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**PESC 157 COARM 31** 

#### **OUTCOME OF PROCEEDINGS**

From:	General Secretariat of the Council	
To:	Delegations	
No. prev. doc.:	5593/15 ADD 7	
Subject:	Sixteenth Annual Report according to article 8(2) of Council Common Position 2008/944/CFSP defining common rules governing control of exports of military technology and equipment.	

Delegations will find attached tables AII, AIII, BI,BII and D.

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#### TABLE A II

#### Exports to United Nations-mandated or other international missions in 2013

## **Destination country: AFGHANISTAN**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Finland	UN mandated mission	Protective equipment
Austria	United Nations Assistance Mission in Afghanistan (UNAMA - SUP - AMA- ISAF)	pistols and components and rifles ML1, land crafts ML6
Germany	UNAMA - United Nations Assistance Mission in Afghanistan	surefire flash hider, ammunition for rifles, ammunition for revolvers and pistols
Germany	UNHCR	cross-country vehicles with special protection, parts for armoured vehicles
Germany	UN MACCA - United Nations Mine Action Coordination Centre of Afghanistan	bullet proof vests, safety helmets
Lithuania	UN mandated (UNAMA)_	Armour protection for Mi-8AMT helicopter
UK	UN Women Afghanistan	equipment employing cryptography, software for equipment employing cryptography
UK	UNAMA Afghanistan	Cryptographic software, equipment employing cryptography
UK	United Nations Mine Action Centre of Afghanistan (UN-MACCA)	body armour, components for body armour, military helmets
UK	United Nations Office Complex in Afghanistan	equipment employing cryptography
UK	UNOCA	body armour, components for body armour, military helmets
UK	UNOCA Compound	equipment employing cryptography, software for equipment employing cryptography
UK	United Nations Development Programme (UNDP)	all-wheel drive vehicles with ballistic protection
UK	UNICEF	all-wheel drive vehicles with ballistic protection, body armour, components for body armour, military helmets.
Hungary	NATO	pistols (9mm GLOCK 17), submachine guns (5,56mm M4A1), sniper rifles (7,62mm 0.30 M24), grenade launchers (M203), weapon sights (infrared and mechanical), telescopes, image intensifiers, hand-held laser range finders, protective vests, Kevlar helmets explosives and related substances
Portugal	ISAF	Products belonging to ML categories 11, 13 and 21

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#### **Destination country: BOSNIA AND HERZEGOVINA**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Hungary	EUFOR	ammunition (12,7mm), pyrotechnic cartridges, explosives and related substances

#### **Destination country: CENTRAL AFRICAN REPUBLIC**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Finland	UN mandated mission	Protective equipment
UK	UNDP	components for body armour, military helmets
UK	UNICEF	all-wheel drive vehicles with ballistic protection

#### **Destination country: CONGO (DEMOCRATIC REPUBLIC OF)**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	MONUSCO United Nations Organization Stabilization Mission in the Democratic Republic of Congo	parts for automatic rifles, ammunition for rifles, ammunition for revolvers and pistols, ammunition for shot-guns
Germany	UNOPS / UNMACCUnited Nations Office for Project Services United Nations Mine Action	mine clearance equipment; parts for mine clearance systems
UK	United Nations Organisation Stabilisation Mission In The Democratic Republic of Congo (MONUSCO)	military aircraft ground equipment

#### **Destination country: DENMARK**

<b>Exporting Member State</b>	United Nations-mandated or other international missions	Description of goods
UK	UNICEF	body armour, components for body armour, military helmets
UK	UNICEF Supply Division	body armour, components for body armour, military helmets

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#### **Destination country: GEORGIA**

<b>Exporting Member State</b>	United Nations-mandated or other international missions	Description of goods
Hungary	UNOMIG	Kevlar helmets

## **Destination country: GHANA**

<b>Exporting Member State</b>	United Nations-mandated or other international missions	Description of goods
Finland	UN mandated mission	Protective equipment

## **Destination country: GUINEA BISSAU**

Exporting Member State	United Nations-mandated or other international missions	Description of goods

#### **Destination country: HAITI**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Austria	United Nations Stabilization Mission in Haiti (MINUSTAH)	pistols and components and rifles ML1, land crafts ML6
Germany	MINUSTAH - United Nations Stabilization Mission in Haiti	body proof vests, ammunition for rifles
Bulgaria	UN Stabilization Mission in Haiti (MINUSTAH)	ML13

#### **Destination country: IRAQ**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	UNAMI United Nation Assistance Mission for Iraq	parts for rifles, parts for machine guns
UK	UNAMI AMI-CIT Communication and Information	equipment employing cryptography
UK	United Nations Assistance Mission for Iraq (UNAMI)	improvised explosive device activation/jamming equipment
UK	UNDP	body armour, components for body armour

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#### **Destination country: ISRAEL**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
UK	United Nations Disengagement	components for all-wheel drive vehicles with
011	Observer Force (UNDOF)	ballistic protection

#### **Destination country: IVORY COAST**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	UNOCI United Nations Operation in Côte d'Ivoire	binoculars with laser rangefinders
UK	United Nations Operation in Cote D'Ivoire	non-military firing sets, small arms ammunition, sporting guns (2)
UK	UNMAS	body armour, components for body armour, improvised explosive device disposal equipment

## **Destination country: JORDAN**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
UK	UNDP	body armour, components for body armour, military helmets

## **Destination country: KENYA**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
UK	United Nations Support Office for AMISOM	components for all-wheel drive vehicles with ballistic protection
UK	World Health Organisation (WHO)	equipment employing cryptography, software for equipment employing cryptography
Finland	UN mandated mission	Protective equipment
Germany	United Nations Support Office for AMISOM	parts for sub machine guns, parts for pistols

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# **Destination country: KOSOVO**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	UNMIK United Nations Interim Administration Mission in Kosovo	filters for respirator, parts for sub machine guns, parts for shot guns
Hungary	KFOR	ammunition (9mm, 7,62mm, 12,7mm, 14,5mm, 12/70), 40mm grenades, machine guns (7,62 PKT, 14,5mm KPVT, 7,62mm PKM), handheld laser range finders, protective vests, Kevlar helmets
Portugal	KFOR	Products belonging to ML categories 1, 2, 3, 4, 5, 6, 10, 11, 13, 14, 15, 17 and 21.

## **Destination country: LEBANON**

<b>Exporting Member State</b>	United Nations-mandated or other international missions	Description of goods
Finland	UN mandated mission	Protective equipment
Germany	UNSCOL - United Nations Special Coordinator for Lebanon	ammunition for rifles, ammunition for revolvers and pistols
Germany	UNIFIL - United Nations Interim Force in Lebanon	parts for sub machine guns, parts for pistols, ammunition for rifles
Germany	UNHCR	cross-country vehicles with special protection
Germany	Special Tribunal for Lebanon	ammunition for rifles, ammunition for revolvers and pistols
UK	UNIFIL	equipment employing cryptography
UK	UNICEF	all-wheel drive vehicles with ballistic protection
Sweden	UNHCR	Chemical cover dress+foot cover (ML7f)
Ireland	UNITED NATIONS LEBANON	Sub Machine Guns MP5 9mm x 6 (Six) Pistol Combat Sig Sauer 9mm x 3 (Three) Pistol P226 SO 9mm x 3 (Three)

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# **Destination country: LIBYA**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	UNOPS - UN Office For Project Services	decontamination unit, decontamination solution
	The Libyan National Authority for CWC	
Germany	UNSMIL - United Nations Support Mission in Libya	body protection vests
UK	UNESCO Project	body armour, components for body armour, military helmets
UK	United Nations	components for munitions/ordnance detection/disposal equipment, equipment for the use of munitions/ordnance detection/disposal equipment, munitions/ordnance detection/disposal equipment
UK	United Nations Department of Safety and Security	components for all-wheel drive vehicles with ballistic protection
UK	United Nations Support Mission in Libya	components for all-wheel drive vehicles with ballistic protection
UK	UNDP	all-wheel drive vehicles with ballistic protection promoting the supply of all-wheel drive vehicles with ballistic protection

# **Destination country: MALAWI**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Bulgaria	UN Stabilization Mission in the Democratic Republic of Congo (MONUSCO)	ML 2, ML 3, ML 4

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#### **Destination country: MALI**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Finland	UN mandated mission	Protective equipment
Germany	UNMAS - United Nations Mine Action Service	mine clearance equipment with tools and spare parts, trucks
Germany	MINUSMA - United Nations Stabilization Mission in Mali	ammunition for revolvers and pistols, ammunition for shot-guns, binoculars with laser rangefinder
UK	Mission des Nations Unies au Mali (MINUSMA)	Components, technology and equipment for the use of military improvised explosive device decoying/detection/disposal/jamming; components for equipment employing cryptography, cryptographic software, equipment employing cryptography, software for equipment employing cryptography
UK	United Nations Mine Action Service	bomb suits
UK	UNICEF	all-wheel drive vehicles with ballistic protection
Hungary	EUMFO	9mm P9RC pistols, 9mm ammunition, Kevlar helmets, protective vests and ceramic fillings therefore
Portugal	EUTM	Products belonging to ML categories 1, 3, 5, 11 and 13.
Ireland	EUROPEAN	Steyr Rifle 5.56mm x 10 (Ten)
	TRAINING MISSION (EUTM)	HK Pistol 9mm x 10 (Ten)

## **Destination country: MAURITIUS**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Finland	UN mandated mission	Protective equipment

## **Destination country: NIGER**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
UK	UNICEF	all-wheel drive vehicles with ballistic protection

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#### **Destination country: SENEGAL**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
UK	UNFPA - WCARO	body armour, components for body armour, military helmets

## **Destination country: SOMALIA**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Finland	UN mandated mission	Protective equipment
Germany	UNMAS - United Nations Mine Action Somalia	safety helmets, body armour vests, exploders for demining
UK	United Nations Office For Project Services (UNOPS) - Mine Action Somalia Programme	body armour, components for body armour, military helmets, equipment employing cryptography, munitions/ordnance detection/disposal equipment, bomb suits
UK	UNMAS Mine Action Somalia	body armour, components for body armour, military helmets, bomb suits
UK	UNSOA	decoying/countermeasure equipment
UK	UNICEF	all-wheel drive vehicles with ballistic protection
UK	EU MFO	Kevlar helmets
Portugal	EUTM	Products belonging to ML categories 11 and 15.
Ireland	EUROPEAN TRAINING MISSION (EUTM)	Steyr Rifle 5.56mm x 2 (Two) HK Pistol 9mm x 2 (Two) 5Kg 5.56 Ball Ammunition

#### **Destination country: SUDAN**

<b>Exporting Member State</b>	United Nations-mandated or other international missions	Description of goods
Finland	UN mandated mission	Protective equipment
UK	UNAMID	equipment employing cryptography, software for equipment employing cryptography
Germany	UNAMID African Union/ United Nations Hybrid Operation in Darfur	ammunition for revolvers and pistols
UK	UNISFA	equipment employing cryptography, software for equipment employing cryptography

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# **Destination country: SOUTH SUDAN**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	UNMAS - United Nations Mine Action Service	Parts for demining equipment
Germany	UNMISS - United Nations Mission in the Rep. of South Sudan	ammunition for revolvers and pistols
UK	United Nations Mine Action Service	components for military support vehicles, military support vehicles
UK	UNMISS Logistics Base	equipment employing cryptography, software for equipment employing cryptography,

# **Destination country: SYRIA**

Exporting Member State	United Nations-mandated or other international missions	Description of goods	
Finland	UN mandated mission	Protective equipment	
Germany	UNHCR	cross-country vehicles with special protection	
Germany	WFP - United Nations World Food Program	cross-country vehicles with special protection	
Germany	UN/OPCW Mission Team	cross-country vehicles with special protection	
Sweden	UNGSC	Chemical cover dress+foot cover (ML7f)	
UK	UNICEF	all-wheel drive vehicles with ballistic protection	
UK	UNDP	all-wheel drive vehicles with ballistic protection	
UK	United Nations Disengagement Observer Force (UNDOF)	all-wheel drive vehicles with ballistic protection	

## **Destination country: SWITZERLAND**

Exporting Member State	United Nations-mandated or other international missions	Description of goods	
UK	WHO	civil NBC protection equipment	

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**Destination country: TANZANIA** 

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Austria	UNICTR Chief of Procurement Officer (Security in Arusha)	ammunition ML3
	United Nations Int. Criminal TRIBUNAL for RWANDA *)	

**Destination country: TURKEY** 

<b>Exporting Member State</b>	United Nations-mandated or other international missions	Description of goods
UK	UNICEF	all-wheel drive vehicles with ballistic protection

**Destination country: UGANDA** 

<b>Exporting Member State</b>	United Nations-mandated or other international missions	Description of goods	
UK	UNAMID - MOVCON	equipment employing cryptography, software for equipment employing cryptography	
Ireland	EUROPEAN TRAINING	Steyr Rifle 5.56mm x 3 (Three)	
	MISSION (EUTM)	5Kg 5.56 Ball Ammunition	

**Destination country: YEMEN** 

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Finland	UN mandated mission	Protective equipment
Austria	UNITED NATIONS, DEPARTMENT OF FIELD SUPPORT	pistols ML1
Germany	OHCHR Office of the High Commissioner for Human Rights, Sana' a	cross-country vehicle with special protection
UK	UNICEF	all-wheel drive vehicles with ballistic protection
UK	UNDP	all-wheel drive vehicles with ballistic protection

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## **OTHER**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	MINURSO HQ United Nations Mission for the Referendum in	Parts for demining equipment
	Western Sahara	

## Table A III

# 1. Brokering licences granted by Member States in 2013

## **AUSTRIA**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Canada	2	15.000.000	ML 2 ML 3 ML 12	10 19.000 2	15.000.000	Russia

# **BULGARIA**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Tajikistan	1	24 370,00 EUR	ML1	200 pcs	24 370,00 EUR	Serbia
Kenya	1	1 307 122,70 EUR	ML1	193 pcs	1 307 122,70 EUR	USA
USA	1	362 325,08 EUR	ML3	2 772 000 pcs	362 325,08 EUR	FYROM
Korea, Republic of	1	16 752,00 EUR	ML3	50 400 pcs	16 752,00 EUR	Ukraine

# **CROATIA**

Destination	No. of licences	Value of brokering	ML	Quantity of	Value of brokered	Country of origin
	issued	licences issued	categories	brokered items	items	
SLOVAKIA	MP013A0004	60.600,00 USD	ML 1 a.	300	60.600,00 USD	Bosnia and
						Herzegovina
AUSTRIA	MP013A0008	37.920,00 USD	ML 1 b.	316	37.920,00 USD	Bosnia and
						Herzegovina

# CZECH REPUBLIC

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
United Arab Emirates	4	14 343 EUR	10	10 PCE	8 350 EUR	Russia
Bangladesh	7	138 193 EUR	10	1,620 PCE	101 846 EUR	UK, Latvia, Russia
Egypt	2	151 414 EUR	6	95 PCE	57 915 EUR	Ukraine
			11	190 PCE		Ukraine
Ethiopia	1	13 445 EUR	10	185 NAR	13 145 EUR	Russia
Georgia	2	21 590 EUR	1	31 SET	22 191 EUR	USA
			15	4 PCE		USA

Indonesia	4	40 071 EUR	10	29 PCE	32 277 EUR	Latvia
Kenya	2	608 134 EUR	3	100,000 PCE		Bosnia and Herzegovina, Ukraine
Sri Lanka	4	5 353 EUR	10	5 PCE	2 068 EUR	Russia
Republic of Moldova	1	2 362 EUR	1	24 SET	2 818 EUR	USA
Nigeria	1	2 768 EUR	13	-	0 EUR	China
Thailand	4	117 992 EUR	10	6 PCE	7 010 EUR	Israel, USA
Vietnam	1	1 731 188 EUR	6	-	0 EUR	Bulgaria

# **CYPRUS**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Ukraine	6	936153	ML 10	8085	936153	Montenegro
Yemen	1	892969	ML 10	1050	892969	Montenegro
Burundi	1	398516	ML1, 3, 4		398516	Serbia
Burundi	1	154050	Ml1	50	154050	Montenegro
USA	1	276500	ML3	10000000	276500	Ukraine
Kazakhstan	2	188746	ML 10	3450	188746	Montenegro
Equatorial Guinea	1	146235	ML 10	459	146235	Montenegro

Peru	1	90300	ML 10	430	90300	Montenegro
Malaysia	1	58800	ML 10	35	58800	Montenegro
Jordan	1	51350	ML 10	40	51350	Montenegro

# **ESTONIA**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
USA	1	7000	ML10	29	7000	Ukraine
Canada	1	1800	ML10	1	1800	Ukraine

# **HUNGARY**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
United States of America	1	16.900.000 Euro	ML 3	121.448.000 pcs	0	Ukraine
Lybia	1	6.704.796 Euro	ML 1,2,3	2.881.459 pcs	0	Ukraine
Netherlands	1	450.000 Euro	ML 4	5 pcs	0	Ukraine
Italy	1	642.000 Euro	ML 4	10 pcs	0	Ukraine

# **GERMANY**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Bahrain	1	19.900 €	ML 7F	1.000 pieces	19.900 €	United States
Brazil	2	193.379 €	ML 11A ML 21A	3 pieces 3 pieces	85.078 € 16.301 €	Switzerland Switzerland
Colombia	1	5.700.000 €	ML 18A ML 5	1 piece	92.000 € 5.700.000 €	Switzerland South Africa
Indonesia	1	143.000 €	ML 4C	5.500 pieces	143.000 €	Turkey
Jordan	1	70.000 €	ML 6A	1 piece	70.000 €	United Arab Emirates
Kazakhstan	1	487 €	ML 7F	14 pairs	487 €	United States
Korea, Republic	1	1.834.533 €	ML 4B	400 sets	1.834.533 €	Israel
Lebanon	1	27.000.000 €	ML 9A	3 pieces	27.000.000 €	Singapore
Malaysia	1	42.170 €	ML 11A ML 21A	1 piece 1 piece	38.336 € 3.834 €	Switzerland Switzerland
Niger	1	200.000 €	ML 6B	1 piece	200.000 €	Colombia
Oman	2	201.125 €	ML 6A	1 piece	200.000 €	United Arab Emirates;
C 1: A 1:	1	5 000 000 C	ML 7F	48 pieces	1.125 €	United States
Saudi Arabia	1	5.000.000 €	ML 11A ML 21B	1 piece 1 piece	0 € 5.000.000 €	South Africa South Africa
South Africa	8	306.601 €	ML 6A	331 pieces	306.601 €	Israel

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Turkey	2	632.000 €	ML 3A ML 7F	500.000 pieces 48 pieces	500.000 € 132.000 €	United States United States
Turkmenistan	1	1.458.519 €	ML 11A	70 pieces	1.458.519 €	Australia
United Arab Emirates	2	263.814 €	ML 7F	72 pieces	214.560 €	Norway
			ML 11A	1 piece	34.506 €	Switzerland
			ML 21A	1 piece	14.748 €	Switzerland

# <u>IRELAND</u>

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Morocco	1	€1,240,000	ML11	8		Germany

# **LITHUANIA**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Peru	2	403522 EUR	ML10	127		Hong Kong
		11678 EUR	ML22	Training		Russian Federation
India	1	9374 EUR	ML22	Training		Russian Federation

# **NETHERLANDS**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Multiple countries	3	€ 0,00 (brokering licences for repair under warranty)	Multiple categories			Multiple countries
NATO+	1	€ 1,00	ML10a			Unknown

# **POLAND**

Destination	No. of licences issued	Value of brokering licences issued EUR	ML categories	Quantity of brokered items	Value of brokered items - USD	Country of origin
Egypt	2	1 405 861,15 24500,08	3a 1a	39 200 pcs 2 220 pcs	1 806 210,00 31 477,00	Bulgaria Bulgaria
Mozambique	2	2489,72 80 138,78	10 10	4 pcs 12 pcs	3 200,00 102 960,00	India India

Arabia Saudi	2	1 245 357,87	3a	10 000 000 pcs	1 600 000,00	Serbia
		4 236 785,30	2,6	2 240 500 pcs	5 443 300,00	Serbia
Iraq	1	1245357,87	10b	2 sets	1 600 000,00	Lithuania
Kazakhstan	2	42 809,18	13d	6 800 pcs	55 000,00	China
		803 940,77	13d	2000 sets	1 032 880,00	China
India	1	235 718,22	6a	920 pcs	302 844,00	Ukraine
Bangladesh	1	855,00	13c	3 pcs		Italy
Bulgaria	1	106 002	10	9 pcs		Hungary

# **PORTUGAL**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Ecuador	1	171.891,95€.	10	3740	171.891,95€.	United Sates of America

# **SWEDEN**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Australia	3		5			United Kingdom
Denmark	1		3			Canada
Denmark	1		1			USA
Finland	1		2			United Kingdom

Germany	1	3	Norway
Germany	1	3	Denmark
India	1	8	France
Multiple	1 (Global	2, 14, 18,	Multiple countries
countries	license)	21, 22	_
Thailand	1	11	United Kingdom

# **UNITED KINGDOM**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Afghanistan	1	1	ML4a			Bulgaria
Afghanistan	1	2100000	ML6b1			Jordan
Afghanistan	1	1495904	ML6b1			Jordan
Afghanistan	1	728659	ML6b1			Jordan
Afghanistan	1	1	ML1a, PL5017			Romania
Afghanistan	1	1	ML1a, ML1d, ML22a, PL5017			Romania
Belize	1	45562	ML3a			Bosnia & Herzegovina
Bosnia and Herzegovina	1	761	ML1a, ML1d, PL5017			United States

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Brazil	1	5480	ML13c,			South Africa
Brazil	1	93750	ML13d ML13c, ML13d			South Africa
Brazil	1	236868	ML1a, ML1d, ML22a, PL5017			United States
Brunei	1	524135.15	ML1d			United States
Cambodia	1	32300	ML4b1			Angola
Central African Republic	1	238704	ML6b1			Jordan
Chile	1	533000	ML3a			Singapore
China	1	9640	ML13c, ML13d1, ML13d2			South Africa
Colombia	1	112140 212265	ML13d1 ML13d1			Sri Lanka United States
Colombia	1	324405	ML13d1			United States
Croatia	1	845700.3	ML1d			Belarus
Croatia	1	972294.17	ML1d			Belarus

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Denmark	1	10640	ML13d			South Africa
Djibouti	1	228800	ML13c, ML13d1, ML13d2, ML1a, ML1d, ML3a			Madagascar
Djibouti	1	228800	ML13c, ML13d1, ML1a, ML1d, ML3a			South Africa
Dubai	1	165756.09	ML1d			Belarus
Germany	1	12338.96	ML11a			Australia
Germany	1	16475497	ML4a			Japan
India	1	5000	ML13d			South Africa
Iraq	1	98000	ML13c, ML13d			China
Iraq	1	224583933.72	ML11a, ML14, ML1a, ML2a, ML6a, PL5017			Ukraine

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Iraq	1	1739375	ML6b1			United Arab Emirates
Israel	1	1491371.88	ML1d			Belarus
Israel	1	97000	ML6b1			Jordan
Israel	1	7097	ML13c, ML13d			South Africa
Kenya	1	342703	ML6b1			Jordan
Kuwait	1	689818.23	ML4a			Serbia
Kuwait	1	708155	ML14			United Arab Emirates
Laos	1	67485	ML6b1			Thailand
Lebanon	1	1525173.3	ML11a, ML21a, ML6a, PL5017			Australia
Lebanon	1	126000	ML6b1			Jordan
Libya	1	214785	ML11a			Australia
Libya	1	37077.31	ML13c, ML13d1, ML13d2			Cambodia

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Libya	1	6902476	ML6a			Canada
Libya	1	135803	ML6b1			Jordan
Libya	1	135803	ML6b1			Jordan
Libya	1	135803	ML6b1			Jordan
Libya	1	295000	ML6b1			Jordan
Libya	1	50	ML4b1			South Africa
Lithuania	1	57881.8	ML3a			Bosnia & Herzegovina
Lithuania	1	57881.8	ML3a			Bosnia & Herzegovina
Malaysia	1	1440	ML5b			Taiwan
Malaysia	1	1615	ML5b			Taiwan
Mali	1	238704	ML6b1			Jordan
Mauritius	1	36750	ML4a, ML4b1			United States
Morocco	1	703000	ML3a, ML4a, ML4b1, ML8a21a			France

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Morocco	1	3763800	ML3a, ML4a			Romania
Niger	1	238704	ML6b1			Jordan
Nigeria	1	50895	ML3a			Switzerland
Nigeria	1	7207	ML1a			United States
Norway	1	1600	ML3a			Finland
Norway	1	131888626	ML4a			Japan
Norway	1	0	ML22a			Non Specific Country
Oman	1	40000	ML3a, ML5a			Germany
Oman	1	35633	ML1a, ML1d, ML22a, ML2a, PL5017			Germany
Oman	1	6.4	ML1a			Germany
Oman	1	6.4	ML1a			Germany
Oman	1	40000	ML3a, ML5a			Norway

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Oman	1	1935	ML1a, ML22a, PL5017			United States
Oman	1	2783	ML1a, PL5017			United States
Oman	1	950045	ML1a, PL5017			United States
Oman	1	53244	ML2a			United States
Oman	1	165565	ML1a			United States
Oman	1	39040	ML3a			United States
Pakistan	1	1635000	ML3a			Brazil
Pakistan	1	24000	ML3a			Bulgaria
Pakistan	1	22508.76	ML13d1			Canada
Pakistan	1	23796	ML13a1			Finland
Pakistan	1	8966478	ML6a			Slovakia
Pakistan	1	40000	ML1a, ML1d			United States
Russia	1	1120000	ML9a1			South Africa
Saudi Arabia	1	3955866.33	ML3a			Bosnia & Herzegovina

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Saudi Arabia	1	1900800	ML3a			Brazil
Serbia	1	5772273.83	ML1d			Belarus
Sierra Leone	1	53320	ML11a, ML21a, ML22a			Australia
Singapore	1	6682.5	ML5b			Taiwan
Somalia	1	441000	ML6b1			Jordan
Somalia	1	15790	ML13c, ML13d1			South Africa
Somalia	1	431354	ML1d, ML6a			South Africa
Somalia	1	7560	ML13d			South Africa
Somalia	1	3533.79	ML13c, ML13d1, ML13d2			United Arab Emirates
Somalia	1	187245.3	ML6b1			United Arab Emirates
Somalia	1	14400	ML13d1, ML13d2			United States
Sri Lanka	1	6375.84	ML13c, ML13d1, ML13d2, ML15c, ML1a,			South Africa

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
			ML1d,			
			ML3a			
Sudan	1	8450	ML13d			Zimbabwe
Syria	1	105162	ML6b1			Jordan
Syria	1	919432	ML6b1			Jordan
Syria	1	117783	ML6b1			Jordan
Syria	1	337500	ML6b1			Jordan
Syria	1	784080	ML6b1			Jordan
Taiwan	1	29880	ML13d			South Africa
Thailand	1	534000	ML2a			Turkey
Timor-Leste	1	368233.62	ML1a, ML3a, PL5017			United States
Timor-Leste	1	194495.12	ML1a, ML3a, PL5017			United States
Turkey	1	1000	ML4b1			Czech Republic
Turkey	1	14023.18	ML3a			Czech Republic

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Turkey	1	126922	ML6b1			Jordan
Turkey	1	386376	ML6b1			Jordan
United States	1	29245	ML13d2			China
Uruguay	1	561245.21	ML1d			Belarus
Vessel, Platform in International Waters	1	4100	ML13d1, ML13d2, ML1a, ML3a			Vessel, Platform in International Waters
Vietnam	1	173973.25	ML1d			Belarus
Vietnam	1	6977.06	ML11a			Israel
Yemen	1	129992	ML6b1			Jordan
Yemen	1	218494	ML6b1			Jordan

## 2. Brokering licences refused by Member States in 2013

## **HUNGARY**

Destination	No. of licences denied	Value of brokering licences denied	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Egypt	3	n.a.	ML 6.	n.a.	0	Ukraine

# **UNITED KINGDOM**

Destination	No. of licences	Value of brokering licences denied	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
4 1	denied	72.150	) (T 10			Q 1
Azerbaijan	1	73458	ML13c,			Canada
			ML13d1,			
			PL5001b			
Azerbaijan	1	2530035.08	ML13b,			Ukraine
			ML6a			
Bahrain	1	92711.39	ML3a			Serbia
Libya	1	500000	ML15c			Canada
Пюуш	1	1217162	ML13d			China
		3700711	ML13c,			Korea, Republic of
			ML13d			
South Sudan	1	113628	ML6b1			Thailand

TABLE B I TOTAL NUMBER OF CONSULTATIONS INITIATED AND TOTAL NUMBER OF **CONSULTATIONS RECEIVED BY EACH MEMBER STATE IN 2013** 

Member State	Number of consultations initiated	Number of consultations received
Austria	14	8
Belgium	17	11
Bulgaria	4	0
Croatia	0	0
Cyprus	0	0
Czech Rep.	13	0
Denmark	0	3
Estonia	5	0
Finland	0	12
France	11	9
Germany	21	61
Greece	0	4
Hungary	0	0
Ireland	0	0
Italy	21	3
Latvia	2	0
Lithuania	0	0
Luxembourg	0	0
Malta	1	0
Netherlands	3	4
Poland	0	0
Portugal	2	0
Romania	2	1
Slovakia	1	0
Slovenia	0	0
Spain	1	0

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Member State	Number of consultations initiated	Number of consultations received
Sweden	0	7
United Kingdom	16	11
TOTALS	134	134

#### TABLE B II

## TOTAL NUMBER OF CONSULTATIONS INITIATED BY MEMBER STATES IN 2013 PER DESTINATION

Bahrain         1           Bangladesh         2           Bosnia and Herzegovina         2           Brunei         1           Cameroon         2           Chad         1           Colombia         1           Democratic Rep. of Congo         1           Dominican Republic         1           Egypt         9           Former Yugoslav Republic of Macedonia         4           Georgia         3           Guatemala         1           India         2           Israel         3           Indonesia         2           Israel         3           Indonesia         1           Jordan         3           Kazakhstan         1           Kenya         5           Kosovo         6           Kyrgyzstan         1           Libya         5           Malaysia         1           Republic of Moldova         1           Morocco         2           Myanmar         1           Namibia         1           Nigeria         1           Oman         1 <tr< th=""><th><b>Destination Country</b></th><th>Number of consultations</th></tr<>	<b>Destination Country</b>	Number of consultations
Bolivia         2           Bahrain         1           Bangladesh         2           Bosnia and Herzegovina         2           Brunei         1           Cameroon         2           Chad         1           Colombia         1           Democratic Rep. of Congo         1           Dominican Republic         1           Egypt         9           Former Yugoslav Republic of Macedonia         4           Georgia         3           Guatemala         1           India         2           Israel         3           Indonesia         2           Israel         3           Indonesia         1           Jordan         3           Kazakhstan         1           Kenya         5           Kosovo         6           Kyrgyzstan         1           Libya         5           Malaysia         1           Republic of Moldova         1           Morocco         2           Myanmar         1           Nigeria         1           Oman         1 <tr< td=""><td>Algeria</td><td>4</td></tr<>	Algeria	4
Bahrain         1           Bangladesh         2           Bosnia and Herzegovina         2           Brunei         1           Cameroon         2           Chad         1           Colombia         1           Democratic Rep. of Congo         1           Dominican Republic         1           Egypt         9           Former Yugoslav Republic of Macedonia         4           Georgia         3           Guatemala         1           India         2           Israel         3           Indonesia         2           Israel         3           Indonesia         1           Jordan         3           Kazakhstan         1           Kenya         5           Kosovo         6           Kyrgyzstan         1           Libya         5           Malaysia         1           Republic of Moldova         1           Morocco         2           Myanmar         1           Namibia         1           Nigeria         1           Oman         1 <tr< td=""><td>Andorra</td><td>1</td></tr<>	Andorra	1
Bangladesh         2           Bosnia and Herzegovina         2           Brunei         1           Cameroon         2           Chad         1           Colombia         1           Democratic Rep. of Congo         1           Dominican Republic         1           Egypt         9           Former Yugoslav Republic of Macedonia         4           Georgia         3           Guatemala         1           India         2           Israel         3           Indonesia         2           Israel         3           Indonesia         1           Jordan         3           Kazakhstan         1           Kenya         5           Kosovo         6           Kyrgyzstan         1           Libya         5           Malaysia         1           Republic of Moldova         1           Morocco         2           Myanmar         1           Namibia         1           Nigeria         1           Oman         1           Paraguay         1 <td>Bolivia</td> <td>2</td>	Bolivia	2
Bosnia and Herzegovina         2           Brunei         1           Cameroon         2           Chad         1           Colombia         1           Democratic Rep. of Congo         1           Dominican Republic         1           Egypt         9           Former Yugoslav Republic of Macedonia         4           Georgia         3           Guatemala         1           India         2           Israel         3           Indonesia         2           Israel         3           Indonesia         1           Jordan         3           Kazakhstan         1           Kenya         5           Kosovo         6           Kyrgyzstan         1           Libya         5           Malaysia         1           Republic of Moldova         1           Morocco         2           Myanmar         1           Namibia         1           Nigeria         1           Oman         1           Paraguay         1	Bahrain	1
Brunei         1           Cameroon         2           Chad         1           Colombia         1           Democratic Rep. of Congo         1           Dominican Republic         1           Egypt         9           Former Yugoslav Republic of Macedonia         4           Georgia         3           Guatemala         1           India         2           Indonesia         2           Israel         3           Indonesia         1           Jordan         3           Kazakhstan         1           Kenya         5           Kosovo         6           Kyrgyzstan         1           Libya         5           Malaysia         1           Republic of Moldova         1           Morocco         2           Myanmar         1           Namibia         1           Nigeria         1           Oman         1           Paraguay         1	Bangladesh	2
Cameroon         2           Chad         1           Colombia         1           Democratic Rep. of Congo         1           Dominican Republic         1           Egypt         9           Former Yugoslav Republic of Macedonia         4           Georgia         3           Guatemala         1           India         2           Israel         3           Indonesia         2           Israel         3           Indonesia         1           Jordan         3           Kazakhstan         1           Kenya         5           Kosovo         6           Kyrgyzstan         1           Libya         5           Malaysia         1           Republic of Moldova         1           Morocco         2           Myanmar         1           Nigeria         1           Oman         1           Paraguay         1	Bosnia and Herzegovina	2
Chad         1           Colombia         1           Democratic Rep. of Congo         1           Dominican Republic         1           Egypt         9           Former Yugoslav Republic of Macedonia         4           Georgia         3           Guatemala         1           India         2           Indonesia         2           Israel         3           Indonesia         1           Jordan         3           Kazakhstan         1           Kenya         5           Kosovo         6           Kyrgyzstan         1           Libya         5           Malaysia         1           Republic of Moldova         1           Morocco         2           Myanmar         1           Namibia         1           Nigeria         1           Oman         1           Paraguay         1	Brunei	1
Colombia         1           Democratic Rep. of Congo         1           Dominican Republic         1           Egypt         9           Former Yugoslav Republic of Macedonia         4           Georgia         3           Guatemala         1           India         2           Indonesia         2           Israel         3           Indonesia         1           Jordan         3           Kazakhstan         1           Kenya         5           Kosovo         6           Kyrgyzstan         1           Libya         5           Malaysia         1           Republic of Moldova         1           Morocco         2           Myanmar         1           Nigeria         1           Oman         1           Paraguay         1	Cameroon	2
Democratic Rep. of Congo         1           Dominican Republic         1           Egypt         9           Former Yugoslav Republic of Macedonia         4           Georgia         3           Guatemala         1           India         2           Israel         3           Indonesia         1           Jordan         3           Kazakhstan         1           Kenya         5           Kosovo         6           Kyrgyzstan         1           Libya         5           Malaysia         1           Republic of Moldova         1           Morocco         2           Myanmar         1           Namibia         1           Nigeria         1           Oman         1           Paraguay         1	Chad	1
Dominican Republic         1           Egypt         9           Former Yugoslav Republic of Macedonia         4           Georgia         3           Guatemala         1           India         2           Indonesia         2           Israel         3           Indonesia         1           Jordan         3           Kazakhstan         1           Kenya         5           Kosovo         6           Kyrgyzstan         1           Libya         5           Malaysia         1           Republic of Moldova         1           Morocco         2           Myanmar         1           Namibia         1           Nigeria         1           Oman         1           Paraguay         1	Colombia	1
Dominican Republic         1           Egypt         9           Former Yugoslav Republic of Macedonia         4           Georgia         3           Guatemala         1           India         2           Indonesia         2           Israel         3           Indonesia         1           Jordan         3           Kazakhstan         1           Kenya         5           Kosovo         6           Kyrgyzstan         1           Libya         5           Malaysia         1           Republic of Moldova         1           Morocco         2           Myanmar         1           Namibia         1           Nigeria         1           Oman         1           Paraguay         1	Democratic Rep. of Congo	1
Egypt       9         Former Yugoslav Republic of Macedonia       4         Georgia       3         Guatemala       1         India       2         Indonesia       2         Israel       3         Indonesia       1         Jordan       3         Kazakhstan       1         Kenya       5         Kosovo       6         Kyrgyzstan       1         Libya       5         Malaysia       1         Republic of Moldova       1         Morocco       2         Myanmar       1         Namibia       1         Nigeria       1         Oman       1         Paraguay       1		1
Former Yugoslav Republic of Macedonia         4           Georgia         3           Guatemala         1           India         2           Indonesia         2           Israel         3           Indonesia         1           Jordan         3           Kazakhstan         1           Kenya         5           Kosovo         6           Kyrgyzstan         1           Libya         5           Malaysia         1           Republic of Moldova         1           Morocco         2           Myanmar         1           Namibia         1           Nigeria         1           Oman         1           Paraguay         1		9
Georgia         3           Guatemala         1           India         2           Israel         3           Indonesia         1           Jordan         3           Kazakhstan         1           Kenya         5           Kosovo         6           Kyrgyzstan         1           Libya         5           Malaysia         1           Republic of Moldova         1           Morocco         2           Myanmar         1           Namibia         1           Nigeria         1           Oman         1           Paraguay         1		4
Guatemala         1           India         2           Indonesia         2           Israel         3           Indonesia         1           Jordan         3           Kazakhstan         1           Kenya         5           Kosovo         6           Kyrgyzstan         1           Libya         5           Malaysia         1           Republic of Moldova         1           Morocco         2           Myanmar         1           Namibia         1           Nigeria         1           Oman         1           Paraguay         1		3
Indonesia         2           Israel         3           Indonesia         1           Jordan         3           Kazakhstan         1           Kenya         5           Kosovo         6           Kyrgyzstan         1           Libya         5           Malaysia         1           Republic of Moldova         1           Morocco         2           Myanmar         1           Namibia         1           Nigeria         1           Oman         1           Paraguay         1		1
Israel       3         Indonesia       1         Jordan       3         Kazakhstan       1         Kenya       5         Kosovo       6         Kyrgyzstan       1         Libya       5         Malaysia       1         Republic of Moldova       1         Morocco       2         Myanmar       1         Namibia       1         Nigeria       1         Oman       1         Paraguay       1	India	2
Indonesia       1         Jordan       3         Kazakhstan       1         Kenya       5         Kosovo       6         Kyrgyzstan       1         Libya       5         Malaysia       1         Republic of Moldova       1         Morocco       2         Myanmar       1         Namibia       1         Nigeria       1         Oman       1         Paraguay       1	Indonesia	2
Jordan       3         Kazakhstan       1         Kenya       5         Kosovo       6         Kyrgyzstan       1         Libya       5         Malaysia       1         Republic of Moldova       1         Morocco       2         Myanmar       1         Namibia       1         Nigeria       1         Oman       1         Paraguay       1	Israel	3
Kazakhstan1Kenya5Kosovo6Kyrgyzstan1Libya5Malaysia1Republic of Moldova1Morocco2Myanmar1Namibia1Nigeria1Oman1Paraguay1	Indonesia	1
Kenya       5         Kosovo       6         Kyrgyzstan       1         Libya       5         Malaysia       1         Republic of Moldova       1         Morocco       2         Myanmar       1         Namibia       1         Nigeria       1         Oman       1         Paraguay       1	Jordan	3
Kosovo       6         Kyrgyzstan       1         Libya       5         Malaysia       1         Republic of Moldova       1         Morocco       2         Myanmar       1         Namibia       1         Nigeria       1         Oman       1         Paraguay       1	Kazakhstan	1
Kyrgyzstan       1         Libya       5         Malaysia       1         Republic of Moldova       1         Morocco       2         Myanmar       1         Namibia       1         Nigeria       1         Oman       1         Paraguay       1	Kenya	5
Libya       5         Malaysia       1         Republic of Moldova       1         Morocco       2         Myanmar       1         Namibia       1         Nigeria       1         Oman       1         Paraguay       1	Kosovo	6
Malaysia       1         Republic of Moldova       1         Morocco       2         Myanmar       1         Namibia       1         Nigeria       1         Oman       1         Paraguay       1	Kyrgyzstan	1
Republic of Moldova       1         Morocco       2         Myanmar       1         Namibia       1         Nigeria       1         Oman       1         Paraguay       1	Libya	5
Morocco         2           Myanmar         1           Namibia         1           Nigeria         1           Oman         1           Paraguay         1	Malaysia	1
Myanmar1Namibia1Nigeria1Oman1Paraguay1	Republic of Moldova	1
Namibia1Nigeria1Oman1Paraguay1	Morocco	2
Nigeria1Oman1Paraguay1	Myanmar	1
Oman1Paraguay1	Namibia	1
Paraguay 1	Nigeria	1
		1
	Paraguay	1
1 milphiles	Philippines	2

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<b>Destination Country</b>	Number of consultations
Qatar	1
Russian Federation	3
Saudi Arabia	11
Serbia	1
South Africa	5
Sri Lanka	1
Suriname	1
Taiwan	2
Tanzania	1
Thailand	10
Turkey	2
Turkmenistan	7
Ukraine	2
United Arab Emirates	4
Uruguay	1
Venezuela	1
Vietnam	4
Zambia	1
Total	134

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Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach		
PROMOTION OF CONTROL OF ARMS EXPORTS AND THE PRINCIPLES AND CRITERIA OF  COMMON POSITION 2008/944/CFSP AMONG THIRD COUNTRIES				
Montenegro  Podgorica, 6 – 7 March 2013  Individual assistance workshop / legal review in implementation of Council Decision 2012/711/CFSP		On request of the authorities of Montenegro, the individual assistance workshop enabled relevant Montenegrin officials assisted by EU experts to review the latest Montenegrin draft law on arms trade control. The workshop was preceded by a remote in-depth comprehensive review so that the most relevant and important elements could be appropriately addressed during the workshop. All in all, the individual assistance workshop provided the opportunity for Montenegro to further understand the EU standards, to draw on best practices applied in the EU, to assess the practical feasibility and enforceability of specific provisions and to tangibly strengthen its alignment with Common Position 2008/944/CFSP.		

Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach
Study visit on arms export controls for officials of Azerbaijan, Belarus and Georgia  Stockholm, Sweden 24 - 28 June 2013	EU and Sweden in implementation of Council Decision 2012/711/CFSP	The study visit was hosted by the Swedish Agency for Non-Proliferation and Export Control (ISP) and also supported by EU experts from Czech Republic and Portugal. It was attended by a total of 11 officials from Azerbaijan, Belarus and Georgia.  The study visit was subdivided into seven sessions addressing various arms export control-relevant issues: the organisation of the Swedish arms export control system, the licensing process and the end-use verification mechanism, the inter-agency cooperation as a comprehensive tool for end use verification, the implementation of export controls in a company's day-to-day business operations, the policy making process, as well as arms export controls from customs perspective. Those issues were illustrated by means of case studies accompanying presentations.
Regional Workshop on Arms and Dual-use Items Export Control for South East European  Countries  Skopje, Former Yugoslav Republic of Macedonia, 24 – 26 June 2013	EU in implementation of Council Decision 2012/711/CFSP	This regional workshop covered Albania, Bosnia and Herzegovina, Croatia, the Former Yugoslav Republic of Macedonia, Montenegro and Serbia. It actually consisted of two workshops held back-to-back, the first one addressing arms export control outreach and funded under Council Decision 2012/711/CFSP and the second one addressing dual-use goods export control outreach and funded under the Instrument for Stability.  Both parts focussed on end-use verification in the pre-licensing, licensing and post-licensing phase of the export control process for military equipment and dual-use items. In addition, some beneficiary countries used the opportunity to discuss their draft legislation on military and dual-use export controls.

Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach
Serbia  Belgrade, 17 – 18 September2013	Individual assistance workshop / legal review in implementation of Council Decision 2012/711/CFSP	On request of the authorities of Serbia, the individual assistance workshop enabled relevant Serbian officials assisted by EU experts to review the latest Serbian draft law on arms trade control. The workshop was preceded by a remote in-depth comprehensive review so that the most relevant and important elements could be appropriately addressed during the workshop. All in all, the individual assistance workshop provided the opportunity for Serbia to further understand the EU standards, to draw on best practices applied in the EU and to assess the practical feasibility and enforceability of specific provisions.
Regional workshop on arms export controls for Eastern European and Caucasian Partners of the ENP (Tbilisi, Georgia, 08 – 09 October 2013)	EU in implementation of Council Decision 2012/711/CFSP	This event covered Armenia, Azerbaijan, Belarus, Georgia, Republic of Moldova and Ukraine. The first day was divided into two sessions covering the following topics: political updates in the area of arms export controls (i.e. ATT) and the role of technical expertise. Breakout group sessions focussed on various aspects related to the technical rating of items. Participating countries had the opportunity to present their national approaches with respect to gathering technical expertise as well as to share views concerning effective export controls of military items.  On the second day, an additional session was dedicated to analysing and discussing case studies.

Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach
Study visit on arms export controls for Albania, Bosnia and Herzegovina and Serbia (Lisbon, Portugal, 22 – 24 October 2013)	EU and Portugal in implementation of Council Decision 2012/711/CFSP	The study visit was hosted by the Portuguese Ministry of Defence, Directorate for Armaments and Defence Infrastructure and supported by officials from Austria, Belgium, the Czech Republic, Germany and Poland.  The study visit was subdivided into eight sessions addressing a broad range of issues of arms export controls (arms export controls from the perspective of the Ministry of Foreign Affairs, Ministry of Defence, Customs and the industry, licensing process, inter-agency cooperation, the implementation of export controls in a company's day-to-day business operations and the policy making process were some of the topics discussed). This event was a mix between theory and practice and included case studies and practical examples supporting the presentations.
Regional seminar on arms export controls for North African Mediterranean countries  of the ENP  (Tunis, Tunisia, 26 – 27 November 2013)	EU in implementation of Council Decision 2012/711/CFSP	Algeria, Egypt, Morocco and Tunisia participated in this regional event.  The agenda was divided into three sessions covering the following topics: some key elements of an effective arms export control system, putting risk criteria into practice (special focus on criteria 3, 4, 6 and 7 of EU Common Position 2008/944/CFSP, guidance for interpretation according to User's Guide), political updates in the area of arms export controls (i.e. ATT) and effective enforcement of trade controls and penal provisions.  On the second day, an additional session was dedicated to analyzing and discussing case studies.

Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach
Study visit on arms export controls for Armenia, Republic of Moldova and Ukraine (Prague, Czech Republic, 27 -31 January 2014)	EU and Czech Republic in implementation of Council Decision 2012/711/CFSP	The study visit was hosted by the Czech Ministry of Foreign Affairs and was dedicated to representatives from Armenia, Republic of Moldova and Ukraine. EU experts from Hungary, Poland, Portugal and Romania supported this study visit.  The study visit was subdivided into six sessions addressing a broad range of issues of arms export controls, among them arms export controls from the perspective of various stake holders, licensing process and inter-agency cooperation, decision making process, engagement of the industry, Internal Compliance Programme as well as the implementation of export controls in a company's day-to-day business operations (based on a visit to a company). This event was a mix between theory and practice and contained case studies and practical examples supporting the presentations.
Individual Assistance to Montenegro (Podgorica, Montenegro, 05 – 06 March 2014)	Individual assistance workshop / legal review in implementation of Council Decision 2012/711/CFSP	Following the individual assistance in Montenegro in March 2013, this event marked the second visit of this kind to Podgorica in order to discuss the draft law on arms trade control. As last time, in March 2013, the activity had a main focus on discussing the draft law with the support of EU experts from Belgium, Czech Republic and Portugal. The outreach activity was conducted without prior remote assistance.

Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach
Mid Term Assessment Event of the outreach activities organised in the framework of the EU Outreach Programme (LTP) in the field of dual use export controls and under Council Decision 2012/711/CFSP for arms export controls  (Brussels, Belgium – 26 March 2014)	EU in implementation of Council Decision 2012/711/CFSP	The Commission, together with the European External Action Service organized an assessment event on the Outreach activities that have been carried out so far within the Outreach programme in Export Control of Dual-Use Items and arms export controls under the EU Outreach Programme and Council Decision 2012/711/CFSP on 26 March 2014. All partner countries of the above mentioned programmes were invited as well as a number of EU Experts. The aim of this event was to assess the impact and relevance of implemented outreach activities from the perspective of the beneficiary countries.
Study visit on arms export controls for Azerbaijan, Belarus and Georgia (Sofia, Bulgaria, 13 – 17 April 2014)	EU and Republic of Bulgaria in implementation of Council Decision 2012/711/CFSP	The study visit was hosted by the Bulgarian Ministry of Foreign Affairs and was dedicated to representatives from Azerbaijan, Belarus and Georgia. EU experts from Austria, Sweden, Greece and Romania supported this study visit.  The study visit was subdivided into seven sessions addressing a broad range of issues of arms export controls (arms export controls from the perspective of the Ministry of Foreign Affairs, Ministry of Economy, Customs and Ministry of Interior, the licensing
	Decision 2012//11/CFSP	process, inter-agency cooperation, considerations in the pre- and post-licensing phases, importance of control measures as well as the implementation of export controls in a company's day-to-day business operations (based on a company visit) and the policy making process). This event was a mix between theory and practice and covered case studies and practical examples supporting the presentations. The case studies focused on weapons smuggling, brokering and inter-agency cooperation.

Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach
Study visit on arms export controls for Algeria, Morocco and Tunisia  (Paris, France, 27 – 29 April 2014)	EU and France in implementation of Council Decision 2012/711/CFSP	The study visit was hosted by the French SGDSN - Secrétariat général de la défense et de la sécurité nationale- and supported by officials from Luxemburg, Belgium, Spain and Italy.  The study visit was the first of its kind addressed to the North African and Mediterranean partner countries of the European Neighborhood Policy. The agenda was subdivided into five sessions addressing a broad range of issues of arms export controls, among them arms export control system in France, arms export control systems of the beneficiary countries, practical and technical aspect of arms export controls, the arms export controls from the perspective of the industry, Customs and Ministry of Defence, as well as a session dedicated to the Arms Trade Treaty.
Individual Assistance to Georgia (Tbilisi, Georgia, 05 – 06 May 2014)	Individual assistance workshop / legal review in implementation of Council Decision 2012/711/CFSP	The individual assistance event provided for Georgia followed the official request addressed by Georgia in September 2013 to further support the update of the existing trade control legislation. The individual assistance event provided support in drafting secondary legislation which will accompany the recently adopted primary legislation. Important elements of that secondary legislation are the control lists and the assessment criteria.  The event was held in cooperation with the US EXBS programme and supported by experts from Germany, Poland and Sweden.

Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach
Staff exchange to Georgia  (Tbilisi, Georgia, 12 – 16 May 2014)	Staff exchange in implementation of Council Decision 2012/711/CFSP	The staff exchange to Georgia was the first of its kind implemented in the framework of the Council Decision 2012/711/CFSP. This activity followed the individual assistance and built on it, focusing on practical assistance directly at the working place. Working with the Common Military List (how to interpret the list, how to "read" the entries, how to assess the items, difference between dual-use list and military) was the focus of this outreach activity.  An EU expert from Germany supported this activity.
Regional workshop on arms and dual-use items export control for South East European Countries  (Tirana, Albania, 26 – 28 May 2014)	EU in implementation of Council Decision 2012/711/CFSP	Participating countries to the event were Albania, Bosnia and Herzegovina, the Former Yugoslav Republic of Macedonia, Montenegro and Serbia. The agenda of the regional workshop was divided into three sessions: application of the Common Position 2008/944/CFSP criteria and the obligation to exchange information, session on breakout groups and implementation and ratification of the Arms Trade Treaty. EU experts from Austria, Belgium Hungary, Luxemburg, Slovenia as well as from the University of Coventry (UK). The breakout groups were moderated by EU experts and discussed case studies focusing on issues related to free trade zones, end-user verification, exchange of denial information as well as a case study on transit and transshipment prepared by Albania. The two breakout groups were moderated in parallel and the outcome was presented to the plenary.

Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach
Study visit on arms export controls for Armenia, Belarus and Ukraine (Vienna, Austria, 14 – 18 July 2014)	EU and Austria in implementation of Council Decision 2012/711/CFSP	The study visit was hosted by the Austrian Federal Ministry for Europe, Integration and Foreign Affairs - and supported by officials from Austria, Bulgaria, Greece, Luxemburg and Romania.  The study visit was subdivided into eight sessions addressing a broad range of issues of arms export controls (arms export controls from the perspective of the Ministry of Foreign Affairs, Customs and Intelligence, inter-agency cooperation, challenges of controlling transit and transshipment, end-user and end-use assessment). This event was a mix between theory and practice and included case studies and practical examples. The case studies focused on transit and transshipment, legal requirements in the licensing application process and inter-agency cooperation.
Staff exchange to Georgia  (Tbilisi, Georgia,  08 – 12 September 2014)	Staff exchange in implementation of Council Decision 2012/711/CFSP	The staff exchange to Georgia was the second of its kind implemented in the framework of the Council Decision 2012/711/CFSP. This activity followed the staff exchange in May 2014 and built on it, continuing the focus on practical assistance directly at the working place. An EU expert from Germany supported this activity.

Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach
Individual Assistance to Armenia (Yerevan, Armenia,  07 – 08 October 2014) –  back-to-back with a workshop organized in the framework of the dualuse outreach program under the Instrument for Stability; second part of the individual assistance – on 14 – 15 of October 2014 – joint event with OSCE	Individual assistance workshop / legal review in implementation of Council Decision 2012/711/CFSP	The individual assistance event provided for Armenia, followed the official request addressed by Armenia in June 2014. The issue of inter-agency cooperation and the Armenian legal framework in the field of arms export controls were two main topics discussed in the framework of the COARM individual assistance event. The individual assistance event provided the opportunity to have in depth discussions with the representatives of the Armenian Ministry of Defence with regard to issues like the control lists, assessment criteria and transparency of the decision making process, definition of various terms, challenges in controlling brokering activities etc.  In order to secure the findings and the results of the discussions held in the framework of the individual assistance, an EU expert (Sweden) participated in the OSCE country visit to Armenia which took place in Yerevan, one week after the COARM event, namely on 14 and 15 of October 2014.
Regional workshop on arms export controls for Eastern European and Caucasian Partners of the ENP (Tbilisi, Georgia,  21 – 22 October 2014)  - back-to-back with two workshops organized in the framework with the dual-use outreach program under Instrument for Stability	EU in implementation of Council Decision 2012/711/CFSP	Participating countries to the regional event were Armenia, Azerbaijan, Belarus, Georgia, Republic of Moldova and Ukraine.  This regional workshop addressed policy, licensing and customs representatives and discussed the importance of trade controls as a "security instrument" (combating proliferation without hampering legitimate trade) and the licensing instruments used to ease the administrative burden (e.g. facilitating licensing procedures, exceptions, exemptions, open licences etc). The breakout groups' session afterwards offered the participants a dialogue platform to inter-act and to share experiences and best practices.  The breakout groups session on the case studies provided the participants the opportunity to discuss various assessment criteria based on three case studies (export, brokering and transit) as well as to identify legal and procedural solutions in trade control. The last session discussed the state of play of the Arms Trade Treaty.

Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach
Regional seminar on arms export controls for North African Mediterranean countries of the ENP (Algiers, Algeria, 28 – 29 November 2014)	EU in implementation of Council Decision 2012/711/CFSP	Participating countries to the event were Algeria, Egypt, Morocco and Tunisia.  This regional workshop aimed to discuss day-to-day challenges related to arms export controls with a focus on import and transit. Practical case studies allowed for in depth-discussions and sharing of experiences and the round table discussions offered to the participants a dialogue platform to inter-act and to share experiences and best practices.  The session on effective enforcement of trade controls introduced best practices in this area to the attendees, how preventive enforcement can be best implemented, how to establish a penal system based on effective, dissuasive and proportionate sanctions. The session on transparency offered the attendees an overview of the content of the EU Annual Report on arms exports as well as best practices in national arms export reports were shared.  Finally, the last session discussed the state of play of the Arms Trade Treaty.

Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach
Regional workshop on arms and on dual-use items export control for South East European Countries (Belgrade, Serbia,  05 – 06 November 2014) – back-to-back with the dual-use outreach program under Instrument for Stability (Industry Outreach and Regional Exchange of Information Forum on 4th of November 2014)	EU in implementation of Council Decision 2012/711/CFSP	Participating countries in this event were Albania, Bosnia and Herzegovina, the former Yugoslav Republic of Macedonia, Montenegro and Serbia. The event focused on brokering controls, transit / transshipment, end-use verification, implementation of the Common Military List, the assessment of the Common Position Criteria, and reporting requirements as stated in the Common Position. The workshop also covered the implementation and ratification of the Arms Trade Treaty. Participants also addressed various case studies in breakout groups, both on a national level and related to their respective expertise (licensing, policy and customs).
Individual Assistance to Serbia (Belgrade, Serbia –  on 7th of November 2014) –  back-to-back with the Regional workshop mentioned above	Individual assistance workshop / legal review in implementation of Council Decision 2012/711/CFSP	Representatives from the Serbian Ministry of Trade, Tourism and Telecommunications as well as the Ministry of Foreign Affairs presented their <i>Law on Exports and Imports of Arms and Military Equipment</i> , which was adopted in October 2014.
Individual Assistance to former Yugoslav Republic of Macedonia (Skopje, 27 – 28 November 2014)	Individual assistance workshop / legal review in implementation of Council Decision 2012/711/CFSP	Main objective of this meeting was to discuss the implementation and enforcement of the Law on Production and Trade in Arms and Military Equipment.  While focus was mainly on the law itself on the first part of the meeting, the second part was used to clarify any remaining questions and to discuss several case studies which the contact points from the former Yugoslav Republic of Macedonia had shared prior to the meeting. Furthermore, the event was also used to familiarise both the participants and the experts with the new outreach website ( <a href="https://export-control.jrc.ec.europa.eu/">https://export-control.jrc.ec.europa.eu/</a> ).

Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach
	PROMOTION OF T	HE ARMS TRADE TREATY
Seminar "Supporting the arms trade treaty negotiations: Options for discussions",  Geneva, 28 February–1 March 2013	EU in implementation of Council Decision 2013/43/CFSP	The seminar discussed concrete and specific text proposals for moving towards consensus on particular elements of the draft arms trade treaty based on the draft achieved by the July 2012 diplomatic conference. It accordingly paid specific attention to issues relating to the scope of items and activities to be controlled, the prohibition of certain arms transfers and national assessment criteria, the reporting required under the Treaty and its application together with other possibly relevant international agreements.  The seminar was intended to provide for an additional platform for discussion and exchange of view in order to facilitate forthcoming negotiations at the March 2013 final diplomatic conference.
Seminar "Implementing the arms trade treaty: next steps"  Geneva, 17-18 June 2013	EU in implementation of Council Decision 2013/43/CFSP	The seminar discussed concrete challenges relating to the entry into force of the ATT and ways to support its early entry into force and effective implementation. It paid specific attention to international assistance needed to support such an effective implementation. In this regard, the seminar enabled to take stock of the initiatives already envisioned in terms of international assistance and implementation support and to further approach their desirable coordination at all relevant levels (national, regional organizations, UN-related, NGO). The seminar also discussed lessons learned from already existing assistance activities in the field of strategic trade controls and the ways in which they could be built upon and utilized by ATT-related efforts.

Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach
Meeting of government and civil society experts on the ATT (EGAI 3)  Berlin, 1 – 2 July 2014	Germany in cooperation with NGO Saferworld	Assistance towards the fulfilment of the ATT's objectives and purpose by encouraging consistent and robust interpretation and application of the ATT's provisions among a wide group of early signatory and ratifying states, thereby helping to enhance the effectiveness of the ATT over time.
Regional Seminar to Support the Implementation of the Arms Trade Treaty for Latin America and the Carribbean,  Bogota, Colombia, 18 – 19 November 2014	EU in implementation of Council Decision 2013/768/CFSP	The workshop enabled participants to share experiences made with regard to ATT ratification and implementation. Another key objective of this regional seminar was to identify linkages between the EU ATT Outreach Project (ATT-OP) and assistance provided by regional organisations. Participants with a variety of backgrounds (diplomatic, military/defence, technical and law enforcement) as well as representatives of international and regional organisations, NGOs and the industry were invited. Furthermore, this seminar provided the opportunity to involve regional networks and organisations in promoting the ATT.
Second Round of Informal Consultations for the First Conference of States Parties to the ATT  Berlin, 27-28 November 2014.	Germany	Working meeting with the goal of further advancing the preparatory process in key areas and taking first decisions: The signatories and States Parties agreed among other things on the schedule of the further preparatory process, on the Provisional Secretariat to be taken over by Mexico and on retaining an inclusive approach in the preparatory process.

Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach
Initial visit to Colombia,  Bogota, 20 – 21 November 2014	Initial visit in implementation Council Decision 2013/768/CFSP	Following the official request of the authorities of Colombia for assistance with regard to implementation of the ATT, an initial visit took place in Bogota on 20 and 21 November 2014, organized as back-to-back with the Regional Seminar from 18 – 19 November 2014. The aim of this initial visit was to identify and clarify the needs of Colombia with regard to the effective implementation of the ATT. Specific discussions and meetings were held with main Columbian stakeholders.