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WORKING PAPER

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From: General Secretariat of the Council

To: Delegations

Subject: Presentation made during Ad Hoc Working Party on JHA Financial Instruments meeting on 11 December 2019

Delegations will find attached a presentation made by the Commission during the Ad Hoc Working Party on JHA Financial Instruments meeting held on 11 December 2019.



HOME Funds' programming

11 December 2019

Programming exercise - general

- **CPR sets the timeline for the exercise and the programme template**
- **Programming fiches by the Commission**
- **Important exercise to steering the Funds**
- **Programming template**



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HOME Funds' programme template

Main provisions CPR and HOME Regulations

- CPR - Art. 17 *Content of programmes*
- CPR - Annex VI *Programme template*
- HOME Regulations – Scope of support; Co-financing rates; Programmes; Operating support
- HOME Regulations – Annex II *Implementation measures*, Annex III *Scope of support*; Annex VI *Types of intervention*; Annex VIII *Indicators*

Annex VI CPR – Programme structure

1. Programme strategy
2. Description of specific objectives
3. Technical Assistance
4. Financial plan
5. Framework for programme implementation
6. Appendices



1. Programme strategy

- Challenges identified at national level based on needs assessments/strategies
 - How will the programme address these challenges?
- Overview of the state of implementation of relevant EU acquis and EU action plans
 - How will the Fund support their development?

2. Specific objective description

- Initial situation, challenges and responses
- Justification for selection of SO (if applicable)
- Implementation measures – Annex II HOME Regulations
- Indicative list of actions – Annex III HOME Regulations (non-exhaustive)
- Justification for operating support + indicative list of beneficiaries and main tasks to be supported

2. Specific objective Performance Framework

- Aims to monitor, report on and evaluate programme performance
- Consists of:
 - Common output and result indicators in Annex VIII HOME Regulations
 - Baselines for result indicators
 - Milestones for 2024
 - Targets for 2029

2. Specific objective

Indicative breakdown by type of intervention

- Type of intervention $\approx$ 'key words'
- Types of intervention for each specific objective (Annex VI table 1)

Table 3			
Specific objective	Type of intervention	Code	Indicative amount (Euro)
SO 1 (AMF)	Reception conditions	001	amount (Union contribution only)

3. Technical Assistance

- TA specific objective
- Art. 30(5) – Flat-rate of 6%
- Art. 32 – Financing not linked to costs for TA
 - Targeted administrative capacity building actions for the effective administration of the Funds
 - Based on the fulfilment of conditions or on the achievement of results

4. Financial plan – financial appropriations by year (EU)

Table 5								
Fund	2021	2022	2023	2024	2025	2026	2027	Total

4. Financial plan – total financial allocation

Table 6

SO	Type of action	Basis for calculation EU support (total or public)	Union contribution (a)	National contribution (b)=(c)+(d)	Indicative breakdown of national contribution		Total e=(a)+(b)	Co-financing rate (f)=(a)/(e)
					public (c)	private (d)		
SO1	Actions co-financed in line with Art.11(1) ISF or BMVI Regulation or Art.12(1) of AMF Regulation							

5. Framework for programme implementation

- Horizontal enabling conditions (Annex III CPR)
- Programme authorities
- Partnership
- Communication and visibility

Horizontal enabling conditions – Annex III CPR

1. Effective monitoring mechanisms of the public procurement market
2. *Tools and capacity for effective application of State aid rules*
3. Effective application and implementation of the EU Charter of Fundamental Rights
4. Implementation and application of the United Nations Convention on the rights of persons with disabilities

Enabling condition	Fulfilment of enabling condition	Criteria	Fulfilment of criteria	Reference to documents	Justification
1			Y/N		

Programme authorities

Table 11	Name of the institution	Contact name and position	e-mail
Managing authority			
Audit authority			
Body which receives payments from the Commission			

Partnership – example of partners

- Public authorities responsible for the application of horizontal principles
- Bodies representing civil society and social partners
- Public authorities
- Authorities in charge of other funds
- Representations of regional/local authorities/cities

Communication and visibility

- Objectives
- Target audiences
- Communication channels
- Planned budget
- Indicators

6. Appendices - Use of unit costs, lump sums, flat rates and financing not linked to costs

- Not mandatory
- Can be done at any time in the programming period through programme amendment
- Appendices 1 and 2 in annex VI CPR



Thank you for your attention

Questions?