

Council of the European Union

> Brussels, 9 April 2021 (OR. en)

7681/21

#### TRANS 199 COWEB 29 ELARG 9

NOTE	
From:	General Secretariat of the Council
То:	Delegations
Subject:	Consultation of the Council by the European Commission on the EU position regarding the revision by the Regional Steering Committee of Annex I to the Treaty establishing the Transport Community

This consultation document contains the Council's suggestions following the Commission's letter dated 18 February 2021<sup>1</sup> and prior to the Commission's decision on the position to be taken by the European Union in the Regional Steering Committee of the Transport Community concerning the adoption of a decision revising Annex I to the Treaty establishing the Transport Community (TCT).

From a general point of view, the current Annex I to the TCT contains, on the one hand, a dynamic reference to the latest version of a legislative act, while, on the other hand, in several instances amending legal acts are expressly mentioned. This practice should be harmonised at the earliest occasion.

<u>Annex I</u> sets out the revised Annex I to the TCT including suggestions from the Council. <u>Annex II</u> contains an update of the Note to the file which was attached to the Commission's letter, reflecting the suggestions from the Council.

<sup>&</sup>lt;sup>1</sup> See document ST 6354/21.

#### RULES APPLICABLE TO THE TRANSPORT SECTOR AND RELATED ISSUES

#### ANNEX I.1

## RULES APPLICABLE TO TRANSPORT INFRASTRUCTURE FORMING THE SOUTH EAST EUROPE CORE NETWORK

The 'Applicable provisions' of the following European Union acts shall be applicable in accordance with the Main Treaty and Annex II on horizontal adaptations unless otherwise specified in this Annex or in Protocols I to VI. Where necessary, specific adaptations for each individual act are set out hereinafter.

Regulatory area	Legislation
TEN-T development	Regulation (EU) No 1315/2013 of the European Parliament and of the Council of 11 December 2013 on Union guidelines for the development of the trans-European transport network and repealing Decision No 661/2010/EU (OJ EU L 348, 20.12.2013, p. 1). Commission Delegated Regulation (EU) 2016/758 of 4 February 2016 amending Regulation (EU) No 1315/2013 of the European Parliament and of the Council as regards adapting Annex III thereto (OJ EU L 126, 14.5.2016, p. 3).
Alternative fuels infrastructure	Directive 2014/94/EU of the European Parliament and of the Council of 22 October 2014 on the deployment of alternative fuels infrastructure (OJ EU L 307, 28.10.2014, p. 1).

# MAPS OF THE INDICATIVE TEN-T EXTENSION TO THE WESTERN BALKANS (CORE AND COMPREHENSIVE NETWORKS)









### RULES APPLICABLE TO RAIL TRANSPORT

The 'Applicable provisions' of the following European Union acts shall be applicable in accordance with the Main Treaty and Annex II on horizontal adaptations unless otherwise specified in this Annex or in Protocols I to VI. Where necessary, specific adaptations for each individual act are set out hereinafter.

Regulatory area	Legislation

Market access	Regulation No 11 concerning the abolition of discrimination in transport rates and conditions, in implementation of Article 79 (3) of the Treaty establishing the European Economic Community (OJ EC 52, 16.8.1960, p. 1121).
	Directive 2012/34/EU of the European Parliament and of the Council of 21 November 2012 establishing a single European railway area (OJ EU L 343, 14.12.2012, p. 32).
	Directive (EU) 2016/2370 of the European Parliament and of the Council of 14 December 2016 amending Directive 2012/34/EU as regards the opening of the market for domestic passenger transport services by rail and the governance of the railway infrastructure (OJ EU L 352, 23.12.2016, p. 1).
	Commission Delegated Decision (EU) 2017/2075 of 4 September 2017 replacing Annex VII to Directive 2012/34/EU of the European Parliament and of the Council establishing a single European railway area (OJ EU L 295, 14.11.2017, p. 69).
	Commission Implementing Regulation (EU) No 869/2014 of 11 August 2014 on new rail passenger services (OJ EU L 239, 12.8.2014, p. 1).
	Commission Implementing Regulation (EU) 2015/10 of 6 January 2015 on criteria for applicants for rail infrastructure capacity and repealing Implementing Regulation (EU) No 870/2014 (OJ EU L 3, 7.1.2015, p. 34).
	Commission Implementing Regulation (EU) 2015/171 of 4 February 2015 on certain aspects of the procedure of licensing railway undertakings (OJ EU L 29, 5.2.2015, p. 3).
	Commission Implementing Regulation (EU) 2015/429 of 13 March 2015 setting out the modalities to be followed for the application of the charging for the cost of noise effects (OJ EU L 70, 14.3.2015, p. 36).

Commission Implementing Regulation (EU) 2015/909 of 12 June 2015
on the modalities for the calculation of the cost that is directly incurred as
a result of operating the train service (OJ EU L 148, 13.6.2015, p. 17).
Commission Implementing Regulation (EU) 2015/1100 of 7 July 2015 on
the reporting obligations of the Member States in the framework of rail
market monitoring (OJ EU L 181, 9.7.2015, p. 1).
Commission Implementing Regulation (EU) 2016/545 of 7 April 2016 on
procedures and criteria concerning framework agreements for the
allocation of rail infrastructure capacity (OJ EU L 94, 8.4.2016, p. 1).
Commission Implementing Regulation (EU) 2017/2177 of 22 November
2017 on access to service facilities and rail-related services (OJ EU L
307, 23.11.2017, p. 1).
Commission Implementing Regulation (EU) 2018/1795 of 20 November
2018 laying down procedure and criteria for the application of the
economic equilibrium test pursuant to Article 11 of Directive 2012/34/EU
of the European Parliament and of the Council (OJ EU L 294,
21.11.2018, p. 5).
Regulation (EU) No 913/2010 of the European Parliament and of the
Council of 22 September 2010 concerning a European rail network for
competitive freight (OJ EU L 276, 20.10.2010, p. 22).
Commission Implementing Decision (EU) 2018/500 of 22 March 2018
on the compliance of the proposal to establish the Alpine-Western Balkan
rail freight corridor with Article 5 of Regulation (EU) No 913/2010 of the
European Parliament and of the Council (OJ EU L 82, 26.3.2018, p. 13).
Commission Implementing Decision (EU) 2018/491 of 21 March 2018
on the compliance of the joint proposal submitted by the Member States
concerned for the extension of the North Sea Mediterranean rail freight
corridor with Article 5 of Regulation (EU) No 913/2010 of the European
Parliament and of the Council (OJ EU L 81, 23.3.2018, p. 23).

Commission Implementing Decision (EU) 2018/300 of 11 January 2018 on the compliance of the joint proposal submitted by the Member States concerned for the extension of the Atlantic rail freight corridor with Article 5 of Regulation (EU) No 913/2010 of the European Parliament and of the Council (OJ EU L 56, 28.2.2018, p. 60).

Commission Implementing Decision (EU) 2017/178 of 31 January 2017 amending Implementing Decision (EU) 2015/1111 on the compliance of the joint proposal of the Member States concerned to extend the North Sea-Baltic rail freight corridor with Article 5 of Regulation (EU) No 913/2010 of the European Parliament and of the Council concerning a European rail network for competitive freight (OJ EU L 28, 2.2.2017, p. 71).

Commission Implementing Decision (EU) 2017/177 of 31 January 2017 on the compliance with Article 5 of Regulation (EU) No 913/2010 of the European Parliament and of the Council of the joint proposal to establish the 'Amber' rail freight corridor) (OJ EU L 28, 2.2.2017, p. 69).

Commission Implementing Decision (EU) 2015/1111 of 7 July 2015 on the compliance of the joint proposal submitted by the Member States concerned for the extension of the North Sea-Baltic rail freight corridor with Article 5 of Regulation (EU) No 913/2010 of the European Parliament and of the Council concerning a European rail network for competitive freight (OJ EU L 181, 9.7.2015, p. 82).

Train driver	Directive 2007/59/EC of the European Parliament and of the Council of
licensing	23 October 2007 on the certification of train drivers operating
	locomotives and trains on the railway system in the Community (OJ EU
	L 315, 3.12.2007, p. 51).
	Commission Regulation (EU) 2019/554 of 5 April 2019 amending Annex
	VI to Directive 2007/59/EC of the European Parliament and of the
	Council on the certification of train drivers operating locomotives and
	trains on the railway system in the Community (OJ EU L 97, 8.4.2019, p.
	1).
	Commission Regulation (EU) No 36/2010 of 3 December 2009 on
	Community models for train driving licences, complementary certificates,
	certified copies of complementary certificates and application forms for
	train driving licences, under Directive 2007/59/EC of the European
	Parliament and the Council (OJ EU L 13, 19.1.2010, p. 1).
	Commission Decision 2010/17/EC of 29 October 2009 on the adoption of
	basic parameters for registers of train driving licences and
	complementary certificates provided for under Directive 2007/59/EC of
	the European Parliament and of the Council (OJ EU L 8, 13.1.2010,
	p. 17).
	Commission Decision 2011/765/EU of 22 November 2011 on criteria for
	the recognition of training centres involved in the training of train drivers,
	on criteria for the recognition of examiners of train drivers and on criteria
	for the organisation of examinations in accordance with
	Directive 2007/59/EC of the European Parliament and of the Council (OJ
	EU L 314, 29.11.2011, p. 36).

InteroperabilityDirective (EU) 2016/797 of the European Parliament and of the Council of 11 May 2016 on the interoperability of the rail system within the European Union (OJ EU L 138, 26.5.2016, p. 44).Commission Implementing Regulation (EU) 2018/545 of 4 April 2018 establishing practical arrangements for the railway vehicle authorisation and railway vehicle type authorisation process pursuant to Directive (EI 2016/797 of the European Parliament and of the Council (OJ EU L 90, 6.4.2018, p. 66).Commission Delegated Decision (EU) 2017/1474 of 8 June 2017 supplementing Directive (EU) 2016/797 of the European Parliament an of the Council with regard to specific objectives for the drafting, adopti and review of technical specifications for interoperability (OJ EU L 210 15.8.2017, p. 5).	
<ul> <li>European Union (OJ EU L 138, 26.5.2016, p. 44).</li> <li>Commission Implementing Regulation (EU) 2018/545 of 4 April 2018 establishing practical arrangements for the railway vehicle authorisation and railway vehicle type authorisation process pursuant to Directive (EI 2016/797 of the European Parliament and of the Council (OJ EU L 90, 6.4.2018, p. 66).</li> <li>Commission Delegated Decision (EU) 2017/1474 of 8 June 2017 supplementing Directive (EU) 2016/797 of the European Parliament an of the Council with regard to specific objectives for the drafting, adopti and review of technical specifications for interoperability (OJ EU L 210 15.8.2017, p. 5).</li> </ul>	
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<ul> <li>6.4.2018, p. 66).</li> <li>Commission Delegated Decision (EU) 2017/1474 of 8 June 2017 supplementing Directive (EU) 2016/797 of the European Parliament an of the Council with regard to specific objectives for the drafting, adopti and review of technical specifications for interoperability (OJ EU L 210 15.8.2017, p. 5).</li> </ul>	J)
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15.8.2017, p. 5).	n
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Commission Implementing Decision (EU) 2018/1614 of 25 October 20	8
laying down specifications for the vehicle registers referred to in Article	
47 of Directive (EU) 2016/797 of the European Parliament and of the	
Council and amending and repealing Commission Decision 2007/756/E	2
(OJ EU L 268, 26.10.2018, p. 53).	
Commission Decision 2009/965/EC of 30 November 2009 on the	
reference document referred to in Article 27(4) of Directive 2008/57/E0	
of the European Parliament and of the Council on the interoperability o	
the rail system within the Community (OJ EU L 341, 22.12.2009, p. 1).	
Commission Regulation (EU) No 1299/2014 of 18 November 2014 on	
the technical specifications for interoperability relating to the	
'infrastructure' subsystem of the rail system in the European Union (OJ	
EU L 356, 12.12.2014, p. 1).	
Commission Regulation (EU) No 1300/2014 of 18 November 2014 on	
the technical specifications for interoperability relating to accessibility	f
the Union's rail system for persons with disabilities and persons with	
reduced mobility (OJ EU L 356, 12.12.2014, p. 110).	

European Union	Regulation (EU) 2016/796 of the European Parliament and of the Council
Agency for	of 11 May 2016 on the European Union Agency for Railways and
Railways	repealing Regulation (EC) No 881/2004 (OJ EU L 138, 26.5.2016, p. 1).
	Commission Implementing Regulation (EU) 2018/867 of 13 June 2018
	laying down the rules of procedure of the Board(s) of Appeal of the
	European Union Agency for Railways (OJ EU L 149, 14.6.2018, p. 3).
	Commission Implementing Regulation (EU) 2018/764 of 2 May 2018 on
	the fees and charges payable to the European Union Agency for Railways
	and their conditions of payment (OJ EU L 129, 25.5.2018, p. 68).

Directive (EU) 2016/798 of the European Parliament and of the Council
of 11 May 2016 on railway safety (OJ EU L 138, 26.5.2016, p. 102).
Commission implementing Regulation (EU) 2018/763 of 9 April 2018
establishing practical arrangements for issuing single safety certificates to
railway undertakings pursuant to Directive (EU) 2016/798 of the
European Parliament and of the Council, and repealing Commission
Regulation (EC) No 653/2007 (OJ EU L 129, 25.5.2018, p. 49).
(Co Lo L 12), 2007 (Co Lo L 12), 20.0.2010, p. 19).
Commission implementing Regulation (EU) 2019/779 of 16 May 2019
laying down detailed provisions on a system of certification of entities in
charge of maintenance of vehicles pursuant to Directive (EU) 2016/798
of the European Parliament and of the Council and repealing Commission
Regulation (EU) No 445/2011 (OJ EU L 139I, 27.5.2019, p. 360).
Commission Regulation (EU) No 1158/2010 of 9 December 2010 on a
common safety method for assessing conformity with the requirements
for obtaining railway safety certificates (OJ EU L 326, 10.12.2010,
p. 11).
p. 11).
Commission delegated Regulation (EU) 2018/762 of 8 March 2018
establishing common safety methods on safety management system
requirements pursuant to Directive (EU) 2016/798 of the European
Parliament and of the Council and repealing Commission Regulations
(EU) No 1158/2010 and (EU) No 1169/2010 (OJ EU L 129, 25.5.2018, p.
26).
Commission Regulation (EU) No 1169/2010 of 10 December 2010 on a
common safety method for assessing conformity with the requirements
for obtaining a railway safety authorisation (OJ EU L 327, 11.12.2010,
p. 13). Commission Regulation (EU) No 1078/2012 of 16 November
2012 on a common safety method for monitoring to be applied by railway
undertakings, infrastructure managers after receiving a safety certificate
or safety authorisation and by entities in charge of maintenance (OJ EU
L 320, 17.11.2012, p. 8).
L 520, 17.11.2012, p. 0).

common safety method for assessment of achievement of safety targets, as referred to in Article 6 of Directive 2004/49/EC of the European Parliament and of the Council (OJ EU L 150, 13.6.2009, p. 11).		
Commission recommendation (EU) 2019/780 of 16 May 2019 on practical arrangements for issuing safety authorisations to infrastructure managers (OJ EU L 1391, 27.5.2019, p. 390).		<ul> <li>establishing common safety methods for supervision by national safety authorities after the issue of a single safety certificate or a safety authorisation pursuant to Directive (EU) 2016/798 of the European Parliament and of the Council and repealing Commission Regulation (EU) No 1077/2012 (OJ EU L 129, 25.5.2018, p. 16).</li> <li>Commission Decision 2009/460/EC of 5 June 2009 on the adoption of a common safety method for assessment of achievement of safety targets, as referred to in Article 6 of Directive 2004/49/EC of the European Parliament and of the Council (OJ EU L 150, 13.6.2009, p. 11).</li> <li>Commission recommendation (EU) 2019/780 of 16 May 2019 on practical arrangements for issuing safety authorisations to infrastructure</li> </ul>
Inland transport Directive 2008/68/EC of the European Parliament and of the Council of	Inland transport	Directive 2008/68/EC of the European Parliament and of the Council of

of dangerous goods	24 September 2008 on the inland transport of dangerous goods (OJ EU L 260, 30.9.2008, p. 13).
Transportable pressure equipment	Directive 2010/35/EU of the European Parliament and of the Council of 16 June 2010 on transportable pressure equipment and repealing Council Directives 76/767/EEC, 84/525/EEC, 84/526/EEC, 84/527/EEC and 1999/36/EC (OJ EU L 165, 30.6.2010, p. 1).
Social field - working time / hours	Directive 2003/88/EC of the European Parliament and of the Council of 4 November 2003 concerning certain aspects of the organisation of working time (OJ EU L 299, 18.11.2003, p. 9).
	Council Directive 2005/47/EC of 18 July 2005 on the Agreement between the Community of European Railways (CER) and the European Transport Workers' Federation (ETF) on certain aspects of the working conditions of mobile workers engaged in interoperable cross-border services in the railway sector - Agreement concluded by the European Transport Workers' Federation (ETF) and the Community of European Railways (CER) on certain aspects of the working conditions of mobile workers engaged in interoperable cross-border services (OJ EU L 195, 27.7.2005 p. 15).
Passenger rights	Regulation (EC) No 1371/2007 of the European Parliament and of the Council of 23 October 2007 on rail passengers' rights and obligations (OJ EU L 315, 3.12.2007, p. 14).
Electronic freight transport information	Regulation (EU) 2020/1056 of the European Parliament and of the Council of 15 July 2020 on electronic freight transport information (OJ EU L 249, 31.7.2020, p. 33).

### RULES APPLICABLE TO ROAD TRANSPORT

The 'Applicable provisions' of the following European Union acts shall be applicable in accordance with the Main Treaty and Annex II on horizontal adaptations unless otherwise specified in this Annex or in Protocols I to VI. Where necessary, specific adaptations for each individual act are set out hereinafter.

Regulatory area	Legislation
Road charging infrastructure - annual vehicle taxes	Directive 1999/62/EC of 17 June 1999 of the European Parliament and of the Council on the charging of heavy goods vehicles for the use of certain infrastructures (OJ EC L 187, 20.7.1999, p. 42).
Admission to the occupation of road operator	<ul> <li>Regulation (EC) No 1071/2009 of the European Parliament and of the Council of 21 October 2009 establishing common rules concerning the conditions to be complied with to pursue the occupation of road transport operator and repealing Council Directive 96/26/EC (OJ EU L 300, 14.11.2009, p. 51).</li> <li>Regulation (EU) 2020/1055 of the European Parliament and of the Council of 15 July 2020 amending Regulations (EC) No 1071/2009, (EC) No 1072/2009 and (EU) No 1024/2012 with a view to adapting them to developments in the road transport sector (OJ EU L 249, 31.7.2020, p. 17).</li> <li>(See however Article 4 of Regulation (EU) 2020/1055 on the date of entry into force or application)</li> </ul>
Social provisions - driving time	Regulation (EC) No 561/2006 of the European Parliament and of the Council of 15 March 2006 on the harmonisation of certain social

and rest periods	legislation relating to road transport and amending Council Regulations
	(EEC) No 3821/85 and (EC) No 2135/98 and repealing Council
	Regulation (EEC) No 3820/85 (OJ EU L 102, 11.4.2006, p. 1).
	Commission Regulation (EU) No 581/2010 of 1 July 2010 on the
	maximum periods for the downloading of relevant data from vehicle units
	and from driver cards (OJ EU L 168, 2.7.2010, p. 16).
	Regulation (EU) 2020/1054 of the European Parliament and of the
	Council of 15 July 2020 amending Regulation (EC) No 561/2006 as
	regards minimum requirements on maximum daily and weekly driving
	times, minimum breaks and daily and weekly rest periods and Regulation
	(EU) No 165/2014 as regards positioning by means of tachographs (OJ
	EU L 249, 31.7.2020, p. 1).
Tachograph	Regulation (EU) No 165/2014 of the European Parliament and of the
	Council of 4 February 2014 on tachographs in road transport, repealing
	Council Regulation (EEC) No 3821/85 on recording equipment in road
	transport and amending Regulation (EC) No 561/2006 of the European
	Parliament and of the Council on the harmonisation of certain social
	legislation relating to road transport (OJ EU L 60, 28.2.2014, p. 1).
	Commission Implementing Regulation (EU) 2016/68 of 21 January 2016
	on common procedures and specifications necessary for the
	interconnection of electronic registers of driver cards (OJ EU L 15,
	22.1.2016, p. 51).
	Commission Implementing Regulation (EU) 2016/799 of 18 March 2016
	implementing Regulation (EU) No 165/2014 of the European Parliament
	and of the Council laying down the requirements for the construction,
	testing, installation, operation and repair of tachographs and their
	components (OJ EU L 139, 26.5.2016, p. 1).
	Commission Implementing Regulation (EU) 2018/502 of 28 February
	2018 amending Implementing Regulation (EU) 2016/799 laying down
	the requirements for the construction, testing, installation, operation and

	repair of tachographs and their components (OJ EU L 85, 28.3.2018, p.
	1).
	Council Regulation (EEC) No 3821/85 on recording equipment in road
	transport (OJ EC L 370, 31.12.1985, p. 8).
	(See however Article 46 of Regulation (EU) No 165/2014).
	Regulation (EU) 2020/1054 of the European Parliament and of the
	Council of 15 July 2020 amending Regulation (EC) No 561/2006 as
	regards minimum requirements on maximum daily and weekly driving
	times, minimum breaks and daily and weekly rest periods and Regulation
	(EU) No 165/2014 as regards positioning by means of tachographs (OJ L
	249, 31.7.2020, p. 1).
Enforcement of	Directive 2006/22/EC of the European Parliament and of the Council of
social legislation	15 March 2006 on minimum conditions for the implementation of
	Regulations (EC) No 561/2006 and (EU) No 165/2014 and Directive
	2002/15/EC as regards social legislation relating to road transport
	activities, and repealing Council Directive 88/599/EEC (OJ EU L 102,
	11.4.2006, p. 35), as amended by:
	Directive (ELD 2020/1057 of the European Derliement and of the Council
	Directive (EU) 2020/1057 of the European Parliament and of the Council of 15 July 2020 laying down specific rules with respect to Directive
	96/71/EC and Directive 2014/67/EU for posting drivers in the road
	transport sector and amending Directive 2006/22/EC as regards
	enforcement requirements and Regulation (EU) No 1024/2012 (OJ EU L
	249, 31.7.2020, p. 49).
	,, <b>L</b> ).
	(See however Article 9 of Directive (EU) 2020/1057 on transposition)

Form of attestation of activities Working time	Commission Decision 2007/230/EC of 12 April 2007 on a form concerning social legislation relating to road transport activities (OJ EU L 99, 14.4.2007, p. 14). Directive 2002/15/EC of the European Parliament and of the Council of 11 March 2002 on the organisation of the working time of persons performing mobile road transport activities (OJ EC L 80, 23.3.2002, p. 35).
Transportable pressure equipment	Directive 2010/35/EU of the European Parliament and of the Council of 16 June 2010 on transportable pressure equipment and repealing Council Directives 76/767/EEC, 84/525/EEC, 84/526/EEC, 84/527/EEC and 1999/36/EC (OJ EU L 165, 30.6.2010, p. 1).
Roadworthiness	Directive 2014/45/EU of the European Parliament and of the Council of 3 April 2014 on periodic roadworthiness tests for motor vehicles and their trailers and repealing Directive 2009/40/EC (OJ EU L 127, 29.4.2014, p. 51).
Roadside inspection	Directive 2014/47/EU of the European Parliament and of the Council of 3 April 2014 on the technical roadside inspection of the roadworthiness of commercial vehicles circulating in the Union and repealing Directive 2000/30/EC (OJ EU L 127, 29.4.2014, p. 134).
Speed limitation devices	Council Directive 92/6/EEC of 10 February 1992 on the installation and use of speed limitation devices for certain categories of motor vehicles in the Community (OJ EC L 57, 2.3.1992, p. 27).
Safety belts	Council Directive 91/671/EEC of 16 December 1991 relating to the compulsory use of safety belts and child-restraint systems in vehicles (OJ EC L 373, 31.12.1991, p. 26).
Mirrors	Directive 2007/38/EC of the European Parliament and of the Council of 11 July 2007 on the retrofitting of mirrors to heavy goods vehicles registered in the Community (OJ EU L 184, 14.7.2007, p. 25).

Registration	Council Directive 1999/37/EC of 29 April 1999 on the registration
documents	documents for vehicles (OJ EC L 138, 1.6.1999, p. 57).
	Council Directive 2006/103/EC of 20 November 2006 adapting certain Directives in the field of transport policy, by reason of the accession of Bulgaria and Romania (OJ EU L 363, 20.12.2006, p. 344).
Training of drivers	Directive 2003/59/EC of the Parliament and of the Council of 15 July 2003 on the initial qualification and periodic training of drivers of certain road vehicles for the carriage of goods or passengers, amending Council Regulation (EEC) No 3820/85 and Council Directive 91/439/EEC and repealing Council Directive 76/914/EEC (OJ EU L 226, 10.9.2003, p. 4).
Driving licence	Directive 2006/126/EC of the European Parliament and of the Council of 20 December 2006 on driving licences (OJ EU L 403, 30.12.2006, p. 18). Commission Regulation (EU) No 383/2012 of 4 May 2012 laying down technical requirements with regard to driving licences which include a storage medium (microchip) (OJ EU L 120, 5.5.2012, p. 1).
Cross-border exchange of information	Directive (EU) 2015/413 of the European Parliament and of the Council of 11 March 2015 facilitating cross-border exchange of information on road-safety-related traffic offences (OJ EU L 68, 13.3.2015, p. 9).
Inland transport of dangerous goods	Directive 2008/68/EC of the European Parliament and of the Council of 24 September 2008 on the inland transport of dangerous goods (OJ EU L 260, 30.9.2008, p. 13).
Checks on transport of dangerous goods	Council Directive 95/50/EC of 6 October 1995 on uniform procedures for checks on the transport of dangerous goods by road (OJ EC L 249, 17.10.1995, p. 35).
Tunnels	Directive 2004/54/EC of the European Parliament and of the Council of 29 April 2004 on minimum safety requirements for tunnels in the trans-European road network (OJ EU L 167, 30.4.2004, p. 39).
Road	Directive 2008/96/EC of the European Parliament and of the Council of

infrastructure safety management	19 November 2008 on road infrastructure safety management (OJ EU L 319, 29.11.2008, p. 59).
Dimensions and weight of vehicles	Council Directive 96/53/EC of 25 July 1996 laying down for certain road vehicles circulating within the Community the maximum authorized dimensions in national and international traffic and the maximum authorized weights in international traffic (OJ EC L 235, 17.9.1996, p. 59).
Passenger rights	Regulation (EU) No 181/2011 of the European Parliament and of the Council of 16 February 2011 concerning the rights of passengers in bus and coach transport and amending Regulation (EC) No 2006/2004 (OJ EU L 55, 28.2.2011, p. 1).
Clean vehicles and/or alternative fuels infrastructure	Directive 2009/33/EC of the European Parliament and of the Council of 23 April 2009 on the promotion of clean and energy-efficient road transport vehicles (OJ EU L 120, 15.5.2009, p. 5). Directive 2014/94/EU of the European Parliament and of the Council of 22 October 2014 on the deployment of alternative fuels infrastructure (OJ EU L 307, 28.10.2014, p. 1).
Intelligent Transport Systems	Directive 2010/40/EU of the European Parliament and of the Council of 7 July 2010 on the framework for the deployment of Intelligent Transport Systems in the field of road transport and for interfaces with other modes of transport (OJ EU L 207, 6.8.2010, p. 1). Commission Implementing Decision 2011/453/EU of 13 July 2011 adopting guidelines for reporting by the Member States under Directive 2010/40/EU of the European Parliament and of the Council (OJ EU L 193, 23.7.2011, p. 48). Commission Implementing Decision (EU) 2016/209 of 12 February 2016 on a standardisation request to the European standardisation organisations as regards Intelligent Transport Systems (ITS) in urban areas in support

of Directive 2010/40/EU of the European Parliament and of the Council
on the framework for the deployment of Intelligent Transport Systems in
the field of road transport and for interfaces with other modes of transport
(OJ EU L 39, 16.2.2016, p. 48).

Commission Delegated Regulation (EU) No 305/2013 of 26 November 2012 supplementing Directive 2010/40/EU of the European Parliament and of the Council with regard to the harmonised provision for an interoperable EU-wide eCall (OJ EU L 91, 3.4.2013, p. 1).

Commission Delegated Regulation (EU) No 885/2013 of 15 May 2013 supplementing ITS Directive 2010/40/EU of the European Parliament and of the Council with regard to the provision of information services for safe and secure parking places for trucks and commercial vehicles (OJ EU L 247, 18.9.2013, p. 1).

Commission Delegated Regulation (EU) No 886/2013 of 15 May 2013 supplementing Directive 2010/40/EU of the European Parliament and of the Council with regard to data and procedures for the provision, where possible, of road safety-related minimum universal traffic information free of charge to users (OJ EU L 247, 18.9.2013, p. 6).

Commission Delegated Regulation (EU) 2015/962 of 18 December 2014 supplementing Directive 2010/40/EU of the European Parliament and of the Council with regard to the provision of EU-wide real-time traffic information services (OJ EU L 157, 23.6.2015, p. 21).

Decision No 585/2014/EU of the European Parliament and of the Council of 15 May 2014 on the deployment of the interoperable EU-wide eCall service (OJ EU L 164, 3.6.2014, p. 6).

Road toll	Directive 2004/52/EC of the European Parliament and of the Council of
systems	29 April 2004 on the interoperability of electronic road toll systems in the
5,500	Community (OJ EU L 166, 30.4.2004, p. 124).
	Directive (EU) 2019/520 of the European Parliament and of the Council of 19 March 2019 on the interoperability of electronic road toll systems and facilitating cross-border exchange of information on the failure to pay road fees in the Union (OJ L 91, 29.3.2019, p. 45).Commission Decision
	2009/750/EC of 6 October 2009 on the definition of the European
	Electronic Toll Service and its technical elements (OJ EU L 268,
	13.10.2009, p. 11).
Type approval	Regulation (EU) 2018/858 of the European Parliament and of the Council of 30 May 2018 on the approval and market surveillance of motor vehicles and their trailers, and of systems, components and separate technical units intended for such vehicles, amending Regulations (EC) No 715/2007 and (EC) No 595/2009 and repealing Directive 2007/46/EC (OJ EU L 151, 14.6.2018, p. 1). Regulation (EU) No 167/2013 of the European Parliament and of the Council of 5 February 2013 on the approval and market surveillance of agricultural and forestry vehicles (OJ L 60, 2.3.2013, p. 1). Regulation (EU) No 168/2013 of the European Parliament and of the Council of 15 January 2013 on the approval and market surveillance of two- or three-wheel vehicles and quadricycles (OJ L 60, 2.3.2013, p. 52).
Electronic freight	Regulation (EU) 2020/1056 of the European Parliament and of the
transport	Council of 15 July 2020 on electronic freight transport information
information	information (OJ EU L 249, 31.7.2020, p. 33) (to the extent relevant for acts falling within the scope of this Annex). <sup>2</sup>

<sup>&</sup>lt;sup>2</sup> On scope, see Article 2 of Regulation (EU) 2020/1056. Directive 92/106/EEC and Regulation (EC) No 1072/2009 are not listed in this annex. The extent to which Regulation (EU) 2020/1056 covers aspects linked to those acts it is not relevant.

### RULES APPLICABLE TO MARITIME TRANSPORT

The 'Applicable provisions' of the following European Union acts shall be applicable in accordance with the Main Treaty and Annex II on horizontal adaptations unless otherwise specified in this Annex or in Protocols I to VI. Where necessary, specific adaptations for each individual act are set out hereinafter.

Regulatory area	Legislation
Maritime policy	Regulation (EU) No 1255/2011 of the European Parliament and of the Council of 30 November 2011 establishing a Programme to support the further development of an Integrated Maritime Policy (OJ EU L 132 5.12.2011, p. 1).
Access to the market	Council Regulation (EEC) No 3577/92 of 7 December 1992 applying the principle of freedom to provide services to maritime transport within Member States (maritime cabotage) (OJ EC L 364, 12.12.1992, p. 7). Council Regulation (EEC) No 4055/86 of 22 December 1986 applying the principle of freedom to provide services to maritime transport between Member States and between Member States and third countries (OJ EC L 378, 31.12.1986, p. 1). Regulation (EC) No 789/2004 of the European Parliament and of the Council of 21 April 2004 on the transfer of cargo and passenger ships between registers within the Community and repealing Council Regulation (EEC) No 613/91 (OJ EU L 138, 30.4.2004, p. 19). Council Regulation (EEC) No 4058/86 of 22 December 1986 concerning coordinated action to safeguard free access to cargoes in ocean trades (OJ EC L 378, 31.12.1986, p. 21).
International relations	Council Regulation (EEC) No 4057/86 of 22 December 1986 on unfair pricing practices in maritime transport (OJ EC L 378, 31.12.1986, p. 14).

International agreements	Council Decision 2012/22/EU of 12 December 2011 concerning the accession of the European Union to the Protocol of 2002 to the Athens Convention relating to the Carriage of Passengers and their Luggage by Sea, 1974, with the exception of Articles 10 and 11 thereof (OJ EU L 8, 12.1.2012, p. 1). Council Decision 2012/23/EU of 12 December 2011 concerning the accession of the European Union to the Protocol of 2002 to the Athens Convention relating to the Carriage of Passengers and their Luggage by Sea, 1974, as regards Articles 10 and 11 thereof (OJ EU L 8, 12.1.2012, p. 13).
Ship inspection	Directive 2009/15/EC of the European Parliament and of the Council of
and survey	23 April 2009 on common rules and standards for ship inspection and
organisations -	survey organisations and for the relevant activities of maritime
recognised	administrations (OJ EU L 131, 28.5.2009, p. 47).
organisations	Commission Decision 2009/491/EC of 16 June 2009 on criteria to be
	followed in order to decide when the performance of an organisation
	acting on behalf of a flag State can be considered an unacceptable threat
	to safety and the environment (OJ EU L 162, 25.6.2009, p. 6).
	Regulation (EC) No 391/2009 of the European Parliament and of the Council of 23 April 2009 on common rules and standards for ship
	inspection and survey organisations (OJ EU L 131, 28.5.2009, p. 11).
	Commission Regulation (EU) No 788/2014 of 18 July 2014 laying down
	detailed rules for the imposition of fines and periodic penalty payments
	and the withdrawal of recognition of ship inspection and survey
	organisations pursuant to Articles 6 and 7 of Regulation (EC)
	No 391/2009 of the European Parliament and of the Council (OJ EU
	L 214, 19.7.2014, p. 12).
Flag State	Directive 2009/21/EC of the European Parliament and of the Council of
	23 April 2009 on compliance with flag State requirements (OJ EU L 131,

	28.5.2009, p. 132).
Port State control	Directive 2009/16/EC of the European Parliament and of the Council of 23 April 2009 on port State control (OJ EU L 131, 28.5.2009, p. 57).
Vessel traffic monitoring	Directive 2002/59/EC of the European Parliament and of the Council of 27 June 2002 establishing a Community vessel traffic monitoring and information system and repealing Council Directive 93/75/EEC (OJ EC L 208, 5.8.2002, p. 10).
International Safety Management Code	Regulation (EC) 336/2006 of the European Parliament and of the Council of 15 February 2006 on the implementation of the International Safety Management Code within the Community and repealing Council Regulation (EC) No 3051/95 (OJ EU L 64, 4.3.2006, p. 1).
Reporting formalities	Directive 2010/65/EU of the European Parliament and of the Council of 20 October 2010 on reporting formalities for ships arriving in and/or departing from ports of the Member States and repealing Directive 2002/6/EC (OJ EU L 283, 29.10.2010, p. 1).
Marine equipment	Directive 2014/90/EU of the European Parliament and of the Council of 23 July 2014 on marine equipment and repealing Council Directive 96/98/EC (OJ EU L 257, 28.8.2014, p. 146).
Passenger ships	Directive 2003/25/EC of the European Parliament and of the Council of 14 April 2003 on specific stability requirements for ro-ro passenger ships (OJ EU L 123, 17.5.2003, p. 22).
	Regulation (EC) No 392/2009 of the European Parliament and of the Council of 23 April 2009 on the liability of carriers of passengers by sea in the event of accidents (OJ EU L 131, 28.5.2009, p. 24).
	Council Directive 98/41/EC of 18 June 1998 on the registration of persons sailing on board passenger ships operating to or from ports of the Member States of the Community (OJ EC L 188, 2.7.1998, p. 35).
	Directive 2009/45/EC of the European Parliament and of the Council of

	6 May 2009 on safety rules and standards for passenger ships (OJ EU L 163, 5.6.2009, p. 1). Council Directive 1999/35/EC of 29 April 1999 on a system of mandatory surveys for the safe operation of regular ro-ro ferry and high- speed passenger craft services (OJ EC L 138, 1.6.1999, p. 1).
Safety of fishing vessels	Council Directive 97/70/EC of 11 December 1997 setting up a harmonised safety regime for fishing vessels of 24 metres in length and over (OJ EC L 34, 9.2.1998, p. 1).
Oil tankers	Regulation (EU) No 530/2012 of the European Parliament and of the Council of 13 June 2012 on the accelerated phasing-in of double-hull or equivalent design requirements for single-hull oil tankers (OJ EU L 172, 30.6.2012, p. 3).
Bulk carriers	Directive 2001/96/EC of the European Parliament and of the Council of 4 December 2001 establishing harmonised requirements and procedures for the safe loading and unloading of bulk carriers (OJ EC L 13, 16.1.2002, p. 9).
Accident investigation	Directive 2009/18/EC of the European Parliament and of the Council of 23 April 2009 establishing the fundamental principles governing the investigation of accidents in the maritime transport sector and amending Council Directive 1999/35/EC and Directive 2002/59/EC of the European Parliament and of the Council (OJ EU L 131, 28.5.2009, p. 114). Commission Implementing Regulation (EU) No 651/2011 of 5 July 2011 adopting the rules of procedure of the permanent cooperation framework established by Member States in cooperation with the Commission

	<ul> <li>pursuant to Article 10 of Directive 2009/18/EC of the European</li> <li>Parliament and of the Council (OJ EU L 177, 6.7.2011, p. 18).</li> <li>Commission Regulation (EU) No 1286/2011 of 9 December 2011</li> <li>adopting a common methodology for investigating marine casualties and</li> <li>incidents developed pursuant to Article 5(4) of Directive 2009/18/EC of</li> <li>the European Parliament and of the Council (OJ EU L 328, 10.12.2011, p. 36).</li> </ul>
Insurance	Directive 2009/20/EC of the European Parliament and of the Council of 23 April 2009 on the insurance of shipowners for maritime claims (OJ EU L 131, 28.5.2009, p. 128).
Ship-source pollution	Directive 2005/35/EC of the European Parliament and of the Council of 7 September 2005 on ship-source pollution and on the introduction of penalties, including criminal penalties, for pollution offences (OJ EU L 255, 30.9.2005, p. 11).
Ship-generated waste	Directive (EU) 2019/883 of the European Parliament and of the Council of 17 April 2019 on port reception facilities for the delivery of waste from ships, amending Directive 2010/65/EU and repealing Directive 2000/59/EC (OJ L 151, 7.6.2019, p.116).
Organotin compounds	Regulation (EC) No 782/2003 of the European Parliament and of the Council of 14 April 2003 on the prohibition of organotin compounds on ships (OJ EU L 115, 9.5.2003, p. 1).
Maritime security	<ul> <li>Regulation (EC) No 725/2004 of the European Parliament and of the Council of 31 March 2004 on enhancing ship and port facility security (OJ EU L 129, 29.4.2004, p. 6).</li> <li>Directive 2005/65/EC of the European Parliament and of the Council of 26 October 2005 on enhancing port security (OJ EU L 310, 25.11.2005, p. 28).</li> </ul>
	Commission Regulation (EC) No 324/2008 of 9 April 2008 laying down revised procedures for conducting Commission inspections in the field of

	maritime security (OJ EU L 98, 10.4.2008, p. 5).
Training of	Directive 2008/106/EC of the European Parliament and of the Council of
seafarers	19 November 2008 on the minimum level of training of seafarers (OJ EU L 323, 3.12.2008, p. 33).
	Directive 2005/45/EC of the European Parliament and of the Council of 7 September 2005 on the mutual recognition of seafarers' certificates issued by the Member States (OJ EU L 255, 30.9.2005, p. 160).
Social aspects	Directive 2013/54/EU of the European Parliament and of the Council of 20 November 2013 concerning certain flag State responsibilities for compliance with and enforcement of the Maritime Labour Convention, 2006 (OJ EU L 329, 10.12.2013, p. 1).
	Council Directive 1999/63/EC of 21 June 1999 concerning the Agreement on the organisation of working time of seafarers concluded by the European Community Shipowners' Association (ECSA) and the Federation of Transport Workers' Unions in the European Union (FST) (OJ EC L 167, 2.7.1999, p. 33).
	Directive 1999/95/EC of the European Parliament and of the Council of 13 December 1999 concerning the enforcement of provisions in respect of seafarers' hours of work on board ships calling at Community ports (OJ EC L 14, 20.1.2000, p. 29).
	Council Directive 2009/13/EC of 16 February 2009 implementing the Agreement concluded by the European Community Shipowners' Associations (ECSA) and the European Transport Workers' Federation (ETF) on the Maritime Labour Convention, 2006, and amending Directive 1999/63/EC (OJ EU L 124, 20.5.2009, p. 30).
	Council Directive 92/29/EEC of 31 March 1992 on the minimum safety and health requirements for improved medical treatment on board vessels (OJ EC L 113, 30.4.1992, p. 19).

Passenger rights	Regulation (EU) No 1177/2010 of the European Parliament and of the Council of 24 November 2010 concerning the rights of passengers when travelling by sea and inland waterway and amending Regulation (EC) No 2006/2004 (OJ EU L 334, 17.12.2010, p. 1).
Transportable pressure equipment	Directive 2010/35/EU of the European Parliament and of the Council of 16 June 2010 on transportable pressure equipment and repealing Council Directives 76/767/EEC, 84/525/EEC, 84/526/EEC, 84/527/EEC and 1999/36/EC (OJ EU L 165, 30.6.2010, p. 1).
European Maritime Safety Agency	Regulation (EC) No 1406/2002 of the European Parliament and of the Council of 27 June 2002 establishing a European Maritime Safety Agency (OJ EC L 208, 5.8.2002, p. 1).
Committee on Safe Seas and the Prevention of Pollution from Ships	Regulation (EC) No 2099/2002 of the European Parliament and of the Council of 5 November 2002 establishing a Committee on Safe Seas and the Prevention of Pollution from Ships (COSS) and amending the Regulations on maritime safety and the prevention of pollution from ships (OJ EC L 324, 29.11.2002, p. 1).
Port Services	Regulation (EU) 2017/352 of the European Parliament and of the Council of 15 February 2017 establishing a framework for the provision of port services and common rules on the financial transparency of ports (Text with EEA relevance) (OJ EU L 57, 3.3.2017, p. 1).
Maritime Single Window	Regulation (EU) 2019/1239 of the European Parliament and of the Council of 20 June 2019 establishing a European Maritime Single Window environment and repealing Directive 2010/65/EU (OJ EU L 198, 25.7.2019, p. 64).
Environment	Directive (EU) 2016/802 of the European Parliament and of the Council of 11 May 2016 relating to a reduction in the sulphur content of certain liquid fuels (OJ EU L 132, 21.5.2016, p. 58).
	Commission Implementing Decision (EU) 2015/253 of 16 February 2015 laying down the rules concerning the sampling and reporting under

Council Directive 1999/32/EC as regards the sulphur content of marine
fuels (OJ EU L 41, 17.2.2015, p. 55)

#### RULES APLICABLE TO INLAND WATERWAY TRANSPORT

The 'Applicable provisions' of the following European Union acts shall be applicable in accordance with the Main Treaty and Annex II on horizontal adaptations unless otherwise specified in this Annex or in Protocols I to VI. Where necessary, specific adaptations for each individual act are set out hereinafter.

Regulatory area	Legislation
Access to the market	Council Regulation (EC) No 1356/96 of 8 July 1996 on common rules applicable to the transport of goods or passengers by inland waterway between Member States with a view to establishing freedom to provide such transport services (OJ EC L 175, 13.7.1996, p. 7). Council Regulation (EEC) No 3921/91 of 16 December 1991 laying down the conditions under which non-resident carriers may transport goods or passengers by inland waterway within a Member State (OJ EC L 373, 31.12.1991, p. 1).
	Council Regulation (EC) No 718/99 of 29 March 1999 on a Community fleet capacity policy to promote inland waterway transport (OJ EC L 90, 2.4.1999, p. 1). Council Directive (EC) No 96/75 of 19 November 1996 on the systems of chartering and pricing in national and international inland waterway transport in the Community (OJ EC L 304, 27.11.1996, p. 12). Council Regulation (EEC) No 2919/85 of 17 October 1985 laying down the conditions for access to the arrangements under the Revised

	Convention for the navigation of the Rhine relating to vessels belonging to the Rhine Navigation (OJ EC L 280, 22.10.1985, p. 4).
Access to the profession	Council Directive No 87/540/EEC of 9 November 1987 on access to the occupation of carrier of goods by waterway in national and international transport and on the mutual recognition of diplomas, certificates and other evidence of formal qualifications for this occupation (OJ EC L 322, 12.11.1987, p. 20).
	Directive (EU) 2017/2397 of the European Parliament and of the Council of 12 December 2017 on the recognition of professional qualifications in inland navigation and repealing Council Directives 91/672/EEC and 96/50/EC (Text with EEA relevance) (OJ EU L 345, 27.12.2017, p. 53).
	Commission Delegated Directive (EU) 2020/12 of 2 August 2019 supplementing Directive (EU) 2017/2397 as regards the standards for competences and corresponding knowledge and skills, for the practical examinations, for the approval of simulators and for medical fitness (OJ EU L 6,10.1.2020, p.15).
	Commission Delegated Regulation (EU) 2020/473 of 20 January 2020 supplementing Directive (EU) 2017/2397 of the European Parliament and of the Council with regard to the standards for databases for the Union certificates of qualification, service record books and logbooks (OJ EU L 100, 1.4.2020, p. 1).
	Commission Implementing Regulation (EU) 2020/182 of 14 January 2020 on models in the field of professional qualifications in inland navigation (OJ EU L 38, 11.2.2020, p.1).
Boatmasters' certificates	Council Directive 91/672/EEC of 16 December 1991 on the reciprocal recognition of national boatmasters' certificates for the carriage of goods and passengers by inland waterways (OJ EC L 373, 31.12.1991, p. 29).
	Council Directive (EC) 96/50 of 23 July 1996 on the harmonisation of the conditions for obtaining national boatmasters' certificates for the carriage

	of goods and passengers by inland waterway in the Community (OJ EC L 235, 17.9.1996, p. 31).
Safety / technical requirements	Directive 2009/100/EC of the European Parliament and of the Council of 16 September 2009 on reciprocal recognition of navigability licences for inland waterway vessels (OJ EU L 259, 2.10.2009, p. 8).
	Directive (EU) 2016/1629 of the European Parliament and of the Council of 14 September 2016 laying down technical requirements for inland waterway vessels, amending Directive 2009/100/EC and repealing Directive 2006/87/EC (OJ EU L 252, 16.9.2016, p. 118).
	Commission Delegated Directive (EU) 2018/970 of 18 April 2018 amending Annexes II, III and V to Directive (EU) 2016/1629 of the European Parliament and of the Council laying down technical requirements for inland waterway vessels (OJ EU L 174, 10.7.2018, p. 15).
	Commission Delegated Regulation (EU) 2019/1668 of 26 June 2019 amending Directive (EU) 2016/1629 of the European Parliament and of the Council laying down technical requirements for inland waterway vessels (OJ EU L 256, 7.10.2019, p. 1).
	Commission Delegated Regulation (EU) 2020/474 of 20 January 2020 on the European Hull Data Base (OJ EU L 100, 1.4.2020, p. 12).
	Commission Implementing Decision (EU) 2020/1122 of 28 July 2020 on the recognition of DNV GL AS as a classification society for inland waterway vessels in accordance with Directive (EU) 2016/1629 of the European Parliament and of the Council (OJ EU L 245, 30.7.2020, p. 15).
	Directive 2010/35/EU of the European Parliament and of the Council of 16 June 2010 on transportable pressure equipment and repealing Council Directives 76/767/EEC, 84/525/EEC, 84/526/EEC, 84/527/EEC and 1999/36/EC (OJ L 165, 30.6.2010, p. 1).
Inland transport of dangerous	Directive 2008/68/EC of the European Parliament and of the Council of 24 September 2008 on the inland transport of dangerous goods (OJ EU L 260,

goods	30.9.2008, p. 13).
River information services	Directive 2005/44/EC of the European Parliament and of the Council of 7 September 2005 on harmonised river information services (RIS) on inland waterways in the Community (OJ EU L 255, 30.9.2005, p. 152).
	Commission Implementing Regulation (EU) No 909/2013 of 10 September 2013 on the technical specifications for the electronic chart display and information system for inland navigation (Inland ECDIS) referred to in Directive 2005/44/EC of the European Parliament and of the Council (OJ EU L 258, 28.9.2013, p. 1).
	Commission Regulation (EC) No 416/2007 of 22 March 2007 concerning the technical specifications for Notices to Skippers as referred to in Article 5 of Directive 2005/44/EC of the European Parliament and of the Council on harmonised river information services (RIS) on inland waterways in the Community (OJ EU L 105, 23.4.2007, p. 88).
	Commission Regulation (EC) No 414/2007 of 13 March 2007 concerning the technical guidelines for the planning, implementation and operational use of river information services (RIS) referred to in Article 5 of Directive 2005/44/EC of the European Parliament and of the Council on harmonised river information services (RIS) on inland waterways in the Community (OJ EU L 105, 23.4.2007, p. 1).
	Commission Implementing Regulation (EU) 2018/2032 of 20 November 2018 amending Commission Regulation (EC) No 416/2007 concerning the technical specifications for Notices to Skippers (OJ EU L 332, 28.12.2018, p. 1).
	Commission Implementing Regulation (EU) 2018/1973 of 7 December 2018 amending Implementing Regulation (EU) No 909/2013 on the technical specifications for the electronic chart display and information system for inland navigation (Inland ECDIS) referred to in Directive 2005/44/EC of the European Parliament and of the Council (OJ EU L 324, 19.12.2018, p. 1).

	Commission Implementing Regulation (EU) 2019/838 of 20 February
	2019 on technical specifications for vessel tracking and tracing systems and repealing Regulation (EC) No 415/2007 (OJ EU L 138, 24.5.2019, p. 31).
	Commission Implementing Regulation (EU) 2019/1744 of 17 September 2019 on technical specifications for electronic ship reporting in inland navigation and repealing Regulation (EU) No 164/2010 (OJ EU L 273, 25.10.2019, p. 1).
Environment (air quality) and Climate change	Directive 2009/30/EC of the European Parliament and of the Council of 23 April 2009 amending Directive 98/70/EC as regards the specification of petrol, diesel and gas-oil and introducing a mechanism to monitor and reduce greenhouse gas emissions and amending Council Directive 1999/32/EC as regards the specifications of fuel used by inland waterway vessels and repealing Directive 93/12/EEC (OJ EU L 140, 5.6.2009, p. 88).

	Regulation (EU) 2016/1628 of the European Parliament and of the Council of 14 September 2016 on requirements relating to gaseous and particulate pollutant emission limits and type- approval for internal combustion engines for non-road mobile machinery, amending Regulations (EU) No 1024/2012 and (EU) No 167/2013, and amending and repealing Directive 97/68/EC (OJ EU L 252, 16.9.2016, p. 53).
Passenger rights	Regulation (EU) No 1177/2010 of the European Parliament and of the Council of 24 November 2010 concerning the rights of passengers when travelling by sea and inland waterway and amending Regulation (EC) No 2006/2004 (OJ EU L 334, 17.12.2010, p. 1).
Electronic freight transport information	Regulation (EU) 2020/1056 of the European Parliament and of the Council of 15 July 2020 on electronic freight transport information (OJ EU L 249, 31.7.2020, p. 33).
Working time	Council Directive 2014/112/EU of 19 December 2014 implementing the European Agreement concerning certain aspects of the organisation of working time in inland waterway transport, concluded by the European Barge Union (EBU), the European Skippers Organisation (ESO) and the European Transport Workers' Federation (ETF) (OJ L 367, 23.12.2014, p. 86).

## ANNEX I.6

## ENVIRONMENT RULES APPLICABLE TO THE TRANSPORT SECTOR

The 'Applicable provisions' of the following European Union acts shall be applicable in accordance with the Main Treaty and Annex II on horizontal adaptations unless otherwise specified in this Annex or in Protocols I to VI. Where necessary, specific adaptations for each individual act are set out hereinafter.

The following European Union acts refer to the latest version of such acts as last modified.

Regulatory area	Legislation	
7691/21	II /nn	27

Regulatory area	Legislation	
Assessment of effects	Directive 2011/92/EU of the European Parliament and of the Council of 13 December 2011 on the assessment of the effects of certain public and private projects on the environment, as amended by Directive 2014/52/EU.	
	(OJ EU L 26, 28.1.2012, p. 1) and the Convention on Environmental Impact Assessment in a	
	Transboundary Context of 1991 (Espoo Convention).	
	All projects listed in Annex I of the EIA Directive falling under the scope of the Treaty shall be subject to an environmental impact assessment in line with EU EIA provisions. All projects listed in Annex II of the EIA Directive falling under the scope of the Treaty shall be subject to a determination whether these should be made subject to an environmental impact assessment in line with EU EIA provisions. In addition, transboundary aspects should be addressed in line with the requirements of the ESPOO Convention.	
	Directive 2001/42/EC of the European Parliament and of the Council of 27 June 2001 on the assessment of the effects of certain plans and programmes on the environment	
	(OJ EC L 197, 21.7.2001, p. 30)	
	and the Protocol on strategic Environmental Assessment to the Espoo Convention (SEA protocol).	
	All plans and programmes in the field of Transport shall, where applicable, be subject to an environmental assessment in line with the provisions of the SEA Directive and the SEA Protocol to the Espoo Convention. If the implementation of a plan or programme is likely to have significant transboundary environmental effects, or where a Party likely to be significantly affected so requests, transboundary consultation should take place as per the provisions of the SEA Protocol (Article 10) and/or the SEA Directive (Article 7).	

Regulatory area	Legislation
Conservation	Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora (OJ EC L 206, 22.7.1992, p. 7).
	If a project is likely to affect sites of nature conservation importance, an appropriate nature conservation assessment shall be made, equivalent to that provided for in Article 6 of Directive 92/43/EEC.
	Council Directive 79/409/EEC of 2 April 1979 on the conservation of wild birds OJ L 103, 25.4.1979, p. 1–18, amended by Directive 2009/147/EC of the European Parliament and of the Council of 30 November 2009 on the conservation of wild birds, (OJ EU L 20, 26.1.2010, p. 7).
Fuels, air quality and climate change	Directive 98/70/EC of the European Parliament and of the Council of 13 October 1998 relating to the quality of petrol and diesel fuels and amending Council Directive 93/12/EEC (OJ EC L 350, 28.12.1998, p. 58).
	Directive (EU) 2016/802 of the European Parliament and of the Council of 11 May 2016 relating to a reduction in the sulphur content of certain liquid fuels (OJ EU L 132, 21.5.2016, p. 58).
Water policy	Directive 2000/60/EC of the European Parliament and of the Council of 23 October 2000 establishing a framework for Community action in the field of water policy (OJ EC L 327, 22.12.2000, p. 1).
	All transport projects on navigation falling under the scope of this Treaty should be developed and implemented in line with Article 4(7) of Directive 2000/60/EC.
	All transport projects on navigation falling under the scope of this Treaty should, where applicable, be carried out in line with the Joint Statement on Inland Navigation and Environmental Sustainability in the Danube River Basin as endorsed by the International Commission for the Protection of the Danube river (ICPDR), Danube Commission and Sava Commission.

Regulatory area	Legislation
Noise	Directive 2002/49/EC of the European Parliament and of the Council of
	25 June 2002 relating to the assessment and management of
	environmental noise - Declaration by the Commission in the Conciliation
	Committee on the Directive relating to the assessment and management of
	environmental noise, (OJ EC L 189, 18.7.2002, p. 12)

## ANNEX I.7

## PUBLIC PROCUREMENT RULES APPLICABLE TO TRANSPORT SECTOR

The 'Applicable provisions' of the following European Union acts shall be applicable in accordance with the Main Treaty and Annex II on horizontal adaptations unless otherwise specified in this Annex or in Protocols I to VI. Where necessary, specific adaptations for each individual act are set out hereinafter.

The following European Union acts refer to the latest version of such acts a	as last modified.
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Regulatory area	Legislation
Review procedures	Council Directive 89/665/EEC of 21 December 1989 on the coordination of the laws, regulations and administrative provisions relating to the application of review procedures to the award of public supply and public works contracts (OJ EC L 395, 30.12.1989, p. 33). Council Directive 92/13/EEC of 25 February 1992 coordinating the laws, regulations and administrative provisions relating to the application of Community rules on the procurement procedures of entities operating in the water, energy, transport and telecommunications sectors (OJ EC L 76, 23.3.1992, p. 14).
Procurement procedures	Directive 2014/23/EU of the European Parliament and of the Council of 26 February 2014 on the award of concession contracts (OJ EU L 94, 28.3.2014, p. 1).

	Directive 2014/24/EU of the European Parliament and of the Council of 26 February 2014 on public procurement and repealing Directive 2004/18/EC (OJ EU L 94, 28.3.2014, p. 65).
	Directive 2014/25/EU of the European Parliament and of the Council of 26 February 2014 on procurement by entities operating in the water, energy, transport and postal services sectors and repealing Directive 2004/17/EC (OJ EU L 94, 28.3.2014, p. 243).
	Commission Implementing Regulation (EU) 2015/1986 of 11 November 2015 establishing standard forms for the publication of notices in the field of public procurement and repealing Implementing Regulation (EU) No 842/2011 (OJ EU L 296, 12.11.2015, p. 1).
Public services	Regulation (EC) No 1370/2007 of the European Parliament and of the Council of 23 October 2007 on public passenger transport services by rail and by road and repealing Council Regulations (EEC) Nos 1191/69 and 1107/70 (OJ EU L 315, 3.12.2007, p. 1).
	Regulation (EU) 2016/2338 of the European Parliament and of the Council of 14 December 2016 amending Regulation (EC) No 1370/2007 concerning the opening of the market for domestic passenger transport services by rail (OJ EU L 354, 23.12.2016, p. 22).

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#### NOTE TO THE FILE

# <u>N.B.</u> No amendment has been made to the maps of the indicative TEN-T extension to the Western Balkans

#### List of changes to Annex I to the Treaty establishing the Transport Community

#### ANNEX I.1 - RULES APPLICABLE TO TRANSPORT INFRASTRUCTURE

Legal act of the EU	Comment
Directive 2014/94/EU of the European Parliament	Moved from Annex I.3 to Annex I.1, as
and of the Council of 22 October 2014 on the	it deals with marine fuels and not only
deployment of alternative fuels infrastructure (OJ	road transport related fuels.
EU L 307, 28.10.2014, p. 1).	

#### ANNEX I.2 - RULES APPLICABLE TO RAIL TRANSPORT

Legal act of the EU	Comment
Directive (EU) 2016/2370 of the European	New legal act added to Annex I.2;
Parliament and of the Council of 14 December 2016	amending Directive 2012/34/EU.
amending Directive 2012/34/EU as regards the	
opening of the market for domestic passenger	
transport services by rail and the governance of the	
railway infrastructure (OJ L 352, 23.12.2016, p. 1).	
Commission Delegated Decision (EU) 2017/2075 of	New legal act added to Annex I.2;
4 September 2017 replacing Annex VII to Directive	replacing Annex VII to Directive

2012/34/EU of the European Parliament and of the	2012/34/EU of the European
1	Parliament and of the Council.
(C/2017/5963) (OJ L 295, 14.11.2017, p. 69–73).	
	New legal act added to Annex I.2.
2015/429 of 13 March 2015 setting out the	
modalities to be followed for the application of the charging for the cost of noise effects (OJ L 70,	
14.3.2015, p. 36).	
	Now local act added to Annoy I.2
Commission Implementing Regulation (EU) 2017/2177 of 22 November 2017 on access to	New legal act added to Annex I.2.
service facilities and rail-related services	
(C/2017/7692) (OJ L 307, 23.11.2017, p. 1).	
Commission Implementing Regulation (EU)	New legal act added to Annex I.2.
2018/1795 of 20 November 2018 laying down	C
procedure and criteria for the application of the	
economic equilibrium test pursuant to Article 11 of	
Directive 2012/34/EU of the European Parliament	
and of the Council (C/2018/7569) (OJ L 294,	
21.11.2018, p. 5).	
Commission Implementing Decision (EU) 2018/500	New legal act added to Annex I.2.
of 22 March 2018 on the compliance of the proposal	
to establish the Alpine-Western Balkan rail freight	
corridor with Article 5 of Regulation (EU) No 913/2010 of the European Parliament and of the	
Council (notified under document C(2018) 1625)	
(OJ L 82, 26.3.2018, p. 13).	
	Now logal act added to Arrest I.2
Commission Implementing Decision (EU) 2018/491 of 21 March 2018 on the compliance of the joint	New legal act added to Annex I.2.
proposal submitted by the Member States concerned	
for the extension of the North Sea Mediterranean rail	
freight corridor with Article 5 of Regulation (EU)	

No 913/2010 of the European Parliament and of the Council (notified under document C(2018) 1634) (OJ L 81, 23.3.2018, p. 23).	
Commission Implementing Decision (EU) 2018/300 of 11 January 2018 on the compliance of the joint proposal submitted by the Member States concerned for the extension of the Atlantic rail freight corridor with Article 5 of Regulation (EU) No 913/2010 of the European Parliament and of the Council (notified under document C(2018) 51) (OJ L 56, 28.2.2018, p. 60).	New legal act added to Annex I.2.
Commission Implementing Decision (EU) 2017/178 of 31 January 2017 amending Implementing Decision (EU) 2015/1111 on the compliance of the joint proposal of the Member States concerned to extend the North Sea-Baltic rail freight corridor with Article 5 of Regulation (EU) No 913/2010 of the European Parliament and of the Council concerning a European rail network for competitive freight (notified under document C(2017) 142) (OJ L 28, 2.2.2017, p. 71).	New legal act added to Annex I.2; amending Implementing Decision (EU) 2015/1111.
Commission Implementing Decision (EU) 2017/177 of 31 January 2017 on the compliance with Article 5 of Regulation (EU) No 913/2010 of the European Parliament and of the Council of the joint proposal to establish the 'Amber' rail freight corridor (notified under document C(2017) 141) (OJ L 28, 2.2.2017, p. 69).	New legal act added to Annex I.2.
Commission Implementing Decision (EU) 2015/1111 of 7 July 2015 on the compliance of the joint proposal submitted by the Member States	New legal act added to Annex I.2.

concerned for the extension of the North Sea-Baltic rail freight corridor with Article 5 of Regulation	
(EU) No 913/2010 of the European Parliament and of the Council concerning a European rail network	
for competitive freight (notified under document	
C(2015) 4507) (OJ L 181, 9.7.2015, p. 82).	
Commission Regulation (EU) 2019/554 of 5 April	New legal act added to Annex I.2;
2019 amending Annex VI to Directive 2007/59/EC	amending Annex VI to Directive
of the European Parliament and of the Council on	2007/59/EC of the European
the certification of train drivers operating	Parliament and of the Council.
locomotives and trains on the railway system in the	
Community (OJ L 97, 8.4.2019, p. 1).	
Commission Implementing Regulation (EU)	New legal act added to Annex I.2.
2018/545 of 4 April 2018 establishing practical	
arrangements for the railway vehicle authorisation	
and railway vehicle type authorisation process	
pursuant to Directive (EU) 2016/797 of the	
European Parliament and of the Council (OJ L 90,	
6.4.2018, p. 66).	
Directive 2008/57/EC of the European Parliament	This legal act has been repealed.
and of the Council of 17 June 2008 on the	
interoperability of the rail system within the	
Community (OJ L 191, 18.7.2008, p. 1).	
Commission Delegated Decision (EU) 2017/1474 of	New legal act added to Annex I.2;
8 June 2017 supplementing Directive (EU) 2016/797	supplementing Directive (EU)
of the European Parliament and of the Council with	2016/797.
regard to specific objectives for the drafting,	
adoption and review of technical specifications for	
interoperability (OJ L 210, 15.8.2017, p. 5).	

Commission Implementing Decision (EU) 2018/1614 of 25 October 2018 laying down	New legal act added to Annex I.2.
specifications for the vehicle registers referred to in Article 47 of Directive (EU) 2016/797 of the	
European Parliament and of the Council and	
amending and repealing Commission Decision 2007/756/EC (OJ L 268, 26.10.2018, p. 53).	
Commission Implementing Regulation (EU) 2019/772 of 16 May 2019 amending Regulation	New legal act added to Annex I.2; amending Regulation (EU) No
(EU) No 1300/2014 as regards inventory of assets with a view to identifying barriers to accessibility, providing information to users and monitoring and evaluating progress on accessibility (OJ L 139I, 27.5.2019, p. 1).	1300/2014.
Commission Implementing Regulation (EU) 2018/868 of 13 June 2018 amending Regulation (EU) No 1301/2014 and Regulation (EU) No 1302/2014 as regards provisions on energy measuring system and data collecting system (OJ L 149, 14.6.2018, p. 16).	New legal act added to Annex I.2; amending Regulation (EU) No 1301/2014 and Regulation (EU) No 1302/2014.
Commission Implementing Regulation (EU) 2019/774 of 16 May 2019 amending Regulation (EU) No 1304/2014 as regards application of the technical specification for interoperability relating to the subsystem 'rolling stock — noise' to the existing freight wagons (OJ L 139I, 27.5.2019, p. 89).	New legal act added to Annex I.2; amending Regulation (EU) No 1304/2014.
Commission Implementing Regulation (EU) 2018/278 of 23 February 2018 amending the Annex to Regulation (EU) No 1305/2014 as regards the structure of the messages, data and message model, Wagon and Intermodal Unit Operating Database,	New legal act added to Annex I.2; amending the Annex to Regulation (EU) No 1305/2014.

and to adopt an IT standard for the communication layer of the Common Interface (OJ L 54, 24.2.2018, p. 11).	
Commission Implementing Regulation (EU) 2019/778 of 16 May 2019 amending Regulation (EU) No 1305/2014 as regards Change Control Management (OJ L 139I, 27.5.2019, p. 356–359).	New legal act added to Annex I.2; amending Regulation (EU) No 1305/2014.
Commission Implementing Decision 2014/880/EU of 26 November 2014 on the common specifications of the register of railway infrastructure and repealing Implementing Decision 2011/633/EU (OJ EU L 356, 12.12.2014, p. 489).	This legal act has been repealed.
Commission Implementing Regulation (EU) 2019/777 of 16 May 2019 on the common specifications for the register of railway infrastructure and repealing Implementing Decision 2014/880/EU (OJ L 139I, 27.5.2019, p. 312).	New legal act added to Annex I.2.
Commission Implementing Regulation (EU) 2019/773 of 16 May 2019 on the technical specification for interoperability relating to the operation and traffic management subsystem of the rail system within the European Union and repealing Decision 2012/757/EU (OJ L 139I, 27.5.2019, p. 5).	New legal act added to Annex I.2.
Commission Decision 2011/229/EU of 4 April 2011 concerning the technical specifications of interoperability relating to the subsystem 'rolling stock – noise' of the trans-European conventional rail system (OJ EU L 99, 13.4.2011, p. 1).	This legal act has been repealed.

Commission Decision 2011/291/EU of 26 April	This legal act has been repealed.
2011 concerning a technical specification for	
interoperability relating to the rolling stock	
subsystem — 'Locomotives and passenger rolling	
stock' of the trans-European conventional rail	
system (OJ EU L 139, 26.5.2011, p. 1).	
Commission Decision 2011/314/EU of 12 May 2011	This legal act has been repealed.
concerning the technical specification for	This legal act has been repeated.
interoperability relating to the 'operation and traffic	
management' subsystem of the trans- European	
conventional rail system (OJ EU L 144, 31.5.2011,	
p. 1).	
Commission Regulation (EU) No 201/2011 of 1	This legal act has been repealed.
March 2011 on the model of declaration of	
conformity to an authorised type of railway vehicle	
(OJ EU L 57, 2.3.2011, p. 8).	
Commission Implementing Regulation (EU)	New legal act added to Annex I.2.
2019/250 of 12 February 2019 on the templates for	
'EC' declarations and certificates for railway	
interoperability constituents and subsystems, on the	
model of declaration of conformity to an authorised	
railway vehicle type and on the 'EC' verification	
procedures for subsystems in accordance with	
Directive (EU) 2016/797 of the European Parliament	
and of the Council and repealing Commission	
Regulation (EU) No 201/2011 (OJ L 42, 13.2.2019,	
p. 9).	
Commission Implementing Regulation (EU)	New legal act added to Annex I.2;
2019/776 of 16 May 2019 amending Commission	amending Commission Regulations
Regulations (EU) No 321/2013, (EU) No 1299/2014,	(EU) No 321/2013, (EU) No
(EU) No 1301/2014, (EU) No 1302/2014, (EU) No	
1303/2014 and (EU) 2016/919 and Commission	1299/2014, (EU) No 1301/2014, (EU)
	No 1302/2014, (EU) No 1303/2014 and

Implementing Decision 2011/665/EU as regards the	(EU) 2016/919 and Commission
alignment with Directive (EU) 2016/797 of the	Implementing Decision 2011/665/EU
European Parliament and of the Council and the	as regards the alignment with Directive
implementation of specific objectives set out in	(EU) 2016/797.
Commission Delegated Decision (EU) 2017/1474	
(OJ L 139I , 27.5.2019, p. 108).	
Commission Implementing Regulation (EU)	New legal act added to Annex I.2;
2020/387 of 9 March 2020 amending Regulations	amending Regulations (EU) No
(EU) No 321/2013, (EU) No 1302/2014 and (EU)	321/2013, (EU) No 1302/2014 and
2016/919 as regards the extension of the area of use	(EU) 2016/919.
and transition phases (OJ L 73, 10.3.2020, p. 6).	
Commission Implementing Regulation (EU)	New legal act added to Annex I.2.
2020/424 of 19 March 2020 on submitting	
information to the Commission as regards non-	
application of technical specifications for	
interoperability in accordance with Directive (EU)	
2016/797 (OJ L 84, 20.3.2020, p. 20).	
Commission Implementing Regulation (EU)	New legal act added to Annex I.2.
2018/867 of 13 June 2018 laying down the rules of	
procedure of the Board(s) of Appeal of the European	
Union Agency for Railways (OJ L 149, 14.6.2018,	
p. 3).	
Commission Implementing Regulation (EU)	New legal act added to Annex I.2.
2018/764 of 2 May 2018 on the fees and charges	
payable to the European Union Agency for Railways	
and their conditions of payment (OJ L 129,	
25.5.2018, p. 68).	
Directive 2004/49/EC of the European Parliament	This legal act has been repealed.
and of the Council of 29 April 2004 on safety on the	
Community's railways and amending Council	
Directive 95/18/EC on the licensing of railway	
undertakings and Directive 2001/14/EC on the	

allocation of railway infrastructure capacity and the	
levying of charges for the use of railway	
infrastructure and safety certification (Railway	
Safety Directive) (OJ L 164, 30.4.2004, p. 44).	
Commission Regulation (EC) No 653/2007 of 13	This legal act has been repealed.
June 2007 on the use of a common European format	
for safety certificates and application documents in	
accordance with Article 10 of Directive 2004/49/EC	
of the European Parliament and of the Council and	
on the validity of safety certificates delivered under	
Directive 2001/14/EC (OJ L 153, 14.6.2007, p. 9).	
Commission Regulation (EU) No 445/2011 of 10	This legal act has been repealed.
May 2011 on a system of certification of entities in	
charge of maintenance for freight wagons and	
amending Regulation (EC) No 653/2007 (OJ L 122,	
11.5.2011, p. 22).	
Commission implementing Regulation (EU)	New legal act added to Annex I.2.
2018/763 of 9 April 2018 establishing practical	
arrangements for issuing single safety certificates to	
railway undertakings pursuant to Directive (EU)	
2016/798 of the European Parliament and of the	
Council, and repealing Commission Regulation (EC)	
No 653/2007 (OJ L 129, 25.5.2018, p. 49).	
Commission implementing Regulation (EU)	New legal act added to Annex I.2.
2019/779 of 16 May 2019 laying down detailed	-
provisions on a system of certification of entities in	
charge of maintenance of vehicles pursuant to	
Directive (EU) 2016/798 of the European Parliament	
and of the Council and repealing Commission	
Regulation (EU) No 445/2011 (OJ L 139I,	
27.5.2019, p. 360).	
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Commission delegated Regulation (EU) 2018/762 of 8 March 2018 establishing common safety methods on safety management system requirements pursuant to Directive (EU) 2016/798 of the European Parliament and of the Council and repealing Commission Regulations (EU) No 1158/2010 and (EU) No 1169/2010 (OJ L 129, 25.5.2018, p. 26).	New legal act added to Annex I.2.
Commission Regulation (EU) No 1077/2012 of 16 November 2012 on a common safety method for supervision by national safety authorities after issuing a safety certificate or safety authorisation (OJ L 320, 17.11.2012, p. 3).	This legal act has been repealed.
Commission delegated Regulation (EU) 2018/761 of 16 February 2018 establishing common safety methods for supervision by national safety authorities after the issue of a single safety certificate or a safety authorisation pursuant to Directive (EU) 2016/798 of the European Parliament and of the Council and repealing Commission Regulation (EU) No 1077/2012 (OJ L 129, 25.5.2018, p. 16).	New legal act added to Annex I.2.
Commission recommendation (EU) 2019/780 of 16 May 2019 on practical arrangements for issuing safety authorisations to infrastructure managers (OJ L 139I, 27.5.2019, p. 390).	New legal act added to Annex I.2.
Regulation (EU) 2020/1056 of the European Parliament and of the Council of 15 July 2020 on electronic freight transport information (OJ L 249, 31.7.2020, p. 33).	New legal act added to Annex I.2.

# ANNEX I.3 - RULES APPLICABLE TO ROAD TRANSPORT

Legal act of the EU	Comment
Directive 1999/62/EC of 17 June 1999 of the European Parliament and of the Council on the charging of heavy goods vehicles for the use of certain infrastructures (OJ EC L 187, 20.7.1999, p. 42).	Missing date added
Regulation (EC) No 561/2006 of the European Parliament and of the Council of 15 March 2006 on the harmonisation of certain social legislation relating to road transport_and amending Council Regulations (EEC) No 3821/85 and (EC) No 2135/98 and repealing Council Regulation (EEC) No 3820/85 (OJ EU L 102, 11.4.2006, p. 1).	Title corrected.
Directive 2014/94/EU of the European Parliament and of the Council of 22 October 2014 on the deployment of alternative fuels infrastructure (OJ EU L 307, 28.10.2014, p. 1).	Moved from Annex I.3 to Annex I.1 as it deals also with marine fuels not only road transport related fuels.
Regulation (EU) 2020/1055 of the European Parliament and of the Council of 15 July 2020 amending Regulations (EC) No 1071/2009, (EC) No 1072/2009 and (EU) No 1024/2012 with a view to adapting them to developments in the road transport sector (OJ L 249, 31.7.2020, p. 17).	New legal act added to Annex I.3; amending Regulation (EC) No 1071/2009 and (EU) No 1024/2012.
Regulation (EU) 2020/1054 of the European Parliament and of the Council of 15 July 2020 amending Regulation (EC) No 561/2006 as regards minimum requirements on maximum daily and weekly driving times, minimum breaks and daily and weekly rest periods and Regulation (EU) No 165/2014 as regards positioning by means of tachographs (OJ L 249, 31.7.2020, p. 1).	New legal act added to Annex I.3; amending Regulation (EC) No 561/2006 and Regulation (EU) No 165/2014.

Commission Implementing Regulation (EU) 2018/502 of 28 February 2018 amending Implementing Regulation (EU) 2016/799 laying down the requirements for the construction, testing, installation, operation and repair of tachographs and their components (OJ L 85, 28.3.2018, p. 1).	New legal act added to Annex I.3; amending Implementing Regulation (EU) 2016/799.
Directive (EU) 2020/1057 of the European Parliament and of the Council of 15 July 2020 laying down specific rules with respect to Directive 96/71/EC and Directive 2014/67/EU for posting drivers in the road transport sector and amending Directive 2006/22/EC as regards enforcement requirements and Regulation (EU) No 1024/2012 (OJ L 249, 31.7.2020, p. 49).	New legal act added to Annex I.3; amending Directive 2006/22.
Directive (EU) 2019/520 of the European Parliament and of the Council of 19 March 2019 on the interoperability of electronic road toll systems and facilitating cross-border exchange of information on the failure to pay road fees in the Union (OJ L 91, 29.3.2019, p. 45).	New legal act added to Annex I.3.
Directive 2007/46/EC of the European Parliament and of the Council of 5 September 2007 establishing a framework for the approval of motor vehicles and their trailers, and of systems, components and separate technical units intended for such vehicles (Framework Directive) (OJ EU L 263, 9.10.2007, p. 1).	This legal act has been repealed.

Regulation (EU) 2018/858 of the European	New legal act added to Annex I.3.
Parliament and of the Council of 30 May 2018 on	
the approval and market surveillance of motor	
vehicles and their trailers, and of systems,	
components and separate technical units intended for	
such vehicles, amending Regulations (EC) No	
715/2007 and (EC) No 595/2009 and repealing	
Directive 2007/46/EC (OJ L 151, 14.6.2018, p. 1).	
Directive 2009/40/EC of the European Parliament	This legal act has been repealed.
and of the Council of 6 May 2009 on roadworthiness	
tests for motor vehicles and their trailers (OJ EU	
L 141, 6.6.2009, p. 12).	
Directive 2000/30/EC of the Parliament and of the	This legal act has been repealed.
Council of 6 June 2000 on the technical roadside	
inspection of the roadworthiness of commercial	
vehicles circulating in the Community (OJ EC	
L 203, 10.8.2000, p. 1).	
Regulation (EU) No 167/2013 of the European	New legal act added to Annex I.3.
Parliament and of the Council of 5 February 2013 on	
the approval and market surveillance of agricultural	
and forestry vehicles (OJ L 60, 2.3.2013, p. 1).	
Regulation (EU) No 168/2013 of the European	New legal act added to Annex I.3.
Parliament and of the Council of 15 January 2013 on	
the approval and market surveillance of two- or	
three-wheel vehicles and quadricycles (OJ L 60,	
2.3.2013, p. 52).	
Regulation (EU) 2020/1056 of the European	New legal act added to Annex I.3.
Parliament and of the Council of 15 July 2020 on	
electronic freight transport information (OJ L 249,	
31.7.2020, p. 33).	
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# ANNEX I.4 - RULES APPLICABLE TO MARITIME TRANSPORT

Legal act of the EU	Comment
Regulation (EU) 2017/352 of the European Parliament and of the Council of 15 February 2017 establishing a framework for the provision of port services and common rules on the financial transparency of ports (Text with EEA relevance) (OJ L 57, 3.3.2017, p. 1).	New legal act added to Annex I.4.
Regulation (EU) 2019/1239 of the European Parliament and of the Council of 20 June 2019 establishing a European Maritime Single Window environment and repealing Directive 2010/65/EU	New legal act added to Annex I.4.
Directive 2000/59/EC of the European Parliament and of the Council of 27 November 2000 on port reception facilities for ship-generated waste and cargo residues (OJ EC L 332, 28.12.2000, p. 81).	This legal act has been repealed.
Directive (EU) 2019/883 of the European Parliament and of the Council of 17 April 2019 on port reception facilities for the delivery of waste from ships, amending Directive 2010/65/EU and repealing Directive 2000/59/EC (OJ L 151, 7.6.2019, p.116).	New legal act added to Annex I.4.
Directive (EU) 2016/802 of the European Parliament and of the Council of 11 May 2016 relating to a reduction in the sulphur content of certain liquid fuels, (OJ L 132, 21.5.2016, p. 58)	Moved from Annex I.6.
Commission Implementing Decision (EU) 2015/253 laying down the rules concerning the sampling and reporting under Council Directive 1999/32/EC as regards the sulphur content of marine fuels (OJ L 41, 17.2.2015, p. 55)	New legal act added to Annex I.4.

Commission Implementing Decision (EU) 2015/253	Date added.
of 16 February 2015 laying down the rules	
concerning the sampling and reporting under Council	
Directive 1999/32/EC as regards the sulphur content	
of marine fuels (OJ EU L 41, 17.2.2015, p. 55)	

#### ANNEX I.5 - RULES APLICABLE TO INLAND WATERWAY TRANSPORT

Legal act of the EU	Comment
	Regulatory area "Environment" changed to "Environment <u>(air quality)</u> and climate change".
Directive (EU) 2017/2397 of the European Parliament and of the Council of 12 December 2017 on the recognition of professional qualifications in inland navigation and repealing Council Directives 91/672/EEC and 96/50/EC (Text with EEA relevance) (OJ L 345, 27.12.2017, p. 53).	New legal act added to Annex I.5.
Commission Delegated Directive (EU) 2020/12 of 2 August 2019 supplementing Directive (EU) 2017/2397 as regards the standards for competences et corresponding knowledge and skills, for the practical examinations, for the approval of simulators and for medical fitness (OJ L 6,10.1.2020, p.15).	New legal act added to Annex I.5; supplementing Directive (EU) 2017/2397.
Commission Delegated Regulation (EU) 2020/473 of 20 January 2020 supplementing Directive (EU) 2017/2397 of the European Parliament and of the Council with regard to the standards for databases for the Union certificates of qualification, service	New legal act added to Annex I.5; supplementing Directive (EU) 2017/2397.

record books and logbooks (OJ L 100, 1.4.2020, p. 1).	
Commission Implementing Regulation (EU) 2020/182 of 14 January 2020 on models in the field of professional qualifications in inland navigation (OJ L 38, 11.2.2020, p.1).	New legal act added to Annex I.5.
Commission Delegated Directive (EU) 2018/970 of 18 April 2018 amending Annexes II, III and V to Directive (EU) 2016/1629 of the European Parliament and of the Council laying down technical requirements for inland waterway vessels (OJ L 174, 10.7.2018, p. 15).	New legal act added to Annex I.5; amending Annexes II, III and V to Directive (EU) 2016/1629.
Commission Delegated Regulation (EU) 2019/1668 of 26 June 2019 amending Directive (EU) 2016/1629 of the European Parliament and of the Council laying down technical requirements for inland waterway vessels (OJ L 256, 7.10.2019, p. 1).	New legal act added to Annex I.5; amending Directive (EU) 2016/1629.
Commission Delegated Regulation (EU) 2020/474 of 20 January 2020 on the European Hull Data Base (OJ L 100, 1.4.2020, p. 12).	New legal act added to Annex I.5.
Commission Implementing Decision (EU) 2020/1122 of 28 July 2020 on the recognition of DNV GL AS as a classification society for inland waterway vessels in accordance with Directive (EU) 2016/1629 of the European Parliament and of the Council (OJ L 245, 30.7.2020, p. 15).	New legal act added to Annex I.5.
Directive 2010/35/EU of the European Parliament and of the Council of 16 June 2010 on transportable pressure equipment and repealing Council Directives 76/767/EEC, 84/525/EEC, 84/526/EEC, 84/527/EEC	New legal act added to Annex I.5.

and 1999/36/EC (OJ L 165, 30.6.2010, p. 1).	
Directive 2006/87/EC of the European Parliament and of the Council of 12 December 2006 laying	This legal act has been repealed.
down technical requirements for inland waterway	
vessels and repealing Council Directive 82/714/EEC	
(OJ EU L 389, 30.12.2006, p. 1).	
Commission Regulation (EC) No 414/2007 of 13	New legal act added to Annex I.5.
March 2007 concerning the technical guidelines for	
the planning, implementation and operational use of	
river information services (RIS) referred to in Article	
5 of Directive 2005/44/EC of the European	
Parliament and of the Council on harmonised river	
information services (RIS) on inland waterways in the Community (OJ EU L 105, 23.4.2007, p. 1).	
Commission Implementing Regulation (EU)	New legal act added to Annex I.5;
2018/2032 of 20 November 2018 amending	amending Commission Regulation
Commission Regulation (EC) No 416/2007	(EC) No 416/2007.
concerning the technical specifications for Notices to	
Skippers (OJ L 332, 28.12.2018, p. 1).	
Commission Implementing Regulation (EU)	New legal act added to Annex I.5;
2018/1973 of 7 December 2018 amending	amending Implementing Regulation
Implementing Regulation (EU) No 909/2013 on the	(EU) No 909/2013.
technical specifications for the electronic chart	
display and information system for inland navigation	
(Inland ECDIS) referred to in Directive 2005/44/EC	
of the European Parliament and of the Council (OJ L	
324, 19.12.2018, p. 1).	
Commission Implementing Regulation (EU)	New legal act added to Annex I.5.
2019/838 of 20 February 2019 on technical	

specifications for vessel tracking and tracing systems and repealing Regulation (EC) No 415/2007 (OJ L 138, 24.5.2019, p. 31).	
Commission Implementing Regulation (EU) 2019/1744 of 17 September 2019 on technical specifications for electronic ship reporting in inland navigation and repealing Regulation (EU) No 164/2010 (OJ L 273, 25.10.2019, p. 1).	New legal act added to Annex I.5.
Commission Regulation (EC) No 415/2007 of 13 March 2007 concerning the technical specifications for vessel tracking and tracing systems referred to in Article 5 of Directive 2005/44/EC of the European Parliament and of the Council on harmonised river information services (RIS) on inland waterways in the Community (OJ EU L 105, 23.4.2007, p. 35).	This legal act has been repealed.
Directive 97/68/EC of the European Parliament and of the Council of 16 December 1997 on the approximation of the laws of the Member States relating to measures against the emission of gaseous and particulate pollutants from internal combustion engines to be installed in non- road mobile machinery (OJ EC L 59, 27.2.1998, p. 1).	This legal act has been repealed.
Directive 2004/26/EC of the European Parliament and of the Council of 21 April 2004 amending Directive 97/68/EC on the approximation of the laws of the Member states relating to measures against the emission of gaseous and particulate pollutants from internal combustion engines to be installed in non- road mobile machinery (OJ EU L 146, 30.4.2004, p. 1).	This legal act has been repealed.

Commission Regulation (EU) No 164/2010 of 25	This legal act has been repealed.
January 2010 on the technical specifications for	
electronic ship reporting in inland navigation	
referred to in Article 5 of Directive 2005/44/EC of	
the European Parliament and of the Council on	
harmonised river information services (RIS) on	
inland waterways in the Community (OJ EU L 57,	
6.3.2010, p. 1).	
Regulation (EU) 2020/1056 of the European	New legal act added to Annex I.5.
Parliament and of the Council of 15 July 2020 on	
electronic freight transport information (OJ L 249,	
31.7.2020, p. 33).	
Council Directive 2014/112/EU of 19 December	New legal act added to Annex I.5.
2014 implementing the European Agreement	
concerning certain aspects of the organisation of	
working time in inland waterway transport,	
concluded by the European Barge Union (EBU), the	
European Skippers Organisation (ESO) and the	
European Transport Workers' Federation (ETF) (OJ	
L 367, 23.12.2014, p. 86).	

Legal act of the EU	Comment
	New text underlined in regulatory
	area "assessment of effects":
	Directive 2011/92/EU of the European
	Parliament and of the Council of
	13 December 2011 on the assessment
	of the effects of certain public and
	private projects on the environment, as
	amended by Directive 2014/52/EU.
	(OJ EU L 26, 28.1.2012, p. 1)
	and the Convention on Environmental
	Impact Assessment in a
	Transboundary Context of 1991
	(Espoo Convention).
	All projects falling under the scope of
	this Treaty will be subject to an
	environmental impact assessment in
	line with Union standards. In addition,
	transboundary aspects should be
	addressed in line with the
	requirements of the Espoo
	Convention.
	All projects listed in Annex I of the
	EIA Directive falling under the scope
	of the Treaty shall be subject to an
	environmental impact assessment in
	line with EU EIA provisions. All
	projects listed in Annex II of the EIA

## ANNEX I.6 - ENVIRONMENT RULES APPLICABLE TO TRANSPORT SECTOR

Directive falling under the scope of the Treaty shall be subject to a determination whether these should be made subject to an environmental impact assessment in line with EU EIA provisions. In addition, transboundary aspects should be addressed in line with the requirements of the ESPOO Convention.

Directive 2001/42/EC of the European Parliament and of the Council of 27 June 2001 on the assessment of the effects of certain plans and programmes on the environment

(OJ EC L 197, 21.7.2001, p. 30)

and the Protocol on strategic Environmental Assessment to the Espoo Convention (SEA protocol).

All plans and programmes in the field of transport will, where applicable, be subject to an environmental assessment similar to that provided for in Directive 2001/42/EC. In addition, transboundary aspects should be addressed in line with the requirements of the SEA protocol to the Espoo Convention.

All plans and programmes in the field of Transport shall, where applicable, be subject to an environmental assessment in line with the provisions

	of the SEA Directive and the SEA Protocol to the Espoo Convention. If the implementation of a plan or programme is likely to have significant transboundary environmental effects, or where a Party likely to be significantly affected
	so requests, transboundary consultation should take place as per the provisions of the SEA Protocol (Article 10) and/or the SEA Directive (Article 7).
Council Directive 79/409/EEC of 2 April 1979 on the conservation of wild birds OJ L 103, 25.4.1979, p. 1–18, amended by Directive 2009/147/EC of the European Parliament and of the Council of 30 November 2009 on the conservation of wild birds, (OJ L 20, 26.1.2010, p. 7).	New legal act added to Annex I.6.
	Regulatory area "Fuels" changed to "Fuels, <u>air quality and climate change"</u>
Directive (EU) 2016/802 of the European Parliament and of the Council of 11 May 2016 relating to a reduction in the sulphur content of certain liquid fuels, (OJ L 132, 21.5.2016, p. 58)	Moved to Annex I.4, Maritime transport/environment.
Directive 2002/49/EC of the European Parliament and of the Council of 25 June 2002 relating to the assessment and management of environmental noise - Declaration by the Commission in the Conciliation Committee on the Directive relating to the assessment and management of environmental noise, (OJ L 189, 18.7.2002, p. 12)	New legal act added to Annex I.6.

# ANNEX I.7 - PUBLIC PROCUREMENT RULES APPLICABLE TO TRANSPORT SECTOR

Legal act of the EU	Comment
Regulation (EU) 2016/2338 of the European	New legal act added to Annex I.7;
Parliament and of the Council of 14 December 2016	amending Regulation (EC) No
amending Regulation (EC) No 1370/2007	1370/2007.
concerning the opening of the market for domestic	
passenger transport services by rail (OJ L 354,	
23.12.2016, p. 22).	