneficiaries listed in Annex I <u>shall be progressive and in line with the respective capacities of those beneficiaries as well as with due regard to principles of good governance.</u> The Commission shall take appropriate supervisory measures ensuring the protection of the financial interests of the Union, as appropriate. The Commission may also reverse this transition, in the event where a beneficiary listed in Annex I fails to fulfil relevant obligations, principles, objectives and the rules established in the Financial Regulation.</i>	<i>New compromise proposal at the VTC technical meeting on 9/6/2020, confirmed at the trilogue on 12/6/2020</i>
202			<i>1b. The Commission shall hold a dialogue with the European Parliament, and take into account the</i>	<i>1b. The European Parliament may hold regular exchanges of views with the Commission</i>	<i>Agreed at the trilogue on 2/6/2021</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>European Parliament's views on areas in which the latter is running its own assistance programmes, such as capacity-building and election observation.</i> [Am. 65]	<i>regarding its own assistance programmes, on matters such as capacity-building, including related mediation and dialogue, and election observation.</i>	
203	2. Under this Regulation, action plans may be adopted for a period of up to seven years.			2. Under this Regulation, action plans may be adopted for a period of up to seven years.	
204			<i>2a. The Commission shall keep the European Parliament fully involved on issues related to the planning and implementation of measures pursuant to this Article, including any envisaged substantial changes or allocations.</i> [Am. 66]	-----	<i>Agreed at the trilogue on 2/6/2021 - no text</i>
205			<i>2b. Disbursement of the general or sector budget support shall be conditional upon satisfactory progress being made towards achieving the objectives agreed with a beneficiary.</i>	<i><u>2b. Budget support shall be based on mutual accountability and shared commitment to democracy, human rights and the rule of law and shall be provided in accordance with Article 236 of the Financial Regulation and</u></i>	<i>Provisionally agreed at the VTC technical meeting on 12/5/2020 with additions agreed at the VTC technical meeting on 9/6/2020, confirmed at the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
				<u>Article 23 of the [NDICI regulation]. Actions under this Regulation shall support the development of parliamentary control, audit capacities and increased transparency and public access to information.</u>	trilogue on 12/6/2020.
206			<i>The Commission shall apply the budget support conditionality criteria set out in the Article 23(4) of Regulation (EU) .../... [NDICI Regulation]. It shall take steps to reduce or suspend Union funding through budget support in cases of systemic irregularities in the management and control systems or unsatisfactory progress being made in achieving the objectives agreed with the beneficiary.</i>	-----	Agreed at the trilogue on 2/6/2021 - no text
207			<i>The reintroduction of assistance by the Commission following the suspension referred to in this Article shall be accompanied</i>	-----	Agreed at the trilogue on 2/6/2021 - no text

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach of 19.03.2019, doc. 7539/19 + completed mandate of 28.10.2020, doc. 12373/20)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>by a targeted assistance to national audit authorities.</i> [Am. 67]		
208					
209			<i>Chapter IIIa</i>	-----	<i>Provisionally agreed at the VTC technical meeting on 9/6/2020 to delete lines 208- 293 (Chapter IIIa) in line with the provisional compromise on line 200, confirmed at the trilogue on 12/6/2020</i>
210			<i>Execution</i> [Am. 68]	-----	
211- 293				-----	

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
294	Article 9			Article 9	<i>Provisionally agreed at the technical meeting</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
					<i>on 29/10/2020, confirmed at the trilogue on 04/12/2020</i>
295	Cross border cooperation			Cross border cooperation	<i>Provisionally agreed at the technical meeting on 29/10/2020, confirmed at the trilogue on 04/12/2020</i>
296	1. Up to 3 % of the financial envelope shall be indicatively allocated to cross-border cooperation programmes between the beneficiaries listed in Annex I and the Member States, in line with their needs and priorities.			1. Up to 3 % of the financial envelope shall be indicatively allocated to cross-border cooperation programmes between the beneficiaries listed in Annex I and the Member States, in line with their needs and priorities.	<i>Provisionally agreed at the technical meeting on 29/10/2020, confirmed at the trilogue on 04/12/2020</i>
297	2. The Union co-financing rate at the level of each priority shall not be higher than 85 % of the eligible expenditure of a cross-border cooperation programme. For technical assistance the Union co-financing rate shall be 100%.	<i>Completed mandate: same as COM proposal</i>		2. The Union co-financing rate at the level of each priority shall not be higher than 85 % of the eligible expenditure of a cross-border cooperation programme.	<i>Discussed at the technical meeting on 29/10/2020, confirmed at the trilogue on 04/12/2020.</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
					<i>Subject to final outcome of Interreg talks</i>
298	3. The level of pre-financing for cross-border cooperation with member states shall be determined in the work programme, in accordance with needs of the beneficiaries listed in Annex I and may exceed the percentage referred to in Article 49 of ETC Regulation.	3. The level of pre-financing for cross-border cooperation with member states shall <i>amount to 50 % of the first three budgetary commitments to the programme</i> be determined in the work programme, in accordance with needs of the beneficiaries listed in Annex I and may exceed the percentage referred to in Article 49 of ETC Regulation.		3. The level of pre-financing for cross-border cooperation with member states be determined in the work programme, in accordance with needs of the beneficiaries listed in Annex I and may exceed the <i>percentage referred to in Article 49 of ETC Regulation and shall amount to 50 % of the first three budgetary commitments to the programme.</i>	<i>Discussed at the technical meeting on 29/10/2020, confirmed at the trilogue on 04/12/2020.</i> <i>Subject to final outcome of Interreg talks</i>
299	4. Where cross border cooperation programmes are discontinued in accordance with Article 12 of [ETC Regulation], support from this Regulation to the discontinued programme that remains available may be used to finance any other actions eligible under this Regulation.		4. Where cross border cooperation programmes are discontinued in accordance with Article 12 of [ETC Regulation], support from this Regulation to the discontinued programme that remains available may be used to finance any other	4. Where cross border cooperation programmes are discontinued in accordance with Article 12 of [ETC Regulation], support from this Regulation to the discontinued programme that remains available may be used to finance any other	<i>Provisionally agreed at the technical meeting on 9/3/2020, confirmed at the trilogue on 12/6/2020</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			actions eligible under this Regulation. <i>In such a case, if there are no eligible actions to be financed in the current year, appropriations may be carried over to the following year.</i> [Am. 75]	actions eligible under this Regulation.	
300	CHAPTER IV			CHAPTER IV	<i>Provisionally agreed at the technical meeting on 21/2/2020, confirmed at the trilogue on 12/6/2020</i>
301	ELIGIBILITY AND OTHER SPECIFIC PROVISIONS			ELIGIBILITY AND OTHER SPECIFIC PROVISIONS	<i>Provisionally agreed at the technical meeting on 21/2/2020, confirmed at the trilogue on 12/6/2020</i>
302	Article 10			Article 10	<i>Provisionally agreed at the technical meeting on 21/2/2020, confirmed at the trilogue on 12/6/2020</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
303	Eligibility for funding under IPA III			Eligibility for funding under IPA III	<i>Provisionally agreed at the technical meeting on 21/2/2020, confirmed at the trilogue on 12/6/2020</i>
304	1. Tenderers, applicants and candidates from the following countries shall be eligible for funding under IPA III:	1. Tenderers, applicants and candidates from the following countries shall be eligible for funding under IPA III <i>Participation in procurement, grant and prize award procedures for actions financed under this Regulation shall be open to international and regional organisations and to all other natural persons, who are nationals of, and legal persons, which are effectively established in:</i>		1. Tenderers, applicants and candidates from the following countries shall be eligible for funding under IPA III <i>Participation in procurement, grant and prize award procedures for actions financed under this Regulation shall be open to international and regional organisations and to all other natural persons, who are nationals of, and legal persons, which are effectively established in:</i>	<i>Provisionally agreed at the technical meeting on 21/2/2020, confirmed at the trilogue on 12/6/2020.</i>
305	(a) Member States, beneficiaries listed in Annex I to this Regulation, contracting parties to the Agreement on the European Economic Area and countries			(a) Member States, beneficiaries listed in Annex I to this Regulation, contracting parties to the Agreement on the European	<i>Provisionally agreed at the technical meeting on 21/2/2020, confirmed at the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
	covered by the Annex I of the [NDICI Regulation], and			Economic Area and countries covered by the Annex I of the [NDICI Regulation], and	<i>trilogue on 12/6/2020</i>
306	(b) countries for which reciprocal access to external assistance is established by the Commission. Reciprocal access may be granted, for a limited period of at least one year, whenever a country grants eligibility on equal terms to entities from the Union and from countries eligible under this Regulation. The Commission shall decide on the reciprocal access after consultation of the recipient country or countries concerned.			(b) countries for which reciprocal access to external assistance is established by the Commission. Reciprocal access may be granted, for a limited period of at least one year, whenever a country grants eligibility on equal terms to entities from the Union and from countries eligible under this Regulation. The Commission shall decide on the reciprocal access after consultation of the recipient country or countries concerned.	<i>Provisionally agreed at the technical meeting on 21/2/2020, confirmed at the trilogue on 12/6/2020</i>
307	CHAPTER V	<i>Completed mandate: Same as COM text</i>		CHAPTER V	<i>Provisionally agreed at the technical meeting on 13/11/2020</i>
308	EFSD+ AND BUDGETARY GUARANTEES	<i>Same as COM text</i>		EFSD+ AND BUDGETARY GUARANTEES	<i>Provisionally agreed at the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
					<i>technical meeting on 13/11/2020</i>
309	Article 11	<i>Same as COM text</i>		Article 11	<i>Provisionally agreed at the technical meeting on 13/11/2020</i>
310	Financial instruments and guarantee for external actions	<i>Same as COM text</i>		Financial instruments and guarantee for external actions	<i>Provisionally agreed at the technical meeting on 13/11/2020</i>
311	1. The beneficiaries listed in Annex I shall be eligible to the European Fund for Sustainable Development Plus (EFSD+) and to External Action Guarantee as provided for in Chapter IV of Title II of [NDICI Regulation]. To this end IPA III shall contribute to provisioning related to the guarantee for external actions referred to in Article 26 of [NDICI Regulation] proportionally to the investments carried out to the benefit of the beneficiaries listed in Annex I.	1. The beneficiaries listed in Annex I shall be eligible to the European Fund for Sustainable Development Plus (EFSD+) and to External Action Guarantee as provided for in Chapter IV of Title II of [NDICI Regulation] <i>mutatis mutandis</i> .		1. <i>In accordance with Article 26(6) of NDICI Regulation</i> (EU) .../... [NDICI Regulation], the beneficiaries in Annex 1 shall be eligible for support through the European Fund for Sustainable Development Plus (EFSD+) and the External Action Guarantee <i>(EAG). EFSD+ and EAG operations shall be financed from this Regulation</i> as provided for in Chapter IV of Title II of Regulation (EU) .../... [NDICI Regulation] <i>mutatis mutandis, subject to the special provisions of this</i>	<i>Provisionally agreed at the technical meeting on 26/11/2020.</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
				article. To this end IPA III shall contribute to provisioning related to the guarantee for external actions referred to in Article 26 of [NDICI Regulation] proportionally to the investments carried out to the benefit of the beneficiaries listed in Annex I.	
311 a		<i>With regard to the External Action Guarantee,</i> To this end IPA III shall contribute to provisioning related to the guarantee for external actions referred to in Article 26 of [NDICI Regulation] proportionally to the investments carried out to the benefit of the beneficiaries listed in Annex I.		-----	<i>Provisionally agreed at the technical meeting on 26/11/2020: No text; covered by previous amendment</i>
311 b		<i>2. In the management of the EFSD+ operations for the Western Balkans, the Commission shall be advised by a specific strategic board.</i>		<u>2. In the management of the EFSD+ operations for the Western Balkans, the Commission shall be advised by a specific strategic board.</u>	<i>Provisionally agreed at the technical meeting on 26/11/2020.</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
311 c		<i>3. This specific strategic board shall advise the Commission on the strategic orientation of investments for the Western Balkans, in accordance with the policy framework and objectives defined in this Regulation.</i>		<i><u>3. This strategic board shall advise the Commission on the strategic orientation of investments for the Western Balkans under EFSD+, and contribute to their alignment with the guiding principles, policy framework and objectives set out in this Regulation.</u></i> <i><u>It shall also support the Commission in setting overall investment goals for the Western Balkans as regards the use of the External Action Guarantee to support EFSD+ operations and monitor an appropriate and diversified thematic coverage for investment windows.</u></i>	<i>Provisionally agreed at the technical meeting on 26/11/2020.</i>
311 d		<i>In addition to the current representatives in the Western Balkans Investment Framework, this strategic board shall include all Member States. Participation in the strategic board may be</i>		<i><u>3. The strategic board shall include representatives of the Commission, of all Member States and the European Investment Bank.</u></i> <i><u>The European Parliament shall have observer status.</u></i>	<i>Provisionally agreed at the technical meeting on 14/12/2020.</i> <i>Not acceptable for the Commission, but</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		<i>open to other relevant stakeholders. The strategic board shall decide on the inclusion of any new stakeholder or observer to the strategic board. The strategic board shall be chaired by the Commission, and shall, to the extent possible, adopt opinions by consensus. Participation in the meetings of the strategic board shall be voluntary. Before its first meeting, the Commission shall propose the rules of procedure for the adoption by the strategic board, including rules on participation and on the designation of co-chairs.</i>		<u><i>Participation in the strategic board may be open to other relevant stakeholders. The strategic board shall decide on the inclusion of any new member or observer.</i></u> <u><i>Without prejudice to specific arrangements on co-chairing, the strategic board shall be chaired by the Commission, and shall, to the extent possible, adopt opinions by consensus.</i></u> <u><i>Participation in the meetings of the strategic board shall be voluntary.</i></u> <u><i>Before its first meeting, the Commission shall propose the rules of procedure for the adoption by the strategic board, including rules on participation of representatives in the Western Balkans Investment Framework, role of observers and on the designation of co-chairs.</i></u>	<i>since co-legislators agreed on the text in December, the Commission may issue a unilateral statement on the role of the strategic boards.</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
				<i><u>The minutes and agendas of the meetings of the strategic board shall, following their adoption, be made public</u></i>	
311 e				<i>4. The Commission shall report annually to the strategic board about the progress made in respect of the implementation of the operations covering the Western Balkans.</i>	<i>Provisionally agreed at the technical meeting on 26/11/2020.</i>
312	CHAPTER VI			CHAPTER VI	<i>Provisionally agreed at the technical meeting on 21/2/2020, confirmed at the trilogue on 12/6/2020</i>
313	MONITORING AND EVALUATION		MONITORING AND, REPORTING, EVALUATION AND COMMUNICATION [Am. 76]	MONITORING AND, REPORTING AND EVALUATION	<i>Provisionally agreed at the technical meeting on 21/2/2020, confirmed at the trilogue on 12/6/2020</i>
314	Article 12			Article 12	<i>Provisionally agreed at the technical meeting</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
					<i>on 21/2/2020, confirmed at the trilogue on 12/6/2020</i>
315	Monitoring, audit, evaluation and protection of the Union's financial interests			<i>Monitoring, audit, evaluation and protection of the Union's financial interests</i>	<i>Provisionally agreed at the technical meeting on 21/2/2020, confirmed at the trilogue on 12/6/2020</i>
316	1. Chapter V of Title II of [NDICI Regulation] in relation to monitoring, reporting and evaluation shall apply to this Regulation.	1. Chapter V of Title II of [Article 31 of NDICI Regulation] in relation to monitoring, and reporting and evaluation shall apply to this Regulation. The annual report referred to in [Article 31(5) of NDICI Regulation] shall also contain information on commitments and payments per instrument (IPA, IPA II and IPA III).		1. Chapter V of Title II of [Article 31 of NDICI Regulation] in relation to monitoring, and reporting and evaluation shall apply to this Regulation <u>mutatis mutandis</u>. The annual report referred to in [Article 31(5) of NDICI Regulation] shall also contain information on commitments and payments per instrument (IPA, IPA II and IPA III).	<i>Provisionally agreed at the technical meeting on 21/2/2020 and on 9/3/2020 (inclusion of "mutatis mutandis"), confirmed at the trilogue on 12/6/2020</i> NDICI-related, harmonised reporting obligations
317	2. Indicators to monitor implementation and progress of the	2. Indicators to monitor implementation and	2. Indicators to monitor implementation execution	2. Indicators to monitor implementation and progress	<i>Provisionally agreed at the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
	IPA III towards the achievement of the specific objectives set out in Article 3 are set in Annex IV to this Regulation.	progress of the IPA III towards the achievement of the specific objectives set out in Article 3 are set in Annex IV to this Regulation.	and progress of the IPA III towards the achievement of the specific objectives set out in Article 3 are set in Annex IV to this Regulation. [Am. 77]	of the IPA III towards the achievement of the specific objectives set out in Article 3 are set in Annex IV to this Regulation.	<i>technical meeting on 21/2/2020, confirmed at the trilogue on 12/6/2020</i>
318	3. For cross-border cooperation with Member States, the indicators shall be those referred in Article 33 of [ETC Regulation].			3. For cross-border cooperation with Member States, the indicators shall be those referred in Article 33 of [ETC Regulation].	<i>Provisionally agreed at the technical meeting on 21/2/2020, confirmed at the trilogue on 12/6/2020</i>
319	4. In addition to the indicators referred to in Annex IV, the enlargement reports shall be taken into account in the results framework of IPA III assistance.		4. In addition to the indicators referred to in Annex IV, the enlargement reports and the Commission's assessments of the Economic Reform Programmes shall be taken into account in the results framework of IPA III assistance. [Am. 78]	4. In addition to the indicators referred to in Annex IV, the enlargement reports and the Commission's assessments of the Economic Reform Programmes shall be taken into account in the results framework of IPA III assistance.	<i>Provisionally agreed at the technical meeting on 21/2/2020, confirmed at the trilogue on 12/6/2020</i>
320			4 a. The Commission shall submit and present the interim and final evaluation reports referred to in Article 32 of Regulation (EU) .../... [NDICI Regulation] to the	----	<i>Provisionally agreed at the technical meeting on 9/3/2020, confirmed at the trilogue on</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>European Parliament and the Council. Those reports shall be made public by the Commission. [Am. 79]</i>		<i>12/6/2020 that no text would be inserted here, as covered in line 329.</i>
321		<i>4a. The Commission shall annually report to the committee referred to in Article 16 on the financial implementation of this Regulation. This report shall include:</i>		-----	<i>Provisionally agreed at the VTC technical meeting on 9/6/2020, confirmed at the trilogue on 12/6/2020 to replace lines 321-327 with a provisional compromise in line 325.</i>
322		<i>(a) committed amounts;</i>		-----	<i>See lines 321 and 325</i>
323		<i>(b) contracted amounts;</i>		-----	<i>See lines 321 and 325</i>
324		<i>(c) amounts paid;</i>		-----	<i>See lines 321</i>
325		<i>(d) allocation of commitments per specific objectives referred to in Article 3;</i>		<i><u>4a. In addition to the elements referred to paragraphs 5 and 6 of Article 31 [NDICI]</u></i>	<i>Provisionally agreed at the VTC technical meeting on</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
				<u>regulation], the annual report shall contain information on the commitments per specific objective referred to in Article 3 of this Regulation.</u>	9/6/2020, confirmed at the trilogue on 12/6/2020
326		<i>(e) contracted amounts per type of beneficiary (for example, Member States' agencies, international organisations, civil society organisations);</i>		----	See lines 321 and 325
327		<i>(f) contracted amounts per budget implementation instrument (for example, grants, blending, budget support).</i>		-----	See lines 321 and 325
328		<i>4b. Information on assistance volume and allocation contained in the annual report referred to in Article 12 (1) will be available in web-based databases.</i>		-----	Provisionally agreed at the VTC technical meeting on 9/6/2020, confirmed at the trilogue on 12/6/2020

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
					<i>(delete this line in light of lines 138 and 368b).</i>
329		<i>4c. [Article 32 of NDICI Regulation] in relation to the interim and the final evaluation shall apply mutatis mutandis.</i>		<i>4c. [Article 32 of NDICI Regulation] in relation to the interim and the final evaluation shall apply mutatis mutandis.</i>	<i>Provisionally agreed at the technical meeting on 9/3/2020, confirmed at the trilogue on 12/6/2020</i>
330	5. In addition to Article 129 of the Financial Regulation on the protection of the financial interests of the Union, under indirect management, beneficiaries listed in Annex I shall report the irregularities including fraud which have been the subject of a primary administrative or judicial finding, without delay, to the Commission and keep the latter informed of the progress of administrative and legal proceeding. Reporting shall be done by electronic means, using the Irregularity Management System, established by the Commission.		5. In addition to Article 129 of the Financial Regulation on the protection of the financial interests of the Union, under indirect management, beneficiaries listed in Annex I shall report the irregularities including fraud which have been the subject of a primary administrative or judicial finding, without delay, to the Commission and keep the latter informed of the progress of administrative and legal proceeding. Reporting shall be done by electronic means, using the Irregularity Management	5. In addition to Article 129 of the Financial Regulation on the protection of the financial interests of the Union, under indirect management, beneficiaries listed in Annex I shall report the irregularities including fraud which have been the subject of a primary administrative or judicial finding, without delay, to the Commission and keep the latter informed of the progress of administrative and legal proceeding. Reporting shall be done by electronic means, using the Irregularity Management	<i>VTC technical meetings on 12/5/2020, on 29/5/2020 and on 9/6/2020: text of the paragraph provisionally agreed, confirmed at the trilogue on 12/6/2020.</i> <i>Concerns on parliamentary control and audit addressed in line 205. Depolitisation to be addressed in</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			System, established by the Commission. <i>The Commission shall support the development in the beneficiaries of parliamentary control and audit capacities and increased transparency and public access to information. The Commission, the VP/HR and in particular Union delegations in the beneficiaries shall ensure that all funding allocations under indirect management are carried out in a transparent, depoliticised and non-partial manner, including by equitable distribution, reflecting the needs of the regions and local municipalities.</i> [Am. 80]	System, established by the Commission.	<i>the recitals – new recital (31a).</i>
331	CHAPTER VII			CHAPTER VII	<i>Agreed at the trilogue on 2/6/2021</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
332	FINAL PROVISIONS			FINAL PROVISIONS	<i>Agreed at the trilogue on 2/6/2021</i>
333	Article 13	Article 13		Article 13	<i>Agreed at the trilogue on 2/6/2021</i>
334	Delegation of power	Delegation of power		Delegation of power	<i>Agreed at the trilogue on 2/6/2021</i>
335	The Commission shall be empowered to adopt delegated acts in accordance with Article 14 to amend Annexes II, III and IV to this Regulation.	The Commission shall be empowered to adopt delegated acts in accordance with Article 14 to amend Annexes II, III and IV to this Regulation.		The Commission shall be empowered to adopt delegated acts in accordance with Article 14 to amend Annexes II, III and IV to this Regulation as well as to adopt a delegated act to supplement this Regulation setting out certain specific objectives and thematic priorities for assistance as referred to in Article 3 paragraph 6 of this Regulation	<i>Agreed at the trilogue on 2/6/2021</i>
336	Article 14	Article 14		Article 14	
337	Exercise of the delegation	Exercise of the delegation		Exercise of the delegation	

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
338	1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.	1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.		1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.	<i>Agreed at the trilogue on 2/6/2021</i>
339	2. The power to adopt delegated acts as referred to in Article 13 shall be conferred on the Commission.	2. The power to adopt delegated acts as referred to in Article 13 shall be conferred on the Commission.	2. The power to adopt delegated acts as referred to in Article 7(3), Article 7a , Articles 7b (1) and (2) , Article 8c (3) , and Articles 13 and 15 shall be conferred on the Commission. [Am. 128]	2. The power to adopt delegated acts as referred to in Article 13 shall be conferred on the Commission.	<i>Agreed at the trilogue on 2/6/2021</i>
340	3. The delegation of power referred to in Article 13 may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.	3. The delegation of power referred to in Article 13 may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall		3. The delegation of power referred to in Article 13 may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any	<i>Agreed at the trilogue on 2/6/2021</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		not affect the validity of any delegated acts already in force.		delegated acts already in force.	
341	4. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.	4. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.		4. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.	Agreed at the trilogue on 2/6/2021
342	5. A delegated act adopted pursuant to Article 13 shall enter into force only if no objection has been expressed either by the European Parliament or the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council.	5. A delegated act adopted pursuant to Article 13 shall enter into force only if no objection has been expressed either by the European Parliament or the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the		5. A delegated act adopted pursuant to Article 13 shall enter into force only if no objection has been expressed either by the European Parliament or the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council.	Agreed at the trilogue on 2/6/2021

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		European Parliament or of the Council.			
343			<i>Article 14 a</i>	-----	Agreed at the trilogue on 2/6/2021 - no text
344			<i>Democratic Accountability</i>	-----	Agreed at the trilogue on 2/6/2021 - no text
345			<i>1. In order to enhance dialogue between the institutions and services of the Union, in particular the European Parliament, the Commission and the EEAS, foster the overall coherence of all External Financing Instruments, and to ensure greater transparency and accountability, as well as the expediency in the adoption of acts and measures by the Commission, the European Parliament may invite the Commission and the EEAS to appear before it to discuss the strategic orientations and guidelines for the programming under this</i>	-----	Agreed at the trilogue on 2/6/2021 - no text

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>Regulation. That dialogue may take place prior to the adoption of delegated acts and of the draft annual budget by the Commission or, at the request of the European Parliament, the Commission or the EEAS, on an ad hoc basis in view of major political developments.</i>		
346			<i>2. Where a dialogue referred to in paragraph 1 is due to take place, the Commission and the EEAS shall present to the European Parliament all relevant documents in relation to that dialogue. Where the dialogue is related to the annual budget, consolidated information on all action plans and measures adopted or planned in accordance with Article 8 c , information on cooperation per country, region and thematic area, and the use of rapid response actions and the External</i>	-----	<i>Agreed at the trilogue on 2/6/2021 - no text</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>Action Guarantee shall be provided.</i>		
347			<i>3. The Commission and the EEAS shall take the utmost account of the position expressed by the European Parliament. In the event that the Commission or the EEAS do not take the European Parliament's positions into account, they shall provide due justification.</i>	-----	Agreed at the trilogue on 2/6/2021 - no text
348			<i>4. The Commission and the EEAS, in particular through the steering group pursuant to Article 7 c, shall be responsible for keeping the European Parliament informed about the state of this Regulation's application, in particular about ongoing measures, actions and results. [Am. 82]</i>	-----	Agreed at the trilogue on 2/6/2021 - no text
349	Article 15			Article 15	Agreed at the trilogue on 2/6/2021

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
350	Adoption of further implementing rules		Adoption of further implementing rules [Am. 83]	Adoption of further implementing rules	Agreed at the trilogue on 2/6/2021
351	1. Specific rules establishing uniform conditions for implementing this Regulation in particular in relation to the structures to be set up in preparation for accession and to rural development assistance, shall be adopted in accordance with the examination procedure referred to in Article 16.		1. Specific rules establishing uniform conditions for implementing this Regulation in particular in relation to the structures to be set up in preparation for accession and to rural development assistance, shall be adopted in accordance with the examination procedure referred to in Article 16 by means of delegated acts. [Am. 84]	1. Specific rules establishing uniform conditions for implementing this Regulation in particular in relation to the structures to be set up in preparation for accession and to rural development assistance, shall be adopted in accordance with the examination procedure referred to in Article 16.	Agreed at the trilogue on 2/6/2021
352	2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No. 182/2011 shall apply.	2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No. 182/2011 shall apply.	2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No. 182/2011 shall apply. <i>The Commission shall adopt action plans and measures by decision in accordance with the Financial Regulation.</i> [Am. 85]	----	Agreed at the trilogue on 2/6/2021 (no text, as moved to 357)
353	Article 16		Article 16	Article 16	Agreed at the trilogue on 2/6/2021

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
354	Committee		Committee	Committee	Agreed at the trilogue on 2/6/2021
355	1. The Commission shall be assisted by a committee (the 'Instrument for Pre-accession Assistance Committee'). That committee shall be a committee within the meaning of [Regulation (EU) No 182/2011].	1. The Commission shall be assisted by a committee for the Instrument for Pre-accession Assistance (the 'Instrument for Pre-accession Assistance IPA III Committee'). That committee shall be a committee within the meaning of [Regulation (EU) No 182/2011].	1. The Commission shall be assisted by a committee (the 'Instrument for Pre-accession Assistance Committee'). That committee shall be a committee within the meaning of [Regulation (EU) No 182/2011].	1. The Commission shall be assisted by a committee for the Instrument for Pre-accession Assistance (the 'Instrument for Pre-accession Assistance IPA III Committee'). That committee shall be a committee within the meaning of [Regulation (EU) No 182/2011].	Agreed at the trilogue on 2/6/2021
356		1a. The IPA III Committee shall assist the Commission by giving strategic guidance to fulfil the objectives referred to in Article 3 in the light of the annual assessment provided by the Commission in accordance with Article 7(2d) and Article 12(4a).		1a. The IPA III Committee shall assist the Commission by giving strategic guidance to fulfil the objectives referred to in Article 3 in the light of the annual assessment provided by the Commission in accordance with Article 7(2d) and Article 12(4a).	Agreed at the trilogue on 2/6/2021
357		1b. Where reference is made to this Article, Article 5 of Regulation		1b. Where reference is made to this Article, Article 5 of	Agreed at the trilogue on 2/6/2021

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		<i>(EU) No. 182/2011 shall apply.</i>		<i>Regulation (EU) No. 182/2011 shall apply.</i>	
358		<i>1c. The rules of procedure of the IPA III Committee shall provide for adequate time limits allowing committee members early, timely and effective opportunities to examine the draft implementing acts and express their views, in accordance with Article 3 of Regulation (EU) No 182/2011.</i>		<i>1c. The rules of procedure of the IPA III Committee shall provide for adequate time limits allowing committee members early, timely and effective opportunities to examine the draft implementing acts and express their views, in accordance with Article 3 of Regulation (EU) No 182/2011.</i>	<i>Agreed at the trilogue on 2/6/2021</i>
359	2. Where the opinion of the committee is to be obtained by a written procedure, the procedure shall be terminated without result when, within the time limit for delivery of the opinion, the chair of the committee so decides or a simple majority of committee members so requests.		2. Where the opinion of the committee is to be obtained by a written procedure, the procedure shall be terminated without result when, within the time limit for delivery of the opinion, the chair of the committee so decides or a simple majority of committee members so requests.	<i>2. Where the opinion of the committee is to be obtained by a written procedure, the procedure shall be terminated without result when, within the time limit for delivery of the opinion, the chair of the committee so decides or a simple majority of committee members so requests.</i>	<i>Agreed at the trilogue on 2/6/2021</i>
360	3. An observer from the EIB shall take part in the Committee's proceedings with regard to questions concerning the EIB.	3. An observer from the EIB shall take part in the IPA III Committee's proceedings with regard to	3. An observer from the EIB shall take part in the Committee's proceedings	<i>3. An observer from the EIB shall take part in the IPA III Committee's proceedings</i>	<i>Agreed at the trilogue on 2/6/2021</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		questions concerning the EIB.	with regard to questions concerning the EIB.	with regard to questions concerning the EIB.	
361	4. The IPA III Committee shall assist the Commission and shall be competent also for legal acts and commitments under Regulation (EC) No 1085/2006, Regulation 231/2014 and the implementation of Article 3 of Regulation (EC) No 389/2006.		4. The IPA III Committee shall assist the Commission and shall be competent also for legal acts and commitments under Regulation (EC) No 1085/2006, Regulation 231/2014 and the implementation of Article 3 of Regulation (EC) No 389/2006.	4. The IPA III Committee shall assist the Commission and shall be competent also for legal acts and commitments under Regulation (EC) No 1085/2006, Regulation 231/2014 and the implementation of Article 3 of Regulation (EC) No 389/2006.	<i>Agreed at the trilogue on 2/6/2021</i>
362	5. The IPA III Committee shall not be competent for the contribution to Erasmus+ as specified in Article 5(3).	5. The IPA III Committee shall not be competent for the contribution to Erasmus+ as specified in Article 5 3a(3).	5. The IPA III Committee shall not be competent for the contribution to Erasmus+ as specified in Article 5(3). [Am. 86]	5. The IPA III Committee shall not be competent for the contribution to Erasmus+ as specified in Article 5(3).	<i>Agreed at the trilogue on 2/6/2021</i>
363	Article 17			Article 17	<i>Provisionally agreed at the technical meeting on 26/11/2020, pending overall agreement</i>
364	Information, communication and publicity		Information, communication, visibility and publicity [Am. 87]	Information, communication and publicity visibility	<i>Provisionally agreed at the technical meeting on 26/11/2020,</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
					<i>pending overall agreement</i>
365	1. Articles 36 and 37 of [Regulation NDICI] shall apply.	1. Articles 36 and 37 of [Regulation NDICI] shall apply.	1. Articles 36 and 37 of [Regulation NDICI] shall apply. <i>When providing financial assistance under this Regulation, the Commission, the VP/HR and in particular the Union delegations in the beneficiaries shall take all necessary measures to ensure the visibility of the Union's financial support, including monitoring recipients' compliance with those requirements. IPA-financed actions shall be subject to the requirements set out in the Communication and Visibility Manual for EU External Actions. The Commission shall adopt guidance for Union-funded projects on visibility and communication actions for each beneficiary. [Am. 88]</i>	1. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding in particular when promoting <i>and reporting on</i> the actions, and their results by <i>highlighting the support received from the Union and its benefits for people in a visible manner on communication material related to the actions supported under this Regulation; and by</i> providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public <i>in a strategic manner.</i> <i>Agreements concluded with the recipients of Union funding shall contain obligations in that respect.</i>	<i>Agreed at the trilogue on 2/6/2021</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
				<i>Agreements concluded with beneficiaries listed in Annex I shall include the principles and objectives for visibility and communication activities, and a clear obligation to actively publicise information about programmes and actions under IPA III.</i> <i>In order to increase the results of communication activities, for CBC programmes between the beneficiaries listed in Annex I specific joint communication activities shall be planned.</i> <i>Actions funded by this Regulation shall be conducted in accordance with communication and visibility requirements in EU-financed external actions and other relevant guidelines.</i>	

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
366		<i>[1^{24c}. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding in particular when promoting the actions and their results by providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public.</i>		-----	Agreed at the trilogue on 2/6/2021 - no text
367		^{24c} (footnote): <i>These provisions are to be aligned with the final text in Articles 36 and 37 of the NDICI Regulation.</i>		-----	Agreed at the trilogue on 2/6/2021 - no text
368		<i>2. The Commission shall implement information and communication actions relating to this Regulation, and its actions and results. Financial resources allocated to this Regulation shall also contribute to the corporate communication</i>		2. The Commission shall implement carry out information and communication actions relating to this Regulation, and its actions and results, <u>in particular at local and regional level, in order to ensure the visibility of the Union's financial assistance.</u> Financial resources allocated	Agreed at the trilogue on 2/6/2021

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		<i>of the political priorities of the Union, as far as those priorities are directly related to the objectives referred to in Article 3.</i>		to this Regulation shall also contribute to the corporate communication of and reporting on the political priorities of the Union, as far as those priorities are directly related to the objectives referred to in Article 3.	
369		<i>3. Security issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities in certain countries or areas or during certain periods. In such cases, the target audience and the visibility tools, products and channels to be used in promoting a given action shall be determined on a case-by-case basis, in consultation and agreement with the Union. Where rapid intervention is required in response to a sudden crisis, it is not necessary</i>		<i>3. Security issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities in certain countries or areas or during certain periods. In such cases, the target audience and the visibility tools, products and channels to be used in promoting a given action shall be determined on a case-by-case basis, in consultation and agreement with the Union. Any such exceptions must be duly justified and their scope must be specified and limited in each case. Where rapid intervention is required in</i>	<i>Agreed at the trilogue on 2/6/2021</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
		<i>to produce a full communication and visibility plan immediately. In such situations, however, the Union's support shall nevertheless be appropriately indicated from the start.]</i>		<i>response to a sudden crisis, it is not necessary to produce a full communication and visibility plan immediately. In such situations, however, the Union's support shall nevertheless be appropriately indicated from the start.</i>	
370			<i>1a. The Commission shall take measures to strengthen strategic communication and public diplomacy for communicating the values of the Union and highlighting the added value of the Union's support. [Am. 89]</i>	<i>2a. This Regulation shall support strategic communication and public diplomacy, including the fight against disinformation, with a view to communicating the values of the Union as well as the added value of, and results achieved by the Union's actions.</i> <i>2b. The Commission shall make publicly available relevant information on all actions financed under this Regulation as referred to in Article 38 of the Financial Regulation, including as appropriate through a</i>	<i>Agreed at the trilogue on 2/6/2021</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
				<i>comprehensive single website.</i>	
371			<i>1b. The recipients of Union funding shall acknowledge the origin of the Union funding and ensure its proper visibility by:</i>	-----	<i>Agreed at the trilogue on 2/6/2021 - no text</i>
372			<i>(a) providing a statement highlighting the support received from the Union in a visible manner on documents and communication material relating to the implementation of the funds, including on an official website, where such a website exists; and</i>	-----	<i>Agreed at the trilogue on 2/6/2021 - no text</i>
373			<i>(b) promoting the actions and their results by providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public.</i>	-----	<i>Agreed at the trilogue on 2/6/2021 - no text</i>
374			<i>The Commission shall implement information and communication actions relating to this Regulation,</i>	-----	<i>Agreed at the trilogue on 2/6/2021 - no text</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
			<i>as well as the actions set out by it and the results achieved. Financial resources allocated to this Regulation shall also contribute to the corporate communication of the political priorities of the Union, insofar as those priorities are directly related to the objectives referred to in Article 3 and in Annexes II and III. [Am. 90]</i>		
375	Article 18			Article 18	<i>Provisionally agreed following the technical meeting on 9/3/2020, confirmed at the trilogue on 12/6/2020</i>
376	<i>Transitional provisions</i>			<i>Transitional provisions</i>	<i>Idem</i>
377	1. This Regulation shall not affect the continuation or modification of the actions concerned, until their closure, under Regulation 231/2014 [IPA II] and Regulation (EC) No 1085/2006 [IPA] which shall			1. This Regulation shall not affect the continuation or modification of the actions concerned, until their closure, under Regulation 231/2014 [IPA II] and Regulation (EC)	<i>Provisionally agreed following the technical meeting on 9/3/2020, confirmed at the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
	continue to apply to the actions concerned until their closure. Chapter III of Title II of [Regulation NDICI], formerly under Regulation 236/2014, shall apply to these actions with the exception of paragraph 1 of Article 24.			No 1085/2006 [IPA] which shall continue to apply to the actions concerned until their closure. Chapter III of Title II of [Regulation NDICI], formerly under Regulation 236/2014, shall apply to these actions with the exception of paragraphs 1 and 3 of Article 24 28.	<i>trilogue on 12/6/2020 + amended following the trilogue on 2/6/2021</i>
378	2. The financial envelope for IPA III may also cover technical and administrative assistance expenses necessary to ensure the transition between IPA III and the measures adopted under its predecessor, IPA II.	2. The financial envelope for IPA III may also cover technical and administrative assistance expenses necessary to ensure the transition between IPA III and the measures adopted under its predecessor, IPA II, <i>as well as any activities related to the preparation of the successor programme for pre-accession assistance.</i>		2. The financial envelope for IPA III may also cover technical and administrative assistance expenses necessary to ensure the transition between IPA III and the measures adopted under its predecessor, IPA II, <i>as well as any activities related to the preparation of the successor programme for pre-accession assistance.</i>	<i>Provisionally agreed following the technical meeting on 9/3/2020, confirmed at the trilogue on 12/6/2020</i>
379	3. If necessary, appropriations may be entered in the budget beyond 2027 to cover the expenses provided for in Article 4(2), to			3. If necessary, appropriations may be entered in the budget beyond 2027 to cover the expenses provided for in Article 4(2), to enable the	<i>Idem</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
	enable the management of actions not completed.			management of actions not completed.	
380	Article 19			Article 19	<i>Idem</i>
381	Entry into force			Entry into force	<i>Idem</i>
382	This Regulation shall enter into force on the [...] [twentieth] day following that of its publication in the Official Journal of the European Union.	<i>Completed mandate:</i> This Regulation shall enter into force on the [...] [twentieth] day following that of its publication in the <i>Official Journal of the European Union</i> .		This Regulation shall enter into force on the [...] [twentieth] day following that of its publication in the Official Journal of the European Union.	<i>At the technical meeting on 12/11/2020 aligned with recital (35)</i>
383	It shall apply from 1 January 2021.	<i>Completed mandate:</i> It shall apply from 1 January 2021.	It shall apply from 1 January 2021 <i>until 31 December 2027. [Am. 91]</i>	It shall apply from 1 January 2021.	<i>Provisionally agreed at the VTC technical meeting on 12/5/2020, confirmed at the trilogue on 12/6/2020 + at the trilogue on 2/6/2021</i>
384	This Regulation shall be binding in its entirety and directly applicable in all Member States.			This Regulation shall be binding in its entirety and directly applicable in all Member States.	<i>Provisionally agreed following the technical meeting on 9/3/2020, confirmed at the</i>

Line	1. COM proposal (14.6.2018, doc. 10184/19)	2. Council position (partial general approach approved on 19.03.2019, doc. 7539/19)	3. EP position (vote on 27.03.2019, doc. 7802/19)	4. Compromise proposals	5. Comments
					<i>trilogue on 12/6/2020</i>
385	Done at Brussels,			Done at Brussels,	<i>Idem</i>
386	For the European Parliament For the Council			For the European Parliament For the Council	<i>Idem</i>
387	The President The President			The President The President	<i>Idem</i>