

Interinstitutional File: 2023/0373(COD)

Brussels, 24 March 2025 (OR. en)

7198/1/25 REV 1

**LIMITE** 

ENV 167 MI 152 IND 79 CONSOM 46 COMPET 161 MARE 9 PECHE 68 RECH 103 SAN 93 ENT 38 ECOFIN 296 TRANS 74 CODEC 282

#### NOTE

From:	General Secretariat of the Council		
To: Delegations			
No. prev. doc.:	5455/25		
No. Cion doc.:	14248/23 + ADD 1 - COM(2023) 645 final		
Subject:	Proposal for a Regulation of the European Parliament and of the Council on preventing plastic pellet losses to reduce microplastic pollution		
	- Revised four-column document		

With a view to the Working Party on the Environment (WPE) on 25 March 2025, delegations will find in the <u>Annex</u> the revised 4-column document.

7198/1/25 REV 1 TREE.1.A **LIMITE EN** 

# Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on preventing plastic pellet losses to reduce microplastic pollution 2023/0373(COD)

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
Formula	9			
1	2023/0373 (COD)	2023/0373 (COD)	2023/0373 (COD)	2023/0373 (COD)
1				Text Origin: Commission Proposal
Proposa	l Title			
2	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on preventing plastic pellet losses to reduce microplastic pollution	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on preventing plastic pellet losses to reduce microplastic pollution	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on preventing plastic pellet losses to reduce microplastic pollution	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on preventing plastic pellet losses to reduce microplastic pollution  Text Origin: Commission Proposal
Formula	1			
3	THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,	THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,	THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,	THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,
				Text Origin: Commission Proposal

Citation	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
4	Having regard to the Treaty on the Functioning of the European Union, and in particular Article 192(1) thereof,	Having regard to the Treaty on the Functioning of the European Union, and in particular Article 192(1) thereof,	Having regard to the Treaty on the Functioning of the European Union, and in particular Article 192(1) thereof,	Having regard to the Treaty on the Functioning of the European Union, and in particular Article 192(1) thereof,  Text Origin: Commission Proposal
Citation	2			
5	Having regard to the proposal from the European Commission,	Having regard to the proposal from the European Commission,	Having regard to the proposal from the European Commission,	Having regard to the proposal from the European Commission,  Text Origin: Commission  Proposal
Citation	3			
6	After transmission of the draft legislative act to the national parliaments,	After transmission of the draft legislative act to the national parliaments,	After transmission of the draft legislative act to the national parliaments,	After transmission of the draft legislative act to the national parliaments,  Text Origin: Commission Proposal
Citation	4			
7	Having regard to the opinion of the European Economic and Social Committee <sup>1</sup> ,  1. OJ C,, p	Having regard to the opinion of the European Economic and Social Committee <sup>1</sup> ,  1. OJ C,, p	Having regard to the opinion of the European Economic and Social Committee <sup>1</sup> ,  1. OJ C, C/2024/2487, 23.4.2024, ELI: http://data.europa, peu/eli/C/2024/2487/oj.	Having regard to the opinion of the European Economic and Social Committee <sup>1</sup> ,  1. OJ C, C/2024/2487, 23.4.2024, ELI: http://data.europa, peu/eli/C/2024/2487/oj.  Text Origin: Council Mandate
Citation	5			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	8	Having regard to the opinion of the Committee of the Regions <sup>1</sup> ,  1. OJ C,, p	Having regard to the opinion of the Committee of the Regions <sup>1</sup> ,  1. OJ C, , p	Having regard to the opinion of the Committee of the Regions <sup>1</sup> ,  1. OJ C, C/2024/3675, 26.6.2024, ELI: http://data.europa, peu/eli/C/2024/3675/oj.	Having regard to the opinion of the Committee of the Regions <sup>1</sup> ,  1. OJ C, C/2024/3675, 26.6.2024, ELI:  http://data.europa; peu/eli/C/2024/3675/oj.  Text Origin: Council Mandate
	Citation	6			
G	9	Acting in accordance with the ordinary legislative procedure,	Acting in accordance with the ordinary legislative procedure,	Acting in accordance with the ordinary legislative procedure <sup>1</sup> ,  1. Position of the European Parliament of and decision of the Council of	Acting in accordance with the ordinary legislative procedure ,  1. Position of the European Parliament of and decision of the Council of
	Formula				Text Origin: Council Mandate
G	10	Whereas:	Whereas:	Whereas:	Whereas:  Text Origin: Commission Proposal
	Recital 1				
G	11	(1) Microplastics are ubiquitous, persistent and transboundary. They are detrimental to the environment and potentially harmful to human health. Microplastics are easily transported through the air and by land surface waters and ocean currents, and their mobility is an aggravating factor. They are found in soil (including agricultural	(1) Microplastics are ubiquitous, persistent and transboundary. They are detrimental to the environment and potentially harmful to human health, particularly due to the presence of harmful chemical additives and other substances of concern added during production and conversion, such as phthalates, bisphenol A, or flame	(1) Microplastics are ubiquitous, persistent and transboundary. They are detrimental to the environment and potentially harmful to human health. Microplastics are easily transported through the air and by land surface waters and ocean currents, and their mobility is an aggravating factor. They are found in soil (including agricultural	(1) Microplastics are ubiquitous, persistent and transboundary. They are detrimental to the environment and potentially harmful to human health. Their harm to the environment and potentially to human health may be further increased due to the presence of harmful chemical additives and other substances of concern

7198/1/25 REV 1

TREE.1.A

LIMITE

EN

#### **Commission Proposal**

**EP Mandate** 

Draft Agreement

lands), lakes, rivers, estuaries, beaches, lagoons, seas, oceans and in remote, once pristine regions, and their presence in soil may have effects on soil properties and trigger soil alterations which negatively impact the growth of some plants. Impacts of microplastics on the marine environment have been extensively documented. Once in the marine environment. microplastics are nearly impossible to collect, and are known to be eaten by a range of organisms and animals and cause harm to biodiversity and ecosystems. The persistence of a plastic pellet in the aquatic environment may be measured over decades or more, and ingestion of plastic pellets by marine wildlife, notably seabirds and sea turtles, may cause physical harm or death. Microplastics also contribute to climate change as an additional source both of greenhouse gas emissions and of pressure on ecosystems. Microplastics' potential to act as a carrier for adsorbed toxicants or pathogenic microorganisms is an integral part of the problem.

retardants<sup>1a</sup>. Microplastics are easily transported through the air and by land surface waters and ocean currents, and their mobility is an aggravating factor. They are found in soil (including agricultural lands), lakes, rivers, estuaries, beaches, lagoons, seas, oceans and in remote, once pristine regions, and their presence in soil may have effects on soil properties and trigger soil alterations which negatively impact the growth of some plants. Impacts of microplastics on the marine environment have been extensively documented. Once in the marine environment. microplastics are nearly impossible to collect, and are known to be eaten by a range of organisms and animals and cause harm to biodiversity and ecosystems. The persistence of a plastic pellet in the aquatic environment may be measured over decades or more, and ingestion of plastic pellets by marine wildlife, notably seabirds and sea turtles, may cause physical harm or death. Microplastics also contribute to climate change as an additional source both of

lands), lakes, rivers, estuaries, beaches, lagoons, seas, oceans and in remote, once pristine regions, and their presence in soil may havehas effects on soil properties and trigger soil alterations which negatively impact the growth of some plants. Impacts of microplastics on the marine environment have been extensively documented. Once in the marine environment. microplastics are nearly impossible to collect, and are known to be eaten by a range of organisms and animals and cause harm to biodiversity and ecosystems. The persistence of a plastic pelletpellets in the aquatic environment maycan be measured over decades or more, and ingestion of plastic pellets by marine wildlife, notably seabirds and sea turtles, may cause physical harm or death. Microplastics also contribute to climate change as an additional source both of greenhouse gas emissions and of pressure on ecosystems. Microplastics' potential to act as a carrier for adsorbed toxicants or pathogenic microorganisms is an integral part of the problem.

Council Mandate

added during production and conversion. Microplastics are easily transported through the air and by land surface waters and ocean currents, and their mobility is an aggravating factor. They are found in soil (including agricultural lands), lakes, rivers, estuaries, beaches, lagoons, seas, oceans and in remote, once pristine regions, and their presence in soil may havehas effects on soil properties and trigger soil alterations which negatively impact the growth of some plants. Impacts of microplastics on the marine environment have been extensively documented. Once in the marine environment. microplastics are nearly impossible to collect, and are known to be eaten by a range of organisms and animals and cause harm to biodiversity and ecosystems. The persistence of <del>a</del> plastic *pelletpellets* in the aquatic environment *maycan* be measured over decades or more, and ingestion of plastic pellets by marine wildlife, notably seabirds and sea turtles, may cause physical harm or death. Microplastics also contribute to climate change as an

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		Humans are exposed to microplastics via air and food consumption. The growing awareness of microplastics' presence in the food chain can undermine consumer confidence and bear economic consequences. There may be negative economic impacts on activities such as commercial fishing and agriculture as well as recreation and tourism in areas affected by the releases.	greenhouse gas emissions and of pressure on ecosystems.  Microplastics' potential to act as a carrier for adsorbed toxicants or pathogenic microorganisms is an integral part of the problem.  Humans are exposed to microplastics via air and food consumption. The growing awareness of microplastics' presence in the food chain can undermine consumer confidence and bear economic consequences. There may be negative economic impacts on activities such as commercial fishing and agriculture as well as recreation and tourism in areas affected by the releases.  Ia. 'Plastic giants polluting through the back door: The case for a regulatory supply-chain approach to pellet pollution', Surfrider Foundation Europe and Rethink Plastic, November 2020.	Humans are exposed to microplastics via air and food consumption. The growing awareness of microplastics' presence in the food chain can undermine consumer confidence and bear economic consequences. There may be negative economic impacts on activities such as commercial fishing and agriculture as well as recreation and tourism in areas affected by the releases.	additional source both of greenhouse gas emissions and of pressure on ecosystems.  Microplastics' potential to act as a carrier for adsorbed toxicants or pathogenic microorganisms is an integral part of the problem.  Humans are exposed to microplastics via air and food consumption. The growing awareness of microplastics' presence in the food chain can undermine consumer confidence and bear economic consequences.  There may be negative economic impacts on activities such as commercial fishing and agriculture as well as recreation and tourism in areas affected by the releases.  Text Origin: Council Mandate
	Recital 2				
G	12	(2) In their opinion entitled 'Environmental and health risks of microplastic pollution', the Commission's Group of Chief Scientific Advisors considered that 'there are significant grounds for concern and for precautionary measures to be taken'.	(2) In their opinion entitled 'Environmental and health risks of microplastic pollution', the Commission's Group of Chief Scientific Advisors considered that 'there are significant grounds for concern and for precautionary measures to be taken' <sup>1</sup> .	(2) In their opinion of 30 April 2019 entitled 'Environmental and health risks of microplastic pollution', the Commission's Group of Chief Scientific Advisors considered that 'there are significant grounds for concern	(2) In their opinion of 30 April 2019 entitled 'Environmental and health risks of microplastic pollution', the Commission's Group of Chief Scientific Advisors considered that 'there are significant grounds for concern

7198/1/25 REV 1 6
TREE.1.A LIMITE EN

	<b>Commission Proposal</b>	EP Mandate	Council Mandate	Draft Agreement
	1. Scientific opinion on the environmental and health risks of microplastic pollution, April 2019	1. Scientific opinion on the environmental and health risks of microplastic pollution, April 2019	and for precautionary measures to be taken' <sup>1</sup> .	and for precautionary measures to be taken'.  T. Scientific opinion on the environmental and health risks of microplastic pollution, April 2019  Text Origin: Council Mandate
Recital 2	la			
12a			(2a) Plastic pellet refers to every small polymer-containing moulding materials, from primary and secondary origin, regardless of whether they are derived from biomass or intended to biodegrade over time, including polymer-based rubber. It encompasses materials used in product manufacturing operations, regardless of its shape or form, including, among others, plastic nurdles, granules, flakes, resins, cylinders, beads, powder, micropowder, microspheres and agglomerates.	(2a) 'Plastic pellets' refers to any polymer-containing moulding or extrusion materials, from primary and secondary origin, regardless of whether they are derived from biomass or intended to biodegrade over time, including polymer-based rubber. It encompasses materials intended to be used in plastic product manufacturing operations, which does not exclude their end use, as component, in other manufacturing operations such as light cement, regardless of their shape, form or size, including, among others, plastic nurdles, granules, flakes, resins, cylinders, beads, powders, micropowder, microspheres and agglomerates, to which additives might have been added. Their size typically ranges from 2

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
					to 5 millimeters in diameter, though a small portion of plastic pellets are smaller or larger.  Text Origin: Council Mandate
	Recital 2	b			
G	12b				(2b) Plastic pellet dust refers to the industrial residue from the handling, grinding or processing of plastic pellets that is not used in plastic product manufacturing operations and therefore does not fall into the scope of the plastic pellet definition in this Regulation. The generation of such dust is difficult to avoid but can be minimised. Such dust should be extracted by means of filters or catchment devices as a health and safety measure at work.
	Recital 3				
G	13	(3) Plastic pellet losses constitute the third largest source of microplastics unintentionally released to the environment in the Union and are due to poor handling practices at all stages of the supply chain, including production, processing, distribution, transport, including by sea, and other logistic	(3) Plastic pellet losses constitute the third largest source of microplastics unintentionally released to the environment in the Union and are due to poor handling practices at all stages of the supply chain, including production, processing, distribution, transport, including by sea, and other logistic	(3) Plastic pellet losses constitute the third largest source of microplastics unintentionally released to the environment in the Union and areoccur due to poor handling practices at all stages of the plastic pellet supply chain, including which includes production including recycling, master batching, compounding,	(3) Plastic pellet losses constitute the third largest source of microplastics unintentionally released to the environment in the Union and areoccur due to poor handling practices at all stages of the plastic pellet supply chain, including which includes production including recycling, master batching, compounding,

7198/1/25 REV 1

TREE.1.A

LIMITE

8

EN

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	operations. Hence, a supply chain approach is essential for ensuring the commitment of all economic actors involved in plastic pellet handling towards loss prevention. Since 2015, the European plastic manufacturing industry has progressively adopted the international Operation Clean Sweep® programme (OCS) as a voluntary pledge. Under this programme, each company making or handling pellets recognises the importance of making zero pellet losses and commits to adopting best practices. While such practices are generally well understood by OCS signatories, they have not been comprehensively implemented. The uptake of the programme by the plastic industry remains low.	operations. Hence, a supply chain approach is essential for ensuring the commitment of all economic actors involved in plastic pellet handling towards loss prevention. Since 2015, the European plastic manufacturing industry has progressively adopted the international Operation Clean Sweep® programme (OCS) as a voluntary pledge. Under this programme, each company making or handling pellets recognises the importance of making zero pellet losses and commits to adopting best practices. While such practices are generally well understood by OCS signatories, they have not been comprehensively implemented. The uptake of the programme by the plastic industry remains low.	conversion, processing, distribution, transport, including by the sea, and other logistic operations, storage, packaging, and the cleaning of plastic pellet containers and tanks. Hence, a supply chain approach is essential for ensuring the commitment of all economic actors involved in plastic pellet handling towards loss prevention. Since 2015, the European plastic manufacturing industry has progressively adopted the international Operation Clean Sweep® (OCS) programme (OCS) as a voluntary pledge. Under this programme, each company making or handling pellets recognises the importance of making zero pellet losses and commits to adopting best practices. While such practices are generally well understood by OCS signatories, they have not been comprehensively implemented. The uptake of thethat programme by the plastic industry remains low.	conversion, processing, distribution, transport, including by the sea, and other logistic operations, storage, packaging, and the cleaning of plastic pellet containers and tanks. Hence, a supply chain approach is essential for ensuring the commitment of all economic actors involved in plastic pellet handling towards loss prevention. Since 2015, the European plastic manufacturing industry has progressively adopted the international Operation Clean Sweep® (OCS) programme (OCS) as a voluntary pledge. Under this programme, each company making or handling pellets recognises the importance of making zero pellet losses and commits to adopting best practices. While such practices are generally well understood by OCS signatories, they have not been comprehensively implemented. The uptake of thethat programme by the plastic industry remains low.
Recital 4				
<sup>6</sup> 14	(4) The impacts of microplastic pollution on the environment and	(4) The impacts of microplastic pollution on the environment and	(4) The impacts of microplastic pollution on the environment and	(4) <u>Concerns about</u> the impacts of microplastic pollution on the

7198/1/25 REV 1

TREE.1.A

LIMITE

9

EN

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	possibly on human health have raised concerns in most parts of the world. Some Member States have adopted or proposed dedicated measures. However, a patchwork of national restrictions could potentially hamper the functioning of the internal market.	possibly on human health have raised concerns in most parts of the world. Some Member States have adopted or proposed dedicated measures. However, a patchwork of national restrictions could potentially hamper the functioning of the internal market.	possibly on human health have raised concerns in most parts of the world. Some Member States have adopted or proposed dedicated measures. However, a patchwork of national restrictions could potentially hamper the functioning of the internal market.	environment and possibly on human health have been raised concerns in most parts of the world. Some Member States have adopted or proposed dedicated measures. However, a patchwork of national restrictions could potentially hamper the functioning of the internal market.  Text Origin: Council Mandate
Recit	al 5			
s 15	(5) In a bid to tackle plastic pollution, in January 2018, the Commission adopted a Communication entitled 'European Strategy for Plastics' where it acknowledged the risks posed by microplastics and called for innovative solutions targeting the different sources of microplastics to be taken. This commitment was renewed with the adoption of the European Green Deal in December 2019, the new Circular Economy Action Plan² in March 2020 and the Zero Pollution Action Plan³ in May 2021. The latter includes, among its 2030 targets, reducing the amount of microplastics released into the environment by 30%.	(5) In a bid to tackle plastic pollution, in January 2018, the Commission adopted a Communication entitled 'European Strategy for Plastics' where it acknowledged the risks posed by microplastics and called for innovative solutions targeting the different sources of microplastics to be taken. This commitment was renewed with the adoption of the European Green Deal in December 2019, the new Circular Economy Action Plan² in March 2020 and the Zero Pollution Action Plan³ in May 2021. The latter includes, among its 2030 targets, reducing the amount of microplastics released into the environment by 30%.	(5) In a bid to tackle plastic pollution, in January 2018, the Commission adopted ahas in its communication of 16 January 2018 entitled 'European Strategy for Plastics' where it in a Circular Economy acknowledged the risks posed by microplastics and called for innovative solutions targeting the different sources of microplastics to be taken. This commitment was The Commission renewed with the adoption of that in its communications of 11 December 2019 on the European Green Deal in December 2019, of 11 March 2020 on the new Circular Economy Action Plan² in March 2020 and Planand on the Zero Pollution Action Plan³ in May	(5) In a bid to tackle plastic pollution, in January 2018, the Commission adopted ahas in its communication of 16 January 2018 entitled 'European Strategy for Plastics' where it in a Circular Economy acknowledged the risks posed by microplastics and called for innovative solutions targeting the different sources of microplastics to be taken. This commitment was The Commission renewed with the adoption of that in its communications of 11 December 2019 on the European Green Deal in December 2019, of 11 March 2020 on the new Circular Economy Action Plan in March 2020 and on the Zero Pollution Action Plan in May 2021. The latter includes, among

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		1. COM(2018) 28 final 2. COM(2020)98 final, 2020. 3. COM(2021) 400 final	1. COM(2018) 28 final 2. COM(2020)98 final, 2020. 3. COM(2021) 400 final	2021. The latter includes, among its 2030 targets, reducing the amount of microplastics released into the environment by 30%.  1. COM(2018) 28 final 2. COM(2020)98 final, 2020. 3. COM(2021) 400 final	its 2030 targets, reducing the amount of microplastics released into the environment by 30%.  1. COM(2018) 28 final 2. COM(2020)98 final, 2020. 3. COM(2021) 400 final  Text Origin: Council Mandate
	Recital 6				
G	16	(6) Commission Regulation (EU) 2023/2055¹ addresses microplastic pollution by imposing a restriction on the placing on the market of microplastics that are intentionally added to products (the 'restriction'), as there is considerable microplastic pollution arising from the use of synthetic polymer microparticles on their own or intentionally present in products, and pollution poses an unacceptable risk to the environment.  1. Commission Regulation (EU) 2023/2055 of 25 September 2023 amending Annex XVII to Regulation (EC) No 1907/2006 of the European Parliament and of the Council concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) as regards synthetic polymer microparticles (OJ L 238, 27.9.2023, p. 67).	(6) Commission Regulation (EU) 2023/2055¹ addresses microplastic pollution by imposing a restriction on the placing on the market of microplastics that are intentionally added to products (the 'restriction'), as there is considerable microplastic pollution arising from the use of synthetic polymer microparticles on their own or intentionally present in products, and pollution poses an unacceptable risk to the environment.  1. Commission Regulation (EU) 2023/2055 of 25 September 2023 amending Annex XVII to Regulation (EC) No 1907/2006 of the European Parliament and of the Council concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) as regards synthetic polymer microparticles (OJ L 238, 27.9.2023, p. 67).	(6) Commission Regulation (EU) 2023/2055¹ addresses microplastic pollution by imposing a restriction on the placing on the market of microplastics that are intentionally added to products (the 'restriction'), as there is considerable microplastic pollution arising from the use of synthetic polymer microparticles on their own or intentionally present in products, and pollution poses an unacceptable risk to the environment.  1. Commission Regulation (EU) 2023/2055 of 25 September 2023 amending Annex XVII to Regulation (EC) No 1907/2006 of the European Parliament and of the Council concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) as regards synthetic polymer microparticles (OJ L 238, 27.9.2023, p. 67).	(6) Commission Regulation (EU) 2023/2055¹ addresses microplastic pollution by imposing a restriction on the placing on the market of microplastics that are intentionally added to products (the 'restriction'), as there is considerable microplastic pollution arising from the use of synthetic polymer microparticles on their own or intentionally present in products, and pollution poses an unacceptable risk to the environment.  1. Commission Regulation (EU) 2023/2055 of 25 September 2023 amending Annex XVII to Regulation (EC) No 1907/2006 of the European Parliament and of the Council concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) as regards synthetic polymer microparticles (OJ L 238, 27.9.2023, p. 67).

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
					Text Origin: Council Mandate
	Recital 7				
G	17	Convention for the Protection of the Marine Environment of the North-East Atlantic (OSPAR) adopted the non-binding Recommendation 2021/06¹ to reduce the loss of plastic pellets into the marine environment by promoting the timely development and implementation of effective and consistent pellet loss prevention standards and certification schemes for the entire plastic supply chain. Measures for minimizing the risk associated with the transport of plastic pellets by sea are under examination at the International Maritime Organization.  1. OSPAR Recommendation 2021/06 on the reduction of plastic pellet loss into the marine environment	(7) In 2021, the parties to the Convention for the Protection of the Marine Environment of the North-East Atlantic (OSPAR) adopted the non-binding Recommendation 2021/06¹ to reduce the loss of plastic pellets into the marine environment by promoting the timely development and implementation of effective and consistent pellet loss prevention standards and certification schemes for the entire plastic supply chain. Measures for minimizing the risk associated with the transport of plastic pellets by sea are under examination at the International Maritime Organization (IMO) in light of the significant risk of catastrophic pollution associated with maritime transport of plastic pellets. In this context, the Union should follow closely the developments at the IMO, and play a leading role in ensuring a high-level of environmental protection on this issue.	(7) In 2021, the contracting parties to the Convention for the Protection of the Marine Environment of the North-East Atlantic (OSPAR Convention) adopted the non-binding Recommendation 2021/06 <sup>‡</sup> to reduce the loss of plastic pellets into the marine environment by promoting the timely development and implementation of effective and consistent pellet loss prevention standards and certification schemes for the entire plastic supply chain. Measures for minimizing the risk associated with the transport of plastic pellets by sea are under examination at the International Maritime Organization (IMO) which approved the non-binding circular MEPC.1/Circ 909 on recommendations for the carriage of plastic pellets by sea in freight containers. In this context, the Union and its Member States should follow closely any future developments at the IMO, and play a leading role in ensuring a high-level of environmental protection on this	(7) In 2021, the <i>contracting</i> parties to the Convention for the Protection of the Marine Environment of the North-East Atlantic (OSPAR <i>Convention</i> ) adopted the non-binding Recommendation 2021/06 <sup>‡</sup> to reduce the loss of plastic pellets into the marine environment by promoting the timely development and implementation of effective and consistent pellet loss prevention standards and certification schemes for the entire plastic supply chain. Measures for minimizing the risk associated with the transport of plastic pellets by sea are under examination at the International Maritime Organization <i>(IMO) which</i> approved the non-binding circular MEPC. 1/Circ 909 on recommendations for the carriage of plastic pellets by sea in freight containers. In this context, the Union and its Member States should follow closely any future developments at the IMO, and play a leading role in ensuring a high-level of environmental protection on this issue, among

7198/1/25 REV 1 12 TREE.1.A **LIMITE EN** 

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		OSPAR Recommendation 2021/06 on the reduction of plastic pellet loss into the marine environment	issue, among others by setting a high standard of protection.  1. OSPAR Recommendation 2021/06 on the reduction of plastic pellet loss into the marine environment	others by setting a high standard of protection.  1. OSPAR Recommendation 2021/06 on the reduction of plastic pellet loss into the marine environment  Text Origin: Council Mandate
Recita	l 7a			
s 17a		(7a) Several accidents leading to plastic pellet spills and losses have been registered in the Union with transboundary impacts, highlighting the urgent need for ambitious, holistic measures to significantly reduce the risk of plastic pellet pollution while strengthening response capabilities in relation to plastic pellet spills throughout Union territories and waters.		deleted  Text Origin: EP Mandate
Recita	l 7b			
6 17b		(7b) Nearly 90 % of the world's goods are transported by sea, including plastic pellets.  However, poor handling practices or a lack of supervision of certain routine operations, such as cleaning hulls or containers, can lead to such pellets leaking out and spilling into the ocean.  Furthermore, many maritime pellet disasters have been		deleted  Text Origin: EP Mandate

7198/1/25 REV 1

TREE.1.A LIMITE

13

EN

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
Desided		reported, making maritime transport a high-risk activity in relation to plastic pellet pollution. The impact of such pellet losses is catastrophic for marine and coastal ecosystems as well as the species that comprise them, and the extreme mobility of plastic pellets makes effective containment and clean-up operations difficult. The handling of such pellets is regulated at international level by the 1972 Convention for Safe Containers, and supplemented by the 2023 Sub-Committee on Carriage of Cargoes and Containers circular on the obligation to report lost containers, but they do not provide the guarantees needed to prevent pollution by plastic pellets. The inclusion of maritime transport in the scope of this Regulation, as well as of provisions relating to the handling of plastic pellets specific to this mode of transport, is therefore essential if the objectives of this Regulation are to be achieved.		
Recital				
6 18	(8) In the Union submission to United Nations Environment	(8) In the Union submission to United Nations Environment	(8) In the Union submission to United Nations Environment	(8) In the Union submission to United Nations Environment

7198/1/25 REV 1

TREE.1.A

LIMITE

14

EN

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		Programme in view of the second session of the Intergovernmental Negotiating Committee on an international legally binding instrument on plastic pollution (INC-2) <sup>1</sup> , the Union and its Member States stressed the need for the future instrument to include measures to reduce unintentional releases of microplastics.	Programme in view of the second session of the Intergovernmental Negotiating Committee on an international legally binding instrument on plastic pollution (INC-2) <sup>1</sup> , the Union and its Member States stressed the need for the future instrument to include measures to reduce unintentional releases of microplastics.	Programme in view of the second session of the Intergovernmental Negotiating Committee on an international legally binding instrument on plastic pollution (INC-2) <sup>1</sup> , the Union and its Member States stressed the need for the future instrument to include measures to reduce unintentional releases of microplastics.	Programme in view of the second session of the Intergovernmental Negotiating Committee on an international legally binding instrument on plastic pollution (INC-2) <sup>1</sup> , the Union and its Member States stressed the need for the future instrument to include measures to reduce unintentional releases of microplastics.
		1. UNEP, The EU's Pre-session Submission ahead of Second Session of Intergovernmental Negotiating Committee to develop an international legally binding instrument on plastic pollution, 2023	1. UNEP, The EU's Pre-session Submission ahead of Second Session of Intergovernmental Negotiating Committee to develop an international legally binding instrument on plastic pollution, 2023	4. UNEP, The EU's Pre-session Submission ahead of Second Session of Intergovernmental Negotiating Committee to develop an international legally binding instrument on plastic pollution, 2023	1. UNEP, The EU's Pre-session Submission ahead of Second Session of Intergovernmental Negotiating Committee to develop an international legally binding instrument on plastic pollution, 2023
					Text Origin: Council Mandate
	Recital 9				
G	19	(9) Despite Union legislation concerning the prevention of waste, pollution, marine litter and chemicals, there are no specific Union rules preventing pellet losses as a source of microplastic pollution along the entire supply chain. Directive 2008/98/EC of the European Parliament and of the Council¹ lays down basic waste management principles and imposes general obligations for Member States to take measures to prevent waste generation. Those general obligations should be	(9) Despite Union legislation concerning the prevention of waste, pollution, marine litter and chemicals, there are no specific Union rules preventing pellet losses as a source of microplastic pollution along the entire supply chain. Directive 2008/98/EC of the European Parliament and of the Council¹ lays down basic waste management principles and imposes general obligations for Member States to take measures to prevent waste generation. Those general obligations should be	(9) Despite Union legislationlegal acts concerning the prevention of waste, pollution, marine litter and chemicals, there are no specific Union rules preventing pellet losses as a source of microplastic pollution along the entire supply chain. Directive 2008/98/EC of the European Parliament and of the Council lays down basic waste management principles and imposes general obligations for Member States to take measures to prevent waste generation. Those	(9) Despite Union legislationlegal acts concerning the prevention of waste, pollution, marine litter and chemicals, there are no specific Union rules preventing pellet losses as a source of microplastic pollution along the entire supply chain. Directive 2008/98/EC of the European Parliament and of the Council lays down basic waste management principles and imposes general obligations for Member States to take measures to prevent waste generation. Those

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		complemented by addressing specific aspects and requirements for the careful handling of plastic pellets in order to avoid them becoming waste.  1. Directive 2008/98/EC of the European Parliament and of the Council of 19 November 2008 on waste and repealing	complemented by addressing specific aspects and requirements for the careful handling of plastic pellets in order to avoid them becoming wasteprevent them from escaping into the environment.  1. Directive 2008/98/EC of the European Parliament and of the Council of 19	general obligations should be complemented by addressing specific aspects and requirements for the careful handling of plastic pellets in order to avoid them becoming waste.  1. Directive 2008/98/EC of the European Parliament and of the Council of 19	general obligations should be complemented by addressing specific aspects and requirements for the careful handling of plastic pellets in order to avoid them becoming waste.  1. Directive 2008/98/EC of the European Parliament and of the Council of 19
		certain Directives (OJ L 312, 22.11.2008, p. 3).	November 2008 on waste and repealing certain Directives (OJ L 312, 22.11.2008, p. 3).	November 2008 on waste and repealing certain Directives (OJ L 312, 22.11.2008, p. 3).	November 2008 on waste and repealing certain Directives (OJ L 312, 22.11.2008, p. 3).
	Decital O				Text Origin: Council Mandate
	Recital 9	7a			
G	19a		(9a) This Regulation provides for measures to prevent, contain and clean up plastic pellet pollution occurring following the entry into force of this Regulation, but does not provide for measures to address existing pollution. Cleaning up soil, rivers and streams, and restoring degraded terrestrial, marine, littoral and coastal ecosystems is essential to achieving the 30 % reduction target by 2030, in accordance with the objectives set out in Regulation (EU) 2024/ of the European Parliament and of the Council¹a, and the objectives of the European Green Deal. The Commission should develop a set		deleted

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
			of measures to map and clean up those already polluted areas, and implement them either as part of a European strategy for depolluting microplastics, or through support and accompanying measures for Member States. More broadly, the Union should be involved in promoting solutions along the entire value chain, and should include such solutions in the ongoing negotiations on the development of an international Treaty on Plastic Pollution, as well as in the upcoming 81st session of the Marine Environment Protection Committee of the IMO (IMO MEPC).  La. Regulation (EU) 2024/ of the European Parliament and of the Council on nature restoration and amending Regulation (EU) 2022/869 (OJ L,).		
	Recital 1	0			
G	20	(10) While the production of polymeric materials on an industrial scale fall under the scope of Directive 2010/75/EU of the European Parliament and of the Council <sup>1</sup> , other activities like the conversion, transport or	(10) While the production of polymeric materials on an industrial scale fall under the scope of Directive 2010/75/EU of the European Parliament and of the Council <sup>1</sup> , other activities like the conversion, transport or	(10) While the production of polymeric materials on an industrial scale fall under the scope of Directive 2010/75/EU of the European Parliament and of the Council <sup>1</sup> , other activities like the conversion, transport or	(10) While the production of polymeric materials on an industrial scale fall under the scope of Directive 2010/75/EU of the European Parliament and of the Council <sup>1</sup> , other activities like the conversion, transport or

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		storage of pellets, usually operated by small and medium-sized enterprises, are not covered by that Directive. Moreover, the Reference Document on Best Available Techniques in the Production of Polymers of August 2007², established pursuant Council Directive 96/61/EC³ concerning integrated pollution prevention and control, does not address the specific issue of pellet losses.  1. Directive 2010/75/EU of the European Parliament and of the Council of 24 November 2010 on industrial emissions (integrated pollution prevention and control) (OJ L 334, 17.12.2010, p.17). 2.  https://eippcb.jrc.ec.europa.eu/sites/default/files/2019-11/pol_bref_0807.pdf 3. Council Directive 96/61/EC of 24 September 1996 concerning integrated pollution prevention and control (OJ L 257, 10.10.1996, p. 26).	storage of pellets, usually operated by small and medium-sized enterprises, are not covered by that Directive. Moreover, the Reference Document on Best Available Techniques in the Production of Polymers of August 2007², established pursuant Council Directive 96/61/EC³ concerning integrated pollution prevention and control, does not address the specific issue of pellet losses.  1. Directive 2010/75/EU of the European Parliament and of the Council of 24 November 2010 on industrial emissions (integrated pollution prevention and control) (OJ L 334, 17.12.2010, p.17). 2.  https://eippcb.jrc.ec.europa.eu/sites/default/files/2019-11/pol_bref_0807.pdf 3. Council Directive 96/61/EC of 24 September 1996 concerning integrated pollution prevention and control (OJ L 257, 10.10.1996, p. 26).	storage of pellets, usually operated by small and medium-sized enterprises, are not covered by that Directive. Moreover, the Reference Document on Best Available Techniques in the Production of Polymers of August 2007², established pursuant Council Directive 96/61/EC³ concerning integrated pollution prevention and control, does not address the specific issue of pellet losses.  1. Directive 2010/75/EU of the European Parliament and of the Council of 24 November 2010 on industrial emissions (integrated pollution prevention and control) (OJ L 334, 17.12.2010, p.17).  2. https://eippeb.jre.ee.europa.eu/sites/default/files/2019_11/pol_bref_0807.pdf 3. Council Directive 96/61/EC of 24 September 1996 concerning integrated pollution prevention and control (OJ L 257, 10.10.1996, p. 26).	storage of pellets, usually operated by small and medium-sized enterprises, are not covered by that Directive. Moreover, the Reference Document on Best Available Techniques in the Production of Polymers of August 2007², established pursuant Council Directive 96/61/EC³ concerning integrated pollution prevention and control, does not address the specific issue of pellet losses.  1. Directive 2010/75/EU of the European Parliament and of the Council of 24 November 2010 on industrial emissions (integrated pollution prevention and control) (OJ L 334, 17.12.2010, p.17).  2. https://eippeb.jre.ee.europa.eu/sites/default/files/2019-11/pol_bref_0807.pdf 3. Council Directive 96/61/EC of 24 September 1996 concerning integrated pollution prevention and control (OJ L 257, 10.10.1996, p. 26).  Text Origin: Council Mandate
	Recital 1	1			
G	21	(11) Directive 2008/56/EC of the European Parliament and of the Council <sup>1</sup> addresses the monitoring and assessment of the impacts of microlitter, including microplastics, in coastal and	(11) Directive 2008/56/EC of the European Parliament and of the Council <sup>1</sup> addresses the monitoring and assessment of the impacts of microlitter, including microplastics, in coastal and	(11) Directive 2008/56/EC of the European Parliament and of the Council <sup>1</sup> addresses the monitoring and assessment of the impacts of microlitter, including microplastics, in coastal and	(11) Directive 2008/56/EC of the European Parliament and of the Council¹ addresses the monitoring and assessment of the impacts of microlitter, including microplastics, in coastal and

7198/1/25 REV 1

TREE.1.A LIMITE

18

EN

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		marine environments. An update of the first guidance on monitoring marine litter is under development in view of harmonised methodologies including to monitor the presence and distribution of plastic pellets along the coastline. However, Directive 2008/56/EC does not include specific requirements concerning the prevention or reduction of pellet losses at source.  1. Directive 2008/56/EC of the European Parliament and of the Council of 17 June 2008 establishing a framework for community action in the field of marine environmental policy (Marine Strategy Framework Directive) (OJ L 164, 25.6.2008, p.19).	marine environments. An update of the first guidance on monitoring marine litter is under development in view of harmonised methodologies including to monitor the presence and distribution of plastic pellets along the coastline. However, Directive 2008/56/EC does not include specific requirements concerning the prevention or reduction of pellet losses at source.  1. Directive 2008/56/EC of the European Parliament and of the Council of 17 June 2008 establishing a framework for community action in the field of marine environmental policy (Marine Strategy Framework Directive) (OJ L 164, 25.6.2008, p.19).	marine environments. An update of the first guidance on monitoring marine litter is under development in view of harmonised methodologies including to monitor the presence and distribution of plastic pellets along the coastline. However, Directive 2008/56/EC does not include specific requirements concerning the prevention or reduction of pellet losses at source.  1. Directive 2008/56/EC of the European Parliament and of the Council of 17 June 2008 establishing a framework for community action in the field of marine environmental policy (Marine Strategy Framework Directive) (OJ L 164, 25.6.2008, p.19).	marine environments. An update of the first guidance on monitoring marine litter is under development in view of harmonised methodologies including to monitor the presence and distribution of plastic pellets along the coastline. However, Directive 2008/56/EC does not include specific requirements concerning the prevention or reduction of pellet losses at source.  1. Directive 2008/56/EC of the European Parliament and of the Council of 17 June 2008 establishing a framework for community action in the field of marine environmental policy (Marine Strategy Framework Directive) (OJ L 164, 25.6.2008, p.19).  Text Origin: Commission Proposal
	Recital 1	2			
G	22	(12) Commission Regulation (EU) 2023/2055 addresses losses of synthetic polymer microparticles for use at industrial sites i.e. plastic pellets as avoidable releases. For these releases, a reporting requirement for an estimated quantity of microplastics released to the environment on an annual basis is	(12) Commission Regulation (EU) 2023/2055 addresses losses of synthetic polymer microparticles for use at industrial sites i.e. plastic pellets as avoidable releases. For these releases, a reporting requirement for an estimated quantity of microplastics released to the environment on an annual basis is	(12) Commission Regulation (EU) 2023/2055 addresses losses of synthetic polymer microparticles for use at industrial sites i.e. plastic pellets as avoidable releases. For these releases, a reporting requirement for an estimated quantity of microplastics released to the environment on an annual basis is	(12) Commission Regulation (EU) 2023/2055 addresses losses of synthetic polymer microparticles for use at industrial sites i.e. plastic pellets as avoidable releases. For these releases, a reporting requirement for an estimated quantity of microplastics released to the environment on an annual basis is

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		introduced. While lacking a methodology to estimate losses, this requirement will increase information on pellet losses and improve the quality of the information collected to assess the risks deriving from these microplastics in the future.	introduced. While lacking However, that reporting requirement lacks a methodology to estimate losses, and only provides annual estimates. While this requirement will increase information on pellet losses and improve the quality of the information collected to assess the risks deriving from these microplastics in the future, it is not sufficient to have an overview of the specific nature of the losses and the causes thereof.	introduced. While lacking a methodology to estimate losses, this requirement will increase information on pellet losses and improve the quality of the information collected to assess the risks deriving from these microplastics in the future.	introduced. While lacking a methodology to estimate losses, this requirement will increase information on pellet losses and improve the quality of the information collected to assess the risks deriving from these microplastics in the future.  Text Origin: Council Mandate
	Recital 1	.3			
R	23	(13) To ensure that plastic pellets are handled safely and responsibly at all stages of the supply chain, so that losses to the environment are prevented, it is necessary to establish requirements on the handling of plastic pellets along the entire supply chain: production, master batching and compounding, conversion, waste management, including recycling, distribution, repacking, transport, storage and tank cleaning at cleaning stations.	(13) To ensure that plastic pellets are handled safely and responsibly at all stages of the supply chain, so that losses to the environment are prevented, it is necessary to establish requirements on the handling of plastic pellets along the entire supply chain: production, master batching and compounding, conversion, waste management, including recycling, distribution, repacking, transport, storage and tank cleaning at cleaning stations.	(13) To ensure that plastic pellets are handled safely and responsibly at all stages of the plastic pellet supply chain, so that losses to the environment are prevented, it is necessary to establish requirements on the handling of plastic pellets along the entire supply chain, namely in: production, including output from recycling, master batching and, compounding, conversion, waste management, including recyclingprocessing, distribution, repacking, transport, storage, packaging, and tank and container cleaning at cleaning stations.	(13) To ensure that plastic pellets are handled safely and responsibly at all stages of the plastic pellet supply chain, so that losses are prevented and the ambition of zero plastic pellets losses to the environment are prevented is achieved, it is necessary to establish requirements on the handling of plastic pellets along the entire supply chain, namely in: production, including output from recycling, master batching and, compounding, conversion, waste management, including recycling processing, distribution, repacking, transport, storage, packaging, and tank and

7198/1/25 REV 1 20 TREE.1.A **LIMITE EN** 

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				container cleaning at cleaning stations. This Regulation should apply to all entities handling plastic pellets, irrespective of their end use.  Text Origin: Council Mandate
Recital 13	a			
R 23a				(13a) Commission Regulation (EU) 2023/2055 amended Annex XVII of Regulation (EC) 1907/2006. Paragraphs 7 and 10 of entry 78 of that Annex as amended set out information requirements for suppliers of synthetic polymer microparticles. These requirements also apply to suppliers of plastic pellets. In light of the harmful nature of plastic pellets when lost to the environment and in light of the general obligation on economic operators and carriers to avoid losses of plastic pellets as set out in this Regulation, it is appropriate to complement the information requirements introduced by Commission Regulation (EU) 2023/2055 with a pictogram and a warning statement. In that context, the choice of the means of providing this information as laid down in

7198/1/25 REV 1 21 TREE.1.A **LIMITE EN** 

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
					Annex XVII, entry 78, paragraph 10, to Regulation (EC) 1907/2006, as amended by Commission Regulation (EU) 2023/2055, should be maintained. As the different possible means of providing this information may however have different effectiveness with regard to contributing to achieving the general obligation of avoiding losses, it is appropriate for the Commission to assess their relative effectiveness in the context of its review of the Regulation.
ı	Recital 1	4			
G	24	(14) Such requirements should take into account internationally recommended good handling practices as well as existing requirements on the handling of plastic pellets established by the industry in the Union.	(14) Such requirements should take into account internationally recommended good handling practices as well as existing requirements on the handling of plastic pellets established by the industry in the Union.	(14) Such requirements should take into account internationally recommended good handling practices as well as existing requirements on the handling of plastic pellets established by the industry in the Union. In this regard, the European Union and its Member States may pursue efforts to make the recommendation in MEPC.  1/Circ 909 mandatory at international level.  Furthermore, the Union may promote discussion at international level on the	(14) Such requirements should take into account internationally recommended good handling practices as well as existing requirements on the handling of plastic pellets established by the industry in the Union. In this regard, the European Union and its Member States may pursue efforts to make the recommendation in MEPC.  1/Circ 909 mandatory at international level. Furthermore, the Union may promote discussion at international level on the obligation for carriers to

7198/1/25 REV 1 22
TREE.1.A **LIMITE EN** 

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
Recital	14a		obligation for carriers to notify competent authorities when transporting plastic pellets in a country different from the one in which they are established.	notify competent authorities when transporting plastic pellets in a country different from the one in which they are established.  Text Origin: Council Mandate
<sup>6</sup> 24a			released and dispersed into the marine environment, they can harm living resources and marine life and can interfere with other legitimate uses of the sea, such as fishing and aquaculture. As plastic pellets look like fish egg to birds, despite the fact that only 0.05% of plastic pieces from surface waters are pellets, they comprise about 70% of the plastic eaten by seabirds. These small plastic particles have been found in the stomachs of 63 of the world's approximately 250 species of seabirds.	(14a) If plastic pellets are released and dispersed into the marine environment, they can harm living resources and marine life and can interfere with other legitimate uses of the sea, such as fishing and aquaculture. As plastic pellets look like fish egg to birds, despite the fact that only 0.05% of plastic pieces from surface waters are pellets, they comprise about 70% of the plastic eaten by seabirds. These small plastic particles have been found in the stomachs of 63 of the world's approximately 250 species of seabirds.  Text Origin: Council Mandate
Recital	14b		1	
6 24b			Furthermore, by weight, plastic pellets are estimated to be the second largest direct source of microplastic marine pollution; it is estimated	Furthermore, by weight, plastic pellets are estimated to be the second largest direct source of microplastic marine pollution; it is estimated that billions of

7198/1/25 REV 1 23 TREE.1.A **LIMITE EN** 

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
			that billions of individual pellets enter the ocean every year. This is due to both small and large-scale losses and spillages occurring on land and sea during all stages of the supply chain, especially while they are in transit.	individual pellets enter the ocean every year. This is due to both small and large-scale losses and spillages occurring on land and sea during all stages of the supply chain, especially while they are in transit.  Text Origin: Council Mandate
Recital	114c			
6 24c			Additionally, the pellets can be washed up on beaches and coastlines and, as a result, negatively impact tourism and shore-based activities. Several incidents involving seagoing vessels resulted in several tonnes of plastic pellets being released in the marine environment with disastrous consequences for the environment and local communities. For example, the Toconao accident impacting the northern coast of Spain in 2023 causes the loss of six freight containers, amongst which one freight container holding one thousand 25kg sacks of pellets. This resulted in millions of pellets arriving on the Galician Coast.	Additionally, the pellets can be washed up on beaches and coastlines and, as a result, negatively impact tourism and shore-based activities. Several incidents involving seagoing vessels resulted in several tonnes of plastic pellets being released in the marine environment with disastrous consequences for the environment and local communities. For example, the Toconao accident impacting the northern coast of Spain in 2023 causes the loss of six freight containers, amongst which one freight container holding one thousand 25kg sacks of pellets. This resulted in millions of pellets arriving on the Galician Coast.
Recital	l 14d			

To address this issue from the perspective of maritime transport, the IMO Marine transport, the IMO Marine	e from
Environment Protection Committee approved in 2024 MEPC.I/Circ.909 providing recommendations for the carriage of plastic pellets by sea in freight containers. However, since those recommendations are not legally binding, the Union, in line with its obligation under the Treaties to preserve, protect and improve the quality of the environment, and to promote measures at international level to deal worldwide environmental problems, has adopted requirements which are legally binding on certain operators of seagoing vessels to pioneer worldwide a higher level of environmental protection in this field. Since the search of the searc	ne n

7198/1/25 REV 1 25 TREE.1.A **LIMITE EN** 

Recital 14b  24e  Recital 14e  These requirements complement the overall IMO and EU legal framework on the safety of maritime transport and the prevention of pollution from ships, notably Directive 2002/59 which established a system to	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
These requirements complement the overall IMO and EU legal framework on the safety of maritime transport and the prevention of pollution from ships, notably Directive 2002/59 which established a system to prevent accidents and pollution at sea taking into account the				freight containers containing plastic pellets are properly stowed and secured so as to minimise hazards to the marine environment without impairing the safety of the sea-going vessel and persons on board. Specifically, freight containers containing plastic pellets should be stowed: (1) under deck wherever reasonably practicable; or (2) inboard in sheltered areas
These requirements complement the overall IMO and EU legal framework on the safety of maritime transport and the prevention of pollution from ships, notably Directive 2002/59 which established a system to prevent accidents and pollution at sea taking into account the	Recital 14b		T	
These requirements complement the overall IMO and EU legal framework on the safety of maritime transport and the prevention of pollution from ships, notably Directive 2002/59 which established a system to prevent accidents and pollution at sea taking into account the	24e			
complement the overall IMO and EU legal framework on the safety of maritime transport and the prevention of pollution from ships, notably Directive 2002/59 which established a system to prevent accidents and pollution at sea taking into account the	Recital 14e			
Text Origin: Council Mandate	c 24f		complement the overall IMO and EU legal framework on the safety of maritime transport and the prevention of pollution from ships, notably Directive 2002/59 which established a system to prevent accidents and pollution at sea taking into account the	complement the overall IMO and EU legal framework on the safety of maritime transport and the prevention of pollution from ships, notably Directive 2002/59 which established a system to prevent accidents and pollution at sea taking into account the international legal rules.

Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
carriers and non-EU carriers should implement the requirements on the handling of plastic pellets by following a priority order of action with the paramount goal of preventing the release of pellets in the environment as the top priority. Therefore, preventing spills of plastic pellets from primary containment during routine handling, thus reducing the risk of spills to the lowest possible level, should be the first step, including by avoiding any unnecessary handling (for instance by reducing the transfer points) and by using puncture-proof packaging, followed by containment of spilled pellets to make sure they do not become a loss to the environment, and eventually by clean up after a spill or loss event as the final step.  Recital 16	carriers and non-EU carriers should implement the requirements on the handling of plastic pellets by following a priority order of action with the paramount goal of preventing the release of pellets in the environment as the top priority. Therefore, preventing spills of plastic pellets from primary containment during routine handling, thus reducing the risk of spills to the lowest possible level, should be the first step, including by avoiding any unnecessary handling (for instance by reducing the transfer points) and by labelling all storage and transport containers containing plastic pellets as well as by using puncture proofappropriate packaging, followed by containment of spilled pellets to make sure they do not become a loss to the environment, and eventually by clean up after a spill or loss event as the final step.	carriers and non-EU carriers should implement the requirements on the handling of plastic pellets by following a priority order of action with the paramount goal offor preventing the release of pellets in the environment as the top priority. Therefore, preventing spills of plastic pellets from primary containment during routine handling, thus reducing the risk of spills to the lowest possible level, should be the first step, including by avoiding any unnecessary handling (for instance by reducing the transfer points) and by using puncture proofgood quality packaging, followed by containment of spilled pellets to make sure they do not become a loss to the environment, and eventually by clean up after a spill or loss event as the final step.	carriers and non-EU carriers should implement the requirements on the handling of plastic pellets by following a priority order of action with the paramount goal of for preventing the release of pellets in the environment as the top priority. Therefore, preventing spills of plastic pellets from primary containment during routine handling, thus reducing the risk of spills to the lowest possible level, should be the first step, including by avoiding any unnecessary handling (for instance by reducing the transfer points) and by using puncture proofgood quality packaging, followed by containment of spilled pellets to make sure they do not become a loss to the environment, and eventually by clean up after a spill or loss event as the final step.  Containment and clean-up interventions should be carried out in a manner that minimizes environmental damage, in particular in sensitive habitats.  Text Origin: Council Mandate

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
c 26	(16) While the aim is to prevent plastic pellet losses to the environment for all economic operators, EU carriers and non-EU carriers, obligations for micro-, small and medium-sized enterprises should be adjusted to mitigate the burden on them.	(16) While the aim is to preventachieve zero plastic pellet losses to the environment for all economic operators, EU carriers and non-EU carriers, obligations for micro, small and medium sized enterprises and enterprises that handle under 1 000 tonnes of plastic pellets annually should be adjusted to mitigate the burden on them.	(16) While the aim is to prevent plastic pellet losses to the environment for all economic operators, EU carriers and non-EU carriers, obligations for micro-, small and medium-sized enterprises should be adjusted to mitigate the burden on them.	(16) While the aim is to prevent plastic pellet losses to the environment for all economic operators, EU carriers and non-EU carriers, obligations for micro-, small and medium-sized enterprises should be adjusted to mitigate the burden on them.  Text Origin: Commission Proposal
Recital	16a			
c 26a			(16a) Member States, on the other hand, should not be prevented from introducing or maintaining more stringent protective measures. Such measures, including targeting economic operators handling more than 5 tonnes of plastic pellets, are to be compatible with the Treaties.	(16a) Member States, on the other hand, should not be prevented from introducing or maintaining more stringent protective measures. Such measures, including targeting economic operators handling more than 5 tonnes of plastic pellets, are to be compatible with the Treaties.
Recital	17			
s 27	(17) The registration of installations handling plastic pellets and of carriers transporting them is necessary for the traceability of plastic pellets handled and transported in each Member State and to allow	(17) The registration of installations handling plastic pellets and of carriers transporting them is necessary for the traceability of plastic pellets handled and transported in each Member State and to allow	(17) The registration of installations handling plastic pellets and of carriers transporting them is necessary for the traceability of plastic pellets handled and transported in each Member State and to allow	(17) The registration of installations handling plastic pellets and of carriers transporting them is necessary for the traceability of plastic pellets handled and transported in each Member State and to allow

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		competent authorities to perform compliance checks efficiently.	competent authorities to perform compliance checks efficiently.	competent authorities to perform compliance checks efficiently.	competent authorities to perform compliance checks efficiently.
					Text Origin: Commission Proposal
	Recital 1	8			
c	28	(18) In order to prevent plastic pellet losses, economic operators should establish, implement, and update at all times a risk assessment plan identifying potential for spills and losses and documenting in particular specific equipment and procedures in place to prevent, contain and clean up pellet losses, taking into consideration the installation size and the scale of operations.	(18) In order to prevent plastic pellet losses and to achieve zero plastic pellet losses, economic operators should establish, implement, and update at all times a risk assessment plan identifying potential for spills and losses and documenting in particular specific equipment and procedures in place to prevent, contain and clean up pellet losses, taking into consideration the installation size and the scale of operations.	(18) In order to prevent plastic pellet losses, economic operators should establish, implement, and update at all times a risk management plan, including a risk assessment-plan, identifying potential for spills and losses and documenting in particular existing specific equipment and procedures in place to prevent, contain and clean up pellet losses <sub>5</sub> . The risk management plan should also consider the costs and benefits of additional equipment and procedures to address the identified risks taking into consideration the installation size and the scale of operations.	(18) In order to prevent plastic pellet losses, economic operators should establish, implement, and update at all times a risk management plan, including a risk assessment plan, including a risk assessment plan, identifying potential for spills and losses and documenting in particular existing specific equipment and procedures in place to prevent, contain and clean up pellet losses. The risk management plan should also consider the costs and benefits of additional equipment and procedures to address the identified risks taking into consideration the nature and size of the installation size and as well as the scale of its operations. Where additives have been added to plastic pellets, economic operators should consider the hazard properties of the plastic pellets concerned, and where such additives are likely to increase the harm to human health or the environment in case

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
					of pellet losses, economic operators should consider including this information in the risk management plan.  Text Origin: Council Mandate
	Recital 1	9			
G	29	(19) To enable competent authorities to verify compliance with the risk assessment plan's requirements, economic operators should provide the competent authority with the risk assessment plan they have conducted, together with a self-declaration of conformity.	(19) To enable competent authorities to verify compliance with the risk assessment plan's requirements, economic operators should provide the competent authority with the risk assessment plan they have conducted, together with a self-declaration of conformity.	(19) To enable competent authorities to verify compliance with the risk assessmentmanagement plan's requirements, economic operators should provide the competent authority with the risk assessmentmanagement plan they have conducteddeveloped, together with a self-declaration of conformity or a certificate, as applicable.	(19) To enable competent authorities to verify compliance with the risk assessment management plan's requirements, economic operators should provide the competent authority with the risk assessment management plan they have conducted developed, together with a self-declaration of conformity or a certificate, as applicable.
	Recital 2	0			
R	30	(20) Economic operators should be able to choose the specific equipment to install or the procedure to execute.  Nevertheless, competent authorities, while verifying compliance, should be able to require economic operators to amend the risk assessment plan including by taking, in a given	(20) Economic operators should be able to chooseput in place the specific equipment to install or the procedure procedures to execute. Nevertheless, competent authorities, while verifying compliance, should be able to require economic operators to amend the risk assessment plan including by taking, in a given	(20) Economic operators should be able to choosedetermine through a risk-based approach the specific equipment to install or the procedure to executeprocedures to implement. Nevertheless, competent authorities, while verifying compliance, should be able to require economic operators to	(20) Economic operators should be able to choose the specific equipment to install or the procedure to execute.  Nevertheless, competent authorities, while verifying compliance, should be able to require economic operators to amend the risk assessment plan including by taking, in a given

7198/1/25 REV 1

TREE.1.A

LIMITE

30

EN

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	timeframe, any of the actions listed in this Regulation to ensure adequate implementation of the requirements of this Regulation.	timeframe, any of the actions listed in this Regulation to ensure adequate implementation of the requirements of this Regulation.  Economic operators should be able to be exempted from installing certain types of equipment or from adopting certain measures if they duly justify such exemptions to the competent authorities, taking into account the nature and size of the installation and the scale of its operations. Micro enterprises should consider at least the specific equipment to be installed or the procedures to be executed, taking into account the nature and size of the installation as well as the scale of its operations.	amend the risk assessmentmanagement plan including by taking, in a given timeframe, any of the actions listed in this Regulation to ensure adequate implementation of the requirements of this Regulation.	timeframe, any of the actions listed in this Regulation to ensure adequate implementation of the requirements of this Regulation.  Text Origin: Council Mandate
Recital	21			
s 31	(21) In order to evaluate the adequacy of the risk assessment plan carried out for each installation, economic operators should keep record of an estimate of the quantity of the pellets released to the environment per year, together with the total volume handled. To reduce burden on economic operators, the information on estimates of quantities released may be used in	(21) In order to evaluate the adequacy of the risk assessment plan carried out for each installation, economic operators should keep record of an estimate of the quantity of the pellets released to the environment per year, together with the total volume handled. To reduce burden on economic operators, the information on estimates of quantities released may be used in	(21) In order to evaluate the adequacy of the risk assessment plan carried outmanagement plan designed for each installation, economic operators should keep record of an estimate of the quantity of the pellets released to the environment per year, together with the total volumequantities handled. To reduce burden on economic operators, the competent authorities and	(21) In order to evaluate the adequacy of the risk assessment plan carried outmanagement plan designed for each installation, economic operators should keep record of an estimate of the quantity of the pellets released to the environment per year, together with the total volumequantities handled. To reduce burden on economic operators, the competent authorities and certifiers should

7198/1/25 REV 1

TREE.1.A

LIMITE

31

EN

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	the framework of the reporting requirement under Commission Regulation (EU) 2023/2055.	the framework of the reporting requirement under Commission Regulation (EU) 2023/2055.	certifiers should be able to use information on estimates of quantities released may be used in the framework of the reporting requirement under Commission Regulation (EU) 2023/2055.	be able to use information on estimates of quantities released may be used in the framework of the reporting requirement under Commission Regulation (EU) 2023/2055.  Text Origin: Council Mandate
Recital	22			
G 32	(22) Due to the characteristics of their activity, carriers should not be mandated to conduct a risk assessment plan. Instead, they should be required to undertake tangible measures aimed at preventing, containing, and addressing spills and losses. These measures should be subject to verification by competent authorities, primarily during the transportation process.	(22) Due to the characteristics of their activity, carriers should not be mandated to conduct a risk assessment plan. Instead, they should be required to undertake tangible measures aimed at preventing, containing, and addressing spills and losses. These measures should be subject to verification by competent authorities, primarily during the transportation process.	(22) Due to the characteristics of their activity, carriers should not be mandated to conductdevelop and implement a risk assessmentmanagement plan.  Instead, they should be required to undertake tangible measures aimed at preventing, containing, and addressing spills and losses. These measures should be subject to verification by competent authorities, primarily during the transportation process.	(22) Due to the characteristics of their activity, carriers should not be mandated to conductdevelop and implement a risk assessment management plan.  Instead, they should be required to undertake tangible measures aimed at preventing, containing, and addressing spills and losses. These measures should be subject to verification by competent authorities, primarily during the transportation process. Some of these measures should be implemented during loading and unloading operations, which are hotspots for spills and losses. These operations are typically carried out under the responsibility of both the economic operators and the carriers, while the carriers are the only responsible for the transportation journey.

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
					Text Origin: Council Mandate
	Recital 2	2a			
R	32a			(22a) Non-EU carriers should designate an authorised representative, which should act on behalf of the non-EU carrier and may be addressed by any competent authority. The representative should be explicitly designated by a written mandate of the non-EU carrier with regard to specific obligations under this Regulation. The designation of such representative does not affect the responsibility or liability of the non-EU carrier under this Regulation. The authorised representative should be subject to enforcement proceedings, as far as its mandate is concerned, in the event of non-compliance by the non-EU carrier.	R
	Recital 2	3			
R	33	implementation of the actions required to prevent plastic pellet losses requires the full cooperation and commitment of economic operators', EU carriers' and non-	implementation of the actions required to prevent <i>plastic pellet</i> losses and to achieve zero plastic pellet losses requires the full cooperation and commitment of	implementation of the actions required to prevent plastic pellet losses requires the full cooperation and commitment of economic operators', EU carriers' and non-	(23) The successful implementation of the actions required to prevent, <i>contain and clean-up</i> plastic pellet losses requires the full cooperation and commitment of economic

7198/1/25 REV 1

TREE.1.A

LIMITE

33

EN

# **Commission Proposal** EU carriers' employees. Economic operators and EU carriers should be required to train their staff according to their employees' specific roles and responsibilities in order to ensure they are aware of and are able to use the equipment and execute the procedures necessary to ensure compliance with the requirements laid down in this Regulation. Economic operators and EU carriers should also be required to monitor and keep records of the relevant actions to implement the requirements set out in this Regulation, for instance, the placement of new catchment devices. Where appropriate, they should adopt corrective actions including, where necessary, the improvement of equipment and procedures in place.

## **EP Mandate**

economic operators', EU carriers' and non-EU carriers' employees. Economic operators and EU carriers should be required to train their staff according to their employees' specific roles and responsibilities in order to ensure they are aware of and are able to use the equipment and execute the procedures necessary to ensure compliance with the requirements laid down in this Regulation. Economic operators and EU carriers should also be required to monitor and keep records of the relevant actions to implement the requirements set out in this Regulation, for instance, the placement of new catchment devices. Where appropriate, they should adopt corrective actions including, where necessary, the improvement of equipment and procedures in place.

### **Council Mandate**

EU carriers' employees. Economic operators. EU carriers and non-EU and EU carriers should be required to train their staff according to their employees' specific roles and responsibilities in order to ensure they are aware of and are able to use the equipment and execute the procedures necessary to ensure compliance with the requirements laid down in this Regulation. Economic operators, EU carriers and non-EU and EU carriers should also be required to monitor and keep records of the relevant actions to implement the requirements set out in this Regulation, for instance, the placement of new catchment devices. Where appropriate, they should adopt corrective actions including, where necessary, the improvement of equipment and procedures in place.

# **Draft Agreement**

operators', EU carriers' and non-EU carriers' employees. Economic operators, EU carriers and non-**EU**-and EU carriers should be required to train their staff according to their employees' specific roles and responsibilities in order to ensure they are aware of and are able to *install*, use and maintainuse the equipment and execute the procedures necessary to ensure compliance with the requirements laid down in this Regulation, including how to monitor and report plastic pellet losses. Economic operators, EU carriers and non-EU-and EU carriers should also be required to monitor and keep records of the relevant actions *taken* to implement the requirements set out in this Regulation, for instance, the placement of new catchment devices Where appropriate, they should adopt corrective actions including, where necessary, the improvement of equipment and procedures in place. They should also be required to monitor and keep records of annually estimated quantities of losses to help fill persisting knowledge gaps.

7198/1/25 REV 1 34
TREE.1.A LIMITE.

Commission Prope	osal EP Mandate	Council Mandate	Draft Agreement
Recital 24			
(24) Medium and large-senterprises that operate installations where plastic are handled in quantities a 000 tonnes may bring high of pellet losses to the environmental verifier lice carry out verification and validation in accordance w Regulation (EC) No 1221/the European Parliament a the Council¹ on the volunt participation by Organisati Community eco-managem audit scheme (EMAS). The certificate should correspond	sized enterprises that operate installations where plastic per are handled in quantities about the properties of pellet losses to the environs of pellet losses, these enterprises should be required to implement for each installation, extra active carrying out an annual in assessment, and adopting a mandatory training programment addressing specific training of and modalities issues in relative protection, clean-up technology and maintenance of the procedures, as well as the monitoring and reporting of plastic pellet losses. In additing for these enterprises, compliant with the requirements laid do this Regulation should be demonstrated by obtaining, a renewing, a certificate issued certifiers. These certifiers care either be an accredited conformation and environmental verifier licent carry out verification and	sized Economic operators that are not micro enterprises and that operate installations where plastic pellets are handled in quantities equal to or above the threshold of 1 000 tonnes may bring higher risks of pellet losses to the environment. For this reason, these enterprises should be required to implement, for each installation, extra actions like carrying out an annual internal assessment, and in case of medium and large-sized enterprises, adopting a training programme addressing specific training needs and modalities. The internal assessment can among others cover the following subjects: the estimated quantities and causes of losses; the preventive, containment and clean up equipment or procedures implemented to avoid future losses, and their effectiveness; discussions with the personnel, inspections of equipment and procedures in place and revision of any relevant documentation. In addition, for these enterprises,	

Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
unique format in order to ensure homogeneous information.  1. Regulation (EC) No 1221/2009 of the European Parliament and of the Council of 25 November 2009 on the voluntary participation by organisations in a Community eco-management and audit scheme (EMAS), repealing Regulation (EC) No 761/2001 and Commission Decisions 2001/681/EC and 2006/193/EC (OJ L 342, 22.12.2009, p. 1).	Regulation (EC) No 1221/2009 of the European Parliament and of the Council¹ on the voluntary participation by organisations in a Community eco-management and audit scheme (EMAS). The certificate should correspond to a unique format in order to ensure homogeneous information. Small enterprises operating installations where plastic pellets in quantities above 1 000 tonnes have been handled should obtain certification only once. Such certification should be valid for 5 years after which they should notify an update of their risk assessment plan as well as a self-declaration of conformity every 5 years.  1. Regulation (EC) No 1221/2009 of the European Parliament and of the Council of 25 November 2009 on the voluntary participation by organisations in a Community eco-management and audit scheme (EMAS), repealing Regulation (EC) No 761/2001 and Commission Decisions 2001/681/EC and 2006/193/EC (OJ L 342, 22.12.2009, p. 1).	laid down in this Regulation should be demonstrated by obtaining, and renewing, a certificate issued by certifiers. The certifier, in particular consultancy services, should not engage in any activity that may conflict with their independence of judgement, or integrity in relation to the certification activities for which they are accredited. These certifiers can either be an accredited conformity assessment body, or an environmental verifier licenced to carry out verification and validation in accordance with Regulation (EC) No 1221/2009 of the European Parliament and of the Council¹ on the voluntary participation by organisations in a Community eco-management and audit scheme (EMAS), or an environmental verifier licenced to carry out verification and validation of another environmental management system (EMS). The certificate should correspond to a unique format in order to ensure homogeneous information.  1. Regulation (EC) No 1221/2009 of the European Parliament and of the Council of	

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				25 November 2009 on the voluntary participation by organisations in a Community eco-management and audit scheme (EMAS), repealing Regulation (EC) No 761/2001 and Commission Decisions 2001/681/EC and 2006/193/EC (OJ L 342, 22.12.2009, p. 1).	
	Recital 2	5			
R	35	(25) Micro and small-sized enterprises, and medium and large-sized enterprises operating installations where plastic pellets in quantities below 1 000 tonnes have been handled should be required to be subject to a self-declaration of conformity. They should also be given sufficient time to demonstrate their compliance.	(25) Micro and small sized enterprises Small, and medium and large-sized enterprises operating installations where plastic pellets in quantities below 1 000 tonnes have been handled and micro enterprises should be required to be subject to a self-declaration of conformity. They should also be given sufficient time to demonstrate their compliance.	enterprises, and small, medium and large-sized enterprises operating installations where plastic pellets in quantities below the threshold of 1 000 tonnes have been handled should be required to be subject to a self-declaration of conformity. They should also be given sufficient time to demonstrate their compliance.	R
	Recital 2	6			
G	36	(26) To enable competent authorities to more efficiently verify compliance under this Regulation, certifiers should notify competent authorities about the outcome of their assessments. Certificates should not prejudge the assessment on compliance by competent authorities.	(26) To enable competent authorities to more efficiently verify compliance under this Regulation, certifiers should notify competent authorities about the outcome of their assessments. Certificates should not prejudge the assessment on compliance by competent authorities.	(26) To enable competent authorities to more efficiently verify compliance under this Regulation, certifiers should notify competent authorities about the outcome of their assessments. Certificates should not prejudge the assessment on compliance by competent authorities.	(26) To enable competent authorities to more efficiently verify compliance under this Regulation, certifiers should notify competent authorities about the outcome of their assessments. Certificates should not prejudge the assessment on compliance by competent authorities.  Text Origin: Commission Proposal

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
Recital 2	26a			
v 36a			(26a) In order to ensure transparency, competent authorities should make certain information publicly available. This includes the notification on installations operated, involvement in the transport of plastic pellets in the Union including significant changes from what was previously notified, the establishment of an authorised representative, risk management plans, self-declarations of conformity, certificates and permits, which should be accessible through an easy-to-find, free, and unrestricted online platform. However, to ensure safety and confidentiality, authorities can withhold specific details if their release would jeopardize the safety of the concerned installations, local populations, or other public interests. The Commission will also publish lists of national websites and authorised representatives of non-EU carriers to ensure wide access to this information while safeguarding business confidentiality.	

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
R	ecital 2	27			
R	37	registered, economic operators are required to comply with environmental legislation, including this Regulation. Consequently, economic operators which are registered to EMAS should be considered compliant with the requirements laid down in this Regulation provided that an environmental verifier has verified that requirements laid down in this Regulation have been included in their environmental management system and implemented. These economic operators should therefore be exempted from the obligations of certification and notification to competent authorities when renewing self-declarations and risk assessments.	registered, economic operators are required to comply with environmental legislation, including this Regulation. Consequently, economic operators which are registered to EMAS should be considered compliant with the requirements laid down in this Regulation provided that an environmental verifier has verified that requirements laid down in this Regulation have been included in their environmental management system and implemented. These economic operators should therefore be exempted from the obligations of certification and notification to competent authorities when renewing self-declarations and risk assessments.	registered, economic operators are required to comply with environmental legislation, including this Regulation. Consequently, economic operators which are registered to EMAS should be considered compliant with the requirements laid down in this Regulation provided that an environmental verifier has verified that requirements laid down in this Regulation have been included in their environmental management system and implemented. These economic operators should therefore be exempted from the obligations of certification and notification to competent authorities when renewing self-declarations and risk assessmentsmanagement plan. In addition to the exemption provided for EMAS and for the purpose of decreasing the burden on other high-integrity systems, it is possible for economic operators that prepare and implement other environmental management systems for each installation to be exempted from compliance in	

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				this Regulation upon meeting certain criteria as laid down in this Regulation.	
	Recital 2	28			
G	38	(28) Competent authorities should verify economic operators', EU carriers' and non-EU carriers' compliance with the obligations arising from this Regulation using, if appropriate, the findings provided as part of the certification or as self-declarations, based on either environmental inspections or other verification measures, on a risk-based approach. Inspections should, where possible, be coordinated with those required under other Union legislation. Competent authorities should provide the Commission with information on the implementation of this Regulation.	(28) Competent authorities should verify economic operators', EU carriers' and non-EU carriers' compliance with the obligations arising from this Regulation using, if appropriate, the findings provided as part of the certification or as self-declarations, based on either environmental inspections or other verification measures, on a risk-based approach. Inspections should, where possible, be coordinated with those required under other Union legislation. Competent authorities should provide the Commission with information on the implementation of this Regulation.	(28) Competent authorities should verify economic operators', EU carriers' and non-EU carriers' compliance with the obligations arising from this Regulation using, if appropriate, the findings provided as part of the certification or as-self-declarations <sub>5</sub> . Such verification should be based, as appropriate, on-either environmental inspections or other verification measures, on a risk-based approach. Inspections should, where possible, be coordinated with those required under other Union legislationlegal acts. Competent authorities should provide the Commission with information on the implementation of this Regulation.	(28) Competent authorities should verify economic operators', EU carriers' and non-EU carriers' compliance with the obligations arising from this Regulation using, if appropriate, the findings provided as part of the certification or as self-declarations. Such verification should be based, as appropriate, on either environmental inspections or other verification measures, on a risk-based approach. Inspections should, where possible, be coordinated with those required under other Union legislationlegal acts. Competent authorities should provide the Commission with information on the implementation of this Regulation.  Text Origin: Council Mandate
	Recital 2	28a			
R	38a			(28a) Member States may ensure compliance with this Regulation through permits based on a system of regular	R

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
Posital			inspections of installations to examine the full range of relevant environmental effects, including plastic pellet spills and losses. For installations located in a Member State choosing that compliance is to be enforced and verified through such system of permits and regular inspections, economic operators are exempted from obtaining a certificate or submitting a self-declaration of conformity for those installations for which they hold a permit which imposes the conditions necessary for compliance with this Regulation and its Annexes. For installations for which such exemption applies, economic operators should notify the relevant competent authority about the risk management plan and regular updates of the plan. When compliance is ensured through permits, Member States should take the necessary steps to revise the conditions of existing permits and issue new permits such that compliance with this Regulation and its Annexes is ensured in due time.	
Recital	280			

Co	Council Mandate	Commission Proposal EP Mandate	Draft Agreement
	(28b) According to the Directive 2008/98/EC, Member States should require recyclers to obtain a permit, the conditions of which should ensure that the production of plastic pellets is conducted without endangering human health or harming the environment, particularly avoiding risks to water, air, soil, plants, or animals.		R
		29	
cts of crator sier sier sier sier sier sier sier sie	effects of any loss, the economic operator, EU carrier and non-EU carrier should take the measures necessary to restore compliance. The corrective action required should be proportionate to the infringement detected and its expected harmful effects on the environment. Where competent authorities detect an infringement of this Regulation, they should notify the economic operator, the EU carrier or the non-EU carrier about the infringement detected and require that corrective	(29) In order to minimise the effects of any loss, the economic operator, EU carrier and non-EU carrier should take the measures necessary to restore compliance. The corrective action required should be proportionate to the infringement detected and its expected harmful effects on the environment. Where competent authorities detect an infringement of this Regulation, they should notify the economic operator, the EU carrier or the non-EU carrier about the infringement detected and require that corrective measures are taken to restore compliance.  (29) In order to minimise the effects of any loss, the economic operator, EU carrier and non-EU carrier should take the measures necessary to restore compliance.  The corrective action required should be proportionate to the infringement detected and its expected harmful effects on the environment. Where competent authorities detect an infringement of this Regulation, they should notify the economic operator, EU carrier and non-EU carrier abould take the measures necessary to restore compliance.  The corrective action required should be proportionate to the infringement detected and its expected harmful effects on the environment. Where competent authorities detect an infringement of this Regulation, they should notify the economic operator, the EU carrier or the non-EU carrier about the infringement detected and require that corrective measures are taken to restore compliance.	(29) In order to minimise the effects of any loss, the economic operator, EU carrier and non-EU carrier should take the measures necessary to restore compliance. The corrective action required should be proportionate to the infringement detected and its expected harmful effects on the environment. Where competent authorities detect an infringement of this Regulation, they should notify the economic operator, the EU carrier or the non-EU carrier about the infringement detected and require that corrective measures are taken to restore compliance.
ut the requ sure	about and remarks	about the infringement detected and require that corrective measures are taken to restore about the infringement detected and require that corrective measures are taken to restore	the infringement detected equire that corrective ures are taken to restore

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	Recital 3	0			
Υ	40	(30) Competent authorities should have a minimum set of inspection and enforcement powers in order to ensure compliance with this Regulation, to cooperate with each other more quickly and more efficiently, and to deter economic operators, EU carriers and non-EU carriers from infringing this Regulation. Those powers should be sufficient to tackle the enforcement challenges and to prevent non-compliant economic operators from exploiting gaps in the enforcement system by relocating to Member States whose competent authorities are not equipped to tackle unlawful practices.	(30) Competent authorities should have a minimum set of inspection and enforcement powers in order to ensure compliance with this Regulation, to cooperate with each other more quickly and more efficiently, and to deter economic operators, EU carriers and non-EU carriers from infringing this Regulation. Those powers should be sufficient to tackle the enforcement challenges and to prevent non-compliant economic operators from exploiting gaps in the enforcement system by relocating to Member States whose competent authorities are not equipped to tackle unlawful practices.	(30) Competent authorities should have a minimum set of inspection and enforcement powers in order to ensure compliance with this Regulation, to cooperate with each other more quickly and more efficiently, and to deter economic operators, EU carriers and non-EU carriers, authorised representatives, and shippers, operators, agents and masters of sea-going vessels transporting pellets, where relevant, from infringing this Regulation. Those powers should be sufficient to tackle the enforcement challenges and to prevent non-compliant economic operators from exploiting gaps in the enforcement system by relocating to Member States whose competent authorities are not equipped to tackle unlawful practices.	Y
	Recital 3	1			
G	41	(31) Competent authorities should be able to use all facts and circumstances of the case as evidence for the purpose of their inspection.	(31) Competent authorities should be able to use all facts and circumstances of the case as evidence for the purpose of their inspection.	(31) Competent authorities should be able to use all facts and circumstances of the case as evidence for the purpose of their inspection.	(31) Competent authorities should be able to use all facts and circumstances of the case as evidence for the purpose of their inspection.

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
					Text Origin: Commission Proposal
R	ecital 3	2			
Y	42	(32) Micro, small and medium- sized enterprises (SMEs) in the pellet supply chain should comply with the relevant obligations laid down in this Regulation, however they could face proportionally higher costs and difficulties when complying with some of the obligations. The Commission should raise awareness among economic operators and carriers regarding the necessity of preventing pellet losses. Additionally, the Commission should develop training materials to assist them in fulfilling their obligations, particularly with respect to the requirements of the risk assessment. Member States should provide access to information and assistance regarding compliance with obligations and the risk assessment requirements. Regarding the assistance of Member States, this could include technical and financial support as well as specialised training to SMEs. Member States actions should be	(32) As micro, small and medium-sized enterprises (SMEs) inaccount for an important share of the pellet supply chain, they should comply with the relevant obligations laid down in this Regulation, however they could facewhile taking into consideration the possible different challenges linked to that compliance and the possible proportionally higher costs and difficulties when complying with some of the obligations. The Commission and the competent authorities should raise awareness among economic operators and carriers regarding the necessity of preventing pellet losses. Additionally, the Commission and the competent authorities should develop training materials, in consultation with all relevant stakeholders, to assist themeconomic operators and carriers in fulfilling their obligations, particularly with respect to the requirements of the risk assessment. That should be done by taking into account the	(32) Micro, small and medium- sized enterprises (SMEs) in the plastic pellet supply chain should comply with the relevant obligations laid down in this Regulation, however they could face proportionally higher costs and difficulties when complying with some of the obligations. The Commission should raise awareness among economic operators and carriers regarding the necessity of preventing pellet losses. Additionally, the Commission should develop training materials to assist them in fulfilling their obligations, particularly with respect to the requirements of the risk assessment. Member States should provide access to information and assistance regarding compliance with obligations and the risk assessment requirements. Regarding The assistance of Member States, this could can include technical and financial support, as far as necessary and appropriate, as well as specialised training to	(32) Micro, small and medium- sized enterprises account for an important share of the plastic (SMEs) in the pellet supply chain should comply. When complying with the relevant obligations laid down in this Regulation, however they could face specific implementation challenges and proportionally higher costs—and difficulties when complying with some of the obligations. The Commission should raise awareness among economic operators and carriers regarding the necessity of preventing pellet losses.  Additionally, in consultation with all relevant stakeholders, the Commission should develop training materials, which may take various forms, including guides and courses, to assist themeconomic operators and carriers in fulfilling their obligations, particularly with respect to the requirements of the risk assessment. The non-binding Recommendation adopted by the parties to the Convention for the

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		taken in respect of applicable State aid rules.	non-binding Recommendation adopted by the parties to the Convention for the Protection of the Marine Environment of the North-East Atlantic (OSPAR).  Member States should provide access to information and assistance regarding compliance with obligations and the risk assessment requirements. Regarding the assistance of Member States, this could include technical and financial support as well as and specialised training to SMEsall personnel handling plastic pellets, as well as financial support and access to finance for micro and small enterprises as well as for installations handling plastic pellets in smaller quantities. Member States actions should be taken in respect of applicable State aid rules.	SMEsmicro, small and medium sized enterprises. Member States actions should be taken in respect of applicable State aid rules.	Protection of the Marine Environment of the North-East Atlantic (OSPAR) on the reduction of plastic pellet loss into the marine environment should be considered in that regard. Member States should provide access to information and assistance regarding compliance with obligations and the risk assessment requirements. Regarding the assistance of Member States, this could include technical and financial support as well as and specialised training to SMEsall personnel handling plastic pellets, as well as financial support and access to finance for micro and small enterprises as well as for installations handling plastic pellets in smaller quantities. Member States actions should be taken in respect of applicable State aid rules.
	Recital 3	3			
G	43	(33) In order to facilitate common grounds to estimate the losses of plastic pellets to the environment, it is necessary to have a standardised methodology set in a harmonised standard that is adopted in accordance with Regulation (EU) No 1025/2012 of	(33) In order to facilitate common grounds to estimate the losses of plastic pellets to the environment, it is necessary to have a standardised methodology set in a harmonised standard that is adopted in accordance with Regulation (EU) No 1025/2012 of	(33) In order to facilitate common grounds to estimate the losses of plastic pellets to the environment, it is necessary to have a standardised methodology set in a harmonised standard that is adopted in accordance with Regulation (EU) No 1025/2012 of	(33) In order to facilitate common grounds to estimate the losses of plastic pellets to the environment, it is necessary to have a standardised methodology set in a harmonised standard that is adopted in accordance with Regulation (EU) No 1025/2012 of

7198/1/25 REV 1

TREE.1.A LIMITE

45

EN

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
the  1. R Eur 25 ( stan Dire and 95/1 200 and Parl repe and Eur	e European Parliament and of e Council <sup>1</sup> .  Regulation (EU) No 1025/2012 of the ropean Parliament and of the Council of October 2012 on European ndardisation, amending Council rectives 89/686/EEC and 93/15/EEC d Directives 94/9/EC, 94/25/EC, /16/EC, 97/23/EC, 98/34/EC, 04/22/EC, 2007/23/EC, 2009/23/EC d 2009/105/EC of the European rliament and of the Council and bealing Council Decision 87/95/EEC d Decision No 1673/2006/EC of the ropean Parliament and of the Council J L 316, 14.11.2012, p. 12–33)	the European Parliament and of the Council <sup>1</sup> . <i>Pending the adoption of the standardised methodology, economic operators should indicate the methodology used when reporting losses of plastic pellets.</i> 1. Regulation (EU) No 1025/2012 of the European Parliament and of the Council of 25 October 2012 on European standardisation, amending Council Directives 89/686/EEC and 93/15/EEC and Directives 94/9/EC, 94/25/EC, 95/16/EC, 97/23/EC, 2009/23/EC and 2009/105/EC of the European Parliament and of the Council and repealing Council Decision 87/95/EEC and Decision No 1673/2006/EC of the European Parliament and of the Council (OJ L 316, 14.11.2012, p. 12–33)	the European Parliament and of the Council <sup>1</sup> . Regulation (EU) No 1025/2012 provides for a procedure for objections to harmonised standards where those standards do not entirely satisfy the requirements set out in this Regulation.  1. Regulation (EU) No 1025/2012 of the European Parliament and of the Council of 25 October 2012 on European standardisation, amending Council Directives 89/686/EEC and 93/15/EEC and Directives 94/9/EC, 94/25/EC, 95/16/EC, 97/23/EC, 98/34/EC, 2004/22/EC, 2007/23/EC, 2009/23/EC and 2009/105/EC of the European Parliament and of the Council and repealing Council Decision 87/95/EEC and Decision No 1673/2006/ECNo 1673/2006/EC of the European Parliament and of the Council (OJ L 316, 14.11.2012, p. 12–33).	the European Parliament and of the Council¹. Regulation (EU) No 1025/2012 provides for a procedure for objections to harmonised standards where those standards do not entirely satisfy the requirements set out in this Regulation.  1. Regulation (EU) No 1025/2012 of the European Parliament and of the Council of 25 October 2012 on European standardisation, amending Council Directives 89/686/EEC and 93/15/EEC and Directives 94/9/EC, 94/25/EC, 95/16/EC, 97/23/EC, 98/34/EC, 2004/22/EC, 2007/23/EC, 2009/23/EC and 2009/105/EC of the European Parliament and of the Council and repealing Council Decision 87/95/EEC and Decision No 1673/2006/EC of the European Parliament and of the Council (OJ L 316, 14.11.2012, p. 12–33).  Text Origin: Council Mandate
Recital 34				
44 har star the	4) Regulation (EU) No 125/2012 provides for a ocedure for objections to armonised standards where those andards do not entirely satisfy e requirements set out in this egulation.	(34) Regulation (EU) No 1025/2012 provides for a procedure for objections to harmonised standards where those standards do not entirely satisfy the requirements set out in this Regulation.	deleted	deleted
Recital 35				

7198/1/25 REV 1

TREE.1.A LIMITE

46

EN

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
c 45	(35) In order to ensure that the objectives of this Regulation are achieved, and the requirements are enforced effectively, Member States should designate their own competent authorities responsible for the application and enforcement of this Regulation. In cases where there is more than one designated competent authority in their territory, in order to ensure effective exercise of the duties of the competent authorities, Member States should ensure close cooperation between all designated competent authorities.	(35) In order to ensure that the objectives of this Regulation are achieved, and the requirements are enforced effectively, Member States should designate their own competent authorities responsible for the application and enforcement of this Regulation. In cases where there is more than one designated competent authority in their territory, in order to ensure effective exercise of the duties of the competent authorities, Member States should ensure close cooperation between all designated competent authorities.	(35) In order to ensure that the objectives of this Regulation are achieved, and the requirements are enforced effectively, Member States should designate their own competent authorities responsible for the application and enforcement of this Regulation. In cases where there is more than one designated competent authority in their territory, in order to ensure effective exercise of the duties of the competent authorities, Member States should ensure close cooperation between all designated competent authorities.	(35) In order to ensure that the objectives of this Regulation are achieved, and the requirements are enforced effectively, Member States should designate their own competent authorities responsible for the application and enforcement of this Regulation. In cases where there is more than one designated competent authority in their territory, in order to ensure effective exercise of the duties of the competent authorities, Member States should ensure close cooperation between all designated competent authorities.  Text Origin: Commission Proposal
Recital 3	<u> </u> 36			
6 46	(36) In order to ensure compliance, competent authorities should also take the necessary steps, including inspections and hearings when in possession of and based on relevant information, including substantiated complaints submitted by third parties. Third parties submitting a complaint should be able to demonstrate a sufficient interest or maintain the impairment of a right.	(36) In order to ensure compliance, competent authorities should also take the necessary steps, including inspections and hearings when in possession of and based on relevant information, including substantiated complaints submitted by third parties. Third parties submitting a complaint should be able to demonstrate a sufficient interest or maintain the impairment of a right.	(36) In order to ensure compliance, competent authorities should also take the necessary steps, including inspections and hearings when in possession of and based on relevant information, including substantiated complaints submitted by third parties. Third parties submitting a complaint should be able to demonstrate a sufficient interest or maintain the impairment of a right.	(36) In order to ensure compliance, competent authorities should also take the necessary steps, including inspections and hearings when in possession of and based on relevant information, including substantiated complaints submitted by third parties. Third parties submitting a complaint should be able to demonstrate a sufficient interest or maintain the impairment of a right.

					Text Origin: Commission Proposal
	Recital 3	7			
G	47	(37) Member States should ensure that any measures taken by their competent authorities under this Regulation are subject to effective judicial remedies in accordance with Article 47 of the Charter of Fundamental Rights of the European Union¹. According to settled case law of the Court of Justice of the European Union, it is for the courts of the Member States to ensure judicial protection of a person's rights under Union law. Furthermore, Article 19(1) of the Treaty on European Union requires Member States to provide remedies that are sufficient to ensure effective judicial protection in the fields covered by Union law. In this respect, Member States should ensure that the public, including natural or legal persons in accordance with this Regulation, has access to justice in line with the obligations that Member States have agreed to as parties to the UN Economic Commission for Europe (UNECE) Convention on Access to Information, Public Participation	(37) Member States should ensure that any measures taken by their competent authorities under this Regulation are subject to effective judicial remedies in accordance with Article 47 of the Charter of Fundamental Rights of the European Union¹. According to settled case law of the Court of Justice of the European Union, it is for the courts of the Member States to ensure judicial protection of a person's rights under Union law. Furthermore, Article 19(1) of the Treaty on European Union requires Member States to provide remedies that are sufficient to ensure effective judicial protection in the fields covered by Union law. In this respect, Member States should ensure that the public, including natural or legal persons in accordance with this Regulation, has access to justice in line with the obligations that Member States have agreed to as parties to the UN Economic Commission for Europe (UNECE) Convention on Access to Information, Public Participation	ensure that any measures taken by their competent authorities under this Regulation are subject to effective judicial remedies in accordance with Article 47 of the Charter of Fundamental Rights of the European Union <sup>+</sup> (the 'Charter'). According to settled case law of the Court of Justice of the European Union, it is for the courts of the Member States to ensure judicial protection of a person's rights under Union law. Furthermore, Article 19(1) of the Treaty onof the European Union (TEU) requires Member States to provide remedies that are sufficient to ensure effective judiciallegal protection in the fields covered by Union law. In this respect, Member States should ensure that the public, including natural or legal persons in accordance with this Regulation, has access to justice in line with the obligations that Member States have agreed to as parties to the UN Economic Commission for Europe (UNECE) Convention on Access	ensure that any measures taken by their competent authorities under this Regulation are subject to effective judicial remedies in accordance with Article 47 of the Charter of Fundamental Rights of the European Union the European Union the European Union, it is for the courts of the Member States to ensure judicial protection of a person's rights under Union law. Furthermore, Article 19(1) of the Treaty and the European Union (TEU) requires Member States to provide remedies that are sufficient to ensure effective judicial legal protection in the fields covered by Union law. In this respect, Member States should ensure that the public, including natural or legal persons in accordance with this Regulation, has access to justice in line with the obligations that Member States have agreed to as parties to the UN Economic Commission for Europe (UNECE) Convention on Access

**EP Mandate** 

**Commission Proposal** 

Council Mandate

**Draft Agreement** 

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		in Decision-making and Access to Justice in Environmental Matters of 25 June 1998 (the 'Aarhus Convention')².  1. OJ C 326, 26.10.2012, p. 391–407: OJ C 326, 26.10.2012, p. 391–407 2. https://unece.org/environment-policy/public-participation/aarhus-convention/text	in Decision-making and Access to Justice in Environmental Matters of 25 June 1998 (the 'Aarhus Convention')².  1. OJ C 326, 26.10.2012, p. 391–407: OJ C 326, 26.10.2012, p. 391–407 2. https://unece.org/environment-policy/public-participation/aarhus-convention/text	to Information, Public Participation in Decision-making and Access to Justice in Environmental Matters of 25 June 1998² (the 'Aarhus Convention')².  1. OJ C 326, 26.10.2012, p. 391 407: OJ C 326, 26.10.2012, p. 391 407  2. https://uneceCouncil Decision 2005/370/EC of 17 February 2005 on the conclusion, on behalf of the European Community, of the Convention on access to information, public participation in decision-making and access to justice in environmental matters (OJ L 124, 17.5.2005, p.org/environment-policy/public-participation/aarhus-convention/text 4).	to Information, Public Participation in Decision-making and Access to Justice in Environmental Matters of 25 June 1998² (the 'Aarhus Convention')².  1. OJ C 326, 26.10.2012, p. 391 407: OJ C 326, 26.10.2012, p. 391 407 2. https://uneceCouncil Decision 2005/370/EC of 17 February 2005 on the conclusion, on behalf of the European Community, of the Convention on access to information, public participation in decision-making and access to justice in environmental matters (OJ L 124, 17.5.2005, p. org/environment policy/public participation/aarhus convention/text 4).  Text Origin: Council Mandate
	Recital 3	8			
G	48	(38) In order to ensure that economic operators are effectively dissuaded from non-compliance with the requirements laid down in this Regulation, Member States should lay down rules on penalties applicable to infringements of this Regulation and ensure that those rules are implemented. The penalties provided should be effective, proportionate and dissuasive. To facilitate a more consistent application of penalties, it is necessary to establish	(38) In order to ensure that economic operators are effectively dissuaded from non-compliance with the requirements laid down in this Regulation, Member States should lay down rules on penalties applicable to infringements of this Regulation and ensure that those rules are implemented. The penalties provided should be effective, proportionate and dissuasive. To facilitate a more consistent application of penalties, it is necessary to establish	(38) In order to ensure that economic operators are effectively dissuadedeffective dissuasion from non-compliance with the requirements laid down in this Regulation, Member States should lay down rules on penalties applicable to infringements of this Regulation and ensure that those rules are implemented. The penalties provided should be effective, proportionate and dissuasive. To facilitate a more consistent application of penalties,	(38) In order to ensure that economic operators are effectively dissuaded from non-compliance with the requirements laid down in this Regulation, Member States should lay down rules on penalties applicable to infringements of national provisions adopted pursuant to this Regulation and should ensure that those rules they are implemented. Member States may lay down rules for administrative as well as criminal penalties. In any case, the

7198/1/25 REV 1

TREE.1.A LIMITE 49

EN

**Commission Proposal EP Mandate** Council Mandate **Draft Agreement** common criteria for determining common criteria for determining it is necessary to establish imposition of criminal and administrative The penalties common criteria for determining the types and levels of penalties to the types and levels of penalties to provided should not lead to a be imposed in case of be imposed in case of the types and levels of penalties to breach of the right not to be tried infringement. Those criteria infringement. Those criteria be imposed in case of or punished twice in criminal should include, inter alia, the should include, inter alia, the infringement. Those criteria nature and gravity of the nature and gravity of the should include, inter alia, the proceedings for the same criminal infringement as well as the offence (ne bis in idem principle) infringement as well as the nature and gravity of the infringement as well as the as interpreted by the Court of economic benefits derived from economic benefits derived from the infringement in order to ensure the infringement in order to ensure economic benefits derived from Justice. For the most serious that those responsible are deprived that those responsible are deprived the infringement in order to ensure infringements committed by a of those benefits. Member States legal person, such as those of a of those benefits that those responsible are deprived should endeavour to ensure that of those benefits. high level of gravity due to their the revenue generated from the nature, extent and repetition, or penalties, or its equivalent where those infringements pose a financial value, is used to support significant risk to human health projects aimed at cleaning up or the environment, Member areas polluted by plastic and States should ensure that their avoiding plastic pellet pollution. national system of penalties includes fines whose maximum amount should be at least 3 % of the annual Union turnover of the operator in the financial year preceding the year in which the fine is imposed. For those infringements, without prejudice to the obligations of Member States under Directive 2008/99/EC of the European Parliament and of the Council (25), Member States may also or alternatively adopt criminal penalties, provided that they are effective, proportionate and

7198/1/25 REV 1 50 TREE.1.A **LIMITE EN** 

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	Recital 3				dissuasive. To facilitate a more consistent application of penalties, it is necessary to establish common criteria for determining the types and levels of penalties to be imposed in case of infringement. Those criteria should include, inter alia, the nature and gravity of the infringement as well as the economic benefits derived from the infringement in order to ensure that those responsible are deprived of those benefits.
	Recital 3	39 I			
G	49	(39) When setting penalties and measures for infringements, the Member States should foresee that, based on the gravity of the infringement, the level of fines should effectively deprive the noncompliant economic operator, EU carriers and non-EU carriers from the economic benefit derived from non-compliance with the obligations deriving from this Regulation, including in cases of repeated infringements. The gravity of the infringement should be the leading criterion for the measures taken by the enforcement authorities. The maximum amount of fines should,	(39) When setting penalties and measures for infringements, the Member States should foresee that, based on the gravity of the infringement, the level of fines should effectively deprive the non-compliant economic operator, EU carriers and non-EU carriers from the economic benefit derived from non-compliance with the obligations deriving from this Regulation, including in cases of repeated infringements. The gravity of the infringement should be the leading criterion for the measures taken by the enforcement authorities. The maximum amount of fines should,	(39) When setting penalties and measures for infringements, the Member States should foresee that, based on the gravity of the infringement, the level of fines should effectively deprive the non-compliant economic operator, EU earriers and non-EU earriers persons from the economic benefit derived from non-compliance with the obligations deriving fromunder this Regulation, including in cases of repeated infringements. The gravity of the infringement should be the leading criterion for the measures taken by the enforcement authorities. For the	deleted  Text Origin: Commission  Proposal

7198/1/25 REV 1 51 TREE.1.A **LIMITE EN** 

Commission Proposal EP Mandate	Council Mandate	Draft Agreement
in case of an infringement committed by a legal person, represent at least 4% of the economic annual turnover in the Member State concerned.  in case of an infringement committed by a legal person, represent at least 4%3% of the economic annual turnover in the Member State concerned Union.	most serious infringements committed by a legal person, such as those of high level of gravity due to their nature, extent and repetition, of where those infringements pose a significant risk to human health or the environment, the maximum amount of fines should, in case of an infringement eommitted by a legal person, represent at least 4%3 % of the economic annual Union turnover. For those infringements, without prejudice to the obligations of in the Member State concerned States under Directive 2024/1203/EU¹ of the European Parliament and of the Council, Member States may also or alternatively adopt criminal penalties, provided that they are effective, proportionate and dissuasive.  1. Directive (EU) 2024/1203 of the European Parliament and of the Council of 11 April 2024 on the protection of the environment through criminal law and replacing Directives 2008/99/EC and 2009/123/EC (OJ L, 2024/1203, 30.4.2024, ELI: http://data.europa.eu/eli/dir/2024/1203/oj).	

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	49a				(39a) Where appropriate, Member States should support the financing of projects aimed at: cleaning up areas polluted by plastic pellets using environmentally sustainable practices; collecting, processing and providing information on incidental and accidental losses and related responses; improving knowledge of the impacts of pellet losses on human health and the environment; and promoting awareness-raising programmes, especially for the most affected areas such as industrial zones and ports.
	Recital 4	.0			
G	50	(40) Where damage to human health has occurred as a result of an infringement of this Regulation, Member States should ensure that the individuals affected are able to claim and obtain compensation for that damage from the relevant natural or legal persons and, where appropriate, from the relevant competent authorities responsible for the infringement. Such rules on compensation contribute to pursuing the objectives of preserving, protecting and improving the quality of the	(40) Where damage to human health has occurred as a result of an infringement of this Regulation, Member States should ensure that the individuals affected are able to claim and obtain compensation for that damage from the relevant natural or legal persons and, where appropriate, from the relevant competent authorities responsible for the infringement. Such rules on compensation contribute to pursuing the objectives of preserving, protecting and improving the quality of the	(40) Where damage to human health has occurred as a result of an infringement of this Regulation, Member States should ensure that the individuals affected are able to claim and obtain compensation for that damage from the relevant natural or legal persons and, where appropriate, from the relevant competent authorities responsible for the infringement. Such rules on compensation contribute to pursuing the objectives of preserving, protecting and improving the quality of the	(40) Where damage to human health has occurred as a result of an infringement of this Regulation, Member States should ensure that the individuals affected are able to claim and obtain compensation for that damage from the relevant natural or legal persons and, where appropriate, from the relevant competent authorities responsible for the infringement. Such rules on compensation contribute to pursuing the pursuit of the objectives of preserving, protecting and improving the

7198/1/25 REV 1 53 TREE.1.A **LIMITE EN** 

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		environment and protecting human health as laid down in Article 191 of the Treaty on the Functioning of the European Union. They also underpin the right to life, integrity of the person and health care laid down in Articles 2, 3 and 35 of the Charter of Fundamental Rights of the European Union and the right to an effective remedy as laid down in Article 47 of the Charter. Moreover, Directive 2004/35/EC of the European Parliament and of the Council¹ does not give private parties a right of compensation as a consequence of environmental damage or of an imminent threat of such damage.  1. Directive 2004/35/EC of the European Parliament and of the Council of 21 April 2004 on environmental liability with regard to the prevention and remedying of environmental damage (OJ L 143, 30.4.2004, p.56).	environment and protecting human health as laid down in Article 191 of the Treaty on the Functioning of the European Union. They also underpin the right to life, integrity of the person and health care laid down in Articles 2, 3 and 35 of the Charter of Fundamental Rights of the European Union and the right to an effective remedy as laid down in Article 47 of the Charter. Moreover, Directive 2004/35/EC of the European Parliament and of the Council¹ does not give private parties a right of compensation as a consequence of environmental damage or of an imminent threat of such damage.  1. Directive 2004/35/EC of the European Parliament and of the Council of 21 April 2004 on environmental liability with regard to the prevention and remedying of environmental damage (OJ L 143, 30.4.2004, p.56).	environment and protecting human health as laid down in Article 191 of the Treaty on the Functioning of the European Union (TFEU). They also underpin the right to life, integrity of the person and health care laid down in Articles 2, 3 and 35-of the Charter of Fundamental Rights of the European Union and the right to an effective remedy as laid down in Article 47 of the Charter. Moreover, Directive 2004/35/EC of the European Parliament and of the Council does not give private parties a right of compensation as a consequence of environmental damage or of an imminent threat of such damage.  1. Directive 2004/35/EC of the European Parliament and of the Council of 21 April 2004 on environmental liability with regard to the prevention and remedying of environmental damage (OJ L 143, 30.4.2004, p.56).	quality of the environment and protectingthe protection of human health as laid down in Article 191 of the Treaty on the Functioning of the European UnionTFEU. They also underpin the right to life, integrity of the person and health care laid down in Articles Article 2, 3 and 35 of the Charter of Fundamental Rights of the European Union and the right to an effective remedy as laid down in Article 47 of the Charter. Moreover, Directive 2004/35/EC of the European Parliament and of the Council (26) does not give private parties a right of compensation as a consequence of environmental damage or of an imminent threat of such damage.  1. Directive 2004/35/EC of the European Parliament and of the Council of 21 April 2004 on environmental liability with regard to the prevention and remedying of environmental damage (OJ L 143, 30.4.2004, p.56).
	Recital 4	0a			
G	50a				(40a) It is therefore appropriate for this Regulation to address the right for compensation for damages suffered by individuals and to ensure that individuals can defend their rights against

7198/1/25 REV 1 54 TREE.1.A **LIMITE EN** 

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				damages to health caused by infringements of this Regulation and thereby ensure a more efficient enforcement of this Regulation. Procedures relating to claims for compensation should be designed and applied in such a way that they do not render the exercise of the right to compensation for damage impossible or excessively difficult.
Recital 4	40b			
s 50b				(40b) The impact of this Regulation on the procedural autonomy of the Member States should be limited to what is necessary to ensure the objectives of protection of human health through a safe environment pursued by it and should not affect other national procedural rules establishing the right to seek compensation for infringements of this Regulation. Such national rules should, however, not hamper the effective functioning of the mechanism for seeking compensation required by this Regulation.
Recital 4				
g 51	(41) To ensure that individuals can defend their rights against	(41) To ensure that individuals can defend their rights against	(41) To ensure that individuals can defend their rights against	(41) To ensure that individuals can defend their rights against

7198/1/25 REV 1 55 TREE.1.A **LIMITE EN** 

## **Commission Proposal** damages to health caused by infringements of this Regulation and thereby ensure its more efficient enforcement, nongovernmental organisations promoting the protection of human health or the environment. including those promoting the protection of consumers and meeting any requirements under national law, as members of the public concerned, should be empowered to engage in proceedings, as the Member States so determine, either on behalf or in support of any victim, without prejudice to national rules of procedure concerning representation and defence before the courts. Member States usually enjoy procedural autonomy to ensure an effective remedy against violations of Union law, subject to the respect of the principles of equivalence and effectivity. However, experience shows that while there is overwhelming epidemiologic evidence on the negative health impacts of pollution on the population, in particular as regards air, it is difficult for the victims under the

procedural rules on the burden of

**EP Mandate** 

damages to health caused by infringements of this Regulation and thereby ensure its more efficient enforcement, nongovernmental organisations promoting the protection of human health or the environment. including those promoting the protection of consumers and meeting any requirements under national law, as members of the public concerned, should be empowered to engage in proceedings, as the Member States so determine, either on behalf or in support of any victim, without prejudice to national rules of procedure concerning representation and defence before the courts. Member States usually enjoy procedural autonomy to ensure an effective remedy against violations of Union law, subject to the respect of the principles of equivalence and effectivity. However, experience shows that while there is overwhelming epidemiologic evidence on the negative health impacts of pollution on the population, in particular as regards air, it is difficult for the victims under the procedural rules on the burden of

Council Mandate

damages to health caused by infringements of this Regulation and thereby ensure its more efficient enforcement, nongovernmental organisations promoting the protection of human health or the environment. including those promoting the protection of consumers and meeting any requirements under national law, as members of the public concerned, should be empowered to engage in proceedings, as the Member States so determine, either on behalf or in support of any victim, without prejudice to national rules of procedure concerning representation and defence before the courts. Member States usually enjoy procedural autonomy to ensure an effective remedy against violations of Union law, subject to the respect of the principles of equivalence and effectivity. However, experience shows that while there is overwhelming epidemiologic evidence on the negative health impacts of pollution on the population, in particular as regards air, it is difficult for the victims under the procedural rules on the burden of

**Draft Agreement** 

damages to health caused by infringements of this Regulation and thereby ensure its more efficient enforcement, nongovernmental organisations promoting the protection of human health or the environment, including those promoting the protection of consumers and meeting any requirements under national law, as members of the public concerned, should be empowered to engage in proceedings, as the Member States so determine, either on behalf or in support of any victim, without prejudice to national rules of procedure concerning representation and defence before the courts. Member States usually enjoy procedural autonomy to ensure an effective remedy against violations of Union law, subject to the respect of the principles of equivalence and effectivity. However, experience shows that while there is overwhelming epidemiologic evidence on the negative health impacts of pollution on the population, in particular as regards air, it is difficult for the victims under the procedural rules on the burden of

7198/1/25 REV 1 56
TREE.1.A **LIMITE** 

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	proof generally applicable in the Member States to demonstrate a causality link between the suffered harm and the violation. Therefore, it is necessary to adapt the burden of proof applicable to such situations. When an individual can provide sufficiently robust evidence to give rise to a presumption that the violation of this Regulation is at the origins of the damage caused to the health of an individual, or has significantly contributed to it, it should be for the defendant to rebut that presumption in order to escape his liability.	proof generally applicable in the Member States to demonstrate a causality link between the suffered harm and the violation. Therefore, it is necessary to adapt the burden of proof applicable to such situations. When an individual can provide sufficiently robust evidence to give rise to a presumption that the violation of this Regulation is at the origins of the damage caused to the health of an individual, or has significantly contributed to it, it should be for the defendant to rebut that presumption in order to escape his liability.	proofnot obvious to link a specific loss of plastic pellets directly to specific impacts on human health or the environment, and such impacts are generally applicable in the Member States to demonstrate a causality link between the suffered harm and the violationalso not immediate. Therefore, it is necessary to adapt the burden of proof applicable to such situations. When an individual can provide sufficiently robust evidence to give rise to a presumption that the violation of this Regulation is at the origins of the damage caused to the health of an individual, or has significantly contributed to it, it should be for the defendant to rebut that presumption in order to escape his liability.	proofnot obvious to link a specific loss of plastic pellets directly to specific impacts on human health or the environment, and such impacts are generally applicable in the Member States to demonstrate a causality link between the suffered harm and the violationalso not immediate. Therefore, it is necessary to adapt the burden of proof applicable to such situations. When an individual can provide sufficiently robust evidence to give rise to a presumption that the violation of this Regulation is at the origins of the damage caused to the health of an individual, or has significantly contributed to it, it should be for the defendant to rebut that presumption in order to escape his liability.  Text Origin: Council Mandate
Recita	142			
6 52	(42) In order to take into account technical progress and scientific developments, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission to amend the Annexes. It is of particular importance that the Commission	(42) In order to take into account technical progress and scientific developments, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission to amend the Annexes. It is of particular importance that the Commission	(42) In order to take into account technical progress and scientific developments, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of amendments to amend the Annexes of this Regulation. It is	(42) In order to take into account technical progress and scientific developments, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of amendments to amend the Annexes of this Regulation. It is

7198/1/25 REV 1 57 TREE.1.A **LIMITE EN** 

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	carry out appropriate consultations during its preparatory work, including at expert level, and that those consultations be conducted in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making <sup>1</sup> . In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts.  1. OJ L 123, 12.5.2016, p. 1.	carry out appropriate consultations during its preparatory work, including at expert level, and that those consultations be conducted in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making <sup>1</sup> . In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts.  1. OJ L 123, 12.5.2016, p. 1.	of particular importance that the Commission carry out appropriate consultations during its preparatory work, including at expert level, and that those consultations be conducted in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making <sup>1</sup> . In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts.  1. OJ L 123, 12.5.2016, p. 1.	of particular importance that the Commission carry out appropriate consultations during its preparatory work, including at expert level, and that those consultations be conducted in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making <sup>1</sup> . In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts.  1. OJ L 123, 12.5.2016, p. 1.  Text Origin: Council Mandate
Recita	143			
6 53	(43) In order to ensure uniform conditions for the implementation of this Regulation, implementing powers should be conferred on the Commission in respect of reporting of information on the implementation of this Regulation.	(43) In order to ensure uniform conditions for the implementation of this Regulation, implementing powers should be conferred on the Commission in respect of reporting of information on the implementation of this Regulation.	(43) In order to ensure uniform conditions for the implementation of this Regulation, implementing powers should be conferred on the Commission in respect of reporting of information on the implementation of this Regulation.  Those powers should be	(43) In order to ensure uniform conditions for the implementation of this Regulation, implementing powers should be conferred on the Commission in respect of reporting of information on the implementation of this Regulation.  Those powers should be exercised

7198/1/25 REV 1 58
TREE.1.A **LIMITE EN** 

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				exercised in accordance with Regulation (EU) No 182/2011 of the European Parliament and of the Council <sup>1</sup> .  1. Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by the Member States of the Commission's exercise of implementing powers (OJ L 55, 28.2.2011, p. 13, ELI: http://data.europa.eu/eli/reg/2011/182/oj).	in accordance with Regulation (EU) No 182/2011 of the European Parliament and of the Council.  1. Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by the Member States of the Commission's exercise of implementing powers (OJ L 55, 28.2.2011, p. 13, ELI: http://data.europa.eu/eli/reg/2011/182/oj)
					Text Origin: Council Mandate
	Recital 4	4			
G	54	(44) In order to provide economic operators, EU carriers, and non-EU carriers with sufficient time to adapt to the requirements laid down in this Regulation, its application should be deferred.	(44) In order to provide economic operators, EU carriers, and non-EU carriers with sufficient time to adapt to the requirements laid down in this Regulation, its application should be deferred.	(44) In order to provide economic operators, EU carriers, and non-EU carriers, and shippers, operators, agents and or masters of sea-going vessels with sufficient time to adapt to the requirements laid down in this Regulation, its application should be deferred.	(44) In order to provide economic operators, EU carriers, and non-EU carriers, and shippers, operators, agents and or masters of sea-going vessels with sufficient time to adapt to the requirements laid down in this Regulation, its application should be deferred.
	Recital 4	4a			
G	54a			(45) Since the objectives of this Regulation, namely preventing losses of plastic pellets, cannot be sufficiently achieved by the	(45) Since the objectives of this Regulation, namely preventing losses of plastic pellets, cannot be sufficiently achieved by the

7198/1/25 REV 1 59
TREE.1.A **LIMITE EN** 

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				Member States but can rather, by reason of the scale or effects of the action, be better achieved at Union level, the Union may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 TEU. In accordance with the principle of proportionality as set out in that Article, this Regulation does not go beyond what is necessary in order to achieve those objectives.	Member States but can rather, by reason of the scale or effects of the action, be better achieved at Union level, the Union may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 TEU. In accordance with the principle of proportionality as set out in that Article, this Regulation does not go beyond what is necessary in order to achieve those objectives.
	Formula				
G	55	HAVE ADOPTED THIS REGULATION:	HAVE ADOPTED THIS REGULATION:	HAVE ADOPTED THIS REGULATION:	HAVE ADOPTED THIS REGULATION:  Text Origin: Commission Proposal
	Article 1				
G	56	Article 1 Subject matter and scope	Article 1 Subject matter and scope	Article 1 Subject matter and scope	Article 1 Subject matter and scope  Text Origin: Commission Proposal
	Article 1	(1)			
R	57	1. This Regulation lays down obligations for the handling of plastic pellets at all stages of the supply chain to prevent losses.	1. This Regulation lays down obligations for the handling of plastic pellets at all stages of the supply chain to prevent losses.	1. This Regulation lays down obligations for the handling of plastic pellets <b>to prevent losses</b> at all stages of the supply chain-to prevent losses. That supply chain	1. This Regulation lays down obligations for the handling of plastic pellets to prevent losses at all stages of the plastic pellet supply chain to prevent, with the

7198/1/25 REV 1 60 TREE.1.A **LIMITE EN** 

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		with the objective of achieving zero plastic pellet losses.	includes, among others, production including recycling, master batching, compounding, conversion, processing, distribution, transport, storage, packaging and the cleaning of plastic pellet containers and tanks.	aim of achieving zero plastic pellet losses.
Article	1(2)			
s 58	2. This Regulation applies to the following subjects:	2. This Regulation applies to the following subjects:	2. This Regulation applies to the following subjects:	2. This Regulation applies to the following subjects:  Text Origin: Commission Proposal
Article	1(2), point (a)			
6 59	(a) economic operators handling plastic pellets in the Union in quantities above 5 tonnes in the previous calendar year;	(a) economic operators handling plastic pellets in the Union in quantities above 5 tonnes in the previous calendar year;	(a) economic operators handling plastic pellets in the Union in quantities <b>equal to or</b> above <b>a threshold of</b> 5 tonnes in the previous calendar year;	(a) economic operators handling plastic pellets in the Union in quantities equal to or above a threshold of 5 tonnes in the previous calendar year;  Text Origin: Council Mandate
Article	1(2), point (aa)			
6 59a			(aa) economic operators operating installations in the Union for the cleaning of plastic pellet containers and tanks;	(aa) economic operators operating installations in the Union for the cleaning of plastic pellet containers and tanks;  Text Origin: Council Mandate
Article	1(2), point (b)	,	,	

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	60	(b) EU carriers and non-EU carriers transporting plastic pellets in the Union.	(b) EU carriers and non-EU carriers transporting plastic pellets in the Union.	(b) EU carriers and non-EU carriers transporting plastic pellets in the Union-;	(b) EU carriers and non-EU carriers transporting plastic pellets in the Union.  Text Origin: Commission Proposal
	Article 1	(2), point (ba)		(C)	
G	60a			(ba) shippers, operators, agents and masters of sea-going vessels transporting plastic pellets in freight containers leaving or calling at a port of a Member State.	(ba) shippers, operators, agents and masters of sea-going vessels transporting plastic pellets in freight containers leaving or calling at a port of a Member State.
					Text Origin: Council Mandate
	Article 2				
G	61	Article 2 Definitions	Article 2 Definitions	Article 2 Definitions	Article 2 Definitions  Text Origin: Commission Proposal
	Article 2	, first paragraph			
G	62	For the purposes of this Regulation, the following definitions apply:	For the purposes of this Regulation, the following definitions apply:	For the purposes of this Regulation, the following definitions apply:	For the purposes of this Regulation, the following definitions apply:  Text Origin: Commission Proposal
	Article 2	, first paragraph, point (a)			
G	63	(a) 'plastic pellet' means a small mass of preformed polymer- containing moulding material,	(a) 'plastic pellet' means a small mass of preformed polymer- containing moulding material,	(a) 'plastic pelletpellets' means a small-mass of-preformed polymer-containing moulding	(a) 'plastic pelletpellets' means a small mass of preformed polymer-containing moulding

7198/1/25 REV 1 62 TREE.1.A **LIMITE EN** 

		<b>Commission Proposal</b>	EP Mandate	Council Mandate	Draft Agreement
		having relatively uniform dimensions in a given lot, that is used as feedstock in plastic product manufacturing operations;	having relatively uniform dimensions in a given lot regardless of its shape or form, including powders, cylinders, beads and flakes, to which additives might have been added, that is used as feedstock in plastic product manufacturing and plastic recycling operations;	material, having relatively uniform dimensions in a given lot,regardless of its shape, form or size that is used as feedstock in plasticin product manufacturing operations;	material, having relatively uniform dimensions in a given lot regardless of its shape, form, or size, that is used as feedstock produced for moulding or extrusion in plastic product manufacturing operations; irrespectively of its actual use.  Text Origin: Council Mandate
	Article 2	, first paragraph, point (aa)			
G	63a		(aa) 'plastic pellet dust' means the industrial residue from the handling, grinding or processing of plastic pellets, that is not used as feedstock in plastic product manufacturing operations.		deleted
	Article 2,	, first paragraph, point (b)			
G	64	(b) 'spill' means a one-off escape of plastic pellets from primary containment;	(b) 'spill' means a one-off <u>or</u> prolonged escape of plastic pellets from primary containment;	(b) 'spill' means a one-off or prolonged escape of plastic pellets within installation's boundaries or within road vehicles, rail wagons, or inland waterway vessels transporting plastic pellets from primary containment;	(b) 'spill' means a one-off <u>or</u> <u>prolonged</u> escape of <u>plastic pellets</u> <u>within installation's boundaries</u> <u>or within road vehicles, rail</u> <u>wagons, or inland waterway</u> <u>vessels transporting</u> plastic pellets from primary containment;  Text Origin: Council Mandate
	Article 2	, first paragraph, point (c)			
G	65	(c) 'loss' means a one-off or prolonged escape of plastic pellets from installation's boundary to the	(c) 'loss' means a one-off or prolonged escape of plastic pellets at any stage of the supply chain,	(c) 'loss' means a one-off or prolonged escape of plastic pellets from installation's boundary to the	(c) 'loss' means a one-off or prolonged escape of plastic pellets to the environment at any stage of

	including from the from	Council Mandate environment or from road	Draft Agreement
ets;	installation's boundary to the environment or from road vehicles, rail wagons or inland waterway vessels transportingthe transport of plastic pellets;	vehicles, rail wagons or inland waterway vessels transporting plastic pellets;	the supply chain, either from the from installation's boundary to the environment or from road vehicles, rail wagons or wagon, inland waterway or sea going vessels transporting plastic pellets;  Text Origin: Council Mandate
aph, point (d)			
ructure, environment thin which one or more ctivities involving the plastic pellets are	(d) 'installation' means any premises, structure, environmentsite or place within which one or more economic activities involving the handling of plastic pellets are carried out;	(d) 'installation' means any premises, structure, environmentlocation, site or place within which one or more economic activities involving the handling of plastic pellets are carried out;	(d) 'installation' means any premises, structure, environment location, site or place within which one or more economic activities involving the handling of plastic pellets are carried out;  Text Origin: Council Mandate
aph, point (e)			
or legal person who controls in whole or in allation, or, where this for in national law, to sive economic power hnical functioning of	(e) 'economic operator' means any natural or legal person who operates or controls in whole or in part the installation, or, where this is provided for in national law, to whom decisive economic power over the technical functioning of the installation has been delegated;	(e) 'economic operator' means any natural or legal person who operates or controls in whole or in part the installation, or, where this is provided for in national law, to whom decisive economic power over the technical functioning of the installation has been delegated;	(e) 'economic operator' means any natural or legal person who operates or controls in whole or in part the installation, or, where this is provided for in national law, to whom decisive economic power over the technical functioning of the installation has been delegated;  Text Origin: Commission  Proposal
hı io	nical functioning of n has been delegated;	over the technical functioning of the installation has been delegated;	nical functioning of over the technical functioning of over the technical functioning of

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G 68	(f) 'EU carrier' means any natural or legal person established in a Member State, engaged in the transport of plastic pellets as part of its economic activity by using road vehicles, rail wagons or inland waterway vessels;	(f) 'EU carrier' means any natural or legal person established in a Member State, engaged in the transport of plastic pellets as part of its economic activity by using road vehicles, rail wagons or inland waterway vessels;	(f) 'EU carrier' means any natural or legal person established in a Member State, engaged in the transport of plastic pellets as part of its economic activity by using road vehicles, rail wagons or inland waterway vessels;	(f) 'EU carrier' means any natural or legal person established in a Member State, engaged in the transport of plastic pellets as part of its economic activity by using road vehicles, rail wagons or inland waterway vessels;  Text Origin: Council Mandate
Article	2, first paragraph, point (g)			
G 69	(g) 'non-EU carrier' means any natural or legal person established in a third country, engaged in the transport of plastic pellets as part of its economic activity in the Union by using road vehicles, rail wagons or inland waterway vessels;	(g) 'non-EU carrier' means any natural or legal person established in a third country, engaged in the transport of plastic pellets as part of its economic activity in the Union-by using road vehicles, rail wagons or inland waterway vessels;	(g) 'non-EU carrier' means any natural or legal person established in a third country, engaged in the transport of plastic pellets as part of its economic activity in the Union by using road vehicles, rail wagons or inland waterway vessels;	(g) 'non-EU carrier' means any natural or legal person established in a third country, engaged in the transport of plastic pellets as part of its economic activity in the Union by using road vehicles, rail wagons or inland waterway vessels;  Text Origin: Council Mandate
Article	2, first paragraph, point (ga)			
69a			(ga) 'shipper' means shipper as defined in Directive 2002/59/EC;	(ga) 'shipper' means any natural or legal person by whom or in whose name or on whose behalf a contract of carriage of goods has been concluded with any natural or legal person engaged in the transport of plastic pellets as part of its economic activity by using seagoing vessels;
Article	2, first paragraph, point (gb)			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	69b			(gb) 'operator' means the owner or manager of a sea-going vessel;	(gb) 'operator' means the owner or manager of a sea-going vessel;  Text Origin: Council Mandate
	Article 2	, first paragraph, point (gc)			
G	69c			(gc) 'agent' means any person mandated or authorised to supply information on behalf of the operator of the seagoing vessel;	(gc) 'agent' means any person mandated or authorised to supply information on behalf of the operator of the seagoing vessel;  Text Origin: Council Mandate
	Article 2	, first paragraph, point (h)			
G	70	(h) 'micro, small or medium-sized enterprise' means a micro, small or medium-sized enterprise within the meaning of the Annex to Commission Recommendation 2003/361/EC <sup>1</sup> ;  1. Commission Recommendation of 6 May 2003 concerning the definition of micro, small and medium-sized enterprises (OJ L 124, 20.5.2003, p. 36).	(h) 'micro, small or medium-sized enterprise' means a micro, small or medium-sized enterprise within the meaning of the Annex to Commission Recommendation 2003/361/EC <sup>1</sup> ;  1. Commission Recommendation of 6 May 2003 concerning the definition of micro, small and medium-sized enterprises (OJ L 124, 20.5.2003, p. 36).	(h) 'micro-, small or medium-sized enterprise' means a micro, small or medium-sized enterprise within the meaning of the Annex to Commission Recommendation 2003/361/EC <sup>1</sup> ;  1. Commission Recommendation of 6 May 2003 concerning the definition of micro, small and medium-sized enterprises (OJ L 124, 20.5.2003, p. 36).	(h) 'micro, small or medium-sized enterprise' means a micro, small or medium-sized enterprise within the meaning of the Annex to Commission Recommendation 2003/361/EC¹;  1. Commission Recommendation of 6 May 2003 concerning the definition of micro, small and medium-sized enterprises (OJ L 124, 20.5.2003, p. 36).  Text Origin: Commission Proposal
	Article 2	, first paragraph, point (i)			
G	71	(i) 'large-sized enterprise' means an enterprise that is not a micro, small or medium-sized enterprise;	(i) 'large-sized enterprise' means an enterprise that is not a micro, small or medium-sized enterprise;	(i) 'large-sized enterprise' means an enterprise that is not a micro, small or medium-sized enterprise;	(i) 'large-sized enterprise' means an enterprise that is not a micro, small or medium-sized enterprise;

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
					Text Origin: Commission Proposal
	Article 2	, first paragraph, point (j)			
G	72	(j) 'competent authority' means an authority or a body designated by a Member State to carry out its obligations under this Regulation;	(j) 'competent authority' means an authority or a body designated by a Member State to carry out its obligations under this Regulation;	(j) 'competent authority' means an authority or a body designated by a Member State to carry out its obligations under this Regulation;	(j) 'competent authority' means an authority or a body designated by a Member State to carry out its obligations under this Regulation;  Text Origin: Commission Proposal
	Article 2	, first paragraph, point (ja)			
R	72a			(ja) 'authorised representative' means a natural or legal person established in the Union who has been designated by a written mandate from a non-EU carrier pursuant to Article 3a, to act on its behalf in relation to specific tasks with regard to the obligations under Article 3, paragraphs 2 and 3, Article 4, paragraph 7, Article 7a, paragraph 2 and Article 10 paragraph 1 of this Regulation;	R
	Article 2	, first paragraph, point (k)			
G	73	(k) 'certifier' means any of the following:	(k) 'certifier' means any of the following:	(k) 'certifier' means any of the following:	(k) 'certifier' means any of the following <i>natural or legal</i> persons:  Text Origin: Commission  Proposal

		<b>Commission Proposal</b>	EP Mandate	Council Mandate	Draft Agreement
	Article 2	, first paragraph, point (k)(i)			
G	74	(i) a conformity assessment body as defined in Article 2(13) of Regulation (EC) 765/2008 of the European Parliament and of the Council <sup>1</sup> or any other association or group of such bodies, which has obtained accreditation in accordance with this Regulation;  1. Regulation (EC) No 765/2008 of the European Parliament and of the Council of 9 July 2008 setting out the requirements for accreditation and market surveillance relating to the marketing of products and repealing Regulation (EEC) No 339/93 (OJ L 218, 13.8.2008, p. 30).	(i) a conformity assessment body as defined in Article 2(13) of Regulation (EC) 765/2008 of the European Parliament and of the Council <sup>1</sup> or any other association or group of such bodies, which has obtained accreditation in accordance with this Regulation;  1. Regulation (EC) No 765/2008 of the European Parliament and of the Council of 9 July 2008 setting out the requirements for accreditation and market surveillance relating to the marketing of products and repealing Regulation (EEC) No 339/93 (OJ L 218, 13.8.2008, p. 30).	(i) a conformity assessment body as defined in Article 2, point (13),(13) of Regulation (EC) 765/2008 of the European Parliament and of the Council <sup>1</sup> or any other association or group of such bodies, which has obtained accreditation in accordance with this Regulation;[];  1. Regulation (EC) No 765/2008 of the European Parliament and of the Council of 9 July 2008 setting out the requirements for accreditation and market surveillance relating to the marketing of products and repealing Regulation (EEC) No 339/93 (OJ L 218, 13.8.2008, p. 30).	(i) a conformity assessment body as defined in Article 2, point (13),(13) of Regulation (EC) 765/2008 of the European Parliament and of the Council or any other association or group of such bodies, which has obtained accreditation in accordance with this Regulation;[];  1. Regulation (EC) No 765/2008 of the European Parliament and of the Council of 9 July 2008 setting out the requirements for accreditation and market surveillance relating to the marketing of products and repealing Regulation (EEC) No 339/93 (OJ L 218, 13.8.2008, p. 30).  Text Origin: Council Mandate
	Article 2	, first paragraph, point (k)(ii)			
G	75	(ii) an environmental verifier, as defined in Article 2(20), point (b), of Regulation (EC) No 1221/2009;	(ii) an environmental verifier, as defined in Article 2(20), point (b), of Regulation (EC) No 1221/2009;	(ii) an environmental verifier, as defined in Article 2(20) <del>, point</del> ( <del>b),</del> of Regulation (EC) No 1221/2009;	(ii) an environmental verifier, as defined in Article 2(20), point (b), of a natural or legal person which has obtained a license to carry out verification and validation in accordance with Regulation (EC) No 1221/2009;  Text Origin: Commission Proposal
	Article 2	, first paragraph, point (I)			
G	76	(l) 'conformity assessment' means the process demonstrating	(1) 'conformity assessment' means the process demonstrating	(1) 'conformity assessment' means the process demonstrating	G

7198/1/25 REV 1

TREE.1.A LIMITE

EN

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		whether an installation fulfils the applicable rules of this Regulation and of the delegated acts adopted on the basis thereof;	whether an installation fulfils the applicable rules of this Regulation and of the delegated acts adopted on the basis thereof;	whether an installation fulfils the applicable rules of this Regulation and of the delegated acts adopted on the basis thereof;	
	Article 2	, first paragraph, point (la)			
R	76a			(m) 'permit' means a written authorisation, issued by the relevant competent authority, to operate an installation.	R
	Article 3				
G	77	Article 3 General obligations	Article 3 General obligations	Article 3 General obligations	Article 3 General obligations  Text Origin: Commission Proposal
	Article 3	(1)	l	I	
G	78	1. Economic operators, EU carriers and non-EU carriers shall ensure that losses are avoided. Where losses occur, economic operators, EU carriers and non-EU carriers shall take immediate action to clean-up those losses.	1. Economic operators, EU carriers and non-EU carriers shall ensure that losses are avoided. Where <i>spills and</i> losses occur, economic operators, EU carriers and non-EU carriers shall take immediate action to <i>contain and</i> clean-up those <i>spills and</i> losses.	1. Economic operators, EU carriers and non-EU carriers shall ensure that losses are avoided. Where losses occur, economic operators, EU carriers and non-EU carriers shall take immediate action to <b>contain and</b> clean-up those losses.	1. Economic operators, EU carriers and non-EU carriers shall ensure that losses are avoided. Where losses occur, economic operators, EU carriers and non-EU carriers shall take immediate action to <u>contain and</u> clean-up those losses <u>in accordance with environmentally sustainable practices</u> .
	Article 3	(2)			
Υ	79	2. Economic operators and EU carriers shall notify the competent	2. Economic operators and EU carriers shall notify the competent	2. Economic operators and EU carriers shall notify the competent	2. Economic operators <i>and EU carriers</i> shall notify the competent

7198/1/25 REV 1 69
TREE.1.A **LIMITE**EN

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		authority, in the manner determined by the latter, of each installation they operate and of when engaging in the transport of plastic pellets, as applicable.	authority, in the manner determined by the latter, of each installation they operate and of when engaging in the transport of plastic pellets, as applicable.	authority, in the manner determined by the latter, ofauthorities of the Member State about each installation located in that Member State which they operate and of when engagingor control, or, where applicable, have delegated decisive economic power over the technical functioning of the installation. Before carriers transport plastic pellets in the Union for the first time, EU carriers and authorised representatives referred to in Article 3a, as applicable, shall notify the competent authorities of the Member State in which, respectively, the EU carrier or the authorised representative is established, about their involvement in the transport of plastic pellets, as applicable within the Union.	authority, in the manner determined by the latter, ofauthorities of the Member State about each installation located in that Member State which they operate and of when engagingor control, or, where applicable, have delegated decisive economic power over the technical functioning of the installation. For each installation notified, they shall specify whether the installation handles plastic pellets in quantities below or above a threshold of [1000] tonnes. Before carriers transport plastic pellets in the Union for the first time, EU carriers [and authorised representatives referred to in Article 3a, as applicable], shall notify the competent authorities of the Member State in which, respectively, the EU carrier or the [authorised representative] is established, about their involvement in the transport of plastic pellets, as applicable within the Union.
	Article 3	(3)			
Y	80	3. Economic operators and EU carriers shall notify the competent authorities of the Member State in which they are established of any	3. Economic operators and EU carriers shall notify the competent authorities of the Member State in which they are established, of any	3. Economic operators and, EU carriers and authorised representatives shall notify the competent authorities of the	3. <u>3.</u> Economic operators- <u>and</u> , EU carriers <u>and authorised</u> <u>representatives</u> shall notify the competent authorities <u>of the</u>

7198/1/25 REV 1 70 TREE.1.A LIMITE EN

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	significant change in their installations and activities related to handling of plastic pellets, including of any closure of an existing installation.	significant change in their installations and activities related to handling and transport of plastic pellets, including of any closure of an existing installation, as applicable.	Member State in which they are established referred to in paragraph 2 of any significant change in their what was previously notified in accordance with paragraph 2 regarding the concerned installations and activities related to handling of and transporting plastic pellets, including of any closure of an existing installation, cessation of transport activities or in case they are no longer subject to this Regulation.	Member State in which they are established referred to in paragraph 2 of any significant change in their what was previously notified in accordance with paragraph 2 regarding the concerned installations and activities related to handling of and transporting plastic pellets, including of any closure of an existing installation, cessation of transport activities or in case they are no longer subject to this Regulation and any changes in the quantities of plastic pellets handled that are relevant for the application of the obligations associated with the thresholds.
Article	3(3a)			
R 80a		3a. Without prejudice to Regulation (EC) No 1272/2008 of the European Parliament and of the Council <sup>1a</sup> , economic operators shall, for the purposes of this Regulation, label all storage and transport containers containing plastic pellets in accordance with Annex IVb to this Regulation.  1a. Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances		3a. Without prejudice to Regulation (EC) No 1272/2008 of the European Parliament and of the Council, any manufacturer, importer, downstream user or distributor placing on the market plastic pellets which are synthetic polymer microparticles under Annex XVII, entry 78 to Regulation (EC) 1907/2006 of the European Parliament and the Council shall provide the information as referred to in Annex IVb of this regulation on

7198/1/25 REV 1 71
TREE.1.A LIMITE EN

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006 (OJ L 353, 31.12.2008, p. 1).		the label, the packaging or on the safety data sheet when complying with Annex XVII, entry 78, paragraphs 7 and 10, to  Regulation (EC) 1907/2006 of the European Parliament and the Council.  Text Origin: EP Mandate
Article	2 3(4)	-		
s 81	4. Competent authorities shall establish and maintain a public register containing the information they have received in accordance with paragraphs 3 and 4.	4. Competent authorities shall establish and maintain a-public register containing the information they have received in accordance with paragraphs 3- and 42 and 3.  The register shall be publicly available and easily accessible.	deleted	deleted
Article	e 3a			
81a			Article 3a Authorised representatives of non-EU carriers	R
Article	e 3a(1)			
R 81b			1. Non-EU carriers shall designate in writing an authorised representative in at least one Member State where the non-EU carrier is engaged in the transport of plastic pellets.	R
Article	e 3a(2)			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
R	81c			representative shall be mandated by non-EU carriers in writing to be addressed in addition to or instead of that non-EU carrier, for the purposes of ensuring compliance with Article 3, paragraphs 2 and 3, Article 4, paragraph 7, and Article 7a, paragraph 2 and Article 10 paragraph 1 of this Regulation. The authorised representative's mandate shall be valid only when accepted in writing by the authorised representative. The designation of an authorised representative shall be without prejudice to legal actions which could be initiated against the non-EU carriers themselves.	R
	Article 3	3a(3)			
R	81d			3. The non-EU carrier shall inform the competent authorities of the Member State referred to in paragraph 1 and simultaneously the Commission on the designation of an authorised representative and its mandate before the first transport of plastic pellets in the Union.	R

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	Article 4				
G	82	Article 4 Obligations regarding the handling of plastic pellets	Article 4 Obligations regarding the handling of plastic pellets	Article 4 Obligations regarding the handling of plastic pellets	Article 4 Obligations regarding the handling of plastic pellets  Text Origin: Commission Proposal
	Article 4	(1), first subparagraph			
G	83	1. Economic operators shall take the following actions:	1. Economic operators shall take the following actions:	1. Economic operators shall take the following actions:	1. Economic operators shall take the following actions:  Text Origin: Commission Proposal
	Article 4	(1), first subparagraph, point (a)			
G	84	(a) establish a risk assessment plan for each installation in accordance with Annex I taking into account the nature and size of the installation as well as the scale of its operations;	(a) establish a risk assessment plan for each installation in accordance with Annex I taking into account the nature and size of the installation as well as the scale of its operations;	(a) establish a risk assessmentmanagement plan for each installation in accordance with Annex I taking into account the nature and size of the installation as well as the scale of its operations;	(a) establish a risk  assessmentmanagement plan for each installation in accordance with Annex I taking into account the nature and size of the installation as well as the scale of its operations;  Text Origin: Council Mandate
	Article 4	(1), first subparagraph, point (b)			
G	85	(b) install the equipment and execute the procedures described in the risk assessment plan referred to in point (a);	(b) install the equipment and execute the procedures described in the risk assessment plan referred to in point (a);	(b) install the equipment and execute the procedures described in the risk assessmentmanagement plan referred to in point (a);	(b) install the equipment and execute the procedures described in the risk assessment management plan referred to in point (a);  Text Origin: Council Mandate
	Article 4	(1), first subparagraph, point (c)			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	86	(c) notify the risk assessment plan referred to in point (a) to the competent authority of the Member State where the installation is located together with a self-declaration of conformity issued in accordance with the model form set out in Annex II.	(c) notify the risk assessment plan referred to in point (a) to the competent authority of the Member State where the installation is located together with a self-declaration of conformity issued in accordance with the model form set out in Annex II.	(c) notify the risk assessmentmanagement plan referred to in point (a) to the competent authority of the Member State where the installation is located together with a self-declaration of conformity issued in accordance with the model form set out in Annex II.	(c) notify the risk  assessmentmanagement plan referred to in point (a) to the competent authority of the Member State where the installation is located together with a self-declaration of conformity issued in accordance with the model form set out in Annex II.  Text Origin: Council Mandate
	Article 4	(1), second subparagraph			
G	87	Economic operators shall keep the risk assessment plan up-to-date, taking into account in particular the weaknesses identified through their experience in handling plastic pellets, and shall make it available to competent authorities on demand.	Economic operators shall keep the risk assessment plan up-to-date, taking into account in particular the weaknesses identified through their experience in handling plastic pellets, and shall make it available to competent authorities on demand.	Economic operators shall keep the risk assessmentmanagement plan up-to-date, taking into account in particular the weaknesses identified through their experience in handling plastic pellets, and shall make it available to competent authorities on demand.	Economic operators shall keep the risk assessmentmanagement plan up-to-date, taking into account in particular the weaknesses identified through their experience in handling plastic pellets, and shall make it available to competent authorities on demand.  Text Origin: Council Mandate
	Article 4	(2)			
R	88	2. Economic operators that are medium and large-sized enterprises operating installations where plastic pellets in quantities below 1 000 tonnes have been handled in the previous calendar year or that are micro or small-sized enterprises shall notify an update of the risk assessment plan	2. Economic operators that are <i>small</i> , medium and large-sized enterprises operating installations where plastic pellets in quantities below 1 000 tonnes have been handled in the previous calendar year or that are micro <i>or small-sized</i> enterprises shall notify an update of the risk assessment plan	2. Economic operators that are small, medium andor large-sized enterprises operating installations where plastic pellets in quantities below a threshold of 1 000 tonnes have been handled in the previous calendar year or that are miero or small-sizedmicro-sized enterprises shall notify an update	R

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		for each installation as well as a renewal of the self-declaration of conformity to the competent authority every 5 years from the last notification.	for each installation as well as a renewal of the self-declaration of conformity to the competent authority every 53 years from the last notification.	of the risk assessmentmanagement plan for each installation as well as a renewal of the self-declaration of conformity to the competent authority every 5 years from the last notification.	
	Article 4	(2a)			
R	88a		2a. Economic operators that are small enterprises operating installations where plastic pellets in quantities above 1 000 tonnes have been handled in the previous calendar year shall comply with the obligations laid down in paragraph 2 of this Article, unless they hold a valid certificate issued in accordance with Article 5(2a).		2a. Economic operators that are small enterprises operating installations where plastic pellets in quantities above [1 000] tonnes have been handled in the previous calendar year shall comply with the obligations laid down in paragraph 2 of this Article, unless they hold a valid certificate issued in accordance with Article 5(2a).
	Article 4	(3)			
G	89	3. Competent authorities may require economic operators to take the following actions:	3. Competent authorities may require economic operators to take the following actions:	3. Competent authorities may require economic operators to take the following actions:	3. Competent authorities may require economic operators to take the following actions:  Text Origin: Commission Proposal
	Article 4	(3), point (a)			
G	90	(a) to change risk assessment plans notified in accordance with paragraphs 1 and 2 to ensure that the losses can effectively be	(a) to change risk assessment plans notified in accordance with paragraphs 1 and 2 to ensure that the losses can effectively be	(a) to change risk assessmentmanagement plans notified in accordance with paragraphs 1 and 2 to ensure that	(a) to change risk  assessmentmanagement plans notified in accordance with paragraphs 1 and 2 to ensure that

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		prevented or, where appropriate, contained and cleaned up and that Annex I is complied with;	prevented <b>orand</b> , where appropriate, contained and cleaned up and that Annex I is complied with;	the losses can effectively be prevented or, where appropriate, contained and cleaned up and that Annex I is complied with;	the losses can effectively be prevented or and, where appropriate, contained and cleaned up and that Annex I is complied with;  Text Origin: EP Mandate
	Article 4	(3), point (b)			
G	91	(b) implement any of the actions listed in Annex I in a timely manner.	(b) implement any of the actions listed in Annex I in a timely manner.	(b) implement any of the actions listed in Annex I in a timely manner.	(b) implement any of the actions listed in Annex I in a timely manner.  Text Origin: Commission
					Proposal
	Article 4	(4)			
G	92	4. Competent authorities shall establish, maintain, and update a register containing the risk assessment plans and self-declarations of conformity notified in accordance with paragraphs 1 and 2 of this Article. The register shall be publicly available on a website.	4. Competent authorities shall establish, maintain, and update a register containing the risk assessment plans-and, self-declarations of conformity notified and loss notifications provided in accordance with paragraphs 1 and 2 of this Article Annex IVa. The register shall be publicly available on a website.	deleted	deleted
	Article 4	(5)			
G	93	5. EU carriers and non-EU carriers shall ensure that the actions set out in Annex III are implemented during loading and unloading operations, transport	5. EU carriers and non-EU carriers shall ensure that the actions set out in Annex III are implemented during loading and unloading operations, transport	5. EU carriers and non-EU carriers shall ensure that the actions set out in Annex III are implemented during loading and unloading operations, transport	5. EU carriers and non-EU carriers shall ensure that the actions set out in Annex III are implemented- <i>during loading and unloading operations, transport</i>

7198/1/25 REV 1
TREE.1.A **LIMITE**77 **EN** 

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		journeys, cleaning and maintenance operations.	journeys, cleaning and maintenance operations.	journeys, cleaning and maintenance operations.	journeys, cleaning and maintenance operations.
					Text Origin: Council Mandate
	Article 4	(6)			
G	94	6. When economic operators implement the actions set out in the risk assessment plan established in accordance with Annex I and the EU carriers and non-EU carriers implement the actions laid down in Annex III, they shall take action, in the following priority order:	6. When economic operators implement the actions set out in the risk assessment plan established in accordance with Annex I and the EU carriers and non-EU carriers implement the actions laid down in Annex III, they shall take action, in the following priority order:	6. When economic operators implement the actions set out in the risk assessmentmanagement plan established in accordance with Annex I and the EU carriers and non-EU carriers implement the actions laid down in Annex III, they shall take action, in the following priority order:	6. When economic operators implement the actions set out in the risk assessment management plan established in accordance with Annex I and the EU carriers and non-EU carriers implement the actions laid down in Annex III, they shall take action, in the following priority order:  Text Origin: Council Mandate
	Article 4	(6), point (a)			
G	95	(a) actions to prevent spills	(a) actions to prevent spills	(a) actions to prevent spills;	(a) actions to prevent spills  Text Origin: Commission  Proposal
	Article 4	(6), point (b)			
G	96	(b) actions to contain spills to avoid they become a loss;	(b) actions to contain spills to avoid they become a loss;	(b) actions to contain spills to avoid they become a loss;	(b) actions to contain spills to avoid they become a loss;  Text Origin: Commission  Proposal
	Article 4	(6), point (c)			
G	97	(c) actions to clean-up after a spill or loss.	(c) actions to clean-up after a spill or loss.	(c) actions to clean-up after a spill or loss.	(c) actions to clean-up after a spill or loss.

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	Į.				Text Origin: Commission Proposal
	Article 4	(7), first subparagraph			
Υ	98	7. Economic operators and EU carriers shall have the following obligations:	7. Economic operators, <u>EU</u> <u>carriers and non-EU</u> and <u>EU</u> carriers shall have the following obligations:	7. Economic operators and EU and non-EU carriers shall have the following obligations:	7. Economic operators, and EU and non-EU carriers shall have the following obligations:  Text Origin: Council Mandate
	Article 4	(7), first subparagraph, point (a)		~	
G	99	(a) ensure that their staff is trained according to their specific roles and responsibilities and that they are aware of and are able to use the relevant equipment and execute the procedures set out to ensure compliance with this Regulation;	(a) ensure that their staff is trained according to their specific roles and responsibilities and that they are aware of and are able to use the relevant equipment, including adequate personal protection equipment, and execute the procedures set out to ensure compliance with this Regulation;	(a) ensure that their staff is trained according to their specific roles and responsibilities and that they are aware of and are able to use the relevant equipment and execute the procedures set out to ensure compliance with this Regulation;	(a) ensure that their staff is trained according to their specific roles and responsibilities and that they are aware of and are able to use the relevant equipment and execute the procedures set out to ensure compliance with this Regulation;  Text Origin: Commission Proposal
	Article 4	(7), first subparagraph, point (b)			
R	100	(b) keep records of the actions taken to comply with the obligations set out in this Article;	(b) keep records of the actions taken to comply with the obligations set out in this Article;	(b) keep records of the actions taken to comply with the obligations set out in this Article;	R
	Article 4	(7), first subparagraph, point (c)			
G	101	(c) keep records of annually estimated quantities of losses and of the total volume of plastic pellets handled.	(c) keep records of annually estimated quantities of losses and of the total volume quantities of plastic pellets handled.	(c) keep records of annually estimated quantities of losses and of the total volume[] quantities of plastic pellets handled.	(c) keep records of annually estimated quantities of losses and of the total volume quantities of plastic pellets handled.  Text Origin: EP Mandate

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	Article 4	(7), second subparagraph			
٧	102	As from six months after the publication of the relevant harmonised standard in the Official Journal of the European Union or from the date of application of the implementing act referred to in Article 13(2) of this Regulation economic operators shall estimate the quantities of losses referred to in the first subparagraph, point (c) in accordance with the standardised methodology referred to in Article 13.	As from six months after the publication of the relevant harmonised standard in the Official Journal of the European Union or from the date of application of the implementing act referred to in Article 13(2) of this Regulation economic operators shall estimate the quantities of losses referred to in the first subparagraph, point (c) in accordance with the standardised methodology referred to in Article 13.	As from sixtwelve months after the publication of the relevant harmonised standard in the Official Journal of the European Union or from the date of application of the implementing act referred to in Article 13(2) of this Regulation economic operators and EU and non-EU carriers shall estimate the quantities of losses referred to in the first subparagraph, point (c), in accordance with the standardised methodology referred to in Article 13.	As from six months after the publication of the relevant harmonised standard in the Official Journal of the European Union or from the date of application of the implementing act referred to in Article 13(2) of this Regulation economic operators and EU and non-EU carriers shall estimate the quantities of losses referred to in the first subparagraph, point (c), in accordance with the standardised methodology referred to in Article 13.  Text Origin: Council Mandate
	Article 4	(7), third subparagraph	l		
Y	103	Economic operators and EU carriers shall retain records referred to in points (b) and(c) of this paragraph for a period of five years and make them available to competent authorities and, where applicable, to certifiers on demand.	Economic operators and EU carriers shall retain records referred to in points (b) and(c) of this paragraph for a period of five years and make them available to competent authorities and, where applicable, to certifiers on demand.	Economic Authorised representative shall provide evidence of the compliance by non-EU carriers with the obligation laid down in point (a) and conomic operators and EU carriers and authorised representative shall retain records referred to in points (b) and (c) of this paragraph for a period of five years and make them available to competent authorities and, where applicable, to certifiers on demand.	representative shall provide evidence of the compliance by non-EU carriers with the obligation laid down in point (a) and conomic operators and EU carriers and authorised representative shall retain records referred to in points (b) and (c) of this paragraph for a period of five years and make them available to competent authorities on demand, and, where applicable, to certifiers

7198/1/25 REV 1

TREE.1.A LIMITE 80

EN

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
					on demandfor the purpose of Article 5.  Text Origin: Council Mandate
	Article 4	(8)			
G	104	8. Where an action taken for the prevention, containment and clean-up of spills and losses fails, economic operators, carriers and non-EU carriers shall take corrective actions, as soon as possible.	8. Where an action taken for the prevention, containment and clean-up of spills and losses fails, economic operators, <u>EU</u> carriers and non-EU carriers shall take corrective actions, <u>as soon as possible without delay</u> .	8. Where an action taken for the prevention, containment and clean-up of spills and losses fails, economic operators, EU carriers and non-EU carriers shall take corrective actions, as soon as possible.	8. Where an action taken for the prevention, containment and clean-up of spills and losses fails, economic operators, <u>EU</u> carriers and non-EU carriers shall take corrective actions, <u>as soon as possible without delay</u> .  Text Origin: EP Mandate
	Article 4	(9)			
R	105	9. Every year economic operators that are not micro or small-sized enterprises and that operate installations where plastic pellets in quantities above 1 000 tonnes have been handled in the previous calendar year shall, for each installation, carry out an internal assessment on the state of compliance of the installation with the requirements of the risk assessment plan laid down in Annex I. The internal assessment may among others cover the following subjects:	9. Every year economic operators that are not micro or small sized enterprises and or that operate installations where plastic pellets in quantities above 1 000 tonnes have been handled in the previous calendar year shall, for each installation, carry out an internal assessment on the state of compliance of the installation with the requirements of the risk assessment plan laid down in Annex I. The internal assessment mayshall among others cover the following subjects:	9. Every year economic operators that are not micro or small sized enterprises and that operate installations where plastic pellets in quantities equal to or above a threshold of 1 000 tonnes have been handled in the previous calendar year shall, for each installation, carry out an internal assessment on the state of compliance of the installation with the requirements of the risk assessment management plan laid down in Annex I. The internal assessment may among others eover the following subjects: or with the conditions under which	9. Every year economic operators that are not micro or small sized[small], medium or large sized enterprises and that operate installations where plastic pellets in quantities equal to or above a threshold of 1 000 tonnes have been handled in the previous calendar year shall, for each installation, carry out an internal assessment on the state of compliance of the installation with the requirements of the risk assessment management plan laid down in Annex I. The internal assessment may among others cover the following subjects: or

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				the permit was granted according to Article 5a, paragraph 1, point (a).	with the conditions under which the permit was granted according to Article 5a, paragraph 1, point (a).  Text Origin: Council Mandate
	Article 4	(9), point (a)			
G	106	(a) the estimated quantities and causes of losses;	(a) the estimated quantities and causes of losses;	deleted	deleted
	Article 4	(9), point (b)			
G	107	(b) the preventive, containment and clean up equipment and/or procedures implemented to avoid future losses, and their effectiveness;	(b) the preventive, containment and clean up equipment and/or procedures implemented to avoid future losses, and <i>an evaluation of</i> their effectiveness;	deleted	deleted
	Article 4	(9), point (c)			
G	108	(c) discussions with the personnel, inspections of equipment and procedures in place and revision of any relevant documentation.	(c) discussions with <u>and</u> training programmes involving the personnel, inspections of equipment, including adequate personal protection equipment, and procedures in place and revision of any relevant documentation.	deleted	deleted
	Article 4	(9), second subparagraph			
R	108a		The economic operators referred to in the first subparagraph shall maintain records of the assessments and of any actions		The economic operators referred to in the first subparagraph shall maintain records of the assessments for a period of five

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		taken subsequently, and shall make such records available to competent authorities on demand.		years and shall make such records available to competent authorities on demand, and to certifiers for the purpose of Article 5.  Text Origin: EP Mandate
Arti	icle 4a			
s 10	8b		Article 4a Obligations regarding the transport of plastic pellets by sea in freight containers	Article 4a Obligations regarding the transport of plastic pellets by sea in freight containers  Text Origin: Council Mandate
Arti	icle 4a(1)			
g 10	8c		1. Shippers shall ensure that:	1. Shippers shall ensure that: Text Origin: Council Mandate
Arti	icle 4a(1), point (a)			
s 10	18d		(a) plastic pellets are packed in good quality packaging that is strong enough to withstand the shocks and loadings normally encountered during transport and is constructed and closed so as to prevent any loss of contents which may be caused under normal conditions of transport, by vibration or acceleration forces;	(a) plastic pellets are packed in good quality packaging that is strong enough to withstand the shocks and loadings normally encountered during transport and is constructed and closed so as to prevent any loss of contents which may be caused under normal conditions of transport, by vibration or acceleration forces;
				Text Origin: Council Mandate

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	Article 4a	a(1), point (b)			
G	108e			(b) transport information identifying the freight containers containing plastic pellets is delivered to the operator, agent and master of the sea-going vessel as an addition to the cargo information required by Regulation VI/2 of the International Convention for the Safety of Life at Sea, before plastic pellets are taken on board;	(b) transport information identifying the freight containers containing plastic pellets is delivered to the operator, agent and master of the sea-going vessel as an addition to the cargo information required by Regulation VI/2 of the International Convention for the Safety of Life at Sea, before plastic pellets are taken on board; Text Origin: Council Mandate
	Article 4a	a(1), point (c)			
G	108f			(c) cargo information referred to in point (b) is accompanied by a special stowage request requiring stowage of the freight containers containing plastic pellets as outlined in paragraph 2, point (b) of this Article.	(c) cargo information referred to in point (b) is accompanied by a special stowage request requiring stowage of the freight containers containing plastic pellets as outlined in paragraph 2, point (b) of this Article.
	Article 4a	a(2)			
G	108g			2. Operators, agents and masters of sea-going vessels shall ensure that they are in possession of the list or manifest or appropriate loading plan in accordance with the cargo information received from the	2. Operators, agents and masters of sea-going vessels shall ensure that they are in possession of the list or manifest or appropriate loading plan in accordance with the cargo information received from the

7198/1/25 REV 1

TREE.1.A LIMITE

84

EN

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				shipper referred to in paragraph 1, point (b) of this Article.	shipper referred to in paragraph 1, point (b) of this Article.
					Text Origin: Council Mandate
	Article 4	a(3)			
G	108h			3. Operators and masters of sea-going vessels shall ensure that freight containers containing plastic pellets are stowed under deck wherever reasonably practicable, or inboard in sheltered areas of exposed decks. In both cases, such containers are secured to minimize hazards to the marine environment without impairing the safety of the seagoing vessel and persons on board.	3. Operators and masters of sea-going vessels shall ensure that freight containers containing plastic pellets are stowed under deck wherever reasonably practicable, or inboard in sheltered areas of exposed decks. In both cases, such containers are secured to minimize hazards to the marine environment without impairing the safety of the seagoing vessel and persons on board.
	Article 5				Text Origin: Council Mandate
G	109	Article 5 Certification	Article 5 Certification	Article 5 Certification	Article 5 Certification  Text Origin: Commission Proposal
	Article 5	(1)			
G	110	1. By [OP: please insert the date = 24 months after the entry into force of this Regulation], and thereafter every three years, economic operators that are large-	1. By [OP: please insert the date = 24 months after the entry into force of this Regulation], and thereafter every three years, economic operators that are large-	1. By [OP: please insert the date = 24 months afterfrom the entry into force of this Regulation], and thereafter every three years, economic operators	1. By [OP: please insert the date — 24 months afterfrom the entry into force of this Regulation], and thereafter every three years, economic operators

Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
sized enterprises shall demonstrathat each installation where plast pellets in quantities above 1 000 tonnes have been handled in the previous calendar year is compliant with the requirements set out in Annex I, by obtaining a certificate issued by a certifier.	that each installation where plastic pellets in quantities above 1 000 tonnes have been handled in the previous calendar year is compliant with the requirements	that are large-sized enterprises shall demonstrate that the handling process at each installation where plastic pellets in quantities equal to or above a threshold of 1 000 tonnes have been handled in the previous calendar year is compliant with the requirements set out in Annex I, by obtaining a certificate issued by a certifier.	that are large-sized enterprises shall demonstrate that the handling process at each installation where plastic pellets in quantities equal to or above a threshold of 1 000 tonnes have been handled in the previous calendar year is compliant with the requirements set out in Annex I, by obtaining a certificate issued by a certifier.  Text Origin: Council Mandate
Article 5(2)			Tene origin. Someri nanace
2. By [OP: please insert the date = 36 months after the entry into force of this Regulation], and thereafter every four years, economic operators that are medium-sized enterprises shall demonstrate that each installation where plastic pellets in quantities above 1 000 tonnes have been handled in the previous calendar year is compliant with the requirements set out in Annex I, by obtaining a certificate issued a certifier.	date = 36 months after the entry into force of this Regulation], and thereafter every <i>fourthree</i> years, economic operators that are medium-sized enterprises shall demonstrate that each installation where plastic pellets in quantities above 1 000 tonnes have been handled in the previous calendar year is compliant with the requirements set out in Annex I,	2. By [OP: please insert the date = 36 months afterfrom the entry into force of this Regulation], and thereafter every four years, economic operators that are medium-sized enterprises shall demonstrate that the handling process at each installation where plastic pellets in quantities equal to or above a threshold of 1 000 tonnes have been handled in the previous calendar year is compliant with the requirements set out in Annex I, by obtaining a certificate issued by a certifier.	2. By [OP: please insert the date — 36 months afterfrom the entry into force of this Regulation], and thereafter every four years, economic operators that are medium-sized enterprises shall demonstrate that the handling process at each installation where plastic pellets in quantities equal to or above a threshold of 1 000 tonnes have been handled in the previous calendar year is compliant with the requirements set out in Annex I, by obtaining a certificate issued by a certifier.  Text Origin: Council Mandate
Article 5(2a)			

7198/1/25 REV 1 86
TREE.1.A **LIMITE**\*\*EN

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
R 111a		2a. By [60 months from the entry into force of this Regulation], economic operators that are small enterprises shall demonstrate that each installation where plastic pellets in quantities above 1 000 tonnes have been handled in the previous calendar year is compliant with the requirements set out in Annex I, by obtaining a certificate issued by a certifier. That certificate shall be valid for 5 years.	2a. By [48 months from the entry into force of this Regulation], and thereafter every five years, economic operators that are small-sized enterprises shall demonstrate that the handling process at each installation where plastic pellets in quantities equal to or above a threshold of 1 000 tonnes have been handled in the previous calendar year is compliant with the requirements set out in Annex I, by obtaining a certificate issued by a certifier.	2a. By [60 months from the entry into force of this Regulation], economic operators that are small enterprises shall demonstrate that each installation where plastic pellets in quantities above [1 000] tonnes have been handled in the previous calendar year is compliant with the requirements set out in Annex I, by obtaining a certificate issued by a certifier. That certificate shall be valid for 5 years.
Article 5	3. Certifiers shall carry out spot-checks to ensure that all measures included in the risk assessment plan carried out in accordance with Annex I are duly implemented.	3. Certifiers shall carry out spot-checks and inspections of sites, means of transport and immediately surrounding areas to ensure that all measures included in the risk assessment plan carried out in accordance with Annex I are duly implemented.	3. Certifiers shall carry out spot-checks to ensure that the risk management plan is adequate to prevent plastic pellet losses and that all measures included in the risk assessmentmanagement plan carried out in accordance with Annex I are duly implemented.	3. Certifiers shall carry out spot-checks, including, if accessible, at immediately surrounding areas, to ensure that all measures included in the risk assessment plan carried outmanagement plan established in accordance with Annex I is adequate to prevent plastic pellet losses and that all measures included therein are duly implemented.

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
c 113	4. Certificates shall meet the following requirements:			
				Text Origin: Commission Proposal
Article 5	(4), point (a)			
s 114	(a) be issued in accordance with the model form set out in Annex IV and in electronic form;	(a) be issued in accordance with the model form set out in Annex IV and in electronic form;	(a) be issued in accordance with the model form set out in Annex IV and in electronic form;	(a) be issued in accordance with the model form set out in Annex IV and in electronic form;  Text Origin: Commission  Proposal
Article 5	5(4), point (b)			
s 115	(b) specify the economic operator, the installation covered by the certificate, the date of the spot-checks carried out, and the period of validity;	(b) specify the economic operator, the installation covered by the certificate, the date of the spot-checks carried out, and the period of validity;	(b) specify the economic operator, the installation covered by the certificate, the date of the spot-checks carried out, and the period of validity;	(b) specify the economic operator, the installation covered by the certificate, the date of the spot-checks carried out, and the period of validity;  Text Origin: Commission Proposal
Article 5	(4), point (c)			
s 116	(c) certify conformity of the installation covered by the certificate with the requirements laid down in Annex I;	(c) certify conformity of the installation covered by the certificate with the requirements laid down in Annex I;	(c) certify conformity of the installation covered by the certificate with the requirements laid down in Annex I;	(c) certify conformity of the installation covered by the certificate with the requirements laid down in Annex I;  Text Origin: Commission
A .: 1 =	(5) (6)			Proposal
Article 5	5(5), first subparagraph		I	
<sup>6</sup> 117	5. Without undue delay, certifiers shall notify the	5. Without undue delay, certifiers shall notify the	5. Without undue delay, certifiers shall notify the	5. Without undue delay, certifiers shall notify the

7198/1/25 REV 1 88 TREE.1.A LIMITE EN

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	competent authority of the following:	competent authority of the following:	competent authority of the following:	competent authority of the following:
				Text Origin: Commission Proposal
Artic	le 5(5), first subparagraph, point (a)			
G 118	(a) certificates issued;	(a) certificates issued;	(a) certificates issued;	(a) certificates issued;  Text Origin: Commission
				Proposal
Artic	le 5(5), first subparagraph, point (b)			
6 119	(b) certificates suspended or withdrawn;	(b) certificates suspended or withdrawn;	(b) certificates suspended or withdrawn;	(b) certificates suspended or withdrawn;
				Text Origin: Commission Proposal
Artic	le 5(5), first subparagraph, point (c)			
g 120	(c) changes in certificates.	(c) changes in certificates.	(c) changes in certificates.	(c) changes in certificates.
120	,			Text Origin: Commission Proposal
Artic	le 5(5), second subparagraph			
6 12	Competent authorities shall establish and maintain and keep up to date a register of certificates. The register shall be publicly available on a website.	Competent authorities shall establish and maintain and keep up to date a register of certificates. The register shall be publicly available on a website.	deleted	deleted
Artic	le 5a			
R 121	а		Article 5a Compliance through permits	
Artic	le 5a(1)			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
R	121b			1. Member States may exempt economic operators from the obligations laid out in Article 4(1) (c) and 4(2) as well as from obtaining a certificate pursuant to Article 5(1), (2) and (2a) in respect of each installation, provided that:	R
	Article 5	a(1), point (a)		<u> </u>	
R	121c			(a) the operation of the installation is subject to a permit;	R
	Article 5	a(1), point (b)			
R	121d			(b) the economic operator has notified the authority competent for the issuance of permits about the risk management plan referred to in Article 4(1) as well as its updates every 3 years for large, 4 years for medium, and 5 years for small and micro-sized enterprises;	R
	Article 5	5a(1), point (c)			
R	121e			(c) the permit has been granted or reviewed and, if necessary, updated based on the verification of the economic operators' compliance with Annex I following the notification of a risk management plan and	R

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				subsequent updates, done under point (b);	
	Article 5	a(1), point (a)			
Y	121f			(d) the installation is subject to regular inspections by competent authorities, including spot-checks, with a periodicity equivalent to those indicated in Articles 4(2) and 5 examining the full range of relevant environmental effects, including plastic pellet spills and losses.	(a) the installation is subject to regular inspections by competent authorities, including site visits, with a periodicity equivalent to those indicated in Articles 4(2) and 5 examining the full range of relevant environmental effects, including plastic pellet spills and losses.
	Article 5	a(2)			
R	121g			2. The Member State shall notify the exemption of the economic operators and national rules on permits to the Commission.	R
	Article 6				
G	122	Article 6 Environmental management systems	Article 6 Environmental management systems	Article 6 Environmental management systems	Article 6 Environmental management systems  Text Origin: Commission Proposal
	Article 6	, first paragraph		,	
R	123	Economic operators which are registered to the Community ecomanagement and audit scheme in	Economic operators which are registered to the Community ecomanagement and audit scheme in	1. Economic operators which are registered to the Community eco-management and audit scheme	R

$\Box$		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		accordance with Regulation (EC) No 1221/2009 are exempt from compliance with the notification obligation laid down in Article 4(2) and the obligations laid down in Article 5(1) and (2) of this Regulation provided that the environmental verifier as defined in Article 2(20) of Regulation (EC) No 1221/2009 has checked that requirements laid down in Annex I have been included in the environmental management system of the economic operator and have been implemented.	accordance with Regulation (EC) No 1221/2009 are exempt from compliance with the notification obligation laid down in Article 4(2) and the obligations laid down in Article 5(1) and (2) of this Regulation provided that the environmental verifier as defined in Article 2(20) of Regulation (EC) No 1221/2009 has checked that requirements laid down in Annex I have been included in the environmental management system of the economic operator and have been implemented.	(EMAS) in accordance with Regulation (EC) No 1221/2009 are exempt from compliance with the notification obligation laid down in Article 4(2) and the obligations laid down in Article 5(1), (2) and (2a) and (2) of this Regulation provided that the environmental verifier as defined in Article 2(20) of Regulation (EC) No 1221/2009 has checked that requirements laid down in Annex I have been included in the environmental management system of the economic operator and have been implemented.	
	Article 6	(2)			
R	123a			2. Member States may exempt economic operators from compliance with Articles 4(2), 5(1), 5(2) and 5(2a) of this Regulation when they have prepared and implemented an environmental management system (EMS) for each installation, and provided that:	R
	Article 6	(2), point (a)			
R	123b			(a) an accredited certifier has conducted a conformity assessment to verify, including through spot-checks, that the EMS and the way it is	R

7198/1/25 REV 1 92
TREE.1.A LIMITE EN

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
			implemented are compliant with the requirements set out in Annex I;	
Arti	cle 6(2), point (b)			
R 123	3c		(b) the economic operator notifies the competent authorities about the conformity assessment of the EMS and the way it is implemented at the installation with the requirements set out in Annex I, including information about the economic operator, the installation for which compliance is verified, the date at which spot-checks are carried out, and the period for which the conformity assessment is valid;	R
Arti	cle 6(2), point (c)			
R 123	3d		(c) the regular conformity assessments of the EMS include, at least every three years, an evaluation of its implementation in accordance with Annex I.	R
Arti	cle 7		<u> </u>	
6 12	Article 7 Accreditation of certifiers	Article 7 Accreditation of certifiers	Article 7 Accreditation of certifiers	Article 7 Accreditation of certifiers  Text Origin: Council Mandate
Arti	cle 7, first paragraph			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	125	The accreditation of certifiers referred to in Article 3, point (k)(i) shall include an evaluation of compliance with the following requirements:	The accreditation of certifiers referred to in Article 32, point (k)(i) shall include an evaluation of compliance with the following requirements:	The accreditation of certifiers referred to in Article 32, point (k)(i), shall include an evaluation of compliance with the following requirements:	The accreditation of certifiers referred to in Article 32, point (k)(i), shall include an evaluation of compliance with the following requirements:  Text Origin: Council Mandate
	Article 7	, first paragraph, point (-a)			
G	125a			(-a) the certifier shall be established under the law of a Member State and shall have legal personality;	(-a) the certifier shall be established under the law of a Member State and shall have legal personality;
	–				Text Origin: Council Mandate
	Article 7	, first paragraph, point (a)			
G	126	(a) the certifier shall be independent of the economic operator;	(a) the certifier shall be independent of the economic operator;	(a) the certifier shall be a third party body independent of the economic operator;	(a) the certifier shall be <u>a third</u> <u>party body</u> independent of the economic operator;  Text Origin: Council Mandate
	Article 7	, first paragraph, point (b)		l	
G	127	(b) the certifier, its top-level management and the personnel responsible for the conformity assessment shall not engage in any activity that may conflict with their independence of judgement or integrity in relation to the certification activities;	(b) the certifier, its top-level management and the personnel responsible for the conformity assessment shall not engage in any activity that may conflict with their independence of judgement or integrity in relation to the certification activities;	(b) the certifier, its top-level management and the personnel responsible for the conformity assessment shall not engage in any activity that may conflict with their independence of judgement or integrity in relation to the certification activities for which they are accredited;	(b) the certifier, its top-level management and the personnel responsible for the conformity assessment shall not engage in any activity that may conflict with their independence of judgement or integrity in relation to the certification activities;  Text Origin: EP Mandate
	Article 7	, first paragraph, point (c)			

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
s 128	(c) the certifier and its personnel shall carry out their activities with the highest degree of professional integrity and the requisite technical competence and shall be free from all pressures and inducements, including financial, which might influence their judgement or the results of their certification activities;	(c) the certifier and its personnel shall carry out their activities with the highest degree of professional integrity and the requisite technical competence and shall be free from all pressures and inducements, including financial, which might influence their judgement or the results of their certification activities;	(c) the certifier and its personnel shall operate in a non-discriminatory manner and shall carry out their activities with the highest degree of professional integrity and the requisite technical competence and shall be free from all pressures and inducements, including financial, which might influence their judgement or the results of their certification activities, especially as regards persons or groups of persons with an interest in the results of those activities. The impartiality of the certifiers, their top-level management and of the personnel responsible for carrying out the certification and tasks shall be guaranteed;	(c) the certifier and its personnel shall operate in a non-discriminatory manner and shall carry out their activities with the highest degree of professional integrity and the requisite technical competence and shall be free from all pressures and inducements, including financial, which might influence their judgement or the results of their certification activities, especially as regards persons or groups of persons with an interest in the results of those activities. The impartiality of the certification and to the personnel responsible for carrying out the certification and tasks shall be guaranteed;
Article 7	, first paragraph, point (d)			
6 129	(d) the certifier shall have the expertise, equipment and infrastructure required to perform the conformity assessment in relation to which it has been accredited;	(d) the certifier shall have the expertise, equipment and infrastructure required to perform the conformity assessment in relation to which it has been accredited;	(d) the certifier shall have the expertise, equipment and infrastructure required to perform the conformity assessment in relation to which it has been accredited;	(d) the certifier shall have the expertise, equipment and infrastructure required to perform the conformity assessment in relation to which it has been accredited;  Text Origin: Council Mandate
Article 7	', first paragraph, point (e)			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	130	(e) the certifier shall have a sufficient number of suitably qualified and experienced personnel responsible for carrying out the conformity assessment tasks;	(e) the certifier shall have a sufficient number of suitably qualified and experienced personnel responsible for carrying out the conformity assessment tasks;	(e) the certifier shall have a sufficient number of suitably qualified and experienced personnel responsible for carrying out the conformity assessment tasks;	(e) the certifier shall have a sufficient number of suitably qualified and experienced personnel responsible for carrying out the conformity assessment tasks;  Text Origin: Council Mandate
	Article 7	, first paragraph, point (f)			
G	131	(f) the personnel of a certifier shall observe professional secrecy with regard to all information obtained in carrying out the conformity assessment tasks;	(f) the personnel of a certifier shall observe professional secrecy with regard to all information obtained in carrying out the conformity assessment tasks;	(f) without prejudice to the powers of the competent authorities under Article 11(3), point (b), the personnel of a certifier shall observe professional secrecy with regard to all information obtained in carrying out the conformity assessment tasks;	(f) without prejudice to the powers of the competent authorities under Article 11(3), point (b), the personnel of a certifier shall observe professional secrecy with regard to all information obtained in carrying out the conformity assessment tasks;  Text Origin: Council Mandate
	Article 7	, first paragraph, point (g)		L	
G	132	(g) where a certifier subcontracts specific tasks connected with certification or has recourse to a subsidiary, it shall take full responsibility for the tasks performed by subcontractors or subsidiaries and shall assess and monitor the qualifications of the subcontractor or the subsidiary and the work carried out by them.	(g) where a certifier subcontracts specific tasks connected with certification or has recourse to a subsidiary, it shall take full responsibility for the tasks performed by subcontractors or subsidiaries and shall assess and monitor the qualifications of the subcontractor or the subsidiary and the work carried out by them.	(g) where a certifier subcontracts specific tasks connected with certification or has recourse to a subsidiary, it shall take full responsibility for the tasks performed by subcontractors or subsidiaries and shall assess and monitor the qualifications of the subcontractor or the subsidiary and the work carried out by them.  Only the tasks that fall in the	(g) where a certifier subcontracts specific tasks connected with certification or has recourse to a subsidiary, it shall take full responsibility for the tasks performed by subcontractors or subsidiaries and shall assess and monitor the qualifications of the subcontractor or the subsidiary and the work carried out by them.  Only the tasks that fall in the

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
			scope of accreditation of the certifier may be performed by subcontractors or subsidiaries. Certifiers shall ensure that the activities of their subcontractors or subsidiaries do not affect the confidentiality, objectivity or impartiality of their certification activities.	scope of accreditation of the certifier may be performed by subcontractors or subsidiaries. Certifiers shall ensure that the activities of their subcontractors or subsidiaries do not affect the confidentiality, objectivity or impartiality of their certification activities.  Text Origin: Council Mandate
Article 7				
6 132a			Article 7a Public access to information	Article 7a Public access to information  Text Origin: Council Mandate
Article 7	7a(1)			
c 132b			1. Competent authorities shall make available to the public, including systematically via the internet, on a webpage which is easy to find, free of charge and without restricting access to registered users, while ensuring that confidential business information is safeguarded the following:	1. Competent authorities shall make available to the public, including systematically via the internet, on a webpage which is easy to find, free of charge and without restricting access to registered users, while ensuring that confidential business information is safeguarded the following:  Text Origin: Council Mandate
Article 7	7a(1), point (a)			
y 132c			(a) the information they have received in accordance with	У

7198/1/25 REV 1

TREE.1.A

LIMITE

97

EN

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				Article 3(2) and (3) and Article 3a;	
	Article 7a	(1), point (a)			
G	132d			(b) upon demand, the risk management plans they have received in accordance with Article 4(1);	(a) the risk management plans they have received in accordance with Article 4(1);  Text Origin: Council Mandate
	Article 7a	(1), point (b)			
G	132e			(c) the self-declaration of conformity they have received in accordance with Article 4(2);	(b) the self-declaration of conformity they have received in accordance with Article 4(2);  Text Origin: Council Mandate
	Article 7a	(1), point (c)			
G	132f			(d) certificates issued pursuant to Article 5 and notifications they have received in accordance with paragraph 5 of that Article; and	(c) certificates issued pursuant to Article 5 and notifications they have received in accordance with paragraph 5 of that Article; and  Text Origin: Council Mandate
	Article 7a	(1), point (d)			
Υ	132g				(da) the conformity assessment of the EMS they have received in accordance with Article 6(2), point (b);
	Article 7a	(1), point (e)			
Υ	132h			(e) the content of the decision granting a permit, including a copy of the permit and any	У

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				subsequent updates or a link to other existing publicly accessible registers or websites, established at Member State level, that provide access to such permits and their subsequent updates.	
	Article 7a	(2)			
c	132i			2. Competent authorities, when making them available to the public, may omit parts of the information referred to in paragraph 1, points (a) and (b), if the disclosure of the information would adversely affect the safety of the concerned installations, the local population, or any of the interests listed in Article 4(2), points (a) to (h), of Directive 2003/4/EC. Competent authorities may request economic operators, EU carriers non-EU carriers and authorised representatives to identify the parts of the information that they consider shall not be publicly disclosed.	2. Competent authorities, when making the risk management plans referred to in paragraph 1, point (b), available to the public, shall not publicly disclose the information referred to in Annex I, point 1a.  Competent authorities may omit parts of other information referred to in paragraph 1, points (a) and (b), if its disclosure would adversely affect the safety of the installations concerned or safety of the local population, or any of the interests listed in Article 4(2), points (a) to (h), of Directive 2003/4/EC. Competent authorities may require economic operators to identify the parts of the information they consider shall not be publicly disclosed.  Text Origin: Council Mandate
	Article 7a	(3)			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	132j			3. The Commission shall publish the list of such national websites on its website, provided that such information is submitted by Member States.	3. The Commission shall publish the list of such national websites on its website, provided that such information is submitted by Member States.  Text Origin: Council Mandate
	Article 7	a(4)			
R	132k			4. The Commission shall make the list of designated authorised representatives of non-EU carriers pursuant Article 3a available to the public, including systematically via the internet, on a webpage which is easy to find, free of charge and without restricting access to registered users, while ensuring that confidential business information is safeguarded.	R
	Article 8				
G	133	Article 8 Verification of compliance and reporting	Article 8 Verification of compliance and reporting	Article 8 Verification of compliance and reporting	Article 8 Verification of compliance and reporting  Text Origin: Commission Proposal
	Article 8	(1)			
Y	134	1. Competent authorities shall verify compliance of economic operators, EU carriers and non-EU	1. Competent authorities shall verify compliance of economic operators, EU carriers and non-EU	1. Competent authorities shall verify compliance of economic operators, EU carriers and non-EU	1. Competent authorities shall verify compliance of economic operators, EU carriers and non-EU

7198/1/25 REV 1 100 TREE.1.A **LIMITE EN** 

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		carriers with the obligations laid down in this Regulation, taking into account the information provided in self-declarations of conformity referred to Article 4(1) and (2) and provided by certifiers in accordance with Article 5(5). The competent authorities shall carry out environmental inspections and other verification measures, following a risk-based approach.	carriers with the obligations laid down in this Regulation, taking into account the information provided in self-declarations of conformity referred to Article 4(1) and (2) and provided by certifiers in accordance with Article 5(5). The competent authorities shall carry out <i>unannounced</i> environmental inspections and other verification measures, following a risk-based approach.	carriers and authorised representatives, shippers, operators, agents and masters of sea-going vessels transporting plastic pellets in the Union leaving or calling at a port of a Member State with the obligations laid down in this Regulation, taking into account, where applicable, the information provided in self-declarations of conformity referred to Article 4(1) and (2) and provided collected by certifiers in accordance with Article 5(5) and in accordance with exemptions granted pursuant to Article 6. The competent authorities shall carry out environmental inspections and other verification measures, following a risk-based approach.	carriers and authorised representatives, shippers, operators, agents and masters of sea-going vessels transporting plastic pellets in the Union leaving or calling at a port of a Member State with the obligations laid down in this Regulation, taking into account, where applicable, the information provided in self-declarations of conformity referred to Article 4(1) and (2) and provided collected by certifiers in accordance with Article 5(5) and in accordance with exemptions granted pursuant to Article 6. The competent authorities shall carry out environmental inspections, including without prior notice, and other verification measures, following a risk-based approach.
	Article 8	(2)			
G	135	2. At the latest by [OP please insert the date = the first day of the month after four years following the date of entry into force of this Regulation] and every three years thereafter Member States shall, submit a report to the Commission containing qualitative	2. At the latest by [OP please insert the date = the first day of the month after <i>fourthree</i> years following the date of entry into force of this Regulation] and every three years thereafter Member States shall, submit a report to the Commission	2. At the latest by [OP please insert the date — the first day of the month after four years following the date of entry into force of this Regulation] and every three years thereafter Member States shall, submit a report to the Commission containing qualitative	2. At the latest by [OP please insert the date — the first day of the month after four years following the date of entry into force of this Regulation] and every three years thereafter Member States shall, submit a report to the Commission containing qualitative

7198/1/25 REV 1 101 TREE.1.A **LIMITE EN** 

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	and quantitative information on the implementation of this Regulation during the previous calendar year. The information shall include:	containing qualitative and quantitative information on the implementation of this Regulation during the previous calendar year. The information shall include:	and quantitative information on the implementation of this Regulation during the previous three consecutive calendar yearyears. The information shall include:	and quantitative information on the implementation of this Regulation during the previous three consecutive calendar yearyears. The information shall include:  Text Origin: Council Mandate
Article 8	3(2), point (a)			
136	(a) the number of economic operators per size of enterprise according to Commission Recommendation 2003/361/EC and per economic activity, their installations, and of the EU carriers and their means of transport allocated to transporting plastic pellets;	(a) the number of economic operators per size of enterprise according to Commission Recommendation 2003/361/EC and per economic activity, their installations <i>and the quantities of plastic pellets handled by them</i> , and of the EU carriers and their means of transport allocated to transporting plastic pellets <i>and the quantities handled by them</i> ;	(a) the number of economic operators per size of enterprise according to Commission Recommendation 2003/361/EC and per economic activity, their installations, and of the number of EU carriers and non-EUEU carriers and their means of transport allocated to transporting plastic pellets;	(a) the number of economic operators per size of enterprise according to Commission Recommendation 2003/361/EC and per economic activity, their installations, and of the number of EU carriers and non-EUEU carriers and their means of transport allocated to transporting plastic pellets;  Text Origin: Council Mandate
Article 8	3(2), point (b)			
137	(b) the number of risk assessment plans, self-declarations notified pursuant to Article 4(1) and 4(2) and certificates notified pursuant to Article 5(5);	(b) the number of risk assessment plans, self-declarations notified pursuant to Article 4(1) and 4(2) and certificates notified pursuant to Article 5(5);	(b) the number of risk assessmentmanagement plans, self-declarations notified pursuant to Article 4(1) and 4(2), the number of and certificates notified pursuant to Article 5(5) and the number of economic operators that are EMAS registered or have implemented	Y

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				an EMS meeting the conditions in Article 6(2);	
	Article 8	(2), point (ba)			
Y	137a			(ba) the number of permits granted to the exempted economic operators under Article 5a;	(ba) the number of permits granted meeting the conditions pursuant to Article 5a;  Text Origin: Council Mandate
	Article 8	(2), point (c)			
G	138	(c) the number and results of environmental inspections and other verification measures carried under paragraph 1 of this Article as well as the number of incidents and accidents reported in accordance with Article 9(1) and the measures taken in case of noncompliance with the obligations set out in this Regulation.	(c) the number and results of environmental inspections and other verification measures carried under paragraph 1 of this Article as well as the number of incidents and accidents reported in accordance with Article 9(1) and the measures taken in case of noncompliance with the obligations set out in this Regulation.	(c) the number and results of environmental inspections and other verification measures carried under paragraph 1 of this Article as well as the number of incidents and accidents reported in accordance with Article 9(1) and the measures taken in case of noncompliance with the obligations set out in this Regulation.	(c) the number and results of environmental inspections and other verification measures carried under paragraph 1 of this Article as well as the number of incidents and accidents reported in accordance with Article 9(1) and the measures taken in case of noncompliance with the obligations set out in this Regulation.  Text Origin: Commission Proposal
	Article 8	(3)			
G	139	3. The Commission shall lay down by means of implementing acts a format for the reports referred to in paragraph 2.	3. The Commission shall lay down by means of implementing acts a format for the reports referred to in paragraph 2.	3. The Commission shall-lay down by means of implementing acts lay down a format for the reports referred to in paragraph 2. Those implementing acts shall be adopted in accordance with the advisory procedure referred to in Article 18a(2).	3. The Commission shall-lay down by means of implementing acts lay down a format for the reports referred to in paragraph 2.  Those implementing acts shall be adopted in accordance with the advisory procedure referred to in Article 18a(2).

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				Text Origin: Council Mandate
Article 8	(3a)			
6 139a		3a. Every three years, based on the reports of Member States referred to in paragraph 2, the Commission shall produce a synthesis report on compliance and reporting, setting out the qualitative and quantitative information on the implementation of this Regulation contained in the Member States' reports.		3a. No later than three months after the reporting date by the Member States referred to in paragraph 1, the Commission shall make publicly available a Union-wide overview of the application of this Regulation, based on the data submitted pursuant to that paragraph.  Text Origin: EP Mandate
Article 9				
G 140	Article 9 Incidents and accidents	Article 9 Incidents and accidents	Article 9 Incidents and accidents	Article 9 Incidents and accidents  Text Origin: Commission Proposal
Article 9	(1)			
s 141	1. Without prejudice to Directive 2004/35/EC, in the event of an incidental or accidental loss significantly affecting human health or the environment, economic operators, EU carriers and non-EU carriers shall immediately:	1. Without prejudice to Directive 2004/35/EC, in the event of an incidental or accidental loss significantly affecting human health or the environment, economic operators, EU carriers and non-EU carriers shall immediately:	1. Without prejudice to Directive 2004/35/EC, in the event of an incidental or accidental loss significantly affecting human health or the environment, economic operators, EU carriers and non-EU carriers shall, immediately:	1. Without prejudice to Directive 2004/35/EC, in the event of an incidental or accidental loss significantly affecting human health or the environment, economic operators, EU carriers and non-EU carriers shall immediately:  Text Origin: EP Mandate
Article 9	(1), point (a)			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
Υ	142	(a) inform the competent authority in whose territory the incident or accident occurred and the estimated quantities of losses;	(a) inform the competent authority in whose territory the incident or accident occurred, as well as the competent authority of any territory that is likely to be affected, and the estimated quantities of losses in accordance with the form set out in Annex IVa;	(a) inform the competent authority in whose territory the incident or accident occurred and the estimated quantities of losses;	(a) <u>immediately</u> inform the competent authority in whose territory the incident or accident occurred and the estimated quantities of lossesemergency services, where relevant;  Text Origin: EP Mandate
	Article 9	(1), point (aa)			
G	142a		(aa) take measures to contain and clean up such losses in an ecologically sensitive manner;		deleted
	Article 9	(1), point (b)			
Y	143	(b) take measures to limit the health or environmental consequences and to prevent further incidents or accidents.	(b) take <u>all possible</u> measures to <u>limitminimise</u> the health or environmental consequences, and to prevent further incidents or accidents.	(b) take measures to limit the health or environmental consequences; and to prevent further incidents or accidents.	(b) immediately take all possibletake measures to limitminimise the health or environmental consequences; and to prevent further incidents or accidents.  Text Origin: Council Mandate
	Article 9	  (1), point (ba)			
	AT CICIE 3	(1), point (bu)			(ha) without dalay and no later
Y	143a				(ba) without delay and no later than 30 days after an incidental or accidental loss affecting human health or the environment, provide the following information to the competent authorities in whose

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				territories the incident or accident occurred: the estimated quantities of losses; the causes of the loss; and the measures taken pursuant to paragraph (a);
Article 9	9(1), point (bb)			
6 143b			(c) take measures to prevent further incidents or accidents.	(c) take measures to prevent further incidents or accidents.
				Text Origin: Council Mandate
Article 9	9(2)			
g 144	2. The competent authority in whose territory the incident or accident occurred shall require, where necessary, that economic operators, EU carriers and non-EU carriers take appropriate complementary measures to limit the health or environmental consequences and to prevent further incidents or accidents.	2. The competent authority in whose territory the incident or accident occurred shall require, where necessary, that economic operators, EU carriers and non-EU carriers take appropriate complementary measures and organise specific training to minimise to limit the health or environmental consequences and to prevent further incidents or accidents.	2. The competent authority in whose territory the incident or accident occurred shall require, where necessary, that economic operators, EU carriers and non-EU carriers take appropriate complementary measures to limit the health or environmental consequences and to prevent further incidents or accidents.	2. The competent authority in whose territory the incident or accident occurred shall require, where necessary, that economic operators, EU carriers and non-EU carriers take appropriate complementary measures to limit minimise the health or environmental consequences and to prevent further incidents or accidents, including by organising specific training.  Text Origin: Auxiliary 1
Article 9	9(3)			
g 145	3. In the event of any incident or accident significantly affecting human health or the environment	3. In the event of any incident or accident <i>significantly</i> affecting human health or the environment	3. In the event of any incident or accident significantly affecting human health or the environment	3. In the event of any incident or accident <i>significantly</i> affecting human health or the environment

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	in another Member State, the competent authority in whose territory the accident or incident occurred shall immediately inform the competent authority of that other Member State.	in another Member State, the competent authority in whose territory the accident or incident occurred shall immediately inform the competent authority of that other Member State.	in another Member State, the competent authority in whose territory the accident or incident occurred shall immediately inform the competent authority of that other Member State.	in another Member State, the competent authority in whose territory the accident or incident occurred shall immediately inform the competent authority of that other Member State.  Text Origin: EP Mandate
Article	e 10			
g 146	Article 10 Non-compliance	Article 10 Non-compliance	Article 10 Non-compliance	Article 10 Non-compliance  Text Origin: Commission Proposal
A .: 1	40(4)			rioposai
Article	2 10(1)			
R 147	1. In the event of an infringement of the rules laid down in this Regulation, economic operators, EU carriers and non-EU carriers shall immediately:	1. In the event of an infringement of the rules laid down in this Regulation, economic operators, EU carriers and non-EU carriers shall immediately:	1. In the event of an infringement of the rules laid down in this Regulation, economic operators, EU carriers and, non-EU carriers and authorised representatives, as applicable, shall immediately:	1. In the event of an infringement of the rules laid down in this Regulation, economic operators, EU carriers-and, non-EU carriers and authorised representatives, as applicable, shall immediately:
				Text Origin: Council Mandate
Article	2 10(1), point (a)			
g 148	(a) inform the competent authority;	(a) inform the competent authority;	(a) inform the competent authority;	(a) inform the competent authority;  Text Origin: Commission Proposal
Article	2 10(1), point (b)			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	149	(b) take the measures necessary to ensure that compliance is restored within the shortest possible time;	(b) take the measures necessary to ensure that compliance is restored within the shortest possible time;	(b) take the measures necessary to ensure that compliance is restored within the shortest possible time;	(b) take the measures necessary to ensure that compliance is restored within the shortest possible time;  Text Origin: Commission Proposal
	Article 1	0(1), point (c)			
G	150	(c) comply with any complementary measures determined by the competent authority as necessary to restore compliance.	(c) comply with any complementary measures determined by the competent authority as necessary to restore compliance.	(c) comply with any complementary measures determined by the competent authority as necessary to restore compliance.	(c) comply with any complementary measures determined by the competent authority as necessary to restore compliance.
					Text Origin: Commission Proposal
	Article 1	0(2)			
G	151	2. Where the infringement of the rules laid down in this Regulation poses an immediate danger to human health or threatens to cause an immediate significant adverse effect upon the environment, the competent authority may suspend the operation of the installation until compliance is restored in accordance with paragraph 1, points (b) and (c).	2. Where the infringement of the rules laid down in this Regulation poses an immediate danger to human health or threatens to cause an immediate significant adverse effect upon the environment, the competent authority mayshall suspend the operation of the installation until compliance is restored in accordance with paragraph 1, points (b) and (c).	2. Where the infringement of the rules laid down in this Regulation poses an immediate danger to human health or threatens to cause an immediate significant adverse effect upon the environment or leads to a significant loss of plastic pellets, the competent authority may suspend the operation of the installation or part of it, immobilise the vehicle or prevent the movement of the transport means until compliance is restored in accordance with paragraph 1, points (b) and (c).	2. Where the infringement of the rules laid down in this Regulation poses an immediate danger to human health or threatens to cause an immediate significant adverse effect upon the environment, the competent authority shall, or where this leads to a significant loss of plastic pellets, the competent authority may, may suspend the operation of the installation or part of it, immobilise or prevent the movement of road vehicles, rail wagons or inland waterway vessels until compliance is

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
					restored in accordance with paragraph 1, points (b) and (c).
	Article 1	1			
G	152	Article 11 Designation and powers of competent authorities 	Article 11 Designation and powers of competent authorities	Article 11 Designation and powers of competent authorities	Article 11 Designation and powers of competent authorities  Text Origin: Council Mandate
	Article 1	1(1)			
G	153	1. Member States shall designate one or more competent authorities for the application and enforcement of this Regulation.	1. Member States shall designate one or more competent authorities for the application and enforcement of this Regulation and shall inform the Commission accordingly.	1. Member States shall designate one or more competent authorities for the application and enforcement of this Regulation.	1. Member States shall designate one or more competent authorities for the application and enforcement of this Regulation.  Member States shall inform the Commission, without delay after the entry into force of this Regulation, of the names, addresses, and contact details of the competent authorities, as well as any subsequent changes to this information.  Text Origin: EP Mandate
	Article 1	1(2)			
G	154	2. Member States shall confer on their competent authorities the powers of inspection and enforcement necessary to ensure compliance with this Regulation.	2. Member States shall confer on their competent authorities the powers of inspection and enforcement necessary to ensure compliance with this Regulation.	2. Member States shall confer on their competent authorities the powers of inspection and enforcement necessary to ensure compliance with this Regulation.	2. Member States shall confer on their competent authorities the powers of inspection and enforcement necessary to ensure compliance with this Regulation.  Text Origin: Council Mandate

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
Article	11(3)			
6 155	3. The powers referred to in paragraph 2 shall include at least the following:	3. The powers referred to in paragraph 2 shall include at least the following:	3. The powers referred to in paragraph 2 shall include at least the following:	3. The powers referred to in paragraph 2 shall include at least the following:  Text Origin: Council Mandate
Article	11(3), point (a)			
s 156	(a) the power of access to any relevant documents, data or information related to an infringement of this Regulation, in any form or format and irrespective of their storage medium, or the place where they are stored, and the power to take or obtain copies thereof;	(a) the power of access to any relevant documents, data or information related to an infringement of this Regulation, in any form or format and irrespective of their storage medium, or the place where they are stored, and the power to take or obtain copies thereof;	(a) the power of access to any relevant documents, data or information related to an infringement of this Regulation, in any form or format and irrespective of their storage medium, or the place where they are stored, and the power to take or obtain copies thereof;	(a) the power of access to any relevant documents, data or information related to an infringement of this Regulation, in any form or format and irrespective of their storage medium, or the place where they are stored, and the power to take or obtain copies thereof;  Text Origin: Council Mandate
Article	11(3), point (b)			
6 157	(b) the power to require any natural or legal person to provide any relevant information, data or documents, in any form or format and irrespective of their storage medium or the place where they are stored, for the purposes of establishing whether an infringement of this Regulation has occurred or is occurring and the details of such infringement;	(b) the power to require any natural or legal person to provide any relevant information, data or documents, in any form or format and irrespective of their storage medium or the place where they are stored, for the purposes of establishing whether an infringement of this Regulation has occurred or is occurring and the details of such infringement;	(b) the power to require any natural or legal person to provide any relevant information, data or documents, in any form or format and irrespective of their storage medium or the place where they are stored, for the purposes of establishing whether an infringement of this Regulation has occurred or is occurring and the details of such infringement;	(b) the power to require any natural or legal person to provide any relevant information, data or documents, in any form or format and irrespective of their storage medium or the place where they are stored, for the purposes of establishing whether an infringement of this Regulation has occurred or is occurring and the details of such infringement;  Text Origin: Council Mandate
Article	11(3), point (c)			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	158	(c) the power to start an inspection on their own initiative to bring about the cessation or prohibition of infringements of this Regulation;	(c) the power to start an inspection on their own initiative to bring about the cessation or prohibition of infringements of this Regulation;	(c) the power to start an inspection on their own initiative to bring about the cessation or prohibition of infringements of this Regulation;	(c) the power to start an inspection on their own initiative to bring about the cessation or prohibition of infringements of this Regulation;  Text Origin: Council Mandate
	Article 1	1(3), point (d)			
G	159	(d) the power of access to installations.	(d) the power of access to installations.	(d) the power of access to installations.	(d) the power of access to installations.  Text Origin: Council Mandate
	Article 1	1(4)	l		
G	160	4. Competent authorities may use any information, document, finding, statement or intelligence as evidence for the purpose of their environmental inspections and other verification measures, irrespective of the format or medium on which they are stored.	4. Competent authorities may use any information, document, finding, statement or intelligence as evidence for the purpose of their environmental inspections and other verification measures, irrespective of the format or medium on which they are stored.	4. Competent authorities may use any information, document, finding, statement or intelligence as evidence for the purpose of their environmental inspections and other verification measures, irrespective of the format or medium on which they are stored.	4. Competent authorities may use any information, document, finding, statement or intelligence as evidence for the purpose of their environmental inspections and other verification measures, irrespective of the format or medium on which they are stored.  Text Origin: Council Mandate
	Article 1	1(5)			
G	161	5. Where there is more than one competent authority in their territory, Member States shall ensure that appropriate communication and coordination mechanisms are established.	5. Where there is more than one competent authority in their territory, Member States shall ensure that appropriate communication and coordination mechanisms are established.	5. Where there is more than one competent authority in their territory, Member States shall ensure that appropriate communication and coordination mechanisms are established.	5. Where there is more than one competent authority in their territory, Member States shall ensure that appropriate communication and coordination mechanisms are established.  Text Origin: Council Mandate

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	Article 1	2			
G	162	Article 12 Assistance relating to compliance	Article 12 Assistance relating to compliance	Article 12 Assistance relating to compliance	Article 12 Assistance relating to compliance  Text Origin: Commission Proposal
	Article 1	2(1)			
Y	163	1. The Commission shall develop awareness raising and training material on the sound implementation of the obligations laid down in this Regulation in consultation with representatives of economic operators, carriers, and certifiers, including micro, small and medium-sized enterprises and in collaboration with competent authorities.	-1. By [12 months from the entry into force of this Regulation], the Commission shall develop and fund awareness raising and training material, which may take the form of guides and courses, on the sound implementation of the obligations laid down in this Regulation in consultation with representatives of economic operators, carriers, and certifiers, including micro, small and medium-sized enterprises, social partners, civil society representatives and non-governmental organisations and in collaboration with competent authorities.	1. By [no later than 12 months from the entry into force of this Regulation], the Commission shall develop and make available to the public, including via the internet, on a webpage which is easy to find, free of charge and without restricting access to registered users, awareness raising and training material on the sound implementation of the obligations laid down in this Regulation in consultation with representatives of economic operators, EU carriers and non-EU carriers, authorised representatives, shippers, operators, agents and masters of sea-going vessels transporting plastic pellets in the Union, and certifiers, including micro-, small and medium-sized enterprises and in collaboration with competent authorities.	1. By [no later than 12 months from the entry into force of this Regulation], the Commission shall develop and make available to the public, including via the internet, on a webpage which is easy to find, free of charge and without restricting access to registered users, awareness raising and training material on the sound implementation of the obligations laid down in this Regulation in consultation with representatives of economic operators, carriers, and certifiers, including micro, small and medium-sized enterprises, relevant environmental non-governmental organisations and in collaboration with competent authorities. Where appropriate, the Commission shall consult also representatives of [authorised representatives,] shippers, operators, agents and masters of sea-going vessels

7198/1/25 REV 1

TREE.1.A LIMITE

EN

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
					transporting plastic pellets in the Union.  Text Origin: Council Mandate
1	Article 1	2(2)			
R	164	2. Member States shall ensure that economic operators and carriers, especially micro, small and medium-sized enterprises, get access to information and assistance regarding compliance with this Regulation.	2. Member States shall ensure that economic operators and carriers, especially micro, small and medium sized enterprises, get access to information and assistance regarding compliance with this Regulation.	2. Member States shall ensure that economic operators and EU carriers and non-EU carriers, authorised representatives, shippers, operators, agents and masters of sea-going vessels transporting plastic pellets in the Union, especially microl-, small and medium-sized enterprises, get access to information and assistance regarding compliance with this Regulation.	2. Member States shall ensure that economic operators [and EU] carriers and non-EUand carriers, especially micro, small and medium-sized enterprises authorised representatives], shippers, operators, agents and masters of sea-going vessels transporting plastic pellets in the Union, get access to information and that they, especially micro and small sized enterprises, get assistance regarding compliance with this Regulation.
A	Article 1	.2(2a)			
R	164a		Without prejudice to applicable State aid rules, the assistance referred to in the first subparagraph for micro, small and medium-sized enterprises may take the form of:  It should be "Article 12(2), first subparagraph a" (rows 164a-164c). TTE only allows us to enter it as "Article 12(2), second subparagraph".		deleted  Text Origin: EP Mandate

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	Article 1	2(2a), point (a)			
R	164b		(a) specialised management and staff training, including the organisation of training programmes;		deleted  Text Origin: EP Mandate
	Article 1	2(2a), point (b)			
R	164c		(b) organisational and technical assistance.		deleted  Text Origin: EP Mandate
	Article 1	2, third paragraph			
R	165	Without prejudice to applicable state aid rules, the assistance referred to in the first subparagraph may take the form of:	Without prejudice to applicable state aid rules, the assistance referred to in the first subparagraph for micro and small enterprises as well as for installations handling plastic pellets in quantities below the threshold set out in Article 4(2) may alsomay take the form of:  It should be "Article 12(2), second subparagraph" (rows 165-169). TTE only allows us to enter it as "Article 12(2), third subparagraph".	Without prejudice to applicable state aid rules, the assistance referred to in the first subparagraph may take the form of:	Without prejudice to applicable state aid rules, the assistance referred to in the first subparagraph may, <i>in particular</i> , take the form of:
	Article 1	2, third paragraph, point (a)			
R	166	(a) financial support;	(a) financial support;	(a) financial support;	(a) financial support;  Text Origin: Commission  Proposal
	Article 1	2, third paragraph, point (b)			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
R	167	(b) access to finance;	(b) access to finance, including for the purpose of acquiring equipment required to achieve compliance;	(b) access to finance;	(b) access to finance;  Text Origin: Commission  Proposal
	Article 1	2, third paragraph, point (c)			
R	168	(c) specialised management and staff training;	deleted	(c) specialised management and staff training;	(c) specialised management and staff training;  Text Origin: Commission Proposal
	Article 1	2, third paragraph, point (d)			
R	169	(d) organisational and technical assistance.	deleted	(d) organisational and technical assistance.	(d) organisational and technical assistance.  Text Origin: Commission Proposal
	Article 1	2(3)			
G	170	3. Member States shall encourage training programmes for the qualification of certifiers' personnel.	3-11b. Member States shall encourage training programmes for the qualification of certifiers' personnel.	3. Member States shall encourage training programmes for the qualification of certifiers' personnel.	3. Member States shall encourage training programmes for the qualification of certifiers' personnel.  Text Origin: Commission Proposal
	Article 1	3			
G	171	Article 13 Standardised methodology	Article 13 Standardised methodology	Article 13 Standardised methodologyStandards	Article 13  Standardised  methodology Standards  Text Origin: Council Mandate
	Article 1	3(1)			

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
• 17	1. For the purposes of compliance with the obligation referred to in Article 4(7), first subparagraph, point (c), a methodology to estimate quantities of losses shall be developed in harmonised standards in accordance with the procedures established by Regulation (EU) No1025/2012.	1. For the purposes of compliance with the obligation referred to in Article 4(7), first subparagraph, point (c), and Annex IVa, a methodology to estimate quantities of losses shall be developed in harmonised standards in accordance with the procedures established by Regulation (EU) No1025/2012.	1. For the purposes of compliance with the obligation referred to in Article 4(7), first subparagraph, point (c), a methodology to estimate quantities of losses shall be developed in harmonised standards in accordance with the procedures established by Regulation (EU) No1025/2012.	1. For the purposes of compliance with the obligation referred to in Article 4(7), first subparagraph, point (c), a methodology to estimate quantities of losses shall be developed in harmonised standards in accordance with the procedures established by Regulation (EU) No1025/2012.  Text Origin: Commission
Arti	cle 13(1a)			Proposal
AIU	cie 15(1a)			
s 17	2a		1a. The Commission shall submit the request for the development of harmonised standards to one or more European standardisation organisation within 12 months after the entry into force of this Regulation.	1a. The Commission shall submit the request for the development of harmonised standards to one or more European standardisation organisation within 12 months after the entry into force of this Regulation.
Arti	cle 13(2)	1	l	
• 17	2. Where no European standardisation organisation accepts the request to draft a harmonised standard or where the	2. Where no European standardisation organisation accepts the request to draft a harmonised standard or where the Commission considers that the proposed standard does not satisfy the requirements which it aims to cover, the Commission shall	2. Where no European standardisation organisation accepts the request to draft a harmonised standard or where the Commission considers that the proposed standard does not satisfy the requirements which it aims to cover, the Commission shall	2. Where no European standardisation organisation accepts the request to draft a harmonised standard or where the Commission considers that the proposed standard does not satisfy the requirements which it aims to cover, the Commission shall

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		establish the methodology referred to in paragraph 1 by means of an Implementing act.	establish the methodology referred to in paragraph 1 by means of an Implementing act.	establish the methodology referred to in paragraph 1 by means of an implementing act. That implementing act shall be adopted in accordance with the examination procedure referred to in Article 18a(3).	establish the methodology referred to in paragraph 1 by means of an implementing act. <i>That implementing act shall be adopted in accordance with the examination procedure referred to in Article 18a</i> (3).  Text Origin: Council Mandate
	Article 1	4			
G	174	Article 14 Complaint-handling and access to justice	Article 14 Complaint-handling and access to justice	Article 14 Complaint-handling and access to justice	
Ξ	Article 1	4(1), first subparagraph			
G	175	1. Natural or legal persons or organisations regarded under national law as having a sufficient interest or those who consider that their rights were impaired shall be entitled to submit substantiated complaints to competent authorities when they deem, on the basis of objective circumstances, that an economic operator, EU carrier or non-EU carrier is failing to comply with the provisions of this Regulation.	1. Natural or legal persons or organisations regarded under national law as having a sufficient interest or those who consider that their rights were impaired shall be entitled to submit substantiated complaints to competent authorities when they deem, on the basis of objective circumstances, that an economic operator, EU carrier or non-EU carrier is failing to comply with the provisions of this Regulation.	1. Natural or legal persons-or organisations regarded under national law as having a sufficient interest or those who consider that their rights were impaired shall be entitled to submit substantiated complaints to competent authorities when they deem, on the basis of objective circumstances, that an economic operator, EU carrier-or, non-EU carrier, or shipper, an operator, an agent and a master of sea-going vessel is failing to comply with the provisions of this Regulation.	1. Natural or legal persons-or organisations regarded under national law as having a sufficient interest or those who consider that their rights were impaired shall be entitled to submit substantiated complaints to competent authorities when they deem, on the basis of objective circumstances, that an economic operator, EU carrier-or, non-EU carrier, or shipper, an operator, an agent and a master of sea-going vessel is failing to comply with the provisions of this Regulation.
	Article 1	4(1), second subparagraph			Text Origin: Council Mandate

		<b>Commission Proposal</b>	EP Mandate	Council Mandate	Draft Agreement
G	176	For the purposes of the first subparagraph, non-governmental entities or organisations promoting human health, environmental or consumer protection and meeting any requirements under national law shall be deemed to have a sufficient interest.	For the purposes of the first subparagraph, non-governmental entities or organisations promoting human health, environmental or consumer protection and meeting any requirements under national law shall be deemed to have a sufficient interest.	For the purposes of the first subparagraph, non-governmental entities or organisations promoting the protection of human health, environmental orenvironment or those promoting consumer protection and meeting any requirements under national law shall be deemed to have a sufficient interest.	For the purposes of the first subparagraph, non-governmental entities or organisations promoting the protection of human health, environmental orenvironment or those promoting consumer protection and meeting any requirements under national law shall be deemed to have a sufficient interest.  Text Origin: Council Mandate
	Article 1	.4(2)			
G	177	2. Competent authorities shall assess the substantiated complaint referred to in paragraph 1 and, where necessary, take the necessary steps, including inspections and hearings of the person or organisation, with a view to verifying those complaints. If the complaint is found to be grounded, the competent authorities shall take the necessary actions in accordance with Article 4(3).	2. Competent authorities shall assess the substantiated complaint referred to in paragraph 1 and, where necessary, take the necessary steps, including inspections and hearings of the person or organisation, with a view to verifying those complaints. If the complaint is found to be grounded, the competent authorities shall take the necessary actions in accordance with Article 4(3). Article 9(2) and Article 10(2).	2. Competent authorities shall assess the substantiated complaint referred to in paragraph 1 and, where necessary, take the necessary steps, including inspections and hearings of the person or organisation, with a view to verifying those complaints. If the complaint is found to be grounded, the competent authorities shall take the necessary actions in accordance with Article 4(3).	2. Competent authorities shall assess the substantiated complaint referred to in paragraph 1 and, where necessary, take the necessary steps, including inspections and hearings of the person or organisation, with a view to verifying those complaints. If the complaint is found to be grounded, the competent authorities shall take the necessary actions in accordance with Article 4(3).  Article 9(2) and Article 10(2).  Text Origin: EP Mandate
	Article 1	4(3)			
G	178	3. Competent authorities shall, as soon as possible, inform the	3. Competent authorities shall, as soon as possible, inform the	3. Competent authorities shall, as soon as possible, inform the	3. Competent authorities shall, as soon as possible, inform the

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		person or organisation referred to in paragraph 1 that submitted the complaint of its decision to accede to or refuse the request for action put forward in the complaint and shall provide the reasons for it.	person or organisation referred to in paragraph 1 that submitted the complaint of its decision to accede to or refuse the request for action put forward in the complaint and shall provide the reasons for it.	person or organisation referred to in paragraph 1 that submitted the complaint of its decision to accede to or refuse the request for action put forward in the complaint and shall provide the reasons for it.	person or organisation persons referred to in paragraph 1 that submitted the complaint of its decision to accede to or refuse the request for action put forward in the complaint and shall provide the reasons for it.
	Article 1	4(4)			
G	179	that a person or organisation referred to in paragraph 1 submitting a substantiated complaint shall have access to a court or other independent and impartial public body competent to review the procedural and substantive legality of any decision on that complaint as well as of the competent authority's decisions, acts or failure to act under this Regulation, without prejudice to any provisions of national law which require that administrative review procedures be exhausted prior to recourse to judicial proceedings. Those review procedures shall be fair, equitable, timely and free of charge or not prohibitively expensive, and shall provide adequate and effective remedies, including injunctive relief where necessary.	4. Member States shall ensure that a person or organisation referred to in paragraph 1 submitting a substantiated complaint shall have access to a court or other independent and impartial public body competent to review the procedural and substantive legality of any decision on that complaint as well as of the competent authority's decisions, acts or failure to act under this Regulation, without prejudice to any provisions of national law which require that administrative review procedures be exhausted prior to recourse to judicial proceedings. Those review procedures shall be fair, equitable, timely and free of charge or not prohibitively expensive, and shall provide adequate and effective remedies, including injunctive relief where necessary.	4. Member States shall ensure that a person or organisation referred to in paragraph 1 submitting a substantiated complaint shall have access to a court or other independent and impartial public body competent to review the procedural and substantive legality-of any decision on that complaint as well as of the competent authority's decisions, acts or failure to act under this Regulation, without prejudice to any provisions of national law which require that administrative review procedures be exhausted prior to recourse to judicial proceedings. Those review procedures shall be fair, equitable, timely and free of charge or not prohibitively expensive, and shall provide adequate and effective remedies, including injunctive relief where necessary.	4. Member States shall ensure that a person or organisation referred to in paragraph 1 submitting a substantiated complaint shall have access to a court or other independent and impartial public body competent to review the procedural and substantive legality of any decision on that complaint as well as of the competent authority's decisions, acts or failure to act under this Regulation, without prejudice to any provisions of national law which require that administrative review procedures be exhausted prior to recourse to judicial proceedings. Those review procedures shall be fair, equitable, timely and free of charge or not prohibitively expensive, and shall provide adequate and effective remedies, including injunctive relief where necessary.

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
					Text Origin: Council Mandate
	Article 1	4(5)			
G	180	5. Member States shall ensure that practical information is made available to the public on access to the administrative and judicial review procedures referred to in this Article.	5. Member States shall ensure that practical information is made available to the public on access to the administrative and judicial review procedures referred to in this Article.	5. Member States shall ensure that practical information is made available to the public on access to the administrative and judicial review procedures referred to in this Article.	5. Member States shall ensure that practical information is made available to the public on access to the administrative and judicial review procedures referred to in this Article.  Text Origin: Commission Proposal
	Article 1	5			
G	181	Article 15 Penalties	Article 15 Penalties	Article 15 Penalties	Article 15 Penalties  Text Origin: Commission Proposal
	Article 1	5(1)			
G	182	1. Without prejudice to the obligations of Member States under Directive 2008/99/EC of the European Parliament and of the Council <sup>1</sup> , Member States shall lay down rules on penalties applicable to infringements of this Regulation and shall take all measures necessary to ensure that they are applied. The penalties provided shall be effective, proportionate and dissuasive.	1. Without prejudice to the obligations of Member States under Directive 2008/99/EC of the European Parliament and of the Council <sup>1</sup> , Member States shall lay down rules on penalties applicable to infringements of this Regulation and shall take all measures necessary to ensure that they are applied. The penalties provided shall be effective, proportionate and dissuasive.	1. Without prejudice to the obligations of Member States under Directive  2008/99/EC2024/1203/EU of the European Parliament and of the Council <sup>1</sup> , Member States shall lay down rules on penalties applicable to infringements of this Regulation and shall take all measures necessary to ensure that they are applied. The penalties provided shall be effective, proportionate and dissuasive.	1. Without prejudice to the obligations of Member States under Directive  2008/99/EC2024/1203/EU of the European Parliament and of the Council <sup>‡</sup> , Member States shall lay down rules on penalties applicable to infringements of this Regulation and shall take all measures necessary to ensure that they are applied. The penalties provided shall be effective, proportionate and dissuasive.

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		1. Directive 2008/99/EC of the European Parliament and of the Council of 19 November 2008 on the protection of the environment through criminal law (OJ L 328, 6.12.2008, p.28).	1. Directive 2008/99/EC of the European Parliament and of the Council of 19 November 2008 on the protection of the environment through criminal law (OJ L 328, 6.12.2008, p.28).	1. Directive 2008/99/EC of the European Parliament and of the Council of 19 November 2008 on the protection of the environment through criminal law (OJ L 328, 6.12.2008, p.28).	1. Directive 2008/99/EC of the European Parliament and of the Council of 19 November 2008 on the protection of the environment through criminal law (OJ L 328, 6.12.2008, p.28).  Text Origin: Council Mandate
	Article 1	[			
G	183	2. The penalties referred to in paragraph 1 shall include fines proportionate to the turnover of the legal person or to the income of the natural person having committed the infringement. The level of the fines shall be calculated in such a way as to make sure that they effectively deprive the person responsible for the infringements of the economic benefits derived from those infringements. The level of the fines shall be gradually increased for repeated infringements. In the case of an infringement committed by a legal person, the maximum amount of such fines shall be at least 4 % of the economic operator's annual turnover in the Member State concerned in the business year preceding the fining decision.	2. The penalties referred to in paragraph 1 shall include fines proportionate to the turnover of the legal person or to the income of the natural person having committed the infringement. The level of the fines shall be calculated in such a way as to make sure that they effectively deprive the person responsible for the infringements of the economic benefits derived from those infringements. The level of the fines shall be gradually increased for repeated infringements. In the case of an infringement committed by a legal person, the maximum amount of such fines shall be at least 43 % of the economic operator's annual turnover in the Member State concerned Union in the business year preceding the fining decision.	2. The penalties referred to in paragraph 1 shall include fines proportionate to the turnover of the legal person or to the income of the natural person havingadministrative financial penalties that effectively deprive those that committed the infringement. The level of the fines shall be calculated in such a way as to make sure that they effectively deprive the person responsible for the infringements of the economic benefits derived from thosetheir infringements. The level of the fines shall be gradually increased for repeated infringements. In the case of an infringement committed by a legal person, the maximum amount of such fines shall be at least 4 % of the economic operator's annual turnover in the Member State concerned in the business year preceding the fining decision.	2. The penalties referred to in paragraph 1 shall include fines proportionate to the turnover of the legal person or to the income of the natural person having administrative financial penalties that effectively deprive those that committed the infringement. The level of the fines shall be calculated in such a way as to make sure that they effectively deprive the person responsible for the infringements of the economic benefits derived from those their infringements. The level of the fines shall be gradually increased for repeated infringements. In the case of an infringement committed by a legal person, the maximum amount of such fines shall be at least 4 % of the economic operator's annual turnover in the Member State concerned in the business year preceding the fining decision.

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
					Text Origin: Council Mandate
	Article 1	5(2a)			
G	183a			For the most serious infringements committed by a legal person, the maximum level of the administrative financial penalties referred to in the first subparagraph shall be at least 3% of the annual Union turnover of the operator in the financial year preceding the year in which the fine is imposed.	2a. For the most serious infringements committed by a legal person, the maximum level of the administrative financial penalties referred to in the first subparagraph shall be at least 3% of the annual Union turnover of the operator in the financial year preceding the year in which the fine is imposed.
	Article 1	5(2b)			
G	183b			Member States may also, or alternatively, use criminal penalties, provided that they are equivalently effective, proportionate and dissuasive to the administrative financial penalties referred to in this Article.	2b. Member States may also, or alternatively, use criminal penalties, provided that they are equivalently effective, proportionate and dissuasive to the administrative financial penalties referred to in this Article.
	Article 1	5(3)			
G	184	3. Member States shall ensure that the penalties established pursuant to this Article take due account of the following, as applicable:	3. Member States shall ensure that the penalties established pursuant to this Article take due account of the following, as applicable:	3. Member States shall ensure that the penalties established pursuant to this Article take due account of the following, as applicable:	3. Member States shall ensure that the penalties established pursuant to this Article take due account of the following, as applicable:

7198/1/25 REV 1 122 TREE.1.A **LIMITE EN** 

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
					Text Origin: Commission Proposal
	Article 1	.5(3), point (a)			
G	185	(a) the nature, gravity and extent of the infringement;	(a) the nature, gravity and extent of the infringement;	(a) the nature, gravity and extent of the infringement;	(a) the nature, gravity and extent of the infringement;  Text Origin: Commission Proposal
	Article 1	.5(3), point (b)			
G	186	(b) the intentional or negligent character of the infringement;	(b) the intentional or negligent character of the infringement;	deleted	deleted
	Article 1	5(3), point (c)			
G	187	(c) the population or the environment affected by the infringement, bearing in mind the impact of the infringement on the objective of achieving a high level of protection of human health and the environment;	(c) the population or the environment affected by the infringement, bearing in mind the impact of the infringement on the objective of achieving a high level of protection of human health and the environment;	(c) the population or the environment affected by the infringement, bearing in mind the impact of the infringement on the objective of achieving a high level of protection of human health and the environment;	(c) the population or the environment affected by the infringement, bearing in mind the impact of the infringement on the objective of achieving a high level of protection of human health and the environment;  Text Origin: Commission Proposal
	Article 1	.5(3), point (d)			
G	188	(d) the financial situation of the economic operator, EU carrier and non-EU carrier held responsible.	(d) the financial situation of the economic operator, EU carrier and non-EU carrier held responsible.	(d) the financial situation repetitive or one-off character of the economic operator, EU carrier and non-EU carrier held responsible infringement.	(d) the financial situation repetitive or one-off character of the economic operator, EU carrier and non-EU carrier held responsible infringement.  Text Origin: Council Mandate

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	Article 1	5(3a), first subparagraph			
G	188a		3a. Member States shall endeavour to ensure that the revenue generated from the penalties referred to in paragraph 1, or its equivalent financial value, is used to support projects aimed at cleaning up areas polluted by plastic before [the date of entry into force of this Regulation] and avoiding plastic pellet pollution.	3a. Member States shall without undue delay notify the Commission of the rules and measures referred to in paragraph 1 and of any subsequent amendments affecting them.	3a. Member States shall without undue delay notify the Commission of the rules and measures referred to in paragraph 1 and of any subsequent amendments affecting them.  Text Origin: Council Mandate
	Article 1	5(3a), second subparagraph			
G	188b		Projects financed by the revenue generated from penalties as referred to in the first subparagraph may contribute to promoting scientific work to study the impact of plastic pellets on human health and the environment, supporting research and development in the area of plastic pellet pollution, implementing awareness programmes, and financing training programmes specifically designed for micro and small enterprises.		deleted
	Article 1.	5(3a), third subparagraph			
G	188c		By [60 months from the entry into force of this Regulation], and		deleted

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
			every year thereafter, the Commission shall report to the European Parliament and to the Council on how the revenue generated from penalties in the previous year has been used and how such use has contributed to the reduction of plastic pellet pollution, including information on the beneficiaries and the level of expenditure concerning the objectives set out in the first and second subparagraphs.		
	Article 1	6			
G	189	Article 16 Compensation	Article 16 Compensation	Article 16 Compensation	Article 16 Compensation  Text Origin: Commission Proposal
	Article 1	6(1)			
G	190	1. Member States shall ensure that, where damage to human health has occurred as a result of a infringement of this Regulation, the individuals affected have the right to claim and obtain compensation for that damage from the relevant natural or legal persons and, where appropriate, from the relevant competent authorities responsible for the infringement.	1. Member States shall ensure that, where damage to human health has occurred as a result of a infringement of this Regulation, the individuals affected have the right to claim and obtain compensation for that damage from the relevant natural or legal persons and, where appropriate, from the relevant competent authorities responsible for the infringement.	1. Member States shall ensure that, where damage to human health— has occurred as a result of <b>aan</b> infringement of this Regulation, the individuals affected have the right to claim and obtain compensation for that damage from the relevant natural or legal persons—and, where appropriate, from the relevant competent authorities responsible for the infringement.	1. Member States shall ensure that, where damage to human health—has occurred as a result of ann infringement of this Regulation, the individuals affected have the right to claim and obtain compensation for that damage from the relevant natural or legal persons—and, where appropriate, from the relevant competent authorities responsible for the infringement.

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
					Text Origin: Council Mandate
	Article 1	6(2)			
G	191	2. Member States shall ensure that, as part of the public concerned, non-governmental organisations promoting the protection of human health or the environment and meeting any requirements under national law are allowed to represent the individuals affected and bring collective actions for compensation. Member States shall ensure that a claim for an infringement leading to a damage cannot be pursued twice, by the individuals affected and by the non-governmental organisations referred to in this paragraph.	2. Member States shall ensure that, as part of the public concerned, non-governmental organisations promoting the protection of human health or the environment and meeting any requirements under national law are allowed to represent the individuals affected and bring collective actions for compensation. Member States shall ensure that a claim for an infringement leading to a damage cannot be pursued twice, by the individuals affected and by the non-governmental organisations referred to in this paragraph.	deleted	deleted
	Article 1	6(3)			
G	192	3. Member States shall ensure that national rules and procedures relating to claims for compensation are designed and applied in such a way that they do not render impossible or excessively difficult the exercise of the right to compensation for damage caused by an infringement pursuant to paragraph 1.	3. Member States shall ensure that national rules and procedures relating to claims for compensation are designed and applied in such a way that they do not render impossible or excessively difficult the exercise of the right to compensation for damage caused by an infringement pursuant to paragraph 1.	3. Member States shall ensure that national rules and procedures relating to claims for compensation are designed and applied in such a way that they do not render impossible or excessively difficult the exercise of the right to compensation for damage caused by an infringement pursuant to paragraph 1.	3. Member States shall ensure that national rules and procedures relating to claims for compensation are designed and applied in such a way that they do not render impossible or excessively difficult the exercise of the right to compensation for damage caused by an infringement pursuant to paragraph 1.

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				Text Origin: Council Mandate
Article	16(4)			
g 193	4. Where theclaim for compensation referred to in paragraph 1 is supported by evidence from which a causal link may be presumed between the damage and the infringement, Member States shall ensure that the onus is on the person responsible for the infringement to prove that the infringement did not cause or contribute to the damage.	4. Where theclaim for compensation referred to in paragraph 1 is supported by evidence from which a causal link may be presumed between the damage and the infringement, Member States shall ensure that the onus is on the person responsible for the infringement to prove that the infringement did not cause or contribute to the damage.	deleted	deleted
Article .	16(5)			
c 194	5. Member States shall ensure that the limitation periods for bringing claims for compensation referred to in paragraph 1 are not shorter than 5 years. Such periods shall not begin to run before the infringement has ceased and the person claiming the compensation knows or can reasonably be expected to know that he or she suffered damage from a infringement pursuant to paragraph 1.	5. Member States shall ensure that the limitation periods for bringing claims for compensation referred to in paragraph 1 are not shorter than 5 years. Such periods shall not begin to run before the infringement has ceased and the person claiming the compensation knows or can reasonably be expected to know that he or she suffered damage from a infringement pursuant to paragraph 1.	5. Member States shall ensure that themay establish limitation periods for bringing claims for compensation referred to in paragraph 1-are not shorter than 5 years. Such periods shall not begin to run before the infringement has ceased and the person claiming the compensation knows or can reasonably be expected to know that he or she suffered damage from aan infringement pursuant to paragraph 1.	5. Member States shall ensure that themay establish limitation periods for bringing claims for compensation referred to in paragraph 1-are not shorter than 5 years. Such periods shall not begin to run before the infringement has ceased and the person claiming the compensation knows or can reasonably be expected to know that he or she suffered damage from aan infringement pursuant to paragraph 1.  Text Origin: Council Mandate
Article	17			
g 195	Article 17	Article 17	Article 17	Article 17

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		Amendments to Annexes	Amendments to Annexes	Amendments to Annexes	Amendments to Annexes
					Text Origin: Commission Proposal
	Article 1	7, first paragraph			
G	196	The Commission is empowered to adopt delegated acts in accordance with Article 19 to amend Annexes I to IV. in order to take account of the technical progress and scientific developments.	The Commission is empowered to adopt delegated acts in accordance with Article 19 to amend Annexes I to *IV-IVb* in order to take account of the technical progress and scientific developments.	The Commission is empowered to adopt delegated acts in accordance with Article 1918 to amend Annexes I to IV. in order to take account of the technical progress and scientific developments.:	The Commission is empowered to adopt delegated acts in accordance with Article 1918 to amend Annexes I to IV. in order to take account of the technical progress and scientific developments.:  Text Origin: Council Mandate
	Article 1	7, first paragraph, point (a)	L		
Y	196a			(a) the technical requirements laid down in points (7), (7a), (8) and (8a) of Annex I;	(a) the technical requirements laid down in points (7), (7a), (8) and (8a) of Annex I;  Text Origin: Council Mandate
	Article 1	7, first paragraph, point (b)			
G	196b			(b) points (1), (2) and (3) of Annex III to add or remove equipment requirements or procedures or to specify the technical features of the existing equipment and procedures; and	(b) points (1), (2) and (3) of  Annex III to add or remove equipment requirements or procedures or to specify the technical features of the existing equipment and procedures; and  Text Origin: Council Mandate
	Article 1	7, first paragraph, point (c)			
Y	196c			(c) the details of the forms laid down in Annexes II and IV	(c) the details of the forms laid down in Annexes [II and IV]

7198/1/25 REV 1 128 TREE.1.A **LIMITE EN** 

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				Text Origin: Council Mandate
Article 1	7, second paragraph			
s 197	When adopting delegated acts referred to in the first paragraph, the Commission shall take into account:	When adopting delegated acts referred to in the first paragraph, the Commission shall take into account:	When adopting delegated acts referred to in the first paragraph, the Commission shall take into account:on the basis of:	When adopting delegated acts referred to in the first paragraph, the Commission shall take into account: on the basis of:  Text Origin: Council Mandate
Article 1	.7, second paragraph, point (a)			
s 198	(a) the experience gained from the implementation of obligations set out in Articles 4 and 5;	(a) the experience gained from the implementation of obligations set out in Articles 3, 4, 5, 8 and 94 and 5;	(a) the experience gained from the implementation of obligations set out in Articles 4 and 5;	(a) the experience gained from the implementation of obligations set out in Articles 3, 4, 5, [5a], 6, 8 and 94 and 5;
Article 1	.7, second paragraph, point (aa)			Text Origin: EP Mandate
Article 1				
s 198a				(ab) the information made available by economic operators on the annually estimated quantities of losses of plastic pellets, as reported pursuant to Commission Regulation (EU) 2023/2055;
Article 1	7, second paragraph, point (b)			
s 199	(b) relevant international standards;	(b) relevant international standards;	(b) relevant international standards <b>and regulations</b> ;	(b) relevant international standards;  Text Origin: Commission Proposal
Article 1	7, second paragraph, point (c)			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	200	(c) the specificities of the sectors of activity;	(c) the specificities of the sectors of activity;	(c) the specificities of the sectors of activity;	(c) the specificities of the sectors of activity;
					Text Origin: Commission Proposal
	Article 1	7, second paragraph, point (d)			
G	201	(d) the specific needs of micro, small and medium-sized enterprises.	(d) the specific needs of micro, small and medium-sized enterprises.	(d) the specific needs of micro, small and medium-sized enterprises.	(d) the specific needs of micro, small and medium-sized enterprises-; or  Text Origin: Commission Proposal
	Article 1	7, second paragraph, point (da)			
G	201a			(e) technical progress and scientific developments; and	(e) technical progress and scientific developments.
					Text Origin: Council Mandate
	Article 1	7, second paragraph, point (db)			
G	201b			(f) the experience gained from incidents and accidents.	deleted
					Text Origin: Council Mandate
	Article 1	7a			
G	201c		Article 17a Review		deleted
	Article 1	7a, first paragraph			
G	201d		The Commission shall monitor the application of this Regulation and relevant developments at the IMO. By [8 years from the		deleted

Commi	ssion Proposal EP Mandate	Council Mandate	Draft Agreement
	entry into force of this Regulation], the Commission shall publish a comprehensive report on the overall applicatio of this Regulation and its effectiveness and shall submit, where appropriate, a legislative proposal to amend this Regulation.	on and the second secon	
Article 17b			
201e	Article 17b  Traceability		deleted  Text Origin: EP Mandate
Article 17b, first paragr	aph		
c 201f	By [24 months from the entinto force of this Regulation], is Commission shall publish a report on the possibility of introducing chemical traceabile of plastic pellets. That report shall at least consider:	the	deleted  Text Origin: EP Mandate
Article 17b, first paragr	aph, point (a)		
201g	(a) the technical feasibility of introducing a unique and differentiable, chemical signate which is not harmful to the environment or human health;	ure	deleted  Text Origin: EP Mandate
Article 17b, first paragr	aph, point (b)		

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
c 201	Th .	(b) setting up a Union database of all chemical signatures.		deleted  Text Origin: EP Mandate
Artic	cle 17b, second paragraph			
c 20.	li	Where appropriate, the report referred to in the first paragraph shall be accompanied by a legislative proposal.		deleted  Text Origin: EP Mandate
Artic	cle 18			
g 20	Article 18 Exercise of the delegation	Article 18 Exercise of the delegation	Article 18 Exercise of the delegation	Article 18 Exercise of the delegation Text Origin: Commission
				Proposal
Artic	cle 18(1)			
g 20	1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.	1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.	1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.	1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.  Text Origin: Commission
				Proposal
Artio	cle 18(2)			
g 20	2. The power to adopt delegated acts referred to in Article 17 shall be conferred on the Commission for a period of 5 years from [OP please insert the date = the first day of the	2. The power to adopt delegated acts referred to in Article 17 shall be conferred on the Commission for a period of 5 years from [OP please insert the date = the first day of the	2. The power to adopt delegated acts referred to in Article 17 shall be conferred on the Commission for a period of 5[] five years from [OP please insert the date — the first	2. The power to adopt delegated acts referred to in Article 17 shall be conferred on the Commission for a period of 5[] five years from [OP please insert the date — the first

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	month following the date of entry into force of this Regulation]. The Commission shall draw up a report in respect of the delegation of power not later than nine months before the end of the five-year period. The delegation of power shall be tacitly extended for periods of an identical duration, unless the European Parliament or the Council opposes such extension not later than three months before the end of each period.	month following the date of entry into force of this Regulation]. The Commission shall draw up a report in respect of the delegation of power not later than nine months before the end of the five-year period. The delegation of power shall be tacitly extended for periods of an identical duration, unless the European Parliament or the Council opposes such extension not later than three months before the end of each period.	day of the month following the date of entry into force of this Regulation]. The Commission shall draw up a report in respect of the delegation of power not later than nine months before the end of the five-year period. The delegation of power shall be tacitly extended for periods of an identical duration, unless the European Parliament or the Council opposes such extension not later than three months before the end of each period.	day of the month following the date of entry into force of this Regulation]. The Commission shall draw up a report in respect of the delegation of power not later than nine months before the end of the five-year period. The delegation of power shall be tacitly extended for periods of an identical duration, unless the European Parliament or the Council opposes such extension not later than three months before the end of each period.  Text Origin: Council Mandate
Articl	e 18(3)			
g 205	3. The delegation of power referred to in Article 17 may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.	3. The delegation of power referred to in Article 17 may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.	3. The delegation of power referred to in Article 17 may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.	3. The delegation of power referred to in Article 17 may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				Text Origin: Commission Proposal
Article :	18(4)			
g 206	4. Before adopting a delegated act, the Commission shall consult experts designated by each Member State in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making.	4. Before adopting a delegated act, the Commission shall consult experts designated by each Member State in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making.	4. Before adopting a delegated act, the Commission shall consult experts designated by each Member State in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making.	4. Before adopting a delegated act, the Commission shall consult experts designated by each Member State in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making.  Text Origin: Commission Proposal
Article :	18(5)			
g 207	5. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.	5. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.	5. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.	5. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.  Text Origin: Commission Proposal
Article :	18(6)			
208	6. A delegated act adopted pursuant to Article 17 shall enter into force only if no objection has been expressed either by the European Parliament or by the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry	6. A delegated act adopted pursuant to Article 17 shall enter into force only if no objection has been expressed either by the European Parliament or by the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry	6. A delegated act adopted pursuant to Article 17 shall enter into force only if no objection has been expressed either by the European Parliament or by the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry	6. A delegated act adopted pursuant to Article 17 shall enter into force only if no objection has been expressed either by the European Parliament or by the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry

		EDW 14		D 6/ A
	of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council.	of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council.	Council Mandate  of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council.	of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council.  Text Origin: Commission
Article 1	<u> </u> .8a			Proposal
208a			Article 18a Committee procedure	Article 18a Committee procedure  Text Origin: Council Mandate
Article 1	.8a(1)			
s 208b			1. The Commission shall be assisted by a committee. That committee shall be a committee within the meaning of Regulation (EU) No 182/2011.	1. The Commission shall be assisted by a committee. That committee shall be a committee within the meaning of Regulation (EU) No 182/2011.  Text Origin: Council Mandate
Article 1	.8a(2)			
s 208c			2. Where reference is made to this paragraph, Article 4 of Regulation (EU) No 182/2011 shall apply.	2. Where reference is made to this paragraph, Article 4 of Regulation (EU) No 182/2011 shall apply.  Text Origin: Council Mandate
Article 1	.8a(3)	1	1	1

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	208d			3. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply.	3. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply.  Text Origin: Council Mandate
Д	rticle 1	8b			
G	208e			Article 18b Evaluation and review	Article 18b Evaluation and review Text Origin: Council Mandate
Α	rticle 1	8b(1)			
G	208f			1. Within five years from the date of its application, the Commission shall conduct an evaluation of the implementation of this Regulation in light of the objectives that it pursues. The Commission shall present a report on the main findings of the evaluation to the European Parliament and to the Council. The report shall include:	1. Within 8 years from the date of entry into force, the Commission shall conduct an evaluation of the implementation of this Regulation in light of the objectives that it pursues. The Commission shall present a report on the main findings of the evaluation to the European Parliament and to the Council. The report shall include at least:  Text Origin: Council Mandate
А	rticle 1	8b(1), point (a)			
G	208g			(a) the experience gathered from the implementation of this Regulation;	(a) the experience gathered from the implementation of this Regulation;  Text Origin: Council Mandate
Д	rticle 1	8b(1), point (b)		·	

7198/1/25 REV 1

TREE.1.A LIMITE

136

EN

		Commission Proposal	<b>EP Mandate</b>	Council Mandate	Draft Agreement
G	208h			(b) the information reported by Member States pursuant to Article 8;	(b) the information reported by Member States pursuant to Article 8;  Text Origin: Council Mandate
	Article 1	8b(1), point (c)			
G	208i			(c) the information made available by economic operators on the reduction of plastic pellet losses resulting from the handling of plastic pellets;	(c) the information made available by economic operators on the annually estimated quantities of losses of plastic pellets, as reported pursuant to Commission Regulation (EU) 2023/2055;
	Article 1	8b(1), point (d)			
G	208j			(d) the contribution of this Regulation to the overall target of reducing microplastic pollution by 30 % by 2030;	(d) the contribution of this Regulation to the overall target of reducing microplastic pollution by 30 % by 2030;  Text Origin: Council Mandate
	Article 1	8b(1), point (e)			
G	208k			(e) an assessment of whether there are additional sources of unintentional releases of plastic pellets or microplastics which are not sufficiently regulated;	(e) an assessment of whether further actions should be taken with regard to additional sources of unintentional releases of microplastics with a view to achieving the EU objective of reducing microplastics pollution;  Text Origin: Council Mandate

		Commission Proposal	EP Mandate // Co	uncil Mandate	Draft Agreement
	Article 18	Bb(1), point (f)			
G	2081		(f) the scientific f	latest data and indings;	(f) the latest data and scientific findings;  Text Origin: Council Mandate
	Article 18	Bb(1), point (g)			
G	208m				(fa) an assessment of the latest data and scientific findings on chemical traceability of pellets and relevance of introducing a unique chemical signature
	Article 18	Bb(1), point (h)			
G	208n		Regulation internation addressing	interaction of this n with relevant nal initiatives g plastic pellet losses, lar with regards to transport;	(g) the interaction of this Regulation with relevant international initiatives addressing plastic pellet losses, in particular with regards to maritime transport;  Text Origin: Council Mandate
	Article 18	Bb(1), point (i)			
G	2080		effectivend the quanti handled in Articles 4 information Member S Article 8, evaluation	essessment of the ess of the thresholds on ties of plastic pellets a accordance with and 5, considering the on reported by states pursuant to including an a on the impacts of those thresholds;	(h) an assessment of the impact of excluding from certain obligations under this Regulation economic operators handling plastic pellets below certain thresholds on the implementation of this Regulation, and the relevance to establish a threshold for carriers;  Text Origin: Council Mandate

7198/1/25 REV 1

TREE.1.A

LIMITE

138

EN

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	Article 18	8b(1), point (j)			
Υ	208p			(i) an assessment of how the exemptions granted under Article 5a impact the effectiveness of this Regulation;	(i) an assessment of the effect that the exemptions granted pursuant to Article 5a had in achieving the objective of preventing spill and losses;
	Article 18	8b(1), point (k)			
G	208q			(j) an assessment of the need to establish a threshold on the quantities of plastic pellets transported by carriers;	deleted  Text Origin: Council Mandate
	Article 18	8b(1), point (I)			
G	208r			(k) an assessment of the functioning and responsibilities of authorised representatives established under Article 3a.	(1) an assessment of the compliance by carriers in particular non-EU carriers with the obligations under this Regulation.
	Article 18	8b(1), point (m)		·	
R	208s				(ka) an assessment of the relative effectiveness of the different means of providing information as referred to in Article 3(3a).
	Article 18	8b(2)			
G	208t			2. Where appropriate, the report shall be accompanied by a legislative proposal to the European Parliament and Council.	2. Where appropriate, the report shall be accompanied by a legislative proposal to the European Parliament and Council.

7198/1/25 REV 1

TREE.1.A LIMITE

139

EN

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				Text Origin: Council Mandate
Article 1	8b(3)			
6 208u			3. In the event of the adoption by the International Maritime Organisation (IMO) of measures for the safe transport and prevention of marine pollution of plastic pellets by ships, the Commission shall assess these measures, including the need to ensure alignment with those measures, and shall if appropriate, adopt a legislative proposal amending this Regulation accordingly.	3. In the event of the adoption by the International Maritime Organisation (IMO) of measures for the safe transport and prevention of marine pollution of plastic pellets by ships, the Commission shall assess these measures, including the need to ensure alignment with those measures, and shall if appropriate, adopt a legislative proposal.  Text Origin: Council Mandate
Article 1	9			
c 209	Article 19 Entry into force and application	Article 19 Entry into force and application	Article 19 Entry into force and application	Article 19 Entry into force and application  Text Origin: Commission Proposal
Article 1	9, first paragraph			
s 210	This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.	This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.	This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.	This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.  Text Origin: Commission Proposal
Article 1	.9, second paragraph			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
R	211	This Regulation shall apply [OP: please insert the date = 18 months after the entry into force of this Regulation]. However, Article 3(1) shall apply from [OP: please insert the date the date of the entry into force of this Regulation].	This Regulation shall apply [OP: please insert the date = 18 months after the entry into force of this Regulation]. However, Article 3(1) shall apply from [OP: please insert the date the date of the entry into force of this Regulation].	This Regulation shall apply [OP: please insert the date = 1824 months after the entry into force of this Regulation]. However, Article 3(1) shall apply from [OP: please insert the date the date of the entry into force of this Regulation].	R
	Article 1	9, second paragraph a			
R	211a			By way of derogation from the second paragraph of this Article, Article 1, paragraph 2, point ba, Article 2, points ga, gb, gc, Article 4a, Article 8, paragraph 1, and Article 12 and Article 14 as far as operators, agents and masters of sea-going vessels are concerned, shall apply from [36 months after the entry into force of this Regulation].	R
	Article 1	9, third paragraph			
G	212	This Regulation shall be binding in its entirety and directly applicable in all Member States.	This Regulation shall be binding in its entirety and directly applicable in all Member States.	This Regulation shall be binding in its entirety and directly applicable in all Member States.	This Regulation shall be binding in its entirety and directly applicable in all Member States.  Text Origin: Commission Proposal
	Formula				
G	213	Done at Brussels,	Done at Brussels,	Done at Brussels,	Done at Brussels,  Text Origin: Commission  Proposal
	Formula				

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	214	For the European Parliament	For the European Parliament	For the European Parliament	For the European Parliament  Text Origin: Commission
					Proposal
	Formula				
G	215	The President	The President	The President	The President
	213				Text Origin: Commission Proposal
	Formula				
G	216	For the Council	For the Council	For the Council	G
	Formula				
G	217	The President	The President	The President	G
	Annex I	-			
		Annex I	Annex I	Annex I	Annex I
G	218		The insertions in the annexes were made as good as possible in TTE.		Text Origin: Commission Proposal
	Annex I,	first paragraph			
G	219	RISK ASSESSMENT PLAN FOR INSTALLATIONS	RISK ASSESSMENT PLAN FOR INSTALLATIONS	RISK ASSESSMENTMANAGEMENT PLAN FOR INSTALLATIONS	RISK  ASSESSMENT MANAGEMENT  PLAN FOR INSTALLATIONS
					Text Origin: Council Mandate
	Annex I,	second paragraph			
G	220	The risk assessment plan referred to in Article 4(1) shall contain the following elements:	The risk assessment plan referred to in Article 4(1) shall contain the following elements:	The risk assessmentmanagement plan referred to in Article 4(1) shall contain the following elements:	I. The risk  assessmentmanagement plan referred to in Article 4(1) shall contain the following elements:

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				Text Origin: Council Mandate
Annex I	, third paragraph			
g 221	(1) the site plan;	(1) the site plan;	(1) the site plan;	(1) the site plan;  Text Origin: Commission  Proposal
Annex I	, third paragraph a			
c 221a		(1a) the number of tonnes of plastic pellets handled per year;		(1a) the number of tonnes of plastic pellets handled per year;  Text Origin: EP Mandate
Annex I	, 2 paragraph			
g 222	(2) the locations where pellet spills and losses may originate from within the installation's boundaries, specifying high- and low-risk locations;	(2) the locations where pellet spills and losses may originate from within the installation's boundaries, specifying high- and low-risk locations;	(2) the locations where pellet spills and losses may originate from within the installation's boundaries, specifying high- and low-risk locations;	(2) the locations where pellet spills and losses may originate from within the installation's boundaries where spills may occur, specifying high- and low-risk locations;  Text Origin: Commission Proposal
Annex I	, 2 paragraph a			
<sup>6</sup> 222a				(2a) the locations, within the installation's boundaries, from which losses may originate and the locations in the immediately surrounding areas which may be affected by losses, specifying, in both cases, high-and low risk locations;
Annex I	, 3 paragraph			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	223	(3) the handling operations during which pellet spills and losses may originate from within the installation's boundaries, specifying high- and low-risk operations;	(3) the handling operations during which pellet spills and losses may originate from within the installation's boundaries, specifying high- and low-risk operations;	(3) the handling operations during which pellet spills and losses may originate from within the installation's boundaries, specifying high- and low-risk operations;	(3) the handling operations during which pellet spills and losses may originate <i>from within the installation's boundaries</i> , specifying high- and low-risk operations;  Text Origin: Commission Proposal
	Annex I,	3 paragraph a			
G	223a		(3a) information relating to the chemical nature of each polymer contained in plastic pellets on site, including information on physico-chemical properties and hazard proprieties;		deleted  Text Origin: EP Mandate
	Annex I,	4 paragraph			
G	224	(4) the estimation of the quantities of spills and losses in the identified locations and operations;	(4) the estimation of the quantities of spills and losses in the identified locations and operations;	(4) the estimation of the quantities of spills and losses in the identified locations and operations;	(4) the estimation of the quantities of spills and losses in the identified locations and operations;  Text Origin: Commission Proposal
	Annex I,	5 paragraph			
G	225	(5) drawing up of the list of the activities over which the installation might have authority to exercise control, including suppliers, sub-contractors and off-site storage facilities;	(5) drawing up of the list of the activities over which the installation might have authority to exercise control, including suppliers, sub-contractors and off-site storage facilities;	(5) drawing up of the list of the activities at which plastic pellet spills or losses might occur over which the installation might have authority to exercise control, including suppliers, sub-	(5) drawing up of the list of the activities at which plastic pellet spills or losses might occur over which the installation might have authority to exercise control, including suppliers, sub-

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
			contractors(sub)-contractors and off-site storage facilities;	econtractors (sub)-contractors and off-site storage facilities;
				Text Origin: Council Mandate
Annex I	, 6 paragraph			
<sup>6</sup> 226	(6) the definition of one specific role of a member of staff responsible for recording, investigating and follow-up on spills and losses, including reporting to competent authorities as in Article 4 (7) and Article 9 (1);	(6) the definition of one specific role of a member of staff responsible for recording, investigating and follow-up on spills and losses, including reporting to competent authorities as in Article 4 (7) and Article 9 (1);	(6) the definition of one specific role of a member of staff responsible for recording, investigating and follow-up on spills and losses, including reporting to competent authorities as in Article 4 (7) and Article 9 (1);	(6) the definition of one specific role of a member of staff responsible for recording, investigating and follow-up on spills and losses, including reporting to competent authorities as in Article 4 (7) and Article 9 (1);
				Text Origin: Commission Proposal
Annex I	, 8 paragraph			
v 227	(7) description of equipment in place to prevent, contain and clean up spills and losses.	(7) description of equipment in place to prevent, contain and clean up spills and losses.	(7) description of equipment put in place to prevent, contain and clean up spills and losses.  This equipment shall be adequate and proportionate to the nature and size of the installation and shall include:	(7) description of <u>packaging</u> and equipment <u>put</u> in place to prevent, contain and clean up spills and losses.; <u>and</u> Text Origin: Commission Proposal
Annex I	, 8 paragraph a			
y 227a				(8) description of procedures put in place to prevent, contain and clean up spills and losses.
Annex I	, 8 paragraph			
6 228	Economic operators shall consider at least the following, taking into	Economic operators shall consider put in place at least the	deleted	deleted

7198/1/25 REV 1 145 TREE.1.A **LIMITE EN** 

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		account the nature and size of the installation as well as the scale of its operations:	following, taking into account the nature and size of the installation as well as the scale of its operations:		Text Origin: Commission Proposal
	Annex I,	8 paragraph a			
Y	228a				2. With respect to point 7 of the risk management plan, the packaging and equipment put in place used to prevent, contain and clean up spills and losses shall be adequate and proportionate to the nature and size of the installation and shall include:
	Annex I,	8 paragraph a, point (-a)			
Υ	228b			(a) For prevention: in case of installations at which packaging takes place, packaging that shall be strong enough to withstand the shocks and loadings normally encountered during transport. The packaging shall be securely constructed and closed so as to prevent any loss of contents which may be caused under normal conditions of transport, by vibration or acceleration forces;	(a) For prevention: in case of installations at which packaging takes place, packaging that shall be strong enough to withstand the shocks, loadings and weather conditions normally encountered during transport; the strength of the packaging material used and the construction of the packaging is appropriate to the capacity of the packaging and its intended use; the packaging is sift-proof or is provided with a suitable liner. The packaging shall be securely constructed and closed so as to prevent any loss of contents which may be caused under normal conditions of transport,

7198/1/25 REV 1 146 TREE.1.A **LIMITE EN** 

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
					by vibration or acceleration forces;  Text Origin: Council Mandate
	Annex I,	7 paragraph, point (b)			
Y	228c			(b) For containment: at high- risk spill locations, catchment devices placed to ensure spills on the floor can easily be contained and cleaned;	(b) For containment: at high- risk spill locations, catchment devices (e.g. spill trays and in- ground retention tanks with steel grating) placed to ensure spills on the floor can easily be contained and cleaned, and pellet retaining drain covers or suitable alternatives to protect underground drainage systems;
	Annex I,	8 paragraph a, point (c)			
Y	228d			(c) For clean-up: at spill and loss locations, vacuum cleaners for internal and external usage, sufficient cleaning tools (e.g., brooms, dustpan and brush, buckets, repair tapes) and disposal bins for collected pellets and empty bags;	(c) For clean-up: at spill and loss locations, vacuum cleaners with sufficient capacity for internal and external usage, sufficient cleaning tools (e.g., brooms, dustpan and brush, buckets, repair tapes) and disposal bins for collected pellets and empty bags;  Text Origin: Council Mandate
	Annex I,	7a paragraph			
Y	228e			(7a) Where appropriate on the basis of the nature and size of the installation as well as the	deleted  Text Origin: Council Mandate

	<b>Commission Proposal</b>	<b>EP Mandate</b>	Council Mandate	Draft Agreement
			scale of its operations, economic operators shall consider the description of at least the following additional equipment:	
Ann	ex I, 8 paragraph a, point (7b)			
y 220				3. With respect to point 8 of the risk management plan, the procedures put in place to prevent, contain and clean up spills and losses shall be adequate and proportionate to the nature and size of the installation and shall include:
Ann	ex I, 8 paragraph a, point (7c)			
228	g			(a) informing third parties accessing the installation to load, unload or otherwise handle pellets about the relevant procedures to prevent, contain and clean up spills and losses;
Ann	ex I, 8 paragraph a, point (7d)			
y 228	h			(b) handling the packaging of pellets in a way to prevent the piercing of packaging; at high-risk spill locations, ensuring that there are regular inspections, cleaning and maintenance of catchment devices and storage facilities as well as of packaging and containers; if the packaging and containers are leaking or

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
					sifting, ensuring that they shall not be used further;
	Annex I,	8 paragraph a, point (7e)			
Y	228i				(c) containing spills and cleaning them up as soon as possible, and at the latest at the end of the operation;
	Annex I,	8 paragraph a, point (7f)		<b>*</b>	
Υ	228j				(d) ensuring that the outside of the road vehicle, rail wagon or inland waterway vessels is free from plastic pellets when leaving the installation; ensuring that loading and unloading ramps of road vehicles and rail wagons are closed when leaving the loading or unloading place;
	Annex I,	8 paragraph a, point (7g)			
Y	228k				4. In addition to paragraph 2 of this Annex and on the basis of the nature and size of the installation as well as the scale of its operations, economic operators shall consider including in the risk management plan the description of at least the following equipment:
	Annex I,	8 paragraph a, point (a)			
Y	229	(a) For prevention: vacuum seals on hoses and pipework; tear-	(a) For prevention: vacuum seals on hoses and pipework; tear-	(a) For prevention: vacuum seals on hoses and pipework; tear-	(a) For prevention: vacuum seals on hoses and pipework; tear-

## **Commission Proposal EP Mandate** Council Mandate **Draft Agreement** and impact-resistant packaging and impact-resistant, waterproof, and impact-resistantin case of and impact-resistantin case of installations at which packaging that can withstand degradation in sealed and labelled packaging that installations at which packaging can withstand degradation in that can withstand degradation in takes place: packaging that can aquatic environments; equipment aquatic environments takes place: to create secure connection points **rough** aquatic environments; withstand degradation in- aquatic with secondary barriers in place; equipment to create secure the strength of the material used environments; vacuum seals on loading systems designed to connection points with secondary and the construction of the hoses and pipework; protective ensure transfer lines can be barriers in place; loading systems packaging is appropriate to the covers on forklifts, hydraulic completely emptied after loading designed to ensure transfer lines equipment, or other loading and capacity of the packaging and its and unloading; sealed containers can be completely emptied after intended use; the packaging is unloading equipment to prevent or external silos to store pellets; loading and unloading; shockthe piercing of packaging: sift-proof or is provided with a automated transport systems for resistant, waterproof, sealed and suitable liner; protective covers equipment to create secure labelled containers or external on forklifts, hydraulic connection points with secondary pellets: silos to store pellets; automated equipment, or other loading and barriers in place; loading systems transport systems for pellets, unloading equipment to prevent designed to ensure transfer lines filters to prevent the spread of the piercing of packaging; can be completely emptied after pellet dust in the air and on site; loading and unloading; sealed equipment to create secure connection points with secondary containers or external silos to store barriers in place; loading systems pellets; protection to prevent designed to ensure transfer lines overfilling of silos; automated can be completely emptied after transport systems for pellets; loading and unloading; sealed plastic pellet dust extraction containers or external silos to store equipment with appropriate pellets; protection to prevent plastic pellet dust filters or overfilling of silos; automated catchment devices; for the transport systems for pellets; cleaning of plastic pellet plastic pellet dust extraction containers or silos, use of filter or catchment devices for rinse water equipment with appropriate plastic pellet dust filters or and air cleaning; catchment devices: for the Text Origin: Council Mandate cleaning of plastic pellet containers or silos, use of filter or catchment devices for rinse water and air cleaning;

7198/1/25 REV 1 150 TREE.1.A **LIMITE EN** 

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
Annex I	, 8 paragraph a, point (b)			
230	(b) For containment: catchment devices placed along the exterior edge of loading and unloading areas; industrial vacuum cleaners and hand tools for immediate cleaning; internal and external drain covers, storm water drainage or filtration systems to manage reasonably foreseeable flood or storm events; a sewage treatment system;	(b) For containment: spill trays and catchment devices placed along the exterior edge of loading and unloading areas; in-ground retention tanks with steel grating below spill hotspots such as transfer points; industrial vacuum cleaners and hand tools for immediate cleaning; internal and external indoor and outdoor drain covers on all drains with a mesh size smaller than the smallest plastic pellets handled on site, storm water drainage or filtration systems to manage reasonably foreseeable flood or storm events; a sewage treatment system;	(b) For containment: secondary catchment devices placed along the exterior edge of loading and unloading areas; industrial vacuum eleaners and hand tools for immediate eleaningar ound installation; internal and external drain covers, storm water drainage or filtration systems to manage reasonably foreseeable flood or storm events; a sewage treatment system; closed containers for spilled pellets and empty packaging; zones to repair or deal with damaged packaging; a floor or underground at loading and unloading areas which does not hinder the cleaning of spills;	(b) For containment: For containment: secondary catchment devices placed along the exterior edge of loading and unloading between areas; industrial vacuum cleaners and hand tools for immediate cleaning; internal and external where pellets are handled and the boundary of the installation, around the installation and at points of connection where pellets are transferred; drain covers on all floor drains inside buildings and drains located on external surfaces (e.g. concrete plinths, asphalt roads and paved footpaths) with, where appropriate, a mesh size smaller than the smallest plastic pellets handled on site; storm water drainage or filtration systems to manage reasonably foreseeable flood or storm events; a sewage treatment system; closed containers for spilled pellets and empty packaging; zones to repair or deal with damaged packaging; a floor or underground at loading and unloading areas which does not hinder the cleaning of spills;
Annex I	, 8 paragraph a, point (c)			

7198/1/25 REV 1 151 TREE.1.A **LIMITE EN** 

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
Y	231	(c) For clean-up: industrial vacuum cleaners for internal and external usage; dedicated appropriate containers for recovered pellets that are covered, labelled and secured to prevent further spills and losses; hand tools (e.g., brooms, dustpan and brush, buckets, repair tapes); reinforced collection bags.	(c) For clean-up: industrial vacuum cleaners for internal and external usage; dedicated appropriate containers for recovered pellets that are eovered shock-resistant, waterproof, sealed, labelled and secured to prevent further spills and losses; hand tools (e.g., brooms, dustpan and brush, buckets, repair tapes); reinforced collection bags.	(c) For clean-up: industrial vacuum cleaners for internal and external usage; dedicated appropriate containers for recovered pellets that are covered, labelled and secured to prevent further spills and losses; hand tools (e.g., brooms, dustpan and brush, buckets, repair tapes); reinforced collection bags-;	(c) For clean-up: industrial vacuum cleaners for internal and external usage; dedicated appropriate containers for recovered pellets that are covered, labelled and secured to prevent further spills and losses; hand tools (e.g., brooms, dustpan and brush, buckets, repair tapes) including for pellets found in the locations in the immediately surrounding areas of the installation; reinforced collection bags.;  Text Origin: Council Mandate
	Annex I,	8 paragraph a			
R	231a		Exemptions in relation to the installation of certain types of equipment referred to in this point shall be possible for economic operators that are able to justify such exemptions to the competent authorities, taking into account the nature and size of the installation as well as the scale of its operations.		R
	Annex I,	8 paragraph b			
R	231b		Economic operators that are micro-enterprises shall consider at least the elements set out in this point, taking into account the		R

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
			nature and size of the installation as well as the scale of its operations.		
	Annex I,	9 paragraph			
Y	232	(8) description of procedures in place to prevent, contain and clean up spills and losses.	(8) description of procedures in place to prevent, contain and clean up spills and losses.	(8) description of procedures put in place to prevent, contain and clean up spills and losses.  These procedures shall be adequate and proportionate to the nature and size of the installation and shall include:	deleted  Text Origin: Commission Proposal
	Annex I,	9 paragraph			
Y	233	Economic operators shall consider at least the following, taking into account the nature and size of the installation as well as the scale of its operations:	Economic operators shall econsider put in place at least the following, measures taking into account the nature and size of the installation as well as the scale of its operations:	deleted	deleted  Text Origin: EP Mandate
	Annex I,	9 paragraph, point (a)			
G	233a			(a) informing third parties accessing the installation to load, unload or otherwise handle pellets about the relevant procedures to prevent, contain and clean up spills and losses;	deleted  Text Origin: Council Mandate
	Annex I,	9 paragraph, point (b)			
G	233b			(b) at high-risk spill locations, ensuring that there are regular inspections, cleaning and maintenance of catchment	deleted  Text Origin: Council Mandate

7198/1/25 REV 1

TREE.1.A LIMITE

EN

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
				devices and storage facilities as well as of packaging and containers; if leaking or sifting, packaging and containers shall not be used further;	
	Annex I,	9 paragraph, point (c)			
G	233c			(c) containing spills and cleaning them up as soon as possible, and at the latest at the end of the operation;	deleted  Text Origin: Council Mandate
	Annex I,	9 paragraph, point (d)			
G	233d			(d) the outside of the road vehicle, rail wagon or inland waterway vessels ship is free from plastic pellets when leaving the installation; and loading and unloading ramps of road vehicles and rail wagons are closed when leaving the loading or unloading place;	deleted  Text Origin: Council Mandate
	Annex I,	9 paragraph a			
Y	233e			(8a) where appropriate on the basis of the nature and size of the installation as well as the scale of its operations, economic operators shall consider at least the following additional procedures:	5. In addition to paragraph 3 of this Annex and on the basis of the nature and size of the installation as well as the scale of its operations, economic operators shall consider including in the risk management plan the description of at least the following equipment:
	Annex I,	9 paragraph a, point (a)			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	234	(a) for prevention: limits on the volumes of pellets transported in certain packaging (e.g., pellets must be packaged and sealed in 25kg sacks, and loaded no more than 1tonne per pallet); regular inspection and maintenance of packaging, containers and storage facilities; use of spill trays under transfer points and during loading and unloading; clear protocols for opening, loading, closing and sealing containers at the start and end of loading; physical testing and monitoring of the effectiveness of prevention procedures;	(a) for prevention: limits on the volumes of pellets transported in certain packaging (e.g., pellets must be packaged and sealed in 25kg sackstear- and impact-resistant packaging that can withstand degradation in aquatic environments, and loaded no more than Itonne per pallet); regular inspection and maintenance of packaging, containers and storage facilities; use of spill trays under transfer points and during loading and unloading; clear protocols for opening, loading, closing and sealing containers at the start and end of loading; physical testing and monitoring of the effectiveness of prevention procedures;	(a) for prevention: limits on the volumesquantities of pellets transported in certain packaging (e.g., pellets must be packaged and sealed in 25kg sacks, and loaded no more than 1tonne per pallet); regular inspection and maintenance of packaging, containers and storage facilities; use of spill trays under transfer points and during loading and unloading; clear protocols for opening, loading, closing and sealing containers at the start and end of loading; physical testing and monitoring of the effectiveness of prevention procedures; reception and departure procedure for EU carriers and non-EU carriers; plastic pellet dust prevention procedures and measures;	(a) for prevention: limits on the volumes quantities of pellets transported in certain packaging (e.g., pellets must be packaged and sealed in 25kg sacks, and loaded no more than Itonne per pallet); regular inspection and maintenance of packaging, containers and storage facilities; use of spill trays under transfer points and during loading and unloading; clear protocols for opening, loading, closing and sealing containers at the start and end of loading; physical testing and monitoring of the effectiveness of prevention procedures; reception and departure procedure for EU carriers and non-EU carriers; plastic pellet dust prevention procedures and measures;  Text Origin: Council Mandate
	Annex I,	9 paragraph a, point (b)			
,	235	(b) for containment: regular inspection, cleaning and maintenance of catchment devices; regular inspection, cleaning and maintenance of drain covers, storm water drainage or filtration systems; regular inspection and cleaning of vehicles leaving and/or	(b) for containment: regular inspection, cleaning and maintenance of catchment devices; regular inspection, cleaning and maintenance of drain covers, storm water drainage or filtration systems; regular inspection and cleaning of vehicles leaving and/or	(b) for containment: regular inspection, cleaning and maintenance of catchment devices; regular inspection, cleaning and maintenance of drain covers, storm water drainage or filtration systems; regular inspection and cleaning of vehicles leaving and/or	(b) for containment: regular inspection, eleaning and maintenance of eatchment devices; regular inspection, cleaning and maintenance of drain covers, storm water drainage or filtration systems; regular inspection and cleaning of vehicles leaving and/or

7198/1/25 REV 1 155 TREE.1.A **LIMITE EN** 

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	entering a site, outgoing water facilities and fences on the perimeter of the facility that are in public areas when applicable; immediate replacement or repair of leaking packaging; checks for broken and discarded packaging or containers for residual pellets before disposal or repair; maintenance of sewage treatment system.	entering a site, outgoing water facilities and fences on the perimeter of the facility installation's boundaries that are in public areas when applicable; immediate replacement or repair of leaking packaging; checks for broken and discarded packaging or containers for residual pellets before disposal or repair; regular inspection, cleaning and maintenance of sewage treatment system.	entering a site, outgoing water facilities and fences on the perimeter of the facility that are in public areas when applicable; immediate replacement or repair of leaking packaging; checks for broken and discarded packaging or containers for residual pellets before disposal or repair, maintenance of sewage treatment system-;	entering a site, outgoing water facilities and fences on the perimeter of the facility that are in public areas when applicable; immediate replacement or repair of leaking packaging; checks for broken and discarded packaging or containers for residual pellets before disposal or repair; maintenance of sewage treatment system:  Text Origin: Council Mandate
Annex I,	, 9 paragraph a, point (c)			
236	(c) for clean-up: spilled plastic pellets are cleaned up immediately to prevent losses to the environment, at the latest upon termination of the operation, and collected in a designated container. If possible, spilled plastic pellets are reused as raw material to reduce wastage. If spilled plastic pellets cannot be reused as raw materials, they are retrieved and disposed of in accordance with waste legislation.	(c) for clean-up: spilled plastic pellets are cleaned up immediately to prevent losses to the environment, at the latest upon termination of the operation, and collected in a designated waterproof, sealed and labelled container. If possible, spilled plastic pellets are reused as raw material to reduce wastage. If spilled plastic pellets cannot be reused as raw materials, they are retrieved and disposed of in accordance with waste legislation-; along with damaged containers;	(c) for clean-up: once the spilled-plastic pellets have been are cleaned up immediately to prevent losses to the environment, at the latest upon termination of the operation, and collected in a designated container. if possible, spilled plastic pellets they are reused as raw material to reduce wastage. If spilled plastic pellets cannot be reused as raw materials, they are retrieved and disposed of in accordance with waste legislation-;	(c) for clean-up: once the spilled plastic pellets have been are cleaned up immediately to prevent losses to the environment at the latest upon termination of the operation, and collected in a designated container. if possible, spilled plastic pellets they are reused as raw material to reduce wastage. If spilled plastic pellets cannot be reused as raw materials they are retrieved and disposed of in accordance with waste legislation.

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
R	236a		Exemptions in relation to taking the measures referred to in this point shall be possible for economic operators that are able to justify such exemptions to the competent authorities, taking into account the nature and size of the installation as well as the scale of its operations.		R
	Annex I,	9 paragraph b		-	
R	236b		Economic operators that are micro enterprises shall consider at least the elements set out in this point, taking into account the nature and size of the installation as well as the scale of its operations.		R
	Annex I,	10 paragraph			
R	237	(9) in addition to elements described in points (1) to (8), economic operators that are medium or large-sized enterprises and operate installations where plastic pellets in quantities above 1 000 tonnes have been handled in the previous calendar year shall also take the following actions:	(9) in addition to elements described in points (1) to (8), economic operators that are medium or large sized enterprises and operate installations where plastic pellets in quantities above 1 000 tonnes have been handled in the previous calendar yearnot micro-enterprises shall also take the following actions:	(9) in addition to elements described in points (1) to (8), economic operators that are medium or large-sized enterprises and operate installations where plastic pellets in quantities equal to or above a threshold of 1 000 tonnes have been handled in the previous calendar year shall also take the following actions:	(9)6. in addition to elements described in points (1) to (8), Economic operators that are medium or large-sized enterprises and operate installations where plastic pellets in quantities equal to or above a threshold of 1 000 tonnes have been handled in the previous calendar year shall include in their risk management plan also take the following actions elements:  Text Origin: Council Mandate

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
Annex I	, 10 paragraph, point (a)			
R 238	(a) describe the elements that should be reviewed at formal management meetings at least once a year including the estimated quantity and causes of any losses; preventive, mitigating and clean up equipment and procedures implemented and their effectiveness.	(a) describe the elements that should be reviewed at formal management meetings at least once a year including the estimated quantity and causes of any losses; preventive, mitigating and clean up equipment and procedures implemented and their effectiveness.	(a) describe the elements that should be reviewed at formal management meetings at least once a year including the estimated quantity and causes of any losses; preventive, mitigating and clean up equipment and procedures implemented and their effectiveness-;	(a) describe the elements that shouldare to be reviewed at formal management meetings at least once a year including the estimated quantity and causes of any losses; preventive, mitigating and clean up equipment and procedures implemented and their effectiveness:
				Text Origin: Commission Proposal
Annex I	, 10 paragraph, point (b)		,	
R 239	(b) establish an awareness and training programme, based on the employees' specific roles and responsibilities, on prevention, containment and clean-up, the installation, use and maintenance of equipment, the execution procedures, as well as the monitoring and reporting of pellet losses;	(b) establish an awareness and training programme, based on the employees' specific roles and responsibilities, on prevention, containment and clean-up, the installation, use and maintenance of equipment, the execution procedures, as well as the monitoring and reporting of pellet losses;	(b) establish an awareness and training programme, based on the employees' specific roles and responsibilities, on prevention, containment and clean-up, the installation, use and maintenance of equipment, the execution procedures, as well as the monitoring and reporting of pellet losses;	(b) establish an awareness and training programme, based on the employees' specific roles and responsibilities, on prevention, containment and clean-up, the installation, use and maintenance of equipment, the execution procedures, as well as the monitoring and reporting of pellet losses;  Text Origin: Commission Proposal
Annex I	, 10 paragraph, point (c)		T	
R 240	(c) set the procedures for informing drivers, suppliers and subcontractors about the relevant procedures to prevent, contain and clean up spills and losses.	(c) set the procedures for informing drivers, suppliers and subcontractors about the relevant procedures to prevent, contain and clean up spills and losses.	(c) set the procedures for informing drivers, suppliers and subcontractors about the relevant procedures to prevent, contain and clean up spills and losses.	(c) set the procedures for informing drivers, suppliers and subcontractors about the relevant procedures to prevent, contain and clean up spills and losses.

7198/1/25 REV 1 158 TREE.1.A **LIMITE EN** 

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	Annex II				
G	241	Annex II	Annex II	Annex II	Annex II
					Text Origin: Commission Proposal
	Annex II	, first paragraph			
G	242	FORM FOR SELF- DECLARATION OF CONFORMITY			
					Text Origin: Commission Proposal
	Annex II	, second paragraph			
G	243	(name and address of the economic operator).	(name and address of the economic operator).	(name and address of the economic operator).	(name and address of the economic operator).  Text Origin: Commission Proposal
	Annex II	, third paragraph			
		Declares under its sole responsibility that the handling of plastic pellets in the installation located in	Declares under its sole responsibility that the handling of plastic pellets in the installation located in	Declares under its sole responsibility that the handling of plastic pellets in the installation located in	Declares under its sole responsibility that the handling of plastic pellets in the installation located in
G	244				

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	Parliament and of the Council of [] on preventing plastic pellets losses to reduce microplastic pollution.	Parliament and of the Council of [] on preventing plastic pellets losses to reduce microplastic pollution.	Parliament and of the Council of [] on preventing plastic pellets losses to reduce microplastic pollution.	Parliament and of the Council of [] on preventing plastic pellets losses to reduce microplastic pollution.  Text Origin: Commission Proposal
Annex II	, fourth paragraph			
6 245	By signing this declaration, I declare that the risk assessment attached, carried out on the (date) has been implemented.	By signing this declaration, I declare that the risk assessment attached, carried out on the (date) has been implemented.	By signing this declaration, I declare that the risk assessment attached, carried out on the (date) has been implemented.	By signing this declaration, I declare that the risk assessment attached, carried out on the (date) has been implemented.
				Text Origin: Commission Proposal
Annex II	, fifth paragraph	I		
246	Done at on//20	Done at on//20	Done at on//20	Done at on//20  Text Origin: Commission Proposal
Annex II	l , sixth paragraph		1	
<sup>6</sup> 247	Signature	Signature	Signature	Signature  Text Origin: Commission Proposal
Annex II			1	
c 248	Annex III	Annex III	Annex III	Annex III  Text Origin: Commission  Proposal
Annex II	I, first paragraph			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	249	ACTIONS FOR EU CARRIERS AND NON-EU CARRIERS	ACTIONS FOR EU CARRIERS AND NON-EU CARRIERS	ACTIONS FOR EU CARRIERS AND NON-EU CARRIERS	ACTIONS FOR EU CARRIERS AND NON-EU CARRIERS
					Text Origin: Commission Proposal
	Annex II	, second paragraph			
G	250	Measures to be taken and equipment to be put in place by EU carriers and non-EU carriers:	Measures to be taken and equipment to be put in place by EU carriers and non-EU carriers:	Measures to be taken and equipment to be put in place by EU carriers and non-EU carriers:	Measures to be taken and equipment to be put in place by EU carriers and non-EU carriers:  Text Origin: Commission Proposal
	Annex III	I, third paragraph			
G	251	(1) For prevention: verification during and after loading and unloading, that pellets are properly removed from the outside of the transport equipment before leaving the loading/unloading site; clear communication on stowage requirements; prevention of any leakage, including during the transport journey, e.g., by technical suitability of the transport means and containers, supplemented, if necessary, with appropriate sealing; ensuring that protective covers on e.g. forklifts/hydraulic equipment are used to prevent the piercing of packaging; regularly cleaning the loading compartments and the containers to minimise the loss of	(1) For prevention: verification during and after loading and unloading, that pellets are properly removed from the outside of the transport equipment before leaving the loading/unloading site; clear communication on, visible labelling concerning safe stowage and storage requirements; prevention of any leakage, including during the transport journey, e.g., by technical suitability of the transport means and containers, supplemented, if necessary, with appropriate sealingwaterproof, sealed tearand impact-resistant packaging that can withstand degradation in aquatic environments; spill trays and catchment devices; ensuring	(1) For prevention: verification during and after loading and unloading, that pellets are properly removed from the outside of the transport equipment before leaving the loading/unloading site; clear communication on stowage requirements; prevention of any leakage, including during the transport journey, e.g., by technical suitability of the transport means and containers, supplemented, if necessary, with appropriate sealing; ensuring that protective covers on e.g. forklifts/hydraulic equipment are used to prevent the piercing of packaging; regularly cleaning the loading compartments and the containers to minimise the loss of	(1) For prevention: verification during and after loading and unloading, that pellets are properly removed from the outside of the transport <u>means and freight</u> <u>containers equipment</u> before leaving the <u>loading/unloading</u> <u>siteinstallation</u> , and that loading <u>and unloading ramps of the</u> <u>transport means are closed when leaving the installation</u> ; clear communication on <u>stowage</u> requirements <u>concerning safe</u> stowage; visually checking the integrity of packaging of plastic <u>pellets prior to the</u> ; prevention of any leakage, including during the transport journey, e.g., by technical suitability of the transport means and containers,

Comm	ission Proposal	EP Mandate	Council Mandate	Draft Agreement
spilled pellet openings and loading comp to the extent journey, inclu multimodal to	s; visual checking of l integrity of the partments prior and, possible, during the	that protective covers on e.g. forklifts/hydraulic equipment are used to prevent the piercing of packaging; regularly cleaning and checking whether the loading compartments and the, containers and trailers are in good condition to contain and to minimise the loss of spilled pellets; visual checking of openings and integrity of the loading compartments prior and, to the extent possible, during the journey, including in the multimodal terminals, rail terminals, inland and seaports.	spilled pellets; visual checking of openings and integrity of the loading compartments prior and, to the extent possible, during the journey, including in the multimodal terminals, rail terminals, inland and seaports, checking the integrity of the packaging of plastic pellets. When loading and unloading operations take place, it shall be ensured that (i) the outside of the road vehicle, rail wagon or inland waterway vessels ship is free from plastic pellets when leaving the installation, and (ii) loading and unloading ramps of road vehicles and rail wagons are closed when leaving the loading/unloading place.	supplemented, if necessary, with appropriate sealing; ensuring that protective covers on e.g. forklifts/hydraulic equipment are used to prevent the piercing of packaging; prevention of any leakage during the transport journey, e.g., by technical suitability of the transport means and freight containers supplemented, if necessary, with appropriate sealing; regularly cleaning the loading compartments and the, the freight containers and trailers to minimise the loss of spilled pellets; visual visually checking of the openings and integrity of the loading compartments, freight containers and trailers to contain and minimise plastic pellet loss prior to and, to the extent possible, during the journey, including in the multimodal terminals, rail terminals, inland and seaports.
Annex III, third paragra	aph a			
c 251a		(1a) Additional measures to be taken and equipment specifically applicable to maritime and inland waterway transport:		deleted
Annex III, third paragra	aph a, point (a)			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	251b		(a) provide a clear indication of the containers containing plastic pellets;		deleted  Text Origin: EP Mandate
	Annex III	l, third paragraph a, point (b)			
G	251c		(b) store plastic pellets in containers in good condition and avoid protrusions that could tear bags and boxes and store containers in the hold and not on deck;		deleted  Text Origin: EP Mandate
	Annex III	l, third paragraph a, point (c)			
G	251d		(c) contain, clean up and avoid losses of plastic pellets into water when cleaning the boarding area, deck, hold or in a shipping container.		deleted
	Annex III	I, 2 paragraph			
G	252	(2) For containment and clean-up: where possible, repair damaged packaging (e.g. by using booms, barriers and tape) and contain the remaining pellets in the loading compartment; collect the spilled pellets in closed containers or bags for proper disposal; in case of transport of pellets in bulk tanks, opening the bottom manhole/cone of the silo tank only after entering the cleaning bay; replace the container	(2) For containment and cleanup: replace or where possible, repair damaged packaging (e.g. by using booms, barriers and tape) and contain the remaining pellets in the container or loading compartment; collect the spilled pellets in closed waterproof containers or bags labelled and sealed for proper disposal; in case of transport of pellets in bulk tanks, deploy appropriate spill trays and catchment devices	(2) For containment and clean-up: where possible, repair damaged packaging (e.g. by using booms, barriers and tape)during transport and contain the remaining pellets in the loading compartment; collect the spilled pellets in closed containers or bags for proper disposal; in case of transport of pellets in bulk tanks, opening the bottom manhole/cone of the silo tank only after entering the cleaning bay; replace the	(2) For containment and cleanup: where possible, repair damaged packaging (e.g. by using booms, barriers and tape) during transport and contain the remaining pellets in the loading compartment; collect the spilled pellets in closed containers or bags for proper disposal; in case of transport of pellets in bulk tanks, opening the bottom manhole/cone of the silo tank only after entering the cleaning bay; replace the

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		liner only in suitable and non- public areas, where any spillage can be contained; notify the authorities such as international and national emergency, or environmental authorities, as appropriate, from the Member State where the event occurred.	before opening the bottom manhole/cone of the silo tank only after entering the cleaning bay; replace the container liner only in suitable and non-public areas, where any spillage can be contained; immediately notify the authorities such as international and national emergency, or environmental authorities, as appropriate, from the Member State where the event occurred.	container liner only in suitable and non-public areas, where any spillage can be contained; notify the authorities such as international and national emergency, or environmental authorities, as appropriate, from the Member State where the event occurred.	container liner only in suitable and non-public areas, where any spillage can be contained; notify the authorities such as international and national emergency, or environmental authorities, as appropriate, from the Member State where the event occurred.  Text Origin: Council Mandate
	Annex II	, 3 paragraph			
G	253	(3) Equipment on board: at least one portable lightening apparatus, hand tools (e.g. brooms, dustpan and brush, buckets, repair tapes, etc.); closed collection containers/reinforced collection bags.	(3) Equipment on board: at least one portable <i>lightening</i> apparatus <i>lighting device</i> , hand tools (e.g. brooms, dustpan and brush, buckets, repair tapes, etc.); closed collection containers/reinforced collection bags.	(3) Equipment on board: at least one portable lightening apparatus, hand tools (e.g. brooms, dustpan and brush, buckets, repair tapes, etc.); closed collection containers/reinforced collection bags.	(3) Equipment on board: at least one portable <i>lightening</i> apparatuslighting device, hand tools (e.g. brooms, dustpan and brush, buckets, repair tapes, etc.); closed collection containers/reinforced collection bags.  Text Origin: EP Mandate
	Annex III	, 3 paragraph a			
G	253a		(3a) Training: establish an awareness and training programme, based on the employees' specific roles and responsibilities, on prevention, containment and clean-up of plastic pellet losses, the installation, use and maintenance		deleted  Text Origin: EP Mandate

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		of equipment, the execution procedures, as well as the monitoring and reporting of plastic pellet losses.		
Annex I\	/			
254	Annex IV	Annex IV	Annex IV	Annex IV  Text Origin: Commission Proposal
Annex I\	/, first paragraph			
c 255	FORM OF THE CERTIFICATE OF CONFORMITY	FORM OF THE CERTIFICATE OF CONFORMITY	FORM OF THE CERTIFICATE OF CONFORMITY	FORM OF THE CERTIFICATE OF CONFORMITY
				Text Origin: Commission Proposal
Annex I\	v, second paragraph			
256	(name).	(name).	(name).	(name).
				Text Origin: Commission Proposal
Annex I	V, third paragraph			
<sup>6</sup> 257	with registration number	with registration number	with registration number	with registration number
231				Text Origin: Commission Proposal
Annex I\	V, fourth paragraph			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
		accredited for the scope			
G	258	(NACE	(NACE	Code)	
					Proposal
	Annex IV	/, fifth paragraph			
		declares, after having verified the installation of the economic operator (name) located in	declares, after having verified the installation of the economic operator (name) located in	declares, after having verified the installation of the economic operator (name) located in	declares, after having verified the installation of the economic operator (name) located in
G	259	with registration number (if available)			
					Text Origin: Commission Proposal
	Annex IV	/, sixth paragraph			
O	260	that the installation meets all requirements laid down in Annex I of Regulation (EU) No [] of the European Parliament and of the Council of [] on preventing plastic pellets losses to reduce microplastic pollution.	that the installation meets all requirements laid down in Annex I of Regulation (EU) No [] of the European Parliament and of the Council of [] on preventing plastic pellets losses to reduce microplastic pollution.	that the installation meets all requirements laid down in Annex I of Regulation (EU) No [] of the European Parliament and of the Council of [] on preventing plastic pellets losses to reduce microplastic pollution.	that the installation meets all requirements laid down in Annex I of Regulation (EU) No [] of the European Parliament and of the Council of [] on preventing plastic pellets losses to reduce microplastic pollution.  Text Origin: Commission Proposal
	Annex IV	/, seventh paragraph			
		,			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	261	By signing this declaration, I declare that:			
	201				Text Origin: Commission Proposal
	Annex I\	, eighth paragraph			
G	262	- the verification has been carried out in full compliance with the requirements of Regulation (EU) No [], including spotchecks performed in (dates),	- the verification has been carried out in full compliance with the requirements of Regulation (EU) No [], including spotchecks performed in	- the verification has been carried out in full compliance with the requirements of Regulation (EU) No [], including spotchecks performed in	- the verification has been carried out in full compliance with the requirements of Regulation (EU) No [], including spotchecks performed in
					Text Origin: Commission Proposal
	Annex I\	/, -a paragraph			
G	263	- the outcome of the verification confirms that there is no evidence of non-compliance with the applicable legal requirements of Regulation (EU) No [].	- the outcome of the verification confirms that there is no evidence of non-compliance with the applicable legal requirements of Regulation (EU) No [].	- the outcome of the verification confirms that there is no evidence of non-compliance with the applicable legal requirements of Regulation (EU) No [].	- the outcome of the verification confirms that there is no evidence of non-compliance with the applicable legal requirements of Regulation (EU) No [].
					Text Origin: Commission Proposal
	Annex I\	/, -a paragraph			
G	264	Done at on//20	Done at on//20	Done at on//20	Done at on//20  Text Origin: Commission Proposal
	Annex IV	/, -b paragraph			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	265	Signature	Signature	Signature and stamp	Signature and stamp or digital signature  Text Origin: Council Mandate
	Annex I\	Va			
Y	265a		Annex IVa FORM FOR LOSS TRACKING		Annex IVa FORM FOR REPORTING INCIDENTS AND ACCIDENTS
					Text Origin: EP Mandate
	Annex I\	Va, first paragraph			
Y	265b		Place of Incident: [Text Box]		Place of Incident: [Text Box]  Text Origin: EP Mandate
	Annex I\	Va, second paragraph			
G	265c		Pellet Loss Tracking Form		deleted 6 Text Origin: EP Mandate
	Annex I\	Va, third paragraph			
Y	265d		Date of Incident: [date]		<u>Date of Incident: [date]</u> Text Origin: EP Mandate
	Annex I\	Va, fourth paragraph			
Υ	265e		Time of Incident: [time]		Time of Incident: [time]  Text Origin: EP Mandate
	Annex I\	Va, fifth paragraph			
Y	265f		Location of Loss:		Location of Loss: Text Origin: EP Mandate

7198/1/25 REV 1

TREE.1.A

LIMITE

EN

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
	Annex IV	/a, sixth paragraph			
Y	265g		[] Production Area		[  Production Area  Text Origin: EP Mandate
	Annex IV	/a, seventh paragraph	1		
Y	265h		[] Storage Area		[  Storage Area Text Origin: EP Mandate
	Annex IV	/a, eighth paragraph			
Υ	265i		[] Manufacturing Area		[] Manufacturing Area Text Origin: EP Mandate
	Annex IV	/a, ninth paragraph			
Υ	265j		[] Transportation		[] Transportation  Text Origin: EP Mandate
	Annex IV	/a, tenth paragraph			
Υ	265k		Description of Pellet Loss:		<u>Description of Pellet Loss:</u> Text Origin: EP Mandate
	Annex IV	/a, eleventh paragraph			
Y	2651		[Text Box]		<pre>[Text Box] Text Origin: EP Mandate</pre>
	Annex IV	/a, twelfth paragraph			
Y	265m		Estimated Quantity of Lost Pellets:		Estimated Quantity of Lost Pellets: Text Origin: EP Mandate
	Annex IV	/a, thirteenth paragraph			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
Υ	265n		[Text Box]		[Text Box] Text Origin: EP Mandate
	Annex IV	/a, fourteenth paragraph			
G	2650		[Text Box – estimated quantity of lost pellets based on the standardised methodology referred to in Article 13]		deleted  Text Origin: EP Mandate
	Annex IV	a, fifteenth paragraph	,	<u>,                                      </u>	
Y	265p		Cause of Loss:		Cause of Loss: Text Origin: EP Mandate
	Annex IV	/a, sixteenth paragraph	1		
Υ	265q		[   Equipment Malfunction		[] Equipment Malfunction  Text Origin: EP Mandate
	Annex IV	/a, seventeenth paragraph			
Y	265r		[] Human Error		[] Human Error Text Origin: EP Mandate
	Annex IV	/a, eighteenth paragraph			
Y	265s		[   Environmental or Weather Factors (Specify):  Text Box		[] Environmental or Weather Factors (Specify): [Text Box]  Text Origin: EP Mandate
	Annex IV	/a, nineteenth paragraph			
Y	265t	. 5 .	[   Other (Specify):  Text Box		[   Other (Specify):  Text Box  Text Origin: EP Mandate
	Annex IV	/a, twentieth paragraph			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
Y	265u		Immediate Actions Taken:		<pre>Immediate Actions Taken: Text Origin: EP Mandate</pre>
	Annex IV	/a, twenty-first paragraph			
Υ	265v		[Text Box]		[Text Box] Text Origin: EP Mandate
	Annex IV	/a, twenty-second paragraph			
G	265w		Clean-up Measures:		deleted
	Annex IV	/a, twenty-third paragraph			
G	265x		[] Sweeping		deleted
	Annex IV	a, twenty-fourth paragraph			
G	265y		[] Vacuuming		deleted
	Annex IV	/a, twenty-fifth paragraph			
G	265z		[] Absorbent Materials		deleted
	Annex IVa, twenty-sixth paragraph				
G	265aa		[] Containment		deleted
	Annex IV	a, twenty-seventh paragraph			

	Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
6 265ab		[] Disposal		deleted
Annex IV	/a, twenty-eighth paragraph			
6 265ac		Environmental Impact Assessment:		deleted
Annex IV	/a, twenty-ninth paragraph			
c 265ad		[] Soil Contamination		deleted
Annex IV	/a, thirtieth paragraph			
c 265ae		[] Water Contamination		deleted
Annex IV	/a, thirty-first paragraph			
<sup>6</sup> 265af		[] Air Quality Contamination		deleted
Annex IV	/a, thirty-second paragraph			
6 265ag		[] Wildlife Impact		deleted
Annex IV	/a, thirty-third paragraph			
<sup>6</sup> 265ah		Witness Information (if applicable):		deleted
Annex IV	/a, thirty-fourth paragraph			

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement
G	265ai		Name: [Text Box]		deleted
	Annex I	Va, thirty-fifth paragraph			
G	265aj		Contact Number: [Text Box]		deleted
	Annex I	Va, thirty-sixth paragraph			
G	265ak		Email Address: [Text Box]		deleted
	Annex I	Va, thirty-seventh paragraph			
Y	265al		Reporting Person:		Reporting Person: Text Origin: EP Mandate
	Annex I	Va, thirty-eighth paragraph			
Y	265am		Name: [Text Box]		Name: [Text Box] Text Origin: EP Mandate
	Annex I	Va, thirty-ninth paragraph			
Y	265an		Position: [Text Box]		Position: [Text Box]  Text Origin: EP Mandate
	Anney IV	 Va, fortieth paragraph			zone orrgin. Er nandate
Υ	265ao	va, ioi detii paragrapii	Contact Number: [Text Box]		Contact Number: [Text Box] Text Origin: EP Mandate
	Annex IVa, forty-first paragraph				

		Commission Proposal	EP Mandate	Council Mandate	Draft Agreement	
Y	265ap		Email Address: [Text Box]		Email Address: [Text Box]	Υ
	•				Text Origin: EP Mandate	
	Annex I\	/a, forty-second paragraph				
Y	265aq		Attachments (e.g., photos, reports):		Attachments (e.g., photos, reports):	Υ
					Text Origin: EP Mandate	
	Annex I\	/a, forty-third paragraph				
Y	265ar		[File Upload]		[File Upload]	Y
					Text Origin: EP Mandate	
	Annex I\	/a, forty-fourth paragraph				
Y	265as		Additional comments: [Text Box]		Additional comments: [Text Box]	Υ
	20000				Text Origin: EP Mandate	
	Annex IVb					
v	265at		Annex IVb		Annex IVb	v
•	203at		Table cannot be inserted in TTE.		Text Origin: EP Mandate	
	Annex I\	/b, first paragraph				
	265au					

## **EP MANDATE (for row 265at):**

## Annex IV b (new)

	Labelling for plastic pellets
Pictogram	
Signal Word	Danger
Hazard Statement	Harmful to the environment
Precautionary Statement – Prevention	Avoid release to the environment
Precautionary Statement – Response	Collect spillage
Precautionary Statement – Disposal	Reuse as raw material, recycle or dispose of contents
	in accordance with local/ regional/national/international law (to be specified).