

Brussels, 15 April 2025  
(OR. en)

7753/25

DUAL USE 14  
CFSP/PESC 527

### OUTCOME OF PROCEEDINGS

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From: General Secretariat of the Council

To: Delegations

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Subject: Annex to the Commission Recommendation on coordination of national control lists

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Delegations will find attached the Annex to the Commission Recommendation on coordination of national control lists, as approved at the Council (Foreign Affairs) on 14 April 2025.

**Annex to the Commission Recommendation  
on coordination of national control lists.**

**FORMULATION OF NATIONAL CONTROL LISTS**

1. In line with the approach to dual-use items export controls set out in Annex I of the Regulation, national control lists should, wherever appropriate and relevant, be based on national risk assessments and objective technical parameters similar to those of control lists provided by multilateral export control regimes, including technical notes if appropriate, and structured as entries that are individually identified with an alphanumeric control code. Wherever relevant and on a voluntary basis, national control lists should follow the scope of controls considered at multilateral export control regimes.

**FRAMEWORK FOR COORDINATION**

2. Member States that envisage the adoption of a national control list should, on a voluntary basis, inform the other Member States and the Commission about the identified risks and the national controls under consideration responding to those risks.
3. If available and on a voluntary basis, this information might include elements such as:
  - (a) the envisaged scope;
  - (b) the impact of the national control list under consideration on EU economic operators;
  - (c) other relevant information for the preparation of the national control list.
4. Member States identifying identical or similar risks and having the intention to control similar items should, on a voluntary basis, coordinate when designing their respective national control lists.
5. Member States that are in the process of designing national control lists should, on a voluntary basis, provide regular updates to the other Member States and the Commission on the progress towards the finalisation of such measures.
6. Member States that plan to adopt a national control list should, on a voluntary basis, share with the Commission and other Member States a written draft of the national control list prior to its adoption.
7. The Commission and other Member States may provide relevant comments to the Member State planning to adopt a national control list.
8. If a Member State planning to adopt a national control list receives comments from other Member States or from the Commission, it should consider such comments, without prejudice to its right to adopt national control lists under the procedural requirements set out in their domestic law.

9. Member States that are coordinating when designing their respective national control lists should, whenever possible taking into consideration procedural requirements set out in national law, aim to adopt and notify them at the same time under Article 9(2) of the Regulation.
10. After the adoption of the national control lists, Member States should, on a voluntary basis, exchange information on the effective implementation of controls under the national control list as well as under any measure taken under Article 10 of the Regulation.
11. The Commission should facilitate such exchange of information, including by making available secure means of communication.

#### **MEANS OF COMMUNICATION**

12. The exchange of written information for the benefit of all Member States and the Commission should take place via the electronic system referred to in Article 23(6) of the Regulation.
  13. Member States should, on a voluntary basis, provide information about the progress of their national control lists to other Member States and the Commission.
  14. At the request of the Member State planning to adopt a national control list, the Dual-Use Working Party (or other relevant Council preparatory body) may propose to discuss a specific draft national control list. In consideration of the nature of the information to be exchanged and the format sought by the Member State planning to adopt a national control list, the Commission and Member States may exchange information in the Dual-Use Coordination Group established under Article 24 of the Regulation or in another appropriate formation.
  15. The Dual-Use Coordination Group mentioned above and possibly other formations shall take the necessary steps to ensure the confidentiality of the discussions and the information exchanged.
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