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European Union

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From: Secretary-General of the European Commission, signed by Ms Martine DEPREZ, Director

date of receipt: 8 November 2023

To: Ms Thérèse BLANCHET, Secretary-General of the Council of the European Union

No. Cion doc.: COM(2023) 689 final - ANNEXES 1 to 11

Subject: ANNEXES to the REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL on export authorisations in 2022 pursuant to the Regulation concerning trade in certain goods which could be used for capital punishment, torture or other cruel, inhuman or degrading treatment or punishment

Delegations will find attached document COM(2023) 689 final - ANNEXES 1 to 11.

Encl.: COM(2023) 689 final - ANNEXES 1 to 11



Brussels, 8.11.2023
COM(2023) 689 final

ANNEXES 1 to 11

ANNEXES

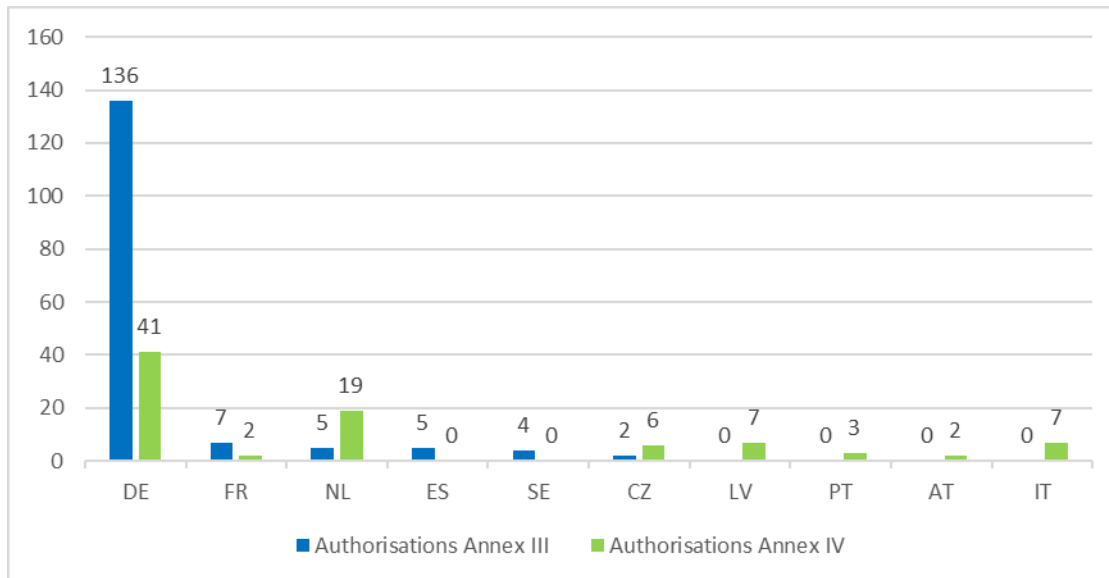
to the

REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL

**on export authorisations in 2022 pursuant to the Regulation concerning trade in certain
goods which could be used for capital punishment, torture or other cruel, inhuman or
degrading treatment or punishment**

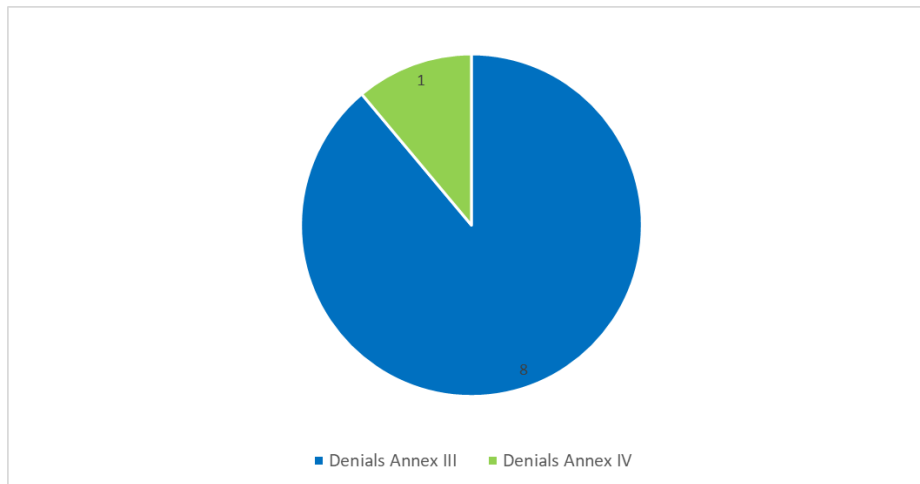
Annex 1:

Number of reported export authorisations issued by Member States pursuant to Article 20(2) of Regulation (EU) 2019/125 by Annex entry



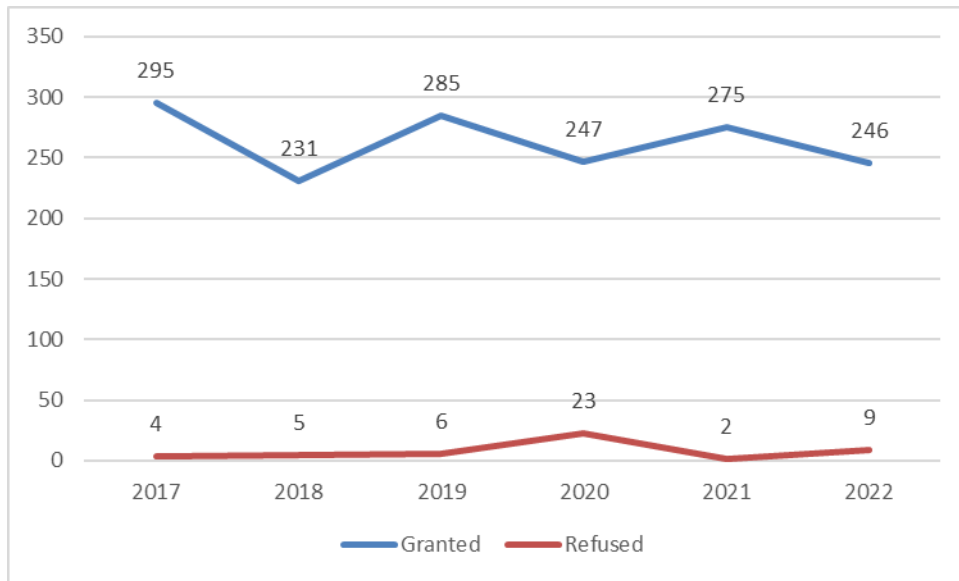
Annex 2:

Number of reported denials by Annex entry



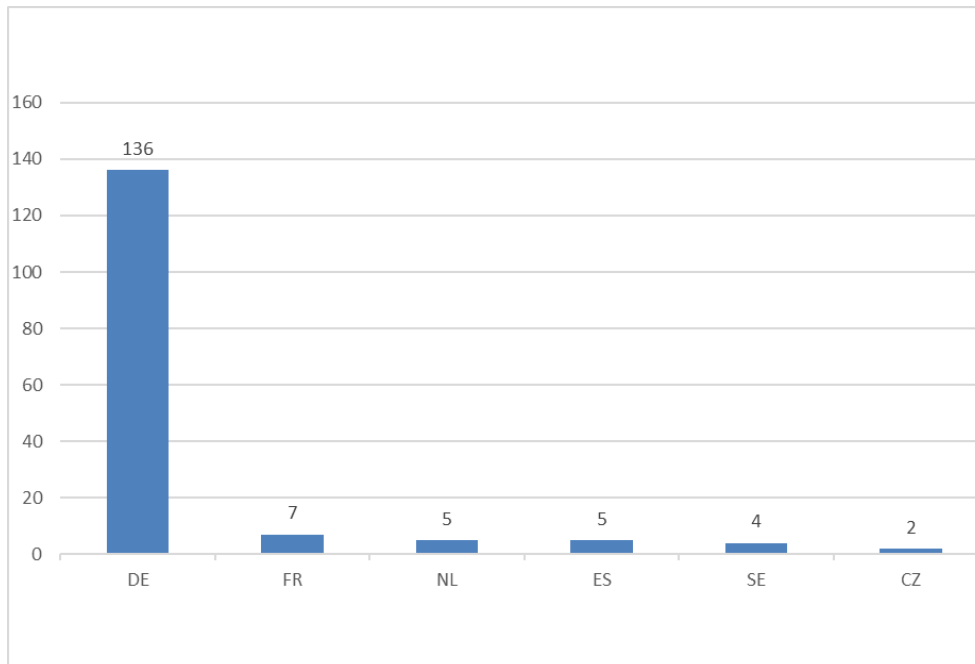
Annex 3:

Number of reported export authorisations granted and refused by Member States over the period 2017-2022



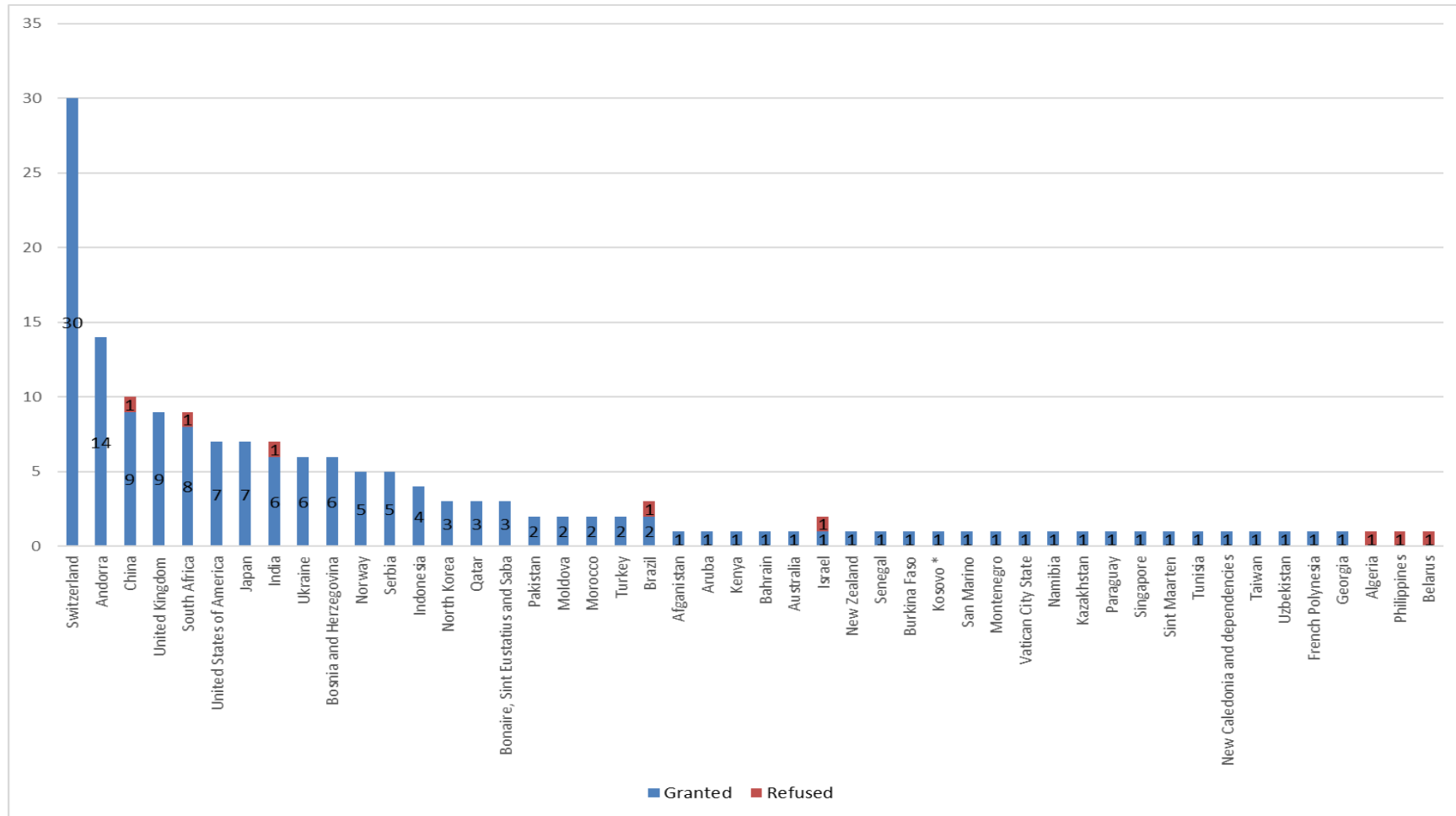
Annex 4:

Annex III: Number of reported export authorisations issued by Member States



Annex 5:

Annex III: Reported destinations¹ of authorised and refused exports in 2022

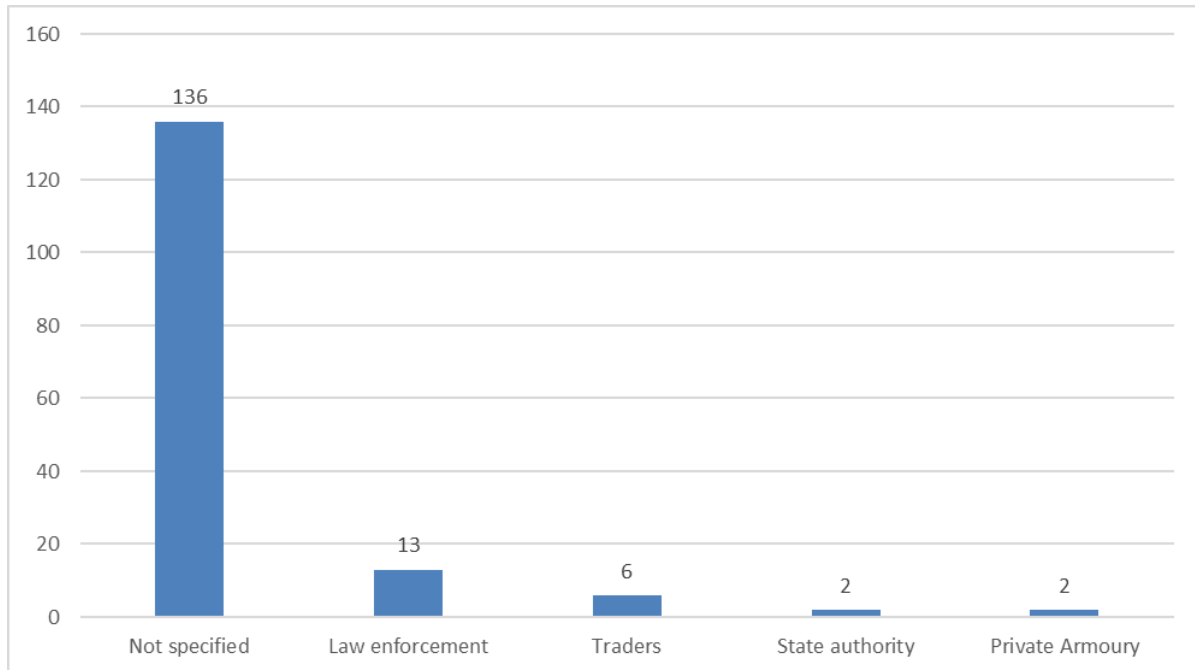


¹ If a particular name is used in the list of destinations, it should not be construed as going beyond referring to a (customs) territory commonly known by that name.

*The designation is without prejudice to positions on status, and is in line with UNSCR 1244/1999 and the ICJ Opinion on the Kosovo declaration of independence.

Annex 6:

Annex III: Reported end-use of authorised exports to third countries in 2022



Annex 7:

Overview of reported authorised exports of Annex III goods in 2022

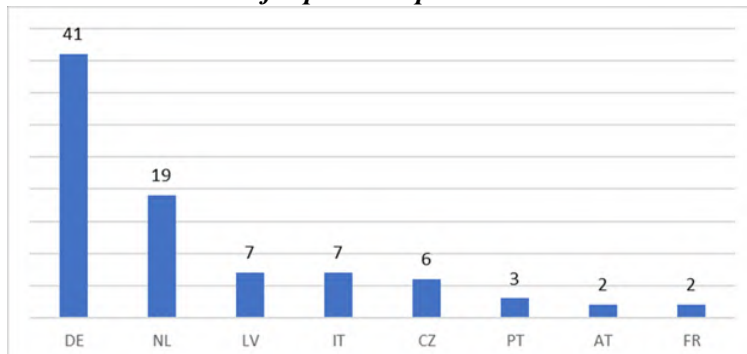
Code	Product Description Annex III	Destination	Competent Authority
1.1	Shackles and gang chains (except ordinary handcuffs)	New Zealand	DE
1.2	Individual cuffs or rings fitted with a locking mechanism and having an inside circumference exceeding 165 mm	Bahrain, Serbia	CZ
1.2	Shackles and gang chains (except ordinary handcuffs)	Norway	DE
1.3	Shackles and gang chains (except ordinary handcuffs)	Switzerland	DE
1.4	Shackles and gang chains (except ordinary handcuffs)	United States of America	DE
1.5	Shackles and gang chains (except ordinary handcuffs)	United Kingdom	DE
2.1	Electric shock batons	Andorra	FR
2.1	Electric shock dart guns	San Marino	DE
2.1	Stun guns	Norway	SE
2.2	Electric shock batons	Algeria	FR
2.2	Electric shock dart guns	Vatican City State	DE
2.2	Kits containing all essential components for assembly of portable electric discharge weapons	Qatar	ES
2.3	Electric shock dart guns	United States of America	DE

2.3	Fixed or mountable electric discharge weapons covering a wide area that can target multiple individuals.	Andorra	ES
3.1	Portable weapons and equipment for administration or dissemination of a dose of an incapacitating or irritating chemical substance.	Andorra, Afghanistan, Bosnia and Herzegovina, Brazil, Belarus, Switzerland, China, Georgia, Israel, India, Japan, Kosovo*, Moldova, Montenegro, Norway, French Polynesia, Philippines, Paraguay, Serbia, Taiwan, Ukraine, United Kingdom, United States of America, South Africa	DE, ES, FR, SE
3.2	Pelargonic acid vanillylamide (PAVA).	Australia, Brazil, Switzerland, China, Indonesia, India, Japan, North Korea, Kazakhstan, Pakistan, Ukraine, South Africa	DE
3.2	Portable weapons and equipment for administration or dissemination of a dose of an incapacitating or irritating chemical substance.	Senegal	FR
3.3	Oleoresin capsicum (OC)	Aruba, Bonaire, Sint Eustatius and Saba, Switzerland, India, Kenya, New Caledonia and dependencies, Sint Maarten, Tunisia, United Kingdom	DE, FR, NL
3.3	Portable weapons and equipment for administration or dissemination of a dose of an incapacitating or irritating chemical substance.	Morocco	FR
3.4	Mixtures containing at least 0.3 % of PAVA by weight or OC and a solvent (pepper spray)	Switzerland, China, Singapore, Turkey, Ukraine, United Kingdom, Uzbekistan	DE
3.4	Oleoresin capsicum (OC)	Burkina Faso	FR
3.5	Oleoresin capsicum (OC)	Morocco	FR
3.6	Fixed or mountable equipment for the dissemination of incapacitating or irritating agents covering a wide area	Switzerland	DE

*The designation is without prejudice to positions on status, and is in line with UNSCR 1244/1999 and the ICJ Opinion on the Kosovo declaration of independence.

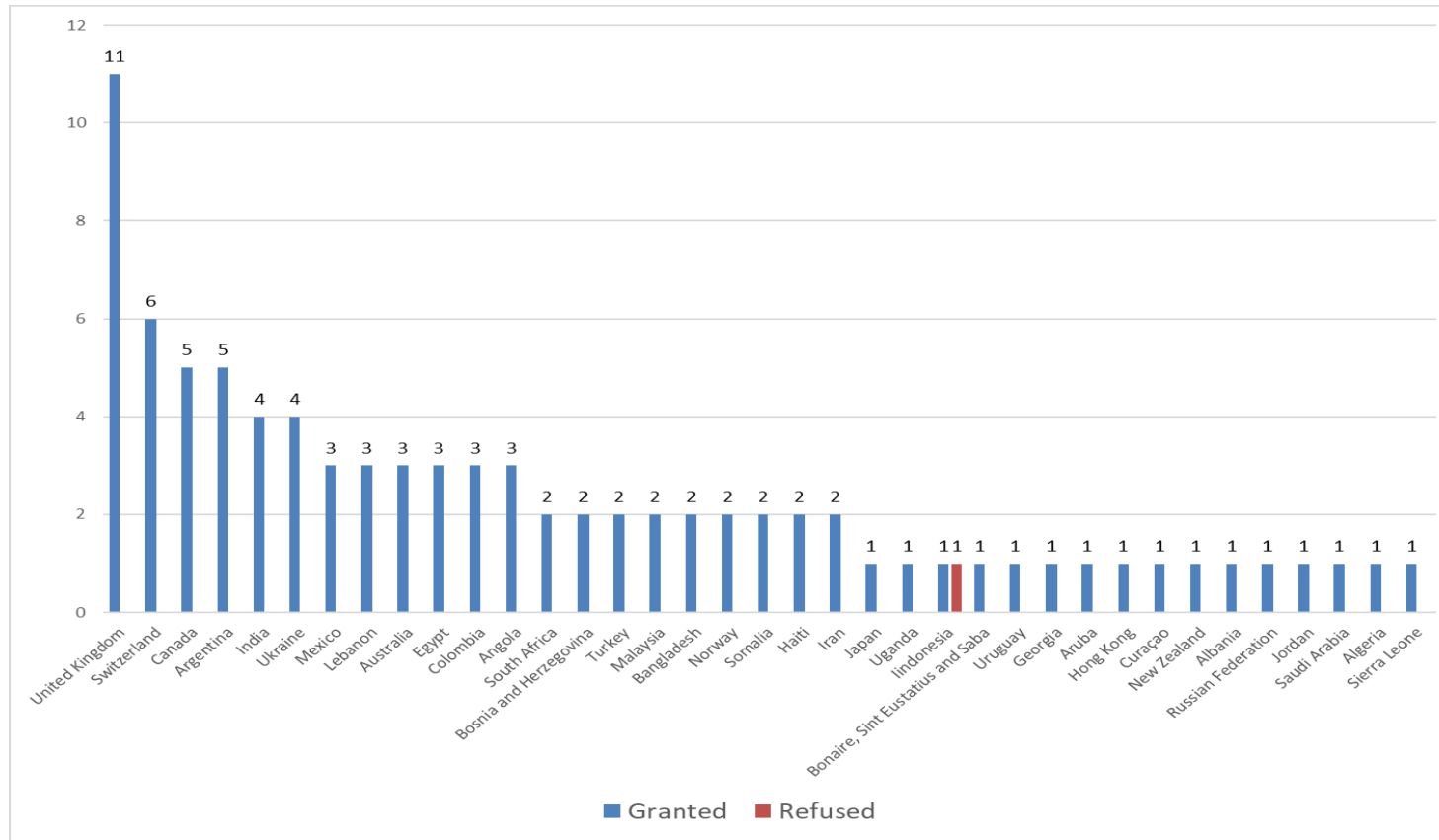
Annex 8:

Annex IV: Number of reported export authorisations issued by Member States



Annex 9:

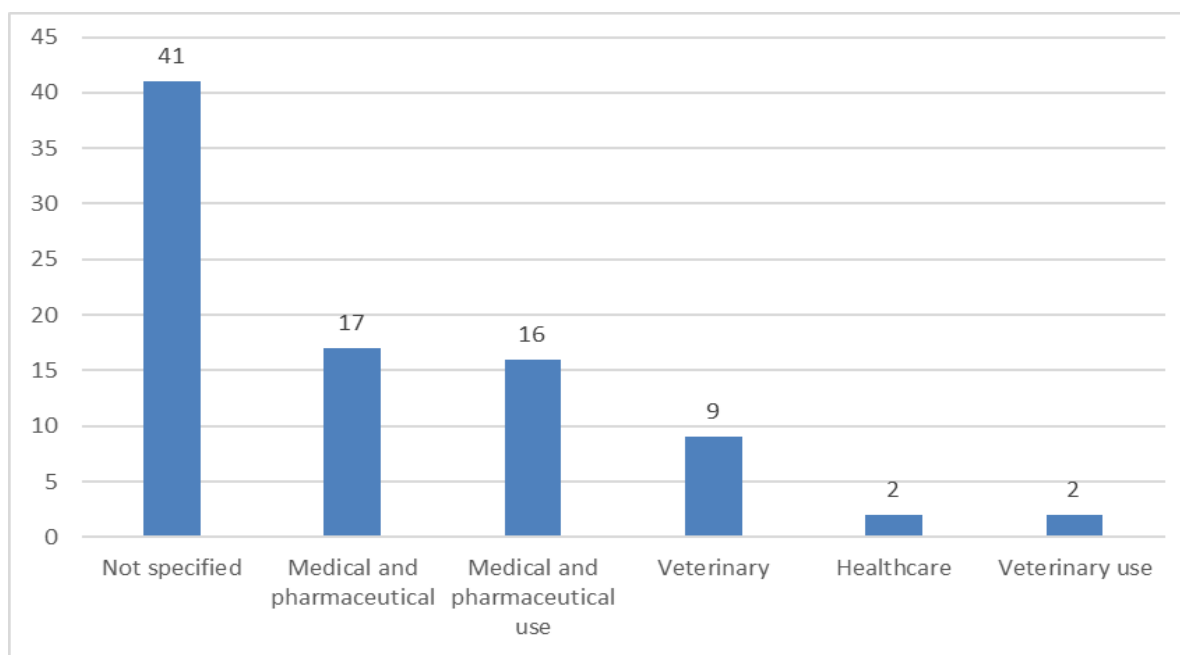
Annex IV: Reported destinations² of authorised and refused exports in 2022



² If a particular name is used in the list of destinations, it should not be construed as going beyond referring to a (customs) territory commonly known by that name.

Annex 10:

Annex IV: Reported end-use of authorised exports to third countries in 2022



Annex 11:

Overview of reported authorised exports of Annex IV goods in 2022

Code	Product Description Annex IV	Destination	Competent Authority
1.1	(d) Pentobarbital sodium salt - (CAS 57-33-0)	Australia, Aruba, Bonaire, Sint Eustatius and Saba, Canada, Switzerland, United Kingdom, Hong Kong, Malaysia	FR, NL
1.1	(g) Thiopental - (CAS RN 76-75-5)	Angola	PT
1.1	(h) Thiopental sodium salt (CAS RN 71-73-8), also known as thiopentone sodium	Albania, Argentina, Bangladesh, Algeria, Georgia, Haiti, Indonesia, India, Iran, Lebanon, Russian Federation, Saudi Arabia, Somalia, Turkey, Ukraine, United Kingdom, Uruguay	CZ, IT, LV, NL
1.1	Short and intermediate acting barbiturate anaesthetic agents	Bosnia and Herzegovina	AT
1.1	Unspecified	Argentina, Australia, Canada, Switzerland, Colombia, Egypt, Indonesia, Iran, Jordan, Japan, Mexico, Malaysia, Norway, New Zealand, Sierra Leone, Turkey, Uganda, United Kingdom, South Africa	DE