

Council of the European Union General Secretariat

Interinstitutional files: 2017/0353(COD) Brussels, 17 January 2019

WK 684/2019 INIT

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## WORKING PAPER

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## WORKING DOCUMENT

From:	Presidency
To:	Working Party on Technical Harmonisation (Goods package)
Subject:	Article 34 (EP suggestion)

				14.
377	Article 34 Information and communication system	Article 34 Information and communication system	Article 34 Information and communication system	Article 34 Information and communication system
ARTIC	LE 34 - PARAGRAPH 1			
378	1. The Commission shall develop and maintain an information and communication system for the collection and storage of information, in a structured form, on issues relating to the enforcement of Union harmonisation legislation. The Commission, single liaison offices, and authorities designated in accordance with Article 26(1) shall have access to that system.	1. The Commission shall develop and maintain an information and communication system for the collection, <i>processing</i> and storage of information, in a structured form, on issues relating to the enforcement of Union harmonisation legislation with the aim of sharing this data among Member States and allow the Commission to monitor market surveillance activities. This system shall have a public interface with key information in all Union languages and inform end-users about market	1. The Commission shall <u>further</u> develop and maintain an information and communication system for the collection and storage of information, in a structured form, on issues relating to the enforcement of Union harmonisation legislation, <u>with the aim of improving</u> <u>the sharing of data between</u> <u>Member States and</u> <u>providing a comprehensive</u> <u>overview of market</u> <u>surveillance activities,</u> <u>results and trends. The</u> Commission, <u>market</u> <u>surveillance authorities,</u> single liaison offices, and authorities designated in accordance with Article	<u>further</u> develop and

ARTIC	LE 34 - PARAGRAPH 1 a (nev	surveillance activities and their results. The Commission, single liaison offices, market surveillance authorities and authorities designated in accordance with Article 26(1) shall have access to that system. AM 195	26(1) shall have access to that system.	tomonitormarketsurveillanceactivities.The Commission,marketsurveillanceauthorities,single liaison offices, andauthoritiesdesignated inaccordancewithArticle26(1)shall have access tothat system.This system shall have apublic interface with keyinformation in all Unionlanguagesandinformend-usersaboutmarketsurveillanceactivitiesandtheir results.
378 A			1a. The Commission shallfurtherdevelopandmaintain an IT interface tonational systems.	<b>1a. The Commission</b> <u>shall further develop and</u> <u>maintain an IT interface</u> <u>to national systems.</u>
ARTIC	LE 34 - PARAGRAPH 2			
379	2. Single liaison offices shall enter the following information in the system:		2. Single liaison offices shall enter the following information in the system:	2. Single liaison offices shall enter the following information in the system:
	LE 34 - PARAGRAPH 2 - POI	NTa		
380				

<u></u>				
	(a) the identity of the market surveillance authorities in their Member State and areas of competence of those authorities pursuant to Article 11(1);	market surveillance authorities in their Member State and areas of competence of those		(a) the identity of the market surveillance authorities in their Member State and areas of competence of those authorities pursuant to Article 11(1);
ARTIC	E 34 - PARAGRAPH 2 - POIN	NT b		
381	(b) the identity of the authorities designated by their Member States as authorities in charge of controls on products at the external borders of the Union.	authorities designated by their Member States as authorities in charge of controls on products at the	their Member States as	authorities designated by their Member States as
ARTIC	E 34 - PARAGRAPH 2 - POIN	NT b a (new)		
381A		(ba) the national market surveillance strategy drawn up by their Member State pursuant to Article 13. AM 196		
ARTIC	E 34 - PARAGRAPH 2 - POIN	NT c (new)		
381B			<u>(c) the national market</u> <u>surveillance strategy</u>	<u>(c) the national market</u> surveillance strategy

			drawn up by their Member State under Article 13 and the results from the review and assessment of the market surveillance strategy drawn up by their Member State.	drawn up by their <u>Member State under</u> <u>Article 13 and the results</u> from the <i>monitoring</i> , review and assessment of the market surveillance strategy drawn up by their Member State. (incorporate AM 196 and para. 3(c))
ARTIC	LE 34 - PARAGRAPH 3			
382	3. Market surveillance authorities shall enter the following information into the system:	3. Market surveillance authorities shall enter the following information into the system:	3. Market surveillance authorities shall enter the following information into the system:	authorities in relation to

				with Article 27, in their territory, shall enter the following information into the system: (drafting from row 387 Council text)
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NTa		
383	(a) details of the national market surveillance strategies strategy drawn up by their Member State under Article 13;	(a) details of the national market surveillance strategies strategy drawn up by their Member State under Article 13;	(a) details of the national market surveillance strategies strategy drawn up by their Member State under Article 13;	(deleted - see row 381B)
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT b		
384	(b) any partnership arrangements entered into by them under Article 7	arrangements entered into	(b) any partnership arrangements entered into by them under Article 7;	
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT c		
385	(c) the results from the monitoring, review and assessment of the market surveillance strategy drawn up by their Member State;	monitoring, review and assessment of the market surveillance strategy	(c) the results from the monitoring, review and assessment of the market surveillance strategy drawn up by their Member State;	(deleted - see row 381B)
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT d		

386	(d) all complaints received by them and reports made by them about issues relating to non-compliant products;	by them and reports made by them about issues	(d) all complaints received by them and reports made by them about issues relating to non-compliant products;	(d) all complaints received by them and reports made by them about issues relating to non-compliant products;
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT e		
387	(e) in relation to products made available on the market in their territory, without prejudice to Article 12 of Directive 2001/95/EC and Article 19 of this Regulation, the following information:	Article 12 of Directive 2001/95/EC and Article 19	(e) in relation to products made available on the market in their territory, for which an in-depth check of compliance has been carried out without prejudice to Article 12 of Directive 2001/95/EC and Article 19 of this Regulation, and where applicable, in relation to products entering the Union market for which the process for the release for free circulation has been suspended in accordance with Article 27, in their territory, the following information concerning:	(see row 382)
ARTIC	LE 34 - PARAGRAPH 3 - POI	NT e - POINT i		
388	(i) any non-compliance;	(i) any non-compliance;	(i) any non-compliance;	(i) any non-compliance;

ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT e - POINT ii		
389	(ii) the identification of hazards and the economic operator concerned;	(ii) the identification of hazards and the economic operator concerned;	(ii) the identification of hazards and the economic operator concerned;	(ii) the identification of hazards and the economic operator concerned;
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT e - POINT iii		/
390	(iii) any possible risks not restricted to their territory;	(iii) any possible risks not restricted to their territory;	(iii) any possible risks not restricted to their territory;	(iii) any possible risks not restricted to their territory;
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT e - POINT iv		
391	(iv) the results of testing carried out by them or the concerned economic operator;	(iv) the results of testing carried out by them or the concerned economic operator;	(iv) the results of testing carried out by them or the concerned economic operator;	<mark>(see row 394B Council</mark> text)
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT e - POINT v		
392	(v) details of voluntary measures taken by economic operators;	(v) details of voluntary measures taken by economic operators;		
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT e - POINT vi		
393	(vi) details of restrictive measures taken by that market surveillance	(vi) details of restrictive measures taken by that market surveillance	measures taken by that	<mark>(see row 394A Council</mark> text)

		authority, where applicable, the penalties imposed;	authority, where applicable, the penalties imposed;	authority, where applicable, the penalties imposed;	
ľ	ARTIC	E 34 - PARAGRAPH 3 - POIN	NT e - POINT vii		
	394	(vii) the outcome of contacts with an economic operator and the follow up by that economic operator;	contacts with an economic	(vii) the outcome of contacts with an economic operator and the follow up by that economic operator;	(vii) the outcome of contacts with an economic operator and the follow up by that economic operator <u>including any corrective</u> <u>action taken by economic</u> <u>operators concerned;</u> (see row 394C Council text)
ľ	ARTIC	E 34 - PARAGRAPH 3 - POIN	NT e - POINT vii a		
	394 A			(viia) measures according to Article 15(4) taken by that market surveillance authority;	(viia) measures according to Article 15(1d) taken by that market surveillance authority and, where applicable, the penalties imposed; (see row 393)
ľ	ARTIC	E 34 - PARAGRAPH 3 - POIN	NT e - POINT vii b		
	394 B			(viib) reports of testing carried out by them;	(viib) reports of testing carried out by them or by the concerned economic operator; (see row 391)

ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT e - POINT vii c		
394 C			(viic) corrective action taken by economic operators concerned;	<mark>(see row 394)</mark>
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT e - POINT vii d		11
394 D			(viid) readily available reports on injuries caused by the product in question;	<mark>(viid) readily available</mark> reports on injuries caused by the product in question
ARTIC	le 34 - Paragraph 3 - Poin	NT e - POINT vii e		
394E			(viie) any objection raised by a Member State in accordance with the applicable safeguard procedure in the Union harmonisation legislation applicable to the product and any subsequent follow- up;	(viie) any objection raised by a Member State in accordance with the applicable safeguard procedure in the Union harmonisation legislation applicable to the product and any subsequent follow-up;
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT e - POINT viii		
395	(viii) failures by a person responsible for compliance information to comply with Article 4 (3);	(viii) failures by a person responsible for compliance information to comply with Article 4 (3);	(viii) <u>when</u> <u>applicable</u> , failures by <u>a person</u> responsible for compliance information <u>authorised</u> representatives to comply	(viii) <u>when applicable,</u> failures by <del>a person</del> <del>responsible for compliance information</del> authorised

			with Article 4(3) <u>4a(2) and</u> (3);	representatives to comply with Article 4(3) 4a(2) and (3);
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT e - POINT ix		
396	(ix) failures by manufacturers to comply with Article 4(4).	(ix) failures by manufacturers to comply with Article 4(4).	(ix) when available, failures by manufacturers to comply with Article $4(4)$ <u>4a(1)</u> .	(ix) <u>when available,</u> failures by manufacturers to comply with Article 4(4) <u>4a(1)</u> .
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT f		
397	(f) in relation to products entering the Union market for which the process for the release for free circulation has been suspended in accordance with Article 27, in their territory, the following information:	(f) in relation to products entering the Union market for which the process for the release for free circulation has been suspended in accordance with Article 27, in their territory, the following information:	(f) in relation to products entering the Union market for which the process for the release for free circulation has been suspended in accordance with Article 27, in their territory, the following information:	(rows 397 to 404 incorporated in point (e))
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT f - POINT i		
398	(i) any non-compliance;	(i) any non-compliance;	(i) any non-compliance;	
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT f - POINT ii		
399	(ii) the identification of any hazards and the economic operator concerned;		(ii) the identification of any hazards and the economic operator concerned;	

ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT f - POINT iii		
400	(iii) the results of testing carried out by them or the concerned economic operator;	(iii) the results of testing carried out by them or the concerned economic operator;	(iii) the results of testing carried out by them or the concerned economic operator;	
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT f - POINT iv	×	
401		(iv) details of restrictive measures taken by that market surveillance authority and, where applicable, the penalties imposed;	measures taken by that	
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT f - POINT v		
402	(v) the outcome of contacts with an economic operator and the follow up by that economic operator;	contacts with an economic operator and the follow up	(v) the outcome of contacts with an economic operator and the follow up by that economic operator;	
ARTIC	LE 34 - PARAGRAPH 3 - POIN	NT f - POINT vi		
403	(vi) any other control or test reports carried out by or at the request of the market surveillance authority;	(vi) any other control or test reports carried out by or at the request of the market surveillance authority;	(vi) any other control or test reports carried out by or at the request of the market surveillance authority;	

ARTIC	LE 34 - PARAGRAPH 3 - POIN	IT f - POINT vii		
404	(vii) any objection raised by a Member State in accordance with the applicable safeguard procedure in the Union harmonisation legislation applicable to the product and any subsequent follow-up.	(vii) any objection raised by a Member State in accordance with the applicable safeguard procedure in the Union harmonisation legislation applicable to the product and any subsequent follow-up.	(vii) any objection raised by a Member State in accordance with the applicable safeguard procedure in the Union harmonisation legislation applicable to the product and any subsequent follow-up.	
ARTIC	E 34 - PARAGRAPH 3 a (nev	v)		
404 A			3a.Wheremarketsurveillanceauthoritiesconsider it useful, they mayenteranyadditionalinformationrelated to thechecksthey perform andresultsoftestingcarriedout by or at their request.	3a. Where market surveillance authorities consider it useful, they may enter any additional information related to the checks they perform and results of testing carried out by <i>them</i> or at their request. <i>(see row 403)</i>
ARTIC	E 34 - PARAGRAPH 4			
405	4. Where relevant for the enforcement of Union harmonisation legislation and for the purposes of	4. Where relevant for the enforcement of Union harmonisation legislation and for the purposes of	enforcement of Union harmonisation legislation	enforcement of Union harmonisation legislation

	minimising risk and	minimising risk and	minimising risk—and	minimising risk and
	combating fraud,	combating fraud, customs	<del>combating fraud</del> , customs	combating fraud, customs
	customs authorities shall	authorities shall extract	authorities shall extract from	authorities shall extract
	extract from national	from national customs	national customs systems	from national customs
	customs systems and	systems and transmit to the	and transmit to the	systems and transmit to the
	transmit to the	information and	information and	information and
	information and	communication system	communication system data	communication system
	communication system	data relating to the placing	relating to products the	data relating to the placing
	data relating to the	of products under the	placinged of products under	of products under the
	placing of products under	customs procedure	the customs procedure	customs procedure
	the customs procedure	'release for free	'release for free circulation'	'release for free
	'release for free	circulation' and the results	and the results of controls	circulation' and the results
	circulation' and the	of controls related to	related to product safety the	of controls related to
	results of controls related	product safety.	enforcement of Union	product safety <b>and</b>
	to product safety.		harmonisation legislation	transmit it to the
			and transmit it to the	information and
			information and	communication system.
			communication system.	
ARTIC	LE 34 - PARAGRAPH 4			
	The Commission, in the	The Commission, in the	The Commission, in the	<del>5a.</del> The Commission <del>, in</del>
	context of the EU Single	context of the EU Single	context of the EU Single	the context of the EU
	Window environment for	Window environment for	Window environment for	Single Window
	customs, shall develop an	customs, shall develop an	customs, shall develop an	environment for
	electronic interface to	electronic interface to	electronic interface to enable	<del>customs, shall develop an</del>
406	enable the transmission	enable the transmission of	the transmission of such	electronic interface to
	of such data. This	such data. This interface	data. This interface shall be	enable the transmission
	interface shall be in place	shall be in place [four	in place [four years] from the	of data between national
	[four years] from the date	years] from the date of	date of adoption of the	custom systems and the
		5 1	1	
	of adoption of the	adoption of the	implementing acts.	information and
	and the provession of the provided of the prov	adoption of the implementing acts	implementing acts.	<u>information</u> and communication system.
	of adoption of the implementing acts.	adoption of the implementing acts.	implementing acts.	<u>information</u> and <u>communication</u> system. This interface shall be in

				place [four years] from the date of adoption of the implementing acts. (see para. 5a - row 407A Council text)
ARTIC	LE 34 - PARAGRAPH 5			
407	5. Market surveillance authorities shall recognise the validity of and shall make use of test reports prepared by or for their counterparts in other Member States and which have been entered into the information and communication system.	5. Market surveillance authorities shall recognise the validity of and shall make use of test reports prepared by or for their counterparts in other Member States and which have been entered into the information and communication system.	5. Market surveillance authorities shall recognise the validity of and shall make use of test reports prepared by or for their counterparts in other Member States and which have been entered into the information and communication system.	5. Market surveillance authorities shall recognise the validity of and shall make use of test reports prepared by or for their counterparts in other Member States and which have been entered into the information and communication system.
ARTIC	LE 34 - PARAGRAPH 5 a (nev	w)		
407A		5a.The information system shall allow file transmission between market surveillance authorities, and shall be the preferred instrument for requests for information referred to in Article 22.AM 198	5a. The Commission shall develop an electronic interface to enable the transmission of data between national custom systems and the information and communication system. This interface shall be in place [four years] from the date of adoption of the implementing acts.	(para. 5a Council text moved to para. 4 row 406 para. 5a EP text partially redrafted and moved to para. 1 row 378)

ARTIC	ARTICLE 34 - PARAGRAPH 6				
408	6. The Commission shall adopt implementing acts specifying the details of implementation arrangements for paragraphs 1 to 4 and defining the data to be transmitted in accordance with paragraph 4. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 63.	adopt implementing acts specifying the details of implementation arrangements for paragraphs 1 to 4 and defining the data to be transmitted in accordance with paragraph 4. Those implementing acts shall be	specifying the details of implementation arrangements for paragraphs 1 to 4 <u>5a, in particular on</u> <u>the data processing that</u> will be applied on data	adopt implementing acts specifying the details of implementation arrangements for paragraphs 1 to 4 <u>5a</u> , in <u>particular on the data</u> <u>processing that will be</u> <u>applied on data collected</u> <u>in accordance with</u> <u>paragraph 1</u> and defining the data to be transmitted in accordance with paragraphs 4 <u>and 5a</u> . Those implementing acts shall be adopted in	