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INFORMATION NOTE

From:	General Secretariat of the Council
To:	Delegations
Subject:	Listing Act Amending Directive: Directive amending Directive 2014/65/EU to make public capital markets in the Union more attractive for companies and to facilitate access to capital for small and medium-sized enterprises and repealing Directive 2001/34/EC - list of working papers (WK) distributed between 1 January and 30 June 2023

The following working papers (WK) were distributed by the General Secretariat of the Council with regard to the above file between 1 January and 30 June 2023:

Reference	Date	Subject
WK 7046 2023 INIT	2023-05-30	Listing Act: working party 02.06.2023 - Annotated Agenda
WK 7028 2023 INIT	2023-05-26	Listing Act: Presidency second compromise proposal on Listing Act Directive, working party 02.06.2023
WK 6928 2023 INIT	2023-05-25	Listing Act Amending Directive: Directive amending Directive 2014/65/EU to make public capital markets in the Union more attractive for companies and to facilitate access to capital for small and medium-sized enterprises and repealing Directive 2001/34/EC / replies from 14 MS (Presidency compromise text of 02.05.23)
WK 6186 2023 INIT	2023-05-10	BE and NL non-paper: proposal of amendment of Article 17.1 of MAR
WK 5874 2023 INIT	2023-05-03	Listing Act: working party 10-11.05.23 - Agenda

Reference	Date	Subject
WK 5875 2023 INIT	2023-05-03	Listing Act: working party 10-11.05.23 - Presidency annotated agenda and note
WK 5800 2023 INIT	2023-05-02	Listing Act: Presidency compromise proposal on Listing Act Directive
WK 4012 2023 INIT	2023-03-23	Working Party on Financial Services and the Banking Union (Listing Act) - Agenda: 27.03.2023
WK 4016 2023 INIT	2023-03-23	Presidency non-paper on issuer-sponsored research and the unbundling rule
WK 3968 2023 INIT	2023-03-22	Listing Act: Presentation - Scope of markets 21.03.2023
WK 3816 2023 INIT	2023-03-17	Non-paper on research provided by US broker-dealers
WK 3662 2023 INIT	2023-03-15	Listing Act proposal amending Directive 2014/65/EU / Presidency non-paper on Free Float
WK 3665 2023 INIT	2023-03-15	Working Party on Financial Services and the Banking Union (Listing Act) - Agenda: 21.03.2023
WK 3667 2023 INIT	2023-03-15	Listing Act legislative proposal – Insider List Regime
WK 2245 2023 REV 1	2023-03-14	Listing Act Amending Directive: Directive amending Directive 2014/65/EU to make public capital markets in the Union more attractive for companies and to facilitate access to capital for small and medium-sized enterprises and repealing Directive 2001/34/EC / replies from 21 MS
WK 2905 2023 INIT	2023-02-28	Working Party on Financial Services and the Banking Union (Listing Act- -Agenda: 06.03.2023
WK 2932 2023 INIT	2023-02-28	Amendments to Article 17 of Regulation (EU) No 596/2014 of the European Parliament and of the Council of 16 April 2014 on market abuse (disclosure and delay of disclosure) proposed in the Listing Act
WK 2935 2023 INIT	2023-02-28	Article 25a of Regulation (EU) No 596/2014 of the European Parliament and of the Council of 16 April 2014 on market abuse (CROSS-MARKET ORDER BOOK SURVEILLANCE - CMOBS) proposed in the Listing Act
WK 2807 2023 INIT	2023-02-24	MIFID II and research - Presentation by the Commission
WK 2657 2023 INIT	2023-02-21	Working Party on Financial Services and the Banking Union (Listing Act) - Agenda
WK 2667 2023 INIT	2023-02-21	Prospectus Regulation: Secondary issuance regime and exemptions

Reference	Date	Subject
		- Presentation by the Commission
WK 2245 2023 INIT	2023-02-15	Listing Act Amending Directive: Directive amending Directive 2014/65/EU to make public capital markets in the Union more attractive for companies and to facilitate access to capital for small and medium-sized enterprises and repealing Directive 2001/34/EC / replies from 18 MS
WK 875 2023 INIT	2023-01-20	Listing Act: working party 27.01.23 - Draft agenda
WK 878 2023 INIT	2023-01-20	Listing Act: working party 27.01.23 - Presentation by the Commission services
