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**Brussels, 29 January 2020**

**WK 1070/2020 INIT**

**LIMITE**

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### WORKING PAPER

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### **WORKING DOCUMENT**

|               |                                                                                    |
|---------------|------------------------------------------------------------------------------------|
| From:         | General Secretariat of the Council                                                 |
| To:           | Working Party on Horizontal Agricultural Questions (CAP Reform)                    |
| N° Cion doc.: | 9645/18 + COR 1 + ADD1                                                             |
| Subject:      | Proposal for a Regulation on CAP Strategic Plans (Annex I)<br>- Joint presentation |

With a view to the meeting of the Working Party on Horizontal Agricultural Questions of 6 February 2020, delegations will find attached a joint presentation of the French, Austrian, German, Irish and Spanish delegations on how to simplify Annex I of the abovementioned proposal.

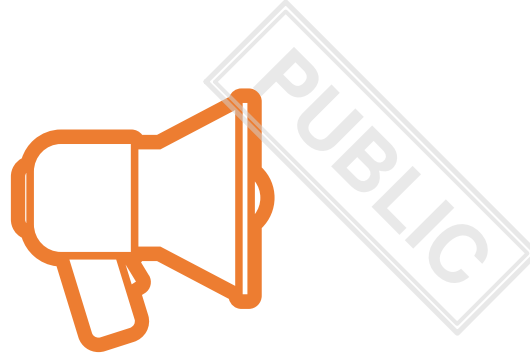


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# Annex I: how to simplify it? how to remove any ambiguity?

**Joint presentation of  
France, Austria, Germany,  
Ireland, and Spain**

v3.2



# 1. State of play

# 1.1 Objectives to help simplify Annex 1

1. Modify or delete ambiguous indicators

2. Provide each intervention with a unique and dedicated output indicator

3. Distinguish the output indicators (clearance) and the monitoring indicators (excluding clearance)

4. Avoid as much as possible the consolidation of multi-information system data (ex: EAGF and EAFRD) and identify the contribution of an intervention to its main result

5. Limit the number of result indicators

WK 13785/2019 INIT

*See Joint reflection paper from France, Austria, Germany, Ireland and Spain for a simplification of Annex I  
« impact, result and output indicators pursuant to article 7 »*

## 1.2 Summary of main concerns raised

1. **Should all interventions contribute to at least one result indicator?**
2. **Should the method for calculating result indicators be identical between MS, to facilitate aggregation and EU-comparison?**
3. **Are all Ri optional and selected according to MS's strategy ?**
4. **Does the EC intend to impose some or all of the indicators on the MS? On which basis ?**
5. **How do we delete/adjust result indicators** since needs & strategy are not yet established ?
6. **Instead of being deleted, could some Ri be kept for evaluation purposes** (outside performance review)?

## 1.3 Status of each result indicator in the regulation : mandatory or optional?

- **Annex I has 44 Ri for performance review.**
- **The regulation doesn't provide a precise legal answer, and EC is ambivalent:**
  - certain Result indicators (Ri) are mandatory since they are linked to mandatory interventions;
  - other Ri are useful (and a priori mandatory) for the annual report to Parliament and the Council (Annex XII);
  - and other Ri are strongly expected.
- **Our understanding is that 17 Ri are mandatory or strongly expected to plan the Strategic plan's targets, without being explicitly mentioned in the Regulation.**

*See the table for further details*

# 1.4 Status of each intervention in the regulation : mandatory or optional?

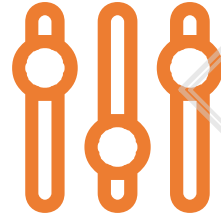
Based on Finnish Presidency  
drafting suggestions - ST 14824/19

## Mandatory interventions

- 17. Basic income support for sustainability
- 28. Schemes for the climate and the environment (Ecoscheme)
- 65. Environmental, climate and other management commitments (*Agri-environment-climate Measures, Organic farming...*)
- Young farmers : 27. Complementary income support for YF or 69. Installation of young farmers (YF)
- Sectorial interventions (old CMO : F&V, Wine, Olive, Apiculture, Hops)
- 71. LEADER

## Optional interventions, activated by MS upon its needs

- 26. Complementary redistributive income support
- 29. Coupled aid support
- Sectorial interventions : new sectors
- 66. Natural or other area-specific constraints
- 67. Area-specific disadvantages resulting from certain mandatory requirements
- 68. Investments: on-farm investments, off-farm investments, Infrastructures & basics services
- 69. Businesses start-up (rural or agriculture)
- 70. Risk management tools: Insurance contracts / schemes & Mutual funds
- 71. Cooperation: European Innovation Partnership (EIP), Support producer organizations or producer groups, Promote & support quality schemes, Cooperation for farm succession, Support other forms of cooperation,
- 72. Knowledge exchange and information



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2. Fine-tuning of “objective n°5 :  
limit the number of result  
indicators”...

## 2.1 Rationale

- **Each Member state defines its own intervention logic per specific objective** (including pick & choose the relevant result indicators, which are used for monitoring and evaluation purposes).
- **MS sets milestones & targets in the Strategic plan...** depending on the needs and the specific conditions related to the planned interventions (*mandatory & optional*).
- **MS ensures that all interventions planned contribute to one result indicator** (at least).
- **MS will report annually the milestones to EC for all its indicators.**
- **Result indicator's calculation method should depend on the specifics of the intervention(s).**
  - Example with R.12 “Adaptation to climate change” : several interventions could be linked to this R.I (eco-schemes, env./climate commitments, areas facing natural or specific constraints); eco-schemes should only be counted if an eco-scheme is designed to address climate change mitigation and adaption.

## 2.2 Main goals for streamlining the result indicator's list :

*See the table for further details*

- 1. To keep the most useful Result indicator according to their relevance to monitor progress towards the specific objectives to which they relate.**
- 2. To preserve a common European framework for monitoring CAP,** based on indicators that are easier to read and to understand by citizens.
- 3. To reduce administrative burden** by removing the most difficult ones to calculate.
- 4. To offer a better data mapping,** by identifying links between outputs / monitoring indicators and result indicators.

## 2.3 Revision of Result indicator's list

- **A shorter list based on:**
  - **10 core result indicators** (1 per S.O. and 1 for the transversal objective "Modernization"):
    - they are linked to interventions which are mandatory in the Regulation,
    - they are delivered to the EC in Annual Performance report every year,
    - they are used for performance review (deviations from milestones may lead to action plans & to financial suspension/reduction),
  - **17 ancillary result indicators** :
    - they are delivered to the EC in Annual Performance report as well,
    - they are used for monitoring and evaluation purposes but not for performance review.

## 2.3 Revision of Result indicator's list

- **Main changes suggested:**

- Reorganization of environmental performance's review for area aids and agricultural investments, according to their contribution to each environmental specific objectives.
- 10 R.I are consolidated in another indicator: R.13, R.14, R.16, R.20, R.21, R.22, R.24, R.25, R.29, R.33.
- 3 most difficult indicators to calculate are removed: R.2, R.3, R.35
- 6 less relevant indicators regarding CAP's performance review are deleted: R.6, R.7, R.9a, R.17a, R.23a, R.38a

## 2.4 Changes in the regulation

See our example of revised Annex I

- **Changes in the regulation (e.g. Art.121) needs further assessment.**
- **Our example of « revised Annex I » is based on:**
  - 27 result indicators dedicated to piloting and evaluating the Strategic Plan (including 10 core indicators),
  - 39 outputs for performance clearance (better defined & more operational),
  - 23 monitoring indicators (class M) for facilitating the Ri's calculation.
- **For consistency, Annex XII should be modified to include only the 10 core Result indicators.**
  - See Article 128 : *“the Commission shall present to the European Parliament and the Council the performance information referred to in that Article measured by the core set of indicators set out in Annex XII of this Regulation”.*



## *Questions to go further...*

1. What do you think of the core/ancillary result indicator's approach?
2. Do you think that this new approach simplifies Annex I, while keeping a common performance-based approach?

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# Annex. Comparative table

## EC proposal

| Specific objectives | Result indicators                       | Mandatory<br>(GREX oct. 2019) | Mandatory (or useful)<br>for the Parliament & the Council<br>(Annex XII) | Expected<br>(GREX oct. 2019) |
|---------------------|-----------------------------------------|-------------------------------|--------------------------------------------------------------------------|------------------------------|
| T                   | R.1 Knowledge                           |                               |                                                                          |                              |
|                     | R.2 Advisors                            |                               |                                                                          |                              |
|                     | R.3 Digitalization                      |                               |                                                                          |                              |
| A*                  | R.4 Income                              |                               |                                                                          |                              |
|                     | R.5 Risk                                |                               |                                                                          |                              |
|                     | R.6 Redistribution                      |                               |                                                                          |                              |
|                     | R.7 Specific needs                      |                               |                                                                          |                              |
| B                   | R.8 Targeting farms                     |                               |                                                                          |                              |
|                     | R.9 Modernisation                       |                               |                                                                          |                              |
| C                   | R.9a Promotion                          |                               |                                                                          |                              |
|                     | R.10 Supply chain                       |                               |                                                                          |                              |
|                     | R.11 Concentration                      |                               |                                                                          |                              |
| D                   | R.12 Climate adaptation & mitigation    |                               |                                                                          |                              |
|                     | R.13 Emissions livestock                |                               |                                                                          |                              |
|                     | R.14 Carbon storage                     |                               |                                                                          |                              |
|                     | R.15 Green energy                       |                               |                                                                          |                              |
|                     | R.16 Energy efficiency                  |                               |                                                                          |                              |
|                     | R.17 Afforested land                    |                               |                                                                          |                              |
|                     | R.17a Investments forest sector         |                               |                                                                          |                              |
| E*                  | R.18 Improving soils                    |                               |                                                                          |                              |
|                     | R.19 Improving air quality              |                               |                                                                          |                              |
|                     | R.20 Water quality                      |                               |                                                                          |                              |
|                     | R.21 Nutrient management                |                               |                                                                          |                              |
|                     | R.22 Water use                          |                               |                                                                          |                              |
|                     | R.22a Env. performance livestock        |                               |                                                                          |                              |
|                     | R.23 Env/climate investments            |                               |                                                                          |                              |
|                     | R.23a Env/climate rural areas           |                               |                                                                          |                              |
| F                   | R.24 Env/climate knowledge              |                               |                                                                          |                              |
|                     | R.25 Forest management                  |                               |                                                                          |                              |
|                     | R.26 Forest ecosystems                  |                               |                                                                          |                              |
|                     | R.27 Habitats & species                 |                               |                                                                          |                              |
|                     | R.28 Natura 2000                        |                               |                                                                          |                              |
| G                   | R.29 Landscape features                 |                               |                                                                          |                              |
|                     | R.30 Young farmers                      |                               |                                                                          |                              |
| H                   | R.31 Jobs in rural areas                |                               |                                                                          |                              |
|                     | R.31a Leader                            |                               |                                                                          |                              |
|                     | R.32 Bioeconomy                         |                               |                                                                          |                              |
|                     | R.33 Smart villages                     |                               |                                                                          |                              |
|                     | R.34 Services & infrastructures         |                               |                                                                          |                              |
|                     | R.35 Social inclusion                   |                               |                                                                          |                              |
| I*                  | R.36 Limiting antibiotic use            |                               |                                                                          |                              |
|                     | R.37 Sustainable pesticides use         |                               |                                                                          |                              |
|                     | R.38 Animal welfare                     |                               |                                                                          |                              |
|                     | R.38a Information on agri-food products |                               |                                                                          |                              |
| Total               |                                         | 3                             | 9                                                                        | 11                           |

=> 17 different result indicators mandatory and/or expected

\*Annex XII mentioned also 3 outputs for the report .:

- A : O.3 Number of CAP support beneficiaries.

- E : O.13 Number of ha (agricultural) covered by environment/climate commitments.

- I : O.16 Number of livestock units covered by support for animal welfare, health or increased biosecurity measures.

## Annex 1 revised - (first) DRAFT

| Specific objectives | Result indicators                    | Core indicators<br>for performance<br>review<br>(new Annex XII) | Ancillary indicators<br>(not for performance<br>review) |
|---------------------|--------------------------------------|-----------------------------------------------------------------|---------------------------------------------------------|
| T                   | R.1 Knowledge                        |                                                                 |                                                         |
|                     | R.4 Income                           |                                                                 |                                                         |
|                     | R.5 Risk                             |                                                                 |                                                         |
| A                   | R.8 Targeting farms                  |                                                                 |                                                         |
|                     | R.9 Modernisation                    |                                                                 |                                                         |
| B                   | R.10 Supply chain                    |                                                                 |                                                         |
|                     | R.11 Concentration                   |                                                                 |                                                         |
| C                   | R.12 Climate adaptation & mitigation |                                                                 |                                                         |
|                     | R.15 Green energy                    |                                                                 |                                                         |
|                     | R.17 Afforested land                 |                                                                 |                                                         |
| D                   | R.16a Climate investments            |                                                                 |                                                         |
|                     | R.18 Efficient res. management       |                                                                 |                                                         |
|                     | R.19 Improving air quality           |                                                                 |                                                         |
|                     | R.22a Env. performance livestock     |                                                                 |                                                         |
| E                   | R.23 Environment investments         |                                                                 |                                                         |
|                     | R.26 Forest ecosystems               |                                                                 |                                                         |
|                     | R.27 Habitats & species              |                                                                 |                                                         |
| F                   | R.28 Natura 2000                     |                                                                 |                                                         |
|                     | R.27a Biodiversity investments       |                                                                 |                                                         |
|                     | R.30 Young farmers                   |                                                                 |                                                         |
| G                   | R.31 Jobs in rural areas             |                                                                 |                                                         |
|                     | R.31a Leader                         |                                                                 |                                                         |
|                     | R.34 Services & infrastructures      |                                                                 |                                                         |
| H                   | R.36 Limiting antibiotic use         |                                                                 |                                                         |
|                     | R.37 Sustainable pesticides use      |                                                                 |                                                         |
|                     | R.38 Animal welfare                  |                                                                 |                                                         |
|                     | R.39 Organic farming                 |                                                                 |                                                         |
| Total               |                                      | 10                                                              | 17                                                      |

Legend :

In blue : Ri added by PRRO

In purple : new Ri added