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INFORMATION NOTE

From: General Secretariat of the Council
To: Delegations

Subject: Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on a framework for the recovery and resolution of central counterparties and amending Regulations (EU) No 1095/2010, (EU) No 648/2012, and (EU) 2015/2365
- list of working papers (WK) distributed

The following working papers (WK) were distributed by the General Secretariat of the Council with regard to the above file:

Reference No.	Creation Date	Subject
WK 1102 2017 INIT	01/02/2017	Agenda
WK 2268 2017 INIT	01/03/2017	Final Layout 19 MS CCP
WK 2269 2017 INIT	01/03/2017	Presentation for Council CCP
WK 2271 2017 INIT	01/03/2017	CCP RR DG Fisma Meeting
WK 2274 2017 INIT	01/03/2017	Index of CCP recovery and resolution proposal
WK 2276 2017 INIT	01/03/2017	CCP RR Revised Article 7
WK 2277 2017 INIT	01/03/2017	Non paper participants
WK 2278 2017 INIT	01/03/2017	FISMA Non paper ESMA Resolution Committee
WK 2279 2017 INIT	01/03/2017	SE Non paper colleges
WK 2305 2017 INIT	01/03/2017	CCP RR Revised article 3
WK 2306 2017 INIT	01/03/2017	Agenda WP 3 March 2017 CCP RR
WK 4077 2017 INIT	05/04/2017	Recovery plan Written comments to the Maltese Presidency by Belgium and the Netherlands
WK 4899 2017 INIT	28/04/2017	Agenda WPCPPRR 050517
WK 4900 2017 INIT	28/04/2017	Discussion Note on Default & NonDefault Events
WK 4910 2017 INIT	28/04/2017	Non Paper on Decision Making Processes

WK 4911 2017 INIT	28/04/2017	Non Paper on Composition of Colleges.
WK 4912 2017 INIT	28/04/2017	Discussion Note on Group Structure
WK 4913 2017 INIT	28/04/2017	BE-NL - Recovery plan comments
WK 4915 2017 INIT	28/04/2017	Discussion Note on Title VII BRRD
WK 6361 2017 INIT	08/06/2017	Draft Presidency Progress Report CCP RR Regulation Delegations will find attached for information the draft Presidency Progress report on a proposal for a Regulation of the European Parliament and of the Council on a framework for the recovery and resolution of central counterparties and amending Regulations (EU) No 1095/2010, (EU) No 648/2012, and (EU) 2015/2365.
WK 8124 2017 INIT	13/07/2017	Agenda CCP RR 17.07.2017
WK 8126 2017 INIT	13/07/2017	Presidency non-paper nr1CCP R&R Recovery plans 11.07.17
WK 8127 2017 INIT	13/07/2017	Presidency non-paper nr2CCP R&R Allocation of losses to CCP equity 11.07.17
WK 8128 2017 INIT	13/07/2017	Presidency non-paper nr3CCP R&R Counterfactual 11.07.17
WK 8129 2017 INIT	13/07/2017	Presidency non-paper nr4CCP RR Resolution tools 11 07 17
WK 8130 2017 INIT	13/07/2017	Presidency non-paper nr5CCP R&R Sanctions 11.07.17
WK 9188 2017 INIT	08/09/2017	CCP RR Agenda 12.09.2017
WK 9190 2017 INIT	08/09/2017	CCP RR PRES WD No 7
WK 9191 2017 INIT	08/09/2017	CCPRR PRES WD no 6
WK 9192 2017 INIT	08/09/2017	DE 20170828 Non paper replacement costs
WK 9194 2017 INIT	08/09/2017	DE 20170828 Non-Paper Groups
WK 9195 2017 INIT	08/09/2017	NL AT Discussion paper protection of public funds
WK 9968 2017 INIT	21/09/2017	WD#4_Non-paper on decision-making
WK 11051 2017 INIT	09/10/2017	Non-paper on Initial Margin Haircutting in resolution
WK 11053 2017 INIT	09/10/2017	CCP RR Agenda 12.10.2017
WK 11080 2017 INIT	09/10/2017	PRESIDENCY NON-PAPER Compromise proposals for 12 October 2017 Working Party – Compensation
WK 11082 2017 INIT	09/10/2017	PRESIDENCY NON-PAPER Compromise proposals for 12 October 2017 Working Party – other undiscussed articles in CCP RR regulation
WK 11090 2017 INIT	09/10/2017	PRESIDENCY NON-PAPER Compromise proposals for October 12, 2017 Working Party – Decision making and colleges
WK 11146 2017 INIT	10/10/2017	PRESIDENCY NON-PAPER Compromise proposals for 12 October 2017 Working Party – Recoupment, use of public funds,

WK 11827 2017 INIT	20/10/2017	Agenda - Working Party on Financial Services (CCP Recovery and Resolution) on October 25, 2017 (10.00)
WK 11828 2017 INIT	20/10/2017	Presidency 2nd compromise proposal for October 25, 2017 Working Party
WK 11921 2017 INIT	24/10/2017	PRESIDENCY NON-PAPER Explanations to the EE PRES proposal for compromise text for October 25, 2017 Working Party
WK 12878 2017 INIT	10/11/2017	Non Paper on the treatment of clearing members who were better off in resolution than under the NCWO-counterfactual.
WK 150 2018 INIT	10/01/2018	PRESIDENCY NON-PAPER Presidency 4th compromise text December 22, 2017
WK 5912 2019 INIT	08/05/2019	Working Party on Financial Services (CCP R&R) DRAFT AGENDA
WK 5913 2019 INIT	08/05/2019	Presidency Non-Paper State of play and way forward in the CCP Recovery and Resolution file
WK 7369 2019 INIT	14/06/2019	Working Party on Financial Services (CCP R&R) DRAFT AGENDA
WK 7370 2019 INIT	14/06/2019	Presidency Explanatory Note and drafting suggestions
WK 7371 2019 INIT	14/06/2019	Presidency non-paper on groups
WK 7372 2019 INIT	14/06/2019	Presidency Non-Paper on resolution cash calls
WK 8406 2019 INIT	11/07/2019	CCP Recovery and Resolution Working Party - Agenda
WK 8407 2019 INIT	11/07/2019	Who is who - CCP Recovery & Resolution - Presidency team
WK 8408 2019 INIT	11/07/2019	Governance in EMIR 2.2 and the proposal on CCP Recovery and Resolution Commission Services' Information Note
WK 8410 2019 INIT	11/07/2019	Non-Paper July 2019 Enforcement of contractual rights of a CCP after resolution (former known as "NCBO" proposal)
WK 8411 2019 INIT	11/07/2019	Presidency non-paper on use of equity in resolution
WK 8422 2019 INIT	11/07/2019	CCP Recovery and Resolution Regulation Governance – potential ways forward
WK 8423 2019 INIT	11/07/2019	CCP Recovery and Resolution Regulation (CCPRR) - Suspension of the Clearing Obligation
WK 8466 2019 INIT	12/07/2019	Spanish non-paper – Resolution cash calls
WK 8481 2019 INIT	12/07/2019	UK non-paper – alternatives for Initial Margin Haircutting
WK 8603 2019 INIT	17/07/2019	FINNISH PRESIDENCY – NEW STRATEGIC PRIORITIES AND INHERITED AGENDA
WK 9670 2019 INIT	11/09/2019	Agenda Working Party CCP Recovery and Resolution 17 September 2019
WK 9671 2019 INIT	11/09/2019	Presidency explanatory note – CCP RR compromise proposal

WK 9716 2019 INIT	11/09/2019	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on a framework for the recovery and resolution of central counterparties and amending Regulations (EU) No 1095/2010, (EU) No 648/2012, and (EU) 2015/2365 and Directives 98/26/EC, 2002/47/EC, 2004/25/EC, 2005/56/EC, 2007/36/EC, 2011/35/EU and (EU) 2017/1132
WK 10441 2019 INIT	25/09/2019	Agenda - Working Party on Financial Services EMIR/CCP
WK 10585 2019 INIT	27/09/2019	Presidency note - Overview of the governance processes according to the latest presidency compromise proposal
WK 10589 2019 INIT	27/09/2019	Presidency non-paper - Estimation of hypothetical minimum and maximum size of a CCP resolution college
WK 10591 2019 INIT	27/09/2019	Presidency non-paper - Financial Stability Board's interest rate benchmark reform, Art. 80 (1a)
WK 10592 2019 INIT	27/09/2019	Presidency non-paper - Compensation, Article 27(5) and 27(10)
WK 10593 2019 INIT	27/09/2019	Presidency non-paper - Resolution cash-call – reimbursement (Art. 31(1))
WK 10594 2019 INIT	27/09/2019	Presidency non-paper - NCWO principle – clarifying the position of clearing members' clients
WK 10595 2019 INIT	27/09/2019	Presidency non-paper - Resolution cash call
WK 11090 2019 INIT	09/10/2019	Agenda Working Party, Financial Services, CCP Recovery and Resolution
WK 11151 2019 INIT	10/10/2019	Presidency's explanatory note: revised comprehensive compromise proposal
WK 11156 2019 INIT	10/10/2019	CCP Recovery and Resolution – Elements of governance
WK 11157 2019 INIT	10/10/2019	Presidency compromise text on the proposal for the CCP Recovery and Resolution Regulation
WK 11931 2019 INIT	28/10/2019	Agenda - Working Party, Financial Services (CCP Recovery and Resolution)
WK 12130 2019 INIT	30/10/2019	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on a framework for the recovery and resolution of central counterparties and amending Regulations (EU) No 1095/2010, (EU) No 648/2012, and (EU) 2015/2365 and Directives 98/26/EC, 2002/47/EC, 2004/25/EC, 2005/56/EC, 2007/36/EC, 2011/35/EU and (EU) 2017/1132
WK 12134 2019 INIT	30/10/2019	Presidency explanatory note: CCP RR - Revised comprehensive compromise proposal
WK 13411 2019 INIT	25/11/2019	Presidency compromise text on the proposal for the CCP Recovery and Resolution Regulation

WK 13414 2019 INIT	25/11/2019	Presidency explanatory note on the compromise text on the proposal for the CCP Recovery and Resolution Regulation
WK 13415 2019 INIT	25/11/2019	Presidency compromise text on the proposal for the CCP Recovery and Resolution Regulation - clean version
WK 41 2020 INIT	06/01/2020	"Kick-off" working party presentation
WK 556 2020 INIT	17/01/2020	Presidency note on key differences between the European Parliament's and the Council's positions on the CCP RR Regulation
WK 557 2020 INIT	17/01/2020	Presidency note on the key differences between the European Parliament's and the Council's positions for governance issues in the CCP Regulation
WK 558 2020 INIT	17/01/2020	Presidency note on costs in recovery and resolution in the CCP RR Regulation
WK 559 2020 INIT	17/01/2020	Working Party, Financial Services (CCP Recovery and Resolution) - Agenda
WK 1083 2020 INIT	29/01/2020	Recovery and resolution of Central Counterparties - Draft Agenda - First Trilogue on 28 January 2020
WK 1258 2020 INIT	03/02/2020	CCP Recovery and Resolution - Agenda - Working Party, Financial Services (Attachés)
WK 1287 2020 INIT	03/02/2020	CCP Recovery and Resolution - Presidency note on preparations for the second political trilogue
WK 1325 2020 INIT	04/02/2020	Non-paper by Commission services - CCP Recovery and Resolution - Cash calls and initial margin haircutting in resolution
WK 1326 2020 INIT	04/02/2020	Non-paper by Commission services - CCP Recovery and Resolution - Non-paper on the skin-in-the-game
WK 1594 2020 INIT	10/02/2020	Recovery and resolution of Central Counterparties - Draft agenda for the 2nd political trilogue
WK 2297 2020 INIT	26/02/2020	REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on a framework for the recovery and resolution of central counterparties and amending Regulations (EU) No 1095/2010, (EU) No 648/2012, and (EU) 2015/2365 - 4CT - outcome of technical meetings of 14, 18 and 19 February 2020 Document dated: 21 February 2020, Part I (Lines 1-589 (incl. Title IV a (new)))
WK 2298 2020 INIT	26/02/2020	REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on a framework for the recovery and resolution of central counterparties and amending Regulations (EU) No 1095/2010, (EU) No 648/2012, and (EU) 2015/2365 - 4CT - outcome of technical meetings of 14, 18 and 19 February 2020 Document dated: 21 February 2020, Part II (Lines 590 (incl. Title V) - 1558 (incl. Annex))

WK 2689 2020 INIT	06/03/2020	Agenda (11 March 2020)
WK 2693 2020 INIT	06/03/2020	Presidency note on possible compromise solutions for the second SiTG
WK 2694 2020 INIT	06/03/2020	Presidency note on the treatment of clients
WK 3176 2020 INIT	24/03/2020	Commission services' non-paper on CCP resolution regimes in selected third countries
WK 3571 2020 INIT	06/04/2020	REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on a framework for the recovery and resolution of central counterparties and amending Regulations (EU) No 1095/2010, (EU) No 648/2012, and (EU) 2015/2365 - 4CT - outcome of technical meetings of 2, 4, 17, 18, 19, 24, 25, 31 March and 1 April 2020 Document dated: 1 April 2020, Part I/1(Lines 1-180)
WK 3572 2020 INIT	06/04/2020	REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on a framework for the recovery and resolution of central counterparties and amending Regulations (EU) No 1095/2010, (EU) No 648/2012, and (EU) 2015/2365 - 4CT - outcome of technical meetings of 2, 4, 17, 18, 19, 24, 25, 31 March and 1 April 2020 Document dated: 1 April 2020, Part I/2 (Lines 181-589)
WK 3573 2020 INIT	06/04/2020	REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on a framework for the recovery and resolution of central counterparties and amending Regulations (EU) No 1095/2010, (EU) No 648/2012, and (EU) 2015/2365 - 4CT - outcome of technical meetings of 2, 4, 17, 18, 19, 24, 25, 31 March and 1 April 2020 Document dated: 1 April 2020, Part II/1(Lines 590- 1079)
WK 3574 2020 INIT	06/04/2020	REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on a framework for the recovery and resolution of central counterparties and amending Regulations (EU) No 1095/2010, (EU) No 648/2012, and (EU) 2015/2365 - 4CT - outcome of technical meetings of 2, 4, 17, 18, 19, 24, 25, 31 March and 1 April 2020 Document dated: 1 April 2020, Part II/2 (Lines 1080 - 1560)
WK 3661 2020 INIT	08/04/2020	CCP Recovery and Resolution - COM services' non-paper on quantitative information regarding CCPs
WK 3672 2020 INIT	08/04/2020	REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on a framework for the recovery and resolution of central counterparties and amending Regulations (EU) No 1095/2010, (EU) No 648/2012, and (EU) 2015/2365 and Directives 2002/47/EC, 2004/25/EC, 2005/56/EC, 2007/36/EC, 2011/35/EU and (EU) 2017/1132 - Document dated: 8 April 2020 - Recitals (Lines 1-102)

WK 4387 2020 INIT	29/04/2020	Presidency non-paper on interoperability arrangements as a source of contagion risk and MiFIR open access provisions for ETDs
WK 4618 2020 INIT	04/05/2020	Presidency note on variation margin gain haircutting (VMGH)
WK 4999 2020 INIT	13/05/2020	REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on a framework for the recovery and resolution of central counterparties and amending Regulations (EU) No 1095/2010, (EU) No 648/2012, and (EU) 2015/2365 - Document dated: 13 May 2020 - Part I/1 - (Lines 1-176)
WK 5006 2020 INIT	13/05/2020	REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on a framework for the recovery and resolution of central counterparties and amending Regulations (EU) No 1095/2010, (EU) No 648/2012, and (EU) 2015/2365 - Document dated: 13 May 2020 - Part I/2 - (Lines 177-589)
WK 5007 2020 INIT	13/05/2020	REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on a framework for the recovery and resolution of central counterparties and amending Regulations (EU) No 1095/2010, (EU) No 648/2012, and (EU) 2015/2365 - Document dated: 13 May 2020 - Part II/1 - (Lines 590 - 1080)
WK 5009 2020 INIT	13/05/2020	REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on a framework for the recovery and resolution of central counterparties and amending Regulations (EU) No 1095/2010, (EU) No 648/2012, and (EU) 2015/2365 - Document dated: 13 May 2020 - Part II/2 - (Lines 1081 - 1560)
WK 5893 2020 INIT	04/06/2020	Informal videoconference of the Working Party on Financial Services (CCP Recovery and Resolution) (Attachés) - Agenda
WK 5894 2020 INIT	04/06/2020	Presidency non paper on a possible compromise package for the first set of political issues
WK 5929 2020 INIT	05/06/2020	CCP RR - Presidency non paper on a possible compromise package for the second set of political issues
WK 6027 2020 INIT	08/06/2020	CCP RR - Summary of the presidency compromise proposal
WK 6480 2020 INIT	18/06/2020	HR PRES compromise proposal - Summary of the compromise proposal recovery and resolution tools
WK 6481 2020 INIT	18/06/2020	Presidency CCP RR compromise package - first set of political issues

WK 6483 2020 INIT	18/06/2020	Presidency CCP RR compromise package - second set of political issues
WK 6612 2020 INIT	22/06/2020	Working Party on Financial Services (CCP Recovery and Resolution) (Attachés) 22 June 2020 - Agenda
WK 6631 2020 INIT	22/06/2020	Commission services' non-paper on the introduction of the second skin in the game
WK 6633 2020 INIT	22/06/2020	Commission services' proposal for draft legal provisions on the second skin in the game
WK 6837 2020 INIT	25/06/2020	Working Party on Financial Services (CCP Recovery and Resolution) (Attachés) Thursday, 25 June 2020 - Agenda
WK 7040 2020 INIT	30/06/2020	REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on a framework for the recovery and resolution of central counterparties and amending Regulations (EU) No 1095/2010, (EU) No 648/2012, and (EU) 2015/2365 and Directives 2002/47/EC, 2004/25/EC, 2005/56/EC, 2007/36/EC, 2011/35/EU and (EU) 2017/1132 - 4CT for verification - non-objection procedure ending on Wednesday 8 July at 17h00