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COM proposal		EP amendments	Council	Comments/LTT
·				
Article on Thematic Facility AMF 9 BMVI 8 ISF 8 3. When funding from the thematic facility is granted in direct or indirect management to Member States, it shall be ensured that selected projects are not affected by a reasoned opinion by the Commission in respect of an infringement under Article 258 of the TFEU that puts at risk the legality and regularity of expenditure or the performance of projects.	- Funding under AMF (line 139) BMVI (line 164)	3. When funding from the thematic facility is granted in direct or indirect management to Member States, it no funding shall be ensured that selected available for projects are not affected by where there is clear evidence that the legality of those projects, or the legality and regularity of that funding, or the performance of those projects, would be put in doubt as a result of a reasoned opinion by the Commission in respect of an infringement under Article 258 of the TFEU-that puts at risk the legality and regularity of expenditure or the performance of projects. [Am. 94] 3. When funding from the thematic facility is granted in direct or indirect management to Member States, it no funding shall be ensured that selected available for projects are not affected by where there is evidence that the legality of those projects, or the legality and regularity of that funding, or the performance of those projects, would be in doubt as a result of	No changes No changes	COM horizontal proposal with EP adjustment: When funding from the thematic facility is granted in direct or indirect management to Member States, it shall be ensured that selected operations are not projects affected by a reasoned opinion by the Commission in respect of infringement proceedings under Article 258 TFEU that put at risk the legality and regularity of expenditure or the performance of projects shall not be selected.
	ISF (line 174)	a reasoned opinion by the Commission in respect of an infringement under Article 258 TFEU-that puts at risk the legality and regularity of expenditure or the performance of projects. [Am. 74] 3. When funding from the thematic facility is granted in direct or indirect management to Member States, it no funding shall be ensured that selected available for projects are not affected by, where there is clear evidence that the legality of those projects, or the legality and regularity of that funding, or the performance of those projects, would be in doubt as a result of a reasoned opinion issued by the Commission in respect of an infringement procedure under Article 258 TFEU of the TFEU that puts at risk the legality and regularity of	No changes	
4. When funding from the thematic facility is implemented in shared management, the Commission shall, for the purposes of Article 18 and Article 19(2) of Regulation (EU) No/ [CPR], assess whether the foreseen actions are not affected by a reasoned opinion by the Commission in respect of an infringement under Article 258 TFEU that puts at risk the legality and regularity of expenditure or the performance of the projects.	AMF (line 140)	expenditure or the performance of projects [AM69] 4. When funding from the thematic facility is implemented in shared management, the Commission shall, for the purposes of Articles 18 and 19(2) of Regulation EU/ [Common Provisions Regulation], assess whether the foreseen actions are not affected by ensure that no funding is available for projects where there is clear evidence that the legality of those projects, or the legality and regularity of that funding, or the performance of those projects would be put in doubt as a result of a reasoned opinion by the Commission in respect of an infringement under Article 258 of the TFEU-that puts at risk the legality and regularity of expenditure or the performance of the projects. [Am. 95] 4. When funding from the thematic facility is implemented in shared management, the Commission shall, for the purposes of Article 18 and Article 19(2) of Regulation (EU) No/ [CPR], assess whether the foreseen actions are not affected by to ensure that no funding shall be available for projects where		EP suggestion for new wording: When funding from the thematic facility is granted in shared management, the Commission shall, for the purposes of Article 18 and Article 19(2) of the Regulation (EU) No X [CPR], no projects which are affected by a reasoned opinion by the Commission in respect of an infringement proceedings under Article 258 TFEU that puts at risk the legality and regularity of expenditure or the performance of those projects shall be selected.
		there is evidence that the legality of those projects, or the legality and regularity of those projects, or the performance of		

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COM proposal		EP amendments	Council	Comments/LTT
Article AMF 13 BMVI 12 ISF 12 – Programmes				
Programming as referred to in Article 17(5) of	AMF	Programming as referred to in Article 17(5) of	No changes	
Regulation EU)/2021 [Common Provisions	(line 173)	Regulation EU)/2021 [Common Provisions		Suggested compromise text:
Regulation], shall be based on the types of		Regulation], Each national programme shall be		
intervention set out in Table 1 of Annex VI.		based on set out for each specific objective the		Programming as referred to in Article 17(5) of Regulation (EU) No [CPR]
		types of intervention set out in accordance with		shall be based on the types of intervention set out in Table 21 of Annex
		Table 1 of Annex VI and provide an indicative		VI and include an indicative breakdown of the programmed resources
		breakdown of the programmed resources by type		by type of action.
		of intervention or area of support. [Am. 111]		
	BMVI	Programming as referred to in Article 17(5) of	No changes	
	(line 214)	Regulation (EU) No/ [CPR] Each programme		
		shall be based on set out for each specific		
		<i>objective</i> the types of intervention set out in		
		accordance with Table 1 of Annex VI and an		
		indicative breakdown of the programmed		
		resources by type of intervention or area of		
		support. [Am. 98]		
	ISF	Programming as referred to In accordance with	Programming as referred to in Article 17(5)	
	(line 219)	Article 17(5) 17 of Regulation (EU) No X [CPR] ,	of Regulation (EU) No [CPR] shall be based	
		each programme shall be based on set out for	on the types of intervention set out in Table	
		each specific objective the types of intervention	21 of Annex VI.	
		set out in accordance with Table 1 of Annex VI		
		and an indicative breakdown of the programmed		
		resources by type of intervention or area of		
		support. [AM85]		

COM proposal		EP amendments	Council	Comments/LTT
Article on Technical assistance AMF 23 BMVI 20 ISF 19 – Technical assistance at the initiative of the Commission				
Article 23	AMF	No changes	No changes	
Technical assistance at the initiative of the	(line 220)			Dracidancy compromise proposals
Commission				Presidency compromise proposal:

Article 20 Technical assistance at the level of the Commission Article 19 Technical assistance at the initiative of the Commission	BMVI (line 259) ISF (line 261)			Appropriate horizontal title: "Technical assistance at the initiative of the Commission".
The Fund/instrument may support technical assistance measures implemented at the initiative of, or on behalf of, the Commission.	AMF (line 221)	No changes	No changes	
Those measures may be financed at the rate of 100%.	BMVI (line 260)	The instrument may support technical assistance measures implemented at the initiative of, or on behalf of, the Commission. Those measures, namely, preparatory steps, monitoring, supervision, audit, evaluation and all administrative and technical assistance actions necessary for the implementation of this Regulation and, where appropriate with third countries, may be financed at the rate of 100 %. [Am. 109]	No changes	Presidency compromise proposal (in line with article 29 CPR): The Fund/instrument may support technical assistance measures implemented at the initiative of, or on behalf of, the Commission. Those measures, namely, preparatory, monitoring, control, audit, evaluation, communication including corporate communication on the political priorities of the Union, visibility and all administrative
	ISF (line 262)	The Fund may support technical assistance measures implemented at the initiative of, or on behalf of, the Commission. Those measures, namely preparatory, monitoring, control, audit, evaluation, communication, including corporate communication on the political priorities of the Union in the area of security, visibility and all administrative and technical assistance actions necessary for the implementation of this Regulation and, where appropriate, with third countries, may be financed at the rate of 100%. [AM95]	No changes	and technical assistance actions necessary for the implementation of this Regulation and, where appropriate with third countries, may be financed at the rate of 100 %.

COM proposal		EP amendments	Council	
Article AMF 25 BMVI 22 ISF 21 – Information	, communicat	tion and publicity		
1. The recipient of Union funding shall acknowledge the origin and ensure the visibility of the Union funding, in particular when promoting the actions and their results by providing coherent, effective and proportionate targeted information to multiple audiences, including media and the public.	AMF (line 235)	1. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding, in particular when promoting promote the actions and their results, by providing coherent, effective and proportionate targeted meaningful information to multiple relevant audiences, including the media and the public in the relevant languages. To ensure the visibility of Union funding, recipients of Union funding shall refer to its origin when communicating on the action. To this end, recipients shall ensure that all communications to the media and the public, display the Union emblem, and explicitly mention the Union's financial support. [Am. 134]	1. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding, in particular when promoting the actions and their results, by providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public, except where it is restricted due to its classified or confidential nature, particularly concerning security, public order and the protection of personal data, according the applicable law.	 COM compromise proposal: 1. The recipient of Union funding shall acknowledge the origin and ensure the visibility of the Union funding, in particular when promoting the actions and their results by providing coherent, effective, meaningful and proportionate information to multiple audiences, including the media and the public, having due regard for the requirements of confidentiality and security, in particular the protection of personal data. Accompanying recital: When promoting the actions supported by this [Fund/Instrument], the recipients of Union funding should provide information in the languages relevant to the target audience. To ensure the visibility of Union
	BMVI (line 264)	1. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding, in particular when promoting promote the actions and their results, by providing coherent, effective and proportionate targeted meaningful information to multiple relevant audiences, including media and the	1. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding, in particular when promoting the actions and their results, by providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public, except where it is restricted	funding, recipients of Union funding should refer to its origin when communicating on the action. To this end, recipients should ensure that all communications to the media and the public, display the Union emblem, and explicitly mention the Union's financial support."

	ISF (line 266)	public in the relevant languages. To ensure the visibility of Union funding, recipients of Union funding shall refer to its origin when communicating on the action. To this end, recipients shall ensure that all communications to the media and the public display the Union emblem, and mention explicitly the Union's financial support. [Am. 110] 1. The recipient of Union funding shall acknowledge the origin and ensure the visibility of the Union funding, in particular when promoting promote the actions and their results by providing coherent, effective and proportionate targeted meaningful information to multiple relevant audiences, including media and the public in the relevant language. To ensure the visibility of Union funding, recipients of Union funding shall make reference to its origin when communicating the action. To that end, recipients shall ensure that any communication to the media and the general public displays the Union's emblem and mentions explicitly the Union's financial support. [AM96]	due to its classified or confidential nature, particularly concerning security, public order and the protection of personal data, according to the applicable law. 1. The recipient of Union funding shall acknowledge the origin and ensure the visibility of the Union funding, in particular when promoting the actions and their results by providing coherent, effective and proportionate targeted information to multiple audiences, including media and the public, except where it is restricted due to its classified or confidential nature, particularly concerning security, public order, criminal investigations and the protection of personal data, according to applicable law.	
2. The Commission shall implement information and communication actions relating to this instrument, its actions and results. Financial resources allocated to this instrument shall also contribute to the corporate communication on the political priorities of the Union, as far as they are related to the objectives of this Regulation.	AMF (line 236)	2. To reach the widest possible audience, the Commission shall implement information and communication actions relating to the Fund and its actions and results. In particular, the Commission shall publish information concerning the development of the annual and multiannual programmes of the thematic facility. The Commission shall also publish the list of operations selected for support under the thematic facility on a publicly available website and shall update that list at least every three months. Financial resources allocated to the Fund shall also contribute to the corporate communication on the implementation of political priorities of the Union, as far as they are related to the objectives of this Regulation. In particular, the Commission may promote best practices and exchange information as regards to the implementation of the instrument. [Am. 135]	No changes	COM compromise proposal: To reach the widest possible audience, Commission shall implement information and communication actions relating to this [Fund/instrument], its actions and results. Financial resources allocated to this instrument shall also contribute to the corporate communication on the political priorities of the Union, as far as they are related to the objectives of this Regulation. Accompanying recital (could be added to the recital on Union Actions): The Commission may use financial resources under this [Fund/instrument] to promote best practices and exchange information as regards to the implementation of the instrument."
	BMVI (line 265)	2. To reach the widest possible audience, the Commission shall implement information and communication actions relating to the implementation of this instrument, its actions and results. In particular, the Commission shall publish information concerning the development of the annual and multiannual programmes of the thematic facility. The Commission shall also publish the list of operations selected for support under the thematic facility on a publicly available website and shall update that list at least every three months. Financial resources allocated to this instrument shall also contribute to the corporate communication on the implementation of political	No changes	

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	priorities of the Union, as far as they are related to		
	the objectives of this Regulation. <i>In particular, the</i>		
	Commission may promote best practices and		
	exchange information as regards the		
	implementation of the instrument. [Am. 111]		
ISF	2. To reach the widest possible audience,	No changes	
(line 267)	the Commission shall implement information and		
	communication actions relating to the Fund and		
	its actions and results. <i>In particular, the</i>		
	Commission shall publish information concerning		
	the development of the annual and multiannual		
	programmes of the thematic facility. The		
	Commission shall also publish the list of		
	operations selected for support under the		
	thematic facility on a publicly available website		
	and shall update that list regularly. Financial		
	resources allocated to the Fund shall also		
	contribute to the <i>communication</i> , <i>notably</i>		
	corporate communication, of the political		
	priorities of the Union, as far as they are related to		
	the objectives of this Regulation. [AM97]		
AMF	2 a. The Commission shall publish the		
(line 236a)	information referred to in paragraph 2 in open,		
(IIIIe 250a)	machine readable formats that allow data to be		COM compromise proposal:
BMVI	sorted, searched, extracted, compared and		The Commission shall publish the programmes of the thematic facility.
	-		For support provided under direct and indirect management, the
(line 266)	reused, as set out in Article 5(1) of Directive		Commission shall publish the information referred to in Article 38(2) of
ICE	2003/98/EC of the European Parliament and of		Regulation (EU, Euratom) 1046/2018 on a publicly available website and
ISF	the Council ^{1a} . It shall be possible to sort the data		shall update that information regularly.
(line 268)	by priority, specific objective, total eligible cost of		Shall update that information regularly.
	operations, total cost of projects, total cost of		Accompanying recital:
	procurement procedures, name of beneficiary		The Commission should publish information on the support provided
	and name of contractor.		from the thematic facility under direct or indirect management in a
			timely manner and update this information where appropriate. This
			information should be published in open, machine readable format
			which allows data to be sorted, searched, extracted and compared. It
			shall be possible to sort the data by specific objective, maximum
			funding from the EU budget and name of beneficiary.

COM proposal		EP amendments	Council	Comments/LTT
Article AMF 26 BMVI 23 ISF 22 – Emergency as	sistance			
COM proposal – definition of emergency	AMF	Definition in Article 26	Definition in Article 26	
situation in Article on Emergency assistance	(line 239)			H for place (article on defintions vs. relevant article)
	BMVI	Definition in Article 23	Definition moved to article 2	
	(line 107/270)			
	ISF	Definition in Article 22	Definition moved to article 2	
	(line 96/271)			

^{1a} Directive 2003/98/EC of the European Parliament and of the Council of 17 November 2003 on the re-use of public sector information (OJ L 345, 31.12.2003, p. 90).

Article AMF 27, BMVI 24 and ISF 23 - Cumulative, complementary and combined funding					
COM proposal		EP amendments	Council	Comments/LTT	
2. Actions awarded a seal of Excellence certification, or which comply with the following cumulative comparable conditions:	AMF (line 248) BMVI (line 279) ISF (line 278)	2. Actions Operations awarded a seal of Excellence certification, or which comply with the following cumulative comparative conditions:	No changes	2. Actions awarded a seal of Excellence certification, or which comply with the following cumulative comparative conditions:	

Article AMF 33, BMVI 30 and ISF 29 – Committee	ee procedure			
COM proposal		EP amendments	Council	Comments/LTT
2. Where reference is made to this	AMF	No changes	2. Where reference is made to this	
paragraph, Article 4 of Regulation (EU) No	(line 291)		paragraph, Article 5 4 of Regulation (EU) No	
182/2011 shall apply.			182/2011 shall apply. Where the Committee	
			delivers no opinion, the Commission shall	
			not adopt the draft implementing act and	
			the third subparagraph of Article 5(4) of	
			Regulation (EU) No 182/2011 shall apply.	
	BMVI		2. Where reference is made to this	
	(line 336)		paragraph, Article 5 4 of Regulation (EU) No	
			182/2011 shall apply. Where the Committee	
			delivers no opinion, the Commission shall	
			not adopt the draft implementing act and	
			the third subparagraph of Article 5(4) of	
			Regulation (EU) No 182/2011 shall apply.	
	ISF		2. Where reference is made to this	
	(line 332)		paragraph, Article 5 4 of Regulation (EU) No	
			182/2011 shall apply. Where the Committee	
			delivers no opinion, the Commission shall	
			not adopt the draft implementing act and	
			the third subparagraph of Article 5(4) of	
			Regulation (EU) No 182/2011 shall apply.	
3. Where the Committee delivers no	AMF	No changes	Deleted	
opinion, the Commission shall not adopt the	(line 292)			
draft implementing act. This shall not apply to	BMVI	Deleted	Deleted	
the implementing act referred to in Article	(line 337)			
30(4)/27(4)/26(4).	ISF	No changes	Deleted	
	(line 333)			

Article AMF 34, BMVI 31 and ISF 30 – Transitional provisions					
COM proposal		EP amendments	Council	Comments/LTT	
1. This Regulation shall not affect the	AMF	No changes	No changes		
continuation or modification of the actions	(line 294)				
concerned under the Asylum, Migration and					
Integration Fund for the period 2014-2020					
established by Regulation (EU) No 516/2014,					
which shall continue to apply to the actions					
concerned until their closure.					
1. This Regulation shall not affect the	BMVI		No changes		
continuation or modification of the actions	(line 339)				
concerned under the instrument for external					

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borders and visa as part of the Internal				
Security Fund for the period 2014-2020,				
established by Regulation (EU) No 515/2014,				
which shall continue to apply to those actions				
until their closure.				
1. Regulation (EU) No 513/2014 is	ISF		Deleted	
repealed with effect from 1 January 2021.	(line 335)			
2. The financial envelope for the Fund	AMF		No changes	
may also cover technical and administrative	(line 295)	No changes		
assistance expenses necessary to ensure the				
transition between the Fund and the				
measures adopted under its predecessor, the				
Asylum, Migration and Integration Fund				
established by Regulation (EU) No 516/2014.				
2. The financial envelope for the	BMVI	No changes	No changes	
instrument may also cover technical and	(line 340)			
administrative assistance expenses necessary				
to ensure the transition between the				
instrument and the measures adopted under				
its predecessor, the instrument for external				
borders and visa as part of the Internal				
Security Fund for the period 2014-2020, as				
established by Regulation (EU) No 515/2014.				
2. Without prejudice to paragraph 1, this	ISF		2. Without prejudice to	
Regulation shall not affect the continuation or	(line 336)		paragraph 1, t This Regulation	
modification of the actions concerned, until			shall not affect the	
their closure, under the Police Instrument of			continuation or modification	
the Internal Security Fund, which shall			of the actions concerned,	
continue to apply to those actions concerned			until their closure, under the	
until their closure.			Police Instrument of the	
			Internal Security Fund, which	
			shall continue to apply to	
			those actions concerned until	
			their closure.	



Brussels, 22 January 2020

Interinstitutional files: 2018/0250(COD) 2018/0248(COD) 2018/0249(COD)

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WORKING PAPER

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WORKING DOCUMENT

From: To:	Presidency JHA Counsellors on Financial Instruments
Subject:	Proposal for a Regulation of the European Parliament and of the Council establishing the Asylum and Migration Fund (AMF) Proposal for a Regulation of the European Parliament and of the Council establishing, as part of the Integrated Border Management Fund, the instrument for financial support for border management and visa (BMVI) Proposal for a Regulation of the European Parliament and of the Council establishing the Internal Security Fund (ISF) — Compromise proposals on the horizontal provisions

With a view to the meeting of the JHA Counsellors of 28 January 2020, delegations will find enclosed a table on the compromise proposals of the horizontal provisions of the abovementioned draft Regulations.