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European Union

Brussels, 12 March 2022  
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## PROPOSAL

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From: High Representative of the Union for Foreign Affairs and Security Policy, signed by Mr Stefano SANNINO, Secretary-General

date of receipt: 12 March 2022

To: Mr Jeppe TRANHOLM-MIKKELSEN, Secretary-General of the Council of the European Union

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Subject: Proposal of the High Representative of the Union for Foreign Affairs and Security Policy to the Council for a Council Decision amending Decision 2014/512/CFSP concerning restrictive measures in view of Russia's actions destabilising the situation in Ukraine

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**DOCUMENT PARTIALLY ACCESSIBLE TO THE PUBLIC (04.08.2024)**

Delegations will find attached document HR(2022) 76.

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EUROPEAN EXTERNAL ACTION SERVICE



**Proposal of the High Representative of the Union for Foreign Affairs and Security  
Policy to the Council**

**of 12/03/2022**

**for a Council Decision amending Decision 2014/512/CFSP concerning restrictive  
measures in view of Russia's actions destabilising the situation in Ukraine**

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**COUNCIL DECISION (CFSP) 2022/XXX**

of [dd/mm/2022]

**amending Decision 2014/512/CFSP concerning restrictive measures  
in view of Russia's actions destabilising the situation in Ukraine**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on European Union and in particular Article 29 thereof,

Having regard to the proposal from the High Representative of the Union for Foreign Affairs and Security Policy,

Whereas:

- (1) On 31 July 2014, the Council adopted Decision 2014/512/CFSP <sup>(1)</sup>.
- (2) The Union remains unwavering in its support for Ukraine's sovereignty and territorial integrity.
- (3) On 24 February 2022, the President of the Russian Federation announced a military operation in Ukraine and Russian armed forces began an attack on Ukraine. That attack is a blatant violation of the territorial integrity, sovereignty and independence of Ukraine.
- (4) In its conclusions of 24 February 2022, the European Council condemned in the strongest possible terms the Russian Federation's unprovoked and unjustified military aggression against Ukraine. By its illegal military actions, Russia is grossly violating international law and the principles of the UN Charter and undermining European and global security and stability. The European Council called for the urgent preparation and adoption of a further individual and economic sanctions package.

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<sup>1</sup> Council Decision 2014/512/CFSP of 31 July 2014 concerning restrictive measures in view of Russia's actions destabilising the situation in Ukraine (OJ L 229, 31.7.2014, p. 13).

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- (5) In view of the gravity of the situation, and in response to Russia's military aggression against Ukraine, it is appropriate to introduce further restrictive measures. In particular, it is appropriate to prohibit all transactions with certain state-owned enterprise. It is also appropriate to prohibit the provision of any credit rating services, as well as access to any subscription services in relation to credit rating activities, to any Russian person or entity. Moreover, it is appropriate to tighten the export restrictions regarding dual-use goods and goods and technology which might contribute to Russia's technological enhancement of its defence and security sector, and expand the list of persons connected to Russia's defence and industrial base, which are subject to those restrictions. Furthermore, it is appropriate to prohibit new investments in the Russian energy sector, as well as a to introduce a comprehensive export restriction on equipment, technology and services for the energy industry in Russia, with the exception of nuclear industry and the downstream sector of energy transport. Finally, it is appropriate to introduce further trade restrictions concerning iron and steel, as well as luxury goods.
- (6) Further action by the Union is needed in order to implement certain measures.
- (7) Decision 2014/512/CFSP should therefore be amended accordingly,

HAS ADOPTED THIS DECISION:

*Article 1*

Decision 2014/512/CFSP is amended as follows:

- (1) the following Article is added after Article 1a:

*'Article 1aa*

1. It shall be prohibited to directly or indirectly engage in any transaction with:
  - (a) a legal person, entity or body established in Russia, which is publically controlled or with over 50% public ownership or in which Russia, its Government or the Russian Central Bank has the right to participate in profits or with which Russia, its Government or the Russian Central Bank has other substantial economic relationship, as listed in Annex X;

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- (b) a legal person, entity or body established outside the Union whose proprietary rights are directly or indirectly owned for more than 50 % by an entity listed in Annex X; or
- (c) a legal person, entity or body acting on behalf or at the direction of an entity referred to in point (a) or (b) of this paragraph.

2. The prohibition in paragraph 1 shall not apply to the execution until [OJ: please insert 60 days after entry into force of this amending decision] of contracts concluded before [OJ: please insert date of entry into force of this amending decision] or ancillary contracts necessary for the execution of such contracts.’;

(2) the following Article is added after Article 1f:

*Article 1g*

- 1. It shall be prohibited as of [OJ: please insert date 30 days after entry into force of this amending decision] to provide credit rating services to any Russian national or natural person residing in Russia or any legal person, entity or body established in Russia.
- 2. It shall be prohibited as of [OJ: please insert date 30 days after entry into force of this amending decision] to provide access to any subscription services in relation to credit rating activities to any Russian national or natural person residing in Russia or any legal person, entity or body established in Russia.
- 3. Paragraphs 1 and 2 shall not apply to nationals of a Member State or natural persons having a temporary or permanent residence permit in a Member State.’;

(3) **DELETED**

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**DELETED**

(4) **DELETED**



(5) In Article 3b, paragraph 1 is replaced by the following:

‘1. With regard to the natural or legal persons, entities or bodies listed in Annex IV, by way of derogation from Articles 3 and 3a, and without prejudice to the authorisation requirements pursuant to Regulation (EU) 2021/821, the competent authorities of the Member States may only authorise the sale, supply, transfer or export of dual-use goods and technology and the goods and technology referred to in Article 3a, or the provision of related technical or financial assistance after having determined:

- (a) that such goods or technology or the related technical or financial assistance are necessary for the urgent prevention or mitigation of an event likely to

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have a serious and significant impact on human health and safety or the environment; or

- (b) that such goods or technology or the related technical or financial assistance are due under contracts concluded before 26 February 2022, or ancillary contracts necessary for the execution of such a contract, provided that the authorisation is requested before 1 May 2022.’;

- (6) **DELETED**

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**DELETED**

PUBLIC

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DELETED

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**DELETED**

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**DELETED**

PUBLIC

(9) In Article 7, paragraph 1, point (a) is replaced by the following:

‘(a) legal persons, entities or bodies listed in Annex I, II, III, IV, V, VI, VIII, IX or X, or referred to in point (b) or (c) of Article 1(1), in point (b), or (c) of Article 1(2), in point (c) or (d) of Article 1(3), in point (b) or (c) of Article 1(4), in point (a), (b) or (c) of Article 1a, in point (b) or (c) of Article 1aa, in Article 1e, or in Article 1g;’;

(10) the Annexes are amended as set out in the Annex to this Decision.

*Article 2*

This Decision shall enter into force on the day of its publication in the *Official Journal of the European Union*.

Done at Brussels,

*For the Council*

*The President*

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**ANNEX**

(1) In Annex IV to Decision 2014/512/CFSP, the following entries are inserted:

‘Amur Shipbuilding Factory PJSC

AO Center of Shipbuilding and Ship Repairing JSC

AO Kronshtadt

Avant Space LLC

Baikal Electronics

Center for Technological Competencies in Radiophotonics

Central Research and Development Institute Tsiklon

Crocus Nano Electronics

Dalzavod Ship-Repair Center;

Elara

Electronic Computing and Information Systems

ELPROM

Engineering Center Ltd.

Forss Technology Ltd.

Integral SPB

JSC Element

JSC Pella-Mash

JSC Shipyard Vympel

Kranark LLC

Lev Anatolyevich Yershov (Ershov);

LLC Center

MCST Lebedev

Miass Machine-Building Factory

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Microelectronic Research and Development Center Novosibirsk

MPI VOLNA

N.A. Dollezhal Order of Lenin Research and Design Institute of Power Engineering

Nerpa Shipyard

NM-Tekh

Novorossiysk Shipyard JSC

NPO Electronic Systems

NPP Istok

NTC Metrotek

OAO GosNIIkhimanalit

OAO Svetlovskoye Predpriyatiye Era

OJSC TSRY

OOO Elkomtekh (Elkomtex)

OOO Planar

OOO Sertal

Photon Pro LLC

PJSC Zvezda

Production Association Strela

Radioavtomatika

Research Center Module

Robin Trade Limited

R.Ye. Alekseyev Central Design Bureau for Hydrofoil Ships

Rubin Sever Design Bureau

Russian Space Systems

Rybinsk Shipyard Engineering

Scientific Research Institute of Applied Chemistry

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Scientific-Research Institute of Electronics  
Scientific Research Institute of Hypersonic Systems  
Scientific Research Institute NII Submikron  
Sergey IONOV  
Serniya Engineering  
Severnaya Verf Shipbuilding Factory  
Ship Maintenance Center Zvezdochka  
State Governmental Scientific Testing Area of Aircraft Systems (GkNIPAS)  
State Machine Building Design Bureau Raduga Bereznya  
State Scientific Center AO GNTs RF—FEI A.I. Leypunskiy Physico-Energy Institute  
State Scientific Research Institute of Machine Building Bakhirev (GosNII mash)  
Tomsk Microwave and Photonic Integrated Circuits and Modules Collective Design Center;  
UAB Pella-Fjord  
United Shipbuilding Corporation JSC “35th Shipyard”  
United Shipbuilding Corporation JSC “Astrakhan Shipyard”  
United Shipbuilding Corporation JSC “Aysberg Central Design Bureau”  
United Shipbuilding Corporation JSC “Baltic Shipbuilding Factory”  
United Shipbuilding Corporation JSC “Krasnoye Sormovo Plant OJSC”  
United Shipbuilding Corporation JSC “SC “Zvyozdochka”  
United Shipbuilding Corporation “Pribaltic Shipbuilding Factory Yantar”  
United Shipbuilding Corporation “Scientific Research Design Technological Bureau Omega”  
United Shipbuilding Corporation “Sredne-Nevisky Shipyard”  
Ural Scientific Research Institute for Composite Materials  
Urals Project Design Bureau Detal  
Vega Pilot Plant  
Vertikal LLC



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Vladislav Vladimirovich Fedorenko

VTK Ltd

Yaroslavl Shipbuilding Factory

ZAO Elmiks-VS

ZAO Sparta

ZAO Svyaz Inzhiniring’;

(2) the following is added as Annex X to Decision 2014/512/CFSP:

**‘ANNEX X**

**LIST OF STATE OWNED COMPANIES REFERRED TO IN ARTICLE 1AA**

OPK OBORONPROM

UNITED AIRCRAFT CORPORATION

URALVAGONZAVOD

ROSNEFT

TRANSNEFT

GAZPROM NEFT

ALMAZ-ANTEY

KAMAZ

**DELETED**

ROSTEC (RUSSIAN TECHNOLOGIES STATE CORPORATION)

JSC PO SEVMASH

SOVCOMFLOT

UNITED SHIPBUILDING CORPORATION’