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16966/23

Interinstitutional File: 2023/0164(COD)

**LIMITE** 

TRANS 613 MAR 175 IA 370 CODEC 2544 OMI 100 PECHE 618

## NOTE

| From:          | General Secretariat of the Council   |
|----------------|--|
| To:            | Delegations  |
| No. Cion doc.: | 10115/23   |
| Subject:       | Proposal for a Directive of the European Parliament and of the Council amending Directive 2009/18/EC establishing the fundamental principles governing the investigation of accidents in the maritime transport sector |
|                | <ul> <li>4 column document</li> </ul>  |

In view of the Shipping Working Party on 8 January 2024, delegations will find attached a four-column document, comparing the Commission proposal (first column), the EP amendments (second column) and the Council general approach (third column).

In the fourth column, the Presidency has tentatively categorised issues as A (identical, merely editorial or can be agreed upon without much discussion), B (items that could be resolved at technical level) and C (issues of a political nature, which will require more consideration).

16966/23 FT/pl 1
TREE.2.A **LIMITE EN** 

## Proposal for a DIRECTIVE OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Directive 2009/18/EC establishing the fundamental principles governing the investigation of accidents in the maritime transport sector (Text with EEA relevance) 2023/0164(COD)

|         | <b>Commission Proposal</b>   | EP Mandate   | Council Mandate  | PCY suggestions/comments |
|---------|--|--|--|--------------------------|
| Formula | 9  |  |  |                          |
| 1       | 2023/0164 (COD)  | 2023/0164 (COD)  | 2023/0164 (COD)  | A - identical            |
| Proposa | Il Title   |  |  |                          |
| 2       | Proposal for a DIRECTIVE OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Directive 2009/18/EC establishing the fundamental principles governing the investigation of accidents in the maritime transport sector (Text with EEA relevance) | Proposal for a DIRECTIVE OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Directive 2009/18/EC establishing the fundamental principles governing the investigation of accidents in the maritime transport sector (Text with EEA relevance) | Proposal for a DIRECTIVE OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Directive 2009/18/EC establishing the fundamental principles governing the investigation of accidents in the maritime transport sector and repealing Commission Regulation (EU) No 1286/2011 (Text with EEA relevance) | B In line with row 157.  |
| Formula | 1  |  |  |                          |

|          | Commission Proposal  | EP Mandate   | Council Mandate  | PCY suggestions/comments |
|----------|--|--|--|--------------------------|
| 3        | THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,   | THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,   | THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,   | A - identical            |
| Citation | 1  |  |  |                          |
| 4        | Having regard to the Treaty on<br>the Functioning of the European<br>Union, and in particular Article<br>100(2) thereof, | Having regard to the Treaty on<br>the Functioning of the European<br>Union, and in particular Article<br>100(2) thereof, | Having regard to the Treaty on<br>the Functioning of the European<br>Union, and in particular Article<br>100(2) thereof, | A - identical            |
| Citation | 2  |  |  |                          |
| 5        | Having regard to the proposal from the European Commission,  | Having regard to the proposal from the European Commission,  | Having regard to the proposal from the European Commission,  | A - identical            |
| Citation | 13   |  |  |                          |
| 6        | After transmission of the draft legislative act to the national parliaments,   | After transmission of the draft legislative act to the national parliaments,   | After transmission of the draft legislative act to the national parliaments,   | A - identical            |
| Citation | 4  |  |  |                          |
| 7        | Having regard to the opinion of the European Economic and Social Committee <sup>1</sup> ,                                | Having regard to the opinion of the European Economic and Social Committee <sup>1</sup> ,  1. OJ C,,p.                   | Having regard to the opinion of the European Economic and Social Committee <sup>1</sup> ,  1. OJ C,, p.                  | A - identical            |

|          | Commission Proposal  | EP Mandate   | Council Mandate   | PCY suggestions/comments   |
|----------|--|--|---|--|
|          |  |  |   |  |
| Citation | 15   |  |   |  |
| 8        | Having regard to the opinion of the Committee of the Regions <sup>1</sup> ,  1. OJ C,, p   | Having regard to the opinion of the Committee of the Regions <sup>1</sup> ,  1. OJ C,, p   | Having regard to the opinion of the Committee of the Regions <sup>1</sup> ,  1. OJ C,, p  | The CoR decided not to give an opinion - redrafting needed - standard wording "After consulting the Committee of the Regions," |
| Citation | n 6  |  |   |  |
| 9        | Acting in accordance with the ordinary legislative procedure,  | Acting in accordance with the ordinary legislative procedure,  | Acting in accordance with the ordinary legislative procedure,   | A - identical  |
| Formula  | a  |  |   |  |
| 10       | Whereas:   | Whereas:   | Whereas:  | A - identical  |
| Recital  | 1  |  | ,   |  |
| 11       | (1) Directive 2009/18/EC of the European Parliament and of the Council <sup>1</sup> establishes the fundamental principles governing the investigation of accidents in the maritime transport sector and provides for a system of safety investigations. Maritime accidents falling within the scope of that Directive are investigated by independent | (1) Directive 2009/18/EC of the European Parliament and of the Council <sup>1</sup> establishes the fundamental principles governing the investigation of accidents in the maritime transport sector and provides for a system of safety investigations. Maritime accidents falling within the scope of that Directive are investigated by independent | (1) Directive 2009/18/EC of the European Parliament and of the Council <sup>1</sup> establishes the fundamental principles governing the investigation of accidents in the maritime transport sector and provides for a system of safety investigations. Maritime accidents falling within the scope of that Directive are investigated by independent investigative bodies | В  |

|         | Commission Proposal   | EP Mandate  | Council Mandate   | PCY suggestions/comments |
|---------|---|---|---|--------------------------|
|         | investigative bodies established in the Member States to improve maritime safety, as well as to protect the marine environment, by learning from past accidents to prevent their reoccurrence in the future.  1. OJ L 131, 28.5.2009, p. 114  | investigative bodies established in the Member States to improve maritime safety, as well as to protect the marine environment, by learning from past accidents to prevent their reoccurrence in the future.  1. OJ L 131, 28.5.2009, p. 114  | established in the Member States to improve maritime safety, as well as to protect the marine environment, by learning from past accidents to prevent their reoccurrence in the future in order to learn from the past with a view to preventing future casualties and incidents.  1. [1] OJ L 131, 28.5.2009, p. 114 |                          |
| Recital | 2   |   |   |                          |
| 12      | (2) Since the entry into force of Directive 2009/18/EC, there have been changes in the international regulatory environment and technological developments. Those changes and developments as well as the experience gained in the implementation of Directive 2009/18/EC should be taken into account. | (2) Since the entry into force of Directive 2009/18/EC, there have been changes in the international regulatory environment and technological developments. Those changes and developments as well as the experience gained in the implementation of Directive 2009/18/EC should be taken into account. | (2) Since the entry into force of Directive 2009/18/EC, there have been changes in the international regulatory environment and technological developments. Those changes and developments as well as the experience gained in the implementation of Directive 2009/18/EC should be taken into account.               | A - identical            |
| Recital | 2a  |   |   |                          |
| AM 1    |   |   |   | C                        |
| 12a     |   | (2a) In this regard, the Union, in line with its international commitments related to climate change, should continue   |   | С                        |

|         | Commission Proposal   | EP Mandate  | Council Mandate  | PCY suggestions/comments |
|---------|---|---|--|--------------------------|
|         |   | exerting its leadership in a sector regulated both at European and international level.   |  |                          |
| Recital | 3   |   |  |                          |
| 13      | (3) Directive 2009/18/EC refers to a number legal texts adopted by the International Maritime Organization (IMO) which have been abrogated, amended or revised since its entry into force. The 'IMO Code for the Investigation of Marine Casualties and Incidents' (IMO Resolution A.849(20) of 27 November 1997) which has been revoked by the 'Code of the International Standards and Recommended practices for a Safety Investigation into a Marine Casualty or Marine Incident (Casualty Investigation Code)' (Resolution MSC 255(84) of 16 May 2008) with Resolution A.1075(28) Guidelines to Assist Investigators in the Implementation of the Casualty Investigation Code MSC.255(84)). | (3) Directive 2009/18/EC refers to a number legal texts adopted by the International Maritime Organization (IMO) which have been abrogated, amended or revised since its entry into force. The 'IMO Code for the Investigation of Marine Casualties and Incidents' (IMO Resolution A.849(20) of 27 November 1997) which has been revoked by the 'Code of the International Standards and Recommended practices for a Safety Investigation into a Marine Casualty or Marine Incident (Casualty Investigation Code)' (Resolution MSC 255(84) of 16 May 2008) with Resolution A.1075(28) Guidelines to Assist Investigators in the Implementation of the Casualty Investigation Code MSC.255(84)). | (3) Directive 2009/18/EC refers to a number of legal texts adopted by the International Maritime Organization (IMO) which have been abrogated, amended or revised since its entry into force. For instance, that Directive refers to the 'IMO Code for the Investigation of Marine Casualties and Incidents' (IMO Resolution A.849(20) of 27 November 1997) which has been revoked by the 'Code of the International Standards and Recommended Practices for a Safety Investigation into a Marine Casualty or Marine Incident (Casualty Investigation Code)' (Resolution MSC. 255(84) of 16 May 2008) with Resolution A.1075(28) Guidelines to Assist Investigators in the Implementation of the Casualty Investigation Code MSC.255(84)). | A                        |

| Recital 4 | Commission Proposal   | EP Mandate  | Council Mandate  | PCY suggestions/comments |
|-----------|---|---|--|--------------------------|
| 14        | (4) The IMO Casualty Investigation Code introduces new definitions, such as the definition of 'marine safety investigation Authority', while others concepts are deleted, such as 'serious casualty'. Those changes should be incorporated into Directive 2009/18/EC. | (4) The IMO Casualty Investigation Code introduces new definitions, such as the definition of 'marine safety investigation Authority', while others concepts are deleted, such as 'serious casualty'. Those changes should be incorporated into Directive 2009/18/EC. | (4) The IMO Casualty Investigation Code introduces new definitions, such as the definition of 'marine safety investigation Authority', while othersother concepts are deleted, such as 'serious casualty'. Those changes should be incorporated into Directive 2009/18/EC.     | A                        |
| Recital 5 | 5   |   |  |                          |
| 15        | (5) Directive 2009/18/EC also refers to IMO Circular MSC/MEPC. 3/Circ.3 of 2008 which was superseded by Circular MSC-MEPC. 3/circ.4/rev.1 Reports on Marine Casualties and Incidents Revised Harmonized Reporting Procedures of 18 November 2014.                     | (5) Directive 2009/18/EC also refers to IMO Circular MSC/MEPC. 3/Circ.3 of 2008 which was superseded by Circular MSC-MEPC. 3/circ.4/rev.1 Reports on Marine Casualties and Incidents Revised Harmonized Reporting Procedures of 18 November 2014.                     | (5) Directive 2009/18/EC also refers to <b>the 2008</b> IMO Circular MSC/MEPC. 3/Circ.3 of 2008MSC-MEPC.Circ.3 which was superseded by Circular MSC-MEPC3/circ.4/rev.1 Reports on Marine Casualties and Incidents Revised Harmonized Reporting Procedures of 18 November 2014. | A                        |
| Recital 6 | 6   |   |  |                          |
| 16        | (6) The IMO Guidelines to<br>Assist Investigators in the<br>Implementation of the Casualty  | (6) The IMO Guidelines to<br>Assist Investigators in the<br>Implementation of the Casualty  | (6) The IMO Guidelines to<br>Assist Investigators in the<br>Implementation of the Casualty   | A – identical            |

|         | Commission Proposal  | EP Mandate  | Council Mandate   | PCY suggestions/comments |
|---------|--|---|---|--------------------------|
|         | Investigation Code (A.1075(28)) adopted on 4 December 2013 provide practical advice for the systematic investigation of marine casualties and incidents and allow the development of effective analysis and preventive action. Those guidelines should be included in Directive 2009/18/EC.  | Investigation Code (A.1075(28)) adopted on 4 December 2013 provide practical advice for the systematic investigation of marine casualties and incidents and allow the development of effective analysis and preventive action. Those guidelines should be included in Directive 2009/18/EC.   | Investigation Code (A.1075(28)) adopted on 4 December 2013 provide practical advice for the systematic investigation of marine casualties and incidents and allow the development of effective analysis and preventive action. Those guidelines should be included in Directive 2009/18/EC.   |                          |
| Recital | 7  |   |   |                          |
| AM 2    |  |   |   |                          |
| 17      | (7) Fishing vessels less than 15 metres in length are at present excluded from the scope of Directive 2009/18/EC, therefore the conduct of maritime accident investigations involving such fishing vessels is non-systematic and non-harmonised. Such vessels are more prone to capsizing and members of the crew falling overboard is relatively common. Therefore, there is a need to protect those fishing vessels, their crew and the environment by introducing a preliminary assessment of very serious marine casualties involving fishing vessels less than 15 metres long to determine whether the authorities should | (7) Fishing vessels less than 15 metres in length are at present excluded from the scope of Directive 2009/18/EC, therefore the conduct of maritime accident investigations involving such fishing vessels is non-systematic and non-harmonised. Such vessels are more prone to capsizing and members of the crew falling overboard is relatively common. Therefore, there is a need to protect those fishing vessels, their crew and the environment by introducing apreliminary assessment of very serious marine casualties involving fishing vessels less than 15 metres long to determine whether the authorities should | (7) Fishing vessels less than 15 metres in length are at present excluded from the scope of Directive 2009/18/EC, therefore the conduct of maritime accident investigations involving such fishing vessels is non-systematic and non-harmonisedneither systematic nor harmonised. Such vessels are more prone to capsizing and it is relatively common for members of the crew falling overboard is relatively common for fall overboard. Therefore, there is a need to protect those fishing vessels, their crew and the environment by introducing a preliminary assessment of very serious marine casualties | C                        |

|         | Commission Proposal   | EP Mandate  | Council Mandate  | PCY suggestions/comments |
|---------|---|---|--|--------------------------|
|         | open a safety investigation.  | open a safety investigation, without creating additional obligations for national authorities to start such a safety investigation. This measure is expected to have a significant positive impact on the number of lives saved at sea and injuries avoided, protecting in particular the lives and health of European fishers. | involving fishing vessels less than 15 metres long to determine whether the authorities should open a safety investigation taking into account, inter alia, the evidence available as well as the potential for the findings of the safety investigation to lead to the prevention of future casualties and incidents. |                          |
| Recital | 7a  |   |  |                          |
| 17a     |   |   | (7a) This Directive should not prevent Member States from setting up national rules to investigate marine casualties or incidents that involve any ship type carrying 12 passengers or less or engaging in other commercial purposes.  | C                        |
| Recital | 8   |   |  |                          |
| 18      | (8) Some definitions provided in Directive 2009/18/EC are not clear. The definition of the length of a fishing vessel should be set out especially when there is a distinction on the approach and the obligations of the marine safety investigation authorities | (8) Some definitions provided in Directive 2009/18/EC are not clear. The definition of the length of a fishing vessel should be set out especially when there is a distinction on the approach and the obligations of the marine safety investigation authorities   | (8) Some definitions provided in Directive 2009/18/EC are not clear. The definition of the length of a fishing vessel should be set out especiallyspecified, particularly when there is a distinction on the approach and the obligations of the marine  | A                        |

|           | <b>Commission Proposal</b>   | EP Mandate   | Council Mandate   | PCY suggestions/comments |
|-----------|--|--|---|--------------------------|
|           | based on the length of the fishing vessel.   | based on the length of the fishing vessel.   | safety investigation authorities based on the length of the fishing vessel.   |                          |
| Recital 9 | 9  |  |   |                          |
| 19        | (9) The IMO Casualty Code refers an even or a sequence of events which has occurred 'directly in connection with the operations of a ship'. This concept is the subject of significant divergence and should be clarified. That divergence impacts on the actions of the accident investigation authorities particularly as regards accident in ports, the possibilities of joint investigations and the gathering of accident and investigation data. | (9) The IMO Casualty Code refers an even or a sequence of events which has occurred 'directly in connection with the operations of a ship'. This concept is the subject of significant divergence and should be clarified. That divergence impacts on the actions of the accident investigation authorities particularly as regards accident in ports, the possibilities of joint investigations and the gathering of accident and investigation data. | (9) The IMO Casualty Investigation Code refers to an event-an even or a sequence of events which has occurred 'directly in connection with the operations of a ship'. This concept is the subject of significant divergence and should be clarified. That divergence impacts on the actions of the accident investigation authorities particularly as regards accident in ports, the possibilities offor joint investigations and the gathering of accident and investigation data. | A                        |
| Recital   | 10   | T  | T   |                          |
| 20        | (10) The IMO Casualty Code provides that when a very serious marine casualty occurs the marine authority is obliged to carry out an investigation. However, there is no guidance on the time within which a death  | (10) The IMO Casualty Code provides that when a very serious marine casualty occurs the marine authority is obliged to carry out an investigation. However, there is no guidance on the time within which a death  | (10) The IMO Casualty Investigation Code statesCode provides that when a very serious marine casualty occurs, a the marine authority is obliged to carry out an investigationsafety   | A                        |

|           | Commission Proposal   | EP Mandate   | Council Mandate  | PCY suggestions/comments |  |
|-----------|---|--|--|--------------------------|--|
|           | has to take place following the accident so that the death gives rise to a very serious marine casualty and therefore be investigated. Therefore Directive 2009/18/EC should provide such guidance. | has to take place following the accident so that the death gives rise to a very serious marine casualty and therefore be investigated. Therefore Directive 2009/18/EC should provide such guidance.  | investigation shall be conducted. However, there is no guidance on the time within which a death has to take place following the accident so thatfor the death givesto give rise to a very serious marine casualty and therefore be investigated requiring investigation. Therefore Directive 2009/18/EC should provide such guidance. |                          |  |
| Recital : | 10a   |  |  |                          |  |
| AM 3      |   |  |  |                          |  |
| 20a       |   | (10a) It is important to highlight that, whilst seafarers, fishers and port workers play a critical role in the management and implementation of safe operations, lessons learned from accidents involving them still have to be implemented. The transparency of accident investigation process should therefore be further developed in collaboration with the industry and social partners. |  | C                        |  |
|           | Recital 10b   |  |  |                          |  |
| AM 4      |   |  |  | _                        |  |
| 20b       |   |  |  | C                        |  |

|           | Commission Proposal               | EP Mandate   | Council Mandate                   | PCY suggestions/comments |
|-----------|-----------------------------------|--|-----------------------------------|--------------------------|
|           |                                   | (10b) In addition to the measures provided for by this Directive, further initiatives should be considered in order to deal with working conditions and fatigue since incidents including seafarers, fishers and port workers can lead to maritime accidents and loss of lives.  |                                   |                          |
| Recital 2 | 10c                               |  |                                   |                          |
| AM 5      |                                   |  |                                   |                          |
| 20c       |                                   | (10c) Consideration should be given to the working and living conditions of the crew in case of accidents, whether the accident is related to human factors. Whenever necessary, investigators should check whether the crew's working conditions, in particular working and rest times, might have been the cause of the accident in question, in line with the relevant IMO and ILO legislation. |                                   | C                        |
| Recital 2 | 11                                |  |                                   |                          |
| AM 6      |                                   |  |                                   |                          |
| 21        | (11) The available staff, as well | (11) The available staff, as well  | (11) The available staff, as well | С                        |

|           | <b>Commission Proposal</b>   | EP Mandate   | Council Mandate   | PCY suggestions/comments |
|-----------|--|--|---|--------------------------|
| Recital 1 | as the operational resources of the Member States' marine safety investigation authorities vary distinctly, resulting in ineffective and inconsistent reporting on and investigation of marine casualties. Therefore, the Commission, with the assistance of the European Maritime Safety Agency (EMSA) should provide highly specialised analytical support during an individual investigation (soft skills), as well as analytical tools and equipment (hardware). | as the operational resources of the Member States' marine safety investigation authorities vary distinctly, resulting in ineffective and inconsistent reporting on—and investigation of marine casualties. Therefore, the Commission, with the assistance of the European Maritime Safety Agency (EMSA) should provide highly specialised analytical support during an individual investigation (soft skills), as well as analytical tools and equipment (hardware).  Furthermore, cooperation and mutual assistance between Member States in safety investigations should continue to be encouraged and supported, particularly in view of new maritime safety challenges and the need to report compliance with environmental, social, public health and labour law standards, safety on board ships calling at EU ports for both seafarers and dockworkers, with a special focus on the needs for female workers. | as the operational resources of the Member States' marine safety investigation authorities vary distinctly, resulting in ineffective and inconsistent reporting on— and investigation of marine casualties. Therefore, the Commission, with the assistance of the European Maritime Safety Agency (EMSA), should provide highly specialised analytical support during anfor individual investigationinvestigations (soft skills), as well as analytical tools and equipment (hardware). |                          |
| AM 7      |  |  |   |                          |

|    | Commission Proposal  | EP Mandate   | Council Mandate   | PCY suggestions/comments |
|----|--|--|---|--------------------------|
| 22 | (12) In light of what has been stated, EMSA should organise trainings on specific techniques and on new developments and technologies which can be relevant for accident investigation in the future. Such training should focus, among others, on renewable and low carbon fuels, which are particularly relevant in view of the "Fit for 55 package", and automation, as well as on the General Data Protection Regulation (GDPR) rules. | (12) In light of what has been stated, EMSA should organise trainings regular training sessions and certification programmes on specific techniques and on new developments and technologies which can be relevant for accident investigationinvestigations in the future. New technology can play a role in the decarbonisation of the industry but the way vessels and crews interact with technology can also be a factor in new unknown types of incidents. Such training should focus, among others, on renewable and low carbon fuels, which are particularly relevant in view of the "Fit for 55 package", automation and autonomous shipping and automation, as well as on the General Data Protection Regulation (GDPR) rules. This will contribute to the collection of more complete data on accidents and injuries aboard those vessels and to improving the health and safety of the seafarers and fishermen working on them. | (12) In light of what has been stated, EMSA should organise trainingstraining on specific techniques and on new developments and technologies which canthat could be relevant for accident investigation in the future. Such training should focus, among othersother things, on renewable and low carbon fuels, which are particularly relevant in viewthe light of the "Fit for 55 package", and automation, as well as on the General Data Protection Regulation (GDPR) rules. | C                        |

|           | Commission Proposal   | EP Mandate  | Council Mandate  | PCY suggestions/comments |
|-----------|---|---|--|--------------------------|
| Recital : | 12a   |   |  |                          |
| 22a       |   |   | (12a) The independence of the marine safety investigations must be ensured under all circumstances and all those involved in these investigations, including companies, institutions or agencies either public or private, should be free from any conflict of interest. | C                        |
| Recital 1 | 13  |   |  |                          |
| 23        | (13) To improve the quality of accident investigations, the Member States should develop a quality management system (QMS) for the marine safety investigation authorities. | (13) To improve the quality of accident investigations, the Member States should develop a quality management system (QMS) for the marine safety investigation authorities.   | (13) To improve the quality of accident investigations, the Member States should develop a quality management system (QMS) for the marine safety investigation authorities.deleted   | C                        |
| Recital : | 13a   |   |  |                          |
| AM 8      |   |   |  |                          |
| 23a       |   | (13a) The Commission and EMSA should explore the possibility and cost-benefit of developing and operating advanced track and trace systems for containers, with the goal to locate and limit container loss at sea. |  | C                        |

|         | Commission Proposal  | EP Mandate   | Council Mandate  | PCY suggestions/comments |  |  |
|---------|--|--|--|--------------------------|--|--|
|         |  |  |  |                          |  |  |
| Recital | 14   |  |  |                          |  |  |
| AM 9    |  |  |  |                          |  |  |
| 24      | (14) In order to ensure uniform conditions for the implementation of the provisions of this Directive regarding the list of IMO texts in its scope, implementing powers should be conferred on the Commission. Those powers should be exercised in accordance with Regulation (EU) No 182/2011 of the European Parliament and of the Council (¹).  1. Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by the Member States of the Commission's exercise of implementing powers (OJ L 55, 28.2.2011, p. 13). | (14) In order to ensure uniform conditions for the implementation of the provisions of this Directive regarding the list of IMO texts in its scope adaptation of the IMO Guidelines to assist investigators in the implementation of the Casualty Investigation Code, implementing powers should be conferred on the Commission. Those powers should be exercised in accordance with Regulation (EU) No 182/2011 of the European Parliament and of the Council (1).  1. Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by the Member States of the Commission's exercise of implementing powers (OJ L 55, 28.2.2011, p. 13). | (14) In order to ensure uniform conditions for the implementation of the provisions of this Directive regarding the list of IMO texts in its scope, implementing powers should be conferred on the Commission. Those powers should be exercised in accordance with Regulation (EU) No 182/2011 of the European Parliament and of the Council (¹).  1. Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by the Member States of the Commission's exercise of implementing powers (OJ L 55, 28.2.2011, p. 13). | C                        |  |  |
|         | Recital 14a  |  |  |                          |  |  |
| AM 10   |  |  |  |                          |  |  |
| 24a     |  |  |  | C                        |  |  |

| (14a) In order to ensure that this Directive continues to be up-to-date, the power to adopt acts in accordance with Article 290 of the Treaty on the Functioning of the European Union should be delegated to the Commission in respect of amending non-essential elements of this Directive by updating the definitions and Annexes in order to align them with changes to the relevant IMO instruments, as well as to update the references made to the relevant IMO instruments, as well as to update the references made to the relevant IMO instruments, it is of particular importance that the Commission carry out appropriate consultations during its preparatory work, including at expert level, and that those consultations be conducted in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making*. In particular, to ensure equal participation in |
|--|
| the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of  Framework established by this Directive should be involved in these consultations. In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and  |

| Commission Proposal   | EP Mandate   | Council Mandate   | PCY suggestions/comments  |
|---|--|---|---|
|   | Commission expert groups dealing with the preparation of delegated acts.   | the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts.  |   |
| 15  |  |   |   |
|   | T  | T   | T   |
| (15) In view of the full monitoring cycle of visits to Member States by EMSA to monitor the implementation of this Directive, the Commission should evaluate the implementation of this Directive no later than [ten years after its date of entry into force referred to in Article 23)] and report to the European Parliament and the Council thereon. Member States should cooperate with the Commission to gather all the information necessary for the evaluation. | (15) In view of the full monitoring cycle of visits to Member States by EMSA to monitor the implementation of this Directive, the Commission should evaluate the implementation of this Directive no later than [tenfive] years after its date of entry into force referred to in Article 23)] and, report to the European Parliament and the Council, and, if necessary, propose further measures in the light of the recommendations set out therein-thereon. Member States should closely cooperate with the Commission to gather all the information necessary for the evaluation. | (15) In view of the full monitoring cycle of visits to Member States by EMSA to monitor the implementation of this Directive, the Commission should evaluate the implementation of this Directive no later than [ten years after its entry into force, as referred to in Article 23ten years after its date of entry into force referred to in Article 23)] and report to the European Parliament and the Council thereon. Member States should cooperate with the Commission to gather all the information necessary for the evaluation.   | C   |
|   | (15) In view of the full monitoring cycle of visits to Member States by EMSA to monitor the implementation of this Directive, the Commission should evaluate the implementation of this Directive no later than [ten years after its date of entry into force referred to in Article 23)] and report to the European Parliament and the Council thereon. Member States should cooperate with the Commission to gather all the information necessary for the  | (15) In view of the full monitoring cycle of visits to Member States by EMSA to monitor the implementation of this Directive, the Commission should evaluate the implementation of this Directive, the Commission should evaluate the implementation of this Directive no later than [ten years after its date of entry into force referred to in Article 23)] and report to the European Parliament and the Council thereon. Member States should cooperate with the Commission to gather all the information necessary for the evaluation.  (15) In view of the full monitoring cycle of visits to Member States by EMSA to monitor the implementation of this Directive, the Commission should evaluate the implementation of this Directive no later than [tenfive] years after its date of entry into force referred to in Article 23)] and, report to the European Parliament and the Council, and, if necessary, propose further measures in the light of the recommendations set out therein, thereon. Member States should closely cooperate with the Commission to gather all the information necessary for the | the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts.  (15) In view of the full monitoring cycle of visits to Member States by EMSA to monitor the implementation of this Directive, the Commission should evaluate the implementation of this Directive no later than [ten years after its date of entry into force referred to in Article 23)] and report to the European Parliament and the Council thereon. Member States should cooperate with the Commission to gather all the information necessary for the evaluation.  (15) In view of the full monitoring cycle of visits to Member States by EMSA to monitor the implementation of this Directive, the Commission should evaluate the implementation of this Directive no later than [ten years after its date of entry into force referred to in Article 23)] and report to the European Parliament and the Council thereon. Member States should cooperate with the commission to gather all the information necessary for the evaluation.  (15) In view of the full monitoring cycle of visits to Member States by EMSA to monitor the implementation of this Directive, the Commission should evaluate the implementation of this Directive no later than [ten years after its date of entry into force, as referred to in Article 23)] and report to the European Parliament and the Council thereon. Member States should cooperate with the Commission to gather all the information necessary for the evaluation. |

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| 26 | (16) In accordance with the principle of proportionality, it is necessary and appropriate for the achievement of the basic objective of improving the maritime safety and the protection of the marine environment, to lay down rules on the investigation of accidents in the maritime transport. This Directive does not go beyond what is necessary in order to achieve the objectives pursued in accordance with Article 5(4) of the Treaty on European Union. | (16) In accordance with the principle of proportionality, it is necessary and appropriate for the achievement of the basic objective of improving the maritime safety and the protection of the marine environment, to lay down rules on the investigation of accidents in the maritime transport. This Directive does not go beyond what is necessary in order to achieve the objectives pursued in accordance with Article 5(4) of the Treaty on European Union. | (16) In accordance with the principle of proportionality, it is necessary and appropriate for the achievement of the basic objective of improving the Since the objectives of this Directive, namely to lay down rules on the investigation of accidents in the maritime transport sector in order to improve maritime safety and the protection of protect the marine environment, to lay down rules on the investigation of accidents in the maritime transport. This Directive does not go beyond what is necessary in order to achieve the objectives pursued cannot be sufficiently achieved by the Member States, but can rather, by reason of the scale and effects of the action, be better achieved at Union level, the Union may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5(4)5 of the Treaty on European Union. In accordance with the principle of proportionality as set out in that Article, this Directive does not go beyond what is necessary in order to achieve | C                        |

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|           |  |  | those objectives.  |                          |  |
| Recital : | 17   |  |  |                          |  |
| 27        | (17) Directive 2009/18/EC should therefore be amended accordingly, | (17) Directive 2009/18/EC should therefore be amended accordingly, | (17) Directive 2009/18/EC should therefore be amended accordingly, | A – identical            |  |
| Formula   | a<br>T   |  |  |                          |  |
| 28        | HAVE ADOPTED THIS<br>DIRECTIVE:                                    | HAVE ADOPTED THIS<br>DIRECTIVE:                                    | HAVE ADOPTED THIS DIRECTIVE:                                       | A – identical            |  |
| Article 1 | 1  |  |  |                          |  |
| 29        | Article 1<br>Amendments to Directive<br>2009/18/EC                 | Article 1<br>Amendments to Directive<br>2009/18/EC                 | Article 1<br>Amendments to Directive<br>2009/18/EC                 | A – identical            |  |
| Article 1 | 1, first paragraph   |  |  |                          |  |
| 30        | Directive 2009/18/EC is amended as follows:                        | Directive 2009/18/EC is amended as follows:                        | Directive 2009/18/EC is amended as follows:                        | A – identical            |  |
| Article 1 | Article 1, first paragraph, point (1)                              |  |  |                          |  |
| 31        | (1) In Article 1, paragraph 2 is replaced by the following:        | (1) In Article 1, paragraph 2 is replaced by the following:        | (1) In Article 1, paragraph 2 is replaced by the following:        | A – identical            |  |
| Article 1 | 1, first paragraph, point (1), amendi                              | ng provision, numbered paragraph                                   | (2)  |                          |  |

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| 32        | 2. Investigations pursuant to this Directive shall not have the aim of determining liability or apportioning blame. However, Member States shall ensure that the marine safety investigation Authority (hereinafter referred to as the 'investigation authority') does not refrain from fully reporting the causes of a marine casualty or incident because fault or liability may be inferred from the findings. | 2. Investigations pursuant to this Directive shall not have the aim of determining liability or apportioning blame. However, Member States shall ensure that the marine safety investigation Authority (hereinafter referred to as the 'investigation authority') does not refrain from fully reporting the causes of a marine casualty or incident because fault or liability may be inferred from the findings. | 2. The purpose of investigations pursuant to this Directive shall not have the aim of determining be to determine liability or apportion blame, so no fault or liability or apportion blame. However, shall be inferred from the findings of these investigations. Member States shall ensure that the marine safety investigation Authority Authorities (hereinafter referred to as the 'investigation authority') does not refrainauthorities') are not prevented or restricted from fully reporting the causes of a marine casualty or incident because fault or liability may be inferred from the findings. | C                        |
| Article 1 | L, first paragraph, point (2)   |   |  |                          |
| 33        | (2) Article 2 (2) is amended as follows   | (2) Article 2 (2) is amended as follows   | (2) Article 2 (2) is amended as follows  | A – identical            |
| Article 1 | L, first paragraph, point (2)(a)  |   |  |                          |
| 34        | (a) point (b) is replaced by the  | (a) point (b) is replaced by the  | (a) point (b) is replaced by the   | С                        |

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|           | following:   | following:   | following: (deleted)  |                          |  |
| Article 1 | <br>1, first paragraph, point (2)(a), amei   | ading provision, numbered paragra  | ph (h)  |                          |  |
| 35        | (b) ships not propelled by mechanical means, wooden ships of primitive build, pleasure yachts and pleasure craft unless they are used for commercial purposes. | (b) ships not propelled by mechanical means, wooden ships of primitive build, pleasure yachts and pleasure craft unless they are used for commercial purposes. | (b) ships not propelled by mechanical means, wooden ships of primitive build, pleasure yachts and pleasure craft unless they are used for commercial purposes.(deleted) | C                        |  |
| Article 1 | 1, first paragraph, point (2)(b)   |  |   |                          |  |
| 36        | (b) Point (d) is deleted   | (b) Point (d) is deleted   | (b) Point (d) is deleted  | A – identical            |  |
| Article 1 | 1, first paragraph, point (3)  |  |   |                          |  |
| 37        | (3) Article 3 is replaced by the following:  | (3) Article 3 is replaced by the following:  | (3) Article 3 is replaced by the following:   | A – identical            |  |
| Article 1 | Article 1, first paragraph, point (3), amending provision, first paragraph   |  |   |                          |  |
| 38        | Article 3  | Article 3  | Article 3   | A – identical            |  |
| Article 1 | 1, first paragraph, point (3), amendi  | ng provision, second paragraph   |   |                          |  |
| 39        |  |  |   |                          |  |

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|           | Definitions   | Definitions   | Definitions   | A – identical            |
| Article 1 | 1, first paragraph, point (3), amend  | ing provision, third paragraph  |   |                          |
| 40        | For the purposes of this Directive:   | For the purposes of this Directive:   | For the purposes of this Directive:   | A – identical            |
| Article 1 | 1, first paragraph, point (3), amend  | ing provision, third paragraph, poin  | t (1)   |                          |
| 41        | 1. 'IMO Casualty Investigation Code' shall mean the Code of the international standards and recommended practices for a safety investigation into marine casualty or marine incident annexed to Resolution MSC.255(84) of the IMO Maritime Safety Committee, in its up-to-date version; | 1. 'IMO Casualty Investigation Code' shall mean the Code of the international standards and recommended practices for a safety investigation into marine casualty or marine incident annexed to Resolution MSC.255(84) of the IMO Maritime Safety Committee, in its up-to-date version; | 1. 'IMO Casualty Investigation Code' shall mean the Code of the International Standards and Recommended Practices for a Safety Investigation into a Marine Casualty or Marine Incident annexedin Annex to Resolution MSC.255(84) of the IMO Maritime Safety Committee, in its up-to-date version; | A                        |
| Article 1 | 1, first paragraph, point (3), amend  | ing provision, third paragraph, poin  | t (2)   |                          |
| 42        | 2. 'IMO Guidelines to assist investigators in the implementation of the Casualty Investigation Code' shall mean the guidelines adopted in the IMO Assembly by Resolution A.1075(28) on 4 December 2013;   | 2. 'IMO Guidelines to assist investigators in the implementation of the Casualty Investigation Code' shall mean the guidelines adopted in the IMO Assembly by Resolution A.1075(28) on 4 December 2013;   | 2. 'IMO Guidelines to Assist Investigators in the Implementation of the Casualty Investigation Code' shall mean the guidelines adopted in the IMO Assembly by Resolution A.1075(28) on 4 December 2013, in their up-to-date version;  | В                        |

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|           |   |   |   |                          |  |  |  |
| Article 1 | Article 1, first paragraph, point (3), amending provision, third paragraph, point (3)   |   |   |                          |  |  |  |
| 43        | 3. the following terms shall be understood in accordance with the definitions contained in the IMO Casualty Investigation Code: | 3. the following terms shall be understood in accordance with the definitions contained in the IMO Casualty Investigation Code: | 3. the following terms shall be understood in accordance with the definitions contained in the IMO Casualty Investigation Code: | A – identical            |  |  |  |
| Article 1 | L, first paragraph, point (3), amendi   | ng provision, third paragraph, poin   | t (3)(a)  |                          |  |  |  |
| 44        | (a) 'marine casualty';  | (a) 'marine casualty';  | (a) 'marine casualty';  | A – identical            |  |  |  |
| Article 1 | L, first paragraph, point (3), amendi   | ng provision, third paragraph, poin   | t (3)(b)  |                          |  |  |  |
| 45        | (b) 'very serious marine casualty';   | (b) 'very serious marine casualty';   | (b) 'very serious marine casualty';   | A – identical            |  |  |  |
| Article 1 | L, first paragraph, point (3), amendi   | ng provision, third paragraph, poin   | t (3)(c)  |                          |  |  |  |
| 46        | (c) 'marine incident';  | (c) 'marine incident';  | (c) 'marine incident';  | A – identical            |  |  |  |
| Article 1 | Article 1, first paragraph, point (3), amending provision, third paragraph, point (3)(d)  |   |   |                          |  |  |  |
| 47        | (d) 'marine safety investigation';  | (d) 'marine safety investigation';  | (d) 'marine safety investigation';  | A – identical            |  |  |  |
| Article 1 | l, first paragraph, point (3), amendi   | ng provision, third paragraph, poin   | t (3)(e)  |                          |  |  |  |
| 48        |   |   |   |                          |  |  |  |

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|           | (e) 'marine safety investigation Authority';   | (e) 'marine safety investigation Authority';   | (e) 'marine safety investigation Authority';   | A – identical            |
| Article 1 | l, first paragraph, point (3), amendi  | ng provision, third paragraph, poin  | t (3)(f)   |                          |
| 49        | (f) 'marine safety investigating State';   | (f) 'marine safety investigating State';   | (f) 'marine safety investigating State';   | A – identical            |
| Article 1 | 1, first paragraph, point (3), amendi  | ng provision, third paragraph, poin  | t (3)(g)   |                          |
| 50        | (g) 'substantially interested<br>State';   | (g) 'substantially interested<br>State';   | (g) 'substantially interested<br>State';   | A – identical            |
| Article 1 | l, first paragraph, point (3), amendi  | ng provision, third paragraph, poin  | t (3)(h)   |                          |
| 51        | (h) 'serious injury';  | (h) 'serious injury';  | (h) 'serious injury';  | A – identical            |
| Article 1 | 1, first paragraph, point (3), amendi  | ng provision, third paragraph, poin  | t (4)  |                          |
| 52        | 4. 'IMO guidelines on the fair treatment of seafarers in the event of a maritime accident' shall mean the guidelines as annexed to Resolution LEG.3(91) of the IMO Legal Committee of 27 April 2006 and as approved by the Governing Body of the International Labour Organisation in its 296th session of 12 to 16 June 2006; | 4. 'IMO guidelines on the fair treatment of seafarers in the event of a maritime accident' shall mean the guidelines as annexed to Resolution LEG.3(91) of the IMO Legal Committee of 27 April 2006 and as approved by the Governing Body of the International Labour Organisation in its 296th session of 12 to 16 June 2006; | 4. 'IMO Guidelines on the Fair Treatment of Seafarers in the Event of a Maritime Accident' shall mean the guidelines as annexedin Annex to Resolution LEG.3(91) of the IMO Legal Committee of 27 April 2006 and as approved by the Governing Body of the International Labour Organisation inat its 296th session of 12 to 16 June 2006; | A                        |

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| Article 1 | 1, first paragraph, point (3), amendi  | ng provision, third paragraph, poin  | t (5)  |                          |
| 53        | 5. the terms 'ro-ro ferry' and 'high-speed passenger craft' shall be understood in accordance with the definitions contained in Article 2 of Directive (EU) 2017/2110 <sup>1</sup> ;  1. Directive (EU) 2017/2110 of the European Parliament and of the Council of 15 November 2017 on a system of inspections for the safe operation of ro-ro passenger ships and high-speed passenger craft in regular service and amending Directive 2009/16/EC and repealing Council Directive 1999/35/EC (OJ L 315, 30.11.2017, p. 61). | 5. the terms 'ro-ro ferry' and 'high-speed passenger craft' shall be understood in accordance with the definitions contained in Article 2 of Directive (EU) 2017/2110 <sup>1</sup> ;  1. Directive (EU) 2017/2110 of the European Parliament and of the Council of 15 November 2017 on a system of inspections for the safe operation of ro-ro passenger ships and high-speed passenger craft in regular service and amending Directive 2009/16/EC and repealing Council Directive 1999/35/EC (OJ L 315, 30.11.2017, p. 61). | 5. the terms 'ro-ro ferrypassenger ship' and 'high-speed passenger craft' shall be understood in accordance with the definitions contained in Article 2 of Directive (EU) 2017/2110 of the European Parliament and of the Council of 15 November 2017 on a system of inspections for the safe operation of ro-ro passenger ships and high-speed passenger craft in regular service and amending Directive 2009/16/EC and repealing Council Directive 1999/35/EC (OJ L 315, 30.11.2017, p. 61). | В                        |
| Article 1 | 1, first paragraph, point (3), amendi  | ng provision, third paragraph, point   | t (6)  |                          |
| 54        | 6. 'Voyage data recorder' (hereinafter referred to as 'VDR') shall be understood in accordance with the definition contained in Resolution MSC.333(90) of the IMO Maritime Safety Committee in its up-to-date version;   | 6. 'Voyage data recorder' (hereinafter referred to as 'VDR') shall be understood in accordance with the definition contained in Resolution MSC.333(90) of the IMO Maritime Safety Committee in its up-to-date version;   | 6. 'Voyage data recorder' (hereinafter referred to as 'VDR') and simplified voyage data recorder (hereinafter referred to as 'S-VDR') shall be understood in accordance with the definition contained in Resolution MSC.333(90) and MSC. 163(78) of the IMO Maritime Safety Committee in itstheir up-to-date versionversions, as supplemented by the relevant  | В                        |

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|           |   |   | IMO performance standards<br>depending on the date of<br>installation on-board, without<br>prejudice to Union legislation;  |                          |
| Article 1 | L, first paragraph, point (3), amendi   | ng provision, third paragraph, point  | t (7)   |                          |
| 55        | 7. 'safety recommendation' shall mean any proposal made, including for the purposes of registration and control, by:  | 7. 'safety recommendation' shall mean any proposal made, including for the purposes of registration and control, by:  | 7. 'safety recommendation' shall mean any proposal made, including for the purposes of registration and control, by:  | A – identical            |
| Article 1 | L, first paragraph, point (3), amendi   | ng provision, third paragraph, point  | t (7)(a)  |                          |
| 56        | (a) the investigation authority of<br>the State conducting, or leading,<br>the safety investigation on the<br>basis of information derived<br>from that investigation; or where<br>appropriate, | (a) the investigation authority of<br>the State conducting, or leading,<br>the safety investigation on the<br>basis of information derived<br>from that investigation; or where<br>appropriate, | (a) the investigation authority of<br>the State conducting, or leading,<br>the safety investigation on the<br>basis of information derived<br>from that investigation; or where<br>appropriate, | A – identical            |
| Article 1 | L, first paragraph, point (3), amendi   | ng provision, third paragraph, point  | t (7)(b)  |                          |
| 57        | (b) the Commission, acting on<br>the basis of an abstract data<br>analysis and the results of safety<br>investigations carried out;   | (b) the Commission, acting on<br>the basis of an abstract data<br>analysis and the results of safety<br>investigations carried out;   | (b) the Commission, acting on<br>the basis of an abstract data<br>analysis and the results of safety<br>investigations carried out;   | A – identical            |
| Article 1 | L, first paragraph, point (3), amendi   | ng provision, third paragraph, point  | t (8)   |                          |
| 58        | 8. 'length of a fishing vessel'   | 8. 'length of a fishing vessel'   | 8. 'length of a fishing vessel'   | A – identical            |

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|           | shall be understood in accordance with the definition contained in Article 2 of Regulation (EU) 2017/1130¹;  1. Regulation (EU) 2017/1130 of the European Parliament and of the Council of 14 June 2017 defining characteristics for fishing vessels (OJ L 169, 30.6.2017, p.1) | shall be understood in accordance with the definition contained in Article 2 of Regulation (EU) 2017/1130¹;  1. Regulation (EU) 2017/1130 of the European Parliament and of the Council of 14 June 2017 defining characteristics for fishing vessels (OJ L 169, 30.6.2017, p.1) | shall be understood in accordance with the definition contained in Article 2 of Regulation (EU) 2017/1130¹;  1. Regulation (EU) 2017/1130 of the European Parliament and of the Council of 14 June 2017 defining characteristics for fishing vessels (OJ L 169, 30.6.2017, p.1) |                          |
| Article 1 | , first paragraph, point (3), amendi  | ng provision, third paragraph, point  | t (9)   |                          |
| 59        | 9. 'fatal injury' means an injury which is sustained by a person in an accident and which results in his or her death within 30 days of the date of the accident.   | 9. 'fatal injury' means an injury which is sustained by a person in an accident and which results in his or her death within 30 days of the date of the accident.   | 9. 'fatal injury' means an injury which is sustained by a person in an accident, and which results in his or her death within 3015 days of the date of the accident, if the related information is available.   | C                        |
| Article 1 | L, first paragraph, point (4)   |   |   |                          |
| 60        | (4) Article 4 is amended as follows:  | (4) Article 4 is amended as follows:  | (4) Article 4 is amended as follows:  | A – identical            |
| Article 1 | , first paragraph, point (4)(a)   |   |   |                          |
| 61        | (a) in paragraph 1, point (a) is replaced by the following:   | (a) in paragraph 1, point (a) is replaced by the following:   | (a) in paragraph 1, point (a) is replaced by the following:   | A – identical            |
| Article 1 | l, first paragraph, point (4)(a), ame   | nding provision, numbered paragra   | ph (a)  |                          |

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| 62        | (a) independent of criminal or other parallel investigations held to determine liability or to apportion blame and that investigation authorities are able to report on the results of a marine safety investigation without direction or interference from any persons, organisations or parties who may be affected by its outcome. | (a) independent of criminal or other parallel investigations held to determine liability or to apportion blame and that investigation authorities are able to report on the results of a marine safety investigation without direction or interference from any persons, organisations or parties who may be affected by its outcome. | (a) independent of criminal or other parallel investigations held to determine liability or to apportion blame and that investigation authorities are able to report on the results of a marine safety investigation without direction or interference from any persons, organisations or parties who may be affected by its outcome. | A – identical            |
| 63        | (b) in paragraph 2, point (b) is replaced by the following:   | (b) in paragraph 2, point (b) is replaced by the following:   | (b) in paragraph 2, point (b) is replaced by the following:   | A – identical            |
| Article 1 | , first paragraph, point (4)(b), ame  | nding provision, numbered paragra   | ph (b)  |                          |
| 64        | (b) coordination of the activities of their respective investigation authorities to the extent necessary to attain the objective of this Directive.   | (b) coordination of the activities of their respective investigation authorities to the extent necessary to attain the objective of this Directive.   | (b) coordination of the activities of their respective investigation authorities to the extent necessary to attain the objective of this Directive.   | A – identical            |
| Article 1 | , first paragraph, point (5)  |   |   |                          |
| 65        |   |   |   |                          |

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|           | (5) Article 5 is replaced by the following:  | (5) Article 5 is replaced by the following:  | (5) Article 5 is replaced by the following:  | A – identical            |
| Article 1 | L, first paragraph, point (5), amendi  | ng provision, first paragraph  |  |                          |
| 66        | Article 5  | Article 5  | Article 5  | A – identical            |
| Article 1 | L, first paragraph, point (5), amendi  | ng provision, second paragraph   |  |                          |
| 67        | Obligation to investigate  | Obligation to investigate  | Obligation to investigate  | A – identical            |
| Article 1 | , first paragraph, point (5), amendi   | ng provision, numbered paragraph   | (1)  |                          |
| 68        | 1. Each Member State shall ensure that a safety investigation is carried out by the investigation authority referred to in Article 8 after very serious marine casualties: | 1. Each Member State shall ensure that a safety investigation is carried out by the investigation authority referred to in Article 8 after very serious marine casualties: | 1. Each Member State shall ensure that a safety investigation is carried out by the investigation authority referred to in Article 8 after a very serious marine easualtiescasualty: | A                        |
| Article 1 | l, first paragraph, point (5), amend   | ng provision, numbered paragraph   | (1), point (a)   |                          |
| 69        | (a) involving a ship flying its flag, irrespective of the location of the casualty;  | (a) involving a ship flying its flag, irrespective of the location of the casualty;  | (a) involving a ship flying its flag, irrespective of the location of the casualty;  | A – identical            |
| Article 1 | L, first paragraph, point (5), amendi  | ng provision, numbered paragraph   | (1), point (b)   |                          |
| 70        |  |  |  |                          |

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|           | (b) occurring within its territorial sea and internal waters as defined in UNCLOS, irrespective of the flag of the ship or ships involved in the casualty; or  | (b) occurring within its territorial sea and internal waters as defined in UNCLOS, irrespective of the flag of the ship or ships involved in the casualty; or  | (b) occurring within its territorial sea and internal waters as defined in UNCLOS, irrespective of the flag of the ship or ships involved in the casualty; or  | A – identical            |  |
| Article 1 | l, first paragraph, point (5), amendi  | ng provision, numbered paragraph   | (1), point (c)   |                          |  |
| 71        | (c) involving a substantial interest of the Member State, irrespective of the location of the casualty and of the flag of the ship or ships involved.  | (c) involving a substantial interest of the Member State, irrespective of the location of the casualty and of the flag of the ship or ships involved.  | (c) involving a substantial interest of the Member State, irrespective of the location of the casualty and of the flag of the ship or ships involved.  | A – identical            |  |
| Article 1 | I, first paragraph, point (5), amendi  | ng provision, numbered paragraph   | (2), first subparagraph  |                          |  |
| AM 12     |  |  |  |                          |  |
| 72        | 2. In the case of a fishing vessel of less than 15 metres in length, the investigation authority shall carry out a preliminary assessment of the very serious marine casualty to determine whether or not to conduct a safety investigation. | 2. In the case of a fishing vessel of less than 15 metres in length, the investigation authority shall without delay and no later than one month after its occurrence, carry out a preliminary assessment of the very serious marine casualty to determine whether or not to conduct a safety investigation. | 2. In the case of a fishing vessel of less than 15 metres in length, the investigation authority shall carry out a preliminary assessment of the very serious marine casualty to determine whether or not to conduct a safety investigation. | C                        |  |
| Article 1 | Article 1, first paragraph, point (5), amending provision, numbered paragraph (2), second subparagraph  AM 13  |  |  |                          |  |
| 73        |  |  |  |                          |  |
| , 5       |  |  |  |                          |  |

|           | Commission Proposal   | EP Mandate  | Council Mandate   | PCY suggestions/comments |
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|           | Where the investigation authority decides not to undertake a safety investigation of a very serious marine casualty involving a fishing vessel of less than 15 metres the reasons for that decision shall be recorded and notified in accordance with Article 17(3).  | Where the investigation authority decides not to undertake a safety investigation of a very serious marine easualty casualties involving a fishing vessel of less than 15 metres, the reasons for that decision shall be recorded and notified in accordance with Article 17(3) without delay and no later than one month after its occurrence.   | In cases where the investigation authority decides not to undertake a safety investigation of a very serious marine casualty involving a fishing vessel of less than 15 metres, the reasons for that decision shall be recorded and notified in accordance with Article 17(3).  | C                        |
| Article 1 | L, first paragraph, point (5), amendi   | ng provision, numbered paragraph  | (3)   |                          |
| 74        | 3. In the decisions referred to in paragraph 2, the investigation authority shall take into account the evidence available as well as the potential for the findings of the safety investigation to lead to the prevention of future casualties and incidents. In the case of any other marine casualty or incident, the investigation authority shall decide whether or not to undertake a safety investigation. | 3. In the decisions referred to in paragraph 2, the investigation authority shall take into account the evidence available as well as the potential for the findings of the safety investigation to lead to the prevention of future casualties and incidents. In the case of any other marine casualty or incident, the investigation authority shall decide whether or not to undertake a safety investigation. | 3. In the decisions referred to in paragraph 2, the investigation authority shall take into account the evidence available as well as the potential for the findings of the safety investigation to lead to the prevention of future casualties and incidents. In the case of any other marine casualty or incident, the investigation authority shall decide whether or not to undertake a safety investigation. | A – identical            |
| Article 1 | l, first paragraph, point (5), amendi   | ng provision, numbered paragraph  | (4)   |                          |
| 75        | 4. The scope and practical arrangements for the conduct of  | 4. The scope and practical arrangements for the conduct of  | 4. The scope and practical arrangements for the conduct of  | A                        |

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|       | safety investigations shall be determined by the investigation authority of the lead investigating Member State in cooperation with the equivalent authorities of the other substantially interested States, in such manner as appears to it most conducive to achieving the objective of this Directive, and with a view to preventing future casualties and incidents.  | safety investigations shall be determined by the investigation authority of the lead investigating Member State in cooperation with the equivalent authorities of the other substantially interested States, in such manner as appears to it most conducive to achieving the objective of this Directive, and with a view to preventing future casualties and incidents.   | safety investigations shall be determined by the investigation authority of the lead investigating Member State in cooperation with the equivalent authorities of the other substantially interested States, in suchthe manner as appears to itin which it believes most conducive to achieving the objective of this Directive, and with a view to preventing future casualties and incidents.   |                          |
|       | l, first paragraph, point (5), amendi   | ng provision, numbered paragraph   | (5)   |                          |
| AM 14 |   |  |   |                          |
| 76    | 5. When carrying out safety investigations, the investigation authority shall follow the IMO Guidelines to assist investigators in the implementation of the Casualty Investigation Code. Investigators may depart from these guidelines where this can be justified as necessary, in their professional judgement to achieve the aims of the investigation. The Commission may adapt the guidelines for the purposes of this Directive, taking into account any relevant lessons drawn from safety investigations, in accordance | 5. When carrying out safety investigations, the investigation authority shall follow the IMO Guidelines to assist investigators in the implementation of the Casualty Investigation Code. Investigators may depart from these guidelines where this can be justified as necessary, in their professional judgement to achieve the aims of the investigation. The Commission may adopt implementing acts to adapt the guidelines for the purposes of this Directive, taking into account any relevant lessons drawn from safety | 5. When carrying out safety investigations, the investigation authority shall follow the IMO Guidelines to Assist Investigators in the Implementation of the Casualty Investigation Code. Investigators may depart from these guidelines where this can be justified as necessary, in their professional judgement, to achieve the aims of the investigation. The Commission may adapt the guidelines adopt recommendations for the purposes of this Directiveimplementation of | C                        |

|        | Commission Proposal  | EP Mandate  | Council Mandate  | PCY suggestions/comments |
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|        | with the procedure referred to in Article 19.  | investigations. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 19.  | these guidelines, taking into account any relevant lessons drawn from safety investigations, and after consulting the investigating authorities in connectionin accordance with the procedure referred topermanent cooperation framework defined in Article 1910.  |                          |
| Articl | e 1, first paragraph, point (5), amendi  | ing provision, numbered paragraph   | (6)  |                          |
| AM 1   | 5  |   |  |                          |
| 77     | 6. When deciding if a marine casualty or incident occurring alongside, moored or in dock, involving shore or port workers, occurred "directly in connection with the operations of a ship" and therefore is subject to a safety investigation, particular consideration shall be given to the involvement and relevance of the ship's structure, equipment, procedures, crew and ship management to the activity being undertaken. | 6. When deciding if a marine casualty or incident occurring alongside, moored or in dock, involving shore or port workers, occurred "directly in connection with the operations of a ship" and therefore is subject to a safety investigation, particular consideration shall be given to the involvement and relevance to the activity being undertaken, including for all kind of cargo, of the ship's structure, the general condition of the vessel, its seaworthiness, its safety compliance, its equipment, its procedures, erew and ship management to the activity being undertakenthe working conditions of its crew | 6. When deciding ifwhether a marine casualty or incident occurring alongside, moored or in dock, involving shore or port workers, occurred "directly in connection with the operations of a ship" and is therefore is subject to a safety investigation, particular considerationMember States shall, in accordance with their national law, give particular consideration-be given to the involvement and relevance of the ship's structure, equipment, procedures, crew and ship management in and to the activity being undertaken. | C                        |

|           | <b>Commission Proposal</b>  | EP Mandate  | Council Mandate   | PCY suggestions/comments |
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|           |   | and the ship management.  |   |                          |
| Article 1 | , first paragraph, point (5), amendi  | ng provision, numbered paragraph  | (7)   |                          |
| AM 16     |   |   |   |                          |
| 78        | 7. A safety investigation shall be started without delay after the marine casualty or incident occurs and, in any event, no later than two months after its occurrence. | 7. A safety investigation shall be started without delay after the marine casualty or incident occurs and, in any event, no later than two months one month after its occurrence.   | 7. A safety investigation shall be started without delay after the marine casualty or incident occurs and, in any event, no later than two months after its occurrence. | C                        |
|           | , first paragraph, point (5), amendi  | ng provision, numbered paragraph  | (7a)  |                          |
| AM 17     |   |   |   |                          |
| 78a       |   | 7a. The investigation authority shall make every effort to conclude an investigation within 12 months of the date of the marine casualty or incident. If the investigation cannot be concluded within 12 months, and until it is concluded, the investigation authority shall publish a report at least every year on the anniversary of the date of the marine casualty or incident, detailing the progress of the investigation and any safety issues raised. |   | C                        |
| Article 1 | , first paragraph, point (5), amendi  | ng provision, numbered paragraph  | (8)   |                          |

|           | Commission Proposal  | EP Mandate   | Council Mandate  | PCY suggestions/comments |
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| 79        | 8. If in the course of a marine safety investigation it becomes known or is suspected that an offence is committed under Articles 3, 3bis, 3ter or 3quarter of the Convention for the Suppression of Unlawful Acts Against the Safety of Maritime Navigation, 1988, the investigation authority shall immediately inform the maritime security authorities of the Member State or Member States and of any third country concerned are informed. | 8. If in the course of a marine safety investigation it becomes known or is suspected that an offence is committed under Articles 3, 3bis, 3ter or 3quarter of the Convention for the Suppression of Unlawful Acts Against the Safety of Maritime Navigation, 1988, the investigation authority shall immediately inform the maritime security authorities of the Member State or Member States and of any third country concerned are informed. | 8. If in the course of a marine safety investigation it becomes known or is suspected that an offence ishas been committed under Articles 3, 3bis, 3ter or 3quarter of the Convention for the Suppression of Unlawful Acts Against the Safety of Maritime Navigation, 1988, in its up-to-date version, the investigation authority, taking into account national law, shall immediately inform the maritime security authorities of the Member State or Member States and of any third country concerned are informed. | C                        |
| Article 1 | L, first paragraph, point (6)  |  |  |                          |
| 80        | (6) Article 6 is replaced by the following:  | (6) Article 6 is replaced by the following:  | (6) Article 6 is replaced by the following:  | A – identical            |
| Article 1 | L, first paragraph, point (6), amendi  | ng provision, first paragraph  |  |                          |
| 81        | Article 6  | Article 6  | Article 6  | A – identical            |
| Article 1 | l, first paragraph, point (6), amendi  | ng provision, second paragraph   |  |                          |
| 82        |  |  |  |                          |

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|           | Obligation to notify   | Obligation to notify   | Obligation to notify   | A – identical            |
| Article 1 | ı<br>I, first paragraph, point (6), amendi   | ng provision, third paragraph  |  |                          |
| 83        | A Member State shall require, in the framework of its legal system, that its investigation authority be notified without delay, by the responsible authorities and/or by the parties involved or both, of the occurrence of all casualties and incidents falling within the scope of this Directive. | A Member State shall require, in the framework of its legal system, that its investigation authority be notified without delay, by the responsible authorities and/or by the parties involved or both, of the occurrence of all casualties and incidents falling within the scope of this Directive. | A Member State shall require, in the framework of its legal system, that its investigation authority be notified without delay, by the responsible authorities and/or by the parties involved or both, of the occurrence of all casualties and incidents falling within the scope of this Directive. | A – identical            |
| Article 1 | , first paragraph, point (7)   |  |  |                          |
| 84        | (7) Article 7 is amended as follows:   | (7) Article 7 is amended as follows:   | (7) Article 7 is amended as follows:   | A – identical            |
| Article 1 | L, first paragraph, point (7)(a)   |  |  |                          |
| 85        | (a) in paragraph 1, the third subparagraph is replaced by the following:   | (a) in paragraph 1, the third subparagraph is replaced by the following:   | (a) in paragraph 1, the third subparagraph is replaced by the following:   | A – identical            |
|           | l, first paragraph, point (7)(a), amei   | nding provision, first paragraph   | •  |                          |
| AM 18     |  |  |  |                          |
| 86        | ·  | ·  | ·  | С                        |

|           | <b>Commission Proposal</b>   | EP Mandate  | Council Mandate  | PCY suggestions/comments |
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|           | The conduct of parallel safety investigations into the same marine casualty or incident shall be strictly limited to exceptional cases. In such cases, Member States shall notify the Commission of the reasons for conducting such parallel investigations. Member States conducting parallel safety investigations shall cooperate with each other. In particular, the investigation authorities involved shall exchange any pertinent information gathered in the course of their respective investigations, in particular in order to reach, as far as possible, shared conclusions. | The conduct of parallel safety investigations into the same marine casualty or incident shall be strictly limited to exceptional cases. In such cases, Member States shall notify the Commission of the reasons for conducting such parallel investigations. Member States conducting parallel safety investigations shall cooperate with each other. In particular, the investigation authorities involved shall exchange any pertinent information gathered in the course of their respective investigations in a timely manner, in particular in order to reach, as far as possible, shared conclusions. | The conduct of parallel safety investigations into the same marine casualty or incident shall be strictly limited to exceptional cases. In such cases, Member States shall notify the Commission of the reasons for conducting such parallel investigations. Member States conducting parallel safety investigations shall cooperate with each other. In particular, the investigation authorities involved shall exchange any pertinent information gathered in the course of their respective investigations, in particular in order to reach, as far as possible, shared conclusions. |                          |
| Article 1 | 1, first paragraph, point (7)(b)   |   |  |                          |
| 87        | (b) the following paragraph 1a is inserted:  | (b) the following paragraph 1a is inserted:   | (b) the following paragraph 1a is inserted:  | A – identical            |
| Article 1 | 1, first paragraph, point (7)(b), ame  | nding provision, numbered paragra   | ph (1a)  |                          |
| 88        | 1a. During the conduct of the marine safety investigation, substantially interested States   | 1a. During the conduct of the marine safety investigation, substantially interested States  | 1a. During the conduct of the marine safety investigation, substantially interested <b>Member</b>  | С                        |

|           | Commission Proposal   | EP Mandate  | Council Mandate   | PCY suggestions/comments |
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|           | should assist to the extent practical, the marine safety investigating Member State(s) with access to relevant information for the marine safety investigation. The investigator or investigators carrying out a marine safety investigation should also be granted access to Government surveyors, coastguard officers, ship traffic service operators, pilots and other marine personnel of the substantially interested State. | should assist to the extent practical, the marine safety investigating Member State(s) with access to relevant information for the marine safety investigation. The investigator or investigators carrying out a marine safety investigation should also be granted access to Government surveyors, coastguard officers, ship traffic service operators, pilots and other marine personnel of the substantially interested State. | States shouldshall assist to the extent practical, the marine safety investigating Member State(s) with access to relevant information for the marine safety investigation. The investigator or investigators carrying out a marine safety investigation shouldmay also be granted access to information held by Government surveyors, coastguard officers, ship traffic service operators, pilots and other marine personnel of the substantially interested State, in accordance with its national law. |                          |
| Article 2 | 1, first paragraph, point (7)(b), ame   | nding provision, numbered paragra   | ph (1aa)  |                          |
| 88a       |   |   | (c) paragraph 4 is replaced by the following:   | С                        |
| Article 2 | 1, first paragraph, point (7)(b), ame   | nding provision, numbered paragra   | ph (1ab)  |                          |
| 88b       |   |   | 4. When a ro-ro passenger ship or high-speed passenger craft is involved in a marine casualty or incident, the safety investigation procedure shall be launched by the Member State in whose territorial sea or internal waters as defined  | C                        |

|           | Commission Proposal                         | EP Mandate                                  | Council Mandate   | PCY suggestions/comments |
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|           |   |   | in UNCLOS the accident or incident occurs or, if occurring in other waters, by the last Member State visited by that ro-ro passenger ship. That State shall remain responsible for the safety investigation and coordination with other substantially interested Member States until it is mutually agreed which of them is to be the lead investigating State. |                          |
| Article 2 | 1, first paragraph, point (8)               |   |   |                          |
| 89        | (8) Article 8 is replaced by the following: | (8) Article 8 is replaced by the following: | (8) Article 8 is replaced by the following:   | A – identical            |
| Article 2 | 1, first paragraph, point (8), amendi       | ng provision, first paragraph               |   |                          |
| 90        | Article 8                                   | Article 8                                   | Article 8   | A – identical            |
| Article 2 | 1, first paragraph, point (8), amendi       | ng provision, second paragraph              |   |                          |
| 91        | Marine safety investigation<br>Authorities  | Marine safety investigation<br>Authorities  | Marine safety investigation<br>Authorities  | A – identical            |
| Article 1 | 1, first paragraph, point (8), amendi       | ng provision, numbered paragraph            | (1), first subparagraph   |                          |

|           | Commission Proposal  | EP Mandate   | Council Mandate   | PCY suggestions/comments |
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| 92        | 1. Member States shall ensure that marine safety investigations are conducted under the responsibility of an impartial, permanent marine safety investigation Authority, endowed with the necessary powers, with sufficient means and financial resources and by suitably qualified investigators, competent in matters relating to marine casualties and incidents to undertake marine safety investigations into marine casualties and marine incidents. | 1. Member States shall ensure that marine safety investigations are conducted under the responsibility of an impartial, permanent marine safety investigation Authority, endowed with the necessary powers, with sufficient means and financial resources and by suitably qualified investigators, competent in matters relating to marine casualties and incidents to undertake marine safety investigations into marine casualties and marine incidents. | 1. Member States shall ensure that marine safety investigations are conducted under the responsibility of an impartial, independent and permanent marine safety investigation Authority, endowed with the necessary powers, and with sufficient means and financial resources, and by suitably qualified investigators, competent in matters relating to marine casualties and incidents to undertake marine safety investigations into marine easualties and marine incidentscomply with their obligations pursuant to this Directive. | C                        |
| Article 1 | , first paragraph, point (8), amendi   | ng provision, numbered paragraph   | (1), second subparagraph  |                          |
| 93        | Neither the appropriate appointment of investigators with necessary specialist skills to form part of a marine safety investigation on a temporary basis, nor the use of consultants to provide expert advice on any aspect of a marine safety investigation are precluded.  | Neither the appropriate appointment of investigators with necessary specialist skills to form part of a marine safety investigation on a temporary basis, nor the use of consultants to provide expert advice on any aspect of a marine safety investigation are precluded.  | Neither the appropriate appointment of investigators with necessary specialist skills to form part of a marine safety investigation on a temporary basis, nor the use of consultants to provide expert advice on any aspect of a marine safety investigation are precluded.   | A – identical            |
| Article 1 | , first paragraph, point (8), amendi   | ng provision, numbered paragraph   | (1), third subparagraph   |                          |

| ANA 10    | Commission Proposal  | EP Mandate   | Council Mandate   | PCY suggestions/comments |
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| 94        | In order to carry out a safety investigation in an unbiased manner, the investigation authority shall be independent in its organisation, legal structure and decision-making of any party whose interests could conflict with the task entrusted to it. | In order to carry out a safety investigation in an unbiased manner and in order to avoid any conflict of interests, the investigation authority shall be independent in its organisation, legal structure and decision-making of any party whose interests could conflict with the task entrusted to it. | In order to carry out a safety investigation in an unbiased manner, the <b>marine safety</b> investigation Authority shall be independent in its organisation, legal structure and decision-making of any party whose interests could conflict with the task entrusted to it. | C                        |
| Article 1 | , first paragraph, point (8), amendi   | ng provision, numbered paragraph   | (1), fourth subparagraph  |                          |
| 95        | Landlocked Member States which have neither ships nor vessels flying their flag will identify an independent focal point to cooperate in the investigation pursuant to Article 5(1)(c).  | Landlocked Member States which have neither ships nor vessels flying their flag will identify an independent focal point to cooperate in the investigation pursuant to Article 5(1)(c).  | Landlocked Member States which have neither ships nor vessels flying their flag willthat fall under the scope of this Directive shall identify an independent focal point to cooperate in the safety investigation pursuant to Article 5(1)(c).                               | C                        |
| Article 1 | , first paragraph, point (8), amendi   | ng provision, numbered paragraph   | (2)   |                          |
| 96        | 2. The investigation authority shall ensure that individual investigators have a working knowledge of, and practical experience in, those subject areas pertaining to their normal   | 2. The investigation authority shall ensure that individual investigators have a working knowledge of, and practical experience in, those subject areas pertaining to their normal   | 2. The marine safety investigation Authority shall ensure that individual investigators have a working knowledge of, and practical experience in, those subject   | A                        |

|       | Commission Proposal  | EP Mandate   | Council Mandate  | PCY suggestions/comments |
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|       | investigative duties. Additionally, the investigation authority shall ensure ready access to appropriate expertise, as necessary.  | investigative duties. Additionally, the investigation authority shall ensure ready access to appropriate expertise, as necessary.  | areas pertaining to their normal investigative duties. Additionally, the marine safety investigation Authority shall ensure ready access to appropriate expertise, as necessary.   |                          |
|       | l, first paragraph, point (8), amendi  | ng provision, numbered paragraph   | (3)  |                          |
| AM 20 |  |  |  |                          |
| 97    | 3. The activities entrusted to the investigation authority may be extended to the gathering and analysis of data relating to maritime safety, in particular for prevention purposes, insofar as these activities do not affect its independence or entail responsibility in regulatory, administrative or standardisation matters. | 3. The activities entrusted to the investigation authority <i>mayshall</i> be extended to <i>include</i> the gathering and analysis, <i>and processing</i> , of data relating to maritime safety, in particular for prevention purposes, insofar as these activities do not affect its independence or entail responsibility in regulatory, administrative or standardisation matters. | 3. The activities entrusted to the marine safety investigation Authority may be extended to the gathering and analysis of data relating to maritime safety, in particular for prevention purposes, insofar as these activities do not affect its independence or entail responsibility in regulatory, administrative or standardisation matters. | C                        |
|       | l, first paragraph, point (8), amendi  | ng provision, numbered paragraph   | (4)  |                          |
| AM 21 |  |  |  |                          |
| 98    | 4. Member States, acting in the framework of their respective legal systems, shall ensure that the investigators of its investigation authority, or of any other investigation authority to  | 4. Member States, acting in the framework of their respective legal systems, shall ensure that the investigators of its investigation authority, or of any other investigation authority to  | 4. Member States, acting in the framework of their respective legal systems, shall ensure that the investigators of its marine safety investigation Authority, or of any other marine safety   | C                        |

|           | <b>Commission Proposal</b>   | EP Mandate   | Council Mandate   | PCY suggestions/comments |
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|           | which it has delegated the task of marine safety investigation, where appropriate in collaboration with the authorities responsible for the judicial inquiry, be provided with any information pertinent to the conduct of the marine safety investigation and therefore be authorised to: | which it has delegated the task of marine safety investigation, where appropriate in collaboration with the authorities responsible for the judicial inquiry, be provided with any information and technological means pertinent to the conduct of the marine safety investigation and therefore be authorised to: | investigation Authority to which it has delegated the task of marine safety investigation, where appropriate in collaboration with the authorities responsible for the judicial inquiry, be provided with any information pertinent to the conduct of the marine safety investigation and therefore be authorised to: |                          |
| Article 1 | 1, first paragraph, point (8), amendi  | ng provision, numbered paragraph   | (4), point (a)  |                          |
| 99        | (a) have access to any relevant area or casualty site as well as to any ship, wreck or structure including cargo, equipment or debris;   | (a) have access to any relevant area or casualty site as well as to any ship, wreck or structure including cargo, equipment or debris;   | (a) have access to any relevant area or casualty site as well as to any ship, wreck or structure including cargo, equipment or debris;  | A – identical            |
| Article 1 | l, first paragraph, point (8), amendi  | ng provision, numbered paragraph   | (4), point (b)  |                          |
| 100       | (b) ensure immediate listing of evidence and controlled search for and removal of wreckage, debris or other components or substances for examination or analysis;  | (b) ensure immediate listing of evidence and controlled search for and removal of wreckage, debris or other components or substances for examination or analysis;  | (b) ensure <b>the</b> immediate listing of evidence and controlled search for and removal of wreckage, debris or other components or substances for examination or analysis;  | A                        |
| Article 1 | 1, first paragraph, point (8), amendi  | ng provision, numbered paragraph   | (4), point (c)  |                          |
| 101       | (c) require examination or   | (c) require examination or   | (c) require examination or  | A – identical            |

|           | <b>Commission Proposal</b>   | EP Mandate   | Council Mandate  | PCY suggestions/comments |
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|           | analysis of the items referred to<br>in point (b), and have free access<br>to the results of such<br>examinations or analysis;   | analysis of the items referred to<br>in point (b), and have free access<br>to the results of such<br>examinations or analysis;   | analysis of the items referred to<br>in point (b), and have free access<br>to the results of such<br>examinations or analysis;   |                          |
| Article 1 | l, first paragraph, point (8), amendi  | ng provision, numbered paragraph   | (4), point (d)   |                          |
| 102       | (d) have free access to, copy and have use of any relevant information and recorded data, including VDR data, pertaining to a ship, vessel traffic service recordings, voyage, cargo, crew or any other person, object, condition or circumstance; | (d) have free access to, copy and have use of any relevant information and recorded data, including VDR data, pertaining to a ship, vessel traffic service recordings, voyage, cargo, crew or any other person, object, condition or circumstance; | (d) have free access to, and be able to copy and have use of any relevant information and recorded data, including VDR or S-VDR data, pertaining to a ship, vessel traffic service recordings, voyage, cargo, crew or any other person, object, condition or circumstance; | В                        |
| Article 1 | L, first paragraph, point (8), amendi  | ng provision, numbered paragraph   | (4), point (e)   |                          |
| 103       | (e) have free access to the results of examinations of the bodies of victims or of tests made on samples taken from the bodies of victims;   | (e) have free access to the results of examinations of the bodies of victims or of tests made on samples taken from the bodies of victims;   | (e) have free access to the results of examinations of the bodies of victims or of tests made on samples taken from the bodies of victims;   | A – identical            |
| Article 1 | L, first paragraph, point (8), amendi  | ng provision, numbered paragraph   | (4), point (f)   |                          |
| 104       | (f) require and have free access<br>to the results of examinations of,<br>or tests made on samples taken<br>from, people involved in the<br>operation of a ship or any other   | (f) require and have free access<br>to the results of examinations of,<br>or tests made on samples taken<br>from, people involved in the<br>operation of a ship or any other   | (f) require and have free access<br>to the results of examinations of,<br>or tests made on samples taken<br>from, people involved in the<br>operation of a ship or any other   | A – identical            |

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|           | relevant person;   | relevant person;   | relevant person;   |                          |
| Article 1 | l, first paragraph, point (8), amendi  | ng provision, numbered paragraph   | (4), point (g)   |                          |
| 105       | (g) interview witnesses in the absence of any person whose interests could be considered as hampering the safety investigation;  | (g) interview witnesses in the absence of any person whose interests could be considered as hampering the safety investigation;  | (g) interview witnesses in the absence of any person whose interests could be considered as hamperingdetrimental to the safety investigation;  | A                        |
| Article 1 | l, first paragraph, point (8), amendi  | ng provision, numbered paragraph   | (4), point (h)   |                          |
| 106       | (h) obtain survey records and relevant information held by the flag State, the owners, classification societies or any other relevant party, whenever those parties or their representatives are established in the Member State;                            | (h) obtain survey records and relevant information held by the flag State, the owners, classification societies or any other relevant party, whenever those parties or their representatives are established in the Member State;                            | (h) obtain survey records and relevant information held by the flag State, the owners, classification societies or any other relevant party, whenever those parties or their representatives are established in the Member State;                            | A – identical            |
| Article 1 | l, first paragraph, point (8), amendi  | ng provision, numbered paragraph   | (4), point (i)   |                          |
| 107       | (i) call for the assistance of the relevant authorities in the respective States, including flag-State and port-State surveyors, coastguard officers, vessel traffic service operators, search and rescue teams, pilots or other port or maritime personnel; | (i) call for the assistance of the relevant authorities in the respective States, including flag-State and port-State surveyors, coastguard officers, vessel traffic service operators, search and rescue teams, pilots or other port or maritime personnel; | (i) call for the assistance of the relevant authorities in the respective States, including flag-State and port-State surveyors, coastguard officers, vessel traffic service operators, search and rescue teams, pilots or other port or maritime personnel; | A – identical            |

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| Article 1 | , first paragraph, point (8), amendi  | ng provision, numbered paragraph  | (5)   |                          |
| 108       | 5. The investigation authority shall be enabled to respond immediately on being notified at any time of a casualty, and to obtain sufficient resources to carry out its functions independently. Its investigators shall be afforded status giving them the necessary guarantees of independence. | 5. The investigation authority shall be enabled to respond immediately on being notified at any time of a casualty, and to obtain sufficient resources to carry out its functions independently. Its investigators shall be afforded status giving them the necessary guarantees of independence. | 5. The marine safety investigation Authority shall be enabled to respond immediately on being notified at any time of a casualty, and to obtain sufficient resources to carry out its functions independently. Its marine safety investigators shall be afforded status giving them the necessary guarantees of independence. | A                        |
| Article 1 | , first paragraph, point (8), amendi  | ng provision, numbered paragraph  | (6)   |                          |
| 109       | 6. The investigation authority may combine its tasks under this Directive with the work of investigating occurrences other than marine casualties on condition that such investigations do not endanger its independence.   | 6. The investigation authority may combine its tasks under this Directive with the work of investigating occurrences other than marine casualties on condition that such investigations do not endanger its independence.   | 6. The marine safety investigation Authority may combine its tasks under this Directive with the work of investigating occurrences other than marine casualties on condition that such investigations do not endanger its independence.   | A                        |
| Article 1 | L, first paragraph, point (8), amendi   | ng provision, numbered paragraph  | (7)   |                          |
| 110       | 7. By [date of transposition] each Member State shall develop, implement and maintain a quality management system for its investigation   | 7. By [date of transposition] each Member State shall develop, implement and maintain a quality management system for its investigation   | 7. By [date of transposition] Each Member State shallmay develop, implement and maintain a quality management system for its investigation  | С                        |

|           | <b>Commission Proposal</b>  | EP Mandate   | Council Mandate  | PCY suggestions/comments |
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|           | authority. Such quality management system shall be certified in accordance with the applicable international quality standards. | authority. Such quality management system shall be certified in accordance with the applicable international quality standards.  | authority. Such quality management system shall be certified in accordance with the applicable international quality standardsmarine safety investigation Authority. |                          |
|           | L, first paragraph, point (8), amendi   | ng provision, numbered paragraph   | (7a)   |                          |
| AM 22     |   |  |  |                          |
| 110a      |   | 7a. At the request of the responsible national authorities, the Commission and the European Maritime Safety Agency (EMSA) shall assist the responsible national authorities, in accordance with Article 17a(2.; Furthermore, both the Commission and EMSA shall assist accident investigation authorities in the implementation of harmonised EU wide quality management systems and their systematic application. |  | C                        |
| Article 1 | L, first paragraph, point (9)   |  |  |                          |
| 111       | (9) in Article 9 point (a) is replaced by the following:  | (9) in Article 9 point (a) is replaced by the following:   | (9) in-Article 9-point (a) is replaced by the following:   | С                        |
| Article 1 | l, first paragraph, point (9a), amend   | ding provision, numbered paragrap  | h (a)  |                          |

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| 112       | (a) all witness evidence and other statements, accounts and notes taken or received by the investigation authority in the course of the safety investigation; | (a) all witness evidence and other statements, accounts and notes taken or received by the investigation authority in the course of the safety investigation; | (a) all witness evidence and other statements, accounts and notes taken or received by the investigation authority in the course of the safety investigation; (deleted)  | C                        |
| Article 1 | 1, first paragraph, point (9a), amend   | ding provision, numbered paragrap   | h (aa)   |                          |
| 112a      |   |   | Article 9 Confidentiality  | С                        |
| Article 1 | 1, first paragraph, point (9a), amend   | ding provision, numbered paragrap   | h (ab)   |                          |
| 112b      |   |   | 1. Without prejudice to Regulation (EU) 2016/679, Member States, acting in the framework of their legal systems, shall ensure that the following records shall not be made available for purposes other than the marine safety investigation, unless the competent authority in that Member State determines that there is an overriding public interest in its disclosure, including the cases where it is concluded that the benefits of the disclosure outweigh the | C                        |

|           | <b>Commission Proposal</b>            | EP Mandate                       | Council Mandate  | PCY suggestions/comments |
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|           |                                       |                                  | adverse domestic and international impact that such action may have on that or any future safety investigation:  |                          |
| Article 1 | ., first paragraph, point (9a), amend | ling provision, numbered paragra | oh (ac)  |                          |
| 112c      |                                       |                                  | a) all statements taken from<br>persons by the marine safety<br>investigation Authority in the<br>course of the marine safety<br>investigation;  | C                        |
| Article 1 | , first paragraph, point (9a), amend  | ling provision, numbered paragra | oh (ad)  |                          |
| 112d      |                                       |                                  | b) records revealing the identity of persons who have given evidence in the context of the marine safety investigation;  | C                        |
| Article 1 | L, first paragraph, point (9a), amend | ding provision, numbered paragra | oh (ae)  |                          |
| 112e      |                                       |                                  | c) information collected by the marine safety investigation Authority, which is of a particularly sensitive and personal nature, including information concerning the health of individuals; | C                        |
| Article 1 | l, first paragraph, point (9a), amend | ding provision, numbered paragra | oh (af)  |                          |

|           | Commission Proposal                   | EP Mandate                        | Council Mandate  | PCY suggestions/comments |
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| 112f      |                                       |                                   | d) material subsequently produced during the course of the marine safety investigation such as notes, drafts, opinions written by the marine safety investigators, opinions expressed in the analysis of information;  | C                        |
| Article 1 | L, first paragraph, point (9a), amend | ling provision, numbered paragrap | h (ag)   | T                        |
| 112g      |                                       |                                   | e) information and evidence provided by marine safety investigators from other Member States or third countries in accordance with the international standards and recommended practices, where so requested by their marine safety investigation Authority; | C                        |
| Article 1 | L, first paragraph, point (9a), amend | ding provision, numbered paragrap | h (ah)   |                          |
| 112h      |                                       |                                   | f) draft of interim, concise or final reports;   | С                        |
| Article 1 | L, first paragraph, point (9a), amend | ling provision, numbered paragrap | h (ai)   |                          |
| 112i      |                                       |                                   | g) all communications<br>between persons having been   | С                        |

|           | <b>Commission Proposal</b>            | EP Mandate                        | Council Mandate   | PCY suggestions/comments |
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|           |                                       |                                   | involved in the operation of the ship;  |                          |
| Article 1 | 1, first paragraph, point (9a), amend | ling provision, numbered paragrap | oh (aj)   |                          |
| 112j      |                                       |                                   | h) written or electronic recordings and transcriptions of recordings from vessel traffic service, including their reports and results made for internal purposes  | C                        |
| Article 1 | 1, first paragraph, point (9a), amend | ding provision, numbered paragrap | oh (ak)   |                          |
| 112k      |                                       |                                   | 2. Voyage data recorder and simplified data voyage recorder recordings from a marine safety investigation shall not be made available or used for purposes other than those of either the marine safety investigation or ship safety, except when such records are anonymised or disclosed under secure procedures. | С                        |
| Article 1 | , first paragraph, point (9a), amend  | ling provision, numbered paragrap | oh (al)   |                          |
| 1121      |                                       |                                   | 3. For the purposes referred to in paragraph 1, only data that is strictly necessary may  | С                        |

|           | <b>Commission Proposal</b>   | EP Mandate   | Council Mandate  | PCY suggestions/comments |
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|           |  |  | be disclosed.  |                          |
| Article 1 | , first paragraph, point (9a), amend   | ding provision, numbered paragrap  | h (am)   |                          |
| 112m      |  |  | 4. Member States may decide to limit the cases in which such a decision of disclosure may be taken, while respecting Union law.  | С                        |
| Article 1 | , first paragraph, point (10)  |  |  |                          |
| 113       | (10) Article 10 is amended as follows:   | (10) Article 10 is amended as follows:   | (10) Article 10 is amended as follows:   | A – identical            |
| Article 1 | , first paragraph, point (10)(a)   |  |  |                          |
| 114       | (a) paragraph 1 is replaced by the following:  | (a) paragraph 1 is replaced by the following:  | (a) paragraph 1 is replaced by the following:  | A – identical            |
| Article 1 | , first paragraph, point (10)(a), ame  | ending provision, numbered paragr  | aph (1)  |                          |
| 115       | 1. Member States shall, in close cooperation with the Commission, establish a permanent cooperation framework enabling their respective investigation authorities to cooperate among | 1. Member States shall, in close cooperation with the Commission, establish a permanent cooperation framework enabling their respective investigation authorities to cooperate among | 1. Member States shall, in close cooperation with the Commission, establish a permanent cooperation framework enabling their respective marine safety investigation authorities to | A                        |

|           | Commission Proposal  | EP Mandate   | Council Mandate  | PCY suggestions/comments |
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|           | themselves to the extent necessary to attain the objective of this Directive.  | themselves to the extent necessary to attain the objective of this Directive.  | cooperate among themselveswith each other to the extent necessary to attain the objective of this Directive.   |                          |
| Article 1 | , first paragraph, point (10)(b)   |  | / C. //  |                          |
| 116       | (b) paragraph 3 is amended as follows:   | (b) paragraph 3 is amended as follows:   | (b) paragraph 3 is amended as follows:   | A – identical            |
| Article 1 | , first paragraph, point (10)(b)(a)  |  |  |                          |
| 117       | (a) the introductory paragraph and point (a) are replaced by the following:  | (a) the introductory paragraph and point (a) are replaced by the following:  | (a) the introductory paragraph and point (a) are replaced by the following:  | A – identical            |
| Article 1 | L, first paragraph, point (10)(b)(a), a  | amending provision, numbered para  | agraph (3)   |                          |
| 118       | 3. Within the permanent cooperation framework, the investigation authorities in the Member States shall agree, in particular, upon the best modalities of cooperation in order to: | 3. Within the permanent cooperation framework, the investigation authorities in the Member States shall agree, in particular, upon the best modalities of cooperation in order to: | 3. Within the permanent cooperation framework, the marine safety investigation authorities in the Member States shall agree, in particular, upon the best modalities of cooperation in order to: | В                        |
| Article 1 | , first paragraph, point (10)(b)(a), a   | mending provision, numbered para   | agraph (a)   | ı                        |
| 119       |  |  |  |                          |

|           | Commission Proposal  | EP Mandate   | Council Mandate  | PCY suggestions/comments |
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|           | (a) Enable investigation authorities to share installations, facilities and equipment for the technical investigation of wreckage and ship's equipment and other objects relevant to the safety investigations, including the extraction and evaluation of information from VDRs and other electronic devices. | (a) Enable investigation authorities to share installations, facilities and equipment for the technical investigation of wreckage and ship's equipment and other objects relevant to the safety investigations, including the extraction and evaluation of information from VDRs and other electronic devices. | (a) Enable marine safety investigation authorities to share installations, facilities and equipment for the technical investigation of wreckage and ship's equipment and other objects relevant to the safety investigations, including the extraction and evaluation of information from VDRs or S-VDRs and other electronic devices. | В                        |
| Article 1 | , first paragraph, point (10)(b)(b)  |  |  |                          |
| 120       | (b) Points (h) and (i) are replaced by the following:  | (b) Points (h) and (i) are replaced by the following:  | (b) Points (h) and (i) are replaced by the following:  | A – identical            |
| Article 1 | , first paragraph, point (10)(b)(b), a   | mending provision, numbered para   | agraph (h)   |                          |
| 121       | (h) promote cooperation with<br>the investigation authorities of<br>third countries and with the<br>international maritime accidents<br>investigation organisations in<br>the fields covered by this<br>Directive;   | (h) promote cooperation with<br>the investigation authorities of<br>third countries and with the<br>international maritime accidents<br>investigation organisations in<br>the fields covered by this<br>Directive;   | (h) promote cooperation with<br>the <b>marine safety</b> investigation<br>authorities of third countries and<br>with the international maritime<br>accidents investigation<br>organisations in the fields<br>covered by this Directive;  | A                        |
| Article 1 | , first paragraph, point (10)(b)(b), a   | mending provision, numbered para   | agraph (i)   |                          |

|           | Commission Proposal  | EP Mandate   | Council Mandate  | PCY suggestions/comments |
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| 122       | (i) provide investigation authorities conducting safety investigations with any pertinent information. | (i) provide investigation authorities conducting safety investigations with any pertinent information. | (i) provide marine safety investigation authorities conducting marine safety investigations with any pertinent information.  | A                        |
| Article 1 | 1, first paragraph, point (10a)  |  |  |                          |
| 122a      |  |  | (10a) Article 12 is amended as follows:  | С                        |
| Article 1 | 1, first paragraph, point (10b)  |  |  |                          |
| 122b      |  |  | (a) Paragraph 3 is replaced by the following:  | С                        |
| Article 2 | 1, first paragraph, point (10c)  |  |  |                          |
| 122c      |  |  | 3. The cooperation of a Member State in a marine safety investigation conducted by a substantially interested third country shall be without prejudice to the conduct and reporting requirements of marine safety investigations under this Directive. Where a substantially interested third country is leading a marine safety investigation involving | C                        |

|           | Commission Proposal             | EP Mandate | Council Mandate  | PCY suggestions/comments |
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|           |                                 |            | one or more Member States, Member States may decide not to carry out a parallel marine safety investigation, provided that the marine safety investigation led by the third country is conducted in accordance with the IMO Code for the Investigation of Marine Casualties and Incidents. In such cases the provisions of Article 14 shall not be applicable to marine safety investigation authorities of the Member States. |                          |
| Article 2 | 1, first paragraph, point (10d) |            |  |                          |
| 122d      |                                 |            | (10b) In Article 13, point (a) is replaced by the following:   | В                        |
| Article 2 | 1, first paragraph, point (10e) |            |  |                          |
| 122e      |                                 |            | (a) save all information from charts, logbooks, electronic and magnetic recording and video tapes, including information from VDRs or S-VDRs and other electronic devices relating to the period preceding, during and after an accident;  | В                        |

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|-----------|--|--|---|--------------------------|--|--|
| Article 1 | , first paragraph, point (11)  |  |   |                          |  |  |
| 123       | (11) Article 14 is replaced by the following:  | (11) Article 14 is replaced by the following:  | (11) Article 14 is replaced by the following:   | A – identical            |  |  |
| Article 1 | , first paragraph, point (11), amend   | ding provision, first paragraph  |   |                          |  |  |
| 124       | Article 14   | Article 14   | Article 14  | A – identical            |  |  |
| Article 1 | , first paragraph, point (11), amend   | ding provision, second paragraph   |   |                          |  |  |
| 125       | Accident reports   | Accident reports   | Accident reports  | A – identical            |  |  |
| Article 1 | , first paragraph, point (11), amend   | ding provision, numbered paragrap  | h (1)   |                          |  |  |
| AM 23     |  |  |   |                          |  |  |
| 126       | 1. Safety investigations carried out under this Directive shall result in a published report presented in a format defined by the competent investigation authority and in accordance with the relevant sections of Annex I. | 1. Safety investigations carried out under this Directive shall result in a published report presented in a format defined by the competent investigation authority and in accordance with the relevant sections of Annex I. When the report concerns a fishing vessel, it shall also contain information on the type of fisheries it conducted at the time of the accident. | 1. Safety investigations carried out under this Directive shall result in a published <b>accident</b> report presented in a format defined by the competent <b>marine safety</b> investigation authority and in accordance with the relevant sections of Annex I. | C                        |  |  |
|           | Article 1, first paragraph, point (11), amending provision, numbered paragraph (1a)  |  |   |                          |  |  |

|           | <b>Commission Proposal</b>  | EP Mandate   | Council Mandate   | PCY suggestions/comments |
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| 126a      |   |  | Marine safety investigation authorities may decide that a marine safety investigation shall result in a concise report to be published in the case that:  | С                        |
| Article 1 | , first paragraph, point (11), amend  | ling provision, numbered paragrap  | h (1b)  |                          |
| 126b      |   |  | a) the safety investigation does<br>not concern a very serious<br>marine casualty; or   | С                        |
| Article 1 | , first paragraph, point (11), amend  | ling provision, numbered paragrap  | h (1c)  |                          |
| 126c      |   |  | b) the marine casualty and the findings of which do not have the potential to lead to the prevention of future casualties and incidents.  | C                        |
| Article 1 | , first paragraph, point (11), amend  | ding provision, numbered paragrap  | h (2)   |                          |
| AM 24     |   |  |   |                          |
| 127       | 2. Investigation authorities shall make every effort to make the report referred to in paragraph 1, including its conclusions and any possible recommendations, available to the public, and especially to the maritime sector, | 2. Investigation authorities shall make every effort to make the report referred to in paragraph 1, including its conclusions and any possible recommendations, available, where possible, to the victims of accidents and their | 2. Marine safety investigation authorities shall make every effort to make the accident report referred to in paragraph 1, including its conclusions and any possible recommendations, available to the public, and | С                        |

|           | Commission Proposal  | EP Mandate   | Council Mandate   | PCY suggestions/comments |
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|           | within 12 months of the date of the casualty. If it is not possible to produce the final report within that time, an interim report shall be published within 12 months of the date of the casualty.   | close relatives, to the public, and especially to the maritime and fishing sector, within 12 months of the date of the casualty. If it is not possible to produce the final report within that time, an interim report shall be published within 12 months of the date of the casualty.  | especially to the maritime sector, within 12 months of the date of the casualty. If, in the case of a very serious casualty, it is not possible to produce the final accident report within that time, an interim accident report shall be published within 12 months of the date of the casualty.  |                          |
| Article 1 | 1, first paragraph, point (11), amend  | ding provision, numbered paragrapl   | n (3)   |                          |
| 128       | 3. The investigation authority of the lead investigating Member State shall send a copy of the final or interim report to the Commission. The investigating authority shall take into account the possible technical observations of the Commission on final reports not affecting the substance of the findings for improving the quality of the report in the way most conducive to achieving the objective of this Directive. | 3. The investigation authority of the lead investigating Member State shall send a copy of the final or interim report to the Commission. The investigating authority shall take into account the possible technical observations of the Commission on final reports not affecting the substance of the findings for improving the quality of the report in the way most conducive to achieving the objective of this Directive. | 3. The marine safety investigation Authority of the lead investigating Member State shall send a copy of the final or interim report to the Commission. The marine safety investigating authority shall take into account the possible technical observations of the Commission on final reports not affecting the substance of the findings for improving the quality of the accident report in the way most conducive to achieving the objective of this Directive. | A                        |
| Article 1 | 1, first paragraph, point (11), amend  | ding provision, numbered paragrapl   | n (3a)  |                          |
| 128a      |  |  | 4. The Commission is empowered to adopt delegated   | С                        |

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|           |   |   | acts, in accordance with Article 20, in order to amend or supplement the parts related to the factual information, narrative and analysis of Annex I.   |                          |
| Article 1 | l, first paragraph, point (12)  | ,   |   |                          |
| 129       | (12) Article 15 is amended as follows:  | (12) Article 15 is amended as follows:  | (12) Article 15 is amended as follows:  | A – identical            |
| Article 1 | 1, first paragraph, point (12)(a)   |   |   |                          |
| 130       | (a) paragraph 1 is replaced by the following:   | (a) paragraph 1 is replaced by the following:   | (a) paragraph 1 is replaced by the following:   | A – identical            |
| Article 1 | 1, first paragraph, point (12)(a), am   | ending provision, numbered paragr   | aph (1)   |                          |
| AM 25     |   |   |   |                          |
| 131       | 1. Member States shall ensure that safety recommendations made by the investigation authorities are duly taken into account by the addressees and, where appropriate, are given an adequate follow-up in accordance with Union and international law. | 1. Member States shall ensure that safety recommendations made by the investigation authorities are duly taken into account by the addressees, in particular with a view to preventing future accidents, and, where appropriate, are given an adequate follow-up in accordance with Union and | 1. Member States shall ensure that safety recommendations made by the <b>marine safety</b> investigation authorities are duly taken into account by the addressees and, where appropriate, are given an adequate follow-up in accordance with Union and international law.' | C                        |

|           | <b>Commission Proposal</b>  | EP Mandate  | Council Mandate   | PCY suggestions/comments |
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|           |   | international law.  |   |                          |
| Article 1 | L, first paragraph, point (12)(b)   |   |   |                          |
| 132       | (b) paragraph 2 is replaced by the following:   | (b) paragraph 2 is replaced by the following:   | (b) paragraph 2 is replaced by the following:   | A – identical            |
| Article 1 | L, first paragraph, point (12)(b), ame  | ending provision, numbered paragr   | aph (2)   |                          |
| 133       | 2. Where appropriate, an investigation authority or the Commission shall make safety recommendations on the basis of an abstract data analysis and of the overall results of safety investigations carried out. | 2. Where appropriate, an investigation authority or the Commission shall make safety recommendations on the basis of an abstract data analysis and of the overall results of safety investigations carried out. | 2. Where appropriate, aneither a marine safety investigation Authority or the Commission shall make safety recommendations on the basis of an abstract data analysis and of the overall results of marine safety investigations carried out.' | A                        |
| Article 1 | L, first paragraph, point (13)  |   | I   |                          |
| 134       | (13) in Article 16, first paragraph is replaced by the following:   | (13) in Article 16, first paragraph is replaced by the following:   | (13) in Article 16, first paragraph is replaced by the following:   | A – identical            |
| Article 1 | L, first paragraph, point (13), amend   | ling provision, first paragraph   |   |                          |
| 135       | ·   | c   | ·   | В                        |

|           | Commission Proposal   | EP Mandate  | Council Mandate  | PCY suggestions/comments |
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|           | Without prejudice to its right to give an early alert, the investigation authority of a Member State shall, at any stage of a safety investigation, if it takes the view that urgent action is needed at Union level to prevent the risk of new casualties, inform the Commission without delay of the need to give an early alert. | Without prejudice to its right to give an early alert, the investigation authority of a Member State shall, at any stage of a safety investigation, if it takes the view that urgent action is needed at Union level to prevent the risk of new casualties, inform the Commission without delay of the need to give an early alert. | Without prejudice to its right to give an early alert, the marine safety investigation Authority of a Member State shall, at any stage of a marine safety investigation, if it takes the view that urgent action is needed at Union level to prevent the risk of new casualties, inform the Commission without delay of the need to give an early alert. |                          |
| Article 1 | l, first paragraph, point (14)  |   |  |                          |
| 136       | (14) Article 17 is amended as follows:  | (14) Article 17 is amended as follows:  | (14) Article 17 is amended as follows:   | A – identical            |
| Article 1 | l, first paragraph, point (14)(a)   |   |  |                          |
| 137       | (a) The following new paragraph 2a is inserted:   | (a) The following new paragraph 2a is inserted:   | (a) the following new paragraph 2a is inserted:  | С                        |
| Article 1 | L, first paragraph, point (14)(a), amo  | ending provision, numbered paragr   | aph (2a)   |                          |
| 138       | 2a. Member States shall notify the Commission on all marine casualties and incidents in accordance with the format in Annex II. In the case of fishing vessels of less than 15 metres in  | 2a. Member States shall notify the Commission on all marine casualties and incidents in accordance with the format in Annex II. In the case of fishing vessels of less than 15 metres in  | 2a. Member States shall notify the Commission onin EMCIP all marine casualties and incidents in accordance with the format in Annex II and, when a marine safety investigation is  | C                        |

|           | <b>Commission Proposal</b>  | EP Mandate  | Council Mandate  | PCY suggestions/comments |
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|           | length only the reporting of very serious marine casualties is required.  | length only the reporting of very serious marine casualties is required.  | carried out, provide with data resulting from marine safety investigations in accordance with the EMCIP database scheme. In the case of fishing vessels of less than 15 metres in length only the reporting of very serious marine casualties is required. When very serious marine casualties involving fishing vessels of less than 15 metres are not investigated, the reasons for not doing so must be reported. |                          |
| Article 1 | L, first paragraph, point (14)(b)   |   |  |                          |
| 139       | (b) paragraph 3 is replaced by the following:   | (b) paragraph 3 is replaced by the following:   | (b) paragraph 3 is replaced by the following:  | A – identical            |
|           |   |   |  |                          |
| Article 1 | L, first paragraph, point (14)(b), amo  | ending provision, numbered paragr   | aph (3)  |                          |
| 140       | 3. The investigation authorities of the Member States shall notify all very serious marine casualties to EMCIP. The Member States may decide upon and nominate the competent national authority or authorities to report on all other marine casualties and incidents. When | 3. The investigation authorities of the Member States shall notify all very serious marine casualties to EMCIP. The Member States may decide upon and nominate the competent national authority or authorities to report on all other marine casualties and incidents. When | 3. The marine safety investigation authorities of the Member States shall notify all very serious marine casualties to EMCIP. The Member States may decide upon and nominate the competent national authority or authorities to report on all other marine casualties and  | C                        |

|           | Commission Proposal  | EP Mandate   | Council Mandate   | PCY suggestions/comments |
|-----------|--|--|---|--------------------------|
|           | the Commission is aware of a marine casualty or incident, it shall also report thereon to EMCIP. | the Commission is aware of a marine casualty or incident, it shall also report thereon to EMCIP. | incidents. When the Commission is aware of a marine casualty or incident, it shall also report thereon to EMCIPinform the competent national authorities.   |                          |
| Article 1 | 1, first paragraph, point (14)(b), am  | ending provision, numbered paragr  | raph (3a)   |                          |
| 140a      |  |  | (c) the following paragraph is inserted:  | С                        |
| Article 1 | l, first paragraph, point (14)(b), am  | ending provision, numbered paragr  | aph (3b)  |                          |
| 140b      |  |  | 5. The Commission is empowered to adopt delegated acts in accordance with Article 20 to amend or supplement Annex II, concerning the information to be provided therein in order to take into account the modifications by the IMO to the IMO Casualty Investigation Code or to the related IMO Guidelines. | C                        |
| Article 1 | l, first paragraph, point (15)   |  |   |                          |
| 141       | (15) the following new Article 17a is inserted:  | (15) the following new Article 17a is inserted:  | (15) the following new Article 17a is inserted:   | A                        |

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|-----------|--|---|---|--------------------------|--|--|
| Article 1 | l, first paragraph, point (15), ameno  | ling provision, first paragraph   |   |                          |  |  |
| 142       | Article 17a  | Article 17a   | Article 17a   | A – identical            |  |  |
| Article 1 | Article 1, first paragraph, point (15), amending provision, second paragraph   |   |   |                          |  |  |
| 143       | Training and operational support   | Training and operational support  | Training and operational support  | A – identical            |  |  |
| Article 1 | l, first paragraph, point (15), amend  | ding provision, numbered paragrap   | h (1)   |                          |  |  |
| AM 26     |  |   |   |                          |  |  |
| 144       | 1. The Commission shall facilitate the development of capacities as well as the sharing of knowledge within and between the investigation authorities through the provision of training on new legal and technological developments, specific techniques and tools and technologies relating to ships, their equipment and operations. | 1. The Commission and the European Maritime Safety Agency (EMSA) shall facilitate the development of capacities as well as the sharing of knowledge within and between the investigation authorities through the provision of regular training sessions and certifications on new legal and technological developments, specific techniques and tools and technologies relating to ships, their equipment and operations. | 1. Upon specific request of the marine safety investigation authorities of the Member States, the Commission shall facilitate the development of capacities as well as the sharing of knowledge within and between the marine safety investigation authorities through the provision of training on new legal and technological developments, specific techniques and tools and technologies relating to ships, their equipment and operations. | C                        |  |  |
|           | l, first paragraph, point (15), amend  | ling provision, numbered paragrap   | h (2)   |                          |  |  |
| AM 27     |  |   |   |                          |  |  |
| 145       | 2. Upon request of the   | 2. Upon request of the  | 2. Upon request of the marine   | С                        |  |  |

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|-----------|---|--|---|--------------------------|
|           | investigation authorities of the Member States, and assuming that no conflict of interest arises, the Commission shall provide operational support to these Member States in the conduct of their safety investigations. Such support include the provision of specialised analytical tools or equipment, as well as expertise. | investigation authorities of the Member States, and assuming that no conflict of interest arises, the Commission and EMSA shall provide operational and technological support to these Member States in the conduct of their safety investigations. Such support include the provision of specialised analytical tools or equipment, as well as expertise. | safety investigation authorities of the Member States, and assuming that no conflict of interest arises, the Commission shall provide operational support to these Member States in the conduct of their marine safety investigations. Such support may include the provision of specialised analytical tools or equipment, as well as specific expertise not commonly needed by marine safety investigation authorities, as long as their independence is ensured. |                          |
|           | l, first paragraph, point (15), amend   | ding provision, numbered paragrap  | h (2a)  |                          |
| 145a      |   | 2a. The Commission shall provide EMSA with the necessary and sufficient means to organise dedicated training to investigation authorities on the use of investigative technologies, equipment and on new technologies related to safety aspects of digitalisation and sustainable developments in maritime transport.                                      |   | C                        |
| Article 1 | l, first paragraph, point (16)  |  |   |                          |

|           | <b>Commission Proposal</b>  | EP Mandate  | Council Mandate   | PCY suggestions/comments |
|-----------|---|---|---|--------------------------|
| 146       | (16) Article 19 is replaced by the following:   | (16) Article 19 is replaced by the following:   | (16) Article 19 is replaced by the following:   | A – identical            |
| Article 1 | 1, first paragraph, point (16), amen  | ding provision, first paragraph   |   |                          |
| 147       | Article 19 Committee procedure  | Article 19 Committee procedure  | Article 19 Committee procedure  | A – identical            |
| Article 1 | 1, first paragraph, point (16), amen  | ding provision, numbered paragrap   | h (1)   |                          |
| 148       | 1. The Commission shall be assisted by the Committee on Safe Seas and the Prevention of Pollution from Ships (COSS) established by Regulation (EC) No 2099/2002 of the European Parliament and of the Council. That Committee shall be a committee within the meaning of Regulation (EU) No 182/2011. | 1. The Commission shall be assisted by the Committee on Safe Seas and the Prevention of Pollution from Ships (COSS) established by Regulation (EC) No 2099/2002 of the European Parliament and of the Council. That Committee shall be a committee within the meaning of Regulation (EU) No 182/2011. | 1. The Commission shall be assisted by the Committee on Safe Seas and the Prevention of Pollution from Ships (COSS) established by Regulation (EC) No 2099/2002 of the European Parliament and of the Council. That Committee shall be a committee within the meaning of Regulation (EU) No 182/2011. | A – identical            |
| Article 1 | 1, first paragraph, point (16), amen  | ding provision, numbered paragrap   | h (2)   |                          |
| 149       | 2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply.   | 2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply.   | 2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply.   | A – identical            |

|           | Commission Proposal  | EP Mandate   | Council Mandate  | PCY suggestions/comments |
|-----------|--|--|--|--------------------------|
| Article 1 | , first paragraph, point (17)  |  |  |                          |
| AM 29     |  |  |  |                          |
| 150       | (17) in Article 20 the fourth paragraph is replaced by the following:  | (17) <i>in</i> -Article 20- <i>the fourth</i> paragraph is replaced by the following:  | (17) in Article 20 the fourth paragraph is replaced by the following:  | С                        |
|           | , first paragraph, point (17a)   |  |  |                          |
| AM 30     |  |  |  |                          |
| 150a      |  | The Commission shall adopt delegated acts in accordance with Article 20a to amend non-essential elements of this Directive by updating the definitions and Annexes in order to align them with changes to the relevant IMO instruments, as well as to update the references made to the relevant IMO instruments which have entered into force, subject to observance of the limits of this Directive. |  | C                        |
| Article 1 | , first paragraph, point (17), amen  | ding provision, first paragraph  |  |                          |
| 151       | Amendments to the IMO Casualty Investigation Code may be excluded from the scope of this Directive pursuant to Article 5 of Regulation (EC) No | Amendments to the IMO Casualty Investigation Code may be excluded from the scope of this Directive pursuant to Article 5 of Regulation (EC) No   | Amendments to the IMO Casualty Investigation Code may be excluded from the scope of this Directive pursuant to Article 5 of Regulation (EC) No | С                        |

|           | Commission Proposal                  | EP Mandate   | Council Mandate   | PCY suggestions/comments |
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|           | 2099/2002.                           | 2099/2002.   | <del>2099/2002.deleted</del>  |                          |
|           | ,                                    | ,  |   |                          |
|           | l, first paragraph, point (17a), ame | nding provision, first paragraph a   |   |                          |
| AM 33     |                                      |  |   |                          |
| 151a      |                                      | (17a) the following Article 20a is inserted:   |   | C                        |
| Article 2 | l, first paragraph, point (17), amen | ding provision, first paragraph a  |   |                          |
| 151b      |                                      | Article 20a Exercise of the delegation   | Article 20 Exercise of the delegation   | С                        |
| Article 2 | 1, first paragraph, point (17), amen | ding provision, third paragraph  |   |                          |
| 151c      |                                      | 1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.   | 1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.  | C                        |
| Article 2 | l, first paragraph, point (17), amen | ding provision, fourth paragraph   |   |                          |
| 151d      |                                      | 2. The power to adopt delegated acts referred to in Article 20 shall be conferred on the Commission for an indeterminate period of time from [date of entry into force]. | 2. The power to adopt delegated acts referred to in Article 14(4), and Article 17(5) shall be conferred on the Commission for an indeterminate period of time from [date of entry into force of | C                        |

|           | Commission Proposal                       | EP Mandate   | Council Mandate  | PCY suggestions/comments |
|-----------|---|--|--|--------------------------|
|           |   |  | the basic legislative act].  |                          |
| Article ' | <br>1, first paragraph, point (17), ameno | ding provision fifth paragraph   |  |                          |
| 151e      | t, first paragraph, point (17), amen      | 3. The delegation of power referred to in Article 20 may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force. | 3. The delegation of power referred to in Article 14(4), and Article 17(5) may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force. | C                        |
| Article : | 1, first paragraph, point (17), amen      | ding provision, sixth paragraph  |  |                          |
| 151f      |   | 4. Before adopting a delegated act, the Commission shall consult experts designated by each Member State in accordance with the principles laid down in the Interinstitutional Agreement on Better Law Making of 13 April 2016.  | 4. Before adopting a delegated act, the Commission shall consult experts designated by each Member State in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making.  | C                        |

|           | Commission Proposal                   | EP Mandate   | Council Mandate  | PCY suggestions/comments |
|-----------|---------------------------------------|--|--|--------------------------|
|           |                                       |  |  |                          |
| Article 1 | 1, first paragraph, point (17), amend | ding provision, seventh paragraph  |  |                          |
| 151g      |                                       | 5. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.  | 5. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.  | C                        |
| Article 1 | 1, first paragraph, point (17), amend | ding provision, eighth paragraph   |  |                          |
| 151h      |                                       | 6. A delegated act adopted pursuant to Article 20 shall enter into force only if no objection has been expressed either by the European Parliament or by the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council.' | 6. A delegated act adopted pursuant to Article 14(4), and Article 17(5) shall enter into force only if no objection has been expressed either by the European Parliament or by the Council within a period of two months of notification of that act to the European Parliament and to the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council. | C                        |
| Article 1 | l, first paragraph, point (18)        |  |  |                          |

|           | Commission Proposal  | EP Mandate  | Council Mandate   | PCY suggestions/comments |
|-----------|--|---|---|--------------------------|
| 152       | (18) Article 23 is replaced by the following:  | (18) Article 23 is replaced by the following:   | (18) Article 23 is replaced by the following:   | A – identical            |
| Article 1 | , first paragraph, point (18), amen  | ding provision, first paragraph   |   |                          |
| 153       | Article 23   | Article 23  | Article 23  | A – identical            |
| Article 1 | L, first paragraph, point (18), amend  | ding provision, second paragraph  |   |                          |
| 154       | Implementation review  | Implementation review   | Implementation review   | A – identical            |
| Article 1 | L, first paragraph, point (18), amend  | ding provision, third paragraph   |   |                          |
| AM 34     |  | ,   | ,   |                          |
| 155       | The Commission shall by [OP: Please insert a date: ten years from the date of entry into force of this amending Directive] submit a report to the European Parliament and the Council on the implementation of, and compliance with, this Directive. | The Commission shall by [OP: Please insert a date: tenfive years from the date of entry into force of this amending Directive] submit a report to the European Parliament and the Council on the implementation of, and compliance with, this Directive, and, if necessary, propose further measures in the light of the recommendations set out therein, taking into consideration the possibility for mandatory accident investigation for fishing vessels below 15 meters to be included | The Commission shall by [OP: Please insert a date: ten years from the date of entry into force of this amending Directive] [OP: Please insert a date: ten years from the date of entry into force of this amending Directive] submit a report to the European Parliament and the Council on the implementation of, and compliance with, this Directive. | C                        |

|   | <b>Commission Proposal</b>                                 | EP Mandate   | Council Mandate   | PCY suggestions/comments |  |
|---|--|--|---|--------------------------|--|
|   |  | in the scope of this Directive.                            |   |                          |  |
| Article 2                               | 1, first paragraph, point (19)                             |  |   |                          |  |
| 156                                     | (19) in Article 24, the following paragraph 3 is added:    | (19) in Article 24, the following paragraph 3 is added:    | (19) in Article 24, the following paragraph 3-is added:   | С                        |  |
| Article 2                               | 1, first paragraph, point (19), amend                      | ding provision, numbered paragrap                          | h (3)   |                          |  |
| 157                                     | 3. Commission Regulation (EU)<br>No 1286/2011 is repealed. | 3. Commission Regulation (EU)<br>No 1286/2011 is repealed. | 3. Commission Regulation (EU) No 1286/2011 is repealed with effect from the expiry of the transposition period of this Directive as defined in Article 2(1) of the Directive [insert the reference to the modifying Directive]. | В                        |  |
| Δrticle 1                               | <br>1, first paragraph, point (19a)                        |  |   |                          |  |
| AM 35                                   | z, mac paragraph, point (13a)                              |  |   |                          |  |
| 157a                                    |  | (19a) In Annex II, point 30 a is added:                    |   | С                        |  |
| Article 1, first paragraph, point (19b) |  |  |   |                          |  |
| 157b                                    |  | (30a) Container lost at sea                                |   | С                        |  |

|           | Commission Proposal   | EP Mandate  | Council Mandate   | PCY suggestions/comments |
|-----------|---|---|---|--------------------------|
| Article 2 | 2   |   |   |                          |
| 158       | Article 2<br>Transposition  | Article 2<br>Transposition  | Article 2 Transposition   | A – identical            |
| Article 2 | 2(1), first subparagraph  |   |   |                          |
| 159       | 1. Member States shall adopt and publish, by [OP: Please insert a date: one year from the date of entry into force of this amending Directive] the laws, regulations and administrative provisions necessary to comply with this Directive.     | 1. Member States shall adopt and publish, by [OP: Please insert a date: one year from the date of entry into force of this amending Directive] the laws, regulations and administrative provisions necessary to comply with this Directive.     | 1. Member States shall adopt and publish, by [OP: Please insert a date: one year from the date of entry into force of this amending the laws, regulations and administrative provisions necessary to comply with this Directive] the laws, regulations and administrative provisions necessary to comply with no later than 4 years after the entry into force of this Directive. | C                        |
| Article 2 | 2(1), second subparagraph   |   |   |                          |
| 160       | When Member States adopt those provisions, they shall contain a reference to this Directive or be accompanied by such reference on the occasion of their official publication.  Member States shall determine how such reference is to be made. | When Member States adopt those provisions, they shall contain a reference to this Directive or be accompanied by such reference on the occasion of their official publication.  Member States shall determine how such reference is to be made. | When Member States adopt those provisions, they shall contain a reference to this Directive or be accompanied by such reference on the occasion of their official publication.  Member States shall determine how such reference is to be made.   | A – identical            |

|           | Commission Proposal   | EP Mandate  | Council Mandate   | PCY suggestions/comments |  |  |
|-----------|---|---|---|--------------------------|--|--|
|           |   |   |   |                          |  |  |
| Article 2 | 2(2)  |   |   |                          |  |  |
| 161       | 2. Member States shall communicate to the Commission the text of the main provisions of national law which they adopt in the field covered by this Directive. | 2. Member States shall communicate to the Commission the text of the main provisions of national law which they adopt in the field covered by this Directive. | 2. Member States shall communicate to the Commission the text of the main provisions of national law which they adopt in the field covered by this Directive. | A – identical            |  |  |
| Article 3 | 3   |   |   |                          |  |  |
| 162       | Article 3 Entry into force  | Article 3 Entry into force  | Article 3 Entry into force  | A – identical            |  |  |
| Article 3 | 3, first paragraph  |   |   |                          |  |  |
| 163       | This Directive shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.                   | This Directive shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.                   | This Directive shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.                   | A – identical            |  |  |
| Article 4 | Article 4   |   |   |                          |  |  |
| 164       | Article 4<br>Addressees   | Article 4<br>Addressees   | Article 4<br>Addressees   | A – identical            |  |  |
| Article 4 | 4, first paragraph  |   |   |                          |  |  |
| 165       |   |   |   | A – identical            |  |  |

|         | Commission Proposal                               | EP Mandate  | Council Mandate                                   | PCY suggestions/comments |  |
|---------|---|---|---|--------------------------|--|
|         | This Directive is addressed to the Member States. | This Directive is addressed to the Member States. | This Directive is addressed to the Member States. |                          |  |
| Formula | <u> </u><br>a                                     |   |   |                          |  |
| 166     | Done at Brussels,                                 | Done at Brussels,                                 | Done at Brussels,                                 | A – identical            |  |
| Formula | a   |   |   |                          |  |
| 167     | For the European Parliament                       | For the European Parliament                       | For the European Parliament                       | A – identical            |  |
| Formula | a   |   |   |                          |  |
| 168     | The President                                     | The President                                     | The President                                     | A – identical            |  |
| Formula | a   |   |   |                          |  |
| 169     | For the Council                                   | For the Council                                   | For the Council                                   | A – identical            |  |
| Formula | Formula   |   |   |                          |  |
| 170     | The President                                     | The President                                     | The President                                     | A – identical            |  |