



Council of the European Union
General Secretariat

**Interinstitutional files:
2018/0216(COD)**

Brussels, 25 September 2020

WK 10149/2020 INIT

LIMITE

**AGRI
AGRILEG
AGRIFIN
AGRISTR
AGRIORG
CODEC**

WORKING PAPER

This is a paper intended for a specific community of recipients. Handling and further distribution are under the sole responsibility of community members.

WORKING DOCUMENT

From:	General Secretariat of the Council
To:	Working Party on Horizontal Agricultural Questions (CAP Reform)
N° Cion doc.:	9645/18 + COR 1 + ADD 1
Subject:	Proposal for a Regulation on CAP Strategic Plans Indicators - Updated Commission fiches on output indicators

Delegations will find attached updated fiches on output indicators from the Commission.

Output indicators [01/10/2020](#)

Deleted: 1103/09

Deleted: 19

Agricultural Knowledge and Innovation Systems (AKIS)	Output indicators
European Innovation Partnership for agricultural knowledge and innovation (EIP)**	O.1 Number of EIP operational groups O.2 Number of advisors setting up or participating in EIP operational groups

Broad type of intervention	Output indicators (per intervention)
CAP support	O.3 Number of CAP support beneficiaries
Decoupled direct support	O.4 Number of ha for decoupled DP
	O.5 Number of beneficiaries for decoupled DP
	O.6 Number of ha subject to enhanced income support for young farmers
	O.6a Number of ha for complementary redistributive income support[#]
	O.6b Number of units (ha or livestock units) for eco-schemes[#]
Risk management tools	O.7 Number of beneficiaries subject to enhanced income support for young farmers
	O.8 Number of farmers covered by supported risk management instruments
Coupled support	O.8a: Number of mutual funds for agricultural, climate and sanitary risk management receiving support under EAFRD (by type of hazards)[#]
	O.9 Number of ha benefitting from coupled support
Payments for natural constraints and other region specific constraints	O.10 Number of heads benefitting from coupled support
	O.11 Number of ha receiving ANC top up (3 categories)
	O.12 Number of ha receiving support under Natura 2000 or the Water Framework Directive
Payments for management commitments (environment-climate, genetic resources, animal welfare)	O.12a: Number of ha receiving support under the Water Framework Directive[#]
	O.13 Number of ha (agricultural) covered by environment/climate commitments going beyond mandatory requirements
	O.14 Number of ha (forestry) covered by environment/climate commitments going beyond mandatory requirements
	O.14a: Number of forestry units other than ha covered by environment/climate commitments going beyond mandatory requirements[#]
	O.15 Number of ha with support for organic farming
	O.15a Number of units other than ha supported for organic farming[#]
	O.16 Number of livestock units covered by support for animal welfare, health or increased biosecurity measures
	O.17 Number of projects supporting genetic resources

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators [01/10/2020](#)

Deleted: 1103/09

Deleted: 19

Investments	O.18 Number of supported on-farm productive investments
	O.18a: Number of supported on-farm non-productive investment operations or units receiving support under EAFRD[#]
	O.19 Number of supported local infrastructures
	O.20 Number of supported non-productive investments
	O.21 Number of off-farm productive investments
Installation grants	O.22 Number of farmers receiving installation grants
	O.23 Number of rural entrepreneurs receiving installation grants
	O.23a: Number of small farms receiving development support under EAFRD[#]
Cooperation	O.24 Number of supported producer groups/organisations
	O.25 Number of farmers receiving support to participate in EU quality schemes
	O.26 Number of generational renewal projects (young/non-young farmers)
	O.27 Number of local development strategies (LEADER)
	O.28 Number of other cooperation groups (excluding EIP reported under O.1)
Knowledge exchange and information	O.29 Number of farmers trained/given advice
	O.29a Number of plans, studies or awareness actions supported by EAFRD[#]
	O.30 Number of non-farmers trained/given advice
Horizontal indicators	O.31 Number of ha under environmental practices (synthesis indicator on physical area covered by conditionality, ELS, AEEM, forestry measures, organic farming)
	O.32 Number of ha subject to conditionality (broken down by GAEP practice)
Sectorial programmes	O.33 Number of producer organisations setting up an operational fund/program
	O.34 Number of promotion and information actions, and market monitoring
	O.34a Number of actions or units supported in the wine sector[#]
	O.35 Number of actions for beekeeping preservation/improvement

*Support to operational Groups under EIP falls under the provisions of cooperation.

[#] New Indicator proposed by the Council Presidency

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator name	O.1: Number of EIP Operational Groups
<u>Label as proposed by the Presidency</u>	<u>O.1: Number of EIP (European Innovation Partnership) operational group projects</u>
Definition	The total number of EIP Operational Group (OG) projects that receive support under one or more of the interventions set out in the CAP strategic plan in the Financial Year concerned.
Types of intervention concerned	The following type of intervention is concerned: Cooperation to prepare or implement EIP OG projects in accordance with Article 114 (Article 71)
Methodology	The number of EIP OG projects, receiving a payment in the Financial Year concerned, should be reported by unit amount . If only a part of the committed amount for an operation was paid in the Financial Year concerned, only a partial output is to be reported (see cover note).
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) Remark: For these aggregates, there should be <u>no double counting</u>.
Unit of measurement	Number of OG projects
Comments/caveats	The preparation of the OG project as foreseen in Article 13 ('setting up' = drawing up a plan for an innovative project to be developed, as also mentioned in Article 114') counts as a separate operation and can be paid as soon as it is finished and a fully-fledged project proposal is ready for selection. The implementation of the OG project (after selecting it) is another operation. So it is possible to pay for the preparation operation only, and before the project itself is finished. Note that there is always a one-to-one relationship between an EIP OG and its innovative project. An EIP OG can run one project only: the objectives and activities of the OG project are distinctive for that OG. Thus, two different projects are always run by two different EIP OGs, no matter the OG's composition.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators 01/10/2020

Deleted: 1103/09

Deleted: 19

Indicator name	O.2: Number of advisors setting up or participating in EIP Operational Groups (OGs)
Simpler alternative label	<u>O.2: Number of advice actions to provide innovation support for preparing or implementing EIP operational group projects</u>
Label as proposed by the Presidency	<u>DELETED</u>
Definition	Number of advice actions to help for preparing and/or implementing EIP Operational Group (OG) projects paid in the Financial Year concerned (excluding other type of advice actions reported under O.29).
Types of intervention concerned	Only the following type of intervention is concerned: Knowledge exchange and information (Article 72) This indicator concerns support for advice for innovation purposes incentivising emergence and running of the EIP OG project. This support refers either to the project preparation period or to the facilitation during the project implementation of the EIP Operational Group project (or both), and only if the advisor is not paid by the OG project budget (Article 71), but by an intervention under Article 72.
Methodology	The advice actions, paid in the Financial Year concerned, in the frame of innovation support under Article 72, shall be reported per unit amount . If only a part of the committed amount for an operation was paid in the Financial Year concerned only a partial output is to be reported (see cover note)
Methodology for the aggregated values	<i>Following aggregates should be provided:</i> -By intervention (if relevant, i.e. when within one intervention several unit amounts are defined).
Unit of measurement	Number of advice actions
Comments/caveats	The rationale for this indicator is to measure specific advisory efforts under Article 72 to incentivise innovation, e.g. those in accordance with Article 13(4)(e), i.e. an advisor delivering "innovation support in particular for preparing and for implementing OG projects". Examples could be providing specific advisory services for innovation support, such as coaching farmers towards innovation on their farm, managing an innovation hub, which helps setting up of facilitating OG innovative projects. Support to advisors under Article 71 as part of the funding for the OG project is not included here. These cases are covered under O.1, because the advisor in that case is paid from the OG project budget.

Deleted: ¶

Deleted: ¶

Deleted: ¶

Note: Innovation support for EIP OGs by advisors is an obligation (Art. 13(4)). Because it is a key contribution to the cross-cutting objective on knowledge, innovation and digitalisation, it is important to keep easily visible advisors' efforts for setting up and accompanying OGs. If it's mixed with all knowledge actions in O.29 it will be very difficult to follow the implementation of Art. 13(4).

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators 01/10/2020

Deleted: 1103/09

Deleted: 19

Deleted: ¶

Indicator name	O.3: Number of CAP support beneficiaries
<u>Label as proposed by the Presidency</u>	<u>DELETED</u>
Definition	The number of CAP support beneficiaries in a Member State i.e. that received support in the Financial Year concerned under one or more of the interventions set out in the CAP strategic plan.
Types of interventions concerned	The following types of interventions are concerned: All CAP interventions set out in the CAP strategic plan by the MS in the form of : • direct payments • rural development • sectoral types of interventions
Methodology	Number of beneficiaries who received a payment in Financial Year, per intervention and per type of intervention (without double counting). In the case of types of intervention for rural development, beneficiaries are defined in Article 3(h).
Methodology for aggregates	<i>Following aggregates should be provided:</i> - <u>Total number of farmers receiving directly support, broken down by:</u> ▪ <u>male farmers</u> ▪ <u>female farmers</u> ▪ <u>legal entities</u> - <u>Total number of farmers beneficiaries of direct payments</u> (Chapter II of Title III) Remarks: - For these aggregates, there should be <u>no double counting</u>: i.e. where a beneficiary benefits from multiple interventions, that beneficiary should be counted only once in the aggregate. - Only farmers directly receiving financial support are reported here. In other words, farmers benefitting indirectly from CAP support through actions of producer organisations (PO), training and advice actions, projects implemented under Local Development Strategies (CLLD/Leader), mutual fund, are not taken into account in this indicator.
Unit of measurement	Number of beneficiaries
Comments/caveats	- <u>The detailed information by intervention and type of intervention relates to all direct beneficiaries of CAP support (not only farmers).</u> - <u>By contrast, the aggregated figures for direct beneficiaries of total CAP support and direct payments relate only to farmers.</u> - In order to provide these data there will be a need for a unique identifier of each beneficiary (used for all support applications) to avoid double counting between Direct Payments, Rural Development and sectoral types of interventions <u>in IACS</u>. - Beekeepers are accounted as farmers.

Deleted: ¶

Deleted:

Deleted:

Deleted: ¶

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators [01/10/2020](#)

Deleted: 1103/09

Deleted: 19

- **This indicator is not used for performance clearance.**

Note: The number of CAP beneficiaries is the information number 1 the Commission is requested to provide. The Commission cannot accept that this information is not reported annually by Member States.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator name	O.4: Number of hectares for decoupled direct payments
Label as proposed by the Presidency	(split in 4 indicators O.4, O.6, O.6a and O.6b, see following fiches)
Definition	The number of hectares paid for the interventions under decoupled direct payments' in the Financial Year concerned.
Types of intervention concerned	The following types of interventions are concerned: • The Basic income support for sustainability (BISS) including the round-sum payment for small farmers (Section 2, Subsection 2) • The complementary redistributive income support for sustainability (CRISS) (Article 26) • The complementary income support for Young Farmers (Article 27) • The schemes for the climate and environment (eco-schemes) (Article 28)
Methodology	The number of hectares, paid in Financial Year concerned, shall be reported per decoupled direct payment unit amount .
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By type of intervention (if relevant, i.e. when there are several eco-schemes) - By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) - The number of hectares for decoupled Direct Payments taken together (i.e. the physical area paid for decoupled Direct Payments) Remark: For these aggregates, there should be <u>no double counting</u>: i.e. where the same hectare benefits from support under multiple decoupled direct payments interventions, that hectare should be counted only once for the aggregate.
Unit of measurement	Number of hectares
Comments/caveats	- The output indicator used for the annual clearance will be the number of hectares by intervention (or within an intervention, per unit amount) for all decoupled payments except for the round-sum payment for small farmers for which the number of beneficiaries will be used (see O.5). The number of hectares for the round-sum payment for small farmers will be used for monitoring purposes.

[Note: The split is not harmful to the Commission proposal, provided the aggregated figure on the number of hectares for decoupled Direct Payments taken together is reported annually by Member States.](#)

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

<u>Label as proposed by the Presidency</u>	<u>O.4 Number of ha for basic income support for sustainability</u>
<u>Definition</u>	The number of hectares paid for the interventions under basic income support for sustainability in the Financial Year concerned.
<u>Types of intervention concerned</u>	The following type of interventions are concerned: • The Basic income support for sustainability (BISS) including the round-sum payment for small farmers (Section 2, Subsection 2)
<u>Methodology</u>	The number of hectares, paid in Financial Year concerned, shall be reported per intervention unit amount .
<u>Methodology for the aggregated values</u>	<u>Following aggregates should be provided :</u> - The total number of hectares for basic income support for sustainability (i.e. the physical area) - The total number of hectares for the round-sum payment for small farmers (i.e. the physical area) - The number of hectares for decoupled Direct Payments taken together (i.e. the physical area paid for decoupled Direct Payments) <u>Remark:</u> For these aggregates, there should be no double counting: i.e. where the same hectare benefits from support under multiple decoupled direct payments interventions, that hectare should be counted only once for the aggregate.
<u>Unit of measurement</u>	Number of hectares
<u>Comments/caveats</u>	

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator name	O.5: Number of beneficiaries for decoupled DP
<u>More precise alternative label</u>	<u>O.5 Number of beneficiaries for decoupled Direct Payments</u>
<u>Label as proposed by the Presidency</u>	<u>O.5: Number of beneficiaries of payments for small farmers (see the following fiche)</u>
Definition	The number of CAP support beneficiaries in a Member State that received support in the Financial Year concerned from one or more of interventions under decoupled direct payments set out in the CAP strategic plan.
Types of interventions concerned	The following types of interventions are concerned: • The Basic income support for sustainability (BISS) including the round-sum payment for small farmers (Section 2, Subsection 2) • The complementary redistributive income support for sustainability (CRISS) (Article 26) • The complementary income support for Young Farmers (Article 27) • The schemes for the climate and environment (eco schemes) (Article 28)
Methodology	<u>Beneficiary for decoupled direct payments</u> = The number of beneficiaries of a decoupled direct payment intervention in Financial Year concerned per intervention. Generally, beneficiaries of direct payments in the Financial year concerned are accounted in full (see cover note). However, if exceptionally the round-sum payment for small farmers was not entirely paid within the Financial Year, beneficiaries should be counted in proportion to the share of payment (see comments/caveats).
Methodology for the aggregated values	<i>Following aggregates should be provided:</i> - The number of beneficiaries of decoupled direct payments taken together. Remark: For this aggregate, there should be <u>no double counting</u>: i.e. where a same beneficiary benefits from multiple interventions, that beneficiary should be counted only once in the aggregate.
Unit of measurement	Number of beneficiaries
Comments/caveats	The detail by unit amount is not requested for this indicator. If exceptionally the round-sum payment for small farmers was not entirely paid within the Financial Year concerned, for the purpose of the performance clearance, the number of beneficiaries of the Round-Sum payment for small farmers should be provided taking into account partial payments, because this direct payment is defined per farmer and thus the performance clearance is done per farmer. The partial number of beneficiaries to be reported corresponds to the share of the payment in the Financial Year concerned in the total amount

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

to be paid. For example, for a small farmer receiving only 90% of the payment in the Financial Year concerned, the reported output is 0.9.

By contrast, for the aggregated number of beneficiaries of decoupled payments, the beneficiaries of the round-sum payment for small farmers are accounted in full.

Note: Provided Member States report on the number of CAP beneficiaries under O.3, the scope of indicator O.5 can be limited to the round-sum payment for small farmers to be used for the performance clearance.

<u>Label as proposed by the Presidency</u>	<u>O.5: Number of beneficiaries of payments for small farmers</u>
<u>Definition</u>	The number of CAP support beneficiaries in a Member State that received support in the Financial Year concerned from one or more of interventions under decoupled direct payments set out in the CAP strategic plan.
<u>Types of interventions concerned</u>	The following type of interventions is concerned: • The round-sum payment for small farmers (Section 2, Subsection 2)
<u>Methodology</u>	The number of beneficiaries of a round-sum payment for small farmers intervention in Financial Year concerned. If exceptionally the round-sum payment for small farmers was not entirely paid within the Financial Year, beneficiaries should be counted in proportion to the share of payment for the purpose of the performance clearance (see comments/caveats).
<u>Methodology for the aggregated values</u>	<u>Following aggregates should be provided:</u> - The total number of beneficiaries of the round-sum payment for small farmers. <u>Remark:</u> For this aggregate, there should be no double counting: i.e. where a same beneficiary benefits from multiple interventions, that beneficiary should be counted only once in the aggregate.
<u>Unit of measurement</u>	<u>Number of beneficiaries</u>
<u>Comments/caveats</u>	The partial number of beneficiaries to be reported corresponds to the share of the payment in the Financial Year concerned in the total amount to be paid. For example, for a small farmer receiving only 90% of the payment in the Financial Year concerned, the reported output is 0.9. By contrast, for the aggregated number of beneficiaries, the beneficiaries of the round-sum payment for small farmers are accounted in full.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators [01/10/2020](#)

Deleted: 1103/09

Deleted: 19

Indicator name	O.6: Number of ha subject to enhanced income support for young farmers
Label as proposed by the Presidency	O.6: Number of ha subject to complementary income support for young farmers
Definition	The number of hectares paid under complementary income support for Young Farmers in the Financial Year concerned.
Types of intervention concerned	The following type of intervention is concerned: • The complementary income support for Young Farmers (Article 27)
Methodology	The number of hectares paid in the Financial Year concerned shall be reported per intervention unit amount .
Unit of measurement	Number of hectares
Comments/caveats	The value of this indicator should be equal to the value provided under O.4 for the complementary income support for Young Farmers.

Deleted: 1

[Note: This indicator is a subset of O.4 as proposed by the Commission](#)

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators 01/10/2020

Deleted: 1103/09

Deleted: 19

<u>Label as proposed by the Presidency</u>	<u>O.6a Number of ha for complementary redistributive income support</u>
<u>Definition</u>	<u>The number of hectares paid under complementary income support for sustainability (CRISS) in the Financial Year concerned.</u>
<u>Types of intervention concerned</u>	<u>The following type of intervention is concerned:</u> <u>• The complementary redistributive income support for sustainability (CRISS) (Article 26)</u>
<u>Methodology</u>	<u>The number of hectares paid in the Financial Year concerned shall be reported per intervention unit amount.</u>
<u>Methodology for the aggregated values</u>	<u>Following aggregates should be provided :</u> - <u>The number of hectares for complementary redistributive income support for sustainability (CRISS) (i.e. the physical area paid)</u> <u>Remark:</u> <u>For this aggregate, there should be no double counting.</u>
<u>Unit of measurement</u>	<u>Number of hectares</u>
<u>Comments/caveats</u>	

Deleted: 1

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators 01/10/2020

Deleted: 1103/09

Deleted: 19

<u>Label as proposed by the Presidency</u>	<u>O.6b Number of units (ha or livestock units) for eco-schemes</u>
<u>Alternative label in line with COM proposal</u>	<u>O.6b Number of ha for eco-schemes</u>
<u>Definition</u>	<u>The number of ha paid under the schemes for the climate and environment (eco-schemes) in the Financial Year concerned.</u>
<u>Types of intervention concerned</u>	<u>The following type of intervention is concerned:</u> <u>• The schemes for the climate and environment (eco schemes) (Article 28)</u>
<u>Methodology</u>	<u>The number of ha paid in the Financial Year concerned shall be reported per intervention unit amount.</u>
<u>Methodology for the aggregated values</u>	<u>Following aggregates should be provided :</u> <u>- By type of intervention and by Unit (if relevant, i.e. when there are several eco-schemes)</u> <u>- By intervention and by Unit (if relevant, i.e. when within one intervention several unit amounts are defined)</u> <u>Remark:</u> <u>For these aggregates, there should be no double counting: i.e. where the same hectare benefits from support under multiple eco-schemes interventions, that hectare should be counted only once for the aggregate.</u>
<u>Unit of measurement</u>	<u>Number of ha</u>
<u>Comments/caveats</u>	

Deleted:

Deleted: ¶

Note: In the Commission proposal, eco-schemes are a complement to BISS and this is paid per ha eligible to direct payments. In addition, in the Commission proposal, animal welfare is not in the scope of eco-scheme.

Deleted: OM

Deleted: OM

Deleted: ¶

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators [01/10/2020](#)

Deleted: 1103/09

Deleted: 19

Indicator name	O.7: Number of beneficiaries subject to enhanced income support for young farmers
Label as proposed by the Presidency	DELETED
Definition	The number of CAP support beneficiaries that received support under complementary income support for Young Farmers in the Financial Year concerned.
Types of interventions concerned	The following intervention is concerned: • The complementary income support for Young Farmers (Article 27)
Methodology	Beneficiary = The number of farmers receiving complementary income support for Young Farmers in the Financial Year concerned.
Unit of measurement	Number of beneficiaries
Comments/caveats	The value of this indicator should be equal to the value provided under O.3 for the complementary income support for Young Farmers. This indicator is not used for performance clearance.

Deleted: 1

Deleted: 5

[Note: This indicator is a subset of O.3 as proposed by the Commission](#)

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator names	O.8: Number of farmers covered by supported risk management instruments
Label as proposed by the Presidency	<u>O.8: Number of units covered by supported insurance schemes (see following fiche)</u>
Definition	The total number of farmers or units covered in the Financial Year concerned by one or more of the interventions designed in the CAP strategic plan as <u>{crisis prevention and }risk management instruments</u> that contribute to ensuring a coherent and integrated approach to risk management in accordance with Article 97(2)(e).
Types of intervention concerned	The following interventions are concerned: • Risk management tools (Article 70) <u>{to be removed if Art. 41c is adopted</u> • Sectoral types of interventions: ○ For F&V: Article 43(2)(a), (d), (e), (f) and (g); ○ For wine sector: Article 52 (1)(c),(d) and (i); ○ <u>For hops, olives oil and table olives sector and 'other sectors' defined in Article 39: Article 60(2)(a), (c), (e), (f), (g) and (h)}</u> If financial instruments are used to provide farmers with working capital, the output should be reported here.
Methodology	The number of farmers or units paid in the Financial Year concerned, shall be reported per unit amount. The 'units' refer to the beneficiary of the support (e.g. <u>farmers</u> for premiums for insurance schemes, <u>funds</u> for mutual funds <u>{ hectares for green and non-harvesting, tonnes for withdrawals... }</u>). If only a partial payment was made in the Financial Year concerned, only a partial output is to be reported (see cover note).
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - <u>By intervention (if relevant, i.e. when within one intervention several unit amounts are defined)</u> - <u>Total number of farmers covered by supported insurance scheme</u> - <u>Total number of mutual funds covered by risk management support</u> - <u>{Total number of hectares covered by crisis prevention</u> - <u>Total number of tonnes withdrawn...}</u> - <u>Total number of farmers covered by financial instruments for risk management purposes.</u>
Unit of measurement	Number of farmers, <u>{hectares, }funds {or any other units}</u>
Comments/caveats	<u>{Investments for management of volumes shall be reported under O.21. Replanting of orchards following grubbing-up due to phytosanitary reasons shall be reported under O.18. }</u>

Deleted: Remark:¶

Beneficiaries of a combination of installation grant and financial instruments should be counted only once in the total.¶

Note: "Financed by the CAP" is redundant.

Art. 41c: Would the Council adopt the simplified performance clearance for fruit and vegetables and

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

other sectors interventions carried out by Producer Organisations through operational programmes (using O.33); for wine and honey specific output indicators could be used (see O.34a and O.35), thus O.8 would refer to EAFRD only

<u>Label as proposed by the Presidency</u>	<u>O.8: Number of units covered by supported insurance schemes</u>
<u>Definition</u>	<u>The total number of farmers covered in the Financial Year concerned by insurance scheme.</u>
<u>Types of intervention concerned</u>	The following interventions are concerned: • <u>Risk management tools (Article 70)</u> If financial instruments are used to provide farmers with working capital, the output should be reported here.
<u>Methodology</u>	<u>The number of farmers paid in the Financial Year concerned, shall be reported per unit amount.</u> <u>If only a partial payment was made in the Financial Year concerned, only a partial output is to be reported (see cover note).</u>
<u>Methodology for the aggregated values</u>	<u>Following aggregates should be provided :</u> - <u>By intervention (if relevant, i.e. when within one intervention several unit amounts are defined)</u> - <u>Total number of farmers covered by supported insurance scheme</u> - <u>Total number of farmers covered by financial instruments for risk management purposes.</u>
<u>Unit of measurement</u>	<u>Number of farmers</u>
<u>Comments/caveats</u>	

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators 01/10/2020

Deleted: 1103/09

Deleted: 19

<u>Label as proposed by the Presidency</u>	<u>O.8a: Number of mutual funds for agricultural, climate and sanitary risk management receiving support under EAFRD (by type of hazards)</u>
<u>Definition</u>	The total number of mutual funds covered in the Financial Year concerned by one or more of the interventions designed in the CAP strategic plan as risk management instruments that contribute to ensuring a coherent and integrated approach to risk management in accordance with Article 97(2)(e).
<u>Types of intervention concerned</u>	The following interventions are concerned: • Risk management tools (Article 70)
<u>Methodology</u>	The number of mutual funds paid in the Financial Year concerned, shall be reported <u>per unit amount</u> . If only a partial payment was made in the Financial Year concerned, only a partial output is to be reported (see cover note).
<u>Methodology for the aggregated values</u>	<u>Following aggregates should be provided :</u> - Total number of mutual funds supported with the CAP. <u>Remark:</u> Mutual funds are accounted in full in the aggregate.
<u>Unit of measurement</u>	<u>Number of funds</u>
<u>Comments/caveats</u>	

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator name	O.9: Number of hectares benefitting from coupled support
<u>Label as proposed by the Presidency</u>	<u>O.9: Number of ha benefitting from coupled income support</u>
Definition	The number of hectares paid under each area-related Coupled Income Support (CIS) intervention in the Financial Year concerned. For interventions under coupled income support that target oilseed crops covered by the Blair House Agreement, the area for which support has been actually paid should be reported as a separate output pursuant to Article 33(4).
Types of intervention concerned	All area-related interventions under coupled income support (Section 3, Subsection 1)
Methodology	The number of hectares, paid in the Financial Year concerned, shall be reported for each area-related CIS intervention . In case within one CIS intervention several unit amounts are defined (e.g. by farm size), the number of hectares paid per unit amount shall be reported.
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within intervention several unit amounts are defined) - A number of hectares for groups of CIS interventions covering the same sector (i.e. the physical area paid for CIS, per sector). The sectors are set out in Article 30). - A total number of hectares under CIS interventions Remark: For these aggregates, there should be <u>no double counting</u>: i.e. where the same hectare benefits from support under multiple coupled income support interventions, that hectare should be counted only once for the aggregate.
Unit of measurement	Number of hectares
Comments/caveats	It is possible for Member States to pay on the basis of units other than hectares, where appropriate (e.g. acres; decares; etc). However, planning in the CAP plan and reporting in the annual performance report should be done based on the number of hectares.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators [01/10/2020](#)

Deleted: 1103/09

Deleted: 19

Indicator name	O.10: Number of heads benefitting from coupled support
<u>Label as proposed by the Presidency</u>	<u>O.10: Number of heads benefitting from coupled income support</u>
Definition	The number of heads paid for the interventions under each animal-related Coupled Income Support (CIS) intervention in the Financial Year concerned.
Types of intervention concerned	The following types of intervention are concerned: All animal-related interventions under coupled income support (Section 3, Subsection 1)
Methodology	The number of heads paid in the Financial Year concerned should be reported for each animal-related CIS intervention and if relevant per unit amount .
Methodology for the aggregated values	<i>Following aggregates should be provided:</i> A number of heads for animal-related CIS per sector (i.e. the physical number of heads paid for coupled income support, without double counting, in each sector). The sectors are set out in Article 30.
Unit of measurement	Number of heads Exception: kg of cocoons for silkworms
Comments/caveats	Where appropriate, it is possible for Member States to pay on the basis of another unit than heads of animals (e.g. livestock units). However, planning in the CAP plan and reporting the annual performance report should be done based on a number of heads. In such cases, a conversion key between the unit for payment (LU) and the number of heads should be defined and used consistently.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator name	O.11: Number of ha receiving ANC top up (3 categories)
Label as proposed by the Presidency	O.11: Number of ha receiving support for areas facing natural or specific constraints (all categories)
More precise alternative label	O.11: Number of ha receiving a top up for areas facing natural or specific constraints (3 categories)
Definition	The number of hectares paid under Natural or other area-specific constraints interventions, in the Financial Year concerned.
Types of intervention concerned	The following types of interventions are concerned: • Natural or other area-specific constraints (Article 66)
Methodology	The number of hectares, paid in Financial Year concerned, shall be reported for the 3 area categories designated pursuant to Article 32 of Regulation (EU) No 1305/2013, covered by an intervention in the CAP strategic plan: (a) mountain areas; (b) areas, other than mountain areas, facing significant natural constraints; and (c) other areas affected by specific constraints. The number of hectares paid shall be reported per unit amount. If only a partial payment was made in the Financial Year concerned, only a partial output is to be reported (see cover note)
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - Total number of hectares of mountain area paid - Total number of hectares of areas, other than mountain areas, facing significant natural constraints paid - Total number of hectares of other areas affected by specific constraints paid - Total number of hectares paid under Natural or other area-specific constraints, in the Financial Year concerned
Unit of measurement	Number of hectares
Comments/caveats	

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators [01/10/2020](#)

Deleted: 1103/09

Deleted: 19

Indicator name	O.12: Number of ha receiving support under Natura 2000 or the Water Framework Directive
Label as proposed by the Presidency	Split in 2 indicators O.12 and O.12a
Definition	The number of hectares paid under Area-specific disadvantages resulting from certain mandatory requirements interventions, in the Financial Year concerned.
Types of intervention concerned	The following type of intervention is concerned: Area-specific disadvantages resulting from certain mandatory requirements (Article 67)
Methodology	The number of hectares paid in the Financial Year concerned shall be reported for the following areas, covered by an intervention in the CAP strategic plan: (a) Natura 2000 agricultural and forest areas; (b) other delimited nature protection areas with environmental restrictions applicable to farming or forests. (c) agricultural areas included in river basin management plans pursuant to Directive 2000/60/EC (Water Framework Directive). The number of hectares paid shall be reported per unit amount. If only a partial payment was made in the Financial Year concerned, only a partial output is to be reported (see cover note)
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several average unit amounts are defined Number of supported hectares of Natura 2000 agricultural area Number of supported hectares of Natura 2000 forestry area Number of supported hectares of agricultural area within other delimited nature protection areas with environmental restrictions Number of supported hectares of forestry area within other delimited nature protection areas with environmental restrictions Number of supported hectares of agricultural area included in the river basin management plans
Unit of measurement	Number of hectares
Comments/caveats	

Deleted: ¶

Deleted: ¶

Deleted:)

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

<u>Label as proposed by the Presidency</u>	<u>O.12: Number of ha receiving support under Natura 2000</u>
<u>Definition</u>	<u>The number of hectares paid under Area-specific disadvantages resulting from certain mandatory requirements interventions, in the Financial Year concerned.</u>
<u>Types of intervention concerned</u>	<u>The following type of intervention is concerned:</u> <u>Area-specific disadvantages resulting from certain mandatory requirements (Article 67)</u>
<u>Methodology</u>	<u>The number of hectares paid in the Financial Year concerned shall be reported for the following areas, covered by an intervention in the CAP strategic plan:</u> <u>(a) Natura 2000 agricultural and forest areas;</u> <u>(b) other delimited nature protection areas with environmental restrictions applicable to farming or forests.</u> <u>The number of hectares paid shall be reported per unit amount.</u> <u>If only a partial payment was made in the Financial Year concerned, only a partial output is to be reported (see cover note)</u>
<u>Methodology for the aggregated values</u>	<u>Following aggregates should be provided :</u> <u>Number of supported hectares of Natura 2000 agricultural area</u> <u>Number of supported hectares of Natura 2000 forestry area</u> <u>Number of supported hectares of agricultural area within other delimited nature protection areas with environmental restrictions</u> <u>Number of supported hectares of forestry area within other delimited nature protection areas with environmental restrictions</u>
<u>Unit of measurement</u>	<u>Number of hectares</u>
<u>Comments/caveats</u>	

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators 01/10/2020

Deleted: 1103/09

Deleted: 19

<u>Label as proposed by the Presidency</u>	O.12a: Number of ha receiving support under the Water Framework Directive
<u>Definition</u>	The number of hectares paid under Area-specific disadvantages resulting from certain mandatory requirements interventions, in the Financial Year concerned.
<u>Types of intervention concerned</u>	The following type of intervention is concerned: Area-specific disadvantages resulting from certain mandatory requirements (Article 67)
<u>Methodology</u>	The number of hectares paid in the Financial Year concerned shall be reported for the following areas, covered by an intervention in the CAP strategic plan: agricultural areas included in river basin management plans pursuant to Directive 2000/60/EC (Water Framework Directive). The number of hectares paid shall be reported per unit amount. If only a partial payment was made in the Financial Year concerned, only a partial output is to be reported (see cover note)
<u>Methodology for the aggregated values</u>	Following aggregates should be provided : Number of supported hectares of agricultural area included in the river basin management plans
<u>Unit of measurement</u>	Number of hectares
<u>Comments/caveats</u>	

Deleted: 1
(c)

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator names	O.13: Number of ha (agricultural) covered by environment/climate commitments going beyond mandatory requirements
Label as proposed by the Presidency	<u>O.13 Number of ha (excluding forestry) covered by environment/climate commitments going beyond mandatory requirements</u>
Definition	The total number of hectares (excluding forestry) covered by environment/climate commitments specified in the CAP Strategic Plans for which a payment was made in the Financial Year concerned.
Types of intervention concerned	The following types of interventions are concerned: • Environmental, climate and other management commitments (Article 65): payments for environment/climate commitments. <u>{to be removed if Art. 41c is adopted}</u> • Sectoral types of interventions: ○ For F&V: Article 43(1),(d) to (j) and (l); ○ For wine sector: Article 52(1)(a); ○ For hops, olive oil and table olives and 'other sectors' as defined in Article 39: Article 60(1)(a)(i), (ii), (iii), (vii), (viii), (ix), (xi).
Methodology	The number of hectares paid in the Financial Year concerned shall be reported per unit amount. In case within one intervention several unit amounts are defined, the number of ha paid per unit amount shall be reported. If only a partial payment was made in the Financial Year concerned, only a partial output is to be reported (see cover note)
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) - <u>The number of ha (excluding forestry) under environmental or climate commitments (the physical area paid for environmental or climate commitments)</u> - <u>The number of beehives under environmental or climate commitments</u> Remark: For these aggregates, there should be <u>no double counting</u>: i.e. where the same hectare benefits from support under multiple environmental or climate commitments, that hectare should be counted only once for the aggregate.
Unit of measurement	Number of hectares <u>{Exception: number of beehives for bees}</u>
Comments/caveats	Forestry area covered by environment/climate commitments shall be reported under O.14. <u>Commitments on afforested land on UAA are also to be reported under O.14.</u> Any other non-agricultural area covered by these commitments is included in O.13. Area under organic commitments shall be reported under O.15. <u>{to be removed if Art. 41c is adopted}</u>

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators [01/10/2020](#)

Deleted: 1103/09

Deleted: 19

*For sectoral types of interventions not included in LPIS, if the administrative burden to avoid double counting is too high, Member States might simply add the paid area to the sum of hectares paid under rural development interventions.*¹

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators [01/10/2020](#)

Deleted: 1103/09

Deleted: 19

Deleted: 1

Indicator names	O.14: Number of ha (forestry) covered by environment/climate commitments going beyond mandatory requirements
Label as proposed by the Presidency	O.14: Number of ha (forestry) covered by environment/climate commitments going beyond mandatory requirements
Definition	The total number of hectares (forestry) covered by environment/climate commitments specified in the CAP Strategic Plans for which a payment was made in the Financial Year concerned.
Types of intervention concerned	The following types of interventions are concerned: Environmental, climate and other management commitments (Article 65): Payments for forest environment/climate commitments.
Methodology	The number of hectares paid in the Financial Year concerned, shall be reported per unit amount . If only a partial payment was made in the Financial Year concerned, only a partial output is to be reported (see cover note)
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) - The number of hectares (forestry) under environmental or climate commitments (i.e. the physical area paid for environmental or climate commitments) Remark: - For these aggregates, there should be <u>no double counting</u>: i.e. where the same hectare benefits from support under multiple environmental or climate commitments, that hectare should be counted only once for the aggregate.
Unit of measurement	Number of hectares
Comments/caveats	Commitments on afforested land on UAA are to be reported under O.14

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

<u>Label as proposed by the Presidency</u>	<u>O.14a: Number of forestry units other than ha covered by environment/climate commitments going beyond mandatory requirements</u>
<u>Definition</u>	<u>The total number of hectares (other than forestry) covered by environment/climate commitments specified in the CAP Strategic Plans for which a payment was made in the Financial Year concerned.</u>
<u>Types of intervention concerned</u>	<u>The following types of interventions are concerned:</u> • <u>Environmental, climate and other management commitments (Article 65).</u>
<u>Methodology</u>	<u>The number of hectares paid in the Financial Year concerned, shall be reported per unit amount.</u> <u>If only a partial payment was made in the Financial Year concerned, only a partial output is to be reported (see cover note)</u>
<u>Methodology for the aggregated values</u>	<u>Following aggregates should be provided :</u> - <u>By intervention (if relevant, i.e. when within one intervention several unit amounts are defined)</u> - <u>The number of hectares (other than forestry) under environmental or climate commitments (i.e. the physical area paid for environmental or climate commitments)</u> <u>Remark:</u> For these aggregates, there should be no double counting: i.e. where the same hectare benefits from support under multiple environmental or climate commitments, that hectare should be counted only once for the aggregate.
<u>Unit of measurement</u>	<u>Number of hectares</u>
<u>Comments/caveats</u>	

Note: The latest text of article 65 allows for other units than hectares, which is needed for forestry environmental commitments and genetic resources (see O.17).

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators 01/10/2020

Deleted: 1103/09

Deleted: 19

Indicator names	O.15: Number of ha with support for organic farming
<u>Label as proposed by the Presidency</u>	<u>O.15: Number of ha with support for organic farming</u>
Definition	The total number of units, hectares or Livestock Units (LU) with support for organic farming specified in the CAP Strategic Plans for which a payment was made in the Financial Year concerned.
Types of intervention concerned	The following types of interventions are concerned: - Environmental, climate and other management commitments (Article 65): payments for commitments to convert to or maintain organic farming practices. <u>{to be removed if Art. 41c is adopted</u> <i>Sectoral types of interventions:</i> - For the F&V sector: Article 43 (1)(c) - For hops, olives oil and table olives sector and 'other sectors' as defined in Article 39: Article 60(1)(d)}
Methodology	The number of hectares paid in the Financial Year concerned, shall be reported per unit amount. If only a partial payment was made in Financial Year concerned, only a partial output is to be reported (see cover note)
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) - Total number of ha
Unit of measurement	Number of hectares
Comments/caveats	

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators 01/10/2020

Deleted: 1103/09

Deleted: 19

<u>Label as proposed by the Presidency</u>	O.15a Number of units other than ha supported for organic farming
<u>Definition</u>	The total number of units other than ha with support for organic farming specified in the CAP Strategic Plans for which a payment was made in the Financial Year concerned.
<u>Types of intervention concerned</u>	The following types of interventions are concerned: - Environmental, climate and other management commitments (Article 65): payments for commitments to convert to or maintain organic farming practices. <i>{to be removed if Art. 41c is adopted}</i> - Sectoral types of interventions: for 'other sectors' as defined in Article 39: Article 60(1)(d)}
<u>Methodology</u>	The number of units paid in the Financial Year concerned, shall be reported per unit amount. If only a partial payment was made in Financial Year concerned, only a partial output is to be reported (see cover note)
<u>Methodology for the aggregated values</u>	Following aggregates should be provided : - By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) - Total number of units other than ha supported for organic farming
<u>Unit of measurement</u>	Number of units, such as beehives
<u>Comments/caveats</u>	

Note: Commitments paid per LU are not WTO-Green box

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator names	O.16: Number of livestock units covered by support for animal welfare, health or increased biosecurity measures
Label as proposed by the Presidency	<u>O.16: Number of livestock units covered by support for animal welfare, health or increased biosecurity measures</u>
Definition	The number of livestock units (LU) covered by management commitments for animal welfare, health or increased biosecurity measures specified in the CAP Strategic Plans for which a payment was made in the Financial Year concerned.
Types of intervention concerned	The following types of interventions are concerned: • Environmental, climate and other management commitments paid per LU (Article 65): animal welfare commitments <u>{to be removed if Art. 41c is adopted}</u> • Sectoral types of interventions: ◦ Actions paid per LU for animal health and welfare (Article 60(1)(a)(vi))
Methodology	The number of Livestock units (LU) paid in the Financial Year concerned, shall be reported per unit amount. In case within one intervention several unit amounts are defined, the number of LU paid per unit amount shall be reported. If only a partial payment was made in the Financial Year concerned, only a partial output is to be reported (see cover note).
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) - The number of LU under commitments for animal welfare, health or increased biosecurity measures (without double counting)
Unit of measurement	Number of LU
Comments/caveats	The coefficients to convert animal numbers in Livestock Units are published by EUROSTAT, see here. Investments related to animal welfare, animal health and biosecurity shall be reported under O.18.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator names	O.17: Number of projects supporting genetic resources
Label as proposed by the Presidency	O.17 Number of operations or units supporting genetic resources
Definition	The total number of operations supporting genetic resources, livestock units (LU) of endangered breeds and hectares of endangered plant varieties covered by supported management commitments paid in the Financial Year concerned.
Types of intervention concerned	The following type of intervention is concerned: • Environmental, climate and other management commitments (Article 65): payments for endangered breeds/plants varieties and genetic resources.
Methodology	The number of operations, LU and hectares paid in the Financial Year concerned, shall be reported per unit amount . If only a partial payment was made in Financial Year concerned, only a partial output is to be reported (see cover note).
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) - Number of operations of genetic resources supported - Number of hectares of endangered plant varieties supported - Number of LU of endangered breeds supported
Unit of measurement	Number of relevant unit: operations, LU or hectares
Comments/caveats	

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator names	O.18: Number of supported on-farm productive investments
<u>Label as proposed by the Presidency</u>	<u>O.18: Number of supported on-farm productive investments operations or units under EAFRD</u>
Definition	The total number of supported on-farm productive investments operations for which a payment (grants, financial instruments¹ or a combination of both) was made in the Financial Year concerned. <u>{to be removed if Art. 41c is adopted}</u> <i>In the case of sectoral types of intervention implemented through operational programmes of producer organisations (PO), on-farm productive investments are those implemented at farm (PO members) level while productive investments at the level of the PO are off-farm productive investments. The latter shall be reported under O.21.</i>
Types of interventions concerned	The following types of interventions are concerned: - Investments: Article 68 <u>{to be removed if Art. 41c is adopted}</u> - Sectoral types of interventions - For F&V sector: Article 43(1)(a), (2)(b) and (2)(c), - For wine sector: Article 52(1) (a), (b) and (e), - For hops, olives oil and table olives sector and 'other sectors' defined in Article 39: Article 60(1)(a), (2)(b) and (2)(c).²
Methodology	The number of supported on-farm productive investments operations for which payments were made in the Financial Year concerned shall be reported per average unit amount. If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note)
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several average unit amounts are defined) - A total number of supported on-farm productive investment operations Remark: Beneficiaries of a combination of installation grant and financial instruments should be counted only once in the total.
Unit of measurement	Number of investment operations <u>or units</u> ²

¹ In case of guarantee financial instruments, guarantee commitments linked to disbursed loans.

² This reflects the changes to Annex I proposed by the Presidency

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators [01/10/2020](#)

Deleted: 1103/09

Deleted: 19

Comments/caveats	In their CAP plans, MS should link their interventions to those output indicators they consider relevant. Generally, on-farm investments are related to agricultural activities. Productive investments generally lead to an increase in profitability or value. In the majority of cases, it will be evident to which category an investment belongs to. However, where an investment could be classified as either on-farm or off-farm, the Commission will accept the classification proposed by the MS.
------------------	---

Note: Specifying “under EAFRD” is not necessary as the output refers clearly to EAFRD interventions if Art. 41c is adopted.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

<u>Label as proposed by the Presidency</u>	<u>O.18a: Number of supported on-farm non-productive investment operations or units receiving support under EAFRD</u>
<u>Simpler alternative to Presidency proposal</u>	<u>O.18a: Number of supported on-farm non-productive investment operations or units</u>
<u>Definition</u>	<u>The total number of supported on-farm non-productive investment operations for which a payment was made in the Financial Year concerned.</u>
<u>Types of interventions concerned</u>	The following types of interventions are concerned: - Investments: Article 68 <i>{to be removed if Art. 41c is adopted}</i> <u>Sectoral types of intervention</u> - For F&V sector: Article 43(1)(a) - For wine sector: Article 52(1)(a) <i>For hops, olives oil and table olives sector and 'other sectors' as defined in Article 39: Article 60(1)(a) with regard to investments}</i>
<u>Methodology</u>	The number of supported on-farm non-productive investment operations for which payments have been made in the Financial Year concerned shall be reported per average unit amount. If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note)
<u>Methodology for the aggregated values</u>	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several average unit amounts are defined) - A total number of supported non-productive investment operations
<u>Unit of measurement</u>	<u>Number of investment operations or units</u>
<u>Comments/caveats</u>	In general, on-farm investments are related to agricultural activities. Off-farm non-productive investments are to be reported under O.20. However, where an investment could be classified as either on-farm or off-farm, the Commission will accept the classification proposed by the MS. Generally, non-productive investments are investments that do not lead to any significant increase in value or profitability. Typically, they aim at purely environmental improvements and are linked to the achievement of agri-environment-climate objectives set out in points (d), (e) and (f) of Article. 6(1). Examples would be the creation and/or restoration of landscape features, such as wetlands, hedges, dry-stone walls and traditional boundaries, or the creation and/or restoration of habitat or landscape elements, such as heathland, species-rich grassland or floristically enhanced grass margins.

Note: "receiving support" is redundant with "supported". Specifying "under EAFRD" is not necessary as the output refers clearly to EAFRD interventions if Art. 41c is adopted.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators 01/10/2020

Deleted: 1103/09

Deleted: 19

Indicator names	O.19: Number of supported local infrastructures
<u>Label as proposed by the Presidency</u>	<u>O.19 Number of supported infrastructures investment operations under EAFRD</u>
Definition	The total number of supported investments in infrastructure for which a payment (grants, financial instruments ³ or a combination of both) was made in the Financial Year concerned.
Types of interventions concerned	The following type of interventions is concerned: • Investments (Article 68)
Methodology	The number of supported infrastructure operations for which payments were made in the Financial Year concerned shall be reported per average unit amount . If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note)
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several average unit amounts are defined) - A total number of supported local infrastructure investment operations Remark: Beneficiaries of a combination of installation grant and financial instruments should be counted only once in the total.
Unit of measurement	Number of investment operations <u>(or units?)</u>
Comments/caveats	

Deleted: .

Note: Specifying "under EAFRD" is not necessary as the output refers clearly to EAFRD interventions if Art. 41c is adopted.

³ In case of guarantee financial instruments, guarantee commitments linked to disbursed loans.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators 01/10/2020

Deleted: 1103/09

Deleted: 19

Indicator names	O.20: Number of supported non-productive investments
<u>Label as proposed by the Presidency</u>	<u>O.20: Number of supported off-farm non-productive investments operations or units under EAFRD</u>
Definition	The total number of supported <u>off-farm</u> non-productive investment operations for which a payment was made in the Financial Year concerned.
Types of interventions concerned	The following types of interventions are concerned: - Investments: Article 68 <u>{to be removed if Art. 41c is adopted}</u> <i>Sectoral types of intervention</i> - For F&V sector: Article 43(1)(a) - For wine sector: Article 52(1)(a) - For hops, olives oil and table olives sector and 'other sectors' as defined in Article 39: Article 60(1)(a) with regard to investments⁴
Methodology	The number of supported non-productive investment operations for which payments have been made in the Financial Year concerned shall be reported per average unit amount. If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note)
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several average unit amounts are defined) - A total number of supported <u>off-farm</u> non-productive investment operations
Unit of measurement	Number of investment operations <u>or units</u> ⁴
Comments/caveats	<u>On-farm non-productive investments are to be reported under O.20.</u> In general, non-productive investments are investments that do not lead to any significant increase in value or profitability. Typically, they aim at purely environmental improvements and are linked to the achievement of agri-environment-climate objectives set out in points (d), (e) and (f) of Article. 6(1). Examples would be the creation and/or restoration of landscape features, such as wetlands, hedges, dry-stone walls and traditional boundaries, or the creation and/or restoration of habitat or landscape elements, such as heathland, species-rich grassland or floristically enhanced grass margins.

Deleted:

Note: Specifying "under EAFRD" is not necessary as the output refers clearly to EAFRD interventions if Art. 41c is adopted.

⁴ This reflects the changes to Annex I proposed by the Presidency

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator names	O.21: Number of off-farm productive investments
Label as proposed by the Presidency	<u>O.21: Number of supported off-farm productive investments operations or units under EAFRD</u>
Definition	The total number of supported off-farm productive investment operations for which a payment (grants, financial instruments ⁵ or a combination of both) that was made in the Financial Year concerned.
Types of intervention concerned	The following types of interventions are concerned: - Investments: Article 68 <u>{to be removed if Art. 41c is adopted}</u> - Sectoral types of intervention - For F&V sector: Articles 43(1)(a), (2)(b) and (2)(c), - For wine sector: F52(1) (a), (b) and (e), - For hops, olives oil and table olives sector and 'other sectors' as defined in Article 39: Articles 60(1)(a), (2)(b) and (2)(c). }
Methodology	The number of supported off-farm productive investment operations for which payments were made (advances excluded) in the Financial Year concerned shall be reported per average unit amount. If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note)
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several average unit amounts are defined) - A total number of supported on-farm productive investment operations Remark: Beneficiaries of a combination of installation grant and financial instruments should be counted only once in the total.
Unit of measurement	Number of investment operations <u>or units</u> ⁶
Comments/caveats	<u>{to be removed if Art. 41c is adopted}</u> <i>In the case of sectoral types of intervention implemented through operational programmes of producer organisations (PO), off-farm productive investments are implemented at the level of producer organisation (PO), while on-farm productive investments are implemented at farm level by PO members. The latter shall be reported under O.18. }</i> In their CAP plans, MS should link their interventions to those output indicators they consider relevant. Generally, off-farm investments are related to non-agricultural activities. An investment is productive if it

⁵ In case of guarantee financial instruments, guarantee commitments linked to disbursed loans

⁶ This reflects the changes to Annex I proposed by the Presidency

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators [01/10/2020](#)

Deleted: 1103/09

Deleted: 19

leads to an increase in profitability or value. In the majority of cases, it will be evident to which category an investment belongs. However, where an investment could be classified as either on-farm or off-farm, the Commission will accept the classification proposed by the MS.

Note: Specifying “under EAFRD” is not necessary as the output refers clearly to EAFRD interventions if Art. 41c is adopted.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator names	O.22: Number of farmers receiving installation grants
<u>Label as proposed by the Presidency</u>	<u>O.22: Number of young farmers receiving installation support under EAFRD</u>
Definition	The total number of young farmers receiving installation support (grants, financial instruments ⁷ or a combination of both) under one or more interventions specified in the CAP Strategic Plan in the Financial Year concerned.
Types of interventions concerned	The following type of interventions is concerned: • Installation of young farmers and rural business start-up : installation of young farmers (Article 69(2)(a))
Methodology	The number of farmers supported in the Financial Year concerned, shall be reported per unit amount . If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note)
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) - The number of young farmers granted installation support (without double counting) Remark: Beneficiaries of a combination of installation grant and financial instruments should be counted only once in the total.
Unit of measurement	Number of farmers
Comments/caveats	

Note: Specifying "under EAFRD" is not necessary as the output refers clearly to EAFRD interventions.

⁷ In case of guarantee financial instruments, guarantee commitments linked to disbursed loans.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator names	O.23: Number of rural entrepreneurs receiving installation grants
Label as proposed by the Presidency	O.23: Number of businesses receiving support for start-up
Definition	The total number of rural businesses receiving start-up support (grants, financial instruments ⁸ or a combination of both) in the Financial Year concerned.
Types of intervention concerned	The following type of interventions is concerned: • Installation of young farmers and rural business start-up (Article 69): the start-up of rural businesses linked to agriculture and forestry or farm household income diversification (Article 69(2)(b))
Methodology	The number of rural businesses supported in the Financial Year concerned, shall be reported per unit amount . If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note).
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several unit amounts are defined). - The number of rural businesses receiving support for start-up (without double counting) Remark: Businesses receiving a combination of installation grant and financial instruments should be counted only once in the total.
Unit of measurement	Number of rural businesses
Comments/caveats	

⁸ In case of guarantee financial instruments, guarantee commitments linked to disbursed loans

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators 01/10/2020

Deleted: 1103/09

Deleted: 19

<u>Label as proposed by the Presidency</u>	<u>O.23a: Number of small farms receiving development support</u>
<u>More precise alternative</u>	<u>O.23a: Number of farmers receiving support for the development of small farms</u>
<u>Definition</u>	<u>The total number of farmers receiving support (grants, financial instruments⁹ or a combination of both) to help the development of small farms under an intervention according to Article 69 as specified in the CAP Strategic Plan in the Financial Year concerned.</u>
<u>Types of interventions concerned</u>	The following type of interventions is concerned: <u>• Installation of young farmers and rural business start-up; the development of small farms (Article 69(2)(aa))</u>
<u>Methodology</u>	The number of farmers supported in the Financial Year concerned, shall be reported per unit amount. <u>If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note).</u> <u>Eligibility criteria in relation to the concept of a “small farm” are to be determined by the Member States in the CAP Strategic Plans.</u> <u>Member States shall further set conditions for the submission and the content of a business plan to apply in order for beneficiaries to receive support.</u>
<u>Methodology for the aggregated values</u>	<u>Following aggregates should be provided:</u> <u>- By intervention (if relevant, i.e. when within one intervention several unit amounts are defined)</u> <u>- The number of farmers supported (without double counting)</u> <u>Remark:</u> <u>Beneficiaries of a combination of grants and financial instruments should be counted only once in the total.</u>
<u>Unit of measurement</u>	<u>Number of farmers</u>
<u>Comments/caveats</u>	

Deleted:

Deleted:

Note: Specifying “under EAFRD” is not necessary as the output refers clearly to EAFRD interventions.

⁹ In case of guarantee financial instruments, guarantee commitments linked to disbursed loans.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator names	O.24: Number of supported producer groups/organisations
<u>Label as proposed by the Presidency</u>	<u>O.24: Number of supported producer groups/organisations under EAFRD</u>
Definition	The number of producer groups/organisations for which a payment under cooperation was made in the Financial Year concerned <u>under Rural Development policy</u> .
Types of interventions concerned	The following type of interventions is concerned: Cooperation (Article 71) Paid to producer groups/organisations, where they are the beneficiary of cooperation support (except other forms of cooperation such as cooperations of producer groups/ organisations reported under O.28).
Methodology	The number of producer groups/organisations supported under Article 71 and paid in the Financial Year concerned, shall be reported per intervention. In case within one intervention several unit amounts are defined, the number of producer groups/organisations paid per unit amount shall be reported. If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note)
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) - The number of producer groups/organisations receiving support (without double counting)
Unit of measurement	Number of producer groups/organisations
Comments/caveats	The indicator covers (in accordance with Article 71(4)) - Beneficiaries of support as an overall amount (covering the cost of cooperation as well as the cost of the projects and operations implemented), as well as - Beneficiaries of support covering only the cost of the operation but using funds from other types of interventions for project implementation (e.g. investments). In this case, however, the project implementation is covered by the corresponding indicators (e.g. for investments), and not by this indicator. - Producer Organisations setting up an operational fund/program are captured by O.33 and should thus not be included in O.24. - Producer Organisations receiving support only through joint cooperation are not included here (but in O.28).

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator names	O.25: Number of farmers receiving support to participate in EU quality schemes
<u>Label as proposed by the Presidency</u>	<u>O.25: Number of beneficiaries receiving support to participate in official quality schemes under EAFRD</u>
<u>Clearer alternative to Presidency proposal</u>	<u>O.25: Number of farmers receiving support to participate in official quality schemes under EAFRD</u>
Definition	The number of farmers participating in quality schemes for which a payment was made in the Financial Year concerned.
Types of interventions concerned	The following types of interventions are concerned: • Cooperation (Article 71) <u>{to be removed if Art. 41c is adopted</u> • Sectoral types of interventions: ○ For F&V: (Article 43(1)(m), 43(2)(j)) ○ For hops, olive oil and table olives sector and 'other sectors' as defined in Article 39: Article 60(1)(g).}
Methodology	The number of farmers paid to participate in quality schemes in the Financial Year concerned shall be reported per unit amount. If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note).
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one f intervention several unit amounts are defined) - The total number of farmers receiving support to participate in quality schemes
Unit of measurement	Number of farmers
Comments/caveats	The indicator covers (in accordance with Article 71(4)) - beneficiaries of support as an overall amount (covering the cost of cooperation as well as the cost of the projects and operations implemented), as well as - beneficiaries of support covering only the cost of the operation but using funds from other types of interventions for project implementation (e.g. investments). In this case, however, the project implementation is covered by the corresponding indicators (e.g. for investments), and not by this indicator.

Note: The support is directed to farmers.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator names	O.26: Number of generational renewal projects (young/non-young farmers)
Label as proposed by the Presidency	O.26: Number of supported operations or units for generational renewal (excluding installation support)
Clearer alternative to Presidency proposal	O.26: Number of supported operations for generational renewal (excluding installation support)
Definition	The number of operations for generational renewal for which a payment under cooperation was made in the Financial Year concerned.
Types of intervention concerned	The following type of interventions is are concerned: Cooperation (Article 71)
Methodology	The number of generational renewal projects paid under Article 71 in the Financial Year concerned shall be reported per unit amount If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note)
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) - The total number of projects with support for generational renewal under Article 71.
Unit of measurement	Number of projects
Comments/caveats	The indicator covers (in accordance with Article 71(4)) - beneficiaries of support as an overall amount (covering the cost of cooperation as well as the cost of the projects and operations implemented), as well as - beneficiaries of support covering only the cost of the operation but using funds from other types of interventions for project implementation (e.g. investments). In this case, however, the project implementation is covered by the corresponding indicators (e.g. for investments), and not by this indicator.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator names	O.27: Number of local development strategies (LEADER)
<u>Label as proposed by the Presidency</u>	<u>O.27 Number of supported local development strategies (LEADER) or preparatory actions</u>
Definition	The number of local development strategies prepared or implemented for which a payment under cooperation was made in the Financial Year concerned.
Types of intervention concerned	The following type of interventions are concerned: • Cooperation (Article 71)
Methodology	The number of local development strategies for which a payment was made in the Financial Year concerned shall be reported per unit amount. Cooperation support to implement local development strategies is paid progressively over the programming period. The output reported should correspond to the share of the amount paid in the Financial Year concerned in the committed amount to be paid for that local development strategies. E.g. for a payment of 25% of the committed amount, 0.25 of output is to be reported.
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) - The total number of local development strategies for which preparatory support was paid - The total number of local development strategies whose implementation was supported
Unit of measurement	Number of local development strategies
Comments/caveats	The indicator covers (in accordance with Article 71(4)) - beneficiaries of support as an overall amount (covering the cost of cooperation as well as the cost of the projects and operations implemented), as well as - beneficiaries of support covering only the cost of the operation but using funds from other types of interventions for project implementation (e.g. investments). In this case, however, the project implementation is covered by the corresponding indicators (e.g. for investments), and not by this indicator.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator names	O.28: Number of other cooperation groups (excluding EIP reported under O.1)
Label as proposed by the Presidency	O.28 Number of other cooperation operations or units supported under EAFRD (excluding EIP reported under O.1)
Clearer alternative to Presidency proposal	O.28 Number of other cooperation operations supported under EAFRD (excluding EIP reported under O.1)
Definition	The number of other forms of cooperation projects for which a payment under cooperation was made in the Financial Year concerned (excluding EIP operational groups reported under O.1 and all cooperation groups reported under O.24 to O.27)
Types of intervention concerned	The following type of intervention is concerned: • Cooperation (Article 71)
Methodology	The number of other form of cooperation projects paid in the Financial Year concerned, shall be reported per unit amount (excluding EIP operational groups reported under O.1 and all cooperation groups reported under O.24 to O.27). If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note).
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) - The total number of other cooperation group projects
Unit of measurement	Number of cooperation projects or units ¹⁰
Comments/caveats	The indicator covers (in accordance with Article 71(4)) - beneficiaries of support as an overall amount (covering the cost of cooperation as well as the cost of the projects and operations implemented), as well as - beneficiaries of support covering only the cost of the operation but using funds from other types of interventions for project implementation (e.g. investments). In this case, however, the project implementation is covered by the corresponding indicators (e.g. for investments), and not by this indicator.

[Note: Cooperation relate to projects, the Commission is not sure that cooperation can be paid by other units](#)

¹⁰ This reflects the changes to Annex I proposed by the Presidency

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators 01/10/2020

Deleted: 1103/09

Deleted: 19

Indicator names	O.29: Number of farmers trained/given advice
<u>Label as proposed by the Presidency</u>	<u>O.29 Number of training and advice operations or units supported by EAFRD</u>
<u>Clearer alternative to Presidency proposal</u>	<u>O.29 Number of training and advice operations supported by EAFRD</u>
Definition	The total number of information, training and advice actions (including setting-up) carried out for farmers and non-farmers (excluding advice actions reported under O.2) in the Financial Year concerned.
Types of intervention concerned	The following types of interventions are concerned: • <u>Knowledge exchange and information (Article 72)</u> <u>{to be removed if Art. 41c is adopted}</u> • <i>Sectoral types of intervention:</i> ○ For F&V sector: Article 43(1)(o) and (p) ○ For hops, olives oil and table olives sectors and 'other sectors' as defined in Article 39: Article 60(1)(b) and (c) ○ For wine sector: Article 52(1)(e)
Methodology	The number of information, training and advice (including setting-up) actions for which a payment was made in the Financial Year concerned shall be reported per unit amount If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note).
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several average unit amounts are defined) - Total number of training and advice actions carried out for farmers - Total number of training and advice actions carried out for non-farmers - Total number of training and advice actions carried out
Unit of measurement	Number of information, training and advice actions
Comments/caveats	

Note: Having both operations and units might be confusing. Units can refer to farmers (as reported in R.1), but clearance is performed by operations (or actions).

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

<u>Label as proposed by the Presidency</u>	<u>O.29a: Number of plans, studies or awareness actions supported by EAFRD</u>
<u>Definition</u>	<u>The total number of plans, studies or awareness actions supported in the Financial Year concerned.</u>
<u>Types of intervention concerned</u>	The following types of interventions are concerned: • <u>Knowledge exchange and information (Article 72)</u>
<u>Methodology</u>	<u>The number of plans, studies or awareness actions for which a payment was made in the Financial Year concerned shall be reported per unit amount.</u> <u>If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note).</u> <u>Eligibility criteria in relation to the concept of a plans, studies or awareness actions are to be determined by the Member States in the CAP Strategic Plans.</u>
<u>Methodology for the aggregated values</u>	<u>Following aggregates should be provided :</u> - <u>By intervention (if relevant, i.e. when within one intervention several average unit amounts are defined)</u> - <u>Total number of plans, studies or awareness actions</u>
<u>Unit of measurement</u>	<u>Number of plans, studies or awareness actions</u>
<u>Comments/caveats</u>	

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator name	O.31 Number of ha under environmental practices (synthesis indicator on physical area covered by conditionality, ELS, AECM, forestry measures, organic farming)
<u>Clearer alternative label</u>	<u>O.31 Number of ha under environmental practices (synthesis indicator on physical area covered by conditionality, eco-schemes, agri- and forest environmental and climate management commitments)</u>
<u>Label as proposed by the Presidency</u>	<u>DELETED</u>
Definition	The physical number of hectares under conditionality with regard to GAEC and other interventions promoting practices beneficial for environment and climate with CAP support.
Types of intervention* concerned ¹¹	All agricultural and forestry area under practices beneficial for environment and climate due to: • Conditionality with regard to GAEC (<u>Annex III</u>) • Eco-schemes (<u>Article 28</u>) • Agri- and forest- environmental, climate and other management commitments (<u>Article 65</u>) • Sectoral types of intervention: ○ For F&V: <u>Article 43(1),(d) to (j) and (l)</u>; ○ For wine sector: <u>Article 52(1)(a)</u>; ○ For hops, olive oil and table olives and 'other sectors' as defined in Article 39: <u>Article 60(1)(a)(i), (ii), (iii), (vii), (viii), (ix), (xi)</u>.
Methodology	Total number of hectares subject to conditionality and hectares for which interventions listed above were paid in the Financial year concerned. Hectares are accounted only once for this indicator (i.e. physical area, without double counting). A practical example is indicated in the next page. Three sub-indicators shall be calculated according to definition of the indicator and the methodology: • Total agricultural area under environmental practices (under conditionality or paid for the interventions listed above) • Total agricultural area paid for the interventions listed above (i.e. excluding the area only under GAEC – as measured under indicator O.32) • Total forestry area paid for the interventions listed above
Unit of measurement	Number of hectares
Comments/caveats	All eligible area subject to conditionality shall be reported under this indicator. In case the Member State will apply a pro rata system on permanent grassland, only eligible areas (e.g. after the application of pro rata) should be reported. There is a risk of double counting between sectoral types of interventions

Deleted:)

¹¹ Conditionality is included in this output indicator although it is not an intervention.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators [01/10/2020](#)

Deleted: 1103/09

Deleted: 19

and other interventions, as sectoral types of interventions are not in IACS (except for wine). However, the risk of double counting is estimated to be low, because often the 2 types of support are granted on different farms. Therefore, the hectares concerned under sectoral types of interventions should simply be added to the hectares under other area-based payments.

The same may be true of management commitments undertaken by beneficiaries who are not farmers.

This indicator is not used for performance clearance.

Note: This indicator is key to communicate on the EU area under environmental practices supported with the CAP.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator name	O.32 Number of ha subject to conditionality (broken down by GAEP practice)
<u>Clearer alternative label</u>	<u>O.32 Number of ha subject to conditionality (broken down by GAEC practice, excluding SMR)</u>
<u>Label as proposed by the Presidency</u>	<u>DELETED</u>
Definition	Number of hectares paid, in the Financial Year concerned, reported for each GAEC separate practice as indicated in Annex III. The total number of hectares in the scope of GAEC practices (e.g. areas eligible for decoupled direct payments) is not included in this indicator as already reported through indicator "O.4 Number of hectares for decoupled payments" For each GAEC the main land use (reference to Article 4 of the CAP plan Regulation, land use on which the GAEC could be applicable: arable land AL, permanent grassland PG, permanent crops PC) is indicated as a further breakdown of the indicator. This information is necessary to express the GAEC areas in its appropriate context (e.g. GAEC 1 PG ratio is applicable only to PG: if this information is not provided, the only possible comparison will be on total agricultural area). Aggregated indicators • At least one GAEC: areas on which at least one GAEC is applied (synthesis indicator) • Aggregation by objectives (as defined in Annex 3) without double counting ◦ Climate change: GAEC 1 + 2 + 3 ◦ Water: GAEC 4 + 5 ◦ Soil: GAEC 6 + 7 + 8 ◦ Biodiversity: GAEC 9 + 10 Detailed indicators including breakdowns: • GAEC 1: ◦ PG areas used for the determination of the PG ratio ◦ Utilised agricultural area (UAA) used for the determination of the PG ratio ◦ PG ratio (PG/UAA) expressed in percentage • GAEC 2: ◦ areas of peatland (AL, PG, PC) ◦ areas of wetlands (AL, PG, PC) • GAEC 3: areas subject to the ban of burning arable stubble (AL) • GAEC 4: areas of buffer strips (AL, PG, PC) • GAEC 5: areas subject to the use of FaST (AL, PG, PC) • GAEC 6: areas (AL, PG, PC) subject to tillage management • GAEC 7: areas (AL, PC) subject to no bare soil in sensitive periods (including normal winter crops which contribute to the requirement) • GAEC 8: areas (AL) subject to crop rotation

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

	- GAEC 9 (indicative breakdown based on current EFA types (LF: landscape features) is provided; an intermediate level could be elaborated aggregating some categories): - Total agricultural areas subject to GAEC 9 requirements (AL, PG, PC) - Hectares of non productive areas/features used for the calculation of the share - Land lying fallow - Land lying fallow with melliferous plants - Terraces - LF - Hedges, wooded strips and trees in line - LF - Isolated trees - LF - Field copses - LF - Field margins/strips - LF - Ponds - LF - Ditches - LF - Traditional stone walls - LF - Other landscape features - Afforested areas - Areas subject to retention of landscape features (breakdown by type, see above, only for landscape features) - GAEC 10: areas (PG) subject to ban of converting/ploughing
Types of intervention concerned ¹²	Conditionality with regard to GAEC practices
Unit of measurement	Number of hectares , % for the PG ratio
Comments/caveats	- Data for SMR are not included in this indicator and in any other output indicator listed in Annex 1 because they originate in non CAP legislation - The total number of 'physical' hectares without double counting is also required, because it is key information to communicate and steer the policy. As GAEC areas are not included in any result indicators, aggregation by "main issue" (Annex 3) is essential to calculate GAEC areas by environmental objective. - In order to be consistent with the methodology applied for other indicators based on interventions and to simplify the calculation, only paid and eligible areas should be reported for this indicator, even if conditionality is applied on a broader base (e.g. including all agricultural land of the holding). In case the Member State will apply a pro rata system on permanent grassland, only eligible areas (e.g. after the application of pro rata) should be reported. - The possibility for Member States to use conversion and/or weighting factors for GAEC 4 and 9 should be taken in consideration. The indicator will be based at least on physical areas (not weighted) however also the weighted areas are necessary to compare with the % threshold.

¹² Conditionality is included in this output indicator although it is not an intervention.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators 03/09/2019

- | | |
|--|--|
| | <ul style="list-style-type: none">- Methodology and breakdowns proposed are based on the current experience on greening output indicators, including the breakdown by main land use. This indicator is not used for performance clearance. |
|--|--|

Note: This indicator is key to communicate on the area under conditionality

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator name	O.33: Number of producer organisations setting up an operational programme
Label as proposed by the Presidency	<u>O.33: Number of producer groups/organisations (or associations of producer organisations) setting up an operational fund/program</u>
Clearer alternative to Presidency proposal	<u>O.33: Number of supported operational programmes</u>
Definition	Total number of <u>operational programmes for</u> which payments relating to interventions under the same operational programme <u>were made in the</u> Financial Year concerned.
Types of intervention concerned	The following sectoral types of interventions are concerned: • For F&V sector: (Article 43) of SPR) • For and hops, olive oil and table olives sector and 'other sectors' as defined in Article 39: (Article 60 of SPR).
Unit of measurement	Number of <u>operational programmes</u> .
Comments/caveats	This indicator measures the total number of operational programmes implemented by producer organisations, associations of producer organisations or transnational producer organisations <u>or associations of producer organisations</u> during the year in the concerned MS. This indicator is not used for performance clearance.

Note: If Art. 41c is adopted, this indicator would be used for the clearance of operational programmes and the support is paid per operational programmes.

Deleted: producer organisations, associations of producer organisations or transnational producer organisations

Deleted: to

Deleted:

Deleted:

Deleted: producer organisations, associations of producer organisations or transnational producer organisations

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Output indicators 03/09/2019

Indicator names	O.34: Number of promotion and information actions and market monitoring
Label as proposed by the Presidency	DELETED
Definition	The total number of supported promotion and information actions under sectoral types of interventions in the Financial Year concerned.
Types of intervention concerned	The following types of sectoral types of interventions are concerned: • For F&V: Article 43(1)(n) • For wine sector: Article 52 (1)(g) and (h) For hops, olives oil and table olives sector and 'other sectors' as defined in Article 39: Article 60(1)(f)
Methodology	The number of actions in the Financial Year concerned, shall be reported per unit amount .
Methodology for the aggregated values	<i>Following aggregates should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several unit amounts are defined). - Total number of supported promotion and information actions
Unit of measurement	Number of actions
Comments/caveats	

Note: This indicator is not needed if Art. 41c is adopted, in that case the performance clearance of sectoral programmes is carried out with O.33, O.34a and O.35.

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

<u>Label as proposed by the Presidency</u>	<u>O.34a Number of actions or units supported in the wine sector</u>
<u>Definition</u>	<u>The total number of actions or units in the wine sector paid in the Financial Year concerned.</u>
<u>Types of intervention concerned</u>	<u>The following types of sectoral types of interventions are concerned (Article 52(1)(a) – (i)):</u> <u>a) restructuring and conversion of vineyards, including replanting of vineyards where that is necessary following mandatory grubbing up for health or phytosanitary reasons on the instruction of the Member State competent authority, but excluding the normal renewal of vineyards consisting of replanting of the same parcel of land with the same grape variety according to the same system of vine cultivation, when vines have to come to the end of their natural life;</u> <u>b) tangible and intangible investments in processing facilities and winery infrastructure, as well as marketing structures and tools;</u> <u>c) green harvesting meaning the total destruction or removal of grape bunches while still in their immature stage, thereby reducing the yield of the relevant area to zero and excluding non-harvesting comprising of leaving commercial grapes on the plants at the end of the normal production cycle;</u> <u>d) harvest insurance against income losses as a consequence of adverse climatic events assimilated to natural disasters, adverse climatic events, animals, plant diseases or pest infestations;</u> <u>e) tangible and intangible investments in innovation consisting of development of innovative products and by-products of wine making, processes and technologies, other investments adding value at any stage of the supply chain, including for knowledge exchange;</u> <u>f) distillation of by-products of wine making carried out in accordance with the restrictions laid down in Section D of Part II of Annex VIII to Regulation (EU) No 1308/2013;</u> <u>g) information actions concerning Union wines carried out in Member States encouraging responsible consumption of wine or promoting Union quality schemes covering designations of origin and geographical indications;</u> <u>h) promotion carried out in third countries, consisting of one or more of the following:</u> <u>i. public relations, promotion or advertisement actions, in particular highlighting the high standards of the Union products, especially in terms of quality, food</u>

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

	<u>safety or the environment;</u> <u>ii. participation at events, fairs or exhibitions of international importance;</u> <u>iii. information campaigns, in particular on the Union quality schemes concerning designations of origin, geographical indications and organic production;</u> <u>iv. studies of new markets, necessary for the expansion of market outlets;</u> <u>v. studies to evaluate the results of the information and promotion measures;</u> <u>vi. preparation of technical files, including laboratory tests and assessments, concerning oenological practices, phytosanitary and hygiene rules, as well as other third country requirements for import of products of the wine sector, to facilitate access to third country markets;</u> <u>i) temporary and degressive assistance to cover administrative costs of setting up of mutual funds.</u>
<u>Methodology</u>	<u>The number of actions or units in the Financial Year concerned shall be reported per intervention.</u> <u>In case within one intervention several unit amounts are defined, the number of operations per unit amount shall be reported.</u>
<u>Methodology for the aggregated values</u>	<u>The following aggregate should be provided :</u> - <u>By intervention (if relevant, i.e. when within one intervention several unit amounts are defined)</u> - <u>The total number of wine sector beneficiaries of CAP support, without double counting</u>
<u>Unit of measurement</u>	<u>Number of hectares for interventions under letters a, c above.</u> <u>Number of tonnes or hectolitres for interventions under letters f above.</u> <u>Number of actions for interventions under letters b, d, e, g, h, i above.</u> <u>Number of beneficiaries for the aggregate</u>
<u>Comments/caveats</u>	

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

Indicator names	O.35: Number of actions for beekeeping preservation/improvement
<u>Label as proposed by the Presidency</u>	<u>O.35: Number of actions or units for beekeeping preservation/improvement</u>
Definition	The total number of actions or units for beekeeping preservation/improvement paid in the Financial Year concerned.
Types of intervention concerned	The following types of sectoral types of interventions are concerned: • For apiculture sector and the Union financial assistance (Article 49(1) (a-h)): (a) technical assistance to beekeepers and beekeepers' organisations; (b) actions to combat beehive invaders and diseases, in particular varroasis; (c) actions to rationalise transhumance; (d) actions to support laboratories for the analysis of apiculture products; (e) restocking of beehives in the Union; (f) cooperation with specialised bodies for the implementation of research programs in the field of beekeeping and apiculture products; (g) market monitoring actions; (h) actions to enhance product quality.
Methodology	The number of actions or units in the Financial Year concerned shall be reported per intervention. In case within one intervention several unit amounts are defined, the number of operations per unit amount shall be reported.
Methodology for the aggregated values	<i>The following aggregate should be provided :</i> - By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) - <u>The total number of beekeepers beneficiaries of CAP support, without double counting</u> - <u>The total number of beehives (Art. 50)</u>
Unit of measurement	Number of beekeepers for interventions under letters (a), (b), (c), (e) above. Number of beehives for interventions under letters (b), (c), (e) above. Number of actions for interventions under letters (d), (f), (g), (h) above.
Comments/caveats	

DISCLAIMER

This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.