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INFORMATION NOTE

From:	General Secretariat of the Council
To:	Delegations
Subject:	Retail Investment Strategy: Proposal for a Directive of the European Parliament and of the Council amending Directives (EU) 2009/65/EC, 2009/138/EC, 2011/61/EU, 2014/65/EU and (EU) 2016/97 as regards the Union retail investor protection rules - List of WKs published from 1 July to 31 December 2023

The following working papers (WK) were distributed by the General Secretariat of the Council with regard to the above file between 1 July and 31 December 2023:

Reference	Date	Subject
WK 17294 2023 INIT	27/12/2023	Retail Investment Strategy - Presidency non-paper on the analysis of the drafting suggestions of the Omnibus Directive presented in November
WK 16654 2023 REV 1	15/12/2023	Retail Investment Strategy - final version of Progress Report
WK 15876 2023 REV 4	12/12/2023	Retail Investment Strategy Working Party of 6 and 7 November 2023 - Revised presidency non-paper on supervisory enforcement, deadlines for the transposition and application of acts and value for money: Replies from 23MS
WK 15877 2023 REV 4	12/12/2023	Retail Investment Strategy Working Party of 6 and 7 November 2023 - Revised drafting proposals (PRIIPS and Omnibus Directive) : Replies from 20 MS
WK 16654 2023 INIT	11/12/2023	Retail Investment Strategy - draft Progress Report - consultation of MS - DDL midday 13 December
WK 15877 2023 REV 3	06/12/2023	Retail Investment Strategy Working Party of 6 and 7 November 2023 - Revised drafting proposals (PRIIPS and Omnibus Directive) : Replies from 19 MS

WK 15876 2023 REV 3	06/12/2023	Retail Investment Strategy Working Party of 6 and 7 November 2023 - Revised presidency non-paper on supervisory enforcement, deadlines for the transposition and application of acts and value for money: Replies from 22MS
WK 15876 2023 REV 2	06/12/2023	Retail Investment Strategy Working Party of 6 and 7 November 2023 - Revised presidency non-paper on supervisory enforcement, deadlines for the transposition and application of acts and value for money: Replies from 21MS
WK 15877 2023 REV 2	06/12/2023	Retail Investment Strategy Working Party of 6 and 7 November 2023 - Revised drafting proposals (PRIIPS and Omnibus Directive) : Replies from 18 MS
WK 15877 2023 REV 1	28/11/2023	Retail Investment Strategy Working Party of 6 and 7 November 2023 - Revised drafting proposals (PRIIPS and Omnibus Directive) : Replies from 17 MS
WK 15876 2023 REV 1	28/11/2023	Retail Investment Strategy Working Party of 6 and 7 November 2023 - Revised presidency non-paper on supervisory enforcement, deadlines for the transposition and application of acts and value for money: Replies from 20MS
WK 15877 2023 INIT	27/11/2023	Retail Investment Strategy Working Party of 6 and 7 November 2023 - Drafting proposals (PRIIPS and Omnibus Directive) : Replies from 14 MS
WK 15876 2023 INIT	27/11/2023	Retail Investment Strategy Working Party of 6 and 7 November 2023 - Presidency non-paper on supervisory enforcement, deadlines for the transposition and application of acts and value for money: Replies from 17MS
WK 12206 2023 REV 4 ADD 1	27/11/2023	Retail Investment Omnibus Directive: Consolidated table of second round of comments on the COM proposal, deadline 14.09.23 - Replies from HR
WK 15758 2023 INIT	24/11/2023	Retail Investment Strategy - VTC CWP 1 December 2023 - Presidency non-paper on drafting proposals with regard to supervisory enforcement and deadlines
WK 15719 2023 INIT	23/11/2023	Retail Investment Package - VTC CWP 1 December 2023 - draft agenda
WK 15696 2023 INIT	23/11/2023	Retail Investment Strategy - VTC CWP 1 December 2023 - Presidency non-paper on drafting proposals with regard to the “best interest of the client” test, suitability and appropriateness assessment and professional qualifications
WK 14211 2023 ADD 1	07/11/2023	Retail Investment Strategy - CWP 6 November 2023 - Addendum to EIOPA's presentation: Benchmarks Calculation Journey

WK 14287 2023 INIT	06/11/2023	Retail Investment Strategy - CWP 6 and 7 November 2023 - Presidency non-paper: draft amendments on marketing practices, disclosure information, client categorisation and promotion of financial literacy
WK 14211 2023 INIT	02/11/2023	Retail Investment Strategy - CWP 6 November 2023 - EIOPA's presentation: Approach to Value for Money & Benchmarks
WK 14210 2023 INIT	02/11/2023	Retail Investment Strategy - CWP 6 November 2023 - ESMA presentation on Value for Money benchmark (ESMA's staff initial views)
WK 14163 2023 INIT	31/10/2023	Retail Investment Strategy - CWP on 6 and 7 November - Presidency non-paper on supervisory enforcement, deadlines for the transposition and application of acts and value for money
WK 12206 2023 REV 4	30/10/2023	Retail Investment Omnibus Directive: Consolidated table of second round of comments on the COM proposal, deadline 14.09.23 - Replies from 22 MS
WK 14049 2023 INIT	28/10/2023	Retail Investment Strategy - Commission Services non-paper on Value for Money
WK 12206 2023 REV 3	27/10/2023	Retail Investment Omnibus Directive: Consolidated table of second round of comments on the COM proposal, deadline 14.09.23 - Replies from 21 MS
WK 12206 2023 REV 2	26/10/2023	Retail Investment Omnibus Directive: Consolidated table of second round of comments on the COM proposal, deadline 14.09.23 - Replies from 21 MS
WK 13811 2023 INIT	25/10/2023	Retail Investment Strategy - CWP 6 and 7 November 2023 - draft agenda
WK 12206 2023 REV 1	06/10/2023	Retail Investment Omnibus Directive: Consolidated table of second round of comments on the COM proposal, deadline 14.09.23 - Replies from 20 MS
WK 12356 2023 INIT	28/09/2023	Retail Investment Strategy - CWP 4 and 5 October - draft agenda
WK 12353 2023 INIT	28/09/2023	Retail Investment Strategy - Presidency non-paper on inducements, 'best interest of the client' test, suitability and appropriateness and professional requirements, for discussion at the 4 and 5 October 2023 CWP
WK 12206 2023 INIT	26/09/2023	Retail Investment Omnibus Directive: Consolidated table of second round of comments on the COM proposal, deadline 14.09.23 - Replies from 18 MS
WK 11470 2023 REV 1	15/09/2023	Retail Investment Omnibus Directive: Updated consolidated table of initial comments on the COM proposal - replies from 19 MS

WK 11470 2023 INIT	14/09/2023	Retail Investment Omnibus Directive: Consolidated table of initial comments on the COM proposal - replies from 17 MS
WK 10705 2023 INIT	29/08/2023	Retail Investment Strategy - Presidency non-paper on the proposal for a Regulation amending the PRIIPS Regulation
WK 10706 2023 INIT	29/08/2023	Retail Investment Strategy: Working Party 4 and 5 September - draft agenda
WK 10703 2023 INIT	29/08/2023	Retail Investment Strategy - Presidency non-paper on marketing practices, disclosure information, client categorisation and promotion of financial literacy for discussion at the 4 and 5 September 2023 meeting
WK 9404 2023 INIT	07/07/2023	Retail Investment Strategy - Presentation by Commission services on the proposal
WK 9405 2023 INIT	07/07/2023	Retail Investment Strategy - Presentation by Commission services on the impact assessment
WK 9274 2023 INIT	05/07/2023	Retail Investment Strategy - presentation of Spanish Presidency