

COUNCIL OF THE EUROPEAN UNION

Brussels, 26 October 2011

Interinstitutional File: 2011/0093 (COD) 2011/0094 (CNS) 16016/11

PI 140 CODEC 1806

WORKING DOCUMENT

from:	General Secretariat
to:	Friends of the Presidency Group (Patents)
No. prev. doc.:	15472/11 PI 131 CODEC 1676
Subject:	Proposal for a Regulation of the European Parliament and of the Council implementing enhanced cooperation in the area of the creation of unitary patent protectionPreparation of the next informal trialogue
	Proposal for a Regulation of the Council implementing enhanced cooperation in the area of the creation of unitary patent protection with regard to the applicable translation arrangements - Preparation of the next exchange of views with the European Parliament

Following the meeting on 18 October, delegations will find in the <u>Annexes</u> comparative tables concerning the two above-mentioned proposed Regulations for examination at the meeting of the Friends of the Presidency Group on 27 October 2011 in preparation of the forthcoming informal trialogue, scheduled for 8 November 2011.

ANNEX I

Commission proposal	Council general approach	Parliament draft report	Compromise proposals
 Proposal for a	Proposal for a	Proposal for a	
REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL	REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL	REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL	
implementing enhanced cooperation in the area of the creation of unitary patent protection	implementing enhanced cooperation in the area of the creation of unitary patent protection	implementing enhanced cooperation in the area of the creation of unitary patent protection	
THE COUNCIL OF THE EUROPEAN UNION	THE COUNCIL OF THE EUROPEAN UNION	THE COUNCIL OF THE EUROPEAN UNION	
Having regard to the Treaty on European Union and the Treaty on the Functioning of the European Union and in particular Article 118(1) thereof,	Having regard to the Treaty on European Union and the Treaty on the Functioning of the European Union and in particular Article 118(1) thereof,	Having regard to the Treaty on European Union and the Treaty on the Functioning of the European Union and in particular Article 118(1) thereof,	
Having regard to Council Decision 2011/167/EU of 10 March 2011 authorising enhanced cooperation in the area of the creation of unitary patent protection ¹ ,	Having regard to Council Decision 2011/167/EU of 10 March 2011 authorising enhanced cooperation in the area of the creation of unitary patent protection ² ,	Having regard to Council Decision 2011/167/EU of 10 March 2011 authorising enhanced cooperation in the area of the creation of unitary patent protection ³ ,	
Having regard to the proposal	Having regard to the proposal	Having regard to the proposal	

¹ OJ L 76, 22.3.2011, p. 53.

² OJ L 76, 22.3.2011, p. 53.

³ OJ L 76, 22.3.2011, p. 53.

(Commission proposal	Council general approach	Parliament draft report	Compromise proposals
from the	ne European Commission,	from the European Commission,	from the European Commission,	
	ransmission of the draft tive act to the national nents,	After transmission of the draft legislative act to the national Parliaments,	After transmission of the draft legislative act to the national Parliaments,	
-	in accordance with the y legislative procedure,	Acting in accordance with the ordinary legislative procedure,	Acting in accordance with the ordinary legislative procedure,	
Whereas	s:	Whereas:	Whereas:	
the Treat the Unit internal sustaina Europe econom promote technole creation enabling their act and dist national them wit opportu attainin Uniform the inter signification	ordance with Article 3(3) of eaty on European Union, ion shall establish an l market, shall work for the able development of based on balanced nic growth and shall re scientific and logical advance. The n of the legal conditions ag undertakings to adapt ctivities in manufacturing stributing products across al borders and providing with more choice and unities contributes to ng these objectives. m patent protection within ernal market, or at least a cant part thereof, should amongst the legal nents which undertakings	In accordance with Article 3(3) of the Treaty on European Union, the Union shall establish an internal market, shall work for the sustainable development of Europe based on balanced economic growth and shall promote scientific and technological advance. The creation of the legal conditions enabling undertakings to adapt their activities in manufacturing and distributing products across national borders and providing them with more choice and opportunities contributes to attaining these objectives. Uniform patent protection within the internal market, or at least a significant part thereof, should feature amongst the legal instruments which undertakings	In accordance with Article 3(3) of the Treaty on European Union, the Union shall establish an internal market, shall work for the sustainable development of Europe based on balanced economic growth and shall promote scientific and technological advance. The creation of the legal conditions enabling undertakings to adapt their activities in manufacturing and distributing products across national borders and providing them with more choice and opportunities contributes to attaining these objectives. Uniform patent protection within the internal market, or at least a significant part thereof, should feature amongst the legal instruments which undertakings	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Rec 2	Unitary patent protection should	Unitary patent protection should	Unitary patent protection should	
	foster scientific and technological	foster scientific and technological	foster scientific and technological	
	advance and the functioning of	advance and the functioning of	advance and the functioning of	
	the internal market by making	the internal market by making	the internal market by making	
	access to the patent system easier,	access to the patent system easier,	access to the patent system easier,	
	less costly and legally secure. It	less costly and legally secure. It	less costly and legally secure. It	
	should improve the level of patent	should improve the level of patent	should improve the level of patent	
	protection by providing the	protection by providing the	protection by providing the	
	possibility to obtain uniform	possibility to obtain uniform	possibility to obtain uniform	
	patent protection in the territories	patent protection in the territories	patent protection in the territories	
	of the participating Member	of the participating Member	of the participating Member	
	States and eliminate costs and	States and eliminate costs and	States and eliminate costs and	
	complexity for undertakings	complexity for undertakings	complexity for undertakings	
	throughout the Union. It should be	throughout the Union. It should be	throughout the Union. It should be	
	available to patent applicants from	available to patent applicants from	available to patent applicants from	
	both participating Member States	both participating Member States	both participating Member States	
	and other States, regardless of	and other States, regardless of	and other States, regardless of	
	nationality, residence or place of	nationality, residence or place of	nationality, residence or place of	
	establishment.	stablishment.	establishment.	
Rec 3	Pursuant to Article 118(1) of the	Pursuant to Article 118(1) of the	Pursuant to Article 118(1) of the	
	Treaty on the Functioning of the	Treaty on the Functioning of the	Treaty on the Functioning of the	
	European Union (hereinafter	European Union (hereinafter	European Union (hereinafter	
	"TFEU"), measures foreseen in	"TFEU"), measures foreseen in	"TFEU"), measures foreseen in	
	the context of the establishment	the context of the establishment	the context of the establishment	
	and functioning of the internal	and functioning of the internal	and functioning of the internal	
	market include the creation of	market include the creation of	market include the creation of	
	uniform patent protection	uniform patent protection	uniform patent protection	
	throughout the Union and the	throughout the Union and the	throughout the Union and the	
	establishment of centralised	establishment of centralised	establishment of centralised	
	Union-wide authorisation,	Union-wide authorisation,	Union-wide authorisation,	
	coordination and supervision	coordination and supervision	coordination and supervision	
	arrangements.	arrangements.	arrangements.	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Rec 4	On 10 March 2011, the Council adopted Decision 2011/167/EU authorising enhanced cooperation between Belgium, Bulgaria, the Czech Republic, Denmark, Germany, Estonia, Ireland, Greece, France, Cyprus, Latvia, Lithuania, Luxembourg, Hungary, Malta, the Netherlands, Austria, Poland, Portugal, Romania, Slovenia, Slovakia, Finland, Sweden and the United Kingdom (hereinafter "participating Member States") in the area of the creation of unitary patent protection.	On 10 March 2011, the Council adopted Decision 2011/167/EU authorising enhanced cooperation between Belgium, Bulgaria, the Czech Republic, Denmark, Germany, Estonia, Ireland, Greece, France, Cyprus, Latvia, Lithuania, Luxembourg, Hungary, Malta, the Netherlands, Austria, Poland, Portugal, Romania, Slovenia, Slovakia, Finland, Sweden and the United Kingdom (hereinafter "participating Member States") in the area of the creation of unitary patent protection.	On 10 March 2011, the Council adopted Decision 2011/167/EU authorising enhanced cooperation between Belgium, Bulgaria, the Czech Republic, Denmark, Germany, Estonia, Ireland, Greece, France, Cyprus, Latvia, Lithuania, Luxembourg, Hungary, Malta, the Netherlands, Austria, Poland, Portugal, Romania, Slovenia, Slovakia, Finland, Sweden and the United Kingdom (hereinafter "participating Member States") in the area of the creation of unitary patent protection.	
Rec 5	The Convention on the Grant of European Patents (European Patent Convention), as amended (hereinafter "EPC"), established the European Patent Organisation and entrusted it with the task of granting European patents. This task is carried out by the European Patent Office. European patents granted by the European Patent Office under the rules and procedures laid down in the EPC should, on request by the patent proprietor, benefit from unitary effect by virtue of this Regulation	The Convention on the Grant of European Patents (European Patent Convention), as amended (hereinafter "EPC"), established the European Patent Organisation and entrusted it with the task of granting European patents. This task is carried out by the European Patent Office. European patents granted by the European Patent Office under the rules and procedures laid down in the EPC should, on request by the patent proprietor, benefit from unitary effect by virtue of this Regulation	The Convention on the Grant of European Patents (European Patent Convention), as amended (hereinafter "EPC"), established the European Patent Organisation and entrusted it with the task of granting European patents. This task is carried out by the European Patent Office. European patents granted by the European Patent Office under the rules and procedures laid down in the EPC should, on request by the patent proprietor, benefit from unitary effect by virtue of this Regulation	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	in the territories of the participating Member States (hereinafter "European patents with unitary effect").	in the territories of the participating Member States (hereinafter "European patents with unitary effect").	in the territories of the participating Member States (hereinafter "European patents with unitary effect").	
Rec 6	It is foreseen in Part IX of the EPC that a group of Member States of the European Patent Organisation may provide that European patents granted for those States have a unitary character. This Regulation constitutes a special agreement within the meaning of Article 142 of the EPC, a regional patent treaty within the meaning of Article 45(1) of the Patent Cooperation Treaty of 19 June 1970 and a special agreement within the meaning of Article 19 of the Convention for the Protection of Industrial Property, signed in Paris on 20 March 1883 and last revised on 14 July 1967.	It is foreseen in Part IX of the EPC that a group of Member States of the European Patent Organisation may provide that European patents granted for those States have a unitary character. This Regulation constitutes a special agreement within the meaning of Article 142 of the EPC, a regional patent treaty within the meaning of Article 45(1) of the Patent Cooperation Treaty of 19 June 1970 and a special agreement within the meaning of Article 19 of the Convention for the Protection of Industrial Property, signed in Paris on 20 March 1883 and last revised on 14 July 1967.	It is foreseen in Part IX of the EPC that a group of Member States of the European Patent Organisation may provide that European patents granted for those States have a unitary character. This Regulation constitutes a special agreement within the meaning of Article 142 of the EPC, a regional patent treaty within the meaning of Article 45(1) of the Patent Cooperation Treaty of 19 June 1970 and a special agreement within the meaning of Article 19 of the Convention for the Protection of Industrial Property, signed in Paris on 20 March 1883 and last revised on 14 July 1967.	
Rec 7 Am. 1	The creation of unitary patent protection should be achieved by giving unitary effect to European patents in the post-grant phase by virtue of this Regulation and in respect of the participating Member States. The main feature of European patents with unitary	The creation of unitary patent protection should be achieved by giving unitary effect to European patents in the post-grant phase by virtue of this Regulation and in respect of the participating Member States. The main feature of European patents with unitary	The creation of unitary patent protection should be achieved by giving unitary effect to European patents in the post-grant phase by virtue of this Regulation and in respect of <i>all</i> the participating Member States. The main feature of European patents with unitary	<u>Compromise proposal:</u> The creation of unitary patent protection should be achieved by giving unitary effect to European patents in the post-grant phase by virtue of this Regulation and in respect of <i>all</i> the participating

KS/kh

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	fect should be their unitary	effect should be their unitary	effect should be their unitary	Member States. The main feature
	aracter, i.e. providing uniform	character, i.e. providing uniform	character, i.e. providing uniform	of European patents with unitary
-	otection and having equal effect	protection and having equal effect	protection and having equal effect	effect should be their unitary
	all participating Member	in all participating Member	in all participating Member	character, i.e. providing uniform
Sta	ates. Consequently, a European	States. Consequently, a European	States. Consequently, a European	protection and having equal effect
pa	tent with unitary effect should	patent with unitary effect should	patent with unitary effect should	in all participating Member
on	ly be <i>limited, licensed,</i>	only be <i>limited, transferred,</i>	only be granted, limited,	States. Consequently, a European
	ansferred, revoked or lapse in	revoked or lapse in respect of all	transferred <i>or</i> revoked, or lapse <i>or</i>	patent with unitary effect should
	spect of all the participating	the participating Member States.	become subject to law	only be limited, transferred,
	ember States. To ensure the	A European patent with unitary	enforcement, in respect of all the	revoked or lapse in respect of all
	iform substantive scope of	effect may be licensed in respect	participating Member States. It	the participating Member States
-	otection conferred by unitary	of the whole or part of the	should be possible for a	It should be possible for a
1	tent protection, only European	territories of the participating	European patent with unitary	European patent with unitary
-	tents that have been granted for	Member States. To ensure the	effect to be licensed in respect of	effect to be licensed in respect of
	the participating Member	uniform substantive scope of	the whole or part of the	the whole or part of the
	ates with the same set of claims	protection conferred by unitary	territories of the participating	territories of the participating
	ould benefit from unitary effect.	patent protection, only European	Member States. To ensure the	Member States. To ensure the
	owever, to ensure legal	patents that have been granted for	uniform substantive scope of	uniform substantive scope of
	rtainty in the event of a	all the participating Member	protection conferred by unitary	protection conferred by unitary
	nitation or revocation on the	States with the same set of claims	patent protection, only European	patent protection, only European
U	ound of lack of novelty	should benefit from unitary effect.	patents that have been granted for	patents that have been granted for
-	rsuant to Article 54(3) EPC,	Finally, the unitary effect	all the participating Member	all the participating Member
	e limitation or revocation of a	attributed to a European patent	States with the same set of claims	States with the same set of claims
	uropean patent with unitary	should have an accessory nature	should benefit from unitary effect.	should benefit from unitary effect.
	fect should take effect only in	and should cease to exist or be	Finally, the unitary effect	Finally, the unitary effect
	spect of the participating	limited to the extent that the basic	attributed to a European patent	attributed to a European patent
	ember State(s) designated in	European patent has been revoked	should have an accessory nature	should have an accessory nature
	e earlier European patent	or limited.	and should cease to exist or be	and should cease to exist or be
	oplication as published.		limited to the extent that the basic	limited to the extent that the basic
	nally, the unitary effect		European patent has been revoked	European patent has been revoked
	tributed to a European patent		or limited.	or limited.
sh	ould have an accessory nature			

DG C I

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	and should cease to exist or be limited to the extent that the basic European patent has been revoked or limited.			
Rec 8	In accordance with the general principles of patent law and Article 64(1) of the EPC, unitary patent protection should take effect retroactively in the territories of the participating Member States as of the date of the publication of the mention of the grant of the European patent in the European Patent Bulletin. Where unitary effect takes effect, the participating Member States should ensure that the European patent is deemed not to have taken effect on their territory on the date of the publication of the mention of the grant as a national patent to avoid any duplication of patent protection on their territories stemming from the same European Patent Office.	In accordance with the general principles of patent law and Article 64(1) of the EPC, unitary patent protection should take effect retroactively in the territories of the participating Member States as of the date of the publication of the mention of the grant of the European patent in the European Patent Bulletin. Where unitary effect takes effect, the participating Member States should ensure that the European patent is deemed not to have taken effect on their territory on the date of the publication of the mention of the grant as a national patent to avoid any duplication of patent protection on their territories stemming from the same European Patent Office.	In accordance with the general principles of patent law and Article 64(1) of the EPC, unitary patent protection should take effect retroactively in the territories of the participating Member States as of the date of the publication of the mention of the grant of the European patent in the European Patent Bulletin. Where unitary effect takes effect, the participating Member States should ensure that the European patent is deemed not to have taken effect on their territory on the date of the publication of the mention of the grant as a national patent to avoid any duplication of patent protection on their territories stemming from the same European Patent Office.	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Rec 9	In matters not covered by this Regulation or Council Regulation / [translation arrangements], the provisions of the EPC and national law including rules of private international law shall apply.	In matters not covered by this Regulation or Council Regulation / [translation arrangements], the provisions of the EPC and national law including rules of private international law shall apply.	In matters not covered by this Regulation or Council Regulation / [translation arrangements], the provisions of the EPC and national law including rules of private international law shall apply.	
Rec 9a	-	The matter of compulsory licences is not covered by this Regulation. Compulsory licences for European patents with unitary effect should be governed by the national legislations of the participating Member States on their respective territories.	-	Council position
Rec 10	The rights conferred by the European patent with unitary effect should enable the patent proprietor to prevent any third party not having his consent from the direct and indirect use of the invention on the territories of the participating Member States. However, a number of limitations of the patent proprietor's rights should enable third parties to use the invention, for instance for private and for non-commercial purposes, for experimental purposes, for acts allowed	The rights conferred by the European patent with unitary effect should enable the patent proprietor to prevent any third party not having his consent from the direct and indirect use of the invention on the territories of the participating Member States. However, a number of limitations of the patent proprietor's rights should enable third parties to use the invention, for instance for private and for non-commercial purposes, for experimental purposes, for acts allowed	The rights conferred by the European patent with unitary effect should enable the patent proprietor to prevent any third party not having his consent from the direct and indirect use of the invention on the territories of the participating Member States. However, a number of limitations of the patent proprietor's rights should enable third parties to use the invention, for instance for private and for non-commercial purposes, for experimental purposes, for acts allowed	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	specifically under Union law (in	specifically under Union law (in	specifically under Union law (in	
	the area of veterinary medicinal	the area of veterinary medicinal	the area of veterinary medicinal	
	products, medicinal products for	products, medicinal products for	products, medicinal products for	
	human use, plant variety rights,	human use, plant variety rights,	human use, plant variety rights,	
	the legal protection of computer	the legal protection of computer	the legal protection of computer	
	programs by copyright and the	programs by copyright and the	programs by copyright and the	
	legal protection of	legal protection of	legal protection of	
	biotechnological inventions)	biotechnological inventions)	biotechnological inventions)	
	under international law and for	under international law and for	under international law and for	
	the use by a farmer of protected	the use by a farmer of protected	the use by a farmer of protected	
	livestock for farming purposes.	livestock for farming purposes.	livestock for farming purposes.	
Rec 11	In accordance with the case law of	In accordance with the case law of	In accordance with the case law of	
	the Court of Justice of the	the Court of Justice of the	the Court of Justice of the	
	European Union, the principle of	European Union, the principle of	European Union, the principle of	
	the exhaustion of rights should be	the exhaustion of rights should be	the exhaustion of rights should be	
	applied also in relation to	applied also in relation to	applied also in relation to	
	European patents with unitary	European patents with unitary	European patents with unitary	
	effect. Therefore, rights conferred	effect. Therefore, rights conferred	effect. Therefore, rights conferred	
	by a European patent with unitary	by a European patent with unitary	by a European patent with unitary	
	effect should also not extend to	effect should also not extend to	effect should also not extend to	
	acts concerning the product	acts concerning the product	acts concerning the product	
	covered by that patent, which are	covered by that patent, which are	covered by that patent, which are	
	carried out within the territories of	carried out within the territories of	carried out within the territories of	
	the participating Member States	the participating Member States	the participating Member States	
	after that product has been put on	after that product has been put on	after that product has been put on	
	the market in the Union by the	the market in the Union by the	the market in the Union by the	
	proprietor of the patent.	proprietor of the patent.	proprietor of the patent.	
Rec 12	As an object of property, a	As an object of property, a	As an object of property, a	
	European patent with unitary	European patent with unitary	European patent with unitary	
	effect should be dealt with in its	effect should be dealt with in its	effect should be dealt with in its	
	entirety, and in all the	entirety, and in all the	entirety, and in all the	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	participating Member States, as a	participating Member States, as a	participating Member States, as a	
	national patent of the participating	national patent of the participating	national patent of the participating	
	Member State in which, according	Member State in which, according	Member State in which, according	
	to the European Patent Register,	to the European Patent Register,	to the European Patent Register,	
	the proprietor of the patent had his	the proprietor of the patent had his	the proprietor of the patent had his	
	residence or principal place of	residence or principal place of	residence or principal place of	
	business on the date of filing of	business on the date of filing of	business on the date of filing of	
	the patent application. If the	the patent application. If the	the patent application. If the	
	proprietor of the patent did not	proprietor of the patent did not	proprietor of the patent did not	
	have his residence or a place of	have his residence or a place of	have his residence or a place of	
	business in any of the	business in any of the	business in any of the	
	participating Member State, the	participating Member State, the	participating Member State, the	
	European patent with unitary	European patent with unitary	European patent with unitary	
	effect should be dealt with as a	effect should be dealt with as a	effect should be dealt with as a	
	national patent of the Member	national patent of the Member	national patent of the Member	
	State where the European Patent	State where the European Patent	State where the European Patent	
	Organisation has its headquarters.	Organisation has its headquarters.	Organisation has its headquarters.	
Rec 13	In order to promote and facilitate	In order to promote and facilitate	In order to promote and facilitate	
	the economic exploitation of	the economic exploitation of	the economic exploitation of	
	inventions protected by European	inventions protected by European	inventions protected by European	
	patents with unitary effect, the	patents with unitary effect, the	patents with unitary effect, the	
	patent proprietor should be able to	patent proprietor should be able to	patent proprietor should be able to	
	offer its patent to be licensed to	offer its patent to be licensed to	offer its patent to be licensed to	
	anyone complying with the terms	anyone complying with the terms	anyone complying with the terms	
	and conditions set out by the	and conditions set out by the	and conditions set out by the	
	patent proprietor in return for	patent proprietor in return for	patent proprietor in return for	
	appropriate compensation. To that	appropriate compensation. To that	appropriate compensation. To that	
	end the patent proprietor may file	end the patent proprietor may file	end the patent proprietor may file	
	a statement with the European	a statement with the European	a statement with the European	
	Patent Office that he is prepared	Patent Office that he is prepared	Patent Office that he is prepared	
	to grant a license in return for	to grant a license in return for	to grant a license in return for	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	appropriate compensation. In that case, the proprietor should, after receipt of that statement, benefit from a reduction of the renewal fees.	appropriate compensation. In that case, the proprietor should, after receipt of that statement, benefit from a reduction of the renewal fees.	appropriate compensation. In that case, the proprietor should, after receipt of that statement, benefit from a reduction of the renewal fees.	
Rec 14	The group of Member States making use of Part IX of the EPC may give tasks to the European Patent Office and set up a Select Committee of the Administrative Council of the European Patent Organisation (hereinafter "Select Committee").	The group of Member States making use of Part IX of the EPC may give tasks to the European Patent Office and set up a Select Committee of the Administrative Council of the European Patent Organisation (hereinafter "Select Committee").	The group of Member States making use of Part IX of the EPC may give tasks to the European Patent Office and set up a Select Committee of the Administrative Council of the European Patent Organisation (hereinafter "Select Committee").	
Rec 15 Am. 2	The participating Member States should give the European Patent Office certain administrative tasks relating to European patents with unitary effect, in particular as regards administration of requests for unitary effect, the registration of unitary effect and of any limitation, licence, transfer, revocation or lapse of European patents with unitary effect, the collection and redistribution of renewal fees, the publication of translations for information purposes during a transitional period and the administration of a compensation scheme of translation costs for applicants	The participating Member States should give the European Patent Office certain administrative tasks relating to European patents with unitary effect, in particular as regards administration of requests for unitary effect, the registration of unitary effect and of any limitation, licence, transfer, revocation or lapse of European patents with unitary effect, the collection and redistribution of renewal fees, the publication of translations for information purposes during a transitional period and the administration of a compensation scheme of translation costs for applicants	The participating Member States should give the European Patent Office certain administrative tasks relating to European patents with unitary effect, in particular as regards administration of requests for unitary effect, the registration of unitary effect and of any limitation, licence, transfer, revocation or lapse of European patents with unitary effect, the collection and redistribution of renewal fees, the publication of translations for information purposes during a transitional period and the administration of a compensation costs for applicants	Council/EP position

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	filing European patent applications in a language other than one of the official languages of the European Patent Office. <i>The participating Member States</i> <i>should ensure that requests for</i> <i>unitary effect are filed with the</i> <i>European Patent Office within</i> <i>one month of the date of the</i> <i>publication of the mention of the</i> <i>grant in the European Patent</i> <i>Bulletin and that they are</i> <i>submitted in the language of the</i> <i>proceedings before the European</i> <i>Patent Office together with the</i> <i>translation prescribed for, during</i> <i>a transitional period, by Council</i> <i>Regulation/ [translation</i> <i>arrangements]</i>	filing European patent applications in a language other than one of the official languages of the European Patent Office.	filing European patent applications in a language other than one of the official languages of the European Patent Office.	
Rec 15a	-	In the framework of the Select	In the framework of the Select	Council position
Am. 3		Committee, the participating Member States should ensure the governance and supervision of the activities related to the tasks entrusted to the European Patent Office by the participating Member States, ensure that requests for unitary effect are filed with the European Patent Office within one month of the	Committee, the participating Member States should ensure the governance and supervision of the activities related to the tasks entrusted to the European Patent Office by the participating Member States, they should ensure that requests for unitary effect are filed with the European Patent Office within one month of	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
		date of the publication of the mention of the grant in the European Patent Bulletin and ensure that they are submitted in the language of the proceedings before the European Patent Office together with the translation prescribed for, during a transitional period, by Council Regulation/ [translation arrangements]. The participating Member States should also ensure the setting, in accordance with the voting arrangements in Article 35(2) of the EPC, of the level of the renewal fees and the share of the distribution of the renewal fees in accordance with the criteria set out in this Regulation.	the date of the publication of the mention of the grant in the European Patent Bulletin and ensure that they are submitted in the language of the proceedings before the European Patent Office together with the translation prescribed for, during a transitional period, by Council Regulation/ [translation arrangements]. The participating Member States should also ensure the setting, in accordance with the voting arrangements in Article 35(2) of the EPC, of the level of the renewal fees and the share of the distribution of the renewal fees in accordance with the criteria set out in this Regulation, <i>paying due regard to</i> <i>the position of the Commission</i> .	
Rec 16 Am. 4	Patent proprietors should pay one common annual renewal fee for European patents with unitary effect. Renewal fees should be progressive throughout the term of the patent protection and, together with the fees to be paid to the European Patent Organisation during the pre-grant	Patent proprietors should pay one common annual renewal fee for European patents with unitary effect. Renewal fees should be progressive throughout the term of the patent protection and, together with the fees to be paid to the European Patent Organisation during the pre-grant	Patent proprietors should pay one common annual renewal fee for European patents with unitary effect. Renewal fees should be progressive throughout the term of the patent protection and, together with the fees to be paid to the European Patent Organisation during the pre-grant	Compromise proposal: Patent proprietors should pay one common annual renewal fee for European patents with unitary effect. Renewal fees should be progressive throughout the term of the patent protection and, together with the fees to be paid

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	stage, should cover all costs associated with the grant of the European patent and the administration of the unitary patent protection. The level of the renewal fees should be fixed with the aim of facilitating innovation and fostering the competitiveness of European businesses. It should also reflect the size of the market covered by the patent and be similar to the level of the national renewal fees for an average European patent taking effect in the participating Member States at the time where the level of the renewal fees is first fixed <i>by the</i> <i>Commission.</i>	stage, should cover all costs associated with the grant of the European patent and the administration of the unitary patent protection. The level of the renewal fees should be fixed with the aim of facilitating innovation and fostering the competitiveness of European businesses. It should also reflect the size of the market covered by the patent and be similar to the level of the national renewal fees for an average European patent taking effect in the participating Member States at the time where the level of the renewal fees is first fixed.	stage, should cover all costs associated with the grant of the European patent and the administration of the unitary patent protection. The level of the renewal fees should be fixed with the aim of facilitating innovation and fostering the competitiveness of European businesses <i>and</i> <i>should take into account the</i> <i>specific situation of small and</i> <i>medium enterprises in the form</i> <i>of lower fees</i> . It should also reflect the size of the market covered by the patent and be similar to the level of the national renewal fees for an average European patent taking effect in the participating Member States at the time where the level of the renewal fees is first fixed.	to the European Patent Organisation during the pre-grant stage, should cover all costs associated with the grant of the European patent and the administration of the unitary patent protection. The level of the renewal fees should be fixed with the aim of facilitating innovation and fostering the competitiveness of European businesses <i>taking</i> <i>into account the specific</i> <i>situation of small and medium- sized enterprises</i> . It should also reflect the size of the market covered by the patent and be similar to the level of the national renewal fees for an average European patent taking effect in the participating Member States at the time where the level of the renewal fees is first fixed.
Rec 17	In order to determine the appropriate level and distribution of renewal fees and to ensure that all costs of the tasks in relation to the unitary patent protection entrusted on the European Patent Office are fully covered by the resources generated by the	In order to determine the appropriate level and distribution of renewal fees and to ensure that all costs of the tasks in relation to the unitary patent protection entrusted on the European Patent Office are fully covered by the resources generated by the	In order to determine the appropriate level and distribution of renewal fees and to ensure that all costs of the tasks in relation to the unitary patent protection entrusted on the European Patent Office are fully covered by the resources generated by the	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	European patents with unitary	European patents with unitary	European patents with unitary	
	effect and that, together with the	effect and that, together with the	effect and that, together with the	
	fees to be paid to the European	fees to be paid to the European	fees to be paid to the European	
	Patent Organisation during the	Patent Organisation during the	Patent Organisation during the	
	pre-grant stage, the revenues from	pre-grant stage, the revenues from	pre-grant stage, the revenues from	
	the renewal fees ensure a	the renewal fees ensure a	the renewal fees ensure a	
	balanced budget of the European	balanced budget of the European	balanced budget of the European	
	Patent Organisation.	Patent Organisation.	Patent Organisation.	
Rec 18	Renewal fees should be paid to the	Renewal fees should be paid to	Renewal fees should be paid to	Council position
	European Patent Organisation. 50	the European Patent Organisation.	the European Patent Organisation.	
	percent thereof minus expenses	The European Patent Office	50 percent thereof minus	
	incurred by the European Patent	shall retain an amount to cover	expenses incurred by the	
	Office in carrying out tasks in	the expenses generated at the	European Patent Office in	
	relation to the unitary patent	European Patent Office in	carrying out tasks in relation to	
	protection shall be distributed	carrying out tasks in relation to	the unitary patent protection	
	among the participating Member	the unitary patent protection in	shall be distributed among the	
	States, which should be used for	accordance with Article	participating Member States,	
	patent-related purposes. The	146 EPC. The remaining amount	which should be used for patent-	
	share of distribution should be set	<i>shall</i> be distributed among the	<i>related purpose</i> s. The share of	
	on the basis of fair, equitable and	participating Member States,	distribution should be set on the	
	relevant criteria namely the level	which should be used for patent-	basis of fair, equitable and	
	of patent activity and the size of the market. The distribution	<i>related purposes.</i> The share of distribution should be set on the	relevant criteria namely the level of patent activity and the size of	
	should provide compensation for	basis of fair, equitable and	the market. The distribution	
	having an official language other	relevant criteria namely the level	should provide compensation for	
	than one of the official languages	of patent activity and the size of	having an official language other	
	of the European Patent Office,	the market <i>and should guarantee</i>	than one of the official languages	
	having a disproportionately low	a minimum amount to be	of the European Patent Office,	
	level of patenting activity and	redistributed to each	having a disproportionately low	
	having acquired membership of	participating Member State in	level of patenting activity and	
	the European Patent Organisation	order to maintain a balanced and	having acquired membership of	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	relatively recently.	sustainable functioning of the system. The distribution should provide compensation for having an official language other than one of the official languages of the European Patent Office, having a disproportionately low level of patenting activity established on the basis of the European Innovation Scoreboard (EIS) and having acquired membership of the European Patent Organisation relatively recently.	the European Patent Organisation relatively recently.	
Rec 19	In order to ensure the appropriate	deleted	deleted	deleted
Am. 5	level and distribution of renewal fees in compliance with the principles set out in this Regulation, the power to adopt acts in accordance with Article 290 of the Treaty on the Functioning of the European Union should be delegated to the Commission in respect of the level of the renewal fees for European patents with unitary effect and the distribution of such fees between the European Patent Organisation and the participating Member States. It is of particular importance that the Commission			

Com	mission proposal	Council general approach	Parliament draft report	Compromise proposals
preparatory expert leve when prepa delegated a simultaneo appropriate relevant do	opropriate ns during its work, including at I. The Commission, uring and drawing-up cts, should ensure a us, timely and transmission of cuments to the Parliament and Council.			
Rec 20 An Enhance the Europe central indu- of the Men enable the to make reg appropriate search carr industrial p national pa priority of subsequent application property of which do n the course granting pr essential re partnership advice and	ed partnership between an Patent Office and astrial property offices aber States should European Patent Office gular use, where , of the result of any red out by central roperty offices on a tent application the which is claimed in a European patent . All central industrial fices, including those of perform searches in of a national patent ocedure, can have an le under the enhanced , inter alia by giving support to potential icants, in particular	An Enhanced partnership between the European Patent Office and central industrial property offices of the Member States should enable the European Patent Office to make regular use, where appropriate, of the result of any search carried out by central industrial property offices on a national patent application the priority of which is claimed in a subsequent European patent application. All central industrial property offices, including those which do not perform searches in the course of a national patent granting procedure, can have an essential role under the enhanced partnership, inter alia by giving advice and support to potential patent applicants, in particular	An Enhanced partnership between the European Patent Office and central industrial property offices of the Member States should enable the European Patent Office to make regular use, where appropriate, of the result of any search carried out by central industrial property offices on a national patent application the priority of which is claimed in a subsequent European patent application. All central industrial property offices, including those which do not perform searches in the course of a national patent granting procedure, can have an essential role under the enhanced partnership, inter alia by giving advice and support to potential patent applicants, in particular	

Commission proposal	Council general approach	Parliament draft report	Compromise proposals
small and medium-sized	small and medium-sized	small and medium-sized	
enterprises, by receiving applications, by forwarding applications to the European Patent Office and by	enterprises, by receiving applications, by forwarding applications to the European Patent Office and by	enterprises, by receiving applications, by forwarding applications to the European Patent Office and by	
disseminating patent information.	disseminating patent information.	disseminating patent information.	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Rec 21	This Regulation should be complemented by Council Regulation/ implementing enhanced cooperation in the area of the creation of unitary patent protection with regard to the applicable translation arrangements, adopted by the Council in accordance with Article 118(2) of the TFEU.	This Regulation should be complemented by Council Regulation/ implementing enhanced cooperation in the area of the creation of unitary patent protection with regard to the applicable translation arrangements, adopted by the Council in accordance with Article 118(2) of the TFEU.	This Regulation should be complemented by Council Regulation/ implementing enhanced cooperation in the area of the creation of unitary patent protection with regard to the applicable translation arrangements, adopted by the Council in accordance with Article 118(2) of the TFEU.	
Rec 21a	-	The jurisdiction for European patents with unitary effect should be established and governed by an instrument setting up a unified patent litigation system for European patents and European patents with unitary effect.	-	Council position
Rec 22	This Regulation should be without prejudice to the right of Member States to grant national patents and should not replace Member States' laws on patents. Patent applicants should remain free to obtain either a national patent, a European patent with unitary effect, a European patent taking effect in one or more of the Contracting States to the EPC or a European patent with unitary	This Regulation should be without prejudice to the right of Member States to grant national patents and should not replace Member States' laws on patents. Patent applicants should remain free to obtain either a national patent, a European patent with unitary effect, a European patent taking effect in one or more of the Contracting States to the EPC or a European patent with unitary	This Regulation should be without prejudice to the right of Member States to grant national patents and should not replace Member States' laws on patents. Patent applicants should remain free to obtain either a national patent, a European patent with unitary effect, a European patent taking effect in one or more of the Contracting States to the EPC or a European patent with unitary	

Commission proposal	Council general approach	Parliament draft report	Compromise proposals
effect validated in addition in or more other Contracting Sta to the EPC which are not among the participating Member Sta	or more other Contracting States to the EPC which are not among	effect validated in addition in one or more other Contracting States to the EPC which are not among the participating Member States.	
Rec 23Since the objective of this Regulation, namely the creat: of uniform patent protection, by reasons of the scale and et of this Regulation, be better achieved at Union level, the Union may adopt measures b means of enhanced cooperati where appropriate, in accorda with the principle of subsidia as set out in Article 5 of the Treaty on European Union. In accordance with the principle proportionality, as set out in Article, this Regulation does go beyond what is necessary order to achieve this objectivHAVE ADOPTED THIS REGULATION:	can, of uniform patent protection, can, by reasons of the scale and effects of this Regulation, be better achieved at Union level, the Union may adopt measures by means of enhanced cooperation where appropriate, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union. In accordance with the principle of hat not in go beyond what is necessary in	Since the objective of this Regulation, namely the creation of uniform patent protection, can, by reasons of the scale and effects of this Regulation, be better achieved at Union level, the Union may adopt measures by means of enhanced cooperation where appropriate, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union. In accordance with the principle of proportionality, as set out in that Article, this Regulation does not go beyond what is necessary in order to achieve this objective. HAVE ADOPTED THIS REGULATION:	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	Chapter I General provisions	Chapter I General provisions	Chapter I General provisions	
	Article 1 Subject matter	Article 1 Subject matter	Article 1 Subject matter	
Art 1	This Regulation implements the enhanced cooperation in the area of the creation of unitary patent protection authorised by Council Decision 2011/167/EU.	This Regulation implements the enhanced cooperation in the area of the creation of unitary patent protection authorised by Council Decision 2011/167/EU.	This Regulation implements the enhanced cooperation in the area of the creation of unitary patent protection authorised by Council Decision 2011/167/EU.	
	This Regulation constitutes a special agreement within the meaning of Article 142 of the Convention on the Grant of European Patents (European Patent Convention), as amended (hereinafter "the EPC").	This Regulation constitutes a special agreement within the meaning of Article 142 of the Convention on the Grant of European Patents (European Patent Convention), as amended (hereinafter "the EPC").	This Regulation constitutes a special agreement within the meaning of Article 142 of the Convention on the Grant of European Patents (European Patent Convention), as amended (hereinafter "the EPC").	
	Article 2 Definitions	Article 2 Definitions	<i>Article 2</i> <i>Definitions</i>	
Art 2 introd. phrase	For the purposes of this Regulation, the following definitions shall apply:	For the purposes of this Regulation, the following definitions shall apply:	For the purposes of this Regulation, the following definitions shall apply:	
Art 2 point a	"Participating Member State" means a Member State which participates, at the time when the request for unitary effect as referred to in Article 12 is made, in enhanced cooperation in the area of the creation of unitary patent protection by virtue of	"Participating Member State" means a Member State which participates, at the time when the request for unitary effect as referred to in Article 12 is made, in enhanced cooperation in the area of the creation of unitary patent protection by virtue of	"Participating Member State" means a Member State which participates, at the time when the request for unitary effect as referred to in Article 12 is made, in enhanced cooperation in the area of the creation of unitary patent protection by virtue of	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	Council Decision 2011/167/EU, or by virtue of a decision adopted in accordance with the second or third subparagraph of Article 331(1) of the TFEU;	Council Decision 2011/167/EU, or by virtue of a decision adopted in accordance with the second or third subparagraph of Article 331(1) of the TFEU;	Council Decision 2011/167/EU, or by virtue of a decision adopted in accordance with the second or third subparagraph of Article 331(1) of the TFEU;	
Art 2 point b	"European patent" means a patent granted by the European Patent Office under the rules and procedures laid down in the EPC;	"European patent" means a patent granted by the European Patent Office under the rules and procedures laid down in the EPC;	"European patent" means a patent granted by the European Patent Office under the rules and procedures laid down in the EPC;	
Art 2 point c Am. 6	"European patent with unitary effect" means a European patent which benefits from unitary effect in the territories of the participating Member States by virtue of this Regulation;	"European patent with unitary effect" means a European patent which benefits from unitary effect in the territories of the participating Member States by virtue of this Regulation;	"European patent with unitary effect" means a European patent which benefits from unitary effect in the territories of <i>all</i> the participating Member States by virtue of this Regulation;	<u>EP position</u>
Art 2 point d	"European Patent Register" means the register kept by the European Patent Office under Article 127 of the EPC;	"European Patent Register" means the register kept by the European Patent Office under Article 127 of the EPC;	"European Patent Register" means the register kept by the European Patent Office under Article 127 of the EPC;	
Art 2 point da Am. 7			"Register for unitary patent protection" means the register constituting part of the European Patent Register in which the unitary effect and any limitation, license, transfer revocation or lapse of a European patent with unitary effect are registered;	<u>EP position</u>

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 2 point e	"European Patent Bulletin" means the periodical publication provided for in Article 129 of the EPC.	"European Patent Bulletin" means the periodical publication provided for in Article 129 of the EPC.	"European Patent Bulletin" means the periodical publication provided for in Article 129 of the EPC.	
	Article 3 European patent with unitary effect	Article 3 European patent with unitary effect	Article 3 European patent with unitary effect	
Art 3(1) Am. 8	European patents granted with <i>an</i> <i>identical scope of protection</i> in respect of all participating Member States shall benefit from unitary effect in the participating Member States provided that their unitary effect has been registered in the Register for unitary patent protection <i>referred to in Article</i> <i>12(1)(b)</i> . European patents that were granted with different sets of claims for different participating Member States shall not benefit from unitary effect.	European patents granted with <i>an</i> <i>identical scope of protection</i> in respect of all participating Member States shall benefit from unitary effect in the participating Member States provided that their unitary effect has been registered in the Register for unitary patent protection <i>referred to in Article</i> <i>12(1)(b)</i> . European patents that were granted with different sets of claims for different participating Member States shall not benefit from unitary effect.	European patents granted with <i>the</i> <i>same set of claims</i> in respect of all <i>the</i> participating Member States shall benefit from unitary effect in the participating Member States provided that their unitary effect has been registered in the Register for unitary patent protection. European patents that were granted with different sets of claims for different participating Member States shall not benefit from unitary effect.	Compromise proposal: European patents granted with <i>the</i> <i>same set of claims</i> in respect of all <i>the</i> participating Member States shall benefit from unitary effect in the participating Member States provided that their unitary effect has been registered in the Register for unitary patent protection <i>referred to in Article</i> <i>2(da)</i> . European patents that were granted with different sets of claims for different participating Member States shall not benefit from unitary effect.
Art 3(2) Am. 9	A European patent with unitary effect shall have a unitary character. It shall provide uniform protection and shall have equal effect in all participating Member	A European patent with unitary effect shall have a unitary character. It shall provide uniform protection and shall have equal effect in all participating Member	A European patent with unitary effect shall have a unitary character. It shall provide uniform protection and shall have equal effect in all participating Member	Council position

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	States.	States.	States.	
	Without prejudice to Article 5, a European patent with unitary effect may only be limited, licensed, transferred, revoked or lapse in respect of all the participating Member States.	A European patent with unitary effect may only be limited, transferred, revoked or lapse in respect of all the participating Member States. A European patent with unitary effect may be licensed in respect of the whole or part of the territories of the participating Member States.	European <i>patents</i> with unitary effect may only be <i>granted</i> , limited, transferred <i>or</i> revoked, or lapse <i>or become subject to law</i> <i>enforcement</i> , in respect of all the participating Member States. <i>A European patent with unitary</i> <i>effect may be licensed in respect</i> <i>of the whole or part of the</i> <i>territories of the participating</i> <i>Member States.</i>	
Art 3(3)	The unitary effect of a European patent shall be deemed not to have arisen to the extent that the European patent has been revoked or limited.	The unitary effect of a European patent shall be deemed not to have arisen to the extent that the European patent has been revoked or limited.	The unitary effect of a European patent shall be deemed not to have arisen to the extent that the European patent has been revoked or limited.	
	Article 4	Article 4	Article 4	
	Date of effect	Date of effect	Date of effect	
Art 4(1)	A European patent with unitary effect shall take effect in the territories of the participating Member States on the date of the publication, by the European Patent Office, of the mention of the grant of the European patent	A European patent with unitary effect shall take effect in the territories of the participating Member States on the date of the publication, by the European Patent Office, of the mention of the grant of the European patent	A European patent with unitary effect shall take effect in the territories of the participating Member States on the date of the publication, by the European Patent Office, of the mention of the grant of the European patent	
	the grant of the European patent in the European Patent Bulletin.	the grant of the European patent in the European Patent Bulletin.	the grant of the European patent in the European Patent Bulletin.	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 4(2)	The participating Member States shall take the necessary measures to ensure that, where the unitary effect of a European patent has been registered, the European patent is deemed not to have taken effect as a national patent in their territory on the date of the publication of the mention of the grant in the European Patent Bulletin.	The participating Member States shall take the necessary measures to ensure that, where the unitary effect of a European patent has been registered, the European patent is deemed not to have taken effect as a national patent in their territory on the date of the publication of the mention of the grant in the European Patent Bulletin.	The participating Member States shall take the necessary measures to ensure that, where the unitary effect of a European patent has been registered, the European patent is deemed not to have taken effect as a national patent in their territory on the date of the publication of the mention of the grant in the European Patent Bulletin.	
	Article 5	Article 5	Article 5	
	Prior rights	Prior rights	Prior rights	
Art 5 Am. 10	In the event of a limitation or a revocation on the ground of lack of novelty pursuant to Article 54(3) of the EPC, the limitation or revocation of a European patent with unitary effect shall take effect only in respect of the participating Member State(s) designated in the earlier European patent application as published.	deleted	deleted	<u>deleted</u>
	Article 5a	Article 5a	Article 5a	
	Law applicable to a European patent with unitary effect	Law applicable to a European patent with unitary effect	Applicable law	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 5a Am. 11	-	deleted	The following shall apply, in the following order of priority, to the European patent with unitary effect:	<u>deleted</u>
			a) this Regulation and Regulation (EU) No/ implementing enhanced cooperation in the area of the creation of unitary patent protection with regard to the applicable translation arrangements and directly applicable Union law;	
			b) in matters not covered by (a), the EPC;	
			c) in matters not covered by (a) and (b),	
			national law of the participating Member States including their private international law;	
	Chapter II Effects of the European patent with unitary effect	Chapter II Effects of the European patent with unitary effect	Chapter II Effects of the European patent with unitary effect	
	Article 6	Article 6	Article 6	
	<i>Right to prevent the direct use of the invention</i>	Right to prevent the direct use of the invention	Right to prevent the direct use of the invention	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 6 introd. phrase	The European patent with unitary effect shall confer on its proprietor the right to prevent any third party not having the proprietor's consent from the following:	The European patent with unitary effect shall confer on its proprietor the right to prevent any third party not having the proprietor's consent from the following:	The European patent with unitary effect shall confer on its proprietor the right to prevent any third party not having the proprietor's consent from the following:	
Art 6 point a	making, offering, placing on the market or using a product which is the subject matter of the patent, or importing or storing the product for those purposes;	making, offering, placing on the market or using a product which is the subject matter of the patent, or importing or storing the product for those purposes;	making, offering, placing on the market or using a product which is the subject matter of the patent, or importing or storing the product for those purposes;	
Art 6 point b Am. 12	using a process which is the subject matter of the patent or, where the third party knows, or should have known, that the use of the process is prohibited without the consent of the proprietor of the patent, from offering the process for use within the <i>participating</i> Member States;	using a process which is the subject matter of the patent or, where the third party knows, or should have known, that the use of the process is prohibited without the consent of the proprietor of the patent, from offering the process for use within the Member States;	using a process which is the subject matter of the patent or, where the third party knows, or should have known, that the use of the process is prohibited without the consent of the proprietor of the patent, from offering the process for use within the <i>territory of the participating</i> Member States;	<u>EP position</u>
Art 6 point c	offering, placing on the market, using, importing or storing for those purposes a product obtained directly by a process which is the subject matter of the patent.	offering, placing on the market, using, importing or storing for those purposes a product obtained directly by a process which is the subject matter of the patent.	offering, placing on the market, using, importing or storing for those purposes a product obtained directly by a process which is the subject matter of the patent.	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	<i>Article 7</i> <i>Right to prevent the indirect use</i> <i>of the invention</i>	<i>Article 7</i> <i>Right to prevent the indirect use</i> <i>of the invention</i>	Article 7 Right to prevent the indirect use of the invention	
Art 7(1) Am. 13	The European patent with unitary effect shall confer on its proprietor the right to prevent any third party from supplying or offering to supply within the participating Member States any person <i>without the proprietor's</i> <i>consent</i> , other than <i>a party</i> entitled to exploit the patented invention, with means, relating to an essential element of that invention, for putting it into effect therein, when the third party knows, or should have known, that those means are suitable and intended for putting that invention into effect.	The European patent with unitary effect shall confer on its proprietor the right to prevent any third party from supplying or offering to supply within the participating Member States any person <i>without the proprietor's</i> <i>consent</i> , other than <i>a party</i> entitled to exploit the patented invention, with means, relating to an essential element of that invention, for putting it into effect therein, when the third party knows, or should have known, that those means are suitable and intended for putting that invention into effect.	The European patent with unitary effect shall confer on its proprietor the right to prevent any third party <i>not having the</i> <i>proprietor's consent</i> from supplying or offering to supply, within the participating Member States, any person other than <i>the</i> <i>one</i> entitled to exploit the patented invention, with means, relating to an essential element of that invention, for putting it into effect therein, when the third party knows, or should have known, that those means are suitable and intended for putting that invention into effect.	Compromise proposal: The European patent with unitary effect shall confer on its proprietor the right to prevent any third party <i>not having the</i> <i>proprietor's consent</i> from supplying or offering to supply, within the participating Member States, any person other than <i>a</i> <i>party</i> entitled to exploit the patented invention, with means, relating to an essential element of that invention, for putting it into effect therein, when the third party knows, or should have known, that those means are suitable and intended for putting that invention into effect.
Art 7(2)	Paragraph 1 shall not apply when the means are staple commercial products, except where the third party induces the person supplied to perform any of the acts prohibited by Article 6.	Paragraph 1 shall not apply when the means are staple commercial products, except where the third party induces the person supplied to perform any of the acts prohibited by Article 6.	Paragraph 1 shall not apply when the means are staple commercial products, except where the third party induces the person supplied to perform any of the acts prohibited by Article 6.	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 7(3)	Persons performing the acts referred to in Article 8(a) to (d) shall not be considered to be parties entitled to exploit the invention within the meaning of paragraph 1.	Persons performing the acts referred to in Article 8(a) to (d) shall not be considered to be parties entitled to exploit the invention within the meaning of paragraph 1.	Persons performing the acts referred to in Article 8(a) to (d) shall not be considered to be parties entitled to exploit the invention within the meaning of paragraph 1.	
Art 8a	-	-	Article 8a	Council position
Am. 14			Damages	
			1. In the event of unlawful direct use, the patent proprietor shall have the right in accordance with Article 6 to claim damages from the third party.	
			2. He may at his discretion claim from the third party:	
			a) compensation for lost profit and other damages,	
			b) a reasonable royalty, or	
			c) surrender of the profit derived from the patent infringement.	
			3. The royalty referred to in paragraph 2(b) shall be such as would have been set by	
			reasonable parties to a licence agreement at the time the patent was first infringed, but in full	
			knowledge of all the circumstances of the patent	

Commission proposal Council general approach Parliament draft report Compromise proposals infringement, including the unauthorised use. 4. Interest shall be charged on the sum to be paid in compensation at 5% over the ECB rate for each year of use. The claim shall lapse five years after the patent proprietor first learns of the patent infringement. 5. The patent proprietor's entitlement to information and other entitlements shall be determined under the national law of the participating Member States adopted pursuant to Directive 2004/48/EC of the European Parliament and of the Council of 29 April 2004 on the enforcement of intellectual property rights. 6. Paragraphs 1 to 5 shall apply in cases of indirect use of a patent in accordance with Article 5 only where the act leads to a direct infringement of the patent.

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	Article 8	Article 8	Article 8	
	<i>Limitation of the effects of the European patent with unitary effect</i>	<i>Limitation of the effects of the European patent with unitary effect</i>	<i>Limitation of the effects of the European patent with unitary effect</i>	
Art 8	The rights conferred by the European patent with unitary effect shall not extend to any of the following:	The rights conferred by the European patent with unitary effect shall not extend to any of the following:	The rights conferred by the European patent with unitary effect shall not extend to any of the following	
Art 8 point a	acts done privately and for non- commercial purposes;	acts done privately and for non- commercial purposes;	acts done privately and for non- commercial purposes;	
Art 8 point b	acts done for experimental purposes relating to the subject matter of the patented invention;	acts done for experimental purposes relating to the subject matter of the patented invention;	acts done for experimental purposes relating to the subject matter of the patented invention;	
Art 8 point ba Am. 15	-	-	acts relating to the use of the invention prior to the granting of the patent or to the right based on prior use of the patent.	Council position

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 8 point c	acts carried out solely for the purpose of conducting the necessary tests and trials in accordance with Article 13(6) of Directive 2001/82/EC or Article 10(6) of Directive 2001/83/EC in respect of any patent covering the product within the meaning of either of those Directives.	acts carried out solely for the purpose of conducting the necessary tests and trials in accordance with Article 13(6) of Directive 2001/82/EC or Article 10(6) of Directive 2001/83/EC in respect of any patent covering the product within the meaning of either of those Directives.	acts carried out solely for the purpose of conducting the necessary tests and trials in accordance with Article 13(6) of Directive 2001/82/EC or Article 10(6) of Directive 2001/83/EC in respect of any patent covering the product within the meaning of either of those Directives.	
Art 8 point d	the extemporaneous preparation for individual cases in a pharmacy of a medicine in accordance with a medical prescription nor acts concerning the medicine so prepared;	the extemporaneous preparation for individual cases in a pharmacy of a medicine in accordance with a medical prescription nor acts concerning the medicine so prepared;	the extemporaneous preparation for individual cases in a pharmacy of a medicine in accordance with a medical prescription nor acts concerning the medicine so prepared;	
Art 8 point e	the use on board vessels of countries other than participating Member States of the patented invention, in the body of the vessel, in the machinery, tackle, gear and other accessories, when such vessels temporarily or accidentally enter the waters of participating Member States, provided that the invention is used there exclusively for the needs of the vessel;	the use on board vessels of countries other than participating Member States of the patented invention, in the body of the vessel, in the machinery, tackle, gear and other accessories, when such vessels temporarily or accidentally enter the waters of participating Member States, provided that the invention is used there exclusively for the needs of the vessel;	the use on board vessels of countries other than participating Member States of the patented invention, in the body of the vessel, in the machinery, tackle, gear and other accessories, when such vessels temporarily or accidentally enter the waters of participating Member States, provided that the invention is used there exclusively for the needs of the vessel;	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 8 point f Am. 16	the use of the patented invention in the construction or operation of aircraft or land vehicles or other means of transport of States other than participating Member States, or of accessories to such aircraft or land vehicles, when these temporarily or accidentally enter participating Member States;	the use of the patented invention in the construction or operation of aircraft or land vehicles or other means of transport of States other than participating Member States, or of accessories to such aircraft or land vehicles, when these temporarily or accidentally enter participating Member States;	the use of the patented invention in the construction or operation of aircraft or land vehicles or other means of transport of States other than participating Member States, or of accessories to such aircraft or land vehicles, when these temporarily or accidentally enter <i>the territory of the</i> participating Member States;	<u>EP position</u>
Art 8 point g	the acts specified in Article 27 of the Convention on International Civil Aviation of 7 December 1944, where these acts concern the aircraft of a country other than a participating Member State;	the acts specified in Article 27 of the Convention on International Civil Aviation of 7 December 1944, where these acts concern the aircraft of a country other than a participating Member State;	the acts specified in Article 27 of the Convention on International Civil Aviation of 7 December 1944, where these acts concern the aircraft of a country other than a participating Member State;	
Art 8 point h Am. 17	acts as covered by the farmers privilege pursuant to Article 14 of Regulation (EC) No. 2100/94 which applies mutatis mutandis;	the use by a farmer of the product of his crop for propagation or multiplication on his own holding, provided that the reproductive vegetable material was sold or otherwise commercialized by the patent proprietor or with his consent to the farmer, for agricultural purposes. The scope and the detailed methods of this use are laid down in Article 14 of Regulation (EC) No. 2100/94;	the use by a farmer of the product of his crop for propagation or multiplication on his own holding, provided that the reproductive vegetable material was sold or otherwise commercialized by the patent proprietor or with his consent to the farmer, for agricultural purposes. The scope and the detailed methods of such use are laid down in Article 14 of Regulation (EC) No. 2100/94;	Council/EP position

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 8 point i	the use by a farmer of protected livestock for farming purposes, on condition that the breeding animals or other animal reproductive material were sold or otherwise commercialised to the farmer by the patent proprietor or with his/her consent. Such use includes the provision of the animal or other animal reproductive material for the purposes of his/her agricultural activity, but not the sale in the framework of or for the purpose of commercial reproductive activity;	the use by a farmer of protected livestock for farming purposes, on condition that the breeding animals or other animal reproductive material were sold or otherwise commercialised to the farmer by the patent proprietor or with his/her consent. Such use includes the provision of the animal or other animal reproductive material for the purposes of his/her agricultural activity, but not the sale in the framework of or for the purpose of commercial reproductive activity;	the use by a farmer of protected livestock for farming purposes, on condition that the breeding animals or other animal reproductive material were sold or otherwise commercialised to the farmer by the patent proprietor or with his/her consent. Such use includes the provision of the animal or other animal reproductive material for the purposes of his/her agricultural activity, but not the sale in the framework of or for the purpose of commercial reproductive activity;	
Art 8 point j Am. 18	the acts and the use of the obtained information as allowed under Articles 5 and 6 of <i>Council</i> <i>Directive 91/250/EEC</i> , in particular, by its provisions on decompilation and interoperability; and	the acts and the use of the obtained information as allowed under Articles 5 and 6 of <i>Council</i> <i>Directive 91/250/EEC</i> , in particular, by its provisions on decompilation and interoperability; and	the acts and the use of the obtained information as allowed under Articles 5 and 6 of <i>Directive 2009/24/EC</i> , in particular, by its provisions on decompilation and interoperability; and	<u>EP position</u>
Art 8 point k	the acts allowed pursuant to Article 10 of Directive 98/44/EC of the European Parliament and of the Council.	the acts allowed pursuant to Article 10 of Directive 98/44/EC of the European Parliament and of the Council.	the acts allowed pursuant to Article 10 of Directive 98/44/EC of the European Parliament and of the Council.	

_	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	Article 9	Article 9	Article 9	
	Exhaustion of the rights conferred by the European patent with unitary effect	Exhaustion of the rights conferred by the European patent with unitary effect	Exhaustion of the rights conferred by the European patent with unitary effect	
Art 9	The rights conferred by a European patent with unitary effect shall not extend to acts concerning the product covered by that patent which are carried out within the territories of the participating Member States after that product has been put on the market in the Union by the proprietor of the patent or with his/her consent, unless there are legitimate grounds for the proprietor to oppose further commercialisation of the product.	The rights conferred by a European patent with unitary effect shall not extend to acts concerning the product covered by that patent which are carried out within the territories of the participating Member States after that product has been put on the market in the Union by the proprietor of the patent or with his/her consent, unless there are legitimate grounds for the proprietor to oppose further commercialisation of the product.	The rights conferred by a European patent with unitary effect shall not extend to acts concerning the product covered by that patent which are carried out within the territories of the participating Member States after that product has been put on the market in the Union by the proprietor of the patent or with his/her consent, unless there are legitimate grounds for the proprietor to oppose further commercialisation of the product.	
	Chapter III A European patent with unitary effect as an object of property Article 10 Treating a European patent with	Chapter III A European patent with unitary effect as an object of property Article 10 Treating a European patent with	Chapter III A European patent with unitary effect as an object of property Article 10 Treating a European patent with	
• •	unitary effect as a national patent	unitary effect as a national patent	unitary effect as a national patent	
Art 10(1) introd.	A European patent with unitary effect as an object of property shall be treated in its entirety and	A European patent with unitary effect as an object of property shall be treated in its entirety and	A European patent with unitary effect as an object of property shall be treated in its entirety and	
phrase	in all the participating Member States as a national patent of the	in all the participating Member States as a national patent of the	in all the participating Member States as a national patent of the	
	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
-------------------------	---	---	---	----------------------
	participating Member State in which, according to the European Patent Register:	participating Member State in which, according to the European Patent Register:	participating Member State in which, according to the European Patent Register:	
Art 10(1) point a	the patent proprietor had his/her residence or principal place of business on the date of filing of the application for the patent; or	the patent proprietor had his/her residence or principal place of business on the date of filing of the application for the patent; or	the patent proprietor had his/her residence or principal place of business on the date of filing of the application for the patent; or	
Art 10(1) point b	where subparagraph (a) does not apply, the proprietor had a place of business on that date.	where subparagraph (a) does not apply, the proprietor had a place of business on that date.	where subparagraph (a) does not apply, the proprietor had a place of business on that date.	
Art 10(2)	Where two or more persons are mentioned in the European Patent Register as joint proprietors, paragraph 1(a) shall apply to the joint proprietor indicated first. Where this is not possible, paragraph 1(a) shall apply to the next joint proprietor indicated in the order of entry. Where paragraph 1(a) does not apply to any of the joint proprietors, paragraph 1(b) shall apply accordingly.	Where two or more persons are mentioned in the European Patent Register as joint proprietors, paragraph 1(a) shall apply to the joint proprietor indicated first. Where this is not possible, paragraph 1(a) shall apply to the next joint proprietor indicated in the order of entry. Where paragraph 1(a) does not apply to any of the joint proprietors, paragraph 1(b) shall apply accordingly.	Where two or more persons are mentioned in the European Patent Register as joint proprietors, paragraph 1(a) shall apply to the joint proprietor indicated first. Where this is not possible, paragraph 1(a) shall apply to the next joint proprietor indicated in the order of entry. Where paragraph 1(a) does not apply to any of the joint proprietors, paragraph 1(b) shall apply accordingly.	
Art 10(3) Am. 19	Where no proprietor has his/her residence or <i>a place</i> of business in a participating Member State for the purposes of paragraphs 1 or 2, the European patent with unitary	Where no proprietor has his/her residence or <i>a place</i> of business in a participating Member State for the purposes of paragraphs 1 or 2, the European patent with unitary	Where no proprietor has his/her residence, <i>principal place of</i> <i>business</i> or place of business in a participating Member State for the purposes of paragraphs 1 or 2, the	<u>EP position</u>

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	effect as an object of property shall be dealt with in its entirety and in all the participating Member States as a national patent of the State where the European Patent Organisation has its headquarters in accordance with Article 6(1) of the EPC.	effect as an object of property shall be dealt with in its entirety and in all the participating Member States as a national patent of the State where the European Patent Organisation has its headquarters in accordance with Article 6(1) of the EPC.	European patent with unitary effect as an object of property shall be dealt with in its entirety and in all the participating Member States as a national patent of the State where the European Patent Organisation has its headquarters in accordance with Article 6(1) of the EPC.	
Art 10(4)	The acquisition of a right may not be dependent on any entry in a national patent register.	The acquisition of a right may not be dependent on any entry in a national patent register.	The acquisition of a right may not be dependent on any entry in a national patent register.	
	Article 11	Article 11	Article 11	
	Licenses of right	Licenses of right	Licenses of right	
Art 11(1)	The proprietor of a European patent with unitary effect may file a statement with the European Patent Office that he/she is prepared to allow any person to use the invention as a licensee in return for appropriate compensation.	The proprietor of a European patent with unitary effect may file a statement with the European Patent Office that he/she is prepared to allow any person to use the invention as a licensee in return for appropriate compensation.	The proprietor of a European patent with unitary effect may file a statement with the European Patent Office that he/she is prepared to allow any person to use the invention as a licensee in return for appropriate compensation.	
Art 11(2)	A license obtained under this Regulation shall be treated as a contractual license.	A license obtained under this Regulation shall be treated as a contractual license.	A license obtained under this Regulation shall be treated as a contractual license.	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Am. 20	Chapter IV Institutional provisions	Chapter IV Institutional provisions	Chapter IV Institutional provisions	Council position
	Article 12	Article 12	Article 12	
	Implementation by the participating Member States	Implementation by the participating Member States	Tasks given to the European Patent Office	
Art 12(1) introd. phrase	The participating Member States shall give, within the meaning of Article 143 of the EPC, the European Patent Office the following tasks to be carried out in conformity with the internal rules of the European Patent Office:	The participating Member States shall give, within the meaning of Article 143 of the EPC, the European Patent Office the following tasks to be carried out in conformity with the internal rules of the European Patent Office:	The participating Member States shall give, within the meaning of Article 143 of the EPC, the European Patent Office the following tasks to be carried out in conformity with the internal rules of the European Patent Office:	
Art 12(1) point a	the administration of requests for unitary effect by proprietors of European patents;	the administration of requests for unitary effect by proprietors of European patents;	the administration of requests for unitary effect by proprietors of European patents;	
Art 12(1) point b Am. 21	the <i>inclusion and</i> administration of <i>a</i> Register for unitary patent protection <i>registering unitary</i> <i>effect as well as any limitation,</i> <i>license, transfer, revocation or</i> <i>lapse of a European patent with</i> <i>unitary effect, within the</i> <i>European Patent Register</i> ;	the <i>inclusion and</i> administration of <i>a</i> Register for unitary patent protection <i>registering unitary</i> <i>effect as well as any limitation,</i> <i>license, transfer, revocation or</i> <i>lapse of a European patent with</i> <i>unitary effect, within the</i> <i>European Patent Register</i> ;	the <i>inclusion within the</i> <i>European Patent Register</i> and <i>the</i> administration of <i>the</i> Register for unitary patent protection;	<u>EP position</u>

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 12(1) point c	receiving and registering statements on licensing referred to in Article 11, their withdrawal and licensing commitments undertaken in international standardisation bodies;	receiving and registering statements on licensing referred to in Article 11, their withdrawal and licensing commitments undertaken in international standardisation bodies;	receiving and registering statements on licensing referred to in Article 11, their withdrawal and licensing commitments undertaken in international standardisation bodies;	
Art 12(1) point d	the publication of the translations referred to in Article 6 of Council Regulation/ [translation arrangements] during the transitional period referred to in that Article;	the publication of the translations referred to in Article 6 of Council Regulation/ [translation arrangements] during the transitional period referred to in that Article;	the publication of the translations referred to in Article 6 of Council Regulation/ [translation arrangements] during the transitional period referred to in that Article;	
Art 12(1) point e Am. 22	the collection and administration of renewal fees for European patents with unitary effect, in respect of the years following the year in which the <i>Register</i> <i>referred to in point b</i>) mentions <i>their grant</i> ; the collection and administration of additional fees paid in cases of late payment of renewal fees within six months of the due date, as well as the distribution of a part of the collected renewal fees to the participating Member States; and	the collection and administration of renewal fees for European patents with unitary effect, in respect of the years following the year in which the <i>Register</i> <i>referred to in point b</i>) mentions <i>their grant</i> ; the collection and administration of additional fees paid in cases of late payment of renewal fees within six months of the due date, as well as the distribution of a part of the collected renewal fees to the participating Member States; and	the collection and administration of renewal fees for European patents with unitary effect, in respect of the years following the year in which the <i>European</i> <i>Patent Register</i> mentions <i>the</i> <i>grant of such a patent</i> ; the collection and administration of additional fees paid in cases of late payment of renewal fees within six months of the due date, as well as the distribution of a part of the collected renewal fees to the participating Member States; and	<u>Compromise proposal:</u> the collection and administration of renewal fees for European patents with unitary effect, in respect of the years following the year in which the <i>Register</i> <i>referred to in Article 2 (da)</i> mentions <i>their grant</i> ; the collection and administration of additional fees paid in cases of late payment of renewal fees within six months of the due date, as well as the distribution of a part of the collected renewal fees to the participating Member States; and

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 12(1) poin f	the administration of a compensation scheme of translation costs for applicants filing European patent applications in one of the official languages of the Union that is not an official language of the European Patent Office.	the administration of a compensation scheme of translation costs for applicants filing European patent applications in one of the official languages of the Union that is not an official language of the European Patent Office.	the administration of a compensation scheme of translation costs for applicants filing European patent applications in one of the official languages of the Union that is not an official language of the European Patent Office.	
Art 12(1) point g	-	ensuring that requests by the patent proprietor for unitary effect for a European patent are filed in the language of the proceedings as defined in Article 14(3) of the EPC no later than one month after the mention of the grant is published in the European Patent Bulletin;	-	Council position
Art 12(1) point h	-	ensuring that the unitary effect is indicated in the Register for unitary patent protection, where a request for unitary effect has been filed and, during the transitional period provided for in Article 6 of Council Regulation/ [translation arrangements], has been submitted together with the translations referred to in that Article and that the European Patent Office is informed of	-	<u>Compromise proposal:</u> ensuring that the unitary effect is indicated in the Register for unitary patent protection, where a request for unitary effect has been filed and, during the transitional period provided for in Article 6 of Council Regulation/ [translation arrangements], has been submitted together with the translations referred to in that Article and that the European Patent Office is informed of <i>any</i>

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
		<i>limitations and revocations of European patents with unitary effect.</i>		limitations, <i>licenses, transfers</i> and revocations of European patents with unitary effect.
Art 12(1) second subpar. Am. 23	For the purposes of point a), the participating Member States shall ensure that requests by <i>the patent</i> <i>proprietor</i> for unitary effect for a European patent are filed in the language of the proceedings as defined in Article 14(3) of the EPC no later than one month after the mention of the grant is published in the European Patent Bulletin.		For the purposes of point a) of <i>the</i> <i>first subparagraph</i> , the participating Member States shall ensure that requests for unitary effect for a European patent are filed <i>by the patent proprietor</i> in the language of the proceedings as defined in Article 14(3) of the EPC no later than one month after the mention of the grant <i>of the</i> <i>European patent</i> is published in the European Patent Bulletin.	<u>Council position</u>
Art 12(1), third subpar. Am. 24	For the purposes of point b), the participating Member States shall ensure that the unitary effect is indicated in the Register for unitary patent protection, where a request for unitary effect has been filed and, during the transitional period provided for in Article 6 of Council Regulation/ [translation arrangements], has been submitted together with the translations referred to in that Article; and that the European Patent Office is informed of limitations and revocations of		For the purposes of point b) <i>of the</i> <i>first subparagraph</i> , the participating Member States shall ensure that the unitary effect is indicated in the Register for unitary patent protection, where a request for unitary effect has been filed and, during the transitional period provided for in Article 6 of Council Regulation/ [translation arrangements], has been submitted together with the translations referred to in that Article. <i>Member States shall ensure</i> that	<u>Council position</u>

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	uropean <i>patents</i> with unitary ffect.		the European Patent Office is informed of <i>any</i> limitations, <i>license, transfer,</i> revocations <i>or</i> <i>lapse</i> of <i>a</i> European <i>patent</i> with unitary effect.	
12(2) Am. 25 Sta pa sh su rea pa sh the Eu wi	articipating Member States hall ensure the governance and upervision of the activities elated to the tasks referred to in aragraph 1 by the European atent Office. To that end they hall set up a Select Committee of the Administrative Council of the	Member States shall ensure compliance with the provisions of this Regulation in implementing their international obligations undertaken in the EPC and shall cooperate amongst themselves to that end. In their capacity as Contracting States to the EPC, the participating Member States shall ensure the governance and supervision of the activities related to the tasks referred to in paragraph 1 by the European Patent Office and shall ensure the setting of the level of renewal fees in accordance with Article 15 and the setting of the share of distribution of the renewal fees in accordance with Article 16. To that end they shall set up a Select Committee of the Administrative Council of the European Patent Organisation within the meaning of Article 145	deleted	<u>Council position</u>

DG C I

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 12(3)	The participating Member States shall ensure effective legal protection before a national court against the decisions of the European Patent Office in carrying out the tasks referred to in paragraph 1.	The participating Member States shall ensure effective legal protection before the competent court against the decisions of the European Patent Office in carrying out the tasks referred to in paragraph 1.	The participating Member States shall ensure effective legal protection before the competent court against the decisions of the European Patent Office in carrying out the tasks referred to in paragraph 1.	
	-	Article 12a	Article 12a	EP position
		Select committee	Select Committee of the Administrative Council	
Art 12a Am. 26		deleted	1. In their capacity as Contracting States to the EPC, the participating Member States shall ensure the governance and supervision of the activities related to the tasks referred to in paragraph 12 by the European Patent Office. To that end they shall set up a Select Committee of the Administrative Council of the European Patent Organisation within the meaning of Article 145 of the EPC.	<u>Council position</u>
			2. The participating Member States in the framework of the Select Committee shall:	
			a) set the conditions of entrusting the European Patent Office to carry out the tasks referred to in	

Commission proposal Council general approach Parliament draft report Compromise proposals Article 12(1); b) ensure that requests by the patent proprietor for unitary effect are submitted in the language of the proceedings as defined in Article 14(3) of the EPC no later than one month after the mention of the grant is published in the European Patent Bulletin; c) ensure that the unitary effect is indicated in the Register for unitary patent protection, where a request for unitary effect has been filed and, during the transitional period provided for in Article 6 of Council Regulation .../... [translation arrangements], has been submitted together with the translations referred to in that Article; and that the European Patent Office is informed of *limitations of European patents* with unitary effect on the basis of Article 138(3) of the EPC; d) set the level of the renewal fees in accordance with Article 15; and

Commission proposal Council general approach Parliament draft report Compromise proposals e) set the share of distribution of the renewal fees in accordance with Article 16. 3. The Select Committee of the Administrative Council shall consist of the representatives of the Member States participating in enhanced cooperation and a representative of the Commission, as well as alternates who will represent them in their absence. The members of the Select Committee may be assisted by advisers or by experts. 4. Decisions of the Select *Committee of the Administrative* Council shall be taken with due regard for the position of the Commission and in accordance with the rules set out in Article *35(2) of the EPC.* Chapter V Financial provisions Chapter V Financial provisions Chapter V Financial provisions Article 13 Article 13 Article 13 Principle Principle Principle Art 13 The expenses incurred by the The expenses incurred by the The expenses incurred by the European Patent Office in European Patent Office in European Patent Office in carrying out the additional tasks carrying out the additional tasks carrying out the additional tasks given, within the meaning of given, within the meaning of given, within the meaning of

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	Article 143 of the EPC, by Member States to the European Patent Office shall be covered by the fees generated by the European patents with unitary effect.	Article 143 of the EPC, by Member States to the European Patent Office shall be covered by the fees generated by the European patents with unitary effect.	Article 143 of the EPC, by Member States to the European Patent Office shall be covered by the fees generated by the European patents with unitary effect.	
	Article 14	Article 14	Article 14	
	Renewal fees	Renewal fees	Renewal fees	
Art 14(1)	Renewal fees and additional fees for the late payment of renewal fees for European patents with unitary effect shall be paid to the European Patent Organisation by the patent proprietor. Those fees shall be due in respect of the years following the year in which the European Patent Register mentions the grant of the European patent which benefits from unitary effect by virtue of this Regulation.	Renewal fees and additional fees for the late payment of renewal fees for European patents with unitary effect shall be paid to the European Patent Organisation by the patent proprietor. Those fees shall be due in respect of the years following the year in which the European Patent Register mentions the grant of the European patent which benefits from unitary effect by virtue of this Regulation.	Renewal fees and additional fees for the late payment of renewal fees for European patents with unitary effect shall be paid to the European Patent Organisation by the patent proprietor. Those fees shall be due in respect of the years following the year in which the European Patent Register mentions the grant of the European patent which benefits from unitary effect by virtue of this Regulation.	
Art 14(2) Am. 27	A European patent with unitary effect shall lapse if a renewal fee and, where applicable, any additional fee have not been paid in due time.	A European patent with unitary effect shall lapse if a renewal fee and, where applicable, any additional fee have not been paid in due time.	A European patent with unitary effect shall lapse if a renewal fee and, where applicable, any additional fee <i>for late payment of</i> <i>a renewal fee</i> have not been paid in due time.	Council position

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 14(3)	In the case of Article 11(1), renewal fees for the patent which fall due after receipt of the statement shall be reduced.	In the case of Article 11(1), renewal fees for the patent which fall due after receipt of the statement shall be reduced.	In the case of Article 11(1), renewal fees for the patent which fall due after receipt of the statement shall be reduced.	
	Article 15	Article 15	Article 15	
	Level of renewal fees	Level of renewal fees	Level of renewal fees	
Art 15(1) introd. phrase	Renewal fees for European patents with unitary effect shall be:	Renewal fees for European patents with unitary effect shall be:	Renewal fees for European patents with unitary effect shall be:	
Art 15(1) point a	progressive throughout the term of the unitary patent protection, and	progressive throughout the term of the unitary patent protection, and	progressive throughout the term of the unitary patent protection, and	
Art 15(1) point b	sufficient not only to cover all costs associated with the grant of the European patent and the administration of the unitary patent protection but also,	sufficient not only to cover all costs associated with the grant of the European patent and the administration of the unitary patent protection but also,	sufficient not only to cover all costs associated with the grant of the European patent and the administration of the unitary patent protection but also,	
Art 15(1) point c	sufficient together with the fees to be paid to the European Patent Organisation during the pre-grant stage, to ensure a balanced budget of the European Patent Organisation.	sufficient together with the fees to be paid to the European Patent Organisation during the pre-grant stage, to ensure a balanced budget of the European Patent Organisation.	sufficient together with the fees to be paid to the European Patent Organisation during the pre-grant stage, to ensure a balanced budget of the European Patent Organisation.	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 15(2) introd. phrase	The level of the renewal fees shall be fixed with the aim of	The level of the renewal fees shall be fixed with the aim of	The level of the renewal fees shall be fixed with the aim of	The level of the renewal fees <i>and</i> , <i>if appropriate, a rebate for</i> <i>specific entities such as small</i> <i>and medium sized enterprises</i> , shall be fixed with the aim of
Art 15(2) point a	facilitating innovation and fostering the competitiveness of European businesses,	facilitating innovation and fostering the competitiveness of European businesses,	facilitating innovation and fostering the competitiveness of European businesses,	
Art 15(2) point b	reflecting the size of the market covered by the patent and	reflecting the size of the market covered by the patent and	reflecting the size of the market covered by the patent and	
Art 15(2) point ba Am. 28	-	-	taking into account the specific situation of small and medium- sized enterprises in the form of lower fees,	Council position
Art 15(2) point c Am. 29	being similar to the level of the national renewal fees for an average European patent taking effect in the participating Member States at the time where the level of the renewal fees is first fixed <i>by the Commission</i> .	being similar to the level of the national renewal fees for an average European patent taking effect in the participating Member States at the time where the level of the renewal fees is first fixed.	being similar to the level of the national renewal fees for an average European patent taking effect in the participating Member States at the time where the level of the renewal fees is first fixed.	Council/EP position

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 15(3) introd. phrase Am. 30	In order to reach <i>these</i> objectives set out in this Chapter, the <i>Commission</i> shall set the level of renewal fees at a level that	In order to reach <i>these</i> objectives set out in this Chapter, <i>the level of</i> <i>renewal fees</i> shall <i>be set a</i> level that	In order to reach <i>the</i> objectives set out in this Chapter, the <i>Select</i> <i>Committee</i> shall, <i>taking due</i> <i>account of the position of the</i> <i>Commission</i> , set the level of renewal fees at a level that:	Council position
Art 15(3) point a	is equivalent to the level of renewal fee to be paid for the average geographical coverage of current European patents,	is equivalent to the level of renewal fee to be paid for the average geographical coverage of current European patents,	is equivalent to the level of renewal fee to be paid for the average geographical coverage of current European patents,	
Art 15(3) point b	reflects the renewal rate of current European patents, and	reflects the renewal rate of current European patents, and	reflects the renewal rate of current European patents, and	
Art 15(3) point c	the number of requests for unitary protection.	the number of requests for unitary protection.	the number of requests for unitary protection.	
Art 15(4) Am. 31	The Commission shall be empowered to adopt delegated acts in accordance with paragraphs 1-3 and Article 17 concerning the fixing of the level of the renewal fees for European patents with unitary effect.	deleted	deleted	deleted
	Article 16	Article 16	Article 16	
	Distribution	Distribution	Distribution	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 16(1)	The part of the collected renewal fees to be distributed to the participating Member States referred to in Article 12(1)(e) shall be 50 percent of the renewal fees referred to in Article 14 paid for European patents with unitary effect minus the costs associated with the administration of the unitary patent protection referred to in Article 12.	The European Patent Office shall retain 50 percent of the renewal fees referred to in Article 14 paid for European patents with unitary effect. The remaining amount shall be distributed to the participating Member States in accordance with the share of distribution of the renewal fees set in accordance with Article 12(2).	The part of the collected renewal fees to be distributed to the participating Member States referred to in Article 12(1)(e) shall be 50 percent of the renewal fees referred to in Article 14 paid for European patents with unitary effect minus the costs associated with the administration of the unitary patent protection referred to in Article 12.	Council position
Art 16(2) introd. phrase Am. 32	In order to reach <i>these</i> objectives set out in this Chapter, <i>the</i> <i>Commission shall set</i> the share of distribution of renewal fees <i>referred to in paragraph 1</i> among the participating Member States <i>on the basis of</i> the following fair, equitable and relevant criteria:	In order to reach these objectives set out in this Chapter, the share of distribution of renewal fees <i>referred to in paragraph 1</i> among the participating Member States <i>shall be set on the basis of</i> the following fair, equitable and relevant criteria:	In order to reach <i>the</i> objectives set out in this Chapter, the share of distribution of renewal fees among the participating Member States <i>shall be based on</i> the following fair, equitable and relevant criteria:	<u>EP text</u>
Art 16(2) point a	the number of patent applications,	the number of patent applications,	the number of patent applications,	
Art 16(2) point b Am. 33	the size of the market <i>expressed</i> <i>in the number of population</i> ,	the size of the market, while ensuring a minimum amount to be distributed to each participating Member State,	the size of the market, while ensuring a minimum amount to be distributed to each participating Member State,	Council/EP position

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 16(2) point c Am. 34	provision of compensation to Member States for having an official language other than one of the official languages of the European Patent Office, having a disproportionately low level of patenting activity <i>and</i> having acquired membership of the European Patent Organisation relatively recently.	provision of compensation to Member States for having an official language other than one of the official languages of the European Patent Office, <i>and/or</i> having a disproportionately low level of patenting activity <i>and/or</i> having acquired membership of the European Patent Organisation relatively recently.	provision of compensation to Member States for having an official language other than one of the official languages of the European Patent Office <i>and/or</i> having a disproportionately low level of patenting activity <i>and/or</i> having acquired membership of the European Patent Organisation relatively recently.	Council/EP position
Art 16(3) Am. 35	The participating Member States shall use the amount allocated to them in accordance with paragraph 1 to patent-related purposes.	deleted	deleted	<u>deleted</u>
Art 16(4) Am. 36	The Commission shall be empowered to adopt delegated acts in accordance with paragraphs 1-3 and Article 17 concerning the setting of the distribution of renewal fees between the participating Member States.	deleted	deleted	<u>deleted</u>
	<i>Article 17</i> <i>Excersise of delegation</i>	deleted	deleted	deleted
Art 17 Am. 37	1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this	deleted	deleted	deleted

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
A	Article.			
r s in ti	2. The delegation of power referred to in Articles 15 and 16 shall be conferred for an indeterminate period of time from he [date of entry into force of this Regulation].			
r m E C s o d d t I J a a s	B. The delegation of powers referred to in Articles 15 and 16 may be revoked at any time by the European Parliament or by the Council. A decision of revocation shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of he decision in the Official fournal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.			
4 a s	A. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.			
p s o	5. A delegated act adopted oursuant to Articles 15 and 16 shall enter into force only if no objection has been expressed either by the European Parliament			

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	or the Council within a period of			
	2 months of notification of that			
	act to the European Parliament			
	and the Council or if, before the			
	expiry of that period, the			
	European Parliament and the			
	Council have both informed the			
	Commission that they will not			
	object. That period shall be			
	extended by 2 months at the			
	initiative of the European			
	Parliament or the Council.			
	Chapter VI Final provisions	Chapter VI Final provisions	Chapter VI Final provisions	
	Article 18	Article 18	Article 18	
	Cooperation between the	Cooperation between the	Cooperation between the	
	Commission and the European	Commission and the European	Commission and the European	
	Patent Office	Patent Office	Patent Office	
Art 18	The Commission shall establish a	The Commission shall establish a	The Commission shall establish a	
	close cooperation through a	close cooperation through a	close cooperation through a	
	working agreement with the	working agreement with the	working agreement with the	
	European Patent Office in the	European Patent Office in the	European Patent Office in the	
	fields covered by this Regulation.	fields covered by this Regulation.	fields covered by this Regulation.	
	This cooperation shall include	This cooperation shall include	This cooperation shall include	
	regular exchanges of views on the	regular exchanges of views on the	regular exchanges of views on the	
	functioning of the working	functioning of the working	functioning of the working	
	agreement and in particular on the	agreement and in particular on the	agreement and in particular on the	
	issue of renewal fees and the	issue of renewal fees and the	issue of renewal fees and the	
	impact on the budget of the	impact on the budget of the	impact on the budget of the	
	European Patent Organisation.	European Patent Organisation.	European Patent Organisation.	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	Article 19	Article 19	Article 19	
	Application of competition law and the law relating to unfair competition	Application of competition law and the law relating to unfair competition	Application of competition law and the law relating to unfair competition	
Art 19	This Regulation is without prejudice to the application of competition law and the law relating to unfair competition.	This Regulation is without prejudice to the application of competition law and the law relating to unfair competition.	This Regulation is without prejudice to the application of competition law and the law relating to unfair competition.	
	Article 20	Article 20	Article 20	
	Report on the operation of this Regulation	Report on the operation of this Regulation	Report on the operation of this Regulation	
Art 20(1) Am. 38	Not later than <i>six</i> years from the date on which the first European patent with unitary effect takes effect in the territories of the participating Member States, the Commission shall present to the Council a report on the operation of this Regulation and, where necessary, make appropriate proposals for amending it. Subsequent reports on the operation of this Regulation shall be presented by the Commission every <i>six</i> years.	Not later than <i>six</i> years from the date on which the first European patent with unitary effect takes effect in the territories of the participating Member States, the Commission shall present to the Council a report on the operation of this Regulation and, where necessary, make appropriate proposals for amending it. Subsequent reports on the operation of this Regulation shall be presented by the Commission every <i>six</i> years.	Not later than <i>three</i> years from the date on which the first European patent with unitary effect takes effect in the territories of the participating Member States, the Commission shall present to the <i>European</i> <i>Parliament and the</i> Council a report on the operation of this Regulation and, where necessary, make appropriate proposals for amending it. Subsequent reports on the operation of this Regulation shall be presented by the Commission every <i>five</i> years.	<u>EP position</u>

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 20(2) Am. 39	The Commission shall submit reports <i>regularly</i> on the functioning of the renewal fees referred to in Article 14 with particular emphasis on the continued compliance with the principles set out in Article 15.	The Commission shall submit reports <i>regularly</i> on the functioning of the renewal fees referred to in Article 14 with particular emphasis on the continued compliance with the principles set out in Article 15.	The Commission shall <i>regularly</i> submit <i>to the European</i> <i>Parliament and the Council</i> reports on the functioning of the renewal fees referred to in Article 14 with particular emphasis on the continued compliance with the principles set out in Article 15.	<u>EP position</u>
	Article 21	Article 21	Article 21	
	Notification by the participating Member States	Notification by the participating Member States	Notification by the participating Member States	
Art 21 Am. 40	The participating Member States shall notify the Commission of the measures adopted in accordance with Articles 4(2) <i>and</i> 12 by the date set in Article 22(2).	The participating Member States shall notify the Commission of the measures adopted in accordance with Articles 4(2) <i>and</i> 12 by the date set in Article 22(2).	The participating Member States shall notify the Commission of the measures adopted in accordance with Articles 4(2), 12 <i>and 12a</i> by the date set in Article 22(2).	Council position
	Article 22	Article 22	Article 22	
	Entry into force and application	Entry into force and application	Entry into force and application	
Art 22(1)	This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.	This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.	This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 22(2) Am. 41	It shall apply <i>from [a specific date will be set and it will coincide with the date of application of Council</i> Regulation/ on the implementation of enhanced cooperation in the area of the creation of unitary patent protection with regard to the applicable translation arrangements].	It shall apply <i>from [a specific date will be set and it will coincide with the date of application of Council</i> Regulation/ on the implementation of enhanced cooperation in the area of the creation of unitary patent protection with regard to the applicable translation arrangements] or the date of the entry into force of the instrument creating a unified patent litigation system and the setting up of such a system, whichever is the later.	It shall apply <i>once:</i> (a) Regulation/ on the implementation of enhanced cooperation in the area of the creation of unitary patent protection with regard to the applicable translation arrangements has become applicable, and (b) a minimum of nine contracting Member States, including the three Member States in which the highest number of European patents was in force in the year preceding the year in which the Diplomatic Conference for the signature of the agreement on the patent litigation system takes place, have ratified that agreement.	<u>Council position</u>
Art 22(3) Am. 42	The participating Member States shall ensure that the rules referred to in Articles 4(2) and 12 are in place prior to or on the date set in paragraph 2.	The participating Member States shall ensure that the rules referred to in Articles 4(2) and 12 are in place prior to or on the date set in paragraph 2.	deleted	Council position

KS/kh

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 22(3a) Am. 43			During a transitional period pending the entry into force of the agreement on the patent litigation system in all contracting Member States, the unitary effect of the European patents shall be limited to those participating Member States in which the agreement on the patent litigation system is in force at the time of registering the unitary effect in accordance with Article 3(1).	<u>EP position</u>
Art 22(4) Am. 44	Unitary patent protection may be requested for any European patent granted on or after the date set out in paragraph 2. This Regulation shall be binding	Unitary patent protection may be requested for any European patent granted on or after the date set out in paragraph 2. This Regulation shall be binding	Unitary patent protection may be requested for any European patent granted on or after the date set out in paragraph 2 <i>and 3a</i> . This Regulation shall be binding	<u>EP position</u>
	in its entirety and directly applicable in the participating Member States in accordance with the Treaties. Done at Brussels,	in its entirety and directly applicable in the participating Member States in accordance with the Treaties. Done at Brussels,	in its entirety and directly applicable in the participating Member States in accordance with the Treaties. Done at Brussels,	

REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

ANNEX II

Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Proposal for a	Proposal for a	Proposal for a	
COUNCIL REGULATION	COUNCIL REGULATION	COUNCIL REGULATION	
implementing enhanced cooperation in the area of the creation of unitary patent protection with regard to the applicable translation arrangements	implementing enhanced cooperation in the area of the creation of unitary patent protection with regard to the applicable translation arrangements	implementing enhanced cooperation in the area of the creation of unitary patent protection with regard to the applicable translation arrangements	
THE COUNCIL OF THE EUROPEAN UNION	THE COUNCIL OF THE EUROPEAN UNION	THE COUNCIL OF THE EUROPEAN UNION	
Having regard to the Treaty on the Functioning of the European Union, and in particular Article 118(2) thereof,	Having regard to the Treaty on the Functioning of the European Union, and in particular Article 118(2) thereof,	Having regard to the Treaty on the Functioning of the European Union, and in particular Article 118(2) thereof,	
Having regard to Council Decision 2011/167/EU of 10 March 2011 authorising enhanced cooperation in the area of the creation of unitary patent protection ⁴ ,	Having regard to Council Decision 2011/167/EU of 10 March 2011 authorising enhanced cooperation in the area of the creation of unitary patent protection ⁶ ,	Having regard to Council Decision 2011/167/EU of 10 March 2011 authorising enhanced cooperation in the area of the creation of unitary patent protection ⁸ ,	

⁴ OJ, L 76, 22.3.2011, p. 53.

⁵ OJ C , , p. .

⁶ OJ, L 76, 22.3.2011, p. 53.

⁷ OJ C , , p. .

REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	Having regard to the proposal from the European Commission,	Having regard to the proposal from the European Commission,	Having regard to the proposal from the European Commission,	
	After transmission of the draft legislative act to the national Parliaments,	After transmission of the draft legislative act to the national Parliaments,	After transmission of the draft legislative act to the national Parliaments,	
	Having regard to the opinion of the European Parliament ⁵ ,	Having regard to the opinion of the European Parliament ⁷ ,	Having regard to the opinion of the European Parliament ⁹ ,	
	Acting in accordance with a special legislative procedure,	Acting in accordance with a special legislative procedure,	Acting in accordance with a special legislative procedure,	
	Whereas:	Whereas:	Whereas:	
Rec 1	Pursuant to Council Decision 2011/167/EU authorising enhanced cooperation in the area of the creation of unitary patent protection, Belgium, Bulgaria, the Czech Republic, Denmark, Germany, Estonia, Greece, France, Ireland, Cyprus, Latvia, Lithuania, Luxembourg, Hungary, Malta, the Netherlands, Austria, Poland, Portugal, Romania,	Pursuant to Council Decision 2011/167/EU authorising enhanced cooperation in the area of the creation of unitary patent protection, Belgium, Bulgaria, the Czech Republic, Denmark, Germany, Estonia, Greece, France, Ireland, Cyprus, Latvia, Lithuania, Luxembourg, Hungary, Malta, the Netherlands, Austria, Poland, Portugal, Romania,	Pursuant to Council Decision 2011/167/EU authorising enhanced cooperation in the area of the creation of unitary patent protection, Belgium, Bulgaria, the Czech Republic, Denmark, Germany, Estonia, Greece, France, Ireland, Cyprus, Latvia, Lithuania, Luxembourg, Hungary, Malta, the Netherlands, Austria, Poland, Portugal, Romania,	
	Slovenia, Slovakia, Finland, Sweden and the United Kingdom	Slovenia, Slovakia, Finland, Sweden and the United Kingdom	Slovenia, Slovakia, Finland, Sweden and the United Kingdom	
	(hereinafter "participating	(hereinafter "participating	(hereinafter "participating	

⁸ OJ, L 76, 22.3.2011, p. 53.

⁹ OJ C , , p. .

REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	Member States") were authorised to establish enhanced cooperation between themselves in the area of the creation of unitary patent protection.	Member States") were authorised to establish enhanced cooperation between themselves in the area of the creation of unitary patent protection.	Member States") were authorised to establish enhanced cooperation between themselves in the area of the creation of unitary patent protection.	
Rec 2	Under Regulation of the European Parliament and of the Council xx/xx implementing enhanced cooperation in the area of the creation of unitary patent protection, certain European patents granted by the European Patent Office under the rules and procedures of the Convention on the Grant of European Patents of 5 October 1973, as amended (hereinafter "EPC") may be given unitary effect in the territories of the participating Member States upon the request of the patent proprietor.	Under Regulation of the European Parliament and of the Council xx/xx implementing enhanced cooperation in the area of the creation of unitary patent protection, certain European patents granted by the European Patent Office under the rules and procedures of the Convention on the Grant of European Patents of 5 October 1973, as amended (hereinafter "EPC") may be given unitary effect in the territories of the participating Member States upon the request of the patent proprietor.	Under Regulation of the European Parliament and of the Council xx/xx implementing enhanced cooperation in the area of the creation of unitary patent protection, certain European patents granted by the European Patent Office under the rules and procedures of the Convention on the Grant of European Patents of 5 October 1973, as amended (hereinafter "EPC") may be given unitary effect in the territories of the participating Member States upon the request of the patent proprietor.	
Rec 3	Translation arrangements for European patents with unitary effect in the territories of the participating Member States (hereinafter "European patent with unitary effect") should be	Translation arrangements for European patents with unitary effect in the territories of the participating Member States (hereinafter "European patent with unitary effect") should be	Translation arrangements for European patents with unitary effect in the territories of the participating Member States (hereinafter "European patent with unitary effect") should be	

REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	established by a separate Regulation in accordance with Article 118(2) of the Treaty on the Functioning of the European Union (hereinafter "TFEU").	established by a separate Regulation in accordance with Article 118(2) of the Treaty on the Functioning of the European Union (hereinafter "TFEU").	established by a separate Regulation in accordance with Article 118(2) of the Treaty on the Functioning of the European Union (hereinafter "TFEU").	
Rec 4	In accordance with Council Decision 2011/167/EU authorising enhanced cooperation in the area of the creation of unitary patent protection, the translation arrangements for European patents with unitary effect should be simple and cost- effective and correspond to those provided for in the proposal for a Council Regulation on the translation arrangements for the European Union patent, presented by the Commission on 30 June 2010, combined with the elements of compromise proposed by the Presidency in November 2010 that had wide support in the Council.	In accordance with Council Decision 2011/167/EU authorising enhanced cooperation in the area of the creation of unitary patent protection, the translation arrangements for European patents with unitary effect should be simple and cost- effective and correspond to those provided for in the proposal for a Council Regulation on the translation arrangements for the European Union patent, presented by the Commission on 30 June 2010, combined with the elements of compromise proposed by the Presidency in November 2010 that had wide support in the Council.	In accordance with Council Decision 2011/167/EU authorising enhanced cooperation in the area of the creation of unitary patent protection, the translation arrangements for European patents with unitary effect should be simple and cost- effective and correspond to those provided for in the proposal for a Council Regulation on the translation arrangements for the European Union patent, presented by the Commission on 30 June 2010, combined with the elements of compromise proposed by the Presidency in November 2010 that had wide support in the Council.	
Rec 5	Translation arrangements applicable to European patents with unitary effect that are cost- effective, simplified and ensure	Translation arrangements applicable to European patents with unitary effect that are cost- effective, simplified and ensure	Translation arrangements applicable to European patents with unitary effect that are cost- effective, simplified and ensure	

ENHANCED COOPERATION IN THE AREA OF THE CREATION OF UNITARY PATENT PROTECTION WITH REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	legal certainty should stimulate innovation and should, in particular, benefit small and medium-sized enterprises. Such translation arrangements should make access to the European patent with unitary effect and to the patent system as a whole easier, less costly and less risky.	legal certainty should stimulate innovation and should, in particular, benefit small and medium-sized enterprises. Such translation arrangements should make access to the European patent with unitary effect and to the patent system as a whole easier, less costly and less risky.	legal certainty should stimulate innovation and should, in particular, benefit small and medium-sized enterprises. Such translation arrangements should make access to the European patent with unitary effect and to the patent system as a whole easier, less costly and less risky.	
Rec 6 Am. 1	Since the European Patent Office is responsible for the grant of European patents, the translation arrangements for the European patent with unitary effect should be built on the current procedure in the European Patent Office. Those arrangements should aim at achieving the necessary balance between the interests of economic operators and the public interest in terms of the cost of proceedings and the availability of technical information.	Since the European Patent Office is responsible for the grant of European patents, the translation arrangements for the European patent with unitary effect should be built on the current procedure in the European Patent Office. Those arrangements should aim at achieving the necessary balance between the interests of economic operators and the public interest in terms of the cost of proceedings and the availability of technical information.	Since the European Patent Office is responsible for the grant of European patents, the translation arrangements for the European patent with unitary effect should be built on the current procedure in the European Patent Office. Those arrangements should aim at achieving the necessary balance between the interests of economic operators, <i>in particular small and</i> <i>medium-sized enterprises</i> , and the public interest in terms of the cost of proceedings and the availability of technical information.	<u>Compromise proposal:</u> Since the European Patent Office is responsible for the grant of European patents, the translation arrangements for the European patent with unitary effect should be built on the current procedure in the European Patent Office. Those arrangements should aim at achieving the necessary balance between the interests of economic operators, <i>in particular small and</i> <i>medium-sized enterprises</i> , <i>natural persons and non-profit</i> <i>organisations</i> , and the public interest in terms of the cost of proceedings and the availability of technical information.

REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Rec 7	Without prejudice to certain transitional arrangements, where the specification of a European patent with unitary effect has been published in accordance with Article 14(6) of the EPC, no further translations should be required. Article 14(6) of the EPC provides that the specification of a European patent is published in the language of the proceedings before the European Patent Office and includes a translation of the claims into the other two official languages of the European Patent Office.	Without prejudice to certain transitional arrangements, where the specification of a European patent with unitary effect has been published in accordance with Article 14(6) of the EPC, no further translations should be required. Article 14(6) of the EPC provides that the specification of a European patent is published in the language of the proceedings before the European Patent Office and includes a translation of the claims into the other two official languages of the European Patent Office.	Without prejudice to certain transitional arrangements, where the specification of a European patent with unitary effect has been published in accordance with Article 14(6) of the EPC, no further translations should be required. Article 14(6) of the EPC provides that the specification of a European patent is published in the language of the proceedings before the European Patent Office and includes a translation of the claims into the other two official languages of the European Patent Office.	
Rec 8	In the case of a dispute concerning a European patent with unitary effect, it is a legitimate requirement that the patent proprietor should provide a full translation of the patent into an official language of the participating Member State in which either the alleged infringement took place or in which the alleged infringer is domiciled. The patent proprietor	In the case of a dispute concerning a European patent with unitary effect, it is a legitimate requirement that the patent proprietor should provide a full translation of the patent into an official language of the participating Member State in which either the alleged infringement took place or in which the alleged infringer is domiciled. The patent proprietor	In the case of a dispute concerning a European patent with unitary effect, it is a legitimate requirement that the patent proprietor should provide a full translation of the patent into an official language of the participating Member State in which either the alleged infringement took place or in which the alleged infringer is domiciled. The patent proprietor	

ENHANCED COOPERATION IN THE AREA OF THE CREATION OF UNITARY PATENT PROTECTION WITH REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

Commission proposal	Council general approach	Parliament draft report	Compromise proposals
should also be required to	should also be required to	should also be required to	
provide, at the request of a court	provide, at the request of a court	provide, at the request of a court	
competent in the territory of	competent in the territory of	competent in the territory of	
the participating Member States	the participating Member States	the participating Member States	
for disputes concerning the	for disputes concerning the	for disputes concerning the	
European patent with unitary	European patent with unitary	European patent with unitary	
effect, a full translation of the	effect, a full translation of the	effect, a full translation of the	
patent into the language of	patent into the language of	patent into the language of	
proceedings of that court. Such	proceedings of that court. Such	proceedings of that court. Such	
translations should not be carried	translations should not be carried	translations should not be carried	
out by automated means and	out by automated means and	out by automated means and	
should be provided at the expense	should be provided at the expense	should be provided at the expense	
of the patent proprietor. In the	of the patent proprietor. In the	of the patent proprietor. In the	
case of a dispute concerning a	case of a dispute concerning a	case of a dispute concerning a	
claim for damages the court	claim for damages the court	claim for damages the court	
hearing the dispute should take	hearing the dispute should take	hearing the dispute should take	
into consideration that, before	into consideration that, before	into consideration that, before	
having been provided with	having been provided with	having been provided with	
a translation in his own language,	a translation in his own language,	a translation in his own language,	
the alleged infringer may have	the alleged infringer may have	the alleged infringer may have	
acted in good faith and may have	acted in good faith and may have	acted in good faith and may have	
not known or had reasonable	not known or had reasonable	not known or had reasonable	
grounds to know that he was	grounds to know that he was	grounds to know that he was	
infringing the patent.	infringing the patent.	infringing the patent.	
The competent court should	The competent court should	The competent court should	
assess the circumstances of the	assess the circumstances of the	assess the circumstances of the	
individual case and inter alia	individual case and inter alia	individual case and inter alia	
should take into account whether	should take into account whether	should take into account whether	
the alleged infringer is a small and	the alleged infringer is a small and	the alleged infringer is a small and	

REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	medium-sized enterprise	medium-sized enterprise	medium-sized enterprise	
	operating only at local level, the	operating only at local level, the	operating only at local level, the	
	language of the proceedings	language of the proceedings	language of the proceedings	
	before the European Patent Office	before the European Patent Office	before the European Patent Office	
	and, during the transitional period,	and, during the transitional period,	and, during the transitional period,	
	the translation submitted together	the translation submitted together	the translation submitted together	
	with the request for unitary effect.	with the request for unitary effect.	with the request for unitary effect.	
Rec 9	In order to facilitate access to	In order to facilitate access to	In order to facilitate access to	EP position
Am. 2	European patents with unitary	European patents with unitary	European patents with unitary	
	effect, in particular for small and	effect, in particular for small and	effect, in particular for small and	
	medium-size enterprises,	medium-size enterprises,	<i>medium-sized</i> enterprises,	
	applicants who do not have a	applicants who do not have a	applicants who do not have a	
	language in common with one of	language in common with one of	language in common with one of	
	the official languages of the	the official languages of the	the official languages of the	
	European Patent Office should be	European Patent Office should be	European Patent Office should be	
	able to file their patent	able to file their patent	able to file their patent	
	applications at the European	applications at the European	applications at the European	
	Patent Office in any other official	Patent Office in any other official	Patent Office in any other official	
	language of the Union. As a	language of the Union. As a	language of the Union. As a	
	complementary measure, for	complementary measure, <i>for</i>	complementary measure, <i>small</i>	
	applicants obtaining European	applicants obtaining European	and medium-sized enterprises,	
	patents with unitary effect and	patents with unitary effect and	natural persons and non-profit	
	having their residence or principal	having their residence or principal	organisations obtaining European	
	place of business within a	place of business within a	patents with unitary effect and	
	Member State of the Union which	Member State of the Union which	having their residence or principal	
	has as an official language a	has as an official language a	place of business within a	
	language other than one of the	language other than one of the	Member State of the Union which	
	official languages of the European	official languages of the European	has as an official language a	
	Patent Office, a system of	Patent Office, a system of	language other than one of the	

ENHANCED COOPERATION IN THE AREA OF THE CREATION OF UNITARY PATENT PROTECTION WITH REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	additional reimbursements of the costs related to the translation from that language into the language of the proceedings of the European Patent Office, beyond what is currently already in place at the European Patent Office, should be administered by the European Patent Office in accordance with Article 12 of Regulation xx/xx [substantive provisions].	additional reimbursements of the costs related to the translation from that language into the language of the proceedings of the European Patent Office, beyond what is currently already in place at the European Patent Office, should be administered by the European Patent Office in accordance with Article 12 of Regulation xx/xx [substantive provisions].	official languages of the European Patent Office <i>should benefit from</i> a system of additional reimbursements of the costs related to the translation from that language into the language of the proceedings of the European Patent Office, beyond what is currently already in place at the European Patent Office. <i>The</i> <i>system of additional</i> <i>reimbursements</i> should be administered by the European Patent Office in accordance with Article 12 of Regulation xx/xx [substantive provisions].	
Rec 9a Am. 3	-	-	The modalities and the level of reimbursement of the additional translation costs should be conceived in a way which, in principle, ensures full compensation of the translation costs; a ceiling per page is necessary in order to reflect the normal average market price for translation and to avoid abuse.	<u>EP position</u>

REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Rec 10	In order to promote the	In order to promote the	In order to promote the	EP position
Am. 4	availability of patent information	availability of patent information	availability of patent information	
7 1111 , 1	and the dissemination of	and the dissemination of	and the dissemination of	
	technological knowledge,	technological knowledge,	technological knowledge,	
	machine translations of patent	machine translations of patent	machine translations of patent	
	applications and specifications	applications and specifications	applications and specifications	
	into all official languages of the	into all official languages of the	into all official languages of the	
	Union should be available as soon	Union should be available as soon	Union should be available as soon	
	as possible. Machine translations	as possible. Machine translations	as possible. Machine translations	
	are being developed by the	are being developed by the	are being developed by the	
	European Patent Office and are a	European Patent Office and are a	European Patent Office and are a	
	very important tool seeking to	very important tool seeking to	very important tool seeking to	
	improve access to patent	improve access to patent	improve access to patent	
	information and to disseminate	information and to disseminate	information and to disseminate	
	widely the technological	widely the technological	widely the technological	
	knowledge. The timely	knowledge. The timely	knowledge. The timely	
	availability of high quality	availability of high quality	availability of high quality	
	machine translations of European	machine translations of European	machine translations of European	
	patent applications and	patent applications and	patent applications and	
	specifications into all official	specifications into all official	specifications into all official	
	languages of the Union would	languages of the Union would	languages of the Union would	
	benefit all the users of the	benefit all the users of the	benefit all the users of the	
	European patent system. Machine	European patent system. Machine	European patent system. Machine	
	translations are a key feature of	translations are a key feature of	translations are a key feature of	
	European Union policy. Such	European Union policy. Such	European Union policy. Such	
	machine translations should serve	machine translations should serve	machine translations should serve	
	for information purposes only and	for information purposes only and	for information purposes only and	
	should not have any legal effect.	should not have any legal effect.	should not have any legal effect.	
			They should be made available	

REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
			online and free of charge on publication of the patent application and of the granted patent.	
Rec 11 Am. 5	During a transitional period, before a system of high quality machine translations into all official languages of the Union becomes available, a request for unitary effect as referred to in Article 12 of Regulation xx/xx [substantive provisions] shall be accompanied by a full translation of the specification of the patent into English where the language of the proceedings before the European Patent Office is French or German, or into any official language of the participating Member States that is an official language of the proceedings before the European Patent Office	During a transitional period, before a system of high quality machine translations into all official languages of the Union becomes available, a request for unitary effect as referred to in Article 12 of Regulation xx/xx [substantive provisions] shall be accompanied by a full translation of the specification of the patent into English where the language of the proceedings before the European Patent Office is French or German, or into any official language <i>of the Member States</i> that is an official language of the Union where the language of the proceedings before the European Patent Office is English. Those	patent.During a transitional period, before a system of high quality machine translations into all official languages of the Union becomes available, a request for unitary effect as referred to in Article 12 of Regulation xx/xx [substantive provisions] shall be accompanied by a full translation of the specification of the patent into English where the language of the proceedings before the European Patent Office is French or German, or into any official language of the proceedings before the European Patent Office is an official language of the proceedings before the European Patent Office	Compromise proposal: During a transitional period, before a system of high quality machine translations into all official languages of the Union becomes available, a request for unitary effect as referred to in Article 12 of Regulation xx/xx [substantive provisions] shall be accompanied by a full translation of the specification of the patent into English where the language of the proceedings before the European Patent Office is French or German, or into any official language <i>of the Member States</i> that is an official language of the Union where the language of the
	is English. Those arrangements would ensure that during a transitional period all European patents with unitary effect are made available in English which is the language customary in the	arrangements would ensure that during a transitional period all European patents with unitary effect are made available in English which is the language customary in the field of	is English. Those arrangements would ensure that during a transitional period all European patents with unitary effect are made available in English which is the language customary in the	proceedings before the European Patent Office is English. Those arrangements would ensure that during a transitional period all European patents with unitary effect are made available in

ENHANCED COOPERATION IN THE AREA OF THE CREATION OF UNITARY PATENT PROTECTION WITH REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

Commission proposal	Council general approach	Parliament draft report	Compromise proposals
field of international	international technological	field of international	English which is the language
technological research and	research and publications.	technological research and	customary in the field of
publications. Furthermore, they		publications. Furthermore, they	international technological
would ensure that with respect to		would ensure that with respect to	research and publications.
European patents with unitary	Furthermore, they would ensure	European patents with unitary	
effect translations would be	that with respect to European	effect translations would be	
published in other official	patents with unitary effect	published in other official	Furthermore, they would ensure
languages of the participating	translations would be published in	languages of the participating	that with respect to European
Member States. Such translations	other official languages of the	Member States. In addition, the	patents with unitary effect
should not be carried out by	participating Member States.	high quality of those translations	translations would be published in
automated means and their high	Such translations should not be	should contribute to the training	other official languages of the
quality should contribute to the	carried out by automated means	and development of translation	participating Member States. In
training of translation engines by	and their high quality should	engines by the European Patent	addition, the high quality of those
the European Patent Office. They	contribute to the training of	Office. They would also enhance	<i>translations</i> should contribute to
would also enhance the	translation engines by the	the dissemination of patent	the training and development of
dissemination of patent	European Patent Office. They	information. Such translations	translation engines by the
information. <i>The transitional</i>	would also enhance the	should not be carried out by	European Patent Office. They
period should terminate as soon	dissemination of patent	automated means and should	would also enhance the
as high quality machine	information. <i>The transitional</i>	serve for information purposes	dissemination of patent
translations into all official	period should terminate as soon	only.	information. Such translations
language of the Union are	as high quality machine		should not be carried out by
available, subject to an objective	translations into all official		automated means and should
evaluation of the quality. The	language of the Union are		serve for information purposes
quality of machine translations	available, subject to an objective		only. The transitional period
should be regularly and	evaluation of the quality. The		should terminate as soon as high
objectively evaluated by an	quality of machine translations		quality machine translations into
independent expert committee	should be regularly and		all official language of the Union
established by the participating	objectively evaluated by an		are available, subject to an
Member States in the framework	independent expert committee		objective evaluation of the

ENHANCED COOPERATION IN THE AREA OF THE CREATION OF UNITARY PATENT PROTECTION WITH REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

Commission proposal	Council general approach	Parliament draft report	Compromise proposals
of the European Patent	established by the participating		quality. The quality of machine
Organisation and composed of	Member States in the framework		translations should be regularly
the representatives of the	of the European Patent		and objectively evaluated by an
European Patent Office and the	Organisation and composed of		independent expert committee
users of the European patent	the representatives of the		established by the participating
system. Given the technological	European Patent Office and the		Member States in the framework
development, the maximum	users of the European patent		of the European Patent
period for the development of	system. Given the technological		Organisation and composed of
high quality machine	development, the maximum		the representatives of the
translations cannot be	period for the development of		European Patent Office and the
considered to exceed 12 years.	high quality machine		users of the European patent
Consequently, the transitional	translations cannot be		system. Given the technological
period should lapse 12 years	considered to exceed 12 years.		development, the maximum
from the date of application of	Consequently, the transitional		period for the development of
this Regulation, unless it has	period should lapse 12 years		high quality machine
been decided to terminate that	from the date of application of		translations cannot be
period earlier.	this Regulation, unless it has		considered to exceed 12 years.
	been decided to terminate that		Consequently, the transitional
	period earlier.		period should lapse 12 years
			from the date of application of
			this Regulation, unless it has
			been decided to terminate that
			period earlier.

REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Rec 11a	-	-	The transitional period should	Council position
Am. 6			terminate as soon as high quality	_
¹ 1 11. U			machine translations into all	
			official languages of the Union	
			are available, subject to an	
			objective evaluation of their	
			quality. The quality of machine	
			translations should be regularly	
			and objectively evaluated by an	
			independent expert committee	
			established by the participating	
			Member States in the framework	
			of the European Patent	
			Organisation and composed of	
			the representatives of the	
			European Patent Office, the	
			national patent offices and the	
			users of the European patent	
			system. Given the technological	
			development, the maximum	
			period for the development of	
			high quality machine	
			translations is considered	
			unlikely to exceed 12 years.	
			Consequently, the transitional	
			period should lapse 12 years	
			from the date of application of	
			this Regulation, unless it has	
			been decided that that period	
	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
-------------------------	--	--	--	----------------------
			should terminate earlier or that it should be extended on the basis of a proposal of the Commission following an evaluation carried out by the independent expert committee.	
Rec 11b Am. 7			After the end of the transitional period, the European Patent Office should continue to publish an additional translation into English of the specification of the European patent provided voluntarily by the applicant. This would provide further international publicity and limit the possibility of an infringer arguing that it had acted in good faith.	Council position
Rec 12	Since the substantive provisions applicable to a European patent with unitary effect are governed by Regulation xx/xx implementing enhanced cooperation in the area of the creation of unitary patent protection and are completed by the translation arrangements provided for in this Regulation,	Since the substantive provisions applicable to a European patent with unitary effect are governed by Regulation xx/xx implementing enhanced cooperation in the area of the creation of unitary patent protection and are completed by the translation arrangements provided for in this Regulation,	Since the substantive provisions applicable to a European patent with unitary effect are governed by Regulation xx/xx implementing enhanced cooperation in the area of the creation of unitary patent protection and are completed by the translation arrangements provided for in this Regulation,	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	this Regulation should apply on	this Regulation should apply on	this Regulation should apply on	
	the same date as Regulation xx/xx	the same date as Regulation xx/xx	the same date as Regulation xx/xx	
	[substantive provisions] [the date	[substantive provisions] [the date	[substantive provisions] [the date	
	to be determined].	to be determined].	to be determined].	
Rec 13	This Regulation is without	This Regulation is without	This Regulation is without	
	prejudice to the rules governing	prejudice to the rules governing	prejudice to the rules governing	
	the languages of the Institutions	the languages of the Institutions	the languages of the Institutions	
	of the Union established in	of the Union established in	of the Union established in	
	accordance with Article 342	accordance with Article 342	accordance with Article 342	
	TFEU and to Council Regulation	TFEU and to Council Regulation	TFEU and to Council Regulation	
	1/1958 determining the languages	1/1958 determining the languages	1/1958 determining the languages	
	to be used by the European	to be used by the European	to be used by the European	
	Economic Community. This	Economic Community. This	Economic Community. This	
	Regulation is based on the	Regulation is based on the	Regulation is based on the	
	linguistic regime of the European	linguistic regime of the European	linguistic regime of the European	
	Patent Office and should not be	Patent Office and should not be	Patent Office and should not be	
	considered as creating a specific	considered as creating a specific	considered as creating a specific	
	linguistic regime for the Union, or	linguistic regime for the Union, or	linguistic regime for the Union, or	
	as creating a precedent for a	as creating a precedent for a	as creating a precedent for a	
	limited language regime in any	limited language regime in any	limited language regime in any	
	future legal instrument of the	future legal instrument of the	future legal instrument of the	
	Union.	Union.	Union.	

ENHANCED COOPERATION IN THE AREA OF THE CREATION OF UNITARY PATENT PROTECTION WITH REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Rec 14	In accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union, the objective of the action to be taken, namely the creation of a uniform and simplified translation regime for European patents with unitary effect, can be only achieved at European level. In accordance with the principle of proportionality, as set out in that Article, this Regulation does not go beyond what is necessary in order to achieve this objective.	In accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union, the objective of the action to be taken, namely the creation of a uniform and simplified translation regime for European patents with unitary effect, can be only achieved at European level. In accordance with the principle of proportionality, as set out in that Article, this Regulation does not go beyond what is necessary in order to achieve this objective.	In accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union, the objective of the action to be taken, namely the creation of a uniform and simplified translation regime for European patents with unitary effect, can be only achieved at European level. In accordance with the principle of proportionality, as set out in that Article, this Regulation does not go beyond what is necessary in order to achieve this objective.	
	HAS ADOPTED THIS REGULATION:	HAS ADOPTED THIS REGULATION:	HAS ADOPTED THIS REGULATION:	
	Article 1 Subject matter	Article 1 Subject matter	Article 1 Subject matter	
Art 1 Am. 8	This Regulation implements the enhanced cooperation in the area of the creation of unitary patent protection authorised by Council Decision No 2011/167/EU with regard to the applicable translation arrangements.	This Regulation implements the enhanced cooperation in the area of the creation of unitary patent protection authorised by Council Decision No 2011/167/EU with regard to the applicable translation arrangements.	<i>1.</i> This Regulation implements the enhanced cooperation in the area of the creation of unitary patent protection authorised by Council Decision No 2011/167/EU with regard to the applicable translation arrangements. <i>It</i>	<u>EP text</u>

REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
			regulates the translation arrangements applicable to European patents to the extent that they have unitary effect.	
			2. This Regulation is without prejudice to the rules governing the languages of the institutions of the Union established in accordance with Article 342 of the Treaty on the Functioning of the European Union and to Council Regulation 1/1958.	
			3. This Regulation is based on the linguistic regime of the European Patent Office and should not be regarded as creating a specific linguistic regime for the Union, or as creating a precedent for a limited language regime in any future legal instrument of the Union.	
	Article 2 Definitions	Article 2 Definitions	Article 2 Definitions	
Art 2 introd. phrase	For the purposes of this Regulation, the following definitions shall apply:	For the purposes of this Regulation, the following definitions shall apply:	For the purposes of this Regulation, the following definitions shall apply:	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 2 point a	"European patent with unitary effect" means a European patent which benefits from unitary effect in the territories of the participating Member States by virtue of Regulation xx/xx [substantive provisions];	"European patent with unitary effect" means a European patent which benefits from unitary effect in the territories of the participating Member States by virtue of Regulation xx/xx [substantive provisions];	"European patent with unitary effect" means a European patent which benefits from unitary effect in the territories of the participating Member States by virtue of Regulation xx/xx [substantive provisions];	
Art 2 point b	"Specification of the European patent" means a specification of the European patent as defined in Rule 73 of the Implementing Regulations of the Convention on the Grant of European Patents of 5 October 1973, as amended (hereinafter "EPC");	"Specification of the European patent" means a specification of the European patent as defined in Rule 73 of the Implementing Regulations of the Convention on the Grant of European Patents of 5 October 1973, as amended (hereinafter "EPC");	"Specification of the European patent" means a specification of the European patent as defined in Rule 73 of the Implementing Regulations of the Convention on the Grant of European Patents of 5 October 1973, as amended (hereinafter "EPC");	
Art 2 point c	"Language of the proceedings" means the language in the proceedings before the European Patent Office as defined in Article 14(3) of the EPC	"Language of the proceedings" means the language in the proceedings before the European Patent Office as defined in Article 14(3) of the EPC	"Language of the proceedings" means the language in the proceedings before the European Patent Office as defined in Article 14(3) of the EPC	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	Article 3	Article 3	Article 3	
	Translation arrangements for the European patent with unitary effect	Translation arrangements for the European patent with unitary effect	Translation arrangements for the European patent with unitary effect	
Art 3(1)	Without prejudice to Articles 4 and 6 of this Regulation, where the specification of a European patent with unitary effect has been published in accordance with Article 14(6) of the EPC, no further translations are required.	Without prejudice to Articles 4 and 6 of this Regulation, where the specification of a European patent with unitary effect has been published in accordance with Article 14(6) of the EPC, no further translations are required.	Without prejudice to Articles 4 and 6 of this Regulation, where the specification of a European patent with unitary effect has been published in accordance with Article 14(6) of the EPC, no further translations are required.	
Art 3(2)	A request for unitary effect as referred to in Article 12 of Regulation xx/xx [substantive provisions] shall be submitted in the language of the proceedings.	A request for unitary effect as referred to in Article 12 of Regulation xx/xx [substantive provisions] shall be submitted in the language of the proceedings.	A request for unitary effect as referred to in Article 12 of Regulation xx/xx [substantive provisions] shall be submitted in the language of the proceedings.	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 3(2a) Am. 9	-	-	Once available, the machine translations of patent applications and specifications into all languages of the Union as referred to in Article 6(3) shall be made available online and free of charge on publication of the patent application and of the granted patent.	<u>Compromise proposal:</u> Once available, the machine translations of patent applications and specifications into all languages of the Union shall be made available online and free of charge on publication of the patent application and of the granted patent
Art 3(2b) Am. 10			After the end of the transitional period referred to in Article 6 and in accordance with Article 12 of Regulation xx/xx [substantive provisions], the participating Member States shall, pursuant to Article 143 of the EPC, give the European Patent Office the task of publishing an additional full translation of the specification into English, if such additional translation has been provided voluntarily by the applicant. Such translation shall not be carried out by automated means.	<u>Council position</u>

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	Article 4	Article 4	Article 4	
	Translation in the case of a dispute	Translation in the case of a dispute	Translation in the case of a dispute	
Art 4(1) Am. 11	In the case of a dispute relating to a European patent with unitary effect, the patent proprietor shall provide at the request and the choice of an alleged infringer, a full translation of the patent into an official language of the participating Member State in which either the alleged infringement took place or in which the alleged infringer is domiciled.	In the case of a dispute relating to a European patent with unitary effect, the patent proprietor shall provide at the request and the choice of an alleged infringer, a full translation of the patent into an official language of the participating Member State in which either the alleged infringement took place or in which the alleged infringer is domiciled.	In the case of a dispute relating to a European patent with unitary effect, the patent proprietor shall provide at the request and the choice of an alleged infringer, a full translation of the patent into an official language of the participating Member State in which either the alleged infringement took place or in which the alleged infringer is domiciled. <i>Such translation shall</i> <i>not be carried out by automated</i> <i>means.</i>	<u>EP position</u>
Art 4(2) Am. 12	In the case of a dispute relating to a European patent with unitary effect, the patent proprietor shall provide in the course of legal proceedings, at the request of a court competent in the territories of the participating Member States for disputes concerning European patents with unitary effect, a full translation of the patent into the language of the	In the case of a dispute relating to a European patent with unitary effect, the patent proprietor shall provide in the course of legal proceedings, at the request of a court competent in the territories of the participating Member States for disputes concerning European patents with unitary effect, a full translation of the patent into the language of the	In the case of a dispute relating to a European patent with unitary effect, the patent proprietor shall provide in the course of legal proceedings, at the request of a court competent in the territories of the participating Member States for disputes concerning European patents with unitary effect, a full translation of the patent into the language of the	<u>EP position</u>

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	proceedings of that court.	proceedings of that court.	proceedings of that court. Such translation shall not be carried out by automated means.	
Art 4(3)	The cost of the translations referred to in paragraphs 1 and 2 shall be borne by the patent proprietor.	The cost of the translations referred to in paragraphs 1 and 2 shall be borne by the patent proprietor.	The cost of the translations referred to in paragraphs 1 and 2 shall be borne by the patent proprietor.	
Art 4(4) Am. 13	In the case of a dispute concerning a claim for damages, the court hearing the dispute shall take into consideration that the alleged infringer may have acted without knowing or having reasonable grounds to know that he was infringing the patent before having been provided with the translation referred to in paragraph 1.	In the case of a dispute concerning a claim for damages, the court hearing the dispute shall take into consideration that the alleged infringer may have acted without knowing or having reasonable grounds to know that he was infringing the patent before having been provided with the translation referred to in paragraph 1.	In the case of a dispute concerning a claim for damages, the court hearing the dispute shall take into consideration that the alleged infringer may have acted without knowing or having reasonable grounds to know that he was infringing the patent before having been provided with the translation referred to in paragraph 1, <i>in particular if the</i> <i>alleged infringer is a small or</i> <i>medium-sized enterprise</i> .	<u>Compromise proposal:</u> In the case of a dispute concerning a claim for damages, <i>in particular if the alleged</i> <i>infringer is a small or medium-</i> <i>sized enterprise,</i> the court hearing the dispute shall take into consideration that the alleged infringer may have acted without knowing or having reasonable grounds to know that he was infringing the patent before having been provided with the translation referred to in paragraph 1.

ENHANCED COOPERATION IN THE AREA OF THE CREATION OF UNITARY PATENT PROTECTION WITH REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	Article 5	Article 5	Article 5	
	Administration of a compensation scheme	Administration of a compensation scheme	Administration of a compensation scheme	
Art 5 Am. 14	Given the fact that European patent applications may be filed in any language under Article 14(2) of the EPC, in accordance with Article 12 of Regulation xx/xx [substantive provisions], the participating Member States, shall give, within the meaning of Article 143 of the EPC, the European Patent Office the task of administering a compensation scheme of reimbursing all translation costs up to a ceiling, from the fees referred to in Article 13 of that Regulation, for applicants filing patent applications at the European Patent Office in one of the official languages of the Union that is not an official language of the European Patent Office.	Given the fact that European patent applications may be filed in any language under Article 14(2) of the EPC, in accordance with Article 12 of Regulation xx/xx [substantive provisions], the participating Member States, shall give, within the meaning of Article 143 of the EPC, the European Patent Office the task of administering a compensation scheme of reimbursing all translation costs up to a ceiling, from the fees referred to in Article 13 of that Regulation, for applicants filing patent applications at the European Patent Office in one of the official languages of the Union that is not an official language of the European Patent Office.	1. Given the fact that European patent applications may be filed in any language under Article 14(2) of the EPC, in accordance with Article 12 of Regulation xx/xx [substantive provisions], the participating Member States, shall give, within the meaning of Article 143 of the EPC, the European Patent Office the task of administering a compensation scheme of reimbursing all translation costs up to a ceiling, from the fees referred to in Article 13 of that Regulation, for applicants filing patent applications at the European Patent Office in one of the official languages of the Union that is not an official language of the European Patent Office.	<u>EP text</u>
			2. The compensation scheme referred to in paragraph 1 shall be funded through the fees referred to in Article 13 of	

Commission proposal	Council general approach	Parliament draft report	Compromise proposals
		Regulation xx/xx [substantive provisions] and shall be available only for small and medium-sized enterprises, natural persons and non profit organisations having their residence or principal place of business within a Member State of the Union.	
		3. The compensation scheme referred to in paragraph 1 shall ensure full compensation of the translation costs up to a ceiling set in such a way as to reflect the average market price for translations and to avoid abuse.	
Article 6	Article 6	Article 6	
Transitional measures	Transitional measures	Transitional measures	
During a transitional period starting on the date of application of this Regulation in accordance with Article 7(2) of this Regulation, a request for unitary effect as referred to in Article 12 of Regulation xx/xx [substantive provisions] shall be submitted	During a transitional period starting on the date of application of this Regulation in accordance with Article 7(2) of this Regulation, a request for unitary effect as referred to in Article 12 of Regulation xx/xx [substantive provisions] shall be submitted	During a transitional period starting on the date of application of this Regulation in accordance with Article 7(2) of this Regulation, a request for unitary effect as referred to in Article 12 of Regulation xx/xx [substantive provisions] shall be submitted	
	Article 6 Transitional measures During a transitional period starting on the date of application of this Regulation in accordance with Article 7(2) of this Regulation, a request for unitary effect as referred to in Article 12 of Regulation xx/xx [substantive	Article 6Article 6Transitional measuresTransitional measuresDuring a transitional period starting on the date of application of this Regulation in accordance with Article 7(2) of this Regulation, a request for unitary effect as referred to in Article 12 of Regulation xx/xx [substantiveDuring a transitional measuresDuring a transitional period starting on the date of application of this Regulation, a request for unitary effect as referred to in Article 12 of Regulation xx/xx [substantiveDuring a transitional measures	Regulation xx/xx [substantive provisions] and shall be available only for small and medium-sized enterprises, natural persons and non profit organisations having their residence or principal place of business within a Member State of the Union.Article 6Article 6Article 6Article 6Transitional measuresTransitional measuresDuring a transitional period starting on the date of application of this Regulation in accordance with Article 7(2) of this Regulation xx/xx [substantiveDuring a transitional period starting on the date of application of this Regulation in accordance with Article 7(2) of this Regulation xx/xx [substantiveDuring a transitional period starting on the date of application of this Regulation in accordance with Article 7(2) of this Regulation xx/xx [substantiveDuring a transitional period starting on the date of application of this Regulation in accordance with Article 7(2) of this Regulation xx/xx [substantiveDuring a transitional period starting on the date of application of this Regulation in accordance with Article 7(2) of this Regulation xx/xx [substantiveDuring a transitional period starting on the date of application of this Regulation in accordance with Article 7(2) of this Regulation xx/xx [substantiveDuring a transitional period starting on the date of application of this Regulation in accordance with Article 7(2) of this Regulation x/xx [substantiveDuring a transitional period starting on the date of application of this Regulation in accordance with Article 7(2) of this Regulation x/xx [substantiveDuring a transitional period starting on the date of application of this Regulation in Article 12 of Regulation x/xx [substantive

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 6(1) point a	where the language of the proceedings is French or German, a full translation of the specification of the European patent into English; or	where the language of the proceedings is French or German, a full translation of the specification of the European patent into English; or	where the language of the proceedings is French or German, a full translation of the specification of the European patent into English; or	
Art 6(1) point b	where the language of the proceedings is English, a full translation of the specification of the European patent into any official language of the participating Member States that is an official language of the Union.	where the language of the proceedings is English, a full translation of the specification of the European patent into any official language of the participating Member States that is an official language of the Union.	where the language of the proceedings is English, a full translation of the specification of the European patent into any official language of the participating Member States that is an official language of the Union.	
Art 6(1) subpar. 1a Am. 15	-	-	Such translations shall not be carried out by automated means.	Council position
Art 6(2)	In accordance with Article 12 of Regulation xx/xx [substantive provisions], the participating Member States, shall give, within the meaning of Article 143 of the EPC, the European Patent Office the task of publishing the translations referred to in paragraph 1 as soon as possible after the date on which a request	In accordance with Article 12 of Regulation xx/xx [substantive provisions], the participating Member States, shall give, within the meaning of Article 143 of the EPC, the European Patent Office the task of publishing the translations referred to in paragraph 1 as soon as possible after the date on which a request	In accordance with Article 12 of Regulation xx/xx [substantive provisions], the participating Member States, shall give, within the meaning of Article 143 of the EPC, the European Patent Office the task of publishing the translations referred to in paragraph 1 as soon as possible after the date on which a request	

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	for unitary effect as referred to in Article 12 of Regulation xx/xx [substantive provisions] is filed. The text of such translations shall have no legal value and be for information purposes only.	for unitary effect as referred to in Article 12 of Regulation xx/xx [substantive provisions] is filed. The text of such translations shall have no legal value and be for information purposes only.	for unitary effect as referred to in Article 12 of Regulation xx/xx [substantive provisions] is filed. The text of such translations shall have no legal value and be for information purposes only.	
Art 6(3) Am. 16	Every <i>two</i> years <i>from the sixth</i> <i>year calculated</i> from the date of application of this Regulation, an objective evaluation of the availability of high quality machine translations of patent applications and specifications into all official languages of the Union as developed by the European Patent Office shall be carried out by an independent expert committee. This expert committee shall be established by the participating Member States in the framework of the European Patent Organisation and shall be composed of representatives of the European Patent Office and of the non-governmental organisations representing users of the European patent system invited by the Administrative Council of the European Patent	Every <i>two</i> years <i>from the sixth</i> <i>year calculated</i> from the date of application of this Regulation, an objective evaluation of the availability of high quality machine translations of patent applications and specifications into all official languages of the Union as developed by the European Patent Office shall be carried out by an independent expert committee. This expert committee shall be established by the participating Member States in the framework of the European Patent Organisation and shall be composed of representatives of the European Patent Office and of the non-governmental organisations representing users of the European patent system invited by the Administrative Council of the European Patent	Every <i>three</i> years from the date of application of this Regulation, an objective evaluation of the availability of high quality machine translations of patent applications and specifications into all official languages of the Union as developed by the European Patent Office shall be carried out by an independent expert committee. This expert committee shall be established by the participating Member States in the framework of the European Patent Organisation and shall be composed of representatives of the European Patent Office, <i>of the</i> <i>national patent offices</i> and of the non-governmental organisations representing users of the European patent system invited by the Administrative Council of the European Patent Organisation as	<u>Council position</u>

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	Organisation as observers in accordance with Article 30(3) of the EPC.	Organisation as observers in accordance with Article 30(3) of the EPC.	observers in accordance with Article 30(3) of the EPC.	
Art 6(4) Am. 17	On the basis of the evaluation referred to in paragraph 3, every <i>two</i> years the Commission shall present a report to the Council and, if appropriate, make proposals for terminating the transitional period.	On the basis of the evaluation referred to in paragraph 3, every <i>two</i> years the Commission shall present a report to the Council and, if appropriate, make proposals for terminating the transitional period.	On the basis of the evaluation referred to in paragraph 3, every <i>three</i> years the Commission shall present a report to <i>the European</i> <i>Parliament and to</i> the Council and, if appropriate, make proposals for terminating <i>or</i> <i>extending</i> the transitional period.	Compromise proposal: On the basis of the evaluation referred to in paragraph 3, every <i>three</i> years the Commission shall present a report to <i>the European</i> <i>Parliament and to</i> the Council and, if appropriate, make proposals for terminating the transitional period.
Art 6(5) Am. 18	If the transitional period is not terminated on the basis of a proposal of the Commission, it shall lapse 12 years from the date of application of this Regulation.	If the transitional period is not terminated on the basis of a proposal of the Commission, it shall lapse 12 years from the date of application of this Regulation.	If the transitional period is <i>neither</i> terminated <i>nor extended</i> on the basis of a proposal of the Commission <i>as referred to in paragraph 4</i> , it shall lapse 12 years from the date of application of this Regulation.	Council position

ENHANCED COOPERATION IN THE AREA OF THE CREATION OF UNITARY PATENT PROTECTION WITH REGARD TO THE APPLICABLE TRANSLATION ARRANGEMENTS

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
	Article 7	Article 7	Article 7	
	Entry into force	Entry into force	Entry into force	
Art 7(1)	This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.	This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.	This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.	
Art 7(2) first subpar. Am. 19	It shall apply from [a specific date will be set and it will coincide with the date of application of Regulation xx/xx on the implementation of enhanced cooperation in the area of the creation of unitary patent protection].	It shall apply from [a specific date will be set and it will coincide with the date of application of Regulation xx/xx on the implementation of enhanced cooperation in the area of the creation of unitary patent protection] or the date of the entry into force of the instrument creating a unified patent litigation system and the setting up of such a system, whichever is the later.	It shall apply once: Regulation xx/xx on the implementation of enhanced cooperation in the area of the creation of unitary patent protection has become applicable, and (b) the date on which a minimum of nine contracting Member States, including the three Member States in which the highest number of European patents was in force in the year preceding the year in which the Diplomatic Conference for the signature of the agreement on the patent litigation system takes place, have ratified that agreement.	Council position

	Commission proposal	Council general approach	Parliament draft report	Compromise proposals
Art 7(2) second subpar.	This Regulation shall be binding in its entirety and directly applicable in the participating Member States in accordance with the Treaties. Done at Brussels,	This Regulation shall be binding in its entirety and directly applicable in the participating Member States in accordance with the Treaties. Done at Brussels,	This Regulation shall be binding in its entirety and directly applicable in the participating Member States in accordance with the Treaties. Done at Brussels,	