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### INFORMATION NOTE

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From:	General Secretariat of the Council
To:	Delegations
No. Cion doc.:	COM(2016) 854 final
Subject:	Proposal for a DIRECTIVE OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Directive 2013/36/EU as regards exempted entities, financial holding companies, mixed financial holding companies, remuneration, supervisory measures and powers and capital conservation measures - list of working papers (WK) distributed

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The following working papers (WK) were distributed by the General Secretariat of the Council with regard to the above file:

Reference	Creation date	Subject
WK 10200 2017 INIT	25/09/2017	170922-AT-non-paper_acc_Article_21a
WK 10238 2017 INIT	25/09/2017	Strengthening the effectiveness of the supervision of (Mixed) Financial Holding Companies
WK 10250 2017 INIT	25/09/2017	Authorisation of financial holding companies and mixed financial holding companies
WK 10290 2017 INIT	26/09/2017	Pillar 2 Requirements: proposed amendments to selected items related to the macro-prudential framework in EU
WK 10313 2017 INIT	26/09/2017	Proportionality: Remuneration
WK 10406 2017 INIT	27/09/2017	Proportionality: Reporting and Disclosure
WK 10858 2018 INIT	19/09/2018	Agenda (CRR/CRD) (24 and 25 September)
WK 10858 2018 REV 1	21/09/2018	Final Agenda (CRR/CRD) (24 and 25 September)

WK 10863 2018 INIT	19/09/2018	2nd & 3rd Trilogues Progress report and confirmation of preliminary agreements, CRR/CRD
WK 10972 2018 INIT	21/09/2018	PREPARATORY NOTE FOR 4th & 5th TRILOGUES
WK 11263 2017 INIT	11/10/2017	Preliminary Agenda (16 October 2017)
WK 11263 2017 REV 1	13/10/2017	Final Agenda - RRM Working Party Meeting of 16 October 2017
WK 11339 2017 INIT	13/10/2017	Presidency non-paper on targeted changes to the macro prudential toolkit
WK 11369 2017 INIT	13/10/2017	Financial holding companies and mixed holding companies
WK 11801 2018 INIT	08/10/2018	(CRR/CRD) Banking Package Trilogue - progress report
WK 11809 2018 INIT	08/10/2018	(CRR/CRD) Banking Package Trilogue - follow-up on specific topics
WK 11814 2018 INIT	08/10/2018	(CRR/CRD) Banking Package Trilogue - Preparatory Paper for the Upcoming Trilogue(s)
WK 11824 2018 INIT	08/10/2018	Agenda - 9 and 10 October 2018
WK 1184 2017 INIT	02/02/2017	Rationale behind MREL requirement and MREL guidance
WK 1203 2017 INIT	02/02/2017	Agenda for the 15/16 February 2017 RRM Meeting (Attachés + Experts)
WK 1203 2017 REV 1	13/02/2017	UPDATED Agenda for the 15/16 February 2017 RRM Meeting (Attachés + Experts)
WK 1207 2017 INIT	02/02/2017	Amendments to Scope Articles 2(5), 2(5a), 2(5b), 2(6), 2(7) & 9(2) CRDIV
WK 1210 2017 INIT	02/02/2017	Remuneration Articles 92, 94 & 109 CRD
WK 12453 2017 INIT	01/11/2017	Consolidated CRD Text and Outstanding Issues
WK 12453 2017 REV 2	07/11/2017	Consolidated CRD Text and Outstanding Issues (Revised)
WK 12512 2017 INIT	03/11/2017	Exemption of Ireland's National Promotional Institution, the Strategic Banking Corporation of Ireland (SBCI), from the Scope of CRD/CRR

WK 12554 2017 INIT	06/11/2017	Draft Agenda
WK 12554 2017 REV 1	08/11/2017	Final Agenda
WK 12576 2017 INIT	06/11/2017	Legal obstacles and potential remedial action with respect to the exchange of confidential supervisory information by the ECB with the IMF
WK 12796 2017 INIT	08/11/2017	CRR/CRD Negotiations: Pillar 2
WK 12940 2018 INIT	26/10/2018	Working Party Financial Services – RRM (CRR/CRD) (Attachés) Agenda - 29 October 2018, 10.30 AM
WK 13024 2018 INIT	26/10/2018	FOLLOW-UP ON 5TH TRILOGUE AND FEEDBACK FROM MEMBER STATES
WK 13026 2018 INIT	26/10/2018	(CRR/CRD) Banking Package Trilogue Preparatory Paper for the Upcoming Trilogue(s)
WK 13043 2017 INIT	13/11/2017	Draft Agenda
WK 13119 2017 INIT	14/11/2017	CRR & CRD: OUTSTANDING ISSUES
WK 13119 2017 REV 1	15/11/2017	CRR & CRD: OUTSTANDING ISSUES (REVISED)
WK 1317 2018 INIT	05/02/2018	Working Party Financial Services (RRM) Attachés AGENDA
WK 1319 2018 INIT	05/02/2018	RRM Legislative Package BG Presidency's suggested approach on "Key Political Issues"
WK 13207 2017 INIT	15/11/2017	Revised Agenda for the WP on 16 November
WK 1321 2018 INIT	05/02/2018	Leverage ratio buffer for systemic banks
WK 13493 2017 INIT	20/11/2017	Consolidated CRD Text
WK 13525 2017 INIT	21/11/2017	Draft Agenda (22 November 2017)
WK 13542 2017 INIT	21/11/2017	Introductory Note: Presidency's CRR & CRD compromise
WK 13945 2017 INIT	27/11/2017	Agenda

WK 1417 2017 INIT	08/02/2017	Proportionality
WK 14174 2018 INIT	19/11/2018	Working Party on Financial Services (Risk Reduction Measures) - Attachés only 21 November 2018 (09.00) - Agenda
WK 1552 2017 INIT	10/02/2017	Pillar 2 Requirements and Guidance and Interest Rate Risk in the Banking Book (IRRBB) * Articles 84, 97-99, 103, 104, 104a, 104b, 104c, 105, 141 and 141a CRD IV *
WK 15689 2018 INIT	18/12/2018	Updated CRD column table (of 18 September 2018)
WK 1582 2017 INIT	10/02/2017	"MREL Requirement and Guidance"
WK 15866 2018 INIT	21/12/2018	Updated CRD 4 column table (of 21 December 2018) following technical meetings
WK 1793 2017 INIT	17/02/2017	EBA Presentation
WK 1814 2016 INIT	13/12/2016	Commission Presentation of the Risk Reduction Measures package
WK 193 2017 INIT	09/01/2017	Agenda for the 12/13 January 2017 RRM Meeting (Experts)
WK 2021 2018 INIT	19/02/2018	CRD Consolidated text - Presidency compromise
WK 2022 2018 INIT	19/02/2018	Amendments to the CRR and CRD Texts: COVER NOTE
WK 2025 2018 INIT	19/02/2018	Agenda (20 February 2018)
WK 2060 2018 INIT	20/02/2018	P2G & MAR_Presidency non paper
WK 2062 2018 INIT	20/02/2018	MAR - ESMA70-145-440 Letter to the EU Council on CRD-CRR amendment of MAR
WK 242 2018 INIT	11/01/2018	Equity Investments in Funds
WK 249 2018 INIT	11/01/2018	Articles 124/164 CRR - Presidency non-paper
WK 2561 2018 INIT	28/02/2018	Agenda (1 and 2 March 2018)
WK 2573 2018 INIT	01/03/2018	Presidency compromise text CRD

WK 2574 2018 INIT	01/03/2018	Presidency compromise text CRR
WK 2575 2018 INIT	01/03/2018	Final amendments to the CRR and CRD Texts: COVER NOTE
WK 2700 2018 INIT	05/03/2018	Agenda (5 March 2018 @14h00)
WK 2707 2018 INIT	05/03/2018	Amendments to FRTB proposal and technical corrections in the CRR and CRD Texts COVER NOTE
WK 2709 2018 INIT	05/03/2018	CRD Updated consolidated text for discussion at the 5 March WP meeting
WK 2844 2017 INIT	13/03/2017	Background note on Consultation with the European Committee of the Regions
WK 289 2018 INIT	12/01/2018	Calibration of the leverage ratio - systemic surcharge
WK 2957 2017 INIT	15/03/2017	Interest Rate Risk in the Banking Book (IRRBB) Framework Articles 84, 98, 104, 104a of CRDIV and Article 448 of CRR
WK 3563 2017 INIT	24/03/2017	Tentative agenda for the 30/31 March 2017 RRM Meeting (Attachés + Experts)
WK 3563 2017 REV 1	29/03/2017	UPDATED agenda for the 30/31 March 2017 RRM Meeting (Attachés + Experts)
WK 3627 2017 INIT	27/03/2017	Scope Articles 2(5), 2(5a), 2(5b), 2(6), 2(7), 9(2) and 145 CRDIV
WK 3631 2017 INIT	27/03/2017	Pillar 2 Requirements: proposed amendments to selected issues
WK 3639 2017 INIT	27/03/2017	Intermediate Parent Undertaking (IPU) Proposal: LU & UK Non-paper
WK 3659 2017 INIT	27/03/2017	ECB Staff non-paper on Mixed Financial Holding Companies
WK 3687 2017 INIT	28/03/2017	Specifics of German Promotional Banks and Rationale for Exemption from CRR/CRD Scope
WK 3692 2017 INIT	28/03/2017	Staff non-paper on Intermediate Parent Undertakings (IPUs)
WK 3714 2017 INIT	28/03/2017	SE non-paper on macroprudential and systemic risks
WK 3792 2017 INIT	29/03/2017	Presentation on IPU Proposal (re. LU/UK Non-paper)

WK 3923 2017 INIT	01/04/2017	Follow-up to Commission services' note on the proposed revisions to the mechanism of allocation of MREL capacity within groups intra-EU
WK 3925 2017 INIT	01/04/2017	HOME-HOST BALANCE IN THE RRM PROPOSALS
WK 467 2017 INIT	17/01/2017	Agenda for the 23/24 January 2017 RRM Meeting (Experts)
WK 4928 2018 INIT	25/04/2018	For information: ECB comments on the Banking Package
WK 4995 2017 INIT	02/05/2017	MODIFIED STATIC APPROACH (MSA): WORKED EXAMPLE
WK 5362 2018 INIT	03/05/2018	Agenda 7 May 2018
WK 5363 2018 INIT	03/05/2018	CRR & CRD - REMAINING ARTICLES IN BRACKETS
WK 5365 2018 INIT	03/05/2018	Promotional banks in Germany DE non-paper
WK 5367 2018 INIT	03/05/2018	GSII score: legal drafting
WK 5393 2018 INIT	04/05/2018	CRD - Presidency compromise text
WK 5702 2017 INIT	19/05/2017	Commission services' note on certain home – host issues
WK 5970 2017 INIT	29/05/2017	Agenda for the 30 May 2017 RRM Meeting (Attachés only)
WK 7620 2017 INIT	04/07/2017	Preliminary Agenda Working Party on Financial Services (RRM) 14 July 2017
WK 7653 2017 INIT	05/07/2017	Pillar 2 Requirements: amendments to the macro-prudential framework in EU
WK 7670 2017 INIT	05/07/2017	Interest Rate Risk in the Banking Book (IRRBB)
WK 7919 2017 INIT	07/07/2017	Non paper on various measures to strengthen the principle of proportionality in the November 2016 legislative proposal for the review of CRR and CRD
WK 7992 2017 INIT	10/07/2017	CRR/CRD review: EBA Opinion on Own Funds and others
WK 8000 2017 INIT	10/07/2017	Pillar 2 and Capital Buffers (excl. Macroprudential issues): State of Play and Outstanding Political Issues

WK 8003 2017 INIT	10/07/2017	Moratorium framework in the Union
WK 8019 2017 INIT	11/07/2017	Proportionality in CRR and CRD proposals
WK 8117 2017 INIT	12/07/2017	WP Meeting on Financial Services - Annotated Agenda for the 14 July Meeting on RRM (Capital)
WK 8147 2017 INIT	13/07/2017	Note on Proportionality –AT proposal (previously circulated to the WP meeting of 15/16 Feb)
WK 8245 2017 INIT	14/07/2017	Financial Services Estonian Presidency of the Council of the European Union - General presentation
WK 8672 2018 INIT	12/07/2018	Draft Agenda (18 July 2018)
WK 8833 2017 INIT	30/08/2017	Preliminary agenda (7 and 8 September 2017)
WK 8833 2017 REV 1	06/09/2017	Final agenda (7 and 8 September 2017)
WK 8931 2017 INIT	01/09/2017	Proportionality: Disclosure, Reporting and Remuneration
WK 8932 2017 INIT	01/09/2017	Commission Services Non-paper on the EU IPU requirement
WK 9002 2017 INIT	04/09/2017	PILLAR 2 REQUIREMENTS AND GUIDANCE (EXCL. MACROPRU)
WK 9014 2017 INIT	05/09/2017	Pillar 2, targeted revisions of the macroprudential framework
WK 9045 2017 INIT	05/09/2017	Leverage ratio
WK 9097 2017 INIT	06/09/2017	Treatment of transactions within the Banking Union for the purpose assessing the systemicity of an institution
WK 9709 2018 INIT	27/08/2018	Working Party Meeting of 3 Sept - Draft Agenda
WK 9979 2017 INIT	21/09/2017	Draft Agenda
WK 9979 2017 REV 1	25/09/2017	Updated Draft Agenda
WK 9979 2017 REV 2	27/09/2017	Proposal for a DIRECTIVE OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Directive 2013/36/EU as regards exempted entities, financial holding companies, mixed financial holding companies, remuneration, supervisory measures and powers and capital conservation measures - Presidency compromise

WK 5850 2017 INIT		Presidency Progress Report
WK 86 2018 INIT	09/01/2018	Provisional Agenda (15 January 2018)
WK 86 2018 REV 1	12/01/2018	Final Agenda
WK 11333 2017 INIT	13/10/2017	Intermediate Parent Undertakings: Presidency's Proposal
WK 11411 2017 INIT	13/10/2017	Proportionality – Independent assessment of a simplified NSFR
WK 1524 2017 INIT	09/02/2017	Presidency Presentation IAS 9 versus IFRS 9
WK 1524 2017 REV 1	09/02/2017	IAS 39 versus IFRS 9 Background
WK 1588 2017 INIT	13/02/2017	IFRS 9 Transitional Arrangements For Bank Capital * The case for taking a dynamic approach *
WK 1660 2017 INIT	14/02/2017	EBA Presentation
WK 1660 2017 REV 1	17/02/2017	UPDATED EBA Presentation
WK 8061 2017 INIT	12/07/2017	Considerations on the Moratorium tool - Presentation by the Single Resolution Board
WK 3660 2017 INIT	27/03/2017	Counterparty Credit Risk: Proportionality & RTS Mandates
WK 4630 2017 INIT	21/04/2017	Agenda for the 26 April 2017 (afternoon) RRM Meeting (Attachés + Experts)
WK 11816 2018 INIT	08/10/2018	European Banking Authority (EBA) - Stance on the Risk Reduction Measures Package
WK 13143 2017 REV 1	15/11/2017	Pillar 2 Requirements: proposed amendments to selected items related to the macro-prudential framework in EU
WK 13143 2017 INIT	15/11/2017	Pillar 2 Requirements: proposed amendments to selected items related to the macro-prudential framework in EU
WK 2811 2017 INIT	13/03/2017	Agenda for the 16 March 2017 RRM Meeting (Attachés + Experts)
WK 3789 2017 INIT	29/03/2017	NON-PAPER ON THE MALTESE DEVELOPMENT BANK
WK 6515 2017 INIT	12/06/2017	ECOFIN Preparation: SBU - Presidency Progress Report (9484/17) drafting suggestions