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INFORMATION NOTE

From:	General Secretariat of the Council
To:	Delegations
Subject:	Listing Act Regulation: Regulation amending Regulations (EU) 2017/1129, (EU) No 596/2014 and (EU) No 600/2014 to make public capital markets in the Union more attractive for companies and to facilitate access to capital for small and medium-sized enterprise - list of working papers (WK) distributed between 1 January and 30 June 2023

The following working papers (WK) were distributed by the General Secretariat of the Council with regard to the above file between 1 January and 30 June 2023:

Document Identifier	Document Date	Title
WK 7038 2023 REV 1	30/05/2023	Listing Act: Presidency second compromise proposal on Listing Act Regulation, Annexes - working party 02.06.2023
WK 7046 2023 INIT	30/05/2023	Listing Act: working party 02.06.2023 - Annotated Agenda
WK 7038 2023 INIT	26/05/2023	Listing Act: Presidency second compromise proposal on Listing Act Regulation, Annex IX working party 02.06.2023
WK 7022 2023 INIT	26/05/2023	Listing Act: Presidency second compromise proposal on Listing Act Regulation, working party 02.06.2023
WK 6377 2023 INIT	12/05/2023	Listing Act: CMOBS elements on the costs
WK 6186 2023 INIT	10/05/2023	BE and NL non-paper: proposal of amendment of Article 17.1 of MAR

WK 5875 2023 INIT	03/05/2023	Listing Act: working party 10-11.05.23 - Presidency annotated agenda and note
WK 5874 2023 INIT	03/05/2023	Listing Act: working party 10-11.05.23 - Agenda
WK 5802 2023 INIT	02/05/2023	Listing Act: Presidency compromise proposal on Listing Act Regulation - Annexes to the PR
WK 5801 2023 INIT	02/05/2023	Listing Act: Presidency compromise proposal on Listing Act Regulation
WK 4138 2023 INIT	24/03/2023	Presentation on Prospectus Regulation: EU Growth issuance document and EU Follow-on prospectus
WK 4017 2023 INIT	23/03/2023	German non-paper on prospectus liability
WK 4014 2023 INIT	23/03/2023	Presidency non-paper on proposed amendments to the Prospectus Regulation
WK 4012 2023 INIT	23/03/2023	Working Party on Financial Services and the Banking Union (Listing Act) - Agenda: 27.03.2023
WK 3968 2023 INIT	22/03/2023	Listing Act: Presentation - Scope of markets 21.03.2023
WK 3816 2023 INIT	17/03/2023	Non-paper on research provided by US broker-dealers
WK 3663 2023 INIT	15/03/2023	Listing Act proposal amending the Market Abuse Regulation (MAR) / Presidency non-paper on MAR issues
WK 2242 2023 REV 1	15/03/2023	Listing Act Regulation: Regulation amending Regulations (EU) 2017/1129, (EU) No 596/2014 and (EU) No 600/2014 to make public capital markets in the Union more attractive for companies and to facilitate access to capital for small and medium-sized enterprises / replies from 22 MS
WK 2932 2023 INIT	28/02/2023	Amendments to Article 17 of Regulation (EU) No 596/2014 of the European Parliament and of the Council of 16 April 2014 on market abuse (disclosure and delay of disclosure) proposed in the Listing Act
WK 2935 2023 INIT	28/02/2023	Article 25a of Regulation (EU) No 596/2014 of the European Parliament and of the Council of 16 April 2014 on market abuse (CROSS-MARKET

		ORDER BOOK SURVEILLANCE - CMOBS) proposed in the Listing Act
WK 2905 2023 INIT	28/02/2023	Working Party on Financial Services and the Banking Union (Listing Act- -Agenda: 06.03.2023
WK 2807 2023 INIT	24/02/2023	MIFID II and research - Presentation by the Commission
WK 2657 2023 INIT	21/02/2023	Working Party on Financial Services and the Banking Union (Listing Act) - Agenda
WK 2667 2023 INIT	21/02/2023	Prospectus Regulation: Secondary issuance regime and exemptions - Presentation by the Commission
WK 2242 2023 INIT	15/02/2023	Listing Act Regulation: Regulation amending Regulations (EU) 2017/1129, (EU) No 596/2014 and (EU) No 600/2014 to make public capital markets in the Union more attractive for companies and to facilitate access to capital for small and medium-sized enterprises / replies from 19 MS
WK 878 2023 INIT	20/01/2023	Listing Act: working party 27.01.23 - Presentation by the Commission services
WK 875 2023 INIT	20/01/2023	Listing Act: working party 27.01.23 - Draft agenda