



Council of the European Union  
General Secretariat

**Brussels, 27 August 2021**

**WK 10153/2021 INIT**

**LIMITE**

**TELECOM**

**WORKING PAPER**

*This is a paper intended for a specific community of recipients. Handling and further distribution are under the sole responsibility of community members.*

**CONTRIBUTION**

---

From: General Secretariat of the Council  
To: Working Party on Telecommunications and Information Society

---

Subject: Data Governance Act : NL comments (doc. 9642/21)

---

Delegations will find in annex NL comments on the fourth compromise text of Data Governance Act (doc. 9642/21).

Brussels, 22 June 2021  
(OR. en)

---

---

**Interinstitutional File:  
2020/0340(COD)**

---

---

9642/21

LIMITE

TELECOM 251  
COMPET 465  
MI 456  
DATAPROTECT 163  
JAI 699  
CODEC 863

**NOTE**

---

From: Presidency  
To: Delegations

---

No. prev. doc.: 8894/21  
No. Cion doc.: 13351/20

---

Subject: Proposal for a Regulation of the European Parliament and of the Council  
on European data governance (Data Governance Act)  
- Presidency compromise text

---

**I. INTRODUCTION**

1. The proposal for a Regulation on European Data Governance (Data Governance Act) and the related Impact Assessment were presented by the Commission in the WP TELECOM towards the end of the DE Presidency. The proposal was also discussed during the informal video conference of Telecom Ministers on 7 December 2020.
2. Under the PT Presidency, several discussions on the proposal were held in the WP TELECOM from January till June, with three compromise proposals presented and thoroughly discussed in the WP TELECOM.
3. On 4 June 2021 the Presidency presented a progress report to the TTE Telecom Council, indicating that it intends to continue the work on the text until the end of its term.

4. Based on the feedback received from the Ministers during the TTE Telecom Council and taking into account the outcome of further discussions in the WP TELECOM and the received written comments, the Presidency has prepared the fourth compromise proposal, contained in the Annex of this document. The Presidency invites the delegations to discuss the document in the WP TELECOM meeting on 23 June 2021.
5. Changes in the document as compared with the Commission's proposal are marked as follows: new text is indicated with **bold**, and deletions with ~~strikethrough~~. Changes as compared with the third Presidency compromise (doc. 8894/21) are underlined.

## II. MAIN CHANGES

### 1. Scope and definitions (Chapter I)

- a) Changes in **Article 1(2)** are meant to indicate that the DGA does not have an impact on other Union or national rules concerning access to and disclosure of official documents. In **Article 1(3)** the references to national law on data protection have been added to clarify that, in addition to Union data protection laws, such laws also prevail in the event of conflict with the provisions of the DGA.
- b) In **Article 2(2a)** points e) and f) have been removed, because the services covered in point e) are now covered in **Recital 22a**, and the services under point f) have been added to the list of exceptions in **Article 14**. This has been done in order to make a clear distinction between the list of services that are not covered by the definition of data intermediation services, and those services that are exempted from the notification regime under **Chapter III**.

### 2. Reuse of certain categories of protected data held by public sector bodies (Chapter II)

- a) **Article 5(8)** has been reformulated to clarify the requirement to ensure that confidential information is not disclosed as a result of allowing the re-use of protected public sector data and to ensure stylistic consistency with the text of **Article 5(7)**.
- b) Text from **Article 5(13)** has been modified and moved to the new **Article 5(8a)** in order to clarify the process and purpose of providing information on the intention of the re-user to transfer data to a third country.

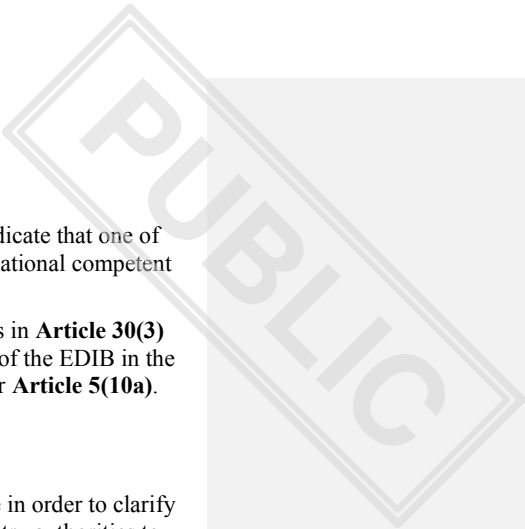
- c) A new **Article 5(10aa)** has been introduced, and the corresponding changes in **Recital 15** have been made, in order to provide for the possibility of adopting model contractual clauses by the Commission for the obligations under **Article 5(10)**.
- d) In **Article 6(5)** new text has been added to indicate that costs linked with the acquisition of the right to permit re-use in accordance with **Chapter III** from third parties outside the public sector may be included in the fees charged by public sector bodies for re-use.

### 3. Requirements applicable to data sharing services (Chapter III)

- a) **Article 11(10)** has been modified in order to ensure that the language on information and advice obligations of the data intermediation services towards data subjects remains consistent with the GDPR.
- b) In **Article 13(4)(b)** new text has been added to clarify that, if a provider of data intermediation service corrects the breaches of requirements under **Chapter III**, a provider is obliged to re-notify the competent authority, which in turn is obliged to notify the Commission of such a re-notification.
- c) Changes in **Article 14** are linked to the changes introduced in **Article 2(2a)** and **Recital 22a**, as explained in point II.1 b) above.

### 4. Data altruism (Chapter IV)

- a) **Article 19(3)** has been slightly modified to clarify that entities entered in the register of recognised data altruism organisations may facilitate data processing by third parties not only by providing tools for obtaining consent or permission, but also by other means.
- b) A new **Article 19a** has been added to indicate that data altruism organisations and relevant stakeholders should be encouraged to develop self-regulatory codes of conduct at Union level. In addition to this, a review clause related to this provision has been added in **Article 32**, requiring the Commission to check in the future whether adherence to self-regulatory codes of conduct should become a requirement for the registration of recognised data altruism organisations in accordance with **Article 16**.



## 5. European Data Innovation Board (Chapter VI)

- a) The addition at the end of point e) in **Article 27** is meant to indicate that one of the tasks of the EDIB is to facilitate the cooperation between national competent authorities regarding international access and transfer of data.
- b) Point (f) of **Article 27** has been modified to mirror the changes in **Article 30(3)** introduced in the third compromise text and to clarify the role of the EDIB in the process leading up to the adoption of adequacy decisions under **Article 5(10a)**.

## 6. Final provisions (Chapter VIII)

- a) In **Article 30(1)** the word 'governmental' has been added twice in order to clarify that the aim of this article is to address the access of third country authorities to non-personal data held in the EU.
- b) The new text in **Article 32** is linked to the changes introduced in the new Article **19a**, as explained in point II.4 b) above.

## 7. Other changes (Recitals)

- a) The new text added at the end of **Recital 7** is meant to further clarify the interplay between the DGA and Union or national rules concerning access to, and disclosure of, official documents.
- b) The new text in **Recital 15**, which explains the obligations of public sector bodies and re-users as regards transfers of public sector data to third countries, has been moved from **Recital 16**. An additional sentence about the potential use of contractual clauses provided by the Commission for this purpose has been added at the end.
- c) The text deleted from the beginning of **Recital 17** has been moved to the end of the same recital to ensure a more logical flow of the explanations.
- d) The text from the deleted **Recital 18** has been moved to **Recital 18a** for better readability and clarity.
- e) The extensive additions in **Recitals 22, 22a and 23** are meant to provide more clarity on the key characteristics of different types of data intermediation services and their role in the data economy, including in the context of the establishment of common European data spaces. These additional explanations also include further clarifications concerning services that should not be considered as data intermediation services under the DGA.

- f) Changes in **Recital 35** have been introduced in order to provide examples of practical arrangements for data altruism as required under **Article 14a**.
  - g) **Recital 42** has been updated with a list of areas for which implementing powers should be conferred on the Commission under the DGA.
  - h) A new **Recital 43** has been added to explain the amending provision in Article 33. The title of the Regulation has also been updated accordingly.
  - i) A new **Recital 47** has been added, which contains standard text on subsidiarity and proportionality.
- 

PUBLIC

Proposal for a

**REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL**

**on European data governance and amending Regulation (EU) No 2018/1724  
(Data Governance Act)**

(Text with EEA relevance)

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof,

Having regard to the proposal from the European Commission,

After transmission of the draft legislative act to the national parliaments,

Having regard to the opinion of the European Economic and Social Committee<sup>1</sup>,

Having regard to the opinion of the Committee of the Regions<sup>2</sup>,

Acting in accordance with the ordinary legislative procedure,

Whereas:

- (1) The Treaty on the functioning of the European Union ('TFEU') provides for the establishment of an internal market and the institution of a system ensuring that competition in the internal market is not distorted. The establishment of common rules and practices in the Member States relating to the development of a framework for data governance should contribute to the achievement of those objectives.

---

<sup>1</sup> OJ C , , p. .

<sup>2</sup> OJ C , , p. .

- (2) Over the last few years, digital technologies have transformed the economy and society, affecting all sectors of activity and daily life. Data is at the centre of this transformation: data-driven innovation will bring enormous benefits for citizens **and the economy**, for example through improved personalised medicine, new mobility, and its contribution to the European Green Deal<sup>3</sup>. In its Data Strategy<sup>4</sup>, the Commission described the vision of a common European data space, a Single Market for data in which data could be used irrespective of its physical location of storage in the Union in compliance with applicable law. It also called for the free and safe flow of data with third countries, subject to exceptions and restrictions for public security, public order and other legitimate public policy objectives of the European Union, in line with international obligations. In order to turn that vision into reality, it proposes to establish domain-specific common European data spaces, as the concrete arrangements in which data sharing and data pooling can happen. As foreseen in that strategy, such common European data spaces can cover areas such as health, mobility, manufacturing, financial services, energy, or agriculture or thematic areas, such as the European green deal or European data spaces for public administration or skills, **as well as a combination of these areas, e.g. energy and climate.**
- (3) It is necessary to improve the conditions for data sharing in the internal market, by creating a harmonised framework for data exchanges **and laying down certain basic requirements for data governance**. Sector-specific legislation can develop, adapt and propose new and complementary elements, depending on the specificities of the sector, such as the envisaged legislation on the European health data space<sup>5</sup> and on access to vehicle data. Moreover, certain sectors of the economy are already regulated by sector-specific Union law that include rules relating to cross-border or Union wide sharing or access to data<sup>6</sup>. This Regulation ~~is should~~ therefore ~~be~~ without prejudice to Regulation (EU) 2016/679 of the European Parliament and of the Council<sup>(7)</sup>, ~~and in particular the implementation of this Regulation shall not prevent cross border transfers of data in accordance with Chapter V of Regulation (EU) 2016/679 from taking place, Directive (EU) 2016/680 of the European Parliament and of the Council<sup>(8)</sup>; Directive (EU) 2016/943 of the European Parliament and of the Council<sup>(9)</sup>, Regulation (EU) 2018/1807 of the European Parliament and of the Council<sup>(10)</sup>, Regulation (EC) No 223/2009 of the European Parliament and of the Council~~

<sup>3</sup> Communication from the Commission to the European Parliament, the European Council, the Council, the European Economic and Social Committee and the Committee of the Regions on the European Green Deal. Brussels, 11.12.2019. (COM(2019) 640 final)

<sup>4</sup> COM (2020) 66 final.

<sup>5</sup> See: Annexes to the Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions on Commission Work Programme 2021 (COM(2020) 690 final).

<sup>6</sup> For example, Directive 2011/24/EU in the context of the European Health Data Space, and relevant transport legislation such as Directive 2010/40/EU, Regulation 2019/1239 and Regulation (EU) 2020/1056, in the context of the European Mobility Data Space.

<sup>7</sup> Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation), (OJ L 119, 4.5.2016, p.1)

<sup>8</sup> Directive (EU) 2016/680 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data by competent authorities for the purposes of the prevention, investigation, detection or prosecution of criminal offences or the execution of criminal penalties, and on the free movement of such data, and repealing Council Framework Decision 2008/977/JHA. (OJ L 119, 4.5.2016, p.89)

<sup>9</sup> Directive (EU) 2016/943 of the European Parliament and of the Council of 8 June 2016 on the protection of undisclosed know-how and business information (trade secrets) against their unlawful acquisition, use and disclosure. (OJ L 157, 15.6.2016, p.1)

<sup>10</sup> Regulation (EU) 2018/1807 of the European Parliament and of the Council of 14 November 2018 on a framework for the free flow of non-personal data in the European Union. (OJ L 303, 28.11.2018, p. 59)

(<sup>11</sup>), Directive 2000/31/EC of the European Parliament and of the Council (<sup>12</sup>), Directive 2001/29/EC of the European Parliament and of the Council (<sup>13</sup>), Directive (EU) 2019/790 of the European Parliament and of the Council (<sup>14</sup>), Directive 2004/48/EC of the European Parliament and of the Council (<sup>15</sup>), Directive (EU) 2019/1024 of the European Parliament and of the Council (<sup>16</sup>), as well as Regulation 2018/858/EU of the European Parliament and of the Council (<sup>17</sup>), Directive 2010/40/EU of the European Parliament and of the Council (<sup>18</sup>) ~~and Delegated Regulations adopted on its basis~~, **Directive 2007/2/EC of the European Parliament and of the Council<sup>19</sup>, Directive (EU) 2017/1132 of the European Parliament and of the Council<sup>20</sup>, Directive (EU) 2015/849 of the European Parliament and of the Council<sup>21</sup>** and any other sector-specific Union legislation that organises the access to and re-use of data. This Regulation should be without prejudice to **Union and national law on the access and use of data for the purpose of international cooperation in the context of** prevention, investigation, detection or prosecution of criminal offences or the execution of criminal penalties, **as well as international cooperation in this context. This Regulation should be without prejudice to the competences of the Member States regarding activities concerning public security, defence and national security. Re-use of data protected for such reasons and held by public sector bodies is should not be covered by this Regulation. This should includes data from procurement procedures falling within the scope of Directive 2009/81/EC.** A horizontal regime for the re-use of certain categories of protected data held by public sector bodies, the provision of data ~~sharing~~ **intermediation**

- <sup>11</sup> Regulation (EC) No 223/2009 of the European Parliament and of the Council of 11 March 2009 on European statistics and repealing Regulation (EC, Euratom) No 1101/2008 of the European Parliament and of the Council on the transmission of data subject to statistical confidentiality to the Statistical Office of the European Communities, Council Regulation (EC) No 322/97 on Community Statistics, and Council Decision 89/382/EEC, Euratom establishing a Committee on the Statistical Programmes of the European Communities. (OJ L 87, 31.03.2009, p. 164)
- <sup>12</sup> Directive 2000/31/EC of the European Parliament and of the Council of 8 June 2000, on certain legal aspects of information society services, in particular electronic commerce, in the Internal Market (Directive on electronic commerce). (OJ L 178, 17.07.2000, p. 1)
- <sup>13</sup> Directive 2001/29/EC of the European Parliament and of the Council of 22 May 2001 on the harmonisation of certain aspects of copyright and related rights in the information society. (OJ L 167, 22.6.2001, p. 10)
- <sup>14</sup> Directive (EU) 2019/790 of the European Parliament and of the Council of 17 April 2019 on copyright and related rights in the Digital Single Market and amending Directives 96/9/EC and 2001/29/EC. (OJ L 130, 17.5.2019, p. 92)
- <sup>15</sup> Directive 2004/48/EC of the European Parliament and of the Council of 29 April 2004 on the enforcement of intellectual property rights. (OJ L 157, 30.4.2004).
- <sup>16</sup> Directive (EU) 2019/1024 of the European Parliament and of the Council of 20 June 2019 on open data and the re-use of public sector information. (OJ L 172, 26.6.2019, p. 56).
- <sup>17</sup> Regulation (EU) 2018/858 of the European Parliament and of the Council of 30 May 2018 on the approval and market surveillance of motor vehicles and their trailers, and of systems, components and separate technical units intended for such vehicles, amending Regulations (EC) No 715/2007 and (EC) No 595/2009 and repealing Directive 2007/46/EC (OJ L 151, 14.6.2018).
- <sup>18</sup> Directive 2010/40/EU of the European Parliament and of the Council of 7 July 2010 on the framework for the deployment of Intelligent Transport Systems in the field of road transport and for interfaces with other modes of transport. (OJ L 207, 6.8.2010, p. 1)
- <sup>19</sup> **Directive 2007/2/EC of the European Parliament and of the Council of 14 March 2007 establishing an Infrastructure for Spatial Information in the European Community (INSPIRE) (OJ L 108, 25.4.2007, p. 1).**
- <sup>20</sup> **Directive (EU) 2017/1132 of the European Parliament and of the Council of 14 June 2017 relating to certain aspects of company law (OJ L 169, 30.6.2017, p. 46).**
- <sup>21</sup> **Directive (EU) 2015/849 of the European Parliament and of the Council of 20 May 2015 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, amending Regulation (EU) No 648/2012 of the European Parliament and of the Council, and repealing Directive 2005/60/EC of the European Parliament and of the Council and Commission Directive 2006/70.**

services and of services based on data altruism in the Union should be established. Specific characteristics of different sectors may require the design of sectoral data-based systems, while building on the requirements of this Regulation. Where a sector-specific Union legal act requires public sector bodies, providers of data sharing intermediation services or registered entities providing data altruism services to comply with specific additional technical, administrative or organisational requirements, including through an authorisation or certification regime, those provisions of that sector-specific Union legal act should also apply.

(3a) **This Regulation is should be without prejudice to Regulation (EU) 2016/679 of the European Parliament and of the Council, to Directive 2002/58/EC of the European Parliament and of the Council and Directive (EU) 2016/680 of the European Parliament and of the Council (22). This Regulation should in particular not be read as creating a new legal basis for the processing of personal data for any of the regulated activities, or as modifying information requirements under Regulation (EU) 2016/679. Its implementation should not prevent cross-border transfers of data in accordance with Chapter V of Regulation (EU) 2016/679 from taking place. In the event of conflict between the provisions of this Regulation and Union law or national law on the protection of personal data, the latter should prevail. Data protection authorities may be should be able to be competent authorities under this Regulation. Where other organisations function as competent authorities under this Regulation, it should be without prejudice to the supervisory powers and competences of data protection authorities under Regulation (EU) 2016/679. Where personal and non-personal data in a data set are inextricably linked, this Regulation should not prejudice the application of Regulation (EU) 2016/679.**

(4) Action at Union level is necessary **to increase trust in data sharing by establishing proper mechanisms for control over data by data subjects and data holders over the data that relates to them** and in order to address ~~the other~~ barriers to a well-functioning data-driven economy and to create a Union-wide governance framework for data access, **control** and use, in particular regarding the re-use of certain types of data held by the public sector, the provision of services by data sharing intermediation service providers to business users and to data subjects, as well as the collection and processing of data made available for altruistic purposes by natural and legal persons. [These types of data use and data sharing come with a responsibility. Data holders and data subjects should be informed of unlawful use of data they provided or that concerns them. The data holder or data subject should be enabled, without delay, to undertake action to avoid or limit possible damages as a result of the unlawful use of the data. This transparency will increase trust in the data economy.](#) **This action is should be without prejudice to obligations and commitments in the international trade agreements concluded by the Union.**

Commented [A1]: We support this change

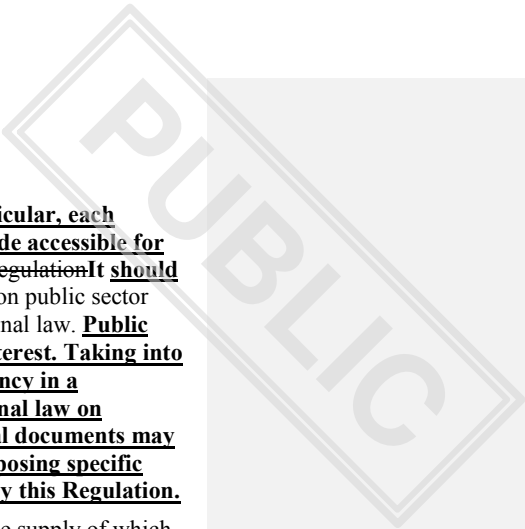
<sup>22</sup> Directive (EU) 2016/680 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data by competent authorities for the purposes of the prevention, investigation, detection or prosecution of criminal offences or the execution of criminal penalties, and on the free movement of such data, and repealing Council Framework Decision 2008/977/JHA. (OJ L 119, 4.5.2016, p. 89)

- (5) The idea that data that has been **generated or collected by public sector bodies or other entities generated** at the expense of public budgets should benefit society has been part of Union policy for a long time. Directive (EU) 2019/1024 as well as sector-specific legislation ensure that the public sector makes more of the data it produces easily available for use and re-use. However, certain categories of data (commercially confidential data, data subject to statistical confidentiality, data protected by intellectual property rights of third parties, including trade secrets and personal data not accessible on the basis of specific national or Union legislation, such as Regulation (EU) 2016/679 and Directive (EU) 2016/680) in public databases is often not made available, not even for research or innovative activities. Due to the sensitivity of this data, certain technical and legal procedural requirements must be met before they are made available, in order to ensure the respect of rights others have over such data. Such requirements are usually time- and knowledge-intensive to fulfil. This has led to the underutilisation of such data. While some Member States are setting up structures, processes and sometimes legislate to facilitate this type of re-use, this is not the case across the Union.
- (6) There are techniques enabling privacy-friendly analyses on databases that contain personal data, such as anonymisation, pseudonymisation, differential privacy, generalisation, or suppression, ~~and~~ randomisation, ~~and use of synthetic data or other such methods~~. Application of these privacy-enhancing technologies, together with comprehensive data protection approaches, **in line with the rules on personal data processing**, should ensure the safe re-use of **certain categories of protected data, for example** personal data and commercially confidential business data for research, innovation and statistical purposes. In many cases this implies that the data use and re-use in this context can only be done in a secure processing environment set in place and supervised by the public sector. There is experience at Union level with such secure processing environments that are used for research on statistical microdata on the basis of Commission Regulation (EU) 557/2013 <sup>(23)</sup>. **The public sector body may should be able to impose a condition whereby the re-use is restricted to machine-processing of data or to processing with limited human involvement.** ~~In general,~~ Insofar as personal data are concerned, the processing of personal data should rely upon one or more of the grounds for processing provided in Articles 6 **and 9** of Regulation (EU) 2016/679. ~~This Regulation should not be read as creating a new legal basis for the processing of personal data.~~
- (7) The categories of data held by public sector bodies which should be subject to re-use under this Regulation fall outside the scope of Directive (EU) 2019/1024 that excludes data which is not accessible due to commercial and statistical confidentiality and data **that is included in works or other subject matter over** ~~for~~ which third parties have intellectual property rights. **Commercially confidential data includes data protected by trade secrets, protected know-how and any other information the undue disclosure of which would have an impact on the market position or financial health of the business.** **This Regulation should apply applies to p**Personal data that fall outside the scope of Directive (EU) 2019/1024 insofar as the access regime excludes or restricts access to such data for reasons of data protection, privacy and the integrity of the individual, in particular in accordance with data protection rules. The re-use of data, which may contain trade secrets, should take place without prejudice to Directive (EU) 2016/943<sup>24</sup>, which sets the framework for the lawful acquisition, use or disclosure of trade secrets. **This Regulation does should**

**Commented [A2]:** This is not in line with EU legislation on commercially confidential information. We should not amend that legislation in this regulation. Please check/change to bring it in line with existing legislation.

<sup>23</sup> Commission Regulation (EU) 557/2013 of 17 June 2013 implementing Regulation (EC) No 223/2009 of the European Parliament and of the Council on European Statistics as regards access to confidential data for scientific purposes and repealing Commission Regulation (EC) No 831/2002 (OJ L 164, 18.6.2013, p. 16).

<sup>24</sup> OJ L 157, 15.6.2016, p. 1–18.



**not create an obligation to allow re-use of public sector data. In particular, each Member State should therefore be able to decide whether data is made accessible for re-use, also in terms of the purposes and scope of such access. This Regulation should be is without prejudice and complementary to more specific obligations on public sector bodies to allow re-use of data laid down in sector-specific Union or national law. Public access to official documents may be considered to be in the public interest. Taking into account the role of public access to official documents and transparency in a democratic society, this Regulation is also without prejudice to national law on granting access to and disclosing official documents. Access to official documents may in particular be granted in accordance with national law without imposing specific conditions or by imposing specific conditions that are not provided by this Regulation.**

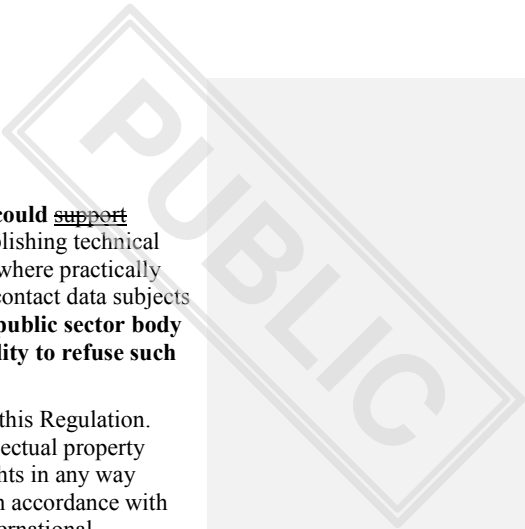
- (8) The re-use regime provided for in this Regulation should apply to data the supply of which forms part of the public tasks of the public sector bodies concerned, as defined by law or by other binding rules in the Member States. In the absence of such rules the public tasks should be defined in accordance with common administrative practice in the Member States, provided that the scope of the public tasks is transparent and subject to review. The public tasks could be defined generally or on a case-by-case basis for individual public sector bodies. As public undertakings are not covered by the definition of public sector body, the data they hold should not be subject to this Regulation. Data held by cultural establishments, such as libraries, archives and museums, as well as orchestras, operas, ballets, and theatres, and educational establishments, ~~for which intellectual property rights are not incidental, but which are predominantly contained in works and other documents protected by such intellectual property rights,~~ **should not be are not covered by this Regulation since the works and other documents they hold are predominantly covered by third party intellectual property rights. Research performing organisations and research funding organisations could also be organised as public sector bodies and/or bodies governed by public law. This Regulation should not apply to such hybrid organisations only in their capacity as research performing organisations. If such a research performing organisation holds data as a part of a specific public-private association with private sector organisations or other public bodies, bodies governed by public law or hybrid research performing organisations (i.e. organised as both public sector bodies or public undertakings) with the main purpose of pursuing research, these data should are also not be covered by this Regulation. Where relevant, Member States may decide should be able to apply the requirements of this Regulation to public undertakings or private undertakings, such as those that exercise public sector duties or provide services of general interest. The exchange of data between public sector bodies and public sector bodies in third countries or international organizations, purely in pursuit of their public tasks, should not be subject to the provisions of this Regulation concerning the re-use of certain categories of protected data held by public sector bodies.**
- (9) Public sector bodies should comply with competition law when establishing the principles for re-use of data they hold, avoiding as far as possible the conclusion of agreements, which might have as their objective or effect the creation of exclusive rights for the re-use of certain data. Such agreement should be only possible when justified and necessary for the provision of a service of general interest. This may be the case when exclusive use of the data is the only way to maximise the societal benefits of the data in question, for example where there is only one entity (which has specialised in the processing of a specific dataset) capable of delivering the service or the product which allows the public sector body to provide an advanced digital service in the general interest. Such arrangements should,

**Commented [A3]:** The main issue of the NL is the flexibility for our RTO / Institutes of Applied Science when collaborating in PPP's which are the main organisational format for applied research in the Netherlands (collaboration RTO with industry and enterprises). Within these PPP RTO's (which could be organisations that could also be organised as public sector bodies and/or bodies governed by public law) should have a discretionary power how to bring in their closed databases (designed, owned, and curated by the RTO itself contents consists of competitive data collected through or for other research projects) into these PPP's which are topic focused and therefore unique collaborations for which a general one size fits all solutions for data/-share/-exchange are not an option.

The adagium "as open as possible and as closed as necessary" could be a good starting point for finding a flexible solution as this is the exclusion ground in Open Science for competitive research collaborations. If not possible then PPP should be kept out of the DGA or even the whole RTOs.

however, be concluded in compliance with public procurement rules and be subject to regular review based on a market analysis in order to ascertain whether such exclusivity continues to be necessary. In addition, such arrangements should comply with the relevant State aid rules, as appropriate, and should be concluded for a limited period, which should not exceed three years. In order to ensure transparency, such exclusive agreements should be published online **two months before entry into force**, regardless of a possible publication of an award of a public procurement contract. **Where an exclusive right to re-use data does not meet the conditions set out in this Regulation, the exclusive right should shall be invalid.**

- (10) Prohibited exclusive agreements and other practices or arrangements between data **subjects and data holders on the one hand**, and data re-users **on the other hand**, which do not expressly grant exclusive rights but which can reasonably be expected to restrict the availability of data for re-use that have been concluded or have been already in place before the entry into force of this Regulation should not be renewed after the expiration of their term. In the case of indefinite or longer-term agreements, they should be terminated within three years from the date of entry into force of this Regulation.
- (11) Conditions for re-use of protected data that apply to public sector bodies competent under national law to allow re-use, and which should be without prejudice to rights or obligations concerning access to such data, should be laid down. Those conditions should be non-discriminatory, proportionate and objectively justified, while not restricting competition. **The conditions for re-use should be designed in a manner promoting scientific research, e.g. privileging research should be considered non-discriminatory. In particular, public sector bodies allowing re-use should have in place the technical means necessary to ensure the protection of rights and interests of third parties. Conditions attached to the re-use of data should be limited to what is necessary to preserve the rights and interests of others in the data and the integrity of the information technology and communication systems of the public sector bodies. Public sector bodies should apply conditions which best serve the interests of the re-user without leading to a disproportionate effort-burden for the public sector. Depending on the case at hand, before its transmission, personal data should be fully-anonymised, so as to definitively not allow the identification of the data subjects, or data containing commercially confidential information modified in such a way that no confidential information is disclosed. Where provision of anonymised or modified data would not respond to the needs of the re-user. Alternatively, on-premise or remote re-use of the data within a secure processing environment could be permitted. Data analyses in such secure processing environments should be supervised by the public sector body, so as to protect the rights and interests of others. In particular, personal data should only be transmitted for re-use to a third party where a legal basis under data protection legislation the Regulation (EU) 2016/679 allows such transmission. **This should also applies apply to pseudonymised data which remain personal data within the meaning in the sense of Regulation (EU) 2016/679. In the event of reidentification of data subjects, the obligation to report notify such a data breach to the public sector body should apply in addition to the obligation to report notify such a data breach to a supervisory authority and to the data subject in accordance with Regulation (EU) 2016/679. The public sector body could make the use of such secure processing environment conditional on the signature by the re-user of a confidentiality agreement that prohibits the disclosure of any information that jeopardises the rights and interests of third parties that the re-user may have acquired despite the safeguards put in place. The public sector bodies, where relevant, should facilitate the re-use of data on the basis of consent of data subjects or permissions of legal persons on the re-use of data pertaining to them through****



adequate technical means. In this respect, the public sector body ~~should~~**could support provide assistance to** potential re-users in seeking such consent by establishing technical mechanisms that permit transmitting requests for consent from re-users, where practically feasible. No contact information should be given that allows re-users to contact data subjects or companies directly. **When transmitting the request to consent, the public sector body should ensure that the data subject is clearly informed of the possibility to refuse such a request.**

- (12) The intellectual property rights of third parties should not be affected by this Regulation. This Regulation should neither affect the existence or ownership of intellectual property rights of public sector bodies, nor should it limit the exercise of these rights in any way ~~beyond the boundaries set by this Regulation~~. The obligations imposed in accordance with this Regulation should apply only insofar as they are compatible with international agreements on the protection of intellectual property rights, in particular the Berne Convention for the Protection of Literary and Artistic Works (Berne Convention), the Agreement on Trade-Related Aspects of Intellectual Property Rights (TRIPS Agreement) and the WIPO Copyright Treaty (WCT) **and Union or national law governing intellectual property**. Public sector bodies should, however, exercise their copyright in a way that facilitates re-use.
- (13) Data subject to intellectual property rights as well as trade secrets should only be transmitted to a third party where such transmission is lawful by virtue of Union or national law or with the agreement of the rightholder. Where public sector bodies are holders of the right provided for in Article 7(1) of Directive 96/9/EC of the European Parliament and of the Council <sup>(25)</sup> they should not exercise that right in order to prevent the re-use of data or to restrict re-use beyond the limits set by this Regulation.
- (14) Companies and data subjects should be able to trust that the re-use of certain categories of protected data, which are held by the public sector, will take place in a manner that respects their rights and interests. Additional safeguards should thus be put in place for situations in which the re-use of such public sector data is taking place on the basis of a processing of the data outside the public sector. Such an additional safeguard could be found in the requirement that public sector bodies should take fully into account the rights and interests of natural and legal persons (in particular the protection of personal data, commercially ~~sensitive~~**confident** data and the protection of intellectual property rights) in case such data is transferred to third countries.
- (15) Furthermore, it is important to **safeguard** protected ~~commercially sensitive~~ data of non-personal nature, notably trade secrets, but also non-personal data representing content protected by intellectual property rights from unlawful access that may lead to IP theft or industrial espionage. In order to ensure the protection of fundamental rights or interests of data **subjects and data** holders, non-personal data which is to be protected from unlawful or unauthorised access under Union or national law, and which is held by public sector bodies, ~~should can~~ be transferred ~~only~~ to third-countries ~~only when where~~ appropriate safeguards for the use of data are provided. Such appropriate safeguards **should include the public sector body should only transmitting protected data to a re-user, if the re-user undertakes obligations in the interest of the protection of the data. The re-user that intends to transfer the data to such third country should commit to comply with the obligations laid out in this Regulation even after the data has been transferred to the third country. To ensure the proper enforcement of such obligations, the re-user**

**Commented [A4]:** This term is not defined and is not part of IP legislation. Can it be changed to a term used in IP legislation

<sup>25</sup> Directive 96/9/EC of the European Parliament and of the Council of 11 March 1996 on the legal protection of databases (OJ L 77, 27.3.1996, p. 20).

**should also accept the jurisdiction of the Member State of the public sector body that allowed the re-use for the judicial settlement of disputes. In addition, the public sector body and the re-user may rely on model contractual clauses adopted by the Commission.**

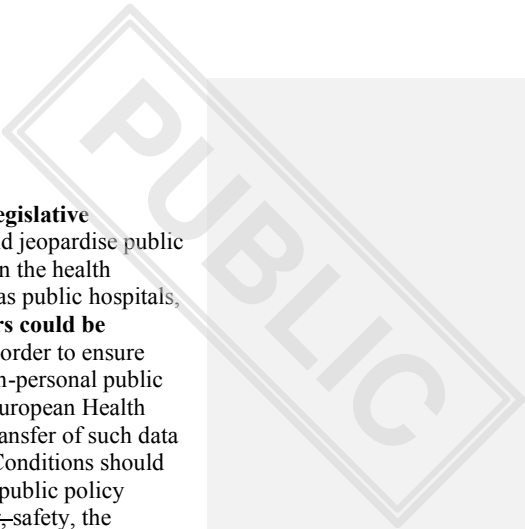
(16) **Appropriate safeguards** should **also** be considered to exist when in that third-country there are equivalent measures in place which ensure that non-personal data benefits from a level of protection similar to that applicable by means of Union ~~or national~~ law in particular as regards the protection of trade secrets and the protection of intellectual property rights. To that end, the Commission may adopt implementing acts, **when justified by a substantial number of requests, across the Union, concerning the re-use of non-personal data in specific third countries**, that declare that a third country provides a level of protection that is essentially equivalent to those provided by Union or national law. **The Commission should assess the necessity of the adoption of such implementing acts based on the information provided by the Member States through the European Data Innovation Board. Such implementing acts would reassure public sector bodies that re-use of publicly held data in the concerned third-country would not compromise the protected nature of the data.** The assessment of the level of protection afforded in such third-country should, in particular, take into consideration the relevant legislation, both general and sectoral, including concerning public security, defence, national security and criminal law concerning the access to and protection of non-personal data, any access by the public authorities of that third country to the data transferred, the existence and effective functioning of one or more independent supervisory authorities in the third country with responsibility for ensuring and enforcing compliance with the legal regime ensuring access to such data, or the third countries' international commitments regarding the protection of data the third country concerned has entered into, or other obligations arising from legally binding conventions or instruments as well as from its participation in multilateral or regional systems. The existence of effective legal remedies for data ~~holders~~ **subjects and data holders**, public sector bodies or data ~~sharing~~ **intermediation service** providers in the third country concerned is of particular importance in the context of the transfer of non-personal data to that third country. Such safeguards should therefore include the availability of enforceable rights and of effective legal remedies. **Such implementing acts are without prejudice to any legal obligation or contractual arrangements already undertaken by a re-user in the interest of the protection of non-personal data, in particular industrial data, and to the public sector bodies' right to oblige re-users to comply with conditions for re-use, in accordance with this Regulation.**

~~(16) In cases where there is no implementing act adopted by the Commission in relation to a third country declaring that it provides a level of protection, in particular as regards the protection of commercially sensitive data and the protection of intellectual property rights, which is essentially equivalent to that provided by Union or national law, the public sector body should only transmit protected data to a re-user, if the re-user undertakes obligations in the interest of the protection of the data. The re-user that intends to transfer the data to such third country should commit to comply with the obligations laid out in this Regulation even after the data has been transferred to the third country. To ensure the proper enforcement of such obligations, the re-user should also accept the jurisdiction of the Member State of the public sector body that allowed the re-use for the judicial settlement of disputes.~~

(17) Some third countries adopt laws, regulations and other legal acts which aim at directly transferring or providing **governmental** access to non-personal data in the Union under the control of natural and legal persons under the jurisdiction of the Member States. **Public sector bodies, natural or legal persons to which the right to re-use data was granted,**

~~data intermediation service providers and entities entered in the register of recognised data altruism organisations should ensure, when signing contractual agreements with other private parties, that non-personal data held in the Union are only accessed in or transferred to third countries in compliance with the law of the Union or the law of the relevant Member State.~~ Judgments of courts or tribunals or decisions of administrative authorities in third countries requiring such transfer or access to non-personal data should be enforceable when based on an international agreement, such as a mutual legal assistance treaty, in force between the requesting third country and the Union or a Member State. In some cases, situations may arise where the obligation to transfer or provide access to non-personal data arising from a third country law conflicts with a competing obligation to protect such data under Union or national law, in particular as regards **the protection of fundamental rights of the individual or the fundamental interests of a Member State related to national security or defence**, the protection of commercially sensitive data and the protection of intellectual property rights, and including its contractual undertakings regarding confidentiality in accordance with such law. In the absence of international agreements regulating such matters, transfer or access should only be allowed under certain conditions, in particular **after verifying** that the third-country's **legal** system requires the reasons and proportionality of the decision to be set out, that the court order or the decision is specific in character, and the reasoned objection of the addressee is subject to a review by a competent court in the third country, which is empowered to take duly into account the relevant legal interests of the provider of such data. **Moreover, public sector bodies, natural or legal persons to which the right to re-use data was granted, data intermediation service providers and entities entered in the register of recognised data altruism organisations should ensure, when signing contractual agreements with other private parties, that non-personal data held in the Union are only accessed in or transferred to third countries in compliance with the law of the Union or the law of the relevant Member State.**

- (18) ~~In order to prevent unlawful access to non-personal data, public sector bodies, natural or legal persons to which the right to re-use data was granted, data sharing intermediation service providers and entities entered in the register of recognised data altruism organisations should take all reasonable measures to prevent access to the systems where non-personal data is stored, including encryption of data or corporate policies.~~
- (18a) To foster further trust in the data economy of the Union, it is essential that **the adequate safeguards are provided in relation to Union citizens, the public sector and businesses that ensure that control over their strategic and sensitive data is guaranteed are respected and that Union legislation law, values and standards are upheld in terms of, but not limited to, security, data protection and consumer protection. In order to prevent unlawful access to non-personal data, public sector bodies, natural or legal persons to which the right to re-use data was granted, data sharing intermediation service providers and entities entered in a national register of recognised data altruism organisations should take all reasonable measures to prevent access to the systems where non-personal data is stored, including encryption of data or corporate policies. To these ends that end, it has to be ensured that public sector bodies, natural or legal persons to which the right to re-use data was granted, data intermediation service providers and entities entered in the register of recognized data altruism organisations should adhere to the all relevant technical standards, codes of conduct and certifications at Union level.**
- (19) In order to build trust in re-use mechanisms, it may be necessary to attach stricter conditions for certain types of non-personal data that ~~may will be have been~~ identified as highly



sensitive in **future specific Union acts adopted in accordance with a legislative procedure**, as regards the transfer to third countries, if such transfer could jeopardise public policy objectives, in line with international commitments. For example, in the health domain, certain datasets held by actors in the public health system, such as public hospitals, could be identified as highly sensitive health data. **Other relevant sectors could be transport, energy, environment, telecommunications and finance.** In order to ensure harmonised practices across the Union, such types of highly sensitive non-personal public data should be defined by Union law, for example in the context of the European Health Data Space or other sectoral legislation. The conditions attached to the transfer of such data to third countries should be laid down in ~~delegated~~ **implementing** acts. Conditions should be proportionate, non-discriminatory and necessary to protect legitimate public policy objectives identified, such as the protection of public health, ~~public order~~, safety, the environment, public morals, consumer protection, privacy and personal data protection. The conditions should correspond to the risks identified in relation to the sensitivity of such data, including in terms of the risk of the re-identification of individuals. These conditions could include terms applicable for the transfer or technical arrangements, such as the requirement of using a secure processing environment, limitations as regards the re-use of data in third-countries or categories of persons which are entitled to transfer such data to third countries or who can access the data in the third country. In exceptional cases they could also include restrictions on transfer of the data to third countries to protect the public interest.

- (20) Public sector bodies should be able to charge fees for the re-use of data but should also be able to decide to ~~make the data available~~ **allow re-use** at lower or no cost, for example for certain categories of re-uses such as non-commercial re-use, ~~for scientific research purposes~~, or re-use by small and medium-sized enterprises, so as to incentivise such re-use in order to stimulate research and innovation and support companies that are an important source of innovation and typically find it more difficult to collect relevant data themselves, in line with State aid rules. **In this specific context, scientific research purposes should be understood to include any type of research related purpose regardless of the organizational or financial structure of the research institution in question, with the exception of research that is being conducted exclusively by a company aiming at the development, enhancement or optimisation of products or services.** Such fees should be reasonable, transparent, published online and non-discriminatory.
- (21) In order to incentivise the re-use of these categories of data, Member States should establish a single information point to act as the primary interface for re-users that seek to re-use such data held by the public sector bodies. It should have a cross-sector remit, and should complement, if necessary, arrangements at the sectoral level. **The single information point ~~may~~ should be able to rely on automated means when transmitting enquiries or requests for the re-use. Sufficient human oversight should be ensured in the transmission process. Already existing practical arrangements such as Open Data Portals ~~may~~ could be used for this purpose. It should have an asset list containing all available data sources, including, where relevant, those available at sectoral, regional and local information points, with relevant information describing the data.** In addition, Member States should designate, establish or facilitate the establishment of competent bodies to support the activities of public sector bodies allowing re-use of certain categories of protected data. Their tasks may include granting access to data, where mandated in sectoral Union or Member States legislation. Those competent bodies should provide support to public sector bodies with state-of-the-art techniques, including secure data processing environments, which allow data analysis in a manner that preserves the privacy of the information **and act in accordance with the instructions received from the public**

Commented [A5]: We welcome and support this change

**sector body.** Such support structure could support the data holders subjects and data holders with management of the consent or permission to re-use, including consent to certain areas of scientific research when in keeping with recognised ethical standards for scientific research. **The competent bodies should not have a supervisory function, which is reserved for supervisory authorities under Regulation (EU) 2016/679. Without prejudice to the supervisory powers of data protection authorities, data processing should be performed under the responsibility of the public sector body responsible for the register containing the data, who remains a data controller in the sense within the meaning of Regulation (EU) 2016/679 insofar as personal data are concerned.** Member States may have in place one or several competent bodies, which could act in different sectors. **Internal services of public sector bodies may could act as competent bodies. A competent body can be a public sector body supporting other public sector bodies in allowing re-use of data or a public sector body allowing re-use itself.**

(22) Providers of data sharing intermediation services (data intermediaries) are expected to play a key role in the data economy, **in particular in supporting voluntary data sharing practices between companies or data sharing arrangements set by Union or national law. They could become a** as a tool to facilitate the aggregation and exchange of substantial amounts of relevant data. Data intermediaries offering services that connect the different actors have the potential to contribute to the efficient pooling of data as well as to the facilitation of bilateral data sharing. Specialised data intermediaries that are independent from both data subjects and data holders, and from data users, **can could** have a facilitating role in the emergence of new data-driven ecosystems independent from any player with a significant degree of market power. **This will be particularly important in the context of the establishment of common European data spaces. Such data spaces will-might require an entity or a framework to structure and organise ('orchestrate') such data spaces. Data intermediation services may could include inter alia bilateral or multilateral sharing of data or the creation of platforms or databases enabling the sharing or joint use of data, as well as the establishment of a specific infrastructure for the interconnection of data holders and data users.**

(22a) This Regulation should only cover **entities that provide information society services within the meaning of Article 1(1)b of Directive (EU) 2015/1535 and which providers of data sharing services that have as a main objective the establishment of a direct business, a legal and/or potentially also technical relation between data holders, including data subjects and data holders**, on the one hand, and potential users on the other hand, and assist both parties in a transaction of data assets between the two. **This would include, inter alia, data marketplaces on which companies could publish information on data the use of which they would be willing to license to others, ecosystem orchestrators that ensure the proper functioning of data sharing ecosystems, for instance in the context of common European data spaces, as well as data pools established jointly by several companies with the intention to license the use of such pool in a manner that all companies contributing to the pool would receive direct reward for their contribution to the pool. This would exclude service providers that obtain data from data subjects and data holders, aggregate, enrich or transform the data and licence the use of the resulting data to data users, without establishing a direct relationship between data subjects and data holders, on the one hand, and data users on the other hand, for example advertisement or data brokers, data consultancies, providers of data products resulting from value added to the data by the service provider. ~~It~~ **This Regulation** should only cover services aiming at intermediating between an indefinite undefined number of data subjects and data holders, **on the one hand, holders and data users on the other hand,****

**Commented [A6]:** All recitals related to chapter 3 will need to be brought in line with the normative text once we will have agreed upon scope and definition.

**Commented [A7]:** We do not see data sharing service providers as channels for public sector data distribution which would be an obvious case for data sharing mandated by national or union law. In our view, data sharing service providers will only become key players in the data economy if they support data sharing between companies and individuals. That should be the purpose of establishing them in the first place: Enhancing trust in data sharing B2B/C2B through neutral data intermediaries.

**Commented [A8]:** Decentralised solutions should not be excluded and in fact, we envisage data spaces also as networks with common standards, interoperability agreements and trust schemes.

**Commented [A9]:** The term keeps raising confusion, since a broker in other contexts be someone who establishes a direct relationship between two parties for the sake of a transaction. Are other terms available that refer to the same kind of companies?

excluding data sharing intermediation services that are meant to be used by a closed group of data subjects and data holders, and users, **i.e. a situation where a data sharing service was set up for a definite number of data subjects, data holders and data users, and access to such service is dependent on the agreement by the members of the group. In such closed arrangements, there is sufficient trust of the parties in the service provider that is used for the sharing of data. The provision of cloud infrastructure or of data sharing software, the provision of web browsers or browser plug-ins, or an email service should not be considered data intermediation services in the sense of this Regulation as such services only provide technical tools for data subjects or data holders to share data with others, but are neither aiming to establish a direct legal or business relationship between them and data users, nor are through the provision of such acquiring any information on the establishment of such relationship.** Providers of cloud services should be excluded, as well as service providers that obtain data from data holders ~~subjects and data holders~~, aggregate, enrich or transform the data and license the use of the resulting data to data users, without establishing a direct relationship between data holders ~~subjects and data holders, on the one hand~~, and data users ~~on the other hand~~, for example advertisement or data brokers, data consultancies, providers of data products resulting from value added to the data by the service provider. At the same time, ~~d~~Data sharing intermediation service providers should be allowed **to offer certain services, e.g. making adaptations to the data exchanged, to the extent that such services are intrinsically linked with the sharing of the data and that** this improves the usability of the data by the data user, where the data user desires this, such as to convert it into specific formats. [Additional services to the data holder or data subject may be provided on the condition that they are in the interest of the data holder or data subject and were explicitly requested by the data holder or data subject.](#) In addition, services that focus on the intermediation of content, in particular on copyright-protected content, **such as online content sharing service providers in the meaning of Article 2(6) of Directive (EU) 2019/790** should not be covered by this Regulation. Data exchange platforms that are exclusively used by one data holder in order to enable the use of data they hold as well as platforms, developed **and exclusively offered by manufacturers** in the context of objects and devices connected to the Internet-of-Things, ~~which that~~ have as their main objective to ensure functionalities of the connected object or device and **to allow value added services**, should not be covered by this Regulation. ‘Consolidated tape providers’ **as defined in the sense** of Article 4 (1) point 53 of Directive 2014/65/EU of the European Parliament and of the Council<sup>26</sup> as well as ‘account information service providers’ **as defined in the sense** of Article 4 point 19 of Directive (EU) 2015/2366 of the European Parliament and of the Council<sup>27</sup> should not be considered as data sharing intermediation service providers for the purposes of this Regulation. **Public sector bodies that offer data sharing intermediation services facilities on a non-commercial basis are should not be covered by Chapter III of this Regulation. Member States may determine whether public sector bodies can provide data intermediation services on a commercial basis, within the relevant limitations to their market activities as established in Union law.** Entities which restrict their activities to facilitating use of data made available on the basis of data altruism and that operate on a not-for-profit basis should not be covered by Chapter III of this Regulation, as

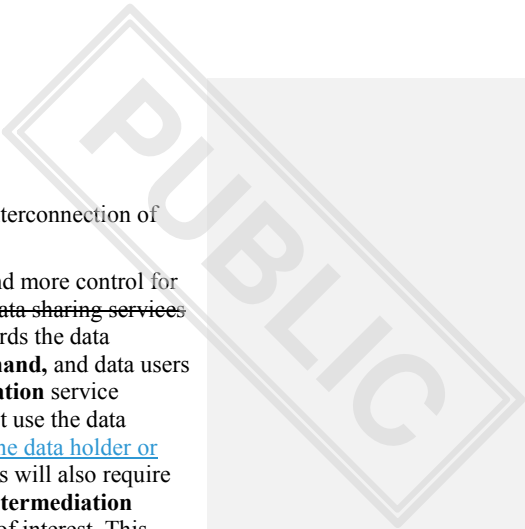
**Commented [A10]:** Should there be some reference to relevant competition law/internal market limitations on markets activities for public sector bodies

<sup>26</sup> Directive 2014/65/EU of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU, OJ L 173/349.

<sup>27</sup> Directive (EU) 2015/2366 of the European Parliament and of the Council of 25 November 2015 on payment services in the internal market, amending Directives 2002/65/EC, 2009/110/EC and 2013/36/EU and Regulation (EU) No 1093/2010, and repealing Directive 2007/64/EC.

this activity serves objectives of general interest by increasing the volume of data available for such purposes.

- (23) A specific category of data intermediaries includes providers of data ~~sharing~~ **intermediation** services that offer their services to data subjects ~~in the sense~~ **within the meaning** of Regulation (EU) 2016/679. Such providers ~~focus exclusively on personal data and~~ seek to enhance individual agency, and **in particular** the individuals' control over the data ~~pertaining relating~~ to them. They would assist individuals in exercising their rights under Regulation (EU) 2016/679, in particular managing their consent to data processing, the right of access to their own data, the right to the rectification of inaccurate personal data, the right of erasure or right 'to be forgotten', the right to restrict processing and the data portability right, which allows data subjects to move their personal data from one controller to the other. In this context, it is important that their business model ensures that there are no misaligned incentives that encourage individuals **to use such services** to make more data **relating to them** available for processing than what is in the individuals' own interest. This could include advising individuals on uses of their data they could allow and making due diligence checks on data users before allowing them to contact data subjects, in order to avoid fraudulent practices. In certain situations, it could be desirable to collate actual data within a personal data storage space, or 'personal data space' so that processing can happen within that space without personal data being transmitted to third parties in order to maximise the protection of personal data and privacy. **Such 'personal data spaces' may contain static personal data such as name, address or date of birth as well as dynamic data that an individual generates e.g. through the use of an online service or an object connected to the Internet-of-Things. They may also be used to store verified identity information (passport number, social security information) as well as proof of personal attributes (e.g. driving licence, diplomas or bank account information).**
- (24) Data cooperatives seek to strengthen the position of individuals in making informed choices before consenting to data use, influencing the terms and conditions of data user organisations attached to data use or potentially solving disputes between members of a group on how data can be used when such data pertain to several data subjects within that group. In this context it is important to acknowledge that the rights under Regulation (EU) 2016/679 ~~can only be exercised by each individual and cannot be conferred or delegated to a data cooperative~~ **are personal rights of the data subject and that data subjects cannot relinquish such rights.** Data cooperatives could also provide a useful means for one-person companies, micro, small and medium-sized enterprises that in terms of knowledge of data sharing, are often comparable to individuals.
- (25) In order to increase trust in such data ~~sharing~~ **intermediation** services, in particular related to the use of data and the compliance with the conditions imposed by data ~~holders~~ **subjects and data holders**, it is necessary to create a Union-level regulatory framework, which ~~would~~ sets out highly harmonised requirements related to the trustworthy provision of such data ~~sharing~~ **intermediation** services, **and which is ~~would be~~ implemented by the national competent authorities.** This will contribute to ensuring that data **subjects and data holders, as well as** and data users, have better control over the access to and use of their data, in accordance with Union law. **The Commission should also encourage and facilitate the development of self-regulatory codes of conduct at Union level, involving relevant stakeholders, in particular on interoperability.** Both in situations where data sharing occurs in a business-to-business context and where it occurs in a business-to-consumer context, data ~~sharing~~ **intermediation service** providers should offer a novel, 'European' way of data governance, by providing a separation in the data economy between data provision, intermediation and use. Providers of data ~~sharing~~ **intermediation** services



~~may could~~ also make available specific technical infrastructure for the interconnection of data holders **subjects and data holders and with** data users.

(26) A key element to bring trust in **data sharing intermediation services** and more control for data holder **subjects and data holders, as well as for and** data users, in ~~data sharing services~~ is the neutrality of **data sharing intermediation** service providers as regards the data exchanged between data holders **subjects and data holders, on the one hand,** and data users **on the other hand.** It is therefore necessary that **data sharing intermediation** service providers act ~~only~~ as **trusted** intermediaries in the transactions, and do not use the data exchanged for any other purpose **except for the provision of services to the data holder or data subject that are in the interest of the data holder or data subject.** This will also require ~~structural separation an independent structure~~ between the **data sharing intermediation** service and any other services provided, so as to avoid issues of conflict of interest. This ~~might~~ means that the **data sharing intermediation** service should be provided through a legal ~~entity~~ **person** that is separate from the other activities of that **data sharing intermediation service** provider. ~~As an exception to this, the data sharing intermediation service providers may should be able may to use the data for which they provide sharing services for the improvement of their services provided by the data holder for the improvement of their data intermediation services. In addition to that the providers may could offer additional specific services to improve the usability of the data and ancillary services that facilitate the sharing of data, such as storage, aggregation, curation, pseudonymisation and anonymisation.~~ **Data sharing intermediation service** providers that intermediate the exchange of data between individuals ~~and legal entities~~ as **data holders subjects and holders** and legal ~~persons~~ **entities as data users** should, in addition, bear fiduciary duty towards the individuals ~~and legal entities~~, to ensure that they act in the best interest of the **data holders subjects and data holders, including the provision of services that are in the best interest of the data subject or data holders.** **Questions of liability for all material and immaterial damages and detriments resulting from any conduct of the data sharing intermediation service provider should could should** be addressed in the relevant contract, based on the national liability regimes.

**Commented [A11]:** Why not 'should'? we see no point in making this optional.

(26a) **Data sharing intermediation service providers should take reasonable measures to ensure interoperability within a sector and between different sectors to ensure the proper functioning of the market. Reasonable measures could include following the existing, commonly-used standards in the sector where the data service provider operates. The European Data Innovation Board should facilitate the emergence of additional industry standards, where necessary. Data sharing intermediation service providers should implement in due time the measures for interoperability between the data sharing intermediation services set out by the European Data Innovation Board.**

(27) In order to ensure the compliance of the providers of **data sharing intermediation** services with the conditions set out in this Regulation, such providers should have a place of establishment in the Union. Alternatively, where a provider of **data sharing intermediation** services not established in the Union offers services within the Union, it should designate a representative. Designation of a representative is necessary, given that such providers of **data sharing intermediation** services handle personal data as well as commercially confidential data, which necessitates the close monitoring of the compliance of such service providers with the conditions laid out in this Regulation. In order to determine whether such a provider of **data sharing intermediation** services is offering services within the Union, it should be ascertained whether it is apparent that the provider of **data sharing intermediation** services is planning to offer services to persons in one or more Member States. The mere

accessibility in the Union of the website or of an email address and of other contact details of the provider of data ~~sharing~~ **intermediation** services, or the use of a language generally used in the third country where the provider of data ~~sharing~~ **intermediation** services is established, should be considered insufficient to ascertain such an intention. However, factors such as the use of a language or a currency generally used in one or more Member States with the possibility of ordering services in that other language, or the mentioning of users who are in the Union, ~~may~~ **could** make it apparent that the provider of data ~~sharing~~ **intermediation** services is planning to offer services within the Union. The representative should act on behalf of the provider of data ~~sharing~~ **intermediation** services and it should be possible for competent authorities to contact the representative. The representative should be designated by a written mandate of the provider of data ~~sharing~~ **intermediation** services to act on the latter's behalf with regard to the latter's obligations under this Regulation.

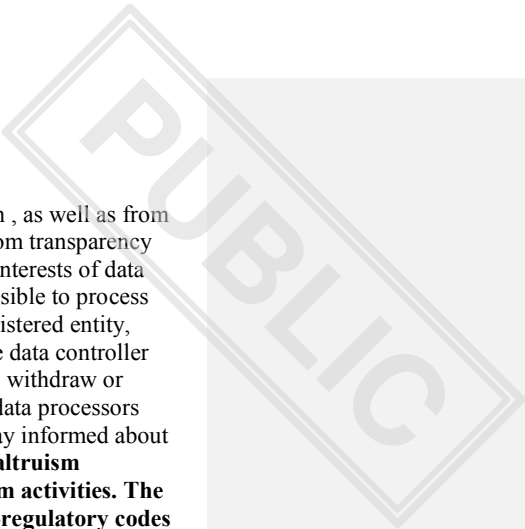
- (28) This Regulation should be without prejudice to the obligation of providers of data ~~sharing~~ **intermediation** services to comply with Regulation (EU) 2016/679 and the responsibility of supervisory authorities to ensure compliance with that Regulation. **When providers of data ~~sharing~~ **intermediation** services process personal data, this Regulation does not affect the protection of personal data.** Where the data ~~sharing~~ **intermediation** service providers are data controllers or processors **as defined** in ~~the sense~~ of Regulation (EU) 2016/679 they are bound by the rules of that Regulation. ~~This Regulation should be also without prejudice to the application of competition law.~~
- (29) **This Regulation should be also without prejudice to the application of competition law.** Providers of data ~~sharing~~ **intermediation** services should also take measures to ensure compliance with competition law **and have procedures in place to this effect.** Data sharing ~~could~~ **may** generate various types of efficiencies but ~~could~~ **may** also lead to restrictions of competition, in particular where it includes the sharing of competitively sensitive information. This applies in particular in situations where data sharing enables businesses to become aware of market strategies of their actual or potential competitors. Competitively sensitive information typically includes information on **customer data**, future prices, production costs, quantities, turnovers, sales or capacities.
- (30) A notification procedure for data ~~sharing~~ **intermediation** services should be established in order to ensure a data governance within the Union based on trustworthy exchange of data. The benefits of a trustworthy environment would be best achieved by imposing a number of requirements for the provision of data ~~sharing~~ **intermediation** services, but without requiring any explicit decision or administrative act by the competent authority for the provision of such services.
- (31) In order to support effective cross-border provision of services, the data ~~sharing~~ **intermediation service** provider should be requested to send a notification only to the designated competent authority from the Member State where its main establishment is located or where its legal representative is located. Such a notification should not entail more than a mere declaration of the intention to provide such services and should be completed only by the information set out in this Regulation.
- (32) The main establishment of a provider of data ~~sharing~~ **intermediation** services in the Union should be the Member State with the place of its central administration in the Union. The main establishment of a provider of data ~~sharing~~ **intermediation** services in the Union should be determined according to objective criteria and should imply the effective and real exercise of management activities. **Activities of a provider of data ~~sharing~~ **intermediation** services should also be in line with national law of the Member State in which it has its main establishment.**

- (33) The competent authorities designated to monitor compliance of data ~~sharing~~ **intermediation** services with the requirements in this Regulation should be chosen on the basis of their capacity and expertise regarding horizontal or sectoral data sharing, and they should be independent **of any provider of data intermediation services** as well as transparent and impartial in the exercise of their tasks. Member States should notify the Commission of the identity of the designated competent authorities. **The powers and competences of the designated competent authorities should be ~~are~~ without prejudice to the powers of the data protection authorities. In particular, for any question requiring an assessment of compliance with Regulation (EU) 2016/679, the competent authority should seek, where relevant, an opinion or decision by the competent supervisory authority established pursuant to that Regulation.**
- (34) The notification framework laid down in this Regulation should be without prejudice to specific additional rules for the provision of data ~~sharing~~ **intermediation** services applicable by means of sector-specific legislation.
- (35) There is a strong potential in the use of data made available voluntarily by data subjects based on their **informed** consent or, where it concerns non-personal data, made available by legal persons, for ~~purposes~~ **objectives** of general interest. Such ~~purposes~~ **objectives** would include healthcare, combating climate change, improving mobility, facilitating **the development, production and dissemination of European statistics** ~~the establishment of official statistics~~ or improving the provision of public services. Support to scientific research, including for example technological development and demonstration, fundamental research, applied research and privately funded research, should be considered as well ~~purposes~~ **objectives** of general interest. This Regulation **should** ~~aims~~ at contributing to the emergence of pools of data made available on the basis of data altruism that have a sufficient size in order to enable data analytics and machine learning, including across borders in the Union. **In order to achieve this objective, Member States ~~should~~ may have organizational or technical arrangements in place, which would facilitate data altruism. Such arrangements could include the availability of easily useable tools for data subjects or data holders for giving consent or permission for the altruistic use of their data, the organization of awareness campaigns, or a structured exchange between public authorities on how public policies benefit from data altruism (e.g. improving traffic, public health, combating climate change). In support of this, Member States could also define national policies for data altruism. Data subjects should be able to ~~may~~ receive compensation related only to the ~~necessary~~ costs they incur ~~by~~ making their data available for objectives of general interest.**
- (36) Legal entities that seek to support ~~purposes~~ **objectives** of general interest by making available relevant data based on data altruism at scale and meet certain requirements, should be able to register as ‘data altruism organisations recognised in the Union’. This could lead to the establishment of data repositories. As registration in a Member State would be valid across the Union, and this should facilitate cross-border data use within the Union and the emergence of data pools covering several Member States. Data subjects in this respect would consent to specific purposes of data processing, but could also consent to data processing in certain areas of research or parts of research projects as it is often not possible to fully identify the purpose of personal data processing for scientific research purposes at the time of data collection. Legal persons could give permission to the processing of their non-personal data for a range of purposes not defined at the moment of giving the permission. The ~~voluntary~~ compliance of such registered entities with a set of requirements, **including relevant codes of conduct**, should bring trust that the data made available on altruistic purposes is serving a general interest purpose. Such trust should result in particular

Commented [A12]: We welcome this addition

Commented [A13]: We welcome this addition

Commented [A14]: We consider this addition unnecessary as indicated in art. 14a, but as a compromise we can accept a voluntary wording ('may').



from a place of establishment **or a legal representative** within the Union , as well as from the requirement that registered entities have a not-for-profit character, from transparency requirements and from specific safeguards in place to protect rights and interests of data subjects and companies. Further safeguards should include making it possible to process relevant data within a secure processing environment operated by the registered entity, oversight mechanisms such as ethics councils or boards to ensure that the data controller maintains high standards of scientific ethics, effective technical means to withdraw or modify consent at any moment, based on the information obligations of data processors under Regulation (EU) 2016/679 as well as means for data subjects to stay informed about the use of data they made available. **Registration as a recognised data altruism organisation should not be a precondition for exercising data altruism activities. The Commission should encourage and facilitate the development of self-regulatory codes of conduct at Union level, involving relevant stakeholders.**

- (37) This Regulation is without prejudice to the establishment, organisation and functioning of entities that seek to engage in data altruism pursuant to national law. It builds on national law requirements to operate lawfully in a Member State as a not-for-profit organisation. Entities which meet the requirements **in of** this Regulation should be able to use the title of ‘data altruism organisations recognised in the Union’.
- (38) Data altruism organisations recognised in the Union should be able to collect relevant data directly from natural and legal persons or to process data collected by others. **Data altruism organisations may process the collected data for purposes which they define themselves, and are specified, explicit and legitimate within the meaning of Regulation (EU) 2016/679, or permit the processing by third parties before requesting permission from the data subject or data holder.** Where recognised data altruism organisations are data controllers or processors **within the meaning in the sense** of Regulation (EU) 2016/679 they are bound by the rules of that Regulation. Typically, data altruism would rely on consent of data subjects in the sense of Article 6(1)(a) and 9(2)(a) **of Regulation (EU) 2016/679 and that should be** in compliance with requirements for lawful consent in accordance with Article 7 of **that** Regulation ~~(EU) 2016/679~~. In accordance with Regulation (EU) 2016/679, scientific research purposes can be supported by consent to certain areas of scientific research when in keeping with recognised ethical standards for scientific research or only to certain areas of research or parts of research projects. Article 5(1)(b) of Regulation (EU) 2016/679 specifies that further processing for scientific or historical research purposes or statistical purposes should, in accordance with Article 89(1) of Regulation (EU) 2016/679, not be considered to be incompatible with the initial purposes. **For non-personal data the usage limitations should be found in the permission given by the data holder.**
- (38a) **The competent authorities designated to monitor compliance of recognised data altruism organisations with the requirements in of this Regulation should be chosen on the basis of their capacity and expertise, and they should be independent of any data altruism organisation** as well as transparent and impartial in the exercise of their tasks. Member States should notify the Commission of the identity of the designated competent authorities. The powers and competences of the designated competent authorities **should be are** without prejudice to the powers of the data protection authorities. In particular, for any question requiring an assessment of compliance with Regulation (EU) 2016/679, the competent authority should seek, where relevant, an opinion or decision by the competent supervisory authority established pursuant to that Regulation.

**Commented [A15]:** So as to bring it in line with the purpose limitation of the GDPR

**Commented [A16]:** We welcome this clarification

- (39) To bring additional legal certainty to granting and withdrawing of consent, in particular in the context of scientific research and statistical use of data made available on an altruistic basis, a European data altruism consent form should be developed and used in the context of altruistic data sharing. Such a form should contribute to additional transparency for data subjects that their data will be accessed and used in accordance with their consent and also in full compliance with the data protection rules. It could also be used to streamline data altruism performed by companies and provide a mechanism allowing such companies to withdraw their permission to use the data. In order to take into account the specificities of individual sectors, including from a data protection perspective, there should be a possibility for sectoral adjustments of the European data altruism consent form.
- (40) In order to successfully implement the data governance framework, a European Data Innovation Board should be established, in the form of an expert group. The Board should consist of representatives of the Member States, the Commission and representatives of relevant data spaces and specific sectors (such as health, **environment**, agriculture, and transport and statistics), **as well as representatives of academia, research, and standard setting organisations and bodies with specific expertise such as national statistical offices, where relevant. Balanced representation of different geographical areas should be ensured. The determination of the membership should also aim to ensure the efficient functioning of the Board.** The European Data Protection Board should be invited to appoint a representative to the European Data Innovation Board.
- (41) The **European Data Innovation** Board should support the Commission in coordinating national practices and policies on the topics covered by this Regulation, and in supporting cross-sector data use by adhering to the European Interoperability Framework (EIF) principles and through the utilisation of standards and specifications (such as the Core Vocabularies<sup>28</sup> and the CEF Building Blocks<sup>29</sup>), without prejudice to standardisation work taking place in specific sectors or domains. Work on technical standardisation **could may** include the identification of priorities for the development of standards and establishing and maintaining a set of technical and legal standards for transmitting data between two processing environments that allows data spaces to be organised without making recourse to an intermediary. The **European Data Innovation** Board should cooperate with sectoral bodies, networks or expert groups, or other cross-sectoral organisations dealing with re-use of data. Regarding data altruism, the **European Data Innovation** Board should assist the Commission in the development of the data altruism consent form, in consultation with the European Data Protection Board.
- (42) In order to ensure uniform conditions for the implementation of this Regulation, implementing powers should be conferred on the Commission to develop the European data altruism consent form, **to declare that the legal, supervisory and enforcement arrangements of a third country are adequate, to lay down special conditions applicable for transfers to third-countries of certain non-personal data categories deemed to be highly sensitive in specific Union acts adopted through a legislative procedure, and to support public sector bodies and re-users in their compliance with conditions for re-use set out in this Regulation by providing model contractual clauses.**

<sup>28</sup> <https://joinup.ec.europa.eu/collection/semantic-interoperability-community-semic/core-vocabularies>

<sup>29</sup> <https://joinup.ec.europa.eu/collection/connecting-europe-facility-cef>

Those powers should be exercised in accordance with Regulation (EU) No 182/2011 of the European Parliament and of the Council<sup>30</sup>.

**(43) In order to provide for an efficient enforcement of this Regulation and to ensure that providers of data intermediation services as well as entities who wish to register as recognised data altruism organisations can access and complete the procedures of notification and registration fully online and in a cross-border manner, such procedures should be offered through the single digital gateway established pursuant to Regulation (EU) 2018/1724.<sup>31</sup> These procedures should be added to the list of procedures included in Annex II of Regulation (EU) 2018/1724.**

~~(43) In order to take account of the specific nature of certain categories of data, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission to lay down special conditions applicable for transfers to third countries of certain non-personal data categories deemed to be highly sensitive in specific Union acts adopted through a legislative procedure. It is of particular importance that the Commission carry out appropriate consultations during its preparatory work, including at expert level, and that those consultations be conducted in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law Making. In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts.~~

(44) This Regulation should not affect the application of the rules on competition, and in particular Articles 101 and 102 of the Treaty on the Functioning of the European Union. The measures provided for in this Regulation should not be used to restrict competition in a manner contrary to the Treaty on the Functioning of the European Union. This concerns in particular the rules on the exchange of competitively sensitive information between actual or potential competitors through data sharing intermediation services.

(45) The European Data Protection Supervisor and the European Data Protection Board were consulted in accordance with Article 42 of Regulation (EU) 2018/1725 of the European Parliament and of the Council (<sup>32</sup>) and delivered an opinion on **10 March 2021**<sup>33</sup>[...].

(46) This Regulation respects the fundamental rights and observes the principles recognised in particular by the Charter, including the right to privacy, the protection of personal data, the freedom to conduct a business, the right to property and the integration of persons with disabilities. **In the context of the latter, the public service bodies and services under this Regulation should, where relevant, comply with Directive (EU) 2019/882<sup>34</sup> and**

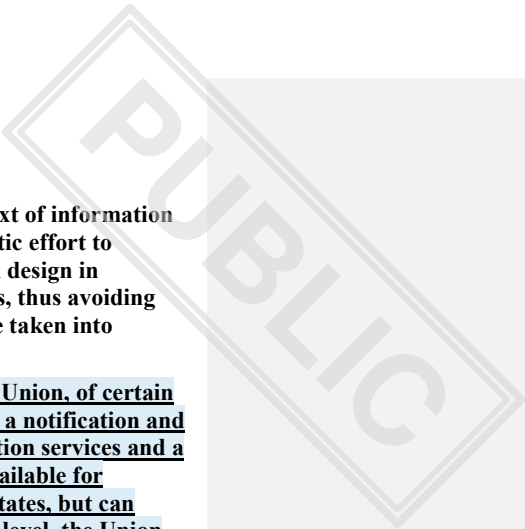
<sup>30</sup> Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by the Member States of the Commission's exercise of implementing powers (OJ L 55, 28.2.2011, p.13).

<sup>31</sup> **Regulation (EU) 2018/1724 of the European Parliament and of the Council of 2 October 2018 establishing a single digital gateway to provide access to information, to procedures and to assistance and problem-solving services and amending Regulation (EU) No 2014/2012 (OJ L 295, 21.11.2018, p.1).**

<sup>32</sup> Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC (OJ L 295, 21.11.2018, p. 39).

<sup>33</sup> **EDPB-EDPS Joint Opinion on the proposal for a regulation of the European Parliament and of the Council on European data governance (Data Governance Act).**

<sup>34</sup> **Directive (EU) 2019/882 of the European Parliament and of the Council of 17 April 2019 on the accessibility requirements for products and services (OJ L 151, 7.6.2019, p. 70).**



Directive (EU) 2016/2102<sup>35</sup>. Furthermore, Design for All in the context of information and communications technology, which is the conscious and systematic effort to proactively apply principles, methods and tools to promote universal design in computer-related technologies, including Internet-based technologies, thus avoiding the need for a posteriori adaptations, or specialised design, should be taken into account.<sup>3</sup>

**(47) Since the objectives of this Regulation, namely the re-use, within the Union, of certain categories of data held by public sector bodies as well as establishing a notification and supervisory framework for the provision of data sharing intermediation services and a framework for voluntary registration of entities which make data available for altruistic purposes, cannot be sufficiently achieved by the Member States, but can rather, by reason of its scale and effects, be better achieved at Union level, the Union may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union. In accordance with the principle of proportionality as set out in that Article, this Regulation does not go beyond what is necessary in order to achieve those objectives.**

HAVE ADOPTED THIS REGULATION:

**Commented [A17]:** We would like to understand why this recital has been added. In relation to the current text, we cannot support it.

<sup>35</sup> Directive (EU) 2016/2102 of the European Parliament and of the Council of 26 October 2016 on the accessibility of the websites and mobile applications of public sector bodies (OJ L 327, 2.12.2016, p. 1).

## CHAPTER I GENERAL PROVISIONS

### Article 1

#### Subject matter and scope

- (1) This Regulation lays down:
- conditions for the re-use, within the Union, of certain categories of data held by public sector bodies;
  - a notification and supervisory framework for the provision of data ~~sharing~~ **intermediation** services;
  - a framework for voluntary registration of entities which collect and process data made available for altruistic purposes.
- (2) This Regulation **does not create any obligation on public sector bodies to allow re-use of data nor does it release public sector bodies from their confidentiality obligations under Union or national law. This Regulation** is without prejudice to specific provisions in ~~other~~ Union ~~legal acts~~ or national law regarding access to or re-use of certain categories of data, **in particular regarding granting of access to and disclosure of official documents. This Regulation is also without prejudice to obligations of public sector bodies under Union and national law to allow the re-use of data** or ~~to~~ requirements related to processing of ~~personal or non-personal~~ data. Where a sector-specific Union legal act or national law requires public sector bodies, providers of data ~~sharing~~ **intermediation** services or registered entities providing data altruism services to comply with specific additional technical, administrative or organisational requirements, including through an authorisation or certification regime, those provisions of that sector-specific Union legal act or national law shall also apply. **Any additional requirements shall be non-discriminatory, proportionate and objectively justified.**
- (3) **Union and national law on the protection of personal data shall apply to any personal data processed in connection with this Regulation. In particular, this Regulation shall be without prejudice to Regulation (EU) 2016/679 and Directive 2002/58/EC, including the powers and competences of supervisory authorities. In the event of conflict between the provisions of this Regulation and Union or national law on the protection of personal data, the latter Union or national law prevails. This Regulation does not create a legal basis for the processing of personal data and does not alter any obligations and rights set out in Regulation (EU) 2016/679 or Directive 2002/58/EC.**
- (4) This Regulation is without prejudice to the competences of the Member States regarding activities concerning public security, defence and national security.

### Article 2

#### Definitions

For the purposes of this Regulation, the following definitions apply:

- (1) ‘data’ means any digital representation of acts, facts or information and any compilation of such acts, facts or information, including in the form of sound, visual or audiovisual recording;

(2) 're-use' means the use by natural or legal persons of data held by public sector bodies, for commercial or non-commercial purposes other than the initial purpose within the public task for which the data were produced, except for the exchange of data between public sector bodies purely in pursuit of their public tasks;

(2a) ~~'data sharing intermediation service provider' means a service provider of a commercial service, which, through the provision of technical, legal and other services means establishes direct relationships between an undefined and determined number of data holders subjects and data holders, on the one hand and data users on the other hand, for the exchange purpose of data sharing, pooling or trade of data as well as the exercise of data subjects' rights. The following shall, inter alia, not be considered to be data intermediation services for the purposes of this Regulation:~~

(a) services that obtain data from data holders, aggregate, enrich or transform the data and license the use of the resulting data to data users, without establishing a direct relationship between data holders and data users;

(b) cloud infrastructure services;

(c) services that focus on the intermediation of content, in particular on copyright-protected content;

(d) services of data exchange platforms that are exclusively used by one data holder in order to enable the use of data they hold as well as platforms developed and exclusively offered by manufacturers of objects and devices connected to the Internet-of-Things, which have as their main objective to ensure functionalities of the connected object or device and to allow value added services;

~~(e) services of 'consolidated tape providers' as defined in point (53) of Article 4(1) of Directive 2014/65/EU; and~~

~~(f) services of 'account information service providers' as defined in point 19 of Article 4 of Directive (EU) 2015/2366;~~

(2b) 'personal data' means data as defined in point (1) of Article 4 of Regulation (EU) 2016/679;

(3) 'non-personal data' means data other than personal data as defined in point (1) of Article 4 of Regulation (EU) 2016/679;

(3a) 'consent' means consent as defined in point (11) of Article 4 of Regulation (EU) 2016/679 ~~of the data subject means any freely given, specific, informed and unambiguous indication of the data subject's wishes by which he or she, by a statement or by a clear affirmative action, signifies agreement to the processing of personal data relating to him or her;~~

(3aa) 'permission' means the right given by a legal person to another natural or legal person to process non-personal data as well as personal data insofar as there is a legal basis that allows ~~such processing the legal person to grant such a permission;~~

(3b) 'data subject' means data subject as referred to ~~an identified or identifiable natural person in point (1) of Article 4 of Regulation (EU) 2016/679; an identifiable natural person is one who can be identified, directly or indirectly, in particular by reference to an identifier such as a name, an identification number, location data, an online identifier or to one or more factors specific to the physical, physiological, genetic, mental, economic, cultural or social identity of that natural person;~~

**Commented [A18]:** What is the added value of 'direct'? We understood from the council legal service that there is no difference between a direct relationship and just a relationship.

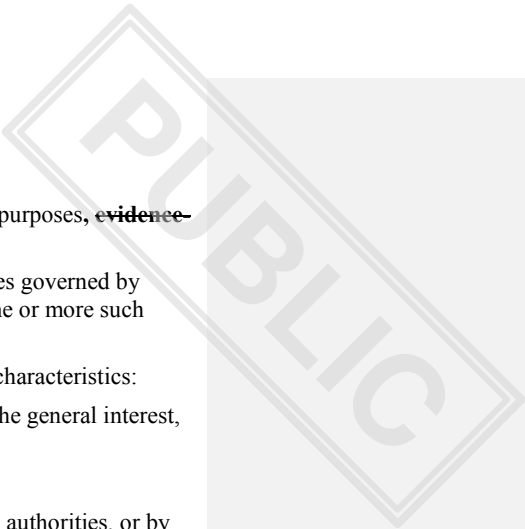
**Commented [A19]:** This article needs further refinement to ensure it addresses a specific scope of services. In spite of the exception in recital, we do not see how the definition excludes e-mail services or other, similar services that are not intended to fall under the scope of the Regulation. This should be clear from the definition, not from the recital.

**Commented [A20]:** We would like to ask the Commission specific examples of companies that would fall under this exception to understand the scope of the Regulation.

**Commented [A21]:** We would prefer to work without a list as this might not be future proof and it does not provide clarity to the entities concerned.

**Commented [A22]:** This is consent under GDPR and hence not permission under the DGA. We should not have a double basis for personal data use. Please further clarify the relation between these two concepts.

- (4) ~~‘metadata’ means data collected on any activity of a natural or legal person for the purposes of the provision of a data sharing service, including the date, time and geolocation data, duration of activity, connections to other natural or legal persons established by the person who uses the service;~~
- (5) ~~‘data holder’ means a legal person, or a natural person who is not a data subject for the purpose of a specific data processing operation, person or data subject who which, in accordance with applicable Union or national law, has the right to grant access to or to share certain personal or non-personal data under its control;~~
- (6) ~~‘data user’ means a natural or legal person who has lawful access to certain personal or non-personal data and is authorised to use that data for commercial or non-commercial purposes;~~ **has the right, including under Regulation (EU) 2016/679 in the case of personal data, the right to use that data for commercial or non-commercial purposes;**
- (6a) **'data re-user' is means a natural or legal person who re-uses data as defined in point (2);**
- (7) ~~‘data sharing’ means the provision of data by a data holder subject or a data holder of data to a data user for the purpose of joint or individual use of the shared such data, based on voluntary agreements or Union or national law, directly or through an intermediary, for example under open data or commercial licenses, for free or against remuneration;~~
- (7a) ~~‘processing’ means processing as defined in point (2) of Article 4 of Regulation (EU) 2016/679 any operation or set of operations which is performed on data or on sets of data in electronic format, whether or not by automated means, such as collection, recording, organisation, structuring, storage, adaptation or alteration, retrieval, consultation, use, disclosure by transmission, dissemination or otherwise making available, alignment or combination, restriction, erasure or destruction;~~
- (8) ~~‘access’ means data processing use by a data user of data that has been provided by a data holder, in accordance with specific technical, legal, or organisational requirements, without necessarily implying the transmission or downloading of such data;~~
- (9) ~~‘main establishment’ of a legal entity person means the place of its central administration in the Union;~~
- (9a) **'data cooperative' means an organisation providing data intermediation services and supporting its members, who are data subjects or small and medium-sized enterprises or one-person undertakings companies, in making informed choices before consenting to data processing, or in negotiating terms and conditions for data processing or one-person companies or micro, small and medium-sized enterprises, who are members of the cooperative or who confer the power to the cooperative to negotiate terms and conditions for data processing before they consent, in making informed choices before consenting to data processing, and allowing for mechanisms to exchange views on data processing purposes and conditions that would best represent the interests of data subjects or legal persons;**
- (10) ~~‘data altruism’ means voluntary sharing of data by data holders subjects or data holders without seeking or receiving a reward, for purposes objectives of general interest the consent by data subjects to process personal data pertaining to them, or permissions of other data holders to allow the use of their non-personal data without seeking a reward, for purposes of general interest defined in accordance with the~~



**national law legislation where applicable**, such as scientific research purposes, ~~evidence-based policy making~~ or improving public services;

- (11) ‘public sector body’ means the State, regional or local authorities, bodies governed by public law or associations formed by one or more such authorities or one or more such bodies governed by public law;
- (12) ‘bodies governed by public law’ means bodies that have the following characteristics:
  - (a) they are established for the specific purpose of meeting needs in the general interest, and do not have an industrial or commercial character;
  - (b) they have legal personality;
  - (c) they are financed, for the most part, by the State, regional or local authorities, or by other bodies governed by public law; or are subject to management supervision by those authorities or bodies; or have an administrative, managerial or supervisory board, more than half of whose members are appointed by the State, regional or local authorities, or by other bodies governed by public law;
- (13) ‘public undertaking’ means any undertaking over which the public sector bodies may exercise directly or indirectly a dominant influence by virtue of their ownership of it, their financial participation therein, or the rules which govern it; for the purpose of this definition, a dominant influence on the part of the public sector bodies shall be presumed in any of the following cases in which those bodies, directly or indirectly:
  - (a) hold the majority of the undertaking's subscribed capital;
  - (b) control the majority of the votes attaching to shares issued by the undertaking;
  - (c) can appoint more than half of the undertaking's administrative, management or supervisory body;
- (14) ‘secure processing environment’ means the physical or virtual environment and organisational means to provide the opportunity to re-use data in **a manner ensuring compliance with the requirements of preserving data subject all rights and obligations under the Regulation (EU) 2016/679, in particular data subjects' rights, intellectual property rights, and commercial and statistical confidentiality** ~~privacy-preserving way, ensuring compliance with applicable Union and national law legislation, a manner that allows for the operator of the~~ **and allowing the entity providing operator of the** secure processing environment to determine and supervise all data processing actions, including to display, storage, download, export of the data and calculation of derivative data through computational algorithms.
- (15) ‘representative’ means any natural **person** or **any** legal person established in the Union explicitly designated to act on behalf of a provider of data ~~sharing~~ **intermediation** services or an entity that collects data for objectives of general interest made available by natural or legal persons on the basis of data altruism not established in the Union, which may be addressed by a national competent authority instead of the provider of data ~~sharing~~ **intermediation** services or entity with regard to the obligations of that provider of data ~~sharing~~ **intermediation** services or entity set up by this Regulation.



**CHAPTER II**  
**RE-USE OF CERTAIN CATEGORIES OF PROTECTED DATA HELD BY**  
**PUBLIC SECTOR BODIES**

*Article 3*  
*Categories of data*

- (1) This Chapter applies to data held by public sector bodies which are protected on grounds of:
- (a) commercial confidentiality- this includes data protected by trade secrets, protected know-how including business, professional and company secrets;
  - (b) statistical confidentiality;
  - (c) protection of intellectual property rights of third parties; **or**
  - (d) protection of personal data, **insofar as such data fall outside the scope of Directive (EU) 2019/1024.**
- (2) This Chapter does not apply to:
- (a) data held by public undertakings;
  - (b) data held by public service broadcasters and their subsidiaries, and by other bodies or their subsidiaries for the fulfilment of a public service broadcasting remit;
  - (c) data held by cultural establishments and educational establishments;
  - (d) data **held by public sector bodies which are** protected for reasons of national security, defence or public security; **or**
  - (e) data the supply of which is an activity falling outside the scope of the public task of the public sector bodies concerned as defined by law or by other binding rules in the Member State concerned, or, in the absence of such rules, as defined in accordance with common administrative practice in that Member State, provided that the scope of the public tasks is transparent and subject to review.
- (3) ~~The provisions of~~ This Chapter does not create any obligation on public sector bodies to allow re-use of data nor ~~does it they~~ release public sector bodies from their confidentiality obligations **under Union or national law**. This Chapter is without prejudice to Union and national law or international agreements to which the Union or Member States are parties on the protection of categories of data provided in paragraph 1. This Chapter is without prejudice to Union and national law on access to documents and to obligations of public sector bodies under Union and national law to allow the re-use of data.

**Commented [A23]:** This is not in line with existing legislation on commercially confidential information. We suggest to add trade secrets and know how here, to make it in line with the recitals

*Article 4*  
*Prohibition of exclusive arrangements*

- (1) Agreements or other practices pertaining to the re-use of data held by public sector bodies containing categories of data referred to in Article 3 (1) which grant exclusive rights or which have as their object or effect to grant such exclusive rights or to restrict the availability of data for re-use by entities other than the parties to such agreements or other practices shall be prohibited.

- (2) By way of derogation from paragraph 1, an exclusive right to re-use data referred to in that paragraph may be granted to the extent necessary for the provision of a service or **the supply of a product** in the general interest **that would have otherwise not be possible.**
- (3) ~~Such~~ **An exclusive right according to paragraph 2 shall be granted in the context of a relevant service or concession contract in compliance with applicable Union and national public procurement and concession award rules, or, in the case of a contract of a value for which neither Union nor national public procurement and concession award rules are applicable, in compliance with the principles of transparency, equal treatment and non-discrimination on grounds of nationality.**
- ~~(4) In all cases not covered by paragraph 3 and where the general interest purpose cannot be fulfilled without granting an exclusive right, the principles of transparency, equal treatment and non-discrimination on grounds of nationality shall apply.~~
- (5) The period of exclusivity of the right to re-use data shall not exceed three years. Where a contract is concluded, the duration of the contract awarded shall be as aligned with the period of exclusivity.
- (6) The award of an exclusive right pursuant to paragraphs (2) to (5), including the reasons why it is necessary to grant such a right, shall be transparent and be made publicly available online **two months before entry into force**, regardless of a possible publication of an award of a public procurement and concessions contract.
- (7) Agreements or other practices falling within the scope of the prohibition in paragraph 1, which do not meet the conditions set out in paragraphs 2 and 3, and which were concluded before the date of entry into force of this Regulation shall be terminated at the end of the contract and in any event at the latest within three years after the date of entry into force of this Regulation.
- ~~(8) Where an exclusive right to re-use data does not meet the conditions set out in paragraphs 2, 3 and 4, the exclusive right shall be void.~~

*Article 5  
Conditions for re-use*

- (1) Public sector bodies which are competent under national law to grant or refuse access for the re-use of one or more of the categories of data referred to in Article 3 (1) shall make publicly available the conditions for allowing such re-use. In that task, they may be assisted by the competent bodies referred to in Article 7 (1).
- (2) Conditions for re-use shall be non-discriminatory, proportionate and objectively justified with regard to categories of data and purposes of re-use and the nature of the data for which re-use is allowed. These conditions shall not be used to restrict competition.
- (3) Public sector bodies ~~may~~ **shall, in accordance with Union and national law, impose the conditions necessary for preserving the protected nature of the data and taking into account the risks related to its processing. Such conditions may, inter alia, consist of the requirement for re-users:**
- (a) a condition whereby an obligation to re-use reuse is subject to only pre-processed data where such pre-processing aims to use data that the public sector body or the competent body upon the request of the re-user has anonymized or pseudonymised or in the case of personal data, or deletion, modification, or aggregated, or treated by any other method of disclosure control in the case**

~~of commercially confidential information, including trade secrets and confidential statistical data, or content protected by intellectual property rights;~~

**Commented [A24]:** The meaning of this paragraph has become unclear. What is the requirement?

~~(4) Public sector bodies may impose a obligations condition~~

~~(ba) to access and re-use the data remotely within a secure processing environment provided and controlled by the public sector; or~~

~~(cb) to access and re-use the data within the physical premises in which the secure processing environment is located, if remote access cannot be allowed without jeopardising the rights and interests of third parties.~~

(5) **In the case of re-use allowed according to paragraph 3 points (b) and (c) the public sector bodies shall impose conditions that preserve the integrity of the functioning of the technical systems of the secure processing environment used. The public sector body shall reserve the right to be able to verify the process, the means and any results of processing of data undertaken by the re-user to preserve the integrity of the protection of the data and reserve the right to prohibit the use of results that contain information jeopardising the rights and interests of third parties.**

(5a) **The public sector body shall make the use of data provided in accordance with paragraph 3 Article 5(3) such secure processing environment conditional on the signature adherence by the re-user to of a confidentiality agreement obligation that prohibits the disclosure of any information that jeopardises the rights and interests of third parties that the re-user may have acquired despite the safeguards put in place. ~~Public sector bodies shall prohibit the re-users~~ Re-users shall be prohibited from re-identifying any data subject to whom the data relates and shall be ~~oblige~~ **required** ~~the re-users~~ to assess on an on-going basis the risks of re-identification and to notify any data breach resulting in the re-identification of the individuals concerned to the public sector body ~~concerned~~.**

~~(5a) Public sector bodies shall prohibit the re-users from re-identifying any data subject to whom the data relates and shall oblige the re-users to assess on an on-going basis the risks of re-identification and to report any data breach resulting in the re-identification of the individuals concerned to the public sector body concerned.~~

(6) Where the re-use of data cannot be granted in accordance with the obligations laid down in paragraphs 3 to 5 and there is no ~~other~~ legal basis for transmitting the data under Regulation (EU) 2016/679, the public sector body shall ~~may support~~ **provide assistance to potential** re-users in seeking consent of the data subjects ~~and/or~~ permission from the ~~data holders legal entities~~ whose rights and interests may be affected by such re-use, where it is feasible without disproportionate ~~cost~~ **burden** for the public sector ~~body~~. In that task ~~it they~~ may be assisted by the competent bodies referred to in Article 7 (1).

(7) Re-use of data shall only be allowed in compliance with intellectual property rights. The right of the maker of a database as provided for in Article 7(1) of Directive 96/9/EC shall not be exercised by public sector bodies in order to prevent the re-use of data or to restrict re-use beyond the limits set by this Regulation.

(8) **When data requested is considered confidential, in accordance with Union or national law on commercial confidentiality, the public sector bodies shall ensure that the confidential information is not disclosed as a result of the re-use. When data requested, or part thereof, is considered to be confidential information, in accordance with Union or national law, the re-use of such data shall only be allowed in case the confidential**

**information is not disclosed as a result of allowing such re-use, unless such re-use is allowed in accordance with paragraph (6).**

- (9) ~~The Commission may adopt implementing acts declaring that the legal, supervisory and enforcement arrangements of a third country:~~
- ~~(a) ensure protection of intellectual property and trade secrets in a way that is essentially equivalent to the protection ensured under Union law;~~
  - ~~(b) are being effectively applied and enforced; and~~
  - ~~(c) provide effective judicial redress.~~
- ~~Those implementing acts shall be adopted in accordance with the advisory procedure referred to in Article 29 (2).~~

**(8a) Where a re-user intends to transfer non-personal data protected on the grounds set out in Article 3(1) to a third country, it shall inform the public sector body of its intention as well as of the purpose of the transfer. In the case of re-use in accordance with paragraph 6, the public sector body shall inform the legal person whose rights and interests may be affected of that intention and purpose and shall not allow the re-use in case the legal person refuses to give the permission for the transfer.**

- (10) Public sector bodies shall ~~oblige the re-user only not to transmit~~ **transfer non-personal data protected on grounds set out in Article 3** ~~confidential data or data protected by intellectual property rights to a re-user which intends to transfer the data to a third country other than a country designated in accordance with paragraph 9~~ **10a unless** ~~if the re-user undertakes:~~
- (a) to comply with the obligations imposed in accordance with paragraphs 7 ~~and to~~ 8 even after the data is transferred to the third country; and
  - (b) to accept the jurisdiction of the courts of the Member State of the public sector body as regards any dispute related to the compliance with the obligation in point a).

**(10aa) In order to support public sector bodies and re-users, the Commission may adopt implementing acts providing model contractual clauses for complying with the obligations referred to in paragraph (10) points (a) and (b). Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 29(3).**

- (10a) When justified by a substantial number ~~the volume of cases requests~~ across the Union concerning the re-use of non-personal data in specific third countries, ~~the~~ **The Commission may adopt implementing acts declaring that the legal, supervisory and enforcement arrangements of a third country:**
- (a) ensure protection of intellectual property and trade secrets in a way that is essentially equivalent to the protection ensured under Union law;
  - (b) are being effectively applied and enforced; and
  - (c) provide effective judicial redress.

~~Those implementing acts shall be adopted in accordance with the advisory examination procedure referred to in Article 29 (23).~~

- (11) ~~Where S~~ specific Union acts adopted in accordance with a legislative procedure ~~may establish that deem~~ certain non-personal data categories held by public sector bodies ~~shall be deemed~~ to be highly sensitive for the purposes of this Article ~~where, their transfer to~~

third countries may put at risk Union policy objectives, such as safety and public health, or may lead to the risk of re-identification of non-personal, anonymised data. Where such an act is adopted, ~~the~~ the Commission shall lay down conditions applicable to the transfer to third-countries of such data by way of ~~be empowered to adopt delegated implementing acts~~ adopted in accordance with the examination procedure referred to in Article 29(23) in accordance with Article 28 supplementing this Regulation by laying down special conditions applicable for transfers to third-countries.

The ~~Those~~ conditions for the transfer to third-countries shall be based on the nature of non-personal data categories identified in the specific Union act and on the grounds for deeming them highly sensitive, non-discriminatory and limited to what is necessary to achieve the public policy objectives identified in the Union law act, such as safety and public health, as well as risks of re-identification of anonymized data ~~for data subjects~~, in accordance with the Union's international obligations.

~~The conditions~~ They If specific Union acts under the first subparagraph require so, such conditions may include terms applicable for the transfer or technical arrangements in this regard, limitations as regards the re-use of data in third-countries or categories of persons which are entitled to transfer such data to third countries or, in exceptional cases, restrictions as regards transfers to third-countries.

Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 29(3).

- (12) The natural or legal person to which the right to re-use non-personal data was granted may transfer the data only to those third-countries for which the requirements in paragraphs ~~9~~10 to 11 are met.
- (13) ~~Where the re-user intends to transfer non-personal data protected on the grounds set out in Article 3(1) to a third country, the public sector body shall inform the legal entity person to which the data relates~~ data holder about the intention to transfer of the data to that third country before the permission is given

#### Article 5a

##### Specific requirements to safeguard rights and interests of data subjects and data holders

- (1) The public sector body and the natural or legal person to which the right to re-use data was granted under this Chapter shall take all reasonable technical, legal and organisational measures in order to prevent transfer or access to data that is not aligned with the purpose for which the re-use of data was permitted.
- (2) The re-user of the data sets shared under this Chapter shall duly and without delay inform the public sector body, the provider of data intermediation services or the data altruism organisation from which they obtained access to the data about any transfer or access to the data that is not aligned with the purpose for which the re-use was permitted.
- (3) Any public sector body that granted access to the data, and which may be represented by the national competent body, shall duly and without delay inform data subjects and data holders in case of an unjustified transfer or access to data that was shared according to the provisions of this Chapter.

**Commented [A25]:** In previous comments, NL has suggested an additional article "30a" to ensure that in case of unjustified use of data, the data holder or data subject are informed. This will empower them to take appropriate action to protect their interests. It would of course only apply in cases where such a notification is not mandatory – for instance, in case of personal data breaches a notification to the data subject is already required under the GDPR. This article aims to cover situations where no such obligation exists.

- (4) If a notification procedure in a specific situation meant under (2) and (3) is already followed under different legislation, that notification towards the data subject or data holder will be deemed sufficient.

*Article 6  
Fees*

- (1) Public sector bodies which allow re-use of the categories of data referred to in Article 3 (1) may charge fees for allowing the re-use of such data.
- (2) Any fees shall be non-discriminatory, proportionate and objectively justified and shall not restrict competition.
- (3) Public sector bodies shall ensure that any fees can be paid online through widely available cross-border payment services, without discrimination based on the place of establishment of the payment service provider, the place of issue of the payment instrument or the location of the payment account within the Union.
- (4) Where they apply fees, public sector bodies shall take measures to incentivise the re-use of the categories of data referred to in Article 3 (1) for non-commercial purposes **such as scientific research purposes** and by small and medium-sized enterprises in line with State aid rules. **This may include allowing re-use at lower or no cost fee.**
- (5) Fees shall be derived from the costs related to the processing of requests for re-use of the categories of data referred to in Article 3 (1). **Any fees shall be limited to the necessary costs incurred for the reproduction, provision and dissemination of data, costs for anonymisation or other forms or preparation of personal and confidential data as provided for in Article 5(3), costs for the maintenance of the secure processing environment, costs in relation to the acquisition of the right to permit re-use in accordance with this Chapter from third parties outside the public sector, as well as any costs in relation to supporting re-users in seeking consent ~~of~~ from data subjects and permission from data holders whose rights and interests may be affected by such re-use. ~~The criteria and methodology for calculating fees shall be laid down by the Member States and published in advance.~~**
- (6) **The criteria and methodology for calculating fees shall be laid down by the Member States and published in advance.** The public sector body shall publish a description of the main categories of costs and the rules used for the allocation of costs.

*Article 7  
Competent bodies*

- (1) **For the tasks mentioned in this Article**, Member States shall designate one or more competent bodies, which may be sectoral, to support the public sector bodies which grant access to the re-use of the categories of data referred to in Article 3 (1) in the exercise of that task. **Member States ~~shall be allowed~~ may either ~~to~~ establish one or more new competent bodies or ~~to~~ rely on existing bodies ~~ones~~ that fulfil the conditions set out by this Regulation.**
- (1a) **The competent bodies may also be entrusted, pursuant to Union or national law which provides for such access to be given, to grant access for the re-use of the categories of data referred to in Article 3 (1). While performing their function to grant or refuse access for re-use, Articles 4, 5, 6 and 8 (3) shall apply in regard to such competent bodies.**

- (1b) **The competent ~~body or~~ bodies shall have adequate legal, financial and technical resources ~~capacities and expertise~~ to be able to comply with relevant Union or national law concerning the access regimes for the categories of data referred to in Article 3 (1).**
- (2) The support provided for in paragraph 1 shall include, where necessary:
- providing technical support by making available a secure processing environment for providing access for the re-use of data;
  - providing technical support ~~for in the application of tested techniques~~ ensuring data processing in a manner that preserves privacy **and confidentiality** of the information contained in the data for which re-use is allowed, ~~including techniques for pseudonymisation, anonymisation, generalisation, suppression and randomisation of personal data;~~
  - where relevant**, assisting the public sector bodies ~~to support~~ **provide assistance to re-users, where relevant**, in obtaining consent **for re-use from data subjects** or permission **from data holders** ~~by re-users for re-use for altruistic and other purposes~~ in line with **their** specific decisions of ~~data holders~~, including on the jurisdiction or jurisdictions in which the data processing is intended to take place;
  - providing public sector bodies with assistance on the adequacy of undertakings made by a re-user, pursuant to Article 5 (10).
- ~~(3) The competent bodies may also be entrusted, pursuant to Union or national law which provides for such access to be given, to grant access for the re-use of the categories of data referred to in Article 3 (1). While performing their function to grant or refuse access for re-use, Articles 4, 5, 6 and 8 (3) shall apply in regard to such competent bodies.~~
- ~~(4) The competent body or bodies shall have adequate legal, financial and technical resources capacities and expertise to be able to comply with relevant Union or national law concerning the access regimes for the categories of data referred to in Article 3 (1).~~
- (5) The Member States shall communicate to the Commission the identity of the competent bodies designated pursuant to paragraph 1 by [date of application of this Regulation]. They shall also communicate to the Commission any subsequent modification of the identity of those bodies.

*Article 8*  
*Single information point*

- (1) Member States shall ensure that all relevant information concerning the application of Articles 5 and 6 is available through a single information point **which may be linked to sectoral, regional or local information points. Functions of a single information point may be automated provided that adequate support by a public sector body is ensured. Member States may ~~shall be allowed~~ either ~~to~~ establish a new information point or ~~to~~ rely on an existing structure.**
- (2) The single information point shall receive **enquiries or** requests for the re-use of the categories of data referred to in Article 3 (1) and shall transmit them to the competent public sector bodies, or the competent bodies referred to in Article 7 (1), where relevant, **and where possible and appropriate, by automated means.** The single information point shall make available by electronic means ~~a register~~ **an asset list containing an overview of** ~~of~~ all available data resources, ~~including, where relevant, those data~~

**resources available at sectoral, regional or local information points, containing with relevant information describing the ~~nature of~~ available data.**

**Article 8a**  
**Processing of requests for re-use**

- (1) ~~(3)~~ Requests for the re-use of the categories of data referred to in Article 3 (1) shall be granted or refused by the competent public sector bodies or the competent bodies referred to in Article 7 (1) ~~within a reasonable time, and in any case within two months from the date of the receipt of the request.~~
- (2) **In ~~the~~ case of exceptionally extensive and complex requests this period may be extended by 30 days. In such cases the applicant shall be notified as soon as possible that more time is needed to process the request and the reasons why.**
- (3) ~~(4)~~ Any natural or legal person affected by a decision of a public sector body or of a competent body, as the case may be, shall have **an effective right of redress** ~~the right to an effective judicial remedy against such decision before the courts in~~ of the Member State where the relevant body is located. **Such right of redress shall be laid down in national law and shall include the possibility of review by an impartial review body with the appropriate expertise, such as the national competition authority, the relevant access to documents authority, the supervisory authority established in accordance with Regulation (EU) 2016/679 or a national judicial authority, whose decisions are binding upon the public sector body concerned.**

**CHAPTER III**  
**REQUIREMENTS APPLICABLE TO DATA SHARING INTERMEDIATION SERVICES**

*Article 9*

*Providers of Data ~~intermediation~~ sharing services*

- (1) The provision of the following data ~~sharing~~ **intermediation** services **shall comply with the requirements of Article 11** and shall be subject to a notification procedure:
- (a) intermediation services between data holders which are legal ~~persons persons~~ **entities** and potential data users, including making available the technical or other means to enable such services; those services may include bilateral or multilateral exchanges of data or the creation of platforms or databases enabling the exchange or joint ~~exploitation use~~ of data, as well as the establishment of a specific infrastructure for the interconnection of data holders and data users;
  - (b) intermediation services between data subjects that seek to make their **personal and non-personal other personal data available or natural persons that seek to make other data available**, and potential data users, including making available the technical or other means to enable such services, **including** in the exercise of the rights provided in Regulation (EU) 2016/679;
  - (c) services of data cooperatives. ~~, that is to say services supporting data subjects or one-person companies or micro, small and medium-sized enterprises, who are members of the cooperative or who confer the power to the cooperative to negotiate terms and conditions for data processing before they consent, in making informed choices before consenting to data processing, and allowing for mechanisms to exchange views on data processing purposes and conditions that would best represent the interests of data subjects or legal persons.~~
- (2) ~~This Chapter shall be without prejudice to the application of other Union and national law to providers of data sharing services, including powers of supervisory authorities to ensure compliance with applicable law, in particular as regard the protection of personal data and competition law.~~

*Article 10*

*Notification of data ~~sharing~~ intermediation service providers*

- (1) Any provider of data ~~sharing~~ **intermediation** services who intends to provide the services referred to in Article 9 (1) shall submit a notification to the competent authority **for data sharing intermediation services** referred to in Article 12.
- (2) For the purposes of this Regulation, a provider of data ~~sharing~~ **intermediation** services with establishments in more than one Member State, shall be deemed to be under the jurisdiction of the Member State in which it has its main establishment.
- (3) A provider of data ~~sharing~~ **intermediation** services that is not established in the Union, but offers the services referred to in Article 9 (1) within the Union, shall appoint a legal representative in one of the Member States in which those services are offered. The provider shall be deemed to be under the jurisdiction of the Member State in which the legal representative is ~~established~~ **located. The representative shall be mandated by the provider of data sharing intermediation services to be addressed in addition to or**

Commented [A26]: We welcome this modification

instead of it by, in particular, competent authorities, and data holders subjects and data holders as well as data users, on all issues related to the intermediation services, for the purposes of ensuring compliance with this Regulation. The designation of a representative by the provider of data sharing intermediation services shall be without prejudice to legal actions which could be initiated against the provider of data sharing intermediation services themselves.

- (4) ~~Upon~~ **After having submitted** a notification **in accordance with paragraph 1**, the provider of data sharing intermediation services may start the activity subject to the conditions laid down in this Chapter.
- (5) The notification shall entitle the provider to provide data sharing intermediation services in all Member States.
- (6) The notification shall include the following information:
- (a) the name of the provider of data sharing intermediation services;
  - (b) the provider's legal status, form and registration number, where the provider is registered in trade or in another similar public register;
  - (c) the address of the provider's main establishment in the Union, if any, and, where applicable, any secondary branch in another Member State or that of the legal representative designated pursuant to paragraph 3;
  - (d) a website where information on the provider and the activities can be found, ~~where applicable,~~ **including as a minimum the information as referred to in points letters (a), (b), (c) and (f) of this paragraph**;
  - (e) the provider's contact persons and contact details;
  - (f) a description of the service the provider intends to provide **and indicate under which of the categories under Article 9 (1) such services fall, and how the conditions set in Article 11 are fulfilled**;
  - (g) the estimated date for starting the activity;
  - ~~(h) the Member States where the provider intends to provide services.~~
- (7) At the request of the provider, the competent authority **for data sharing intermediation services** shall, within one week **of duly and fully completed notification**, issue a standardised declaration, confirming that the provider has submitted the notification referred to in paragraph 4.
- ~~(8) The competent authority shall forward each notification to the national competent authorities of the Member States by electronic means, without delay.~~
- (9) The competent authority **for data sharing intermediation services** shall notify the Commission of each new notification. The Commission shall keep a **public register of all providers of data sharing intermediation services providing services in the Union, which shall make available the information referred to in points (a), (b), (c) and (d) of paragraph 6**.
- (10) The competent authority **for data sharing intermediation services** may charge fees **for the notification, as defined by national law**. Such fees shall be proportionate and objective and be based on the administrative costs related to the monitoring of compliance and other market control activities of the competent authorities in relation to notifications of data sharing intermediation services.

PUBLIC

- (11) Where a provider of data ~~sharing~~ **intermediation** services ceases its activities, it shall notify the relevant competent authority **for data sharing intermediation services** determined pursuant to paragraphs 1, 2 and 3 within 15 days. The competent authority shall ~~forward~~ **inform the Commission by electronic means without delay** of each such notification ~~without delay, to the national competent authorities in the Member States and to the Commission by electronic means.~~

*Article 11*

*Conditions for providing data ~~sharing~~ **intermediation** services*

The provision of data ~~sharing~~ **intermediation** services referred in Article 9 (1) shall be subject to the following conditions:

- (1) the provider may not use the data for which it provides services for other purposes than to put them at the disposal of data users **or to provide services to the data subject or data holder** and **should provide data sharing intermediation services through an legally-independent structure shall be placed in a separate legal entity;**
- (2) the ~~metadata~~ **data collected on any activity of a natural or legal person for the purposes of the provision of a data sharing intermediation service, including the date, time and geolocation data, duration of activity, connections to other natural or legal persons established by the person who uses the service from the provision of the data sharing service** may be used only for the **purpose of development of that service;**
- (3) ~~the provider shall ensure that the procedure for access to its service is fair, transparent and non-discriminatory for both data holders and data users, including as regards prices;~~
- (4) the provider shall facilitate the exchange of the data in the format in which it receives it from ~~the a data holder~~ **subject or a data holder** and shall convert the data into specific formats only to enhance interoperability within and across sectors or if requested by the data user or where mandated by Union law or to ensure harmonisation with international or European data standards, **unless the data subject or data holder expressly disagrees after having been informed by the provider about the intention to convert such data;**
- (4a) ~~the provider may offer additional specific services to data holders subjects and data holders facilitating the exchange of the data, such as storage, aggregation, curation, pseudonymisation and anonymisation, as well as other services that facilitate the use of data, those tools and services to be used only at the request and following explicit consent, of data subjects and at the explicit request or approval of the data holder;~~
- 4(aa) ~~Third party tools offered for the purposes mentioned under 4(a) may not use the data for any other purposes;~~
- (4b) **the provider shall ensure that the procedure for access to its service is fair, transparent and non-discriminatory for both data holders subjects and data holders, as well as for and data users, including as regards prices;**
- (5) the provider shall have procedures in place to prevent fraudulent or abusive practices in relation to ~~access to data from~~ parties seeking access through their services;
- (6) the provider shall ensure a reasonable continuity of provision of its services and, in the case of services which ensure storage of data, shall have sufficient guarantees in

**Commented [A27]:** A prohibition on the re-use of data should not be the case if it is in the interest of the data holder, after all, it is the data holder/subject we try to protect and it is very clear from use cases that data holders/subjects can have a real interest in the cost-effective re-use of data for services they require. Since the data intermediary has a fiduciary duty to act in the interest of the data holder/subject as per 11.10, the service provided to the data holder/subject is going to be in the interest of the data holder/subject because otherwise the intermediary would be in violation of this regulation. It is still restrictive to where the data can be used (only for the purposes of the data holder/subject) and it is not a simple consent system that can be easily misused because there is a very clear and limited purpose limitation. We think this makes it possible for intermediaries to have a realistic business case while still making them neutral in the sense that the data is not used for other purposes than those that are in the interest of the data holder/subject.

**Commented [A28]:** We welcome this addition

**Commented [A29]:** We cannot at this point clearly delimit the interests regarding provided services of the very diverse set of data holders that will want to make use of data intermediaries. For example, how can we know that anonymization is in the interest of the data holder but data-enrichment is not? (even though that non-intermediaries are allowed to enrich the data without any limitations and without a fiduciary duty) We should hence leave open what kind of service is in the interest of the data holder/subject and let competent authorities judge on a case by case basis if an intermediary has failed to deliver on its fiduciary duty, while at the same time guidelines or codes of conduct can be developed in the EDIB based on developments in the market. In general, the DGA trusts in the competent authority to judge whether the fiduciary duty has been met, why should the services provided to the data holder/subject not also be within this scope?

place that allow data holders ~~subjects and data holders, as well as~~ and data users, to obtain access to their data in case of insolvency **of the provider**;

- (6a) **the provider shall take reasonable measures to ensure interoperability with other data sharing intermediation services, among others, by means of commonly-used standards in the sector in which the data sharing intermediation service providers operate;**
- (7) the provider shall put in place adequate technical, legal and organisational measures in order to prevent transfer or access to non-personal data that is unlawful under Union law ~~law and national law or~~ the national law of the relevant Member State;
- (8) the provider shall take measures to ensure a high level of security for the storage and transmission of non-personal data;
- ~~(9) the provider shall have procedures in place to ensure compliance with the Union and national rules on competition and it shall notify them to the relevant competent authority for evaluation, where relevant;~~
- (10) the provider offering services to data subjects or data holders shall act in the data subjects' or data holders' best interest when facilitating the exercise of their rights, in particular by **informing and, where appropriate, advising supporting data subjects in a concise, transparent, intelligible and easily accessible form about intended data uses by third parties and standard terms and conditions attached to such uses, before data subjects give consent to better understand** ~~advising data subjects on potential data uses by third parties and standard terms and conditions attached to such uses;~~
- (11) where a provider provides tools for obtaining consent from data subjects or permissions to process data made available by **data holders** ~~legal persons~~, it shall, **where relevant**, specify the jurisdiction or jurisdictions **outside the Union** in which the data use is intended to take place **and provide data subjects with tools to both give and withdraw consent and data holders with tools to both give and withdraw permissions to process data;-**

~~(12) the provider shall maintain a record of the intermediation activity, including the name and contact details of the intermediation parties involved and categories of data that were subject of intermediation procedure.~~

#### *Article 11a*

##### *Specific requirements to safeguard rights and interests of data subjects and data holders*

- (1) The provider of data intermediary services shall take all reasonable technical, legal and organisational measures in order to prevent transfer or access to data that is not aligned with the purpose for which the data was shared.
- (2) Any provider of data intermediation services shall duly and without delay inform data subjects and data holders in case of an unjustified transfer or access to data.
- (4) If a notification procedure in a specific situation meant under (2) is already followed under different legislation, that notification towards the data subject or data holder will be deemed sufficient.

**Commented [A30]:** Would it clarify if we refer to the conditions of art. 29?

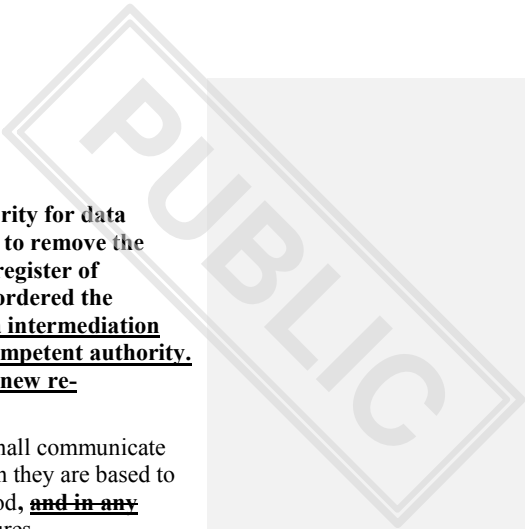
**Commented [A31]:** We think this is a problematic addition. We do not know the impact on privacy-by-design technologies or form of encrypted data transfers and hence this could potentially mean that a group of intermediaries is not allowed to offer their services even though their key selling point is that they are trustworthy because of the technology they use. There is a risk that only organisations that use less secure or less technologically trustworthy solutions could become data intermediaries, defeating a large part of the DGA. If the purpose here is to give law enforcement agencies the possibility to collect evidence, then this should be arranged in the appropriate legislations, for example on e-evidence.

*Article 12*  
*Competent authorities*

- (1) Each Member State shall designate in its territory one or more authorities competent to carry out the tasks related to the notification framework **for data sharing intermediation services** and shall communicate to the Commission the identity of those designated authorities by [date of application of this Regulation]. It shall also communicate to the Commission any subsequent modification.
- (2) The designated competent authorities **for data sharing intermediation services** shall comply with Article 23.
- (3) **The powers of the designated competent authorities are without prejudice to the powers** ~~The designated competent authorities~~, of the data protection authorities, the national competition authorities, the authorities in charge of cybersecurity, and other relevant sectorial authorities. **These authorities** shall exchange the information which is necessary for the exercise of their tasks **and cooperate in view of enforcement** in relation to data ~~sharing intermediation service~~ providers. ~~In particular, for any question requiring an assessment of compliance with Regulation (EU) 2016/679, the competent authority shall first seek an opinion or decision by the competent supervisory authority established pursuant to that Regulation and comply with that opinion or decision.~~

*Article 13*  
*Monitoring of compliance*

- (1) The competent authority **for data sharing intermediation services** shall monitor and supervise compliance with this Chapter. **The competent authority may also monitor and supervise the compliance of such data sharing intermediation services based on the request of natural or legal persons.**
- (2) The competent authority **for data sharing intermediation services** shall have the power to request from providers of data ~~sharing intermediation~~ services all the information that is necessary to verify compliance with the requirements ~~laid down in Articles 10 and 11 of this Chapter~~. Any request for information shall be proportionate to the performance of the task and shall be reasoned.
- (3) Where the competent authority **for data sharing intermediation services** finds that a provider of data ~~sharing intermediation~~ services does not comply with one or more of the requirements ~~laid down in Article 10 or 11 of this Chapter~~, it shall notify that provider of those findings and give it the opportunity to state its views, within a ~~reasonable time limit~~ **30 days**.
- (4) The competent authority **for data sharing intermediation services** shall have the power to require the cessation of the breach referred to in paragraph 3 either immediately or within a reasonable time limit and shall take appropriate and proportionate measures aimed at ensuring compliance. In this regard, the competent authorities shall be able, where appropriate:
  - (a) to impose dissuasive financial penalties which may include periodic penalties **and penalties** with retroactive effect;
  - (b) to require cessation ~~or postponement~~ of the provision of the data ~~sharing~~ **intermediation** service **due to serious or substantial breaches that have not been corrected despite prior notification or warning or postponement** suspension of the provision of such a service until modifications of its conditions, as requested



by the competent authority, are made; ~~The~~ **the competent authority for data sharing intermediation services shall request the Commission to remove the provider of the data sharing intermediation service from the register of providers of data sharing intermediation services once it has ordered the cessation or postponement of the service. If a provider of data intermediation service corrects the breaches, a provider shall re-notify the competent authority. The competent authority shall notify the Commission of each new re-notification.**

- (5) The competent authorities for data sharing intermediation services shall communicate the measures imposed pursuant to paragraph 4 and the reasons on which they are based to the entity concerned without delay and shall stipulate a reasonable period, **and in any event not longer than 30 days**, for the entity to comply with the measures.
- (6) If a provider of data sharing intermediation services has its main establishment or legal representative in a Member State, but provides services in other Member States, the competent authority of the Member State of the main establishment or where the legal representative is located and the competent authorities of those other Member States shall cooperate and assist each other. Such assistance and cooperation may cover information exchanges between the competent authorities concerned **for the purposes of their tasks under this Regulation** and requests to take the measures referred to in this Article. **Where a competent authority for data sharing intermediation services in one Member State requests assistance from another Member State, it shall submit a duly justified request. The competent authority for data sharing intermediation services so requested shall, without undue delay and within a timeframe proportionate to the urgency of the request, provide a response. Any information exchanged in the context of assistance requested and provided under this paragraph shall be used only in respect of the matter for which it was requested.**

*Article 14  
Exceptions*

This Chapter shall not apply to:

~~(a) public sector bodies that offer data sharing intermediation facilities on a non-commercial basis;~~

(b) **recognised data altruism organisations** ~~and other not for profit entities~~ whose activities consist only in seeking to collect data for objectives of general interest, made available by natural or legal persons on the basis of data altruism.

**(c) services of ‘account information service providers’ as defined in point 19 of Article 4 of Directive (EU) 2015/2366.**

**Commented [A32]:** This would be too vague, as it is not defined who would be these other not for profit entities.

## CHAPTER IV DATA ALTRUISM

### Article 14a

#### *National arrangements Policies for dData aAltruism*

- (1) Member States ~~shall define national policies for data altruism and shall may put have~~ in place organisational and/or technical arrangements to facilitate data altruism. In support of this Member States may define national policies for data altruism. These national policies shall may in particular support data subjects in making personal data related to them held by public sector bodies available voluntarily for data altruism.
- (2) The European Data Innovation Board shall advise and assist in developing a consistent practice ~~across throughout~~ the Union.

**Commented [A33]:** See further comments in LM statement from Friends of the Data Economy. We still do not see the need nor justification for including this paragraph. However, we can accept a non-mandatory formulation.

### Article 15

#### *Register of recognised data altruism organisations*

- (1) Each competent authority for the registration of data altruism organisations designated pursuant to Article 20 shall keep a public national register of recognised data altruism organisations ~~that has legal effect~~.
- (2) An entity registered in ~~the a national register of data altruism organisations referred to in paragraph 1 and~~ in accordance with Article 16 may refer to itself as a 'data altruism organisation recognised in the Union' in its written and spoken communication. The Commission shall maintain a public Union register of recognised data altruism organisations ~~for information purposes~~.
- (3) The Commission shall maintain a public Union register of recognised data altruism organisations for information purposes. An entity registered in the register in accordance with Article 16 may refer to itself as a 'data altruism organisation recognised in the Union' in its written and spoken communication.

### Article 16

#### *General requirements for registration*

In order to qualify for registration in a national register of recognised data altruism organisations, an entity ~~the data altruism organisation~~ shall:

**(-a) perform data altruism activities;**

- (a) be a legal ~~entity~~ **person established pursuant to national law** ~~constituted~~ to meet objectives of general interest, **in line with national law** ~~where applicable~~;
- (b) operate on a not-for-profit basis and be independent from any entity that operates on a for-profit basis;
- (c) perform the activities related to data altruism ~~take place through a~~ **structure that is legally functionally independent separate structure, separate from its other activities** ~~it has undertaken~~.

**Commented [A34]:** Not every MS may have a definition of an objective of general interest

(d) ~~comply with a code of conduct referred to in article 19a, at the latest by [date of implementation of this Regulation + 18 months].~~

**Commented [A35]:** This term should be equal to the one mentioned in art. 19(a)3

**Commented [A36]:** With this addition we suggest the deletion of the suggested change in art. 32:

**‘and whether adherence to self-regulatory codes of conduct should become a requirement for the registration of recognised data altruism organisations under Article 16.’**

Compliance with a code of conduct for all users of the label, both early adopters and newly developed ones, is essential to ensure a level playing field and a transparent situation for (potential) data donors.

#### Article 17

##### *Registration of recognised data altruism organisations*

- (1) Any entity which meets the requirements of Article 16 may request to be entered in the **national** register of recognised data altruism organisations **in the Member State in which it is established** ~~referred to in Article 15 (1).~~
- (2) ~~For the purposes of this Regulation, a~~ An entity **which meets the requirements of Article 16 and engaged in activities based on data altruism with** has establishments in more than one Member State, **may request to be entered in the national register of recognised data altruism organisations** ~~shall register~~ in the Member State in which it has its main establishment.
- (3) An entity **which meets the requirements in Article 16, but that** is not established in the Union, ~~but meets the requirements in Article 16,~~ shall appoint a legal representative in one of the Member States where it intends to collect data based on data altruism. For the purpose of compliance with this Regulation, that entity shall be deemed to be under the jurisdiction of the Member State where the legal representative is located. **Such an entity may request to be entered in the national register of recognised data altruism organisations in that Member State.**
- (4) Applications for registration shall contain the following information:
  - (a) name of the entity;
  - (b) the entity’s legal status, form and registration number, where the entity is registered in a public register;
  - (c) the statutes of the entity, where appropriate;
  - (d) the entity’s ~~main~~ sources of income;
  - (e) the address of the entity’s main establishment in the Union, if any, and, where applicable, any secondary branch in another Member State or that of the legal representative designated pursuant to paragraph (3);
  - (f) a website where information on the entity and the activities can be found, **including as a minimum the information as referred to in points letters (a), (b), (d), € and (h) of this paragraph;**
  - (g) the entity’s contact persons and contact details;
  - (h) the ~~purposes~~ **objectives** of general interest it intends to promote when collecting data;
  - (i) any other documents which demonstrate that the requirements of Article 16 are met.
- (5) Where the entity has submitted all necessary information pursuant to paragraph 4 and the competent authority **for the registration of data altruism organisations** considers that the entity complies with the requirements of Article 16, it shall ~~enter register~~ the entity in **the its national** register of recognised data altruism organisations within twelve weeks from the date of application. The registration shall be valid in all Member States. ~~Any registration shall be communicated to the Commission, for inclusion~~ **The competent authority for the registration of data altruism organisations shall communicate any**

**registration to the Commission, which shall include that registration** in the Union register of recognised data altruism organisations.

- (6) The information referred to in paragraph 4, points (a), (b), (f), (g), and (h) shall be published in the **relevant** national register of recognised data altruism organisations.
- (7) Any entity entered in ~~the a national~~ register of recognised data altruism organisations shall ~~submit~~ **notify the competent authority for the registration of data altruism organisations** of any changes of the information provided pursuant to paragraph 4 ~~to the competent authority~~ within 14 ~~calendar~~ days from the day on which the change takes place. **The competent authority shall inform the Commission by electronic means of each such notification without delay. Based on such notification, the Commission shall update the Union register of recognised data altruism organisations without delay.**

#### Article 18

##### Transparency requirements

- (1) Any entity entered in ~~the a~~ national register of recognised data altruism organisations shall keep full and accurate records concerning:
- all natural or legal persons that were given the possibility to process data held by that entity, **and their contact details**;
  - the date or duration of such processing;
  - the purpose of such processing as declared by the natural or legal person that was given the possibility of processing;
  - the fees paid by natural or legal persons processing the data, if any.
- (2) Any entity entered in ~~the a~~ register of recognised data altruism organisations shall draw up and transmit to the **relevant** competent national authority **for the registration of data altruism organisations** an annual activity report which shall contain at least the following:
- information on the activities of the entity;
  - a description of the way in which the general interest purposes for which data was collected have been promoted during the given financial year;
  - a list of all natural and legal persons that were allowed to ~~use~~ **process** data it holds, including a summary description of the general interest purposes pursued by such data ~~use~~ **processing** and the description of the technical means used for it, including a description of the techniques used to preserve privacy and data protection;
  - a summary of the results of the data ~~uses~~ **processing** allowed by the entity, where applicable;
  - information on sources of revenue of the entity, in particular all revenue resulted from allowing access to the data, and on expenditure.

#### Article 19

##### *Specific requirements to safeguard rights and interests of data subjects and ~~legal entities~~ **data holders** as regards their data*

- (1) Any entity entered in ~~the a national~~ register of recognised data altruism organisations shall inform data **subjects and data holders** **prior to any processing of their data**:

- (a) about the ~~purposes~~ **objectives** of general interest, ~~and the specifiespecified, explicit and legitimate -use of the data that will contribute to this objective,~~ for which it permits the processing of their data by a data user in an easy-to-understand manner;
- (b) about **the location of any processing performed** outside the Union, ~~in case the processing is performed by the entity entered in the a national register of recognised data altruism organisations itself.~~
- (2) The entity shall ~~also~~ ensure that the data is not be used for other ~~purposes objectives~~ than those of general interest for which ~~it~~ **the data subject or data holder** permits the processing.
- (2a) **The entity shall provide tools for obtaining consent from data subjects or permissions to process data made available by data holders. The entity shall also provide tools for easy withdrawal of such consent or permission. also ensure that consent from data subjects or permissions to process data made available by legal persons can be easily withdrawn.**
- (2b) **The entity shall take measures to ensure an appropriate high level of security for the storage and processing of data that it has collected based on data altruism.**
- (2c) The entity shall take all reasonable technical, legal and organisational measures in order to prevent transfer or access to data that is not aligned with the purpose for which it was shared.
- (3) Where ~~an the entity entered in the register of recognised data altruism organisations facilitates data processing by third parties, including by~~ providing tools for obtaining consent ~~by third parties~~ from data subjects or permissions to process data made available by ~~data holders~~ legal persons, it shall, **where relevant**, specify the jurisdiction or jurisdictions **outside the Union** in which the data use is intended to take place.
- (4) Any entity entered in the register of recognised data altruism organisations shall duly and without delay inform data subjects and data holders in case of an unjustified transfer or access to data.
- (5) The external user of the data sets shared by the entity entered in the register of recognised data altruism organisations shall duly and without delay inform the data altruism organisation from which they obtained access to the data about any transfer or access to the data that is not aligned with the purpose for which the use or re-use was permitted. The data altruism organisation shall subsequently inform the data holder or data subject.
- (6) If a notification procedure in a specific situation meant under (5) is already followed under different legislation, that notification towards the data subject or data holder will be deemed sufficient.

**Commented [A37]:** We still have serious concerns that this is not in line with GDPR. 'Objectives of general interest' is too broad and vague as a ground for consent. There should be a clearly defined goal, as is required by the GDPR.

**Commented [A38]:** The information on processing data is even more relevant in case it happens by a third party with whom the data donor has no contractual relation. Therefore we request to delete this addition.

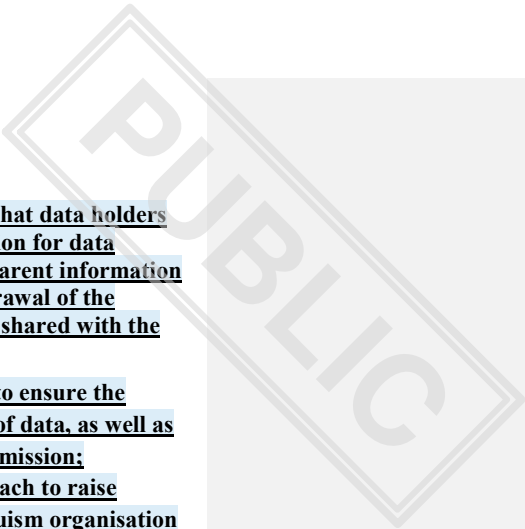
**Commented [A39]:** We welcome this addition.

**Commented [A40]:** Request to align this with art. 32 GDPR

### Article 19a

#### Codes of conduct

- (1) **The Commission shall encourage data altruism organisations and relevant stakeholders to develop self-regulatory codes of conduct at Union level, based on the principle of transparency and including, inter alia, the following aspects:**



- a) **minimum appropriate information requirements to ensure that data holders and data subjects are provided, before a consent or permission for data altruism is given, with sufficiently detailed, clear and transparent information regarding the use of data, the tools for the giving and withdrawal of the consent, and the measures taken to avoid misuse of the data shared with the data altruism organisation;**
- b) **minimum appropriate technical and security requirements to ensure the appropriate level of security for the storage and processing of data, as well as for the tools for obtaining and withdrawing consent and permission;**
- c) **communication roadmaps taking a multi-disciplinary approach to raise awareness of data altruism, of the designation as a data altruism organisation recognised in the Union and of the codes of conduct among relevant stakeholders, in particular data holders and data subjects that would potentially share their data.**

**(2) The Commission shall ensure that the codes of conduct are developed through a transparent procedure in close cooperation with all relevant stakeholders.**

**(3) The Commission shall encourage data altruism organisations and relevant stakeholders to complete the development of the codes of conduct by [date of implementation of this Regulation + 12 months] and to effectively implement them by [date of implementation of this Regulation + 18 months].**

Commented [A41]: We welcome this addition

#### Article 20

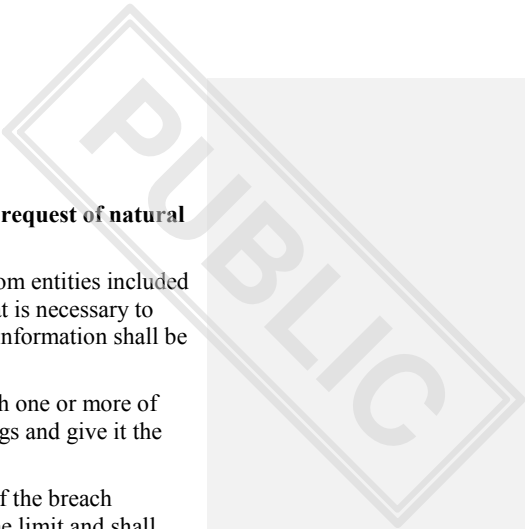
##### Competent authorities for ~~the registration of data altruism organisations~~ *registration*

- (1) Each Member State shall designate one or more competent authorities responsible for ~~the~~ **their national** register of recognised data altruism organisations and for the monitoring of compliance with the requirements of this Chapter. The designated competent authorities **for the registration of data altruism organisations** shall meet the requirements of Article 23.
- (2) Each Member State shall inform the Commission of the identity of ~~the~~ **their** designated **competent** authorities **for the registration of data altruism organisations.**
- (3) The competent authority **for the registration of data altruism organisations of a Member State** shall undertake its tasks in cooperation with the **relevant** data protection authority, where such tasks are related to processing of personal data, and with relevant sectoral bodies of ~~the same~~ **that** Member State. ~~For any question requiring an assessment of compliance with Regulation (EU) 2016/679, the competent authority shall first seek an opinion or decision by the competent supervisory authority established pursuant to that Regulation and comply with that opinion or decision.~~

#### Article 21

##### Monitoring of compliance

- (1) The competent authority **for the registration of data altruism organisations** shall monitor and supervise compliance of entities entered in ~~the~~ **its national** register of recognised data altruism organisations with the conditions laid down in this Chapter. **The competent authority for the registration of data altruism organisations may also**



**monitor and supervise the compliance of such entities based on the request of natural or legal persons.**

- (2) The competent authority shall have the power to request information from entities included in ~~the~~ **its national** register of recognised data altruism organisations that is necessary to verify compliance with the provisions of this Chapter. Any request for information shall be proportionate to the performance of the task and shall be reasoned.
- (3) Where the competent authority finds that an entity does not comply with one or more of the requirements of this Chapter it shall notify the entity of those findings and give it the opportunity to state its views, within **30 days** ~~a reasonable time limit~~.
- (4) The competent authority shall have the power to require the cessation of the breach referred to in paragraph 3 either immediately or within a reasonable time limit and shall take appropriate and proportionate measures aimed at ensuring compliance.
- (5) If an entity does not comply with one or more of the requirements of this Chapter even after having been notified in accordance with paragraph 3 by the competent authority, the entity shall:

- (a) lose its right to refer to itself as a ‘data altruism organisation recognised in the Union’ in any written and spoken communication;
- (b) be removed from the **relevant national** register of recognised data altruism organisations, **and including at the Union register of recognised data altruism organisations level.**
- (6) If an entity included in ~~the~~ **a national** register of recognised data altruism organisations has its main establishment or legal representative in a Member State but is active in other Member States, the competent authority of the Member State of the main establishment or where the legal representative is located and the competent authorities of those other Member States shall cooperate and assist each other as necessary. Such assistance and cooperation may cover information exchanges between the competent authorities concerned and requests to take the supervisory measures referred to in this Article. **Where a competent authority in one Member State requests assistance from another Member State, it shall submit a duly justified request. The competent authority so requested shall, upon such a request, provide a response without undue delay and within a timeframe proportionate to the urgency of the request, ~~provide a response.~~ Any information exchanged in the context of assistance requested and provided under this paragraph shall be used only in respect of the matter for which it was requested.**

*Article 22*  
*European data altruism consent form*

- (1) In order to facilitate the collection of data based on data altruism, the Commission ~~may~~ **shall** adopt implementing acts **establishing and** developing a European data altruism consent form, **after consultation of the European Data Protection Board, and duly involving relevant stakeholders.** The form shall allow the collection of consent across Member States in a uniform format. Those implementing acts shall be adopted in accordance with the advisory procedure referred to in Article 29 (~~232~~).
- (2) The European data altruism consent form shall use a modular approach allowing customisation for specific sectors and for different purposes.
- (3) Where personal data are provided, the European data altruism consent form shall ensure that data subjects are able to give consent to and withdraw consent from a specific data processing operation in compliance with the requirements of Regulation (EU) 2016/679.
- (4) The form shall be available in a manner that can be printed on paper **and is easily understandable and read by humans** as well as in an electronic, machine-readable form.

## CHAPTER V

### COMPETENT AUTHORITIES AND PROCEDURAL PROVISIONS

#### Article 23

##### *Requirements relating to competent authorities*

- (1) The competent authorities designated pursuant to Article 12 and Article 20 shall be legally distinct from, and functionally independent of any provider of data ~~sharing intermediation~~ services or entity included in ~~the a national~~ register of recognised data altruism organisations. **The functions of the competent authorities designated pursuant to Article 12 and Article 20 may be performed by the same entity. Member States shall be allowed may either to establish one or more new entities or to rely on existing ones.**
- (2) Competent authorities shall exercise their tasks in an impartial, transparent, consistent, reliable and timely manner.
- (3) The top-management and the personnel responsible for carrying out the relevant tasks of the competent ~~authority~~ **authorities provided for in this Regulation** cannot be the designer, manufacturer, supplier, installer, purchaser, owner, user or maintainer of the services which they evaluate, nor the authorised representative of any of those parties or represent them. This shall not preclude the use of evaluated services that are necessary for the operations of ~~the a~~ competent authority or the use of such services for personal purposes.
- (4) Top-management and personnel **of the competent authorities** shall not engage in any activity that may conflict with their independence of judgment or integrity in relation to evaluation activities entrusted to them.
- (5) The competent authorities shall have at their disposal the adequate financial and human resources to carry out the tasks assigned to them, including the necessary technical knowledge and resources.
- (6) The competent authorities of a Member State shall provide the Commission and competent authorities from other Member States, on reasoned request **and without undue delay**, with the information necessary to carry out their tasks under this Regulation. Where a national competent authority considers the information requested to be confidential in accordance with Union and national rules on commercial and professional confidentiality, the Commission and any other competent authorities concerned shall ensure such confidentiality.

#### Article 24

##### *Right to lodge a complaint*

- (1) **Any affected** ~~Natural~~ and legal persons shall have the right to lodge a complaint with the relevant national competent authority against a provider of data ~~sharing intermediation~~ services or an entity entered in ~~the a national~~ register of recognised data altruism organisations **in relation to any matter ~~pertaining to falling within the scope of this Regulation.~~**
- (2) The **competent** authority with which the complaint has been lodged shall inform the complainant of the progress of the proceedings and of the decision taken, and shall inform the complainant of ~~the right to an effective judicial~~ **the** remedies provided for in Article 25.

*Article 25*  
*Right to an effective judicial remedy*

- (1) Notwithstanding any administrative or other non-judicial remedies, any affected natural and legal persons shall have the right to an effective judicial remedy with regard to:
- (a) ~~a failure to act on a complaint lodged with the competent authority referred to in Articles 12 and 20;~~
  - (b) ~~legally binding~~ decisions of the competent authorities referred to in Articles 13, 17 and 21 taken in the management, control and enforcement of the notification regime for providers of data ~~sharing~~ **intermediation** services and the monitoring of entities entered into ~~the a~~ **national** register of recognised data altruism organisations.
- (2) Proceedings pursuant to this Article shall be brought before the courts of the Member State ~~in which the~~ **of the competent authority** against which the judicial remedy is sought ~~is located~~ **individually or, where relevant, by the representatives of one or more natural or legal persons.**
- (3) ~~When a competent authority referred to in Article 12 or 20 fails to act on a complaint,~~ any affected natural and legal persons shall either have the right to effective judicial remedy or access to review by an impartial body with the appropriate expertise.

## CHAPTER VI EUROPEAN DATA INNOVATION BOARD

### Article 26

#### European Data Innovation Board

- (1) The Commission shall establish a European Data Innovation Board (“the Board”) in the form of an ~~Expert~~ **expert Group group**, consisting of ~~the~~ representatives of ~~the~~ competent authorities of all ~~the~~ Member States **pursuant to Article 12 and Article 20**, the European Data Protection Board, the Commission, relevant data spaces and other representatives of ~~competent authorities~~ **relevant bodies in specific sectors as well as bodies with specific expertise such as national statistical offices**.
- (1a) **The Board shall consist of at least one sub-group composed of the competent authorities referred to in Article 12 and Article 20, with a view of carrying out the tasks pursuant to Article 27 point (a), (b), (e) and (f), as well as at least one sub-group for technical discussions on standardisation and interoperability pursuant to Article 27 point (c) and (d).**
- (2) Stakeholders and relevant third parties ~~may~~ **shall** be invited to attend meetings of the Board and to participate in its work, **where relevant**.
- (3) The Commission shall chair the meetings of the Board.
- (4) The Board shall be assisted by a secretariat provided by the Commission.

### Article 27

#### Tasks of the European Data Innovation Board

The Board shall have the following tasks:

- (a) ~~to~~ advise and assist the Commission in developing a consistent practice of public sector bodies and competent bodies referred to in Article 7 (1) **in** processing requests for the re-use of the categories of data referred to in Article 3 (1);
- (b) ~~to~~ advise and assist the Commission in developing a consistent practice of the competent authorities **referred to in Article 12 and Article 20** in the application of requirements applicable to data ~~sharing~~ **intermediation service providers and entities performing activities related to data altruism, respectively**;
- (c) ~~to~~ **advise and assist** the Commission on the prioritisation of cross-sector standards to be used and developed for data use and cross-sector data sharing, cross-sectoral comparison and exchange of best practices with regards to sectoral requirements for security, access procedures, while taking into account sector-specific standardisations activities;
- (d) ~~to~~ **advise and** assist the Commission in enhancing the interoperability of data as well as data ~~sharing~~ **intermediation** services between different sectors and domains, building on existing European, international or national standards;
- (e) ~~to~~ facilitate ~~the~~ cooperation between ~~national~~ competent authorities **referred to in Article 12 and Article 20 under this Regulation** through capacity-building and the exchange of information, in particular by establishing methods for the efficient exchange of information relating to the notification procedure for data ~~sharing~~ **intermediation** service providers and the registration and monitoring of recognised data altruism organisations, **including the coordination and harmonisation regarding the setting of fees or penalties, as well as**

facilitate the cooperation between national competent authorities regarding international access and transfer of data;-

- (f) advise and assist the Commission in evaluating whether implementing acts in accordance with Article 5 (10a) should be adopted to facilitate the cooperation between national competent authorities on the application of Article 30.

## CHAPTER VII COMMITTEE PROCEDURE AND DELEGATION

### *Article 28 Exercise of the Delegation*

- (1) The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.
- (2) The power to adopt delegated acts referred to in Article 5 (11) shall be conferred on the Commission for an indeterminate period of time from [...].
- (3) The delegation of power referred to in Article 5 (11) may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.
- (4) Before adopting a delegated act, the Commission shall consult experts designated by each Member State in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making.
- (5) As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.
- (6) A delegated act adopted pursuant to Article 5 (11) shall enter into force only if no objection has been expressed either by the European Parliament or by the Council within a period of three months of notification of that act to the European Parliament and to the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by three months at the initiative of the European Parliament or of the Council.

### *Article 29 Committee procedure*

- (1) The Commission shall be assisted by a committee. **That committee shall be a committee** within the meaning of Regulation (EU) No 182/2011.
- (2) Where reference is made to this paragraph, Article 4 5 of Regulation (EU) No 182/2011 shall apply.
- (3) **Where reference is made to this paragraph, Article 4-5 of Regulation (EU) No 182/2011 shall apply.**
- (34) Where the opinion of the committee is to be obtained by written procedure, that procedure shall be terminated without result when, within the time limit for delivery of the opinion,

~~the chair of the committee so decides or a committee member so requests. In such a case, the chair shall convene a committee meeting within a reasonable time.~~



## CHAPTER VIII FINAL PROVISIONS

### Article 30

#### *International access and transfer*

- (1) The public sector body, the natural or legal person to which the right to re-use data was granted under Chapter 2, the data ~~sharing~~ **intermediation service** provider or the entity entered in ~~the a national~~ register of recognised data altruism organisations, as the case may be, shall take all reasonable technical, legal and organisational measures, **including contractual arrangements**, in order to prevent **international** transfer or **governmental** access to non-personal data held in the Union where such transfer or **governmental** access would create a conflict with Union law or ~~the national~~ law of the relevant Member State, ~~unless the transfer or access are in line with~~ **without prejudice to** paragraph 2 or 3.
- (2) Any judgment of a court or tribunal and any decision of an administrative authority of a third country requiring a public sector body, a natural or legal person to which the right to re-use data was granted under Chapter 2, a data ~~sharing~~ **intermediation service** provider or entity entered in ~~the a national~~ register of recognised data altruism organisations to transfer from or give access to non-personal data ~~subject to~~ **within the scope of** this Regulation in the Union may only be recognised or enforceable in any manner if based on an international agreement, such as a mutual legal assistance treaty, in force between the requesting third country and the Union or any such agreement between the requesting third country and a Member State ~~concluded before [the entry into force of this Regulation]~~.
- (3) **In the absence of such an international agreement,** where a public sector body, a natural or legal person to which the right to re-use data was granted under Chapter 2, a data ~~sharing~~ **intermediation service** provider or entity entered in ~~the a national~~ register of recognised data altruism organisations is the addressee of a decision of a court or of an administrative authority of a third country to transfer from or give access to non-personal data **within the scope of this Regulation** held in the Union and compliance with such a decision would risk putting the addressee in conflict with Union law or with ~~the national~~ law of the relevant Member State, transfer to or access to such data by that third-country authority shall take place only:
- where the third-country system requires the reasons and proportionality of the decision to be set out, and it requires the court order or the decision, as the case may be, to be specific in character, for instance by establishing a sufficient link to certain suspected persons, or infringements;
  - the reasoned objection of the addressee is subject to a review by a competent court in the third-country; and
  - ~~in that context,~~ the competent court issuing the order or reviewing the decision of an administrative authority is empowered under the law of that **third** country to take duly into account the relevant legal interests of the provider of the data protected by Union law or **national law of the relevant** ~~the applicable~~ Member State ~~law~~.

The addressee of the decision shall ~~may~~ ask the opinion of the relevant competent bodies or authorities, pursuant to this Regulation, in order to determine if these conditions are met. **The relevant competent bodies shall exchange information on international access requests in the framework of the European Data Innovation Board.**

PUBLIC

- (4) If the conditions in paragraph 2, or 3 are met, the public sector body, the natural or legal person to which the right to re-use data was granted under Chapter 2, the data ~~sharing~~ **intermediation service** provider or the entity entered in ~~the a national~~ register of recognised data altruism organisations, as the case may be, shall provide the minimum amount of data permissible in response to a request, based on a reasonable interpretation of the request.
- (5) The public sector body, the natural or legal person to which the right to re-use data was granted under Chapter 2, the data ~~sharing~~ **intermediation service** provider and the entity **entered in a national register of recognised providing** data altruism **organisations** shall inform the data ~~holder~~ **subject or the data holder** about the existence of a request of an administrative authority in a third-country to access its data, except in cases where the request serves law enforcement purposes and for as long as this is necessary to preserve the effectiveness of the law enforcement activity.

*Article 31  
Penalties*

Member States shall lay down the rules on penalties applicable to infringements of **the obligations regarding transfers of non-personal data to third countries pursuant to Article 5 (12) and Article 30, the obligation of data intermediation service providers to notify pursuant to Article 10, the conditions for providing services pursuant to Article 11, conditions for the registration as a recognised data altruism organisation pursuant to Articles 18 and 19 of this Regulation** and shall take all measures necessary to ensure that they are implemented. The penalties provided for shall be effective, proportionate and dissuasive. Member States shall **by ... [date of application of this Regulation]** notify the Commission of those rules and **of those** measures ~~by [date of application of the Regulation]~~ and shall notify ~~it the Commission~~ without delay of any subsequent amendment affecting them.

*Article 32  
Evaluation and review*

By [~~four years~~ **48 months** after the date of application of this Regulation], the Commission shall carry out an evaluation of this Regulation, and submit a report on its main findings to the European Parliament and to the Council as well as to the European Economic and Social Committee. Member States shall provide the Commission with the information necessary for the preparation of that report.

**The Commission shall, by [two years after the date of application of this Regulation], assess the effectiveness of the provisions set out in Article 19a, and whether adherence to self-regulatory codes of conduct should become a requirement for the registration of recognised data altruism organisations in accordance with Article 16.**

*Article 33*  
*Amendment to Regulation (EU) No 2018/1724*

In Annex II to Regulation (EU) No 2018/1724, the following line information is added under to “Starting, running and closing a business”:

Starting, running and closing a business	Notification as a provider of data <del>sharing</del> <b>intermediation</b> services	Confirmation of the receipt of notification
	Registration as a <del>European</del> data altruism organisation <b>recognised in the Union</b>	Confirmation of the registration

*Article 34*  
*Transitional arrangements*

Entities providing the data ~~sharing~~ **intermediation** services provided ~~for~~ in Article 9(1) on ~~...~~ **the** date of entry into force of this Regulation] shall comply with the obligations set out in Chapter III by [~~date 2 years~~ **24 months** after the ~~start~~ date of ~~the~~ application of ~~the~~ **this** Regulation] ~~at the latest~~.

*Article 35*  
*Entry into force and application*

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

It shall apply from [~~182 months~~ after ~~the date of its~~ entry into force **of this Regulation**].

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels,

*For the European Parliament*  
*The President*

*For the Council*  
*The President*