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INFORMATION NOTE

From:	General Secretariat of the Council
To:	Delegations
No. Cion doc.:	COM(2017) 331 final
Subject:	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Regulation (EU) No 1095/2010 establishing a European Supervisory Authority (European Securities and Markets Authority) and amending Regulation (EU) No 648/2012 as regards the procedures and authorities involved for the authorisation of CCPs and requirements for the recognition of third-country CCPs - list of working papers (WK) distributed

The following working papers (WK) were distributed by the General Secretariat of the Council with regard to the above file:

Reference No.	Creation Date	Subject
WK 7792 2017 INIT	06/07/2017	UK Observations on the legislative proposal for more robust supervision of central counterparties (CCPs) based in third countries
WK 8984 2017 INIT	04/09/2017	Comments by 10 Member States
WK 8993 2017 INIT	04/09/2017	Agenda for the Working Party meeting of 11 September
WK 9268 2017 INIT	08/09/2017	Comments by 13 Member States
WK 9905 2017 INIT	20/09/2017	Agenda for the Working Party meeting of 26 September 2017
WK 9906 2017 INIT	20/09/2017	Presidency questionnaire
WK 9907 2017 INIT	20/09/2017	DG FISMA document on the scope of Article 21a
WK 9967 2017 INIT	21/09/2017	DG FISMA non-paper - Implementing and delegated acts
WK 11054 2017 INIT	09/10/2017	Agenda for the Working Party meeting of 13 October 2017

Reference No.	Creation Date	Subject
WK 11056 2017 INIT	09/10/2017	Presidency non-paper - compromise proposals for provisions dealing with third-country CCPs
WK 11057 2017 INIT	09/10/2017	DG FISMA non-paper - Interaction of the Commission proposal on CCP Supervision (COM(2017) 331) and the ESAs review (COM(2017) 536)
WK 11059 2017 INIT	09/10/2017	DG FISMA non-paper - Shortcomings of the current architecture for the supervision of EU CCPs
WK 11882 2017 INIT	23/10/2017	Agenda for the Working Party meeting of 26 October 2017
WK 11884 2017 INIT	23/10/2017	Presidency non-paper - compromise proposals for provisions dealing with third-country CCPs
WK 11885 2017 INIT	23/10/2017	Presidency non-paper - third Country CCP Supervision: Overview of possible options regarding ESMA's supervisory activities
WK 11905 2017 INIT	23/10/2017	Croatian non-paper - the EMIR supervisory system proposal: issues detected and way forward
WK 11906 2017 INIT	23/10/2017	Croatian non-paper - ESMA governance arrangements in regards to the CCP XS under EMIR 2 and ESAs review
WK 13719 2017 INIT	23/11/2017	Drafting proposal on CBI competences regarding third-country CCPs in EMIR
WK 13721 2017 INIT	23/11/2017	Agenda for the Working Party meeting of 29 November 2017
WK 13723 2017 INIT	23/11/2017	Presidency non-paper - compromise proposals for provisions dealing with third-country CCPs
WK 14494 2017 INIT	07/12/2017	Agenda for the Working Party meeting of 12 December 2017
WK 14495 2017 INIT	07/12/2017	Presidency non-paper - composition of the third-country CCPs Supervisory Committee
WK 14496 2017 INIT	07/12/2017	Presidency drafting suggestions
WK 14670 2017 INIT	11/12/2017	ECB background note - scope of CBI consent
WK 14671 2017 INIT	11/12/2017	French non-paper - the role of central bank of issues in clearing systems
WK 695 2018 INIT	22/01/2018	Agenda for the Working Party meeting of 26 January 2018
WK 698 2018 INIT	22/01/2018	CBI involvement in decisions taken by EU and third-country CCP supervisors
WK 2206 2018 INIT	22/02/2018	Agenda for the Working Party meeting of 26 February 2018

Reference No.	Creation Date	Subject
WK 2213 2018 INIT	22/02/2018	Presidency non-paper - involvement of central banks of issue in the ongoing supervision of third-country CCPs
WK 2219 2018 INIT	22/02/2018	Presidency non-paper - appointment of the Chair of the Third Country CCP Supervisory Committee
WK 6315 2018 INIT	25/05/2018	Agenda for the Working Party meeting of 30 May 2018
WK 6316 2018 INIT	25/05/2018	Presidency compromise proposal on recognition and supervision of third-country CCPs
WK 7818 2018 INIT	26/06/2018	Agenda for the Working Party meeting of 27 June 2018
WK 10544 2018 INIT	13/09/2018	Agenda for the Working Party meeting of 19 September 2018
WK 10545 2018 INIT	13/09/2018	Presidency discussion paper - EU CCP Supervisory Colleges: Room for improvement
WK 10546 2018 INIT	13/09/2018	Presidency discussion paper - concept for a compromise proposal regarding the future ESMA involvement in the EU CCP supervisory framework
WK 11918 2018 INIT	09/10/2018	Agenda for the Working Party meeting of 11 October 2018
WK 11920 2018 INIT	09/10/2018	Presidency compromise proposal
WK 11922 2018 INIT	09/10/2018	Presidency annex
WK 12846 2018 INIT	24/10/2018	Agenda for the Working Party meeting of 30 October 2018
WK 13551 2018 INIT	08/11/2018	Agenda for the Working Party meeting of 13 November 2018
WK 297 2019 INIT	10/01/2019	Agenda for the Working Party meeting of 14 January 2019
WK 307 2019 INIT	10/01/2019	Presidency note - Process and key issues for discussion with the European Parliament
WK 307 2019 ADD 1	10/01/2019	Presidency note - Supervision of EU CCPs - ESMA powers
WK 1015 2019 INIT	23/01/2019	Agenda for the Working Party meeting of 25 January 2019
WK 1019 2019 INIT	23/01/2019	European Parliament non-paper - EMIR 2.2: Supervision of EU CCPs
WK 1042 2019 INIT	23/01/2019	Commission non-paper - supervision of third-country CCPs
WK 1664 2019 INIT	04/02/2019	Latest version of the four-column table
WK 2151 2019 INIT	13/02/2019	Agenda for the Working Party meeting of 15 February 2019
WK 2152 2019 INIT	13/02/2019	DG FISMA document - governance arrangements for the supervision of EU and third-country CCPs

Reference No.	Creation Date	Subject
WK 2186 2019 INIT	14/02/2019	Presidency Note - supervision of EU CCPs (ESMA powers) and Governance (composition of ESMA Supervisory Committee)
WK 2193 2019 INIT	14/02/2019	DG FISMA document - EMIR CCP Supervision - ESMA supervisory role regarding EU CCPs
WK 2559 2019 INIT	20/02/2019	Agenda for the Working Party meeting of 23 February 2019
WK 2561 2019 INIT	20/02/2019	Presidency note - overview of the most important elements for a compromise and remaining political points.
WK 2563 2019 INIT	20/02/2019	Presidency note - possible compromise on EMIR 2.2 – 20 February 2019
WK 2564 2019 INIT	20/02/2019	Presidency note - possible global compromise package on CCP supervision
WK 3294 2019 INIT	07/03/2019	Agenda for the Working Party meeting of 8 March 2019
WK 3295 2019 INIT	07/03/2019	Presidency note - possible global compromise package on CCP supervision
WK 5631 2019 INIT	03/05/2019	Financial services files expected to undergo the Corrigendum Procedure in the European Parliament