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PROPOSAL

From:	Secretary-General of the European Commission, signed by Mr Jordi AYET PUIGARNAU, Director
date of receipt:	15 January 2020
To:	Mr Jeppe TRANHOLM-MIKKELSEN, Secretary-General of the Council of the European Union
No. prev. doc.:	9511/18 ADD 1 - COM(2018) 375 final
No. Cion doc.:	COM(2020) 23 final - ANNEX
Subject:	ANNEX to the Amended Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL laying down common provisions on the European Regional Development Fund, the European Social Fund Plus, the Cohesion Fund, the Just Transition Fund and the European Maritime and Fisheries Fund and financial rules for those and for the Asylum and Migration Fund, the Internal Security Fund and the Border Management and Visa Instrument

Delegations will find attached document COM(2020) 23 final - ANNEX.

Encl.: COM(2020) 23 final - ANNEX

EUROPEAN
COMMISSION

Brussels, 14.1.2020
COM(2020) 23 final

ANNEX

ANNEX

to the

Amended Proposal for a

REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

laying down common provisions on the European Regional Development Fund, the European Social Fund Plus, the Cohesion Fund, the Just Transition Fund and the European Maritime and Fisheries Fund and financial rules for those and for the Asylum and Migration Fund, the Internal Security Fund and the Border Management and Visa Instrument

ANNEX

Annexes I, II, V and VII to Commission proposal COM(2018) 375 are amended as follows:

ANNEX I

(1) The title is replaced by the following:

> "Dimensions and codes for the types of intervention for the ERDF, the ESF+, the Cohesion Fund and the JTF - Article 17(5)"

(2) The following footnote is added to the title of Table 1:

> "* For the specific objective "enabling regions and people to address the social, economic and environmental impacts of the transition towards a climate neutral economy" supported by the JTF, intervention fields under any policy objectives may be used, provided they are consistent with Article [4] and [5] of Regulation (EU) [new JTF Regulation] and are in accordance with the relevant territorial just transition plan. For this specific objective, the coefficient for the calculation of support to climate change objectives is set at 100% for all intervention fields used."

ANNEX II

(1) Point 1 is replaced by the following:

"1. Selection of policy objectives and the JTF specific objective, if applicable

Reference: Article 8(a), CPR, Article 3 of the AMIF, the ISF, the BMVI Regulations

Table 1: Selection of policy objective (and JTF specific objective) with justification

Selected objective	**Programme**	**Fund**		**Justification for selection of a policy objective (or JTF specific objective)**
				[3 500 per objective]

"

(2) Point 5 is replaced by the following:

"5. Preliminary financial allocation by policy objective and JTF specific objective

Reference: Article 8(c) CPR

Table 4: Preliminary financial allocation from ERDF, ESF+, JTF, CF and EMFF by policy objective*

Policy/JTF specific objective	ERDF	ESF+	JTF	Cohesion Fund	EMFF	Total
Policy objective 1						
Policy objective 2						
Policy objective 3						
Policy objective 4						
Policy objective 5						
JTF specific objective**						
Technical assistance						
Allocation for 2026-2027						
Total						

*Policy objectives and the JTF specific objective according to Article 4(1), CPR. For ERDF, ESF+, CF and the JTF for years 2021-2025; for EMFF for 2021-2027.

**Include in this row the envisaged ERDF and ESF+ complementary support to be transferred to the JTF."

(3) Under point 6, Table 6 is replaced as follows:

"**Table 6. List of programmes with preliminary financial allocations***

Title [255]	Fund	Category of regions	EU contribution	National contribution**	Total
Programme 1	ERDF	More developed			
		Transition			
		Less developed			
		Outermost and northern sparsely populated			
Programme 1	CF				
Programme 1	ESF+	More developed			
		Transition			
		Less developed			
		Outermost and northern sparsely populated			
Programme 1	JTF – allocation***	More developed			
		Transition			
		Less developed			
Programme 1	JTF – ESF+ complementary support to be transferred to the JTF	More developed			
		Transition			
		Less developed			
Programme 1	JTF - ERDF complementary support to be transferred to the JTF	More developed			
		Transition			
		Less developed			
Total	ERDF, CF, ESF+, JTF				
Programme 2	EMFF				
Programme 3	AMIF				
Programme 4	ISF				
Programme 5	BMVI				
Total	All funds				

*Policy objectives and the JTF specific objective according to Article 4(1), CPR. For ERDF, ESF+, CF and the JTF for years 2021-2025; for EMFF for 2021-2027.

**In line with Article 106(2) on determination of co-financing rates.

*** The envisaged preliminary JTF allocation – separately indicating the initial JTF allocation and the complementary ESF+ and ERDF support for the concerned category of regions, if already known."

ANNEX V

(1) The title and the first table are replaced as follows:

"**Template for programmes supported from the ERDF (Investment for Jobs and growth goal), ESF+, the JTF, the Cohesion Fund and the EMFF – Article 16(3)**

CCI	
Title in EN	[255 characters*]
Title in national language(s)	[255]
Version	
First year	[4]
Last year	[4]
Eligible from	
Eligible until	
Commission decision number	
Commission decision date	
Member State amending decision number	
Member State amending decision entry into force date	
Non substantial transfer (art. 19.5)	Yes/No
NUTS regions covered by the programme (not applicable to the EMFF)	
Fund concerned	☐ ERDF
	☐ Cohesion Fund
	☐ ESF+
	☐ JTF
	☐ EMFF

* Numbers in square brackets refer to number of characters."

(2) Under point 2, Table 1 T is replaced as follows:

"

ID	Title [300]	TA	Basis for calculation	Fund	Category of region supported	Specific Objective selected
1	Priority 1	No		ERDF	More developed	SO 1
					Transition	
					Less developed	SO 2
					Outermost and northern sparsely populated	
					More developed	SO 3
2	Priority 2	No		ESF+	More developed	SO 4

					Transition	
					Less developed	SO 5
					Outermost and northern sparsely populated	
3	Priority 3	No		CF	N/A	SO 6
4	Priority 4	No		JTF*	More developed	SO JTF
					Transition	
					Less developed	
5	Priority technical assistance	Yes				NA
..	Dedicated priority youth employment)	No		ESF+		
..	Dedicated priority CSRs	No		ESF+		
..	Dedicated priority Innovative actions	No		ESF+		SO 8
	Dedicated priority Material deprivation	No		ESF+		SO 9

* This includes the JTF allocation and the complementary support transferred from the ERDF and the ESF+.”

(3) Under point 3.A, Table 15 is replaced as follows:

“

	Category of regions	Window 1 (a)	Window 2 (b)	Window 3 (c)	Window 4 (d)	Window 5 (e)	Amount (f)=(a)+(b)+(c)+(d)+(e))
ERDF	More developed						
	Less developed						
	Transition						
	Outermost and northern sparsely populated						
ESF+	More developed						
	Less developed						
	Transition						
	Outermost and northern sparsely populated						
JTF*	More developed						
	Less developed						
	Transition						
CF							
EMFF							
Total							

* Initial JTF allocation (without complementary resources transferred) within the limits set in Article 21.”

(4) Under point 3.A, a new Table 18 is inserted:

"Table 18: Initial JTF allocation to the programme[1]

Reference: Article 21 a

	Initial JTF allocation to the programme* per category of region		
	More developed	**Transition**	**Less developed**
Initial JTF allocation in the programme*			

***** Programme with the JTF allocation.

[1] When JTF is the 'fund concerned' (basic data on the programme, p.1 of programme template)."

(5) Under point 3.A, a new ticking box is inserted, after Table 18:

"Obligatory transfer of ERDF and ESF+ resources as complementary support to the Just Transition Fund[2]

Transfer to JTF	☐ concerns internal transfers within the programme with JTF allocation (Table 18A)
	☐ concerns transfers from other programmes to the programme with JTF allocation (Table 18B)
	☐ N/A (ie no support from JTF to the programme)

[2] In case a programme supported by the JTF receives complementary support (cf Article 21a) within the programme and from other programmes both Tables 18A and 18B need to be filled in."

(6) Under point 3.A, a new Table 18A is inserted:

"Table 18A: Transfer of ERDF and ESF+ resources to the Just Transition Fund (JTF) within the programme

		JTF allocation in the programme* per category of region		
		More developed	**Transition**	**Less developed**
Transfer within the programme* (complementary support) per category of region				
ERDF	More developed			
	Transition			
	Less developed			
	Outermost and northern sparsely populated			
ESF+	More developed			
	Transition			
	Less developed			
	Outermost and northern sparsely populated			
Total	More developed			
	Transition			
	Less developed			
	Outermost and northern sparsely populated			

* Programme with the JTF allocation."

(7) Under point 3.A, a new Table 18B is inserted:

"Table 18B: Transfer of ERDF and ESF+ resources from other programme(s) to the Just Transition Fund (JTF) in this programme

		Complementary support to the JTF in this programme (CCI number)* per category of region		
		More developed	**Transition**	**Less developed**
Transfer(s) from other programme(s) per category of region**				
Programme 1 (CCI number)				
ERDF	More developed			
	Transition			
	Less developed			
	Outermost and northern sparsely populated			
ESF+	More developed			
	Transition			
	Less developed			
	Outermost and northern sparsely populated			
Programme 2 (CCI number)				
Programme 3 (CCI number)				
Total ERDF	More developed			
	Transition			
	Less developed			
	Outermost and northern sparsely populated			
Total ESF+	More developed			
	Transition			
	Less developed			
	Outermost			
Total				

* programme with JTF allocation, which receives complementary support from the ERDF and ESF+.
** programme providing the complementary support from the ERDF and ESF+ (source)."

Justification for the obligatory transfer from ERDF and ESF+ based on the planned types of interventions – Article 17(3)(d)(viii)

Text field [3 000]

(8) Under point 3.1, Table 10 is replaced as follows:

"

Table 10: Financial appropriations by year									
Fund	Category of region	2021	2022	2023	2024	2025	2026	2027	Total
ERDF*	Less developed								
	More developed								
	Transition								
	Outermost and northern sparsely populated								
Total									
ESF+*	Less developed								
	More developed								
	Transition								
	Outermost and northern sparsely populated								
Total									
JTF**	Less developed								
	More developed								
	Transition								
Total									
Cohesion Fund	N/A								
EMFF	N/A								
Total									

* Amounts after the obligatory transfer ie if the obligatory transfer from the ERDF and ESF+ is from this programme, the ERDF and ESF+ amounts do not include the transferred amounts.

** Indicate the total JTF resources as a result of Table 18 (i.e.JTF allocation and the complementary support transferred from the ERDF and ESF+)."

(9) Point 3.2 is replaced as follows:

"**3.2. Total financial appropriations by fund and national co-financing ***

Reference: Article 17(3)(f)(ii), Article 17(6)

For the Investments for Jobs and Growth goal:

Table 11: Total financial appropriations by fund and national co-financing										
Policy/JTF objective or TA	Priority	Basis for calculation EU support (total or public)	Fund	Category of region**	EU contribution (a)	National contribution (b)=(c)+(d)	Indicative breakdown of national contribution		Total (e)=(a)+(b)***	Co-financing rate (f)=(a)/(e)***
							public (c)	private (d)		
	Priority 1	P/T	ERDF	Less developed						
				More developed						
				Transition						
				Special allocation for outermost and northern sparsely populated regions						
	Priority 2		ESF+	Less developed						
				More developed						
				Transition						
				Outermost and northern sparsely populated regions						
	Priority 3		JTF****	Less developed						
				More developed						
				Transition						
	Priority 4		CF							
TA	TA Art. 29 CPR		ERDF or ESF+ or CF or JTF							
	TA Art. 30 CPR		ERDF or ESF+ or CF or JTF							
Total ERDF				More developed						
				Transition						
				Less developed						
				Special allocation for outermost and northern sparsely populated regions						
Total ESF+				More developed						

			Transition						
			Less developed						
			Outermost and northern sparsely populated regions						
Total JTF			More developed						
			Transition						
			Less developed						
Total CF		N/A							
Grand total									

* Prior to the mid-term review in 2025 for the ERDF, the ESF+, the CF and the JTF, financial appropriations for the years 2021 to 2025 only.

**For ERDF: less developed, transition, more developed, and, where applicable special allocation for outermost and northern sparsely populated regions. For ESF+: less developed, transition, more developed and, where applicable, additional allocation for outermost and northern sparsely populated regions. For CF: not applicable. For technical assistance, application of categories of region depends on selection of a fund.

*** Where relevant for all categories of region.

**** Indicate the total JTF resources as a result of Table 18 (i.e. a JTF allocation and the complementary support transferred from the ERDF and the ESF+).”

(10) Under point 8, Table 14 is replaced as follows:

“

Indication of use of Articles 88 and 89 CPR*	Priority No	Fund	Specific objective (Jobs and growth goal) or area of support (EMFF)
Use of reimbursement of eligible expenditure based on unit costs, lump sums and flat rates under priority according to Article 88 CPR	Priority 1	ERDF	SO 1
			SO 2
	Priority 2	ESF+	SO 3
			SO 4
	Priority 3	CF	SO 5
			SO 6
	Priority 4	JTF	SO JTF
Use of financing not linked to costs according to Article 89 CPR	Priority 1	ERDF	SO 7
			SO 8
	Priority 2	ESF+	SO 9
			SO 10
	Priority 3	CF	SO 11
			SO 12
	Priority 4	JTF	SO JTF

* Full information will be provided acorrding to the models annexed to the CPR.”

ANNEX VII

(1) Table 1 is replaced as follows:

“

1.	2.	3.	4.	5.	6.	7.	8.	9.	10.	11.	12.	13.
The financial appropriation of the priority based on the programme							Cumulative data on the financial progress of the programme					
Priority	Specific objective	Fund	Category of region	Basis for the calculation of Union contribution* (Total contribution or public contribution)	Total financial appropriation (EUR)	Co-financing rate (%)	Total eligible cost of operations selected for support (EUR)	Contribution from the funds to operations selected for support (EUR)	Proportion of the total allocation covered with selected operations (%) [column 7/ column 5x 100]	Total amount of eligible expenditure incurred by beneficiaries and paid in implementing operations	Proportion of the total allocation covered by eligible expenditure incurred by beneficiaries and paid in implementing operations (%) [column 10/column 5x100]	Number of operations selected
									Calculation		*Calculation*	
<type='S' input='G'>	*<type='S' input='G'>*	*<type='S' input='G'>*	*<type='S' input='G'>*	*<type='S' input='G'>*	*<type='N' input='G'>*	*<type='P' input='G'>*	*<type='Cu' input='M'>*		*<type='P' input=' G '>*	*<type='Cu' input='M'>*	*<type='P' input='G'>*	*<type='N' input='M'>*
Priority 1	SO 1	ERDF										
Priority 2	SO 2	ESF+										
Priority 3	SO 3	Cohesion Fund	NA									
Priority 4	SO JTF	JTF*										
Total		ERDF	Less developed		*<type='N' input=' G '>*		*<type='Cu' input=' G '>*		*<type='P' input=' G '>*	*<type='Cu' input=' G '>*	*<type='P' input='G'>*	*<type='N' input=' G '>*
Total		ERDF	Transition		*<type='N' input=' G '>*		*<type='Cu' input=' G '>*		*<type='P' input=' G '>*	*<type='Cu' input=' G '>*	*<type='P' input='G'>*	*<type='N' input=' G '>*

Total		ERDF	More developed		*<type='N' input=' G '>*		*<type='Cu' input=' G '>*		*<type='P' input=' G '>*	*<type='Cu' input=' G '>*	*<type='P' input='G'>*	*<type='N' input=' G '>*
Total		ERDF	Special allocation to outermost regions or northern sparsely populated regions		*<type='N' input=' G '>*		*<type='Cu' input=' G '>*		*<type='P' input=' G '>*	*<type='Cu' input=' G '>*	*<type='P' input='G'>*	*<type='N' input=' G '>*
Total		ESF+	Less developed		*<type='N' input=' G '>*		*<type='Cu' input=' G '>*		*<type='P' input=' G '>*	*<type='Cu' input=' G '>*	*<type='P' input='G'>*	*<type='N' input=' G '>*
Total		ESF+	Transition		*<type='N' input=' G '>*		*<type='Cu' input=' G '>*		*<type='P' input=' G '>*	*<type='Cu' input=' G '>*	*<type='P' input='G'>*	*<type='N' input=' G '>*
Total		ESF+	More developed		*<type='N' input=' G '>*		*<type='Cu' input=' G '>*		*<type='P' input=' G '>*	*<type='Cu' input=' G '>*	*<type='P' input='G'>*	*<type='N' input=' G '>*
Total		ESF+	Special allocation to outermost or northern sparsely populated regions		*<type='N' input=' G '>*		*<type='Cu' input=' G '>*		*<type='P' input=' G '>*	*<type='Cu' input=' G '>*	*<type='P' input='G'>*	*<type='N' input=' G '>*
Total		Cohesion Fund	NA		*<type='N' input=' G '>*		*<type='Cu' input=' G '>*		*<type='P' input=' G '>*	*<type='Cu' input=' G '>*	*<type='P' input='G'>*	*<type='N' input=' G '>*
Total		JTF*	Less developed		*<type='N' input=' G '>*		*<type='Cu' input=' G '>*		*<type='P' input=' G '>*	*<type='Cu' input=' G '>*	*<type='P' input='G'>*	*<type='N' input=' G '>*
Total		JTF*	Transition		*<type='N' input=' G '>*		*<type='Cu' input=' G '>*		*<type='P' input=' G '>*	*<type='Cu' input=' G '>*	*<type='P' input='G'>*	*<type='N' input=' G '>*
Total		JTF*	More developed		*<type='N' input=' G '>*		*<type='Cu' input=' G '>*		*<type='P' input=' G '>*	*<type='Cu' input=' G '>*	*<type='P' input='G'>*	*<type='N' input=' G '>*

*Amounts including the complementary support transferred from the ERDF and the ESF+.”

(2) Table 7 is replaced as follows:

“

Fund	Category of region	Union contribution		
		[current calendar year]		[subsequent calendar year]
		January - October	November - December	January- December
ERDF	Less developed	*<type="Cu" input="M">*	*<type="Cu" input="M">*	*<type="Cu" input="M">*
	Transition	*<type="Cu" input="M">*	*<type="Cu" input="M">*	*<type="Cu" input="M">*
	More developed	*<type="Cu" input="M">*	*<type="Cu" input="M">*	*<type="Cu" input="M">*
	Outermost and northern sparsely populated *	*<type="Cu" input="M">*	*<type="Cu" input="M">*	*<type="Cu" input="M">*
ETC		*<type="Cu" input="M">*	*<type="Cu" input="M">*	*<type="Cu" input="M">*
ESF+	Less developed	*<type="Cu" input="M">*	*<type="Cu" input="M">*	*<type="Cu" input="M">*
	Transition	*<type="Cu" input="M">*	*<type="Cu" input="M">*	*<type="Cu" input="M">*
	More developed	*<type="Cu" input="M">*	*<type="Cu" input="M">*	*<type="Cu" input="M">*
	Outermost and northern sparsely populated*	*<type="Cu" input="M">*	*<type="Cu" input="M">*	*<type="Cu" input="M">*
JTF**	Less developed	*<type="Cu" input="M">*	*<type="Cu" input="M">*	*<type="Cu" input="M">*
	Transition	*<type="Cu" input="M">*	*<type="Cu" input="M">*	*<type="Cu" input="M">*
	More developed	*<type="Cu" input="M">*	*<type="Cu" input="M">*	*<type="Cu" input="M">*
ISF				
BMVI				

* This should only show the specific allocation for outermost regions / Northern sparsely populated regions.

** Amounts including the complementary funding transferred from the ERDF and the ESF+ as appropriate.”