Council of the European Union

Brussels, 21 January 2019
(OR. en)

5542/19

Interinstitutional File:
2019/0005(NLE)

RECH 42
COEST 9

PROPOSAL

From:	Secretary-General of the European Commission, signed by Mr Jordi AYET PUIGARNAU, Director
date of receipt:	18 January 2019
To:	Mr Jeppe TRANHOLM-MIKKELSEN, Secretary-General of the Council of the European Union
No. Cion doc.:	COM(2019) 7 final
Subject:	Proposal for a COUNCIL DECISION on the renewal of the Agreement on cooperation in science and technology between the European Community and the Government of the Russian Federation

Delegations will find attached document COM(2019) 7 final.

Encl.: COM(2019) 7 final

EUROPEAN
COMMISSION

Brussels, 18.1.2019
COM(2019) 7 final

2019/0005 (NLE)

Proposal for a

COUNCIL DECISION

on the renewal of the Agreement on cooperation in science and technology between the European Community and the Government of the Russian Federation

EXPLANATORY MEMORANDUM

1. CONTEXT OF THE PROPOSAL

• Reasons for and objectives of the proposal

The 'Agreement on cooperation in science and technology between the European Community and the Government of the Russian Federation'[1] ('the Agreement') was signed in Brussels on 16 November 2000 and entered into force on 10 May 2001. Since then and in accordance with its Article 12, the Agreement has been renewed three times in 2004[2], 2009[3] and 2014[4] for an additional period of 5 years each time.

The current Agreement is due to expire on 20 February 2019.

It is in the interest of the EU to renew this Agreement in order to continue to facilitate cooperation with the Russian Federation in common S&T priority areas leading to benefits for both Parties.

Russia is the most important Science, Technology & Innovation (STI) actor in the neighbourhood of the EU that is not associated to Horizon 2020. Cooperation in STI between the EU and Russia has traditionally been very active, notably in the fields of research infrastructures, transport and environment. However, while in FP7 Russia was the most active non-associated third-country participant, in Horizon 2020 the level of collaboration is far below its potential. To a large degree this is due to the change of funding rules in Horizon 2020, whereby legal entities from Russia (together with Brazil, India, China and Mexico) are not anymore automatically eligible for funding from the EU, but also to the political circumstances.

Among all non-associated countries, Russia currently ranks 6th in terms of participations in collaborative projects of Horizon 2020. Moreover, the Horizon 2020 Work Programme 2018-2020 aims to intensify cooperation with Russia on topics of mutual interest and common benefit. In particular, apart from the general openness of Horizon 2020 to entities from all over the world including Russia, there are two major flagship bilateral cooperation initiatives with Russia, in research infrastructures and health, and one flagship multilateral cooperation initiative on aeronautics that targets also Russia.

The renewal of the Agreement fits within the EU's approach based on the five guiding principles to manage the EU's current relations with Russia, agreed upon at the EU Foreign Affairs Council on 14 March 2016 and confirmed at the EU Foreign Affairs Council on 16 April 2018. Science diplomacy can be a powerful tool in our relations with Russia as it provides a positive cooperation agenda and is a source of 'soft power' that can be particularly instrumental in catalysing and opening channels of communication and building trust between our societies. The Agreement provides a framework to enhance people-to-people contacts and STI cooperation in areas of EU strategic interest in the years to come, countering the trend of decreasing intensity of scientific collaboration between EU and Russian participants. For these reasons it is useful to renew the Agreement for the next five-year period.

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1 OJ L299, 28.11.2000, p.15.
2 Council Decision 2003/798/EC (OJ L 229, 18.11.2003, p. 20).
3 Council Decision 2009/313/EC (OJ L 92, 04.04.2009, p. 3).
4 Council Decision 2014/50/EU (OJ L 32, 01.02.2014, p. 1).

At the EU-Russia Joint Science and Technology Cooperation Committee meeting established by the Agreement, held in Moscow on 28 September 2018, both Parties took note of their intention to renew the Agreement for another five-year period, without any amendment recognising the progress made to enhance EU-Russia cooperation in research and innovation.

The substance of the renewed Agreement will be identical to that of the current Agreement as discussed and agreed with the Russian counterparts. It will not create new rights and obligations for the EU, but instead it will extend in time the legal regime already existing between the Parties in the field of S&T cooperation.

- **Consistency with existing policy provisions in the policy area**

This initiative is fully in line with the EU's international cooperation strategy for research & innovation[5], whereby Russia is a strategic partner of the EU in research and innovation. The EU's strategy clearly states the importance of science & technology agreements as vehicles for defining and implementing multiannual roadmaps for cooperation with non-EU countries. The Agreement is also a means of implementing the EU's international cooperation strategy for research and innovation, which calls for more internationalisation and openness in the EU's research & innovation landscape.

- **Consistency with other Union policies**

The EU's global strategy for the EU's foreign and security policy confirms that research cooperation is an important aspect of EU foreign policy and sees research cooperation as an essential element of stronger socioeconomic ties.

The initiative is consistent with the principles guiding current EU-Russia relations, as agreed upon at the EU Foreign Affairs Council on 14 March 2016, and confirmed at the EU Foreign Affairs Council on 16 April 2018.

The Commission will ensure the compliance of the implementation of this renewed Agreement with EU restrictive measures.

2. LEGAL BASIS, SUBSIDIARITY AND PROPORTIONALITY

- **Legal basis**

The EU's power to act internationally in research and technological development is based on Article 186 TFEU. The procedural legal basis for the proposal is point (a)(v) of the second subparagraph of Article 218(6) TFEU.

- **Subsidiarity (for non-exclusive competence)**

The EU and its Members States have parallel competence in the area of research and technological development in accordance with Article 4(3) TFEU. Therefore EU's action cannot be replaced by action of Member States.

[5] *Enhancing and focusing EU international cooperation in research and innovation: a strategic approach*, COM(2012) 497.

3. RESULTS OF EX-POST EVALUATIONS, STAKEHOLDER CONSULTATIONS AND IMPACT ASSESSMENTS

- **Regulatory fitness and simplification**

This initiative is not part of the REFIT agenda.

4. BUDGETARY IMPLICATIONS

Only human and administrative resources are required; these are set out in the legislative financial statement.

In the light of the above considerations, the Commission requests that the Council:

- approves, on behalf of the Union, and with the consent of the European Parliament, the renewal of the 'Agreement on cooperation in science and technology between the European Community and the Government of the Russian Federation', for an additional period of five years (i.e., from 20.02.2019 until 19/02/2024)

- authorises the President of the Council to designate the person(s) empowered to notify the Government of the Russian Federation that the Union has completed the internal procedures necessary for the entry into force of this renewed Agreement

2019/0005 (NLE)

Proposal for a

COUNCIL DECISION

on the renewal of the Agreement on cooperation in science and technology between the European Community and the Government of the Russian Federation

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 186, in conjunction with Article 218(6)(a) thereof,

Having regard to the proposal from the European Commission,

Having regard to the consent of the European Parliament,

Whereas:

(1) By Decision 2000/742/EC[6], the Council approved the conclusion of the Agreement on cooperation in science and technology between the European Community and the Governemnt of the Russian Federation (the 'Agreement'). The Agreement was signed in Brussels on 16 November 2000 and entered into force on 10 May 2001.

(2) In accordance with point (b) of Article 12 of the Agreement, the Agreement –initially concluded until 31 December 2002- is renewable by common agreement between the Parties for additional periods of five years.

(3) By Decisions 2003/798/EC[7], 2009/313/EC[8] and 2014/50/EU[9] the Council approved the renewal of the Agreement for an additional period of five years each time. The current Agreement is due to expire on 20 February 2019.

(4) Both Parties confirmed their intention to renew the Agreement for an additional period of five years without any amendments to the Agreement.

(5) Activities under the Agreement shall respect strictly the conditions and procedures provided in the restrictive measures adopted under Article 215 TFEU[10].

(6) The renewal of the Agreement should be approved on behalf of the European Union,

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6 Council Decision 2000/742/EC of 16 November 2000 concerning the conclusion of the Agreement on cooperation in science and technology between the European Community and the Government of the Russian Federation (OJ L 299, 28.11.2000, p. 14).

7 Council Decision 2003/798/EC of 5 June 2003 concerning the conclusion of an Agreement aimed at renewing the Agreement on cooperation in science and technology between the European Community and the Government of the Russian Federation (OJ L 229, 18.11.2003, p. 20).

8 Council Decision 2009/313/EC of 30 March 2009 concerning the renewal of the Agreement on cooperation in science and technology between the European Community and the Government of the Russian Federation (OJ L 92, 04.04.2009, p. 3).

9 Council Decision 2014/50/EU of 20 January 2014 concerning the renewal of the Agreement on cooperation in science and technology between the European Community and the Government of the Russian Federation (OJ L 32, 01.02.2014, p. 1).

10 www.sanctionsmap.eu. It is noted that the sanctions map is an IT tool that lists the restrictive measures regimes. The restrictive measures are established in legal acts published in the OJ. In case of divergences, the OJ prevails.

HAS ADOPTED THIS DECISION:

Article 1

The renewal of the Agreement on cooperation in science and technology between the European Community and the Government of the Russian Federation, for an additional period of five years, is hereby approved on behalf of the Union.

Article 2

The President of the Council shall designate the person(s) empowered to notify the Government of the Russian Federation, on behalf of the Union, that the Union has completed its internal procedures necessary for the renewal of the Agreement in accordance with point (b) of Article 12 of the Agreement.

Article 3

This Decision shall enter into force on the day of its adoption.

Done at Brussels,

For the Council
The President

LEGISLATIVE FINANCIAL STATEMENT

1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

1.1. Title of the proposal/initiative

1.2. Policy area(s) concerned in the ABM/ABB structure

1.3. Nature of the proposal/initiative

1.4. Objective(s)

1.5. Grounds for the proposal/initiative

1.6. Duration and financial impact

1.7. Management mode(s) planned

2. MANAGEMENT MEASURES

2.1. Monitoring and reporting rules

2.2. Management and control system

2.3. Measures to prevent fraud and irregularities

3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected

3.2. Estimated impact on expenditure

3.2.1. Summary of estimated impact on expenditure

3.2.2. Estimated impact on operational appropriations

3.2.3. Estimated impact on appropriations of an administrative nature

3.2.4. Compatibility with the current multiannual financial framework

3.2.5. Third-party contributions

3.3. Estimated impact on revenue

LEGISLATIVE FINANCIAL STATEMENT

1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

1.1. Title of the proposal/initiative

Proposal for a Council Decision on the renewal of the Agreement on cooperation in science and technology between the European Community and the Government of the Russian Federation

1.2. Policy area(s) concerned in the ABM/ABB structure[11]

Policy strategy and coordination of, in particular, the Directorates-General[12] RTD, AGRI, CLIMA, JRC, EAC, ENER, GROW, CNECT, MARE and MOVE.

1.3. Nature of the proposal/initiative

☐ The proposal/initiative relates to **a new action**

☐ The proposal/initiative relates to **a new action following a pilot project/preparatory action**

☑ The proposal/initiative relates to **the extension of an existing action**

☐The proposal/initiative relates to **an action redirected towards a new action**

1.4. Objective(s)

1.4.1. The Commission's multiannual strategic objective(s) targeted by the proposal/initiative

The present initiative will allow both Parties to improve and intensify their cooperation in scientific and technological areas of common interest.

1.4.2. Specific objective(s) and ABM/ABB activity(ies) concerned

Specific objective No 4.1

This decision will allow both Parties to enhance the cooperation and develop a more strategic partnership by increasing the scale and scope of existing cooperation, addressing global challenges by promoting reciprocal access to programmes and funding.

ABM/ABB activity(ies) concerned

[11] ABM: activity-based management; ABB: activity-based budgeting.

[12] Compared to Horizon 2020, DG CLIMA and DG MARE have been added, based on the Commssion Proposal for the next Multiannual Financial Framework (Horizon Europe), still to be adopted.

1.4.3. Expected result(s) and impact

Specify the effects which the proposal/initiative should have on the beneficiaries/groups targeted.

This decision will allow both the Union and Russia to derive mutual benefit from the scientific and technical progress achieved through ongoing cooperation activities. It will allow for exchange of specific knowledge and transfer of know-how to the benefit of the scientific community, industry and citizens of both Parties.

1.4.4. Indicators of results and impact

Specify the indicators for monitoring implementation of the proposal/initiative.

The Commission will regularly monitor all actions carried out under the Agreement, including cooperation activities. This evaluation will cover, among others, the following points:

(a) cooperation indicators — analysis of the number and type of participation of Russian entities in EU funded programmes (e.g. number of proposals, number of signed grant agreements, main collaboration links, main thematic; generated output) and vice-versa (whenever the data is available);

(b) performance indicators — success rate of Russian entities participating in the EU framework programmes compared to other non-EU countries and to Member States/Associated countries to a Research Framework Programme; analysis of the quality of the participation (e.g. number of best ranked universities taking part on the programme, number of patents and publications coming from collaborative projects);

(c) data collection regarding cooperation activities and links that go beyond the respective research funding programmes as well as assessment of the impact of these activities, like participation in multilateral initiatives and working groups.

1.5. Grounds for the proposal/initiative

1.5.1. Requirement(s) to be met in the short or long term

This decision will allow the two Parties to continue to improve and intensify their cooperation in scientific and technological areas for mutual benefit.

1.5.2. Added value of EU involvement

Cooperation in research & innovation between the EU and the Russian Federation has been intensifying steadily in the past years. The involvement of the EU allows for activities with larger scale and scope for the benefit of all Member States. The renewal of this Agreement will allow the EU to have easier access to scientific knowledge produced in the Russian Federation and to engage in more cooperation activities leading to additional exchange of knowledge and technologies.

1.5.3. Lessons learned from similar experiences in the past

Based on the experience so far in scientific and technological cooperation, it is considered mutually beneficial to continue research cooperation with the Russian Federation, as a strategic partner of the EU in research and innovation.

1.5.4. Compatibility and possible synergy with other appropriate instruments

The renewal of the Agreement with the Russian Federation is considered to be fully consistent with the overall policy framework on international cooperation in research and innovation (COM(2012)497).

1.6. Duration and financial impact

☑ Proposal/initiative of **limited duration**

– ☑ Proposal/initiative in effect from 20/02/2019 to 19/02/2024

– ☑ Financial impact from 20/02/2019 to 19/02/2024

☐ Proposal/initiative of **unlimited duration**

– Implementation with a start-up period from YYYY to YYYY,

– followed by full-scale operation.

1.7. Management mode(s) planned[13]

☑ **Direct management** by the Commission

– ☐ by its departments, including by its staff in the Union delegations;

– ☐ by the executive agencies

☐ **Shared management** with the Member States

☐ **Indirect management** by entrusting budget implementation tasks to:

– ☐ third countries or the bodies they have designated;

– ☐ international organisations and their agencies (to be specified);

– ☐the EIB and the European Investment Fund;

– ☐ bodies referred to in Articles 208 and 209 of the Financial Regulation;

– ☐ public law bodies;

– ☐ bodies governed by private law with a public service mission to the extent that they provide adequate financial guarantees;

– ☐ bodies governed by the private law of a Member State that are entrusted with the implementation of a public-private partnership and that provide adequate financial guarantees;

– ☐ persons entrusted with the implementation of specific actions in the CFSP pursuant to Title V of the TEU, and identified in the relevant basic act.

– *If more than one management mode is indicated, please provide details in the 'Comments' section.*

Comments

[13] Details of management modes and references to the Financial Regulation may be found on the BudgWeb site: http://www.cc.cec/budg/man/budgmanag/budgmanag_en.html

2. MANAGEMENT MEASURES

2.1. Monitoring and reporting rules

Specify frequency and conditions.

Participation of Russian entities in the Framework Programme for Research and Innovation and other cooperative activities under the Agreement will be regularly followed through meetings of the Joint Committee established under Art. 6 letter (a) of the Agreement.

2.2. Management and control system

2.2.1. Risk(s) identified

Meetings and bilateral contacts take place on a regular basis allowing for the systematic sharing of information and control. No risks have been identified in the control system.

2.2.2. Information concerning the internal control system set up

N/A

2.2.3. Estimate of the costs and benefits of the controls and assessment of the expected level of risk of error

N/A

2.3. Measures to prevent fraud and irregularities

Specify existing or envisaged prevention and protection measures.

When the implementation of the Framework Programme calls for the use of external contractors or entails granting financial contribution to third parties, the Commission will carry out, where appropriate, financial audits, in particular if it has reason to doubt the realistic nature of work performed or described in the activity reports. The Union's financial audits will be carried out either by its own staff or by accounting experts approved according to the law of the audited party. The Union will choose the latter freely, while avoiding any risks of conflicts of interest which might be indicated to it by the party subject to the audit. In addition, the Commission will make sure, in carrying out the research activities, that the financial interests of the Union are protected by effective checks and, where irregularities are detected, by deterrent and proportionate measures and penalties. In order to achieve this aim, rules on checks, measures and penalties, with reference to Regulations No 2988/95, No 2185/96 and No 883/2013 will be incorporated in all contracts used in the implementation of the framework programme. In particular, the following points will have to be provided for in the contracts: - the insertion of specific clauses in the contracts to protect the financial interests of the EU in carrying out checks and controls in relation to the work performed; - the carrying out of administrative checks as part of anti-fraud measures, in accordance with Regulations No 2185/96, No 1073/1999 and No 1074/1999;

- the application of administrative penalties for all intentional or negligent irregularities in the implementation of the contracts, in accordance with the Framework Regulation No 2988/95, including a blacklisting mechanism;

- the fact that any recovery orders in the event of irregularities and fraud must be enforceable according to Article 299 of the Treaty on the Functioning of the European Union.

In addition and as a routine measure, a programme of checks on scientific and budgetary aspects of cooperation will be carried out by the staff responsible in the Directorate – General for Research and Innovation (DG RTD). An internal audit will be carried out by the Internal Audit unit of DG RTD, and local inspections will be carried out by the European Court of Auditors.

3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected

- Existing budget lines[14]

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Heading 1a – Competitiveness for growth and jobs	Diff./Non-diff.[15]	from EFTA countries [16]	from candidate countries[17]	from third countries	within the meaning of Article 21(2)(b) of the Financial Regulation
1a	**08.01.05.01**	Non-diff.	YES	YES	NO	NO
1a	**08.01.05.03**	Non-diff.	YES	YES	NO	NO

- New budget lines requested

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Number [Heading……]	Diff./Non-diff.	from EFTA countries	from candidate countries	from third countries	within the meaning of Article 21(2)(b) of the Financial Regulation
	[XX.YY.YY.YY]		YES/NO	YES/NO	YES/NO	YES/NO

[14] Appropriations for the period 2021-2024 (Multiannual Financial Framework 2021-2027) and related legal basis are still to be adopted by the European Parliament and Council and the DB 2019 is yet to be approved by the budget authority.

[15] Diff. = Differentiated appropriations / Non-diff. = Non-differentiated appropriations.

[16] EFTA: European Free Trade Association.

[17] Candidate countries and, where applicable, potential candidate countries from the Western Balkans.

3.2. Estimated impact on expenditure

3.2.1. Summary of estimated impact on expenditure

EUR million (to three decimal places)

Heading of multiannual financial framework	1a	'Competetiveness for growth and jobs'

DG: RTD			Year **2019**[18]	Year **2020**	Year **2021**	Year **2022**	Year **2023**	Year **2024**	**TOTAL**
• Operational appropriations									
Number of budget line: **08.01.05.01**	Commitments	(1)	**0.050**	**0.060**	**0.060**	**0.060**	**0.060**	**0.010**	**0.300**
	Payments	(2)	**0.050**	**0.060**	**0.060**	**0.060**	**0.060**	**0.010**	**0.300**
Number of budget line	Commitments	(1a)							
	Payments	(2a)							
Appropriations of an administrative nature financed from the envelope of specific programmes[19]									
Number of budget line: **08.01.05.03**		(3)	**0.010**	**0.012**	**0.012**	**0.012**	**0.012**	**0.002**	**0.060**
TOTAL appropriations for DG RTD	Commitments	=1+1a +3	**0.060**	**0.072**	**0.072**	**0.072**	**0.072**	**0.012**	**0.360**
	Payments	=2+2a +3	**0.060**	**0.072**	**0.072**	**0.072**	**0.072**	**0.012**	**0.360**

[18] Year 2019 is the year in which implementation of the proposal/initiative starts. Amounts for 2019 and 2024 correspond to ten months and two months respectively.

[19] Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

• TOTAL operational appropriations	Commitments	(4)	**0.050**	**0.060**	**0.060**	**0.060**	**0.060**	**0.010**	**0.300**
	Payments	(5)	**0.050**	**0.060**	**0.060**	**0.060**	**0.060**	**0.010**	**0.300**
• TOTAL appropriations of an administrative nature financed from the envelope for specific programmes		(6)	**0.010**	**0.012**	**0.012**	**0.012**	**0.012**	**0.002**	**0.060**
TOTAL appropriations under HEADING <1a> of the multiannual financial framework	Commitments	=4+ 6	**0.06**	**0.072**	**0.072**	**0.072**	**0.072**	**0.012**	**0.360**
	Payments	=5+ 6	**0.06**	**0.072**	**0.072**	**0.072**	**0.072**	**0.012**	**0.360**

If more than one heading is affected by the proposal / initiative:

• TOTAL operational appropriations	Commitments	(4)								
	Payments	(5)								
• TOTAL appropriations of an administrative nature financed from the envelope for specific programmes		(6)								
TOTAL appropriations under HEADINGS 1 to 4 of the multiannual financial framework (Reference amount)	Commitments	=4+ 6								
	Payments	=5+ 6								

Heading of multiannual financial framework	5	'Administrative expenditure'

EUR million (to three decimal places)

		Year **2019**	Year **2020**	Year **2021**	Year **2022**	Year **2023**	Year **2024**	**TOTAL**
DG: RTD								
• Human resources								
• Other administrative expenditure								
TOTAL DG RTD	Appropriations							

TOTAL appropriations under HEADING 5 of the multiannual financial framework	(Total commitments = Total payments)							

EUR million (to three decimal places)

		Year **2019**[20]	Year **2020**	Year **2021**	Year **2022**	Year **2023**	Year **2024**	**TOTAL**
TOTAL appropriations under HEADINGS 1 to 5 of the multiannual financial framework	Commitments	**0.06**	**0.072**	**0.072**	**0.072**	**0.072**	**0.012**	**0.360**
	Payments	**0.06**	**0.072**	**0.072**	**0.072**	**0.072**	**0.012**	**0.360**

[20] Year 2019 is the year in which implementation of the proposal/initiative starts. Amounts for 2019 and 2024 correspond to ten months and two months respectively.

3.2.2. Estimated impact on operational appropriations

– ☑ The proposal/initiative does not require the use of operational appropriations

Commitment appropriations in EUR million (to three decimal places)

Indicate objectives and outputs ⇩			Year **N**		Year **N+1**		Year **N+2**		Year **N+3**		Enter as many years as necessary to show the duration of the impact (see point 1.6)						**TOTAL**	
			OUTPUTS															
	Type[21]	Average cost	No	Cost	No	Cost	No	Cost	No	Cost	No	Cost	No	Cost	No	Cost	Total No	Total cost
SPECIFIC OBJECTIVE No 1[22]…																		
- Output																		
- Output																		
- Output																		
Subtotal for specific objective No 1																		
SPECIFIC OBJECTIVE No 2 ...																		
- Output																		
Subtotal for specific objective No 2																		
TOTAL COST																		

21 Outputs are products and services to be supplied (e.g.: number of student exchanges financed, number of km of roads built, etc.).

22 As described in point 1.4.2. 'Specific objective(s)…'

3.2.3. Estimated impact on appropriations of an administrative nature

3.2.3.1. Summary

– ☐ The proposal/initiative does not require the use of appropriations of an administrative nature

– ☑ The proposal/initiative requires the use of appropriations of an administrative nature, as explained below:

EUR million (to three decimal places)

	Year **2019**[23]	Year **2020**	Year **2021**	Year **2022**	Year **2023**	Year **2024**	**TOTAL**
HEADING 5 of the multiannual financial framework							
Human resources							
Other administrative expenditure							
Subtotal HEADING 5 of the multiannual financial framework							
Outside HEADING 5[24] **of the multiannual financial framework**							
Human resources	**0.050**	**0.060**	**0.060**	**0.060**	**0.060**	**0.010**	**0.300**
Other expenditure of an administrative nature	**0.010**	**0.012**	**0.012**	**0.012**	**0.012**	**0.002**	**0.060**
Subtotal outside HEADING 5 of the multiannual financial framework	**0.060**	**0.072**	**0.072**	**0.072**	**0.072**	**0.012**	**0.360**
TOTAL	**0.060**	**0.072**	**0.072**	**0.072**	**0.072**	**0.012**	**0.360**

The appropriations required for human resources and other expenditure of an administrative nature will be met by appropriations from the DG that are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

[23] Year 2019 is the year in which implementation of the proposal/initiative starts. Amounts for 2019 and 2024 correspond to ten months and two months respectively.

[24] Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

3.2.3.2. Estimated requirements of human resources

– ☐ The proposal/initiative does not require the use of human resources.

– ☑ The proposal/initiative requires the use of human resources, as explained below:

Estimate to be expressed in full time equivalent units

		Year **2019**	Year **2020**	Year **2021**	Year **2022**	Year **2023**	Year **2024**
• Establishment plan posts (officials and temporary staff)							
XX 01 01 01 (Headquarters and Commission's Representation Offices)							
XX 01 01 02 (Delegations)							
08 01 05 01 (Indirect research)		0.4	0.5	0.5	0.5	0.5	0.1
10 01 05 01 (Direct research)							
• External staff (in Full Time Equivalent unit: FTE)[25]							
XX 01 02 01 (AC, END, INT from the 'global envelope')							
XX 01 02 02 (AC, AL, END, INT and JED in the delegations)							
XX 01 04 **yy** [26]	- at Headquarters						
	- in Delegations						
XX 01 05 02 (AC, END, INT – Indirect research)							
10 01 05 02 (AC, END, INT – Direct research)							
Other budget lines (specify)							
TOTAL		0.4	0.5	0.5	0.5	0.5	0.1

XX is the policy area or budget title concerned.

The human resources required will be met by staff from the DG who are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

Description of tasks to be carried out:

Officials and temporary staff	Preparation and management of meetings of the Joint Consultative Group established under Art. 6(b) of the Agreement, as well as follow –up of the functioning and implementation of the Agreement. Calculations are done proportionally considering the duration of the Agreement.
External staff	

[25] AC= Contract Staff; AL = Local Staff; END= Seconded National Expert; INT = agency staff; JED= Junior Experts in Delegations.

[26] Sub-ceiling for external staff covered by operational appropriations (former 'BA' lines).

3.2.4. Compatibility with the current multiannual financial framework

– ☑ The proposal/initiative is compatible the current multiannual financial framework.

Multiannual Financial Framework 2021-2027 is still to be adopted by the European Parliament and Council.

3.2.5. Third-party contributions

– ☑ The proposal/initiative does not provide for co-financing by third parties.

Appropriations in EUR million (to three decimal places)

	Year **N**	Year **N+1**	Year **N+2**	Year **N+3**	Enter as many years as necessary to show the duration of the impact (see point 1.6)			Total
Specify the co-financing body								
TOTAL appropriations co-financed								

3.3. Estimated impact on revenue

– ☑ The proposal/initiative has no financial impact on revenue.

EUR million (to three decimal places)

Budget revenue line:	Appropriations available for the current financial year	Impact of the proposal/initiative						
		Year **N**	Year **N+1**	Year **N+2**	Year **N+3**	Enter as many years as necessary to show the duration of the impact (see point 1.6)		
Article …….								

For miscellaneous 'assigned' revenue, specify the budget expenditure line(s) affected.

Specify the method for calculating the impact on revenue.