

Brussels, 15 January 2021  
(OR. en)

5158/21

---

**Interinstitutional File:**  
**2020/0266 (COD)**

---

**EF 15**  
**ECOFIN 27**  
**CODEC 28**

**INFORMATION NOTE**

From:	General Secretariat of the Council
To:	Delegations
No. Cion doc.:	11051/20 + ADD 1 + ADD 2
Subject:	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on digital operational resilience for the financial sector and amending Regulations (EC) No 1060/2009, (EU) No 648/2012, (EU) No 600/2014 and (EU) No 909/2014 - list of working papers (WK) distributed between 1 July and 31 December 2020

The working papers (WK) listed below were distributed by the General Secretariat of the Council with regard to the above file between 1 July and 31 December 2020.

Reference No.	Creation Date	Subject
WK 10155 2020 INIT	25/09/2020	Draft Agenda 1st Council Working Group meeting on Digital Operational Resilience
WK 10192 2020 INIT	28/09/2020	Proposal for regulation on Digital Operational Resilience - Table for comments
WK 10242 2020 INIT	28/09/2020	DORA proposal and Impact Assessment - Commission presentation
WK 11155 2020 INIT	15/10/2020	Draft Agenda - Working Party on Financial Services Digital Operational Resilience
WK 11336 2020 INIT	19/10/2020	Working party on Financial Services (Digital Operational Resilience) - Presidency Note - General Provisions and ICT Risk Management

Reference No.	Creation Date	Subject
WK 11365 2020 INIT	19/10/2020	DORA - consolidation of comments on the Commission proposal (21 MS)
WK 11339 2020 INIT	19/10/2020	Working Party on Financial Services (Digital Operational Resilience) - Presidency Note - Managing of ICT Third-Party Risk
WK 11711 2020 INIT	23/10/2020	Presidency Discussion Note - ICT-related incidents and relation with NIS-Directive
WK 11700 2020 INIT	23/10/2020	Working Party on Financial Services (Digital Operational Resilience) -Virtual Meeting, 28 October 2020 - Draft Agenda
WK 11716 2020 INIT	23/10/2020	Commission services non-paper - Digital operational resilience testing and mutual recognition of tests in the Union
WK 11715 2020 INIT	23/10/2020	Commission services non-paper - Interaction between DORA and the NIS Directive
WK 11713 2020 INIT	23/10/2020	Presidency Discussion Note - Digital Operation Resilience Testing
WK 12175 2020 INIT	03/11/2020	Amending directive accompanying the Dora and Mica proposal - Consolidation of comments (11 MS)
WK 12212 2020 INIT	04/11/2020	PRESIDENCY DISCUSSION NOTE Key principles for a sound management of ICT third party risk (Chapter V Section I) and entry into force and application (Art. 56)
WK 11365 2020 REV 1	06/11/2020	DORA - consolidation of comments on the Commission proposal (23 MS)
WK 13206 2020 INIT	19/11/2020	Working Party on Financial Services (Digital Operational Resilience) -Virtual Meeting, 27 November 2020 - Draft Agenda
WK 13465 2020 INIT	23/11/2020	PRESIDENCY DISCUSSION NOTE ICT-related incident reporting
WK 13462 2020 INIT	23/11/2020	PRESIDENCY DISCUSSION NOTE Discussion on Definitions, specific exemptions (Chapter I) and on Provisions in the ICT Risk Management Framework (Chapter II)
WK 13889 2020 INIT	30/11/2020	Interaction between DORA and outsourcing rules in the Union legislation - Commission services non-paper
WK 13889 2020 ADD 1	30/11/2020	Interaction between DORA and outsourcing rules in the Union legislation - Commission services non-paper ANNEX

Reference No.	Creation Date	Subject
WK 14085 2020 INIT	03/12/2020	Working Party on Financial Services (Digital Operational Resilience) Meeting, 8 December 2020 - Draft Agenda
WK 14149 2020 INIT	03/12/2020	ENISA Presentation on Cybersecurity ACT And Strategy
WK 14130 2020 INIT	03/12/2020	PRESIDENCY DISCUSSION NOTE Key principles for a sound management of ICT third party risk (Chapter V Section I)
WK 14099 2020 INIT	03/12/2020	PRESIDENCY DISCUSSION NOTE Oversight Framework of critical ICT third-party service providers (Chapter V Section II) and Definition of ICT service (Chapter I)
WK 14884 2020 INIT	18/12/2020	DORA - Comments received on PCY paper WK 11713/20 (Testing)
WK 14872 2020 INIT	18/12/2020	DORA - Comments received on PCY paper WK 11336/20 (Governance)
WK 14889 2020 INIT	18/12/2020	DORA - Comments received on PCY paper WK 14099/20 (Oversight)
WK 14882 2020 INIT	18/12/2020	DORA - Comments received on PCY paper WK 11711/20 (Reporting)
WK 14886 2020 INIT	18/12/2020	DORA - Comments received on PCY paper WK 13462/20 (Proportionality)
WK 14880 2020 INIT	18/12/2020	DORA - Comments received on PCY paper WK 11339/20 (TP Risk)
WK 14885 2020 INIT	18/12/2020	DORA - Comments received on PCY paper WK 12212/20 (Timelines)
WK 14887 2020 INIT	18/12/2020	DORA - Comments received on PCY paper WK 13465/20 (ICT-related incident reporting)
WK 14898 2020 INIT	18/12/2020	DORA - Presidency technical proposal
WK 14891 2020 INIT	18/12/2020	DORA - Comments received on PCY paper WK 14130/20 (Chapter Vs1))