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LIMITE

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NOTE

From:	General Secretariat of the Council
To:	Committee of Permanent Representatives (part 1)
Subject:	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on the welfare of dogs and cats and their traceability
	- Mandate for negotiations with the European Parliament

I. INTRODUCTION

- 1. On 7 December 2023, the Commission submitted to the Council and the European Parliament a proposal for a Regulation of the European Parliament and of the Council on the welfare of dogs and cats and their traceability¹.
- 2. The proposal is based on Article 43(2) and Article 114 of the Treaty on the Functioning of the European Union (TFEU) and is hence subject to the ordinary legislative procedure. Its objective is to regulate the trade in dogs and cats on the internal market, including imports from third countries, in order to ensure the rational development of the sector, avoid barriers to trade and combat illegal trade in dogs and cats, while ensuring a high level of animal welfare protection.

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- 3. The proposal was not accompanied by an impact assessment. On 27 March 2024, the Commission submitted to the Council and the European Parliament a Staff Working Document "summarising evidence supporting the legislative proposal on the welfare of dogs and cats and their traceability"².
- 4. Both the <u>European Economic and Social Committee</u> (EESC) and the <u>European Committee of</u> the Regions (CoR) were consulted on the proposal. EESC delivered its opinion on 21 March 2024³. CoR decided not to deliver an opinion⁴.
- 5. In the <u>European Parliament</u>, the Committee on Agriculture and Rural Development (AGRI) has the lead responsibility, while the Committee on the Environment, Public Health and Food Safety (ENVI) is associated. Work on the proposal has not started yet.

II. WORK WITHIN THE COUNCIL AND ITS PREPARATORY BODIES

- 6. The Working Party on Animals and Veterinary Questions (Animal Welfare and Husbandry) (hereafter the Working Party) started examining the proposal on 18 January 2024. The Working Party held five more meetings (on 30-31 January, 20-21 February, 15 March, 11-12 April, 15-16 May 2024). An informal videoconference of the members of the Working Party on 2 May 2024 was dedicated to the presentation of the Commission Staff Working Document. A meeting of the Counsellors/Attachés (Agri Animals and Veterinary Questions) was held on 24 May 2024.
- 7. During the examination at technical level, all elements of the proposal and of the Commission Staff Working Document were thoroughly discussed. While delegations did not raise any concerns or objections regarding the Staff Working Document, they made several comments and drafting suggestions on the text of the proposal. On that basis, the Presidency prepared a draft compromise text⁵ that was revised⁶ following its examination at the meeting of the Counsellors/Attachés of 24 May 2024 together with a Presidency questionnaire⁷.

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² 8615/24

³ 8613/24

Written confirmation still to come

^{5 9828/24}

^{6 10440/24}

⁷ WK 7129/2024

- 8. On 29 May 2024, the Presidency launched an informal silence procedure ending on 5 June 2024 on that revised text. By the deadline, several delegations requested more time and a few other delegations made further suggestions to amend the text.
- 9. As a consequence, the Presidency held several bilateral exchanges with delegations. On this basis the Presidency could confirm a broad support on its revised text and note that not all requests from delegations could be taken on board as they would jeopardise the overall balance already achieved on the text. The Presidency could also confirm that the following additional limited changes could be introduced in the text:
 - rewording of the recital clarifying the definition of 'placing on the market' (recital 11a);
 - clarifying the rules for placing dogs or cats in foster homes (Article 3(15), Article 4(2),
 Article 6(1));
 - clarifying the modalities for carrying out the advisory welfare visits (Article 10(1)(a));
 and
 - reducing the light exposure obligations for dogs and cats kept in establishments from 8
 to 7 hours due to specific geographical conditions.
- 10. The resulting further revised Presidency text is set out in the Annex to this Note.

III. CONCLUSION

- 11. In the light of the above, the <u>Permanent Representative Committee</u> is invited to examine the text set out in the Annex and agree on this text to be used as the mandate for the negotiations with the European Parliament.
- 12. In accordance with the approach to legislative transparency endorsed by Coreper on 14 July 2023 (9493/20), and in full consistency with Regulation 1049/2001 and the Council's Rules of Procedure, the mandate thus agreed will be made public unless the Permanent Representatives Committee objects.

Draft

REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

on the welfare of dogs and cats and their traceability

(Text with EEA relevance)

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 43(2) and Article 114 thereof,

Having regard to the proposal from the European Commission,

After transmission of the draft legislative act to the national parliaments,

Having regard to the opinion of the European Economic and Social Committee¹,

Having <u>consulted</u>regard to the opinion of the Committee of the Regions²,

Acting in accordance with the ordinary legislative procedure,

Whereas:

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- (1) Live animals, including eats and dogs and cats are covered by Annex I to the Treaty on the Functioning of the European Union and form part of the common agricultural policy of the Union. There is a market for these animals in the Union, including substantial cross-border trade. Many Member States are committed to the protection of pet animals and the majority of them are signatories to the European Convention for the Protection of Pet Animals, which includes, among others, provisions on breeding, keeping and trading of pet animals. There is a wide range of evidence of sub-optimal functioning of the internal market for dogs and cats in the Union as well as of illegal trade in these animals within the Union and at import into the Union that undermines their welfare. Therefore, it is necessary to establish minimum requirements for the welfare of dogs and cats bred and kept in establishments, as well as strengthened requirements regarding the traceability of dogs and cats supplied placed on in the Union market.
- (2) The absence of Union welfare provisions on breeding, keeping and placing on the market of dogs and cats, as well as divergent national rules where they exist, have very often led to those animals being born, bred and sold or adopted at no cost, in circumstances detrimental to their welfare. Competition between commercial breeders of dogs and cats in different Member States is not conducted on a level playing field because animal welfare conditions are one of the main elements of the competitiveness of these operators and they differ considerably between Member States. As a consequence, competition is distorted, especially for high standards breeders and keepers, which are unable to monetise their investments into animal welfare when they trade cross border because they are confronted with operators that profit from sub-standard animal welfare conditions to exert competition and drive prices and standards down.
- (3) Also, consumers are insufficiently protected as they are often confronted, when acquiring a dog or a cat, with the negative consequences of the poor welfare conditions in which the **dogs and cats**animals have been bred and kept in the establishments, such as health problems, behavioural problems or genetic defects of the dog or cat purchased or acquired.

- (4) Therefore, minimum animal welfare requirements should be laid down for establishments that engage in breeding, keeping and placing on the market of dogs and cats. This will ensure the rational development of the sector, <u>uniformfair</u> conditions of competition, and consumer protection, while ensuring a high level of animal welfare.
- (5) Over the past decade, the demand for dogs and cats to be kept as pet animals in households has increased significantly. As a result, there has been a substantial increase in breeding and trade on the Union market of dogs and cats, including sales, adoptions, and imports from third countries. The lack of requirements on the welfare of these animals in the Union, and the disparities between requirements applicable in different Member States have given rise to a significant amount of <u>unacceptable trade practices</u>, including illegal trade, in-where dogs and cats are kept in conditions that are highly detrimental to their welfare.
- (5a) Traceability is important to fulfil the objective of ensuring a smooth functioning of the market of dogs and cats in the Union with a high level of animal welfare, as illegal trade creates both disturbances of the market (distortion of competition) and, allows negative animal welfare conditions to flourish due to the lack of control and the maximisation of profit. Furthermore, traceability requirements are needed to be able to trace back the establishment of origin and to establish responsibilities, in particular in case of welfare-related problems identified in a dog or cat.
- (6) <u>Unacceptable or Fillegal trade of in dogs and cats has developed in part due to lack of traceability of these animals back to the original establishment litter. In turn, unacceptable or illegal trade practices are associated with suffering of dogs and cats subject to uncontrolled breeding practices. It is not possible to ensure that operators abide by the same standards of animal welfare, and to ensure uniform fair conditions of competition in the internal market in relation to the placing on the market supplying of dogs and cats without reliable means to trace the se</u> animals to their origin. It is therefore crucial to ensure the traceability of dogs and cats by a system that identifies and registers dogs and cats before their first placing on supplying in the Union market as well as and complements the information registered each time there is a in case of a change of ownership or responsibility for-of the dogs and catsanimals.

- Current EU rules on the movements of dogs and cats into the EU, such as the provisions of Regulation (EU) No 576/2013 on non-commercial movements and of Regulation (EU) 2016/429 (the Animal Health Law), do not contain sufficient tools to prevent this illegal trade and its associated animal welfare problems. This means that additional rules to fight fraudulent practices and illegal trade in dogs and cats are required. Existing animal health rules require that, both for commercial and non-commercial movement of dogs and cats entering into the Union, the animals must be identified with a microchip. To reinforce these traceability provisions, the owners of dogs and cats entering into the Union should ensure their registration in one of the Member States' databases at the place of destination. This will provide for greater control on the movements of those animals.
- (8) The traceability provisions of this <u>proposalRegulation</u> also contribute to the protection of public health via better animal welfare, <u>and</u> better animal health, <u>andthrough</u> better controls on the possible transmission of animal diseases (some of which being of zoonotic nature), this following a One Health approach.
- (9) Regulation (EU) 2016/429 of the European Parliament and of the Council³ regulates transmissible animal diseases for the purpose of avoiding the spread of such diseases in the Union. The health of animals is one of the five domains of animal welfare, and thus addressed in this Regulation. **Breaches of Regulation (EU) 2016/429 do have an impact on animal welfare.** However, this Regulation does not address the diseases listed in Regulation (EU) 2016/429, but rather the state of health of dogs and cats as shaped by non-communicable diseases (for example injuries) or non-listed diseases (for example certain parasites). The rules laid down in this Regulation are therefore complementary to Regulation (EU) 2016/429 and do not duplicate or overlap with the rules laid down in that Regulation.

Regulation (EU) 2016/429 of the European Parliament and of the Council of 9 March 2016 on transmissible animal diseases and amending and repealing certain acts in the area of animal health ('Animal Health Law'), *OJ L 84, 31.3.2016, p. 1*.

- (10) Regulation (EU) 2016/429 requires the identification of dogs and cats with a transponder but only if they are subject to movements between Member States entered into the Union. The identification required by that Regulation is not fully harmonised as it does not include precise standards regarding transponders. Furthermore, that Regulation does not require Member States to keep databases of dogs and cats. The rules laid down in this Regulation are therefore complementary to Regulation (EU) 2016/429 and do not duplicate or overlap with the rules laid down therein. Therefore, Member States should be required to establish and maintain databases of dogs and cats supplied in the Union market to ensure the traceability of these animals. It is also necessary to ensure the interoperability of these databases. This will facilitate finding information on dogs and cats across the Union, as well as enable competent authorities to carry out official controls to ensure compliance with animal welfare rules.
- (11) The supplying placing on the market of dogs and cats, whether for profit or at no cost, has an impact on the internal market. Therefore, to prevent fraud, traceability of animals dogs and cats traded in the Union market should be ensured and the keeping of animals dogs and cats in breeding establishments, selling establishments, pet shops or animal shelters or foster homes should be subject to detailed rules.
- (11a) The breeding, keeping and placing on the Union market of dogs and cats are the activities on which this regulation focuses, bearing in mind its objectives, namely ensuring a smooth functioning of the market of dogs and cats and rational development of the sector. These activities are associated with regular offering of goods and services on the market, whether in return of payment or free of charge. The intention to make a profit is not decisive, nor is the legal or economic status of the operator, but the professional or business related context in which such activities are carried out. The military, police or customs that breed or keep dogs for their own services' use are not in such situation as they do not carry out their breeding or keeping activities for the market.

- (12) Keeping of dogs and cats on behalf of owners, such as pet boarding activities, is a **short**termtemporary and local activity and does not have a significant impact on the internal
 market. As there is no placing on the market, Ii is therefore justified not to regulate
 themexelude pet boarding activities from the requirements laid down in this Regulation for
 establishments keeping dogs and cats. Similarly, pounds do not keep dogs or cats with
 the purpose of placing them on the market. As opposed to shelters, they merely ensure
 emergency housing when a lost dog or cat has been found and keep it for a short
 duration to make it possible for the owner to retrieve their dog or cat.
- Directive 2010/63/EU of the European Parliament and of the Council⁴ regulates the keeping, breeding and supply of animals kept for scientific purposes including dogs and cats.

 Regulation (EU) 2019/6 of the European Parliament and of the Council regulates clinical trials for veterinary medicinal products involving the use of animals, including dogs and cats. Dogs and cats intended or used for scientific purposes as well as dogs and cats used in clinical trials required for the marketing authorisation of veterinary medicinal products should therefore be excluded from the scope of application of this Regulation.

Directive 2010/63/EU of the European Parliament and of the Council of 22 September 2010 on the protection of animals used for scientific purposes (OJ L 276, 20.10.2010, p. 33).

- A large number of dogs and cats will be covered by detailed welfare rules for the first time, (14)which will allow them to benefit from better living conditions. However, in some cases this could result in significant costs for the operators. The potential risk of welfare problems grows with an increasing number of dogs or cats bred or kept at an establishment. It is therefore appropriate, for the purpose of proportionality, to distinguish between establishments of different sizes. On the one hand, all establishments, irrespective of the number of litters bred or the number of dogs or cats kept, should be subject to the general welfare principles and requirements, while on the other hand, only establishments keeping or placing on the market a certain number of dogs or cats should be subject to more comprehensive and detailed welfare obligations. This approach takes into account the financial burden resulting from the compliance with the more comprehensive and detailed welfare requirements, such as costly structural investments. However, considering the practical difficulties in certain cases to determine whether dogs and cats are kept as pets or for placing on the market or supply, this Regulation should exempt from certain obligations pet owners who keep a number of dogs and cats and produce a number of litters below a certain threshold. Otherwise, those pet owners would be subject to the relevant requirements of this Regulation, which would not be proportionate.
- (15) Thresholds for detailed animal welfare rules should be set by this Regulation for breeding establishments, shelters and foster homes. Once the threshold for breeding activities referred to in the previous recital is reached, any premises used for the purpose of breeding animals are subject to the rules for breeding establishments laid down in Chapter II of this Regulation, eEven if the breeding activities take place in households, as this is often the case for different kinds of commercial breeders, once those thresholds are reached, all rules for animal welfare of this Regulation should apply. Considering the exclusively commercial nature of selling establishments, it is not necessary to set thresholds and the requirements of this Regulation should apply to all selling establishments, regardless of the number of dogs or cats kept. Households where dogs and cats are kept for purposes other than reproduction are not considered as breeding establishments and do not have to comply with Chapter II of this Regulation.

- Although some of the breeding establishments are run by licensed breeders following <u>a good</u> standard of animal management, an important <u>numberpart</u> of <u>animalsthe dogs and cats</u> placed on the Union market comes from grey market breeders and sub-standard breeders, that do not ensure <u>a</u> sufficient level of animal welfare for the dogs and cats they breed. This creates unfair competition for pedigree breeders as well as non-pedigree breeders following high standards of animal welfare. It is therefore necessary to establish detailed animal welfare rules for the operators of all breeding establishments.
- Moreover, in the Union market, different types of operators carrying out different types of activities with respect to the placing on the market of supply dogs and cats. Aside from commercial breeders, there are pet shopsselling establishments where dogs and cats that are typically born and bred in other establishments, are kept for sale, assembly or collection. The protection of these animals dogs and cats may be suboptimal, and there are no common welfare standards that need to be observed in these establishments. Given that pet shopsselling establishments are commercial operators that place dogs and cats on the market dogs and cats, it is therefore necessary to apply the requirements of this Regulation to these establishments.
- (18) AnimalOperators of shelters are private or public undertakings or non-for-profit organisations that collect and keep <u>unwanted or</u> stray dogs and cats, or formerly owned <u>dogs and catsanimals</u> that have been lost, confiscated or abandoned. Sometimes, uncontrolled <u>pet-reproduction</u> or overbreeding results in the proliferation of stray dogs and cats that end up in shelters. Depending on their background, <u>shelter animalsthose dogs and cats</u> may be purebred or mixed breeds and may include the litter of <u>dogs or catsanimals</u> that have reproduced in the shelter. Shelters may keep large numbers of <u>dogs and catsanimals</u> and sell them or offer them <u>infor</u> adoption or for re-homing, sometimes for free or upon payment of the reasonable costs incurred.

(19)Despite the differences in the activities carried out by commercial breedingers and selling establishmentspet shops, on the one hand, and animal shelters, on the other hand, they all supplyplace dogs and cats ion the Union market and there is a certain amount of overlap, especially at the level of the demand. When looking for a dog or cat, consumers make choices between buying an animal dog or a cat from a breeder (either directly or through a selling establishment pet shop or intermediary), or adopting one from a shelter. The acquisition of dogs or cats directly from pet owners is marginal. One important factor in the choice of a dog or a cat is the possible behavioural or other problems that the dog or catanimal may exhibit due tobecause of having been kept in poor welfare conditions and which may reduce their suitability to be kept as a pet animal, irrespective of whether the dog or catanimal has been kept in a commercial breeding or selling establishment, in a pet shop or in a shelter. Moreover, given that trade is also conducted by intermediaries and mostly online, before they acquire a dog or a cat, consumers may not be aware before they acquire a dog or a cat of whether the animal originates from a shelter, a breeder or a selling <u>establishmentpet shop</u>. There is evidence that the number of <u>animals supplied to dogs and</u> cats placed on the Union market by shelters is significant, in particular for cats. There is also evidence that <u>dogs and catsanimals are supplied</u> from shelters in some Member States are transferred to prospective pet owners in other Member States, in particular for dogs. In order to ensure the achievement of the objective of this Regulation to ensure the smooth functioning of the internal-market in dogs and cats, and the rational development of the sector while ensuring a high level of animal welfare, it is necessary to apply some of thecertain requirements of this Regulation to shelters that keep a certain minimum number of dogs or catsanimals, irrespective of whether they place dogs or cats on the Union marketsell animals against payment, or only supply animals for free or upon reimbursement of reasonable costs. However, for reasons of proportionality and given that the activities of shelters differ from those of other operators and may fulfil a public interest function, only some of thecertain requirements of this Regulation should <u>not</u> apply to shelters, concerning, in particular the number and competence of animal caretakers, space allowanceshousing, feeding and watering, behavioural needs and painful practices, and advisory visits by a veterinarian

- responsible for unwanted, abandoned, stray, lost or confiscated dogs or cats use foster homes to keep them, and that the number of dogs and cats kept in foster homes may also impact the market of dogs and cats, foster homes should be covered by this Regulation. In such cases, the operators placing the dogs or cats in foster homes should be responsible for ensuring that the requirements of this Regulation are met in foster homes. This can for example be achieved through contractual relations between the operator and the foster family.
- (20) In addition, given the significant numbers of animals supplied in the Union by shelters, and the need to ensure the achievement of the objectives of this Regulation regarding traceability and prevention of illegal trade, shelters should also be subject to the requirements of this Regulation concerning identification and registration of dogs and cats, regardless of whether or not their activity can be considered economic in nature.
- Since this Regulation set out animal welfare requirements for dogs and cats, it falls within the scope of the Regulation (EU) 2017/625 of the European Parliament and of the Council⁵ applies to official controls performed for the verification of compliance with rules in the area of welfare requirements for animals, including welfare requirements for dogs and cats, such as those set out in this Regulation, it is appropriate to refer to and the corresponding definitions of competent authorities should apply. The definition of competent authorities laid down in that Regulation should apply, in order to ensure consistency with the applicable rules on official controls concerning animal health and welfare.

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Regulation (EU) 2017/625 of the European Parliament and of the Council of 15 March 2017 on official controls and other official activities performed to ensure the application of food and feed law, rules on animal health and welfare, plant health and plant protection products, amending Regulations (EC) No 999/2001, (EC) No 396/2005, (EC) No 1069/2009, (EC) No 1107/2009, (EU) No 1151/2012, (EU) No 652/2014, (EU) 2016/429 and (EU) 2016/2031 of the European Parliament and of the Council, Council Regulations (EC) No 1/2005 and (EC) No 1099/2009 and Council Directives 98/58/EC, 1999/74/EC, 2007/43/EC, 2008/119/EC and 2008/120/EC, and repealing Regulations (EC) No 854/2004 and (EC) No 882/2004 of the European Parliament and of the Council, Council Directives 89/608/EEC, 89/662/EEC, 90/425/EEC, 91/496/EEC, 96/23/EC, 96/93/EC and 97/78/EC and Council Decision 92/438/EEC (Official Controls Regulation), OJ L 95, 7.4.2017, p. 1–142.

- (22) The concept of the "five domains" (nutrition, physical environment, health, behavioural interactions and mental state) has been developed through scientific evidence to describe the different dimensions of animal welfare. It does not focus only on the absence of negative experiences for the animal but also encompasses positive experiences. This Regulation should therefore be based on the concept of the "five domains".
- In order to ensure proper enforcement of this Regulation, it is essential that competent authorities are able to identify the establishments subject to their official controls. It is therefore necessary that operators keeping dogs andor cats in establishments notify their activities to the competent authorities- and that the competent authorities keep an updated register of these establishments. In order to minimise the administrative burden for operators, competent authorities should be able, for that purpose, to use information or data collected in the register of dogs and cats establishments under Regulation (EU) 2016/429.
- (24)Well-trained and skilled staff are essential for improving the welfare conditions of animals. Competencies in animal welfare require knowledge of the basic behavioural patterns and needs of the species concerned. Animal caretakers should have the competencies in animal welfare relevant to their tasks and the **dogs or catsanimals** they handle, in order to avoid inflicting pain, distress and suffering on dogs and cats. Such competencies should be acquired via education, training or professional experience. In addition, at least one caretaker in the establishment should receive training, to ensure proper knowledge of the requirements of this Regulation and, where relevant, of additional national requirements, and have knowledge of updated scientific and technical recommendations to ensure the welfare of dogs and cats. The caretaker having followed the training should disseminate the knowledge acquired to other caretakers in the establishment. Taking into account that shelters are dependent on voluntary work and that interns follow practical training at establishments, volunteers and interns supervised by a competent animal caretaker should not be required to have formal education, training or professional experience.

- (25) Given the fact that animal welfare includes the health of animals, veterinarians are in the best position to provide advice to operators with a view to improving the animal welfare situation in establishments. Veterinarians should play an active role in raising awareness on the interrelationship between the health and welfare of those animals. Establishments keeping a number of dogs and cats above a certain threshold should therefore receive an animal welfare visit from a veterinarian within the first year after the date of application of this Regulation or within the first year after having notified a new establishment.
- Certain breeding strategies may lead to welfare problems for dogs and cats. By selecting certain genetic traits for aesthetic or other marketing reasons, undesirable traits from an animal welfare perspective may also be created and passed on to future generations.

 Therefore, operators should take measures to ensure that their breeding strategies do not lead to such negative consequences for the welfare of the dogs and cats. In particular, given the important health problems for dogs and cats associated to certain excessive conformational traits which can result from breeding strategies focusing on marketing objectives, breeders should exclude from reproduction dogs and cats with excessive conformational traits.
- (26a) Aesthetic shows, exhibitions and competitions of dogs or cats have an impact on the market opportunities and price for selling dogs and cats. Mutilations and certain breeding strategies that result in dogs or cats with excessive conformational traits can be advantageous for breeders competing aesthetic shows, exhibitions and competitions of dogs or cats. Organising and participating in such shows, exhibitions and competitions can be driven by factors other than animal welfare, such as aesthetic standards, with the aim to advertise certain breeds and physical characteristics. In order to ensure that breeders prioritise the welfare of the dogs and cats they produce and do not develop excessive conformational traits or perform mutilations to attain unhealthy aesthetic standards, it should be provided for that operators of breeding and selling establishments and the organisers of such shows, exhibitions and competitions should not use or include dogs or cats with excessive conformational traits or those which have been mutilated for these shows, exhibitions or competitions.

- Scientific evidence demonstrates that inbreeding has significant negative impacts on animal health and welfare. Therefore, inbreeding of dogs and cats between parents and offspring, between siblings, between half siblings or between grandparents and grandchildren including first degree and second degree matings should be prohibited, as this increases the incidence of inherited disorders and compromises immune system function, both of which adversely impact the health and welfare of dogs and cats.

 Inbreeding should nevertheless be possible, when necessary to preserve local breeds with a limited genetic pool and should be authorised on that basis by the competent authority.
- (27a) Hybridisation should not be encouraged as hybrids are not as domesticated as dogs and cats. Given the significant difficulty in meeting the specific behavioural needs of hybrids and the discomfort or suffering that will result thereof, breeding to produce hybrids should be prohibited.
- (28) The European Food Safety Authority ('the Authority') provided technical and scientific assistance on several questions regarding housing, health and painful procedures relevant to dogs and cats kept in breeding establishments⁶. This Regulation takes into account the recommendations of the Authority on the type of housing and exercise, on housing temperature and light, on health and painful surgical interventions.
- (29) Scientific evidence highlights the importance of feeding, watering, housing, health, behavioural needs and the prevention of painful practices for the welfare of dogs and cats. It is therefore essential that those areas of keeping dogs and cats are regulated in detail.
- (30) Scientific evidence is clear about the necessity for dogs and cats of having enough space to express their natural behaviour and having normal social interactions. This is not possible where animals dogs and cats are kept in confinement and in cages. The keeping of dogs and cats in cages should therefore be prohibited.

EFSA (European Food Safety Authority), Candiani, D., Drewe, J., Forkman, B., Herskin, M. S., Van Soom, A., Aboagye, G., Ashe, S., Mountricha, M., Van der Stede Y., Fabris, C. 2023. Scientific and technical assistance on welfare aspects related to housing and health of cats and dogs in commercial breeding establishments. EFSA Journal, 21(9), 1–105. https://doi.org/10.2903/j.efsa.2023.8213

- (31) Tethering for long periods should be prohibited, as it can raise significant animal welfare concerns. It can be associated with an increased prevalence of locomotor disorders, inability to lie or rest comfortably, and to perform normal behaviours.
- importance. For the same reason, the use of containers should be limited to exceptional circumstances, such as isolation of aggressive animals dogs or cats or transport to a veterinarian. Accommodation for dogs and cats should also provide unrestricted access to natural light, complemented where needed by artificial lighting, in order to facilitate the appropriate circadian rhythm of the se animals. Safe daily access to outdoors should be provided to dogs in order to address their need to exercise, socialise and express other innate behaviours.
- (33) To prevent pregnancy complications and compromising their welfare, bitches and queens should <u>notonly</u> be bred <u>beforeafter</u> reaching <u>appropriate</u>skeletal as well as sexual maturity. To allow them to physically recuperate from pregnancy and lactation, bitches and queens should only be readmitted to reproduction after a sufficient period of time. However, to prevent certain pathological reproductive conditions in bitches <u>and queens</u>, such as *pyometra*, up to three <u>consecutive</u> pregnancies <u>within a period of 2 years</u> should be allowed, followed by an adequate recuperation period. Reproduction should <u>gradually</u> cease in bitches and queens coming into older age <u>and in bitches and queens that have had two cesarean sections</u>, as it cannot be excluded that an additional pregnancy will have a negative effect on their welfare.
- (34) The change of practices regarding the cycle of reproduction required by this Regulation may in some instances, impact the level of revenues of breeders of dogs and cats due to the decreasing number of litters produced per year. It is therefore necessary to provide breeders with additional time to adapt their business model.

- Opes and cats kept as pets should not pose any threat to human safety. To reduce the risk of aggression towards humans, dogs and cats born or kept in breeding establishments should be appropriately socialised with conspecifics and humans, and whereif possible, with other animals, and with humans. They should be kept in a stimulating and non-threatening environment equipped with enrichment providing them opportunities to play and express other innate behaviours. Separation of dogs and cats from their mothers should not happen at too young an ageearlier than natural weaning, as it can cause serious separation stress and behavioural problems in these animals and should therefore be prohibited, except for medical reasons.
- (36) Procedures with the purpose of altering the appearance of or preventing certain behaviours of cats and dogs and cats, such as ear cropping, tail docking, removal of claws and resection of vocal cords, have a serious negative impact on the welfare of cats and dogs and cats. These procedures cause pain and prevent cats and dogs and cats from expressing innate behaviours. For this reason, they should only be allowed if performed by a veterinarian and only when necessary for medical reasons.
- (36a) Operators should not perform handling practices that cause pain or suffering as this has a detrimental effect on the dog or cat's welfare. However, for medical reasons, in the interest of the dog or cat's welfare, it may be necessary to tie up body parts, apply electric current or use muzzles in order to be able to give medical treatment to a dog or cat. Moreover, when the dog or cat poses a threat to security, for example in the case of a dangerous dog, operators should be able to muzzle dogs or cats, although only for the minimum time necessary and only when the dog or cat is under supervision. Dogs used in the military, police and customs services normally undergo a very specific type of training to be prepared in the interest of national security. In order to have the possibility to attain the training deemed most appropriate, Member States should be able to grant derogations to operators keeping in their breeding or selling establishments dogs which can be destined to be military, police or custom dogs.

(37)Prior inspection by official veterinarians or other professionals, in case the official control task has been delegated, and consequent approval of establishments is an effective way to ensure that establishments comply with the requirements of this Regulation. However, given that inspections should be focused on establishments representing a higher risk from the point of view of animal welfare, and given the limited availability of official veterinarians in Member States, it is not proportionate to require prior on-site inspection and approval for all establishments. The conditions in breeding establishments are particularly critical to ensure that dogs and cats are properly bred, kept and treated before being placed on the market, in particular due to the repercussions that poor animal welfare conditions at an early age can have on dogs and cats. It is therefore important that those establishments, having a significant production of dogs and cats, are approved by the competent authorities and are subject to onsite preinspection before their approval. It is also important that a list of those approved establishments is publicly available to allow potential buyersacquirers to verify the status of their suppliers breeding establishments and thus to strengthen public control and citizens' awareness. Since all the breeding establishments need time to implement the have an extended time for application of requirements on housing and health, and since competent authorities need time to carry out on-site inspections of existing breeding establishments, it is necessary that the obligation of breeding establishments to obtain an approval should start to apply on the same date as the requirements for housing and health.

(38) Some operators placing on the market dogs andor cats on the market or shelters supplying dogs and cats, encourage potential customers to buy at any cost using emotional arguments, without mentioning to the potential owner the consequences of owning a dog or a catpet animal. Other operators or shelters insist on the responsibility attached to pet ownership and this has the effect of limiting their ability to sell place dogs or cats on the Union market animals. This discrepancy of attitudes of operators tends to advantage less responsible operators, leading to distortions of competition despite the importance for animal welfare and public order of informing customers about their responsibility when buying acquiring a dog or a cat. It is therefore justified to require that all suppliers operators placing of dogs andor cats ion the Union market for use as pets inform future owners of their responsibility. In addition, where the placing on the market supply of a dog or a cat by a breeding or selling establishment is facilitated by an advertisement online means, an appropriate warning should accompany it the online advertisement to efficiently convey the message of responsible ownership.

(39)Illegal trafficking and fraudulent practices in relation to the placing on the Union marketsale or transfer of dogs and cats for adoption is facilitated by the absence of traceability given the incomplete lack of identification requirements and lack of registration requirements for those animals. Furthermore, fraudulent practices may appear when the systems for the identification and registration of dogs and cats are not harmonised or cannot be easily operated because technical systems are not interoperable. **Both the EU** coordinated control plan on online sales of dogs and cats carried out in 2018[x] and the EU enforcement action on the illegal trade of cats and dogs carried out in 2022-2023[X] have revealed widespread fraudulent practices in the placing on the market of dogs and cats in the Union, and associated animal welfare problems. It is therefore essential to harmonize the standards for the means of identification and registration and to ensure that the identification and registration of the dogs and or cats are is completed before the itanimal is supplied in placed on the Union market for the first time. A broad obligation to identify and register dogs and cats placed on the market avoids loopholes in the traceability system which would otherwise risk being exploited by fraudsters. Natural or legal persons placing Suppliers of dogs and or cats on the Union market should provide evidence of identification and registration in one of the databases established by Member States for this purpose, before the first placing on the market of the animal in the Union. Subsequently, at every change of ownership or responsibility for the **dog or catanimal**, the changesupplier must should be registered accordingly provide proof of identification and registration of the animal in one of the databases. For the sake of proportionality, natural persons supplying dogs and cats occasionally by other means than online platforms should not be subject to this obligation.

10763/24 MLL/lg 22 ANNEX LIFE.3 **LIMITE EN**

Commission Recommendation on a coordinated control plan for the official controls on online sales of dogs and cats, C(2018)5488 final, https://food.ec.europa.eu/animals/animal-welfare/online-sales-dogs-and-cats en

European Commission, Directorate-General for Health and Food Safety, Illegal trade of cats & dogs EU enforcement action, Publications Office of the European Union, 2023, https://data.europa.eu/doi/10.2875/236344

- (40) Suppliers Natural or legal persons placing the of dogs and or cats on the Union market should not only provide evidence of identification, showing a document referring to the code of the transponder implanted in the dog or cat animal but also evidence of the registration of that dog or cat animal into an official database. This allows key information about the dog or cat animal to be passed on to the new owner and ensures traceability.
- (41) As most dogs and cats are currently offered for sale or donation by means of advertisements throughlistings placed on online platforms, providers of online platforms should act diligently when intermediating the placing on the Union market of access to dogs and cats. Therefore, without prejudice to Regulation (EU) 2022/2065, online platforms should be required to adapt the modalities of their advertisements listings for dogs and cats so that natural or legal persons placing dogs or cats on the Union market suppliers provide proofs of the identification and registration of the dogs and or cats intended for sale or donation. In addition, the Commission should ensure the development of a system publicly available and free of charge, allowing to verify the authenticity of the identification and registration of a dog or a cat. This measure aims to better combat fraud by improving the traceability of dogs and cats placed on supplied in the Union market to their origin, allowing better controls by competent authorities and ultimately improving the welfare of these dogs and catsanimals. This should not amount to an obligation for online platforms to generally monitor the listings offered through their platform, nor to a general fact-finding obligation aimed at assessing the accuracy of the identification and registration prior to the publication of the offer.
- (42) Since the level of awareness on animal welfare of animal caretakers has a direct impact on the welfare of dogs and cats under their care, Member States should ensure that sufficient training is available both in terms of quantity and quality to enable animal caretakers to meet the training requirements and set out in this Regulation.

- (43) To ensure traceability of dogs and cats, animals they should not only be individually identified marked with a unique identifier in the form of a transponder, but their identification should also be registered in a database. Therefore, Member States should be required to establish and maintain databases of dogs and cats placed on the Union market to ensure the traceability of these animals. It is also necessary to ensure the interoperability of these databases. This will facilitate finding information on dogs and cats across the Union, as well as enable competent authorities to carry out official controls to ensure compliance with animal welfare rules. In order to facilitate the interoperability between national databases, the Commission should establish an index databases so that identification can be reliable and verified. In addition, to ensure traceability within the Union, these national databases should be interoperable, allowing competent authorities and relevant stakeholders to verify the authenticity of the identification.
- (44) To evaluate the progress of the animal welfare conditions in which dogs and cats are kept in establishments and of their traceability, it is necessary that Member States collect, report and analyse key policy indicators, which should be harmonised under this Regulation to ensure their comparability at Union level, and enable a Union monitoring of the progress in achieving the policy objectives of this Regulation.
- right. Regulation (EU) 2018/1725 of the European Parliament and of the Council lays down rules on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data. Regulation (EU) 2016/679 of the European Parliament and of the Council applies to the processing of personal data carried out by Member States in the course of the relevant procedures. The roles of the Commission and of the Member States in relation to the processing of personal data in cases under this Regulation need to be clearly defined in order to ensure a high level of data protection.

- (44b) For the purpose of this Regulation, the names of natural persons and related contact details could appear in the documents processed by the Commission and the Member States in the course of the implementation of this Regulation, namely notification and approval of establishments, registration of dogs and cats and imports of dogs and cats. Such processing of personal data is justified by the public interest of guaranteeing the respect for the welfare conditions and requirements for dogs and cats, including the performance of official control and traceability, and avoid circumvention of the welfare conditions and requirements and combat illegal trade both between Member States and in case of imports.
- (44c) The retention period of personal data in the register of establishments and the list of approved breeding establishments should be 10 years from the date of cessation of activity of the establishment, since competent authorities need to have access to the history of activities of an operator in relation to breeding, keeping and placing on the Union market dogs or cats and be aware of past non-compliance with animal welfare rules when receiving a new notification of activity or request for approval.
- (44d) The retention period of personal data of the present and past owners for dogs or cats in national databases should be 5 years after the recording of the death of the dog or cat in those databases or 30 years from the date of the first registration of the dog or cat in those databases, in order to cover the life expectancy of dogs and cats, to maintain a robust traceability systems for all dogs and cats traded in the Union, and to allow for official controls on welfare problems such as abnormal death rates requiring data analysis, including after the death of the dog or cat.
- (44e) The retention period of data concerning owners and authorised persons entering the

 Union with dogs or cats under non-commercial movements pre-notified in the Union

 pet travellers' database should be 5 years from the pre-notification by the owner in

 order to allow for the Member States' competent authorities to perform data analysis,

 identify suspicious movements, and elaborate their risk-based official controls

 targeting potential fraudsters.

Dogs and cats imported into the Union may have been bred or kept in third countries in (45)conditions that are detrimental to their welfare. This raises public moral, safety and health concerns in the Union. In addition, taking into account the particular concerns of Union citizens² consider that a high level of for the welfare of dogs and cats is a matter of moral responsibility, as illustrated by the results of the 2023 Eurobarometer on animal welfare [x], as well as by the numerous correspondence, petitions and parliamentary questions received on this matter, the European Parliament resolution of 2020 on the illegal trade in companion animals, and the Union ban on the placing on the market and import of dog and cat fur and products containing such fur^[x]. Furthermore, problems to animal life or health are part of the welfare problems. For example exhaustion, cachexia, and susceptibility to infectious diseases can arise from too intensive use of female dogs or cats for breeding, not respecting their welfare needs. Lastly, poor welfare conditions can lead to public health risks, including zoonotic agents such as dermatophytosis or internal parasites, as well as an indirect enhanced risk of antimicrobial resistance development due to a need for high volumes of use of antimicrobials in the establishment of origin. Dogs and cats bred under poor welfare conditions can also represent a risk for public safety as they may develop aggressive behaviour. Given these public moral concerns, as well as safety, animal health and public health risks associated to poor welfare conditions in the establishment of origin specialised in dogs and cats breeding, and in order to achieve the objectives of this Regulation, it is important that dogs and cats imported from third countries comply with rules as regards their welfare that are **identical or** equivalent to those laid down in this Regulation, and offer the same guarantees as regards their traceability. Given that this will require changes from third country operators involved in exports to the Union of dogs and cats, it is necessary to provide for a transitional period of the same duration as that applicable to Union operators.

European Commission, Special Eurobarometer 533 "Attitudes of Europeans towards Animal Welfare", Fieldwork: March 2023

Regulation (EC) No 1523/2007 of the European Parliament and of the Council of 11 December 2007 banning the placing on the market and the import to, or export from, the Community of cat and dog fur, and products containing such fur, OJ L 343, 27.12.2007, p. 1.

- (46)The provisions mentioned in the previous recital Enforcement of import rules should be ensured enforced through a listing of third countries approved to supply of place dogs and cats to on the Union market based on a Commission assessment of the reliability of their official controls to enforce the animal welfare rules required under this Regulation, or recognised by the Union as equivalent rules, in establishments on their territory which supplyexport or intend to supplyexport dogs and cats to the Union market. In addition, a list of establishments breeding and keeping dogs and cats in those third countries, and which are allowed to export those animals to the Union, should be established to ensure traceability and controls at Union Border Control Posts. The Commission should, following a risk-based approach, carry out audits of the reliability of official control system of third countries approved under this Regulation as well as those requesting to be approved under this Regulation. Finally, the compliance with the relevant rules of this Regulation or rules recognised as equivalent should be certified in the relevant health certificate used for such movements. For that purpose, the Commission should endeavour to amend the relevant model of official certificate, in order to include the related animal welfare attestation.
- (47) Under Regulation (EU) 2016/429, a list of third countries authorised for the purpose of entry into the Union of dogs and cats is established for the purpose of managing the risk of introducing transmissible animal diseases into the Union. The list of third countries mentioned in the previous recital should therefore be limited to third countries authorised under Regulation (EU) 2016/429 and which provide appropriate guarantees of the capacity of their competent authority to control and ensure compliance of the establishments breeding and keeping dogs and cats for export to the Union with the animal welfare requirements set out in this Regulation.

- (47a) To enhance consumer protection and ensure proper traceability for imports of dogs and cats into the Union, it is appropriate to provide for in this Regulation that they should be identified before their entry and that the importers should ensure their registration in one of the Member States' databases. This will provide for greater control on the movements of those animals. Furthermore, the EU Coordinated Action on the illegal trade of cats and dogs carried out in 2022 and 2023 demonstrated that one of the common fraudulent practices with the trade in dogs and cats consists in importing into the Union dogs and cats intended for trade claiming that those movements are non-commercial movements as defined by Union animal health rules, namely movements of dogs and cats accompanying their owners or a person authorised by the owner without intention of transfer of ownership. In order to provide tools for Member States to perform risk-based controls targeting this fraudulent practice, it is essential that the entry of dogs and cats as non-commercial is pre-notified through a dedicated Union pet travellers' database. This database should collect notifications for all such entries into the Union regardless of the point of entry, for Member States to have the necessary overview and detect suspicious movements. For that reason it is appropriate that the Commission establishes and maintains that database so that Member States have access to all available information for control activities.
- (48) In order to take into account technical progress and scientific developments, and their social, economic and environmental impacts, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission for the purpose of **supplementing this**Regulation by laying down the animal-based indicators and of amending Article 6 to this Regulation so that breeding strategies do not result in genotypes, phenotypes or excessive conformational traits that have detrimental effects on the health or welfare of the dogs and cats as well as of amending the Annexes to this Regulation.

- (49) In order to lay down minimum criteria to be assessed during animal welfare visits, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission for the purpose of supplementing Article 10 of this Regulation.
- (50) In order to take account of technical progress and scientific developments, and their social, economic and environmental impacts, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission for the purpose of amending the Annexes to this Regulation as regards requirements for the breeding, keeping and identification of dogs and cats as well as indicators to monitor the policy objectives of this Regulation.
- (51) It is of particular importance that the Commission carr<u>vies</u> out appropriate consultations during its preparatory work, including at expert level, and that those consultations be conducted in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making.⁷ In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts.

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Interinstitutional Agreement between the European Parliament, the Council of the European Union and the European Commission on Better Law-Making (OJ L 123, 12.5.2016, p. 1).

- (52) Implementing powers should be conferred on the Commission in order to ensure uniform conditions for the implementation of the following provisions of this Regulation:
 - Article 9(3) to harmoniseation of the content of the education, training or professional experience for competent animal caretakers;
 - minimum criteria to be identified and assessed during animal welfare visits;
 - Article 17(5) to specify the information to be provided by suppliers as proof of identification and registration of dogs and cats, both in cases where they are offered through online platforms and by other means;
 - Article 17(7) to specifythe information to be provided by operators and natural persons placing dogs or cats on the market as proof of identification and registration of dogs and cats, and certain aspects of the system performing automated checks of the authenticity of the identification and registration of dogs and cats;
 - Article 19(3) to establish minimum requirements for the content of the databases
 referred to in paragraph 1 and the requirements concerning the interoperability of the databases;
 - Article 20(3) to establish a harmonised methodology for measuring the data collected in Annex III and the template for the Member States' report to the Commission on those data;
 - Article 21(5) to establish a procedure for the recognition by the Union of equivalence of the conditions under which dogs and cats are bred and kept in establishments in a third country which intends to export animals to the Union, with the provisions of this Regulation regarding establishments.

Those powers should be exercised in accordance with Regulation (EU) No 182/2011 of the European Parliament and of the Council⁸.

Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for

- There are varying citizens attitudes regarding the welfare of dogs and cats <u>across Member States</u>. and sSome Member States have already adopted a comprehensive set of rules in this regard. <u>Bearing in mind that this Regulation lays down minimum requirements</u>, Hit is therefore <u>appropriatenecessary</u> that Member States are allowed to maintain <u>or adopt</u> stricter national rules aimed at a more extensive protection of the <u>dogs and catsanimals</u> than those laid down in this Regulation. <u>Member States should also continue to have the possibility to adopt stricter national rules in certain areas provided that those <u>national</u> rules do not affect the proper functioning of the internal market.</u>
- (54) Member States should notify the Commission of any such national rules. The Commission should bring them to the attention of other Member States. Where national rules fall within the scope of Directive (EU) 2015/1535 of the European Parliament and of the Council, they should be notified to the Commission in accordance with that Directive.
- (55) It is essential that Union legislation is subject to regular monitoring and evaluation so it can be adjusted in order to achieve the expected impacts. Therefore, this Regulation should contain an obligation for the Commission to perform monitoring on the welfare of dogs and cats in the Union and to carry out an evaluation to be presented to other Union institutions.
- (56) To ensure the full application of this Regulation, Member States should lay down rules on penalties applicable to infringements of this Regulation and ensure that they are implemented. Those penalties must be effective, proportionate and dissuasive.
- Since the objectives of this Regulation, namely to establish minimum

 requirementsharmonised rules that ensure the proper functioning of the internal market
 while ensuring a high level of welfare of dogs and cats and their traceability cannot be
 sufficiently achieved by the Member States, but can rather, by reason of its effects, be better
 achieved atby the Union level, the Union may adopt measures, in accordance with the
 principle of subsidiarity as set out in Article 5 of the Treaty on European Union. In
 accordance with the principle of proportionality, as set out in that Article, this Regulation
 does not go beyond what is necessary in order to achieve those objectives.

control by Member States of the Commission's exercise of implementing powers; OJ L 55, 28.2.2011, p. 13–18.

CHAPTER I SUBJECT MATTER, SCOPE AND DEFINITIONS

Article 1

Subject matter

This Regulation lays down minimum requirements for:

- (a) the welfare of dogs and cats bred or kept in establishments, or placed on the Union market;
- (b) the traceability of dogs and cats placed on the Union market or supplied in the Union.

Article 2

Scope

- 1. This Regulation shall apply to the breeding, keeping, and placing on the market and entry into the Union of dogs and cats, as well as their supplying in the Union.
- 2. This Regulation shall not apply to the breeding, keeping <u>or</u>, placing on the market or supplying of dogs or cats intended <u>or used</u> for scientific purposes <u>or for clinical trials</u> required for the marketing authorisation of veterinary medicinal products.

Article 3

Definitions

For the purposes of this Regulation the following definitions apply:

- 1. 'dog' means an animal of the *Canis lupus familiaris* species;
- 2. 'cat' means an animal of the *Felis silvestris catus* species;
- 3. 'welfare of dogs and cats' means the physical and mental state of a dog or a cat in relation to the conditions in which it is born, lives and dies, which reflects good nutrition, a good environment, a good health, appropriate behaviour and a positive mental experience;
- 3a. 'hybrid' means any offspring in the first to the fourth generation after crossbreeding between a wild species and a domestic dog or cat, or between such hybrids and wild species, domestic dogs or cats, or other hybrids;
- 3b. 'breeding' means the activity of keeping dogs or cats in breeding establishments for the purpose of reproduction;
- 4. 'keeping' means any activity during which <u>dogs or cats arean animal is detained held</u>, <u>housed</u> or handled in an establishment <u>or under the responsibility of an operator</u>;
- 5. 'placing on the market' means the keeping of dogs and cats for the purpose of sale, offering for sale, distribution or any other form of transfer, of ownership or responsibility, on the market of for the dogs or catsanimal, that is against consideration or at least reimbursement of the costs incurred, whether in return for payment or free of charge, including as well as the advertising of animals dogs or cats for the above those purposes;
- 5a. 'advertising' means any form of communication which has the direct or indirect
 effect of promoting a dog or cat in order to attract interest, engagement or sales,
 including the promotion of a breed or a physical characteristic;

- 6. 'supplying' means the transferring of ownership or responsibility for dogs or cats through any means or form, whether for a consideration or not, excluding occasional supplies by natural persons of dogs or cats by other means than via the intermediation of an online platform;
- 7. 'online platforms' means an online platform, as defined <u>in point (i) of Article 3, point (i)</u>, of Regulation (EU) 2022/2065, intermediating the placing on the market or the sypplying of dogs andor cats;
- 8. 'listing' means the publication, on an online platform, of an advertisement for the supply of a dog or a cat;
- 9. 'bitch' means a female dog from the time she is first mated or inseminated until the weaning of the last litter she produces during her lifetime;
- 10. 'queen' means a female cat from the time she is first mated or inseminated until the weaning of the last litter she produces during her lifetime;
- 11. 'establishments' means breeding establishments, <u>selling establishments</u>, animal shelters, and <u>foster homespet shops</u>;
- 12. 'breeding establishments' means any premises or structure, <u>including households</u>, where dogs andor cats are kept for reproduction purposes with a view to placing their offspring on the market, <u>including households</u>;
- 13. 'pet shops selling establishments' means any premises or structure where dogs and or cats are kept for sale without having been born there, including pet shops or households as pet animals, as well as any premises or structures of assembly operation where dogs or cats are assembled from more than one establishment, without having been born there;
- 14. 'animal shelters' means any premises or structure, excluding including households, operated by a natural or legal person, where unwanted, abandoned, formal stray, lost or confiscated dogs and or cats are kept for the purpose of supplyplacing on the market, whether for consideration or at no cost;

- 14a. 'foster home' means a household that keeps dogs or cats on behalf of an operator responsible for unwanted, abandoned, stray, lost or confiscated dogs or cats;
- 15. 'operator' means any natural or legal person, excluding those that places dogs or cats on the market and that is responsible for a breeding establishment, a selling establishment or a shelter and for dogs or cats kept therein, or that is responsible for unwanted, abandoned, stray, lost or confiscated dogs or cats and places them in foster homesshelters, who breeds, keeps, trades or places on the market dogs and cats under his/her control, including for a limited period of time;
- 16. ['supplier' means any natural or legal person supplying a dog or a cat, including natural or legal persons responsible for shelters;]
- 17. 'competent authorities' means competent authorities as defined in **point (3) of** Article 3(3) of Regulation (EU) 2017/625;
- 18. 'breeding strategy' means a set of systematic actions, including recording, selection, breeding, and exchange of breeding dogs andor cats and their germinal products, designed and implemented to preserve or enhance desired phenotypic and/or genotypic characteristics in the target breeding population;
- 19. 'mutilation' means an intervention, including a surgical intervention, <u>other than</u>

 <u>neutering or implantation of a transponder</u>-carried out for reasons other than therapeutic

 or diagnostic purposes, which results in damage to or the loss of a sensitive part of the
 body or the alteration of bone structure <u>of a dog or a cat</u>;
- 20. 'suffering' means an unpleasant, undesired physical or mental state of being of a dog or a cat, which is the result of an animal being exposed to noxious stimuli or the absence of important positive stimuli;
- 21. 'housing' means buildings or delimited outdoor space in establishments where dogs andor cats are kept whether temporarily or permanently;
- 22. 'kennel' means a physical structure containing one or more individual enclosures for housing dogs;

- 23. 'cattery' means a physical structure containing one or more individual enclosures for housing cats;
- 24. 'animal caretaker' means a person taking care of the dogs and or cats bred or kept in an establishment, including volunteers and interns;
- 25. 'enrichment' means a material or structure in the animal's a dog's or cat's environment, with an occupational or nutritional property which is capable of provoking and fulfilling curiosity and appetitive behaviour, or physical motivation;
- 26. 'tethering' means the tying of an animal dog or cat to an anchor point object to keep it in a desired area or to restrict its movement;
- 27. 'container' means any crate, box, <u>cage</u>, receptacle or <u>other movable</u> rigid structure used to confine dogs <u>andor</u> cats;
- 28. 'pet animal' means a dog or cat intended to be kept in a household for private enjoyment and companionship;
- 29. 'responsible ownership' means the commitment of a dog or cat owner or future dog or cat owner, to perform various duties focused on the satisfaction of the behavioural, environmental and physical needs of the dog or cat, and to prevent risks that the dog or cat may pose to the community, other animals_or the environment.

CHAPTER II

OBLIGATIONS FOR OPERATORS OF ESTABLISHMENTS

Article 4

Exemptions from the obligations set out in this Chapter

This chapter shall not apply to:
breeding establishments keeping up to three bitches or queens and producing in total two litters or less per establishment and calendar year;
pet shops keeping at any given time three dogs or less or six cats or less;
shelters keeping at any given time ten dogs or less or twenty cats or less.
1. A breeding establishment where at most two litters per calendar year is produced for placing on the market shall only be subject to the obligations laid down in Article 5, Article 6(1) and (1a), Article 6a, Article 7, Article 8, Article 11(2), (3) and (4), Article 12(3), (4) and (7), Article 13(2)(b), (ba) and (d), Article 14(2), (3), (4) and (6), Article 15 and Article 15a(1).

2. A shelter, where up to a total of 15 dogs or cats are kept at any given time, or any foster home shall only be subject to the obligations laid down in Article 5, Article 6(1) and (1a), Article 7, Article 8(1), Article 11(2), (3) and (4), Article 12(3), (4) and (7), Article 13(2)(b), (ba) and (d), Article 14(2), (3), (4) and (6), and Article 15.

General welfare principles of welfare

Operators and natural or legal persons responsible for shelters shall apply the following **general** welfare principles with respect to dogs <u>or</u> and cats bred or kept in their establishment:

- dogs and cats are provided with water and feed of a quality and of a quantity that enables them to have goodappropriate nutrition and hydration:
- (b) dogs and cats are kept in an appropriate good physical environment which is secure and comfortable, especially in terms of space, air quality, temperature, light, protection against adverse climatic conditions, and ease freedom of movement:
- dogs and cats are kept safe, clean and in good health by preventing diseases, functional impairments, injuries, and pain, due in particular to management, or handling practices, or mutilations:
- dogs and cats are kept in an environment that enables them to express **species-specific and** social non-harmful behaviour, species-specific behaviour, as well as **to establish a** positive relationship with human beings:
- dogs and cats are kept in such a way as to optimise their mental state by preventing or reducing their negative experiences stimuli in duration time and intensity, as well as by maximiszing opportunities for positive experiences stimuli in duration time and intensity, preventing the development of abnormal repetitive and other behaviours indicative of negative animal welfare, and taking into consideration the individual dog's or cat's needs in the different domains referred to in paragraphs points (a) to (d).

General welfare obligations requirements for dogs and cats

Operators and natural or legal persons responsible for shelters shall be responsible for the
welfare of dogs andor cats kept in their establishments and under their control and for
minimising any risks to their welfare.

In the case of foster homes, the responsibility shall lie with the operator on whose behalf dogs or cats are kept. Such operators shall not place more than a total of five dogs or cats or one litter with or without mother in a foster home at any given time and shall provide the foster family with adequate information on the animal welfare obligations as well as the individual needs of the dogs or cats, and shall ensure that the relevant obligations set out by this Regulation are complied with in foster homes.

Member States where the foster home is located may provide for a greater number of dogs, cats or litters to be placed in the foster home, provided that there are sufficient safeguards for the welfare of the dogs or cats in accordance with this Regulation.

1a. Operators shall not abandon dogs or cats.

- 2. Operators and natural or legal persons responsible for shelters shall ensure that dogs and or cats are handled by a suitable number of animal caretakers, to meet the welfare needs of dogs or cats kept in their establishments who have the skills and competences required under Article 9.
- 2a. Operators shall ensure the welfare of the dogs or cats under their responsibility by monitoring animal-based indicators referred to in paragraph 2b, and by taking actions based on the results of such monitoring.
- 2b. The Commission is empowered to adopt delegated acts in accordance with Article 23 supplementing this Regulation by laying down the animal-based indicators.
- Operators of breeding establishments shall ensure that breeding strategies do not result in genotypes and phenotypes that have detrimental effects on the welfare of the dogs and cats, or of their descendants.

In the management of the reproduction of dogs and cats by operators, the mating between parents and offspring, or between grandparents and grandchildren, shall be prohibited.

This paragraph shall not preclude the selection and breeding of brachycephalic dogs and cats provided that the selection or breeding programmes minimise the negative welfare consequences of brachycephalic traits.

4. The Commission is empowered to adopt delegated acts in accordance with Article 23 amending this article as regards the specific criteria that operators shall fulfil when designing breeding strategies to meet the requirements of paragraph 3, taking into account scientific opinions of the European Food Safety Authority as well as social, economic and environmental impacts.

Article 6a

Breeding requirements

- 1. Operators of breeding establishments shall ensure that their breeding strategies minimise the risk of producing dogs or cats with genotypes or phenotypes associated with detrimental effects on their welfare.
- 2. Operators of breeding establishments shall not use for reproduction dogs or cats that have excessive conformational traits leading to a high risk of detrimental effects on the welfare of these dogs or cats, or of their offspring.
- 3. The Commission is empowered to adopt delegated acts in accordance with Article 23 supplementing this Regulation by adding characteristics of the genotypes and phenotypes referred to in paragraph 1 of this Article, and the excessive conformational traits referred to in paragraph 2 of this Article, which shall be excluded from reproduction, taking into account scientific opinions of the European Food Safety Authority as well as social and economic impacts. The delegated acts concerning the excessive conformational traits shall be adopted by 1 July 2030.

- 4. The following shall be prohibited in the management of the reproduction of dogs and cats:
 - (a) the breeding between parents and offspring, between siblings, between halfsiblings or between grandparents and grandchildren, unless approved by the
 competent authority based on a specific need to preserve local breeds with a
 limited genetic pool;
 - (b) the breeding to produce hybrids.

Obligation to nNotificationy and registration of the breeding or keeping of dogs and cats in establishments

- 1. Operators and natural or legal persons responsible for shelters-shall notify to-the competent authorities of their activity, providing at least the following information:
 - (a) the identity, name and address of the operator;
 - (b) the location(\underline{s}) of the establishment(\underline{s});
 - (c) the type(s) of establishment: breeding establishment, pet shopselling establishment, or shelter or foster home;
 - (d) the species <u>and, for breeding establishments, breeds of the dogs or cats</u> and <u>breed, where relevant, of the animals</u> kept in the establishment(s);
 - (e) <u>the capacity of the establishment expressed as</u> the maximum number of <u>dogs</u> <u>and catsanimals</u> which can be kept in the establishment(s);
 - (f) for breeding establishments, the estimated number of litters to be placed on the market per year.
- 2. Operators shall notify the competent authority of:
 - (a) any changes concerning the information referred to in paragraph 1;
 - (b) any cessation of activity.

- 3. Member States may use the information provided for in accordance with Article 84 of Regulation (EU) 2016/429. In such a case the operators are exempted from the obligation provided for in paragraph 1 of this Article.
- 4. The competent authority shall maintain a register of establishments and may use for this purpose the register provided for in point (a) of paragraph 1 of Article 101 of Regulation (EU) 2016/429.

Article 7a

Approval of breeding establishments

- 1. Operators of breeding establishments that either produce or intend to produce more than five litters per calendar year or that keep more than five bitches or queens at any given time shall only place dogs or cats on the market after the approval of their establishment by the competent authority.
- 2. The competent authority shall perform on-site inspection to verify that the

 establishment meets the requirements of this Regulation. Member States may allow
 such inspection to be carried out by means of distance communication provided that
 the means used provide sufficient evidence for the competent authority to perform
 reliable inspections. The competent authority shall only grant a certificate of
 approval to a breeding establishment that meets the requirements of this Regulation.
- 3. The competent authorities shall maintain a list of the approved breeding establishments and make it publicly available.

Article 8

Obligation of informing on responsible ownership

1. When o Operators and natural or legal persons responsible for shelters place on the market or supply dogs or cats for purposes of keeping them as pet animals, they shall provide to the acquirer of a dog or a catpet the written information necessary to enable him or her them to ensure the welfare of the animal dog or cat, including information on responsible ownership and on the specific needs of the dog or cat in terms of feeding, caring, health, housing and behavioural needs, as well as information on its health. including vaccination status.

2. When operators of breeding and selling establishments advertise the placing on the market supplying of a dogs and or a cats is advertised via online means, the listing at least the following warning shall be displayed, in clearly visible and bold characters, the following warning:

"An animal is not a toy. Buying or adopting an animal is a life-changing decision. As an animal owner, you are obliged it is your responsibility to ensure its health and welfare that all the needs for its health and well-being are met at all times."

If advertising via online platforms, the providers of online platforms shall ensure that their online interface is designed and organised in such a way to facilitate the operators displaying the warning.

Nothing in this paragraph shall be construed as imposing a general monitoring obligation on the provider of the online platform within the meaning of Article 8 of Regulation (EU) 2022/2065.

Article 9

Animal welfare competences of animal caretakers

- 1. Animal caretakers, other than volunteers in shelters and interns who are supervised by a competent animal caretaker, shall have the following competences as regards the dogs and cats they are handling:
 - (a) understanding of their biological behaviour and their physiological and ethological needs;
 - (b) ability to recognise their expressions including any sign of suffering and to identify and-take the appropriate mitigating measures to be taken in such cases;
 - (c) ability to apply good animal management practices, to use and maintain the equipment used for the <u>dogs or cats</u>species under their care and to minimisze any risks to the welfare of the <u>animalsdogs or cats</u>;
 - (d) knowledge of their obligations under this Regulation.

- 2. The competences referred to in paragraph 1 may be acquired through **formal** education, training or professional experience. **Such formal Eeducation**, training or professional experience shall be documented.
 - At least one animal caretaker, other than a volunteer or intern, at the establishment shall complete the training courses referred to in Article 18 and transfer the knowledge to the other animal caretakers of the establishment.
- 3. The Commission mayshall, by means of implementing acts, lay down minimum requirements concerning the content of the formal education, training or professional experience in order to acquire the competences referred to in paragraph 2. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 24.

Advisory Animal welfare visits

- 1. Operators and natural or legal persons responsible for shelters shall:
 - (a) ensure that the establishments under their responsibility receive, at least once a year, a visit by a veterinarian within the first year after the date of application of this Regulation or within the first year after having notified a new establishment, for the purpose of identifying and assessing any risk factor for the welfare of the dogs or cats and advising the operator or the natural or legal person responsible for the shelter on measures to address those risks any risk factor for animal welfare.; thereafter the visits from a veterinarian shall take place when appropriate, based on a risk analysis by the competent authorities; Member States may provide for that the advisory welfare visits are annual;
 - (b) <u>keep the</u> record<u>s of</u> the findings of the visit of the veterinarian referred to in point (a) and <u>of</u> their follow up actions and keep those records for at least <u>63</u> years, <u>from the</u> <u>day of the visit, and shall</u> mak<u>eing</u> them available to the competent authorities upon request.

2. The Commission is empowered to shall adopt delegated implementing acts in accordance with Article 23 supplementing this Article to lay down minimum criteria to be assessed identify and assess the risk factors by the veterinarian during the advisory animal welfare visits. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 24.

Article 11

Feeding and watering

- 1. Operators and natural or legal persons responsible for shelters shall ensure that dogs and or cats are fed in accordance with the requirements laid down in point 1 of Annex I and may, based on the written advice of a veterinarian or an animal nutrition expert, adjust the feeding frequencies provided for in point 1 of Annex I.
- 2. Operators and natural or legal persons responsible for shelters shall ensure that dogs and or cats are adequately fed and hydrated by supplying:
 - (a) potable clean and fresh water, ad libitum;
 - (b) feed in sufficient quantity and quality to meet the physiological, nutritional and metabolic needs and satiety of the dogs and cats, as part of a diet adapted to the age, breed, category, activity level, and health status of the dogs and cats, with the overall objective of achieving and maintaining good body condition and general health;
 - (c) feed free of substances which may eause suffering be detrimental to the welfare of the dogs or cats;
 - (d) feed in such a way as to avoid abrupt changes <u>in diet</u> and ensure a well-functioning gastro-intestinal system, in particular during the weaning phase.

- 3. Operators and natural or legal persons responsible for shelters shall ensure that feeding and wateringdrinking facilities are kept clean and are constructed and installed in such a way as to:
 - (a) provide equal access to <u>adequate amounts of feed and water for</u> all dogs andor cats, thus minimizsing competition between them, <u>and</u> avoiding agonistic behaviour, in particular where dogs and cats do not have ad libitum access to feed;
 - (b) minimizse spillage and prevent the contamination of feed and water with harmful substances physical, chemical or biological contaminants;
 - (c) prevent injury, drowning or other harm to the dogs and or cats;
 - (d) be easily cleaned and disinfected to prevent the spread of diseases.
- 4. Based on written advice of a veterinarian, the operators may adjust the feeding and watering requirements. The operators shall keep a record of the advice for its entire duration as advised by the veterinarian.

Housing

1. Operators shall ensure that dogs andor cats are provided with housing in accordance with point 2 of Annex I, as applicable.

- 2. Operators and natural or legal persons responsible for shelters shall ensure that:
 - (a) the establishments where <u>dogs or cats</u>animals are kept and the equipment used therein are suitable for the types and the number of dogs <u>andor</u> cats <u>kept in these</u> <u>establishments</u>, and allow the necessary access <u>to</u> and a thorough inspection of all dogs <u>andor</u> cats;
 - (b) all building components of the establishment, including the flooring, roof, and space divisions, as well as the equipment used for dogs andor cats, are constructed and maintained properly, and are kepteasily cleaned and disinfected to ensure that they do not pose any risks to the welfare of the animalsdogs or cats;
 - (c) <u>in breeding and selling establishments</u> where <u>animalsdogs or cats</u> are kept <u>indoors</u> in breeding establishments or pet shops, air circulation, dust levels, temperature, relative air humidity and gas concentrations are kept within limits which are not harmful to dogs andor cats and that ventilation is sufficient to avoid overheating and, where necessary, in combination with heating systems, to remove excessive moisture;
 - (d) dogs andor cats have enough space to be able to move around freely and to express species-specific behaviour according to their needs, with sufficient space for enrichment material and structures, and a possibility for animals to socialise and withdraw, and clean resting places;
 - (da) dogs or cats have clean, soft and dry resting places, sufficiently large and
 numerous to ensure that all of them can lie down and rest at the same time in a
 natural position;
 - (e) <u>appropriate structures and measures are in place for dogs andor</u> cats kept outdoors are to protected them from adverse negative climatic conditions, including thermal stress, sunburn and frostbite.

- 3. Operators shall not keep Keeping dogs or cats in containers shall be prohibited.
 - By way of derogation, cContainers may only be used for the transport, and shorttermtemporary isolation of individual dogs and cats, and during participation in shows, exhibitions and competitions of dogs and cats, provided that stress due to extreme temperatures is minimised and suffering is avoided, and the dogs or cats are able to stand and lie down in a natural position.
- 4. Operators shall not keep Keeping dogs older than 12 weeks exclusively indoors shall be prohibited. Such dDogs kept indoors shall have daily access to an outdoor area, or be walked daily, that to allows exercise, exploration and socialisation, as laid down in point 2.2.a of Annex I. The operator may only derogate from these requirements based on written medical advice of a veterinarian.

In addition, when dogs are kept in kennels, operators shall design and construct individual enclosures to allow dogs to have free access to a confined outdoor space and an indoor space or an individual hut.

- 5. When cats are kept in catteries, operators shall design and construct individual enclosures to allow cats to move around freely and to express their natural behaviour.
- 6. Operators <u>of breeding and selling establishments</u> shall ensure that a thermoneutral zone appropriate for <u>eats or dogs <u>or cats</u> is maintained in indoor areas where they are kept.</u>
- 6a. Operators of breeding and selling establishments shall use, where necessary, heating or cooling systems to maintain good air quality, an appropriate temperature in indoor enclosures at their establishments and remove excessive moisture.
- 7. Operators shall ensure that dogs and or cats are exposed have access to natural light at all times and are able to stay in the dark for sufficient and uninterrupted periods in order to maintain a normal circadian rhythm.
 - For the purposes of the first subparagraph, 'light' means natural light,

 complemented, .- Wwhere needed, due to the climatic conditions and geographic position
 of a Member State, operators shall provide by artificial light.

- 7a. Point (da) of paragraph 2 and paragraph 4 shall not apply to breeding establishments where livestock guardian dogs are kept during the periods when such dogs are used for herding or training purposes.
- 8. This Article applies from [5 years from the date of entry into force of this Regulation].

Health

- 1. Operators shall ensure that measures are taken to safeguard the health of dogs and cats in accordance with point 3 of Annex 1.
- 2. Operators and natural or legal persons responsible for shelters shall ensure that:
 - (a) dogs and or cats under their responsibility are inspected by animal caretakers at least twiceonee a day and vulnerable dogs and cats, such as newborns, ill or injured dogs and cats, and peri-partum bitches and queens, are inspected more frequently;
 - (b) dogs or cats with signs of disease, sickness, injury or otherwise compromised welfare are, where necessary, transferred, where required, without undue delay to a separate area and, where needed, receive appropriate treatment are treated by a veterinarian, where appropriate, and kept there until they are fully recovered;
 - (ba) or, alternatively euthanised where, in cases referred to in point (b), recovery is not

 achievable and the dogs or cats experience severe pain or suffering that cannot

 be alleviated, they are euthanised by a veterinarian without undue delay;
 - (c) the euthanasia of a dog or a cat is only performed by a veterinarian.
 - (d) measures including veterinary medication are in place to prevent and control external and internal parasites, including preventive veterinary treatments and vaccinations to prevent common diseases to which dogs or cats are likely to be exposed are implemented with due regard to the epidemiological situation;
 - (e) enrichment materials do not present any risk of injury or biological or chemical contamination or any other health risk.

Point (a) shall not apply to breeding establishments where livestock guardian dogs are kept during the periods when such dogs are used for herding or training purposes.

- 3. Operators <u>of breeding establishments</u> shall ensure that:
 - (0a) measures are taken to safeguard the health of dogs or cats in accordance with point 3 of Annex I;
 - (a) bitches and or queens are only bred if they have a minimum age in accordance with point 3 of Annex I point 3.1 and 3.2, their skeletal growth is completed, and they have no diagnosed are free from disease, clinical sign of diseases, or physical conditions which could negatively impact their pregnancy and welfare;
 - (b) litter-giving pregnancies of bitches andor queens follows a maximum frequency in accordance with point 3 of Annex I;
 - (c) lactating queens are not mated or inseminated;
 - (d) any bitch aged 8 years or more and any queen aged 6 years or more shall, before being used for breeding, undergo a physical examination by a veterinarian, to confirm in writing that her pregnancy will not pose any risk to her welfare, including her health.
 - (e) adult female dogs and cats which are no longer used for reproduction, including as a result of the provisions of this Regulation, are either kept by the operator or sold, donated or rehomed not killed or abandoned. Operators shall continue to ensure the welfare of those animals in accordance with Regulation.

The operator shall keep the written confirmation referred to in point (d) for a period of at least 3 years after the death of the bitch or the queen.

4. This Article shall apply from [5 years from the date of entry into force of this Regulation].

Behavioural needs

- Operators and natural or legal persons responsible for shelters shall ensure that measures
 are taken to meet the behavioural needs of eats and dogs or cats in accordance with point 4
 of Annex I.
- 2. <u>Operators shall not kKeeping</u> dogs and or cats in areas restraining their natural movements shall be prohibited, except in case of Article 12(3), second sub-paragraph, and for performing the following procedures or treatments:
 - (a) physical examinations, including identification of the animals;
 - (b) marking individual identification of dogs or cats and reading the identification information animals for identification purposes;
 - (c) collection of samples and vaccinations;
 - (d) procedures for **grooming**, hygienic, health or reproductive purposes **other than mating**;
 - (e) medical treatment, including surgical treatment or prescribed rehabilitation.
- 3. Tethering within the premises of the establishment for more than 1 hour shall be prohibited, except for the duration of a medical treatment or participation in shows, exhibitions and competitions of dogs and cats.
- 4. Operators and natural or legal persons responsible for shelters shall ensure that conditions are in place to allow <u>dogs or catsanimals</u> to express social non-harmful behaviours, species-specific behaviours and the possibility to experience positive emotions.
- 5. Operators of breeding establishments shall ensure that an appropriate strategy is in place to dogs or cats can safely socialise in accordance with point 4 of Annex I animals with humans, and where possible, with other animals. Operators of breeding establishments shall document their strategy for such socialisation.

6. Operators shall ensure that enrichment is provided and accessible to all dogs or cats, creating a stimulating environment, enabling species-specific behaviour and reducing their frustration.

Article 15

Painful practices

1. Operators shall ensure that Mmutilations, including ear cropping, tail docking, claw removal or other partial or complete digit amputation, and resection of vocal cords or folds, shall be are not performed prohibited unless they are performed due to respond to a medical indication with the sole purpose of improving the health of the dogs and or the cats. In such case, the procedure shall only be performed by a veterinarian under anaesthesia and prolonged analgesia by a veterinarian.

The medical indication for the mutilation and details of procedure carried out shall be documented by a veterinarian. This document shall be retained by the operator until the dog or cat, along with this document, are transferred to another establishment or owner. The operator of the establishment responsible for the dog or cat when the mutilation was performed by the veterinarian shall retain a copy of the document for three years.

By way of derogation, Member States may allow ear cropping by notching or tipping cat ears in the context of marking stray cats when neutered under a trap-neuter-return programme.

Male and female Operators shall ensure that neutering shall be is permitted only if performed by a veterinarian under anaesthesia and prolonged analgesia by a veterinarian.
 By way of derogation, Member States may allow that the neutering of male cats is performed by a licensed veterinary nurse.

- 3. The following Operators shall ensure that handling practices that cause pain or suffering are not performed, including shall be prohibited:
 - (a) tying up **of** body parts continuously;
 - (b) kicking, hitting, dragging, throwing, squeezing dogs or catsanimals;
 - (c) exposing applying electric current to dogs andor catsto an electric current;
 - (d) prolonged use<u>ing</u> of muzzles, unless required for health or welfare reasons, in which case the duration shall be limited to the minimum period necessary;

(da) using prong collars;

- (db) using choke collars without safety stop;
- (e) lifting dogs or cats by the limbs, the head, ears, the tail orand the hair.
- 4. By way of derogation from paragraph 3:
 - (a) the practices referred to in points (a), (c) and (d) of paragraph 3 may be performed for medical reasons;
 - (b) the practice referred to in point (d) of paragraph 3 may be performed for the reason to secure the welfare of dogs or cats when necessary, or for public health or animal safety reasons in which cases the duration shall be limited to the minimum time necessary and the dog or cat shall be supervised.

Member States may grant derogations from paragraph 3 to operators keeping in their breeding or selling establishments dogs intended for use in military, police and customs services.

Article 15a

Aesthetic shows, exhibitions and competitions

- 1. Operators of breeding and selling establishments shall not use in aesthetic shows,

 exhibitions and competitions of dogs and cats, dogs or cats with excessive

 conformational traits or dogs or cats which have been mutilated in such a way that
 results in an alteration of physical characteristics.
- 2. Organisers of aesthetic shows, exhibitions and competitions of dogs and cats shall exclude from such shows, exhibitions and competitions dogs and cats which have excessive conformational traits or dogs or cats which have been mutilated in such a way that results in an alteration of physical characteristics.
- 3. The Commission shall provide for appropriate transitional measures when adopting the delegated acts referred to in paragraph 3 of Article 6a.

Article 16

Approval of breeding establishments

- 1. From [5 years from the date of entry into force of this Regulation], operators shall obtain approval of their breeding establishment from the competent authoritybefore selling dogs and cats born in their establishment.
- The competent authority shall grant a certificate of approval for a breeding establishment, provided that, prior on-site inspection has confirmed that the establishment meets the requirements of this Regulation.
- The competent authorities shall maintain a list of the approved breeding establishments and make it publicly available.

CHAPTER III

IDENTIFICATION AND REGISTRATION OF DOGS AND CATS

Article 17

Identification and registration of dogs and cats

1. From [3 years from the date of entry into force], aAll dogs and cats kept in establishments for supply in the Union, including adult dogs and cats kept in breeding establishments, dogs and cats kept in shelters, and all dogs and cats placed on the market supplied by natural persons, shall be individually marked for identified cation by means of an injectable subcutaneous transponder containing a microchip, in accordance compliant with Annex II.

<u>All Oo</u>perators of establishments shall ensure that dogs and cats born in their establishments are <u>individually</u>marked for identified eation by the date of their supply in the Union or at the latest within 3 months after the <u>ir</u> birth of the animal and in any event before the date of their placing on the market.

Operators of selling establishments, shelters and those responsible for unwanted, abandoned, stray, lost or confiscated dogs or cats shall ensure that dogs and cats that enter their establishments or come under their responsability are individually identified within 30 days after their arrival at the establishment and in any event before the date of their placing on the market.

Natural or legal persons, other than operators, placing dogs or cats on the market shall ensure that they are individually identified before the date of their placing on the market.

The implantation of the transponder shall be performed by a veterinarian or under the responsibility of a veterinarian. Member States may allow the implantation of transponders by other persons than veterinarians provided that they have laid down rules on the minimum qualifications that such persons are required to have.

Dogs and cats which have been individually identified by means of an injectable transponder containing a microchip, in accordance with Union or national law before [the date of application of this Regulation], shall be considered compliant with the requirements in this paragraph, provided that the microchip is readable.

2. From [3 years from the date of entry into force], Within two working days after their identification, the dogs and cats identified in accordance with paragraph 1 shall be registered by athe veterinarian, or an assistant under the responsibility of the veterinarian, in a national database referred to in Article 19. Member States may allow the registration by other persons than veterinarians, provided that they have measures in place to ensure the accuracy of information inserted in the database. For dogs and cats kept in breeding establishments, the registration shall be made in the name of the operatorowner of the breeding establishment responsible for the dog or the cat. For dogs and cats kept in shelters, the registration shall be made in the name of the person responsible of the shelter. For natural persons intending to placing a dog or a cat on the market supply a dog or a cat in the Union, the registration shall be made in the name of that person.

Member States may grant derogations from the first subparagraph of this paragraph to operators of establishments keeping military, police and customs dogs.

In case of transfer of ownership or responsibility, the natural or legal person placing the dog or cat on the market Any subsequent owner of, or responsible for, the dog or the eat, shall ensure that the any change of ownership or responsibility is recorded in the database referred to in Article 19, within two weeks from the date of change of ownership or responsibility, in accordance with the conditions laid down by the Member State responsible for that database.

In the case of a death of a dog or a cat kept in an establishment, the operator shall ensure that the death is recorded in the database referred to in Article 19, in accordance with the conditions laid down by the Member State responsible for that database.

- 3. From [3 years from the date of entry into force], bBefore supplying placing a dog or a cat on the market in the Union, the supplier natural or legal person placing the dog or cat on the market shall provide to the acquirer of the animal:
 - (a) proof of the identification and registration of the animal dog or cat in compliance with paragraphs 1 and 2, and the weblink to the system referred to in paragraph
 6;
 - (b) the following information on the dog or cat:
 - (i) its species;
 - (ii) its sex;
 - (iii) its date and country of birth; and
 - (iv) where relevant, its breed.

Acquirers shall be able to verify the authenticity of the identification and registration of animals supplied dogs or cats through the system referred to in paragraph 76.

4. From [5 years from the date of entry into force], pProviders of online platforms shall ensure that their online interface is designed and organised in such a way that allows facilitates the suppliers natural or legal persons placing dogs or cats on the market of dogs and cats to comply with their obligations under paragraph 3 of this Article, in line with Article 31 of Regulation (EU) 2022/2065, and shall inform acquirers, in a visible manner, of the possibility to verify the identification and registration of the animal dog or cat through a weblink to the system referred to in paragraph 6 of this Article.

The suppliernatural or legal person placing dogs or cats on the market of dogs and cats shall be the solely responsible for the accuracy of the information provided through the interface of the online platform. Nothing in this paragraph shall be construed as imposing a general monitoring obligation on the provider of the online platform within the meaning of Article 8 of Regulation (EU) 2022/2065.

- 5. The Commission shall adopt implementing acts specifying the information to be provided by suppliers as proof of identification and registration of the animal in accordance with paragraph 3(a), both in cases where the dogs and cats are offered through online platforms and by other means. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 24.
- 6. From [3 years from the date of entry into force], tThe Commission shall ensure that an online system performing automated checks of the authenticity of the identification and registration of dogs or cats suppliedplaced on the market, using the database referred to in Article 19, is publicly available free of charge. The Commission may entrust the development, maintenance and operation of this system to an independent entity, following a public selection process, pursuant to the relevant provisions of Title VII of Regulation (EU, Euratom) 2018/1046. The system shall meet the following criteria:
 - -(a) reliable verification of the authenticity of the identification and registration of the dog or cat using the national databases referred to in Article 19;
 - -(b) compliance with data protection in accordance with Regulation (EU) 2018/17259 and Regulation (EU) 2016/67910.

Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, OJ L 295, 21.11.2018, p. 39.

Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation); OJ L 119, 4.5.2016, p. 1.

- 7. By the [3 years from the date of entry into force], tThe Commission shall adopt implementing acts specifying laying down:
 - (a) based on the content of the databases referred to in Article 19(3), point a, the exact information to be provided by natural and legal persons placing dogs or cats on the market, as proof of identification and registration of the dogs and cats in accordance with point (a) of paragraph 3, both in cases where the dogs and cats are offered through online platforms and by other means;
 - (b) the following aspects of the system referred to in paragraph 6:
 the key functionalities of the system;
 the technical, electronic and cryptographic requirements for the system.

The implementing acts referred to in point (a) shall be adopted by [the date of application of this Regulation] and the implementing act referred to in point (b) shall be adopted by [3 years from date of entry into force of this Regulation].

Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 24.

CHAPTER IV COMPETENT AUTHORITIES

Article 18

Training

For the purposes of Article 9 Member States shall designate the competent authorityies responsible for:

- (a) ensuring that training courses are available for animal caretakers;
- (b) approving the content of the <u>training</u> courses referred to in point (a) <u>taking into account</u>

 the minimum requirements laid down by the implementing acts referred to in Article

 9(3);-
- (c) certifying the animal caretakers who successfully completed the training courses referred to in point (a).

The competent authorities may delegate the task referred to in point (c).

Article 19

Databases of dogs and cats

- 1. From [3 years from the date of entry into force of this Regulation], The competent authorities shall establish and maintain a databases for the registration of microchipped dogs and cats registered in accordance with Article 17(2) and Article 21(4).
 - For that purpose, competent authorities may use databases maintained by the competent authorities of another Member State, based on appropriate arrangements between those competent authorities.

- 2. From [5 years from date of entry into force of this Regulation], The competent authorities of Member States shall ensure that their databases as referred to in paragraph 1 comply with the requirements set up by the implementing act referred to in point (b) of paragraph 3 to ensure their interoperability are interoperable with the same databases of other Member States so that the identification of a dog or a cat can be authenticated and traced across the Union.
- 2a. The Commission shall establish and maintain an index database containing the identification numbers of the microchips of the dogs and cats and the national databases where the identification details are stored, without accessing personal data.

 The Commission may entrust the development, maintenance and operation of this index database to an independent entity, following a public selection process, pursuant to the relevant provisions of Title VII of the Regulation (EU, Euratom) 2018/1046.

- 3. By [the date of application], t<u>T</u>he Commission shall, by means of implementing acts, lay down <u>provisions</u>requirements on the databases as referred to in paragraph 1 concerning:
 - (a) their content of the databases referred to in paragraph 1;
 - (b) their interoperability between Member States <u>databases</u> and the index database;
 - (c) their functionality for providing proof of the identification and registration of a dog or a cat, as referred to in **point (a) of aA**rticle 17 (3) point (a):
 - (d) thea registry where Member States will declare their databases, and the necessary parameters for connecting those databases with one another according to in accordance with the provisions established under pursuant to point (b);
 - (e) the access to be given to the system referred to in Article 17(6) for the purpose of confirming the authenticity of the identification and registration of the dogs and cats;
 - (f) provisions on data protection pursuant to the requirements of Regulation (EU) 2018/1725 and Regulation (EU) 2016/679:
 - (fa) the interconnection between the Member States databases referred to in paragraph 1 and the Information Management System for Official Controls (IMSOC), where relevant.

The Commission shall adopt those implementing acts by [the date of application of this Regulation].

Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 24.

Collection of data on animal welfare and reporting

- 1. The competent authorities shall collect, analyse and publish the data set out in Annex III.
- 2. The competent authorities shall draw up and transmit to the Commission a report <u>in</u> <u>electronic</u>, on machine readable form, on the data referred to in paragraph 1set out in <u>Annex III</u>, by 31 August every 3 years <u>starting</u> from [6 years from the date of entry into force <u>of this Regulation</u>], summarising the data gathered <u>for</u> the previous <u>3 years</u>.
- 3. The Commission may, by means of implementing acts, establish a harmonised methodology for collecting the data referred to in paragraph 1set out in Annex III and establish athe template for the report referred to in paragraph 2 of this Article. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 24.

Article 20a

Data protection

1. The competent authorities of the Member States shall be controllers within the meaning of Regulation (EU) 2016/679 in relation to the processing of personal data collected under Article 7, Article 7a and Article 19(1) of this Regulation.

The Commission shall be controller within the meaning of Regulation (EU) 2018/1725 in relation to the processing of personal data collected under the second subparagraph of Article 21(4) of this Regulation.

It shall be prohibited for any person having access to the personal data referred to in the first and second sub-paragraphs to divulge any personal data, the knowledge of which was acquired in the exercise of their duties or otherwise incidentally to such exercise. Member States and the Commission shall take all appropriate measures to address infringements of that prohibition.

The personal data collected under the first and second sub-paragraphs shall not be used for other purposes than official control of the compliance with the welfare and traceability requirements under this regulation and detection of fraudulent practices with a view to the adoption of control measures.

- 2. That personal data referred to in paragraph 1 of this Article shall be retained for the following periods:
 - (a) in case of Article 7 and Article 7a, 10 years after the date of cessation of the activity of the establishment;
 - (b) in case of Article 19(1), 30 years after the first registration of the dog or cat in the database referred to in that Article or 5 years after the recording of the death of the dog or cat in that database;
 - (c) in case of the second subparagraph of Article 21(4a), 5 years after the date of pre-notification.

CHAPTER V

ENTRY OF DOGS AND CATS INTO THE UNION

Article 21

Entry of dogs and cats into the Union

- 1. From [5 years from the date of entry into force of this Regulation], dDogs and cats may only be entered into the Union for placing on the Union market provided that the following conditions are met:
 - (a) if they have been <u>bred and</u> kept in compliance with any of the following:
 - (ai) Chapters II of this Regulation;
 - (bii) conditions recognised by the Union in accordance with Article 129 of

 Regulation (EU) 2017/625 to be equivalent to those set out by Chapter II of this Regulation; or
 - (eiii) where applicable, requirements contained in a specific agreement between the Union and the exporting country.
 - (b)2. From [5 years from date of entry into force of this Regulation], dogs and cats may only be entered into the Union for placing on the market or supply provided that they come from a third country or territory and an establishment listed in accordance with Articles 126 and 127 of Regulation (EU) 2017/625.
- 3. From [date of entry into force of this Regulation + 5 years], tThe official certificate

 referred to in Article 126(2)(c) of Regulation (EU) 2017/625, accompanying dogs and
 cats entering into the Union from third countries and territories to be placed on the Union

 market, shall contain an attestation certifying compliance with paragraphs 1 of this

 Article and confirming that the dogs and cats originate from an establishment listed in
 accordance with paragraph 2.

4. Without prejudice to Article 10(1)(a) of Regulation (EU) No 576/2013 and Article 74(1) of Regulation (EU) 2020/692^H, dDogs and cats entering into the Union to be placed on the Union market, shall be identified before their entry by a veterinarian with a microchip compliant with Annex II as referred to in Article 17(1) and allowing for traceability. The importer shall ensure the registration of dogs and cats by a veterinarian into a national database, referred to in Article 19, within 5 working days after their entry into the Union. Member States may allow the registration by other persons than veterinarians, provided that they have measures in place to ensure the accuracy of information inserted in the database.

In case the dogs or cats entering into the Union are not yet registered in a Member State database as referred to in Article 19(1), once they arrive at their place of destination, the owner or person responsible for the animal shall ensure their registration into one of the Member States databases within 48 hours of arrival.

- 4a. The entry of dogs and cats into the Union under a non-commercial movement, as

 defined in point 14 of Article 4 of Regulation (EU) 2016/429, shall be pre-notified by

 their owners into an online Union pet travellers' database at least 5 working days

 before the crossing of the Union border, except in the following cases:
 - (a) dogs or cats entering into the Union directly from third countries listed in accordance with Article 13(1) of Regulation (EU) No 576/2013;
 - (b) dogs or cats registered in a Member State database referred to in Article 19(1).

The owner shall pre-notify their identity and where relevant the identity of the authorised person travelling with the dog or cat, the identification number of the microchip of the dog or cat, its main destination in the Union, and where relevant the planned date and place of exit from the Union. Where the dog or cat stays more than four months in the Union, the owner shall ensure its registration in the database of the Member State of residence within 5 working days after the expiry of the fourth month.

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Commission Delegated Regulation (EU) 2020/692 of 30 January 2020 supplementing Regulation (EU) 2016/429 of the European Parliament and of the Council as regards rules for entry into the Union, and the movement and handling after entry of consignments of certain animals, germinal products and products of animal origin, OJ L 174, 3.6.2020, p. 379.

The Commission shall establish and maintain the Union pet travellers' database referred to in the second subparagraph, and may entrust the development, maintenance and operation of this database to an independent entity, following a public selection process, pursuant to the relevant provisions of Title VII of the Regulation (EU, Euratom) 2018/1046. Access to this database shall be restricted to Member States' competent authorities.

5. The Commission is empowered, by means of implementing acts, to establish a procedure for the recognition by the Union of equivalent conditions under point paragraph 1 point (b). Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 24.

CHAPTER VI PROCEDURAL PROVISIONS

Article 22

Amendment to the Annexes

The Commission is empowered to adopt delegated acts in accordance with Article 23 amending the Annexes to this Regulation to take into account of scientific and technical progress, including, when relevant, scientific opinions of **the European Food Safety Authority** EFSA, and of social, economic and environmental impacts, as regards:

(aa) the sufficient number of animal caretakers in establishments;

- (a) watering and feeding requirements frequencies and weaning process;
- (b) temperature ranges;
- (c) lighting requirementsparameters;

(ca) air quality requirements;

(d) kennel and cattery design;

(da) group housing;

- (e) feeding and watering equipment design;
- (f) space allowances for different categories of dogs and cats;
- (g) frequency of pregnancies;
- (h) minimum and maximum age of bitches and queens for onset of breeding;
- (i) socialisation, enrichment and other measures for meeting behavioural needs of dogs and cats;

- requirements for transponders used to markindividually identify dogs and cats;
- (k) data to be collected for policy monitoring and evaluation.

Any additions of requirements in the Annexes shall be based on updated scientific or technical evidence, in particular regarding the specific conditions needed to ensure the welfare of the dogs and cats covered by the scope of this Regulation. Where relevant, those delegated acts shall <u>take</u> <u>into account social and economic impacts and</u> provide for sufficient transition periods to allow for operators <u>concerned impacted</u> to adapt to the new requirements.

Article 23

Exercise of the delegation

- 1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.
- 2. The power to adopt delegated acts referred to in Article 6(2b4), Article 6a(3), Article 10(2) and Article 22 shall be conferred on the Commission for an indeterminate period of time from [the date of entry into force of this Regulation].
- 3. The delegation of power referred to in Article 6(2b4), Article 6a(3), Article 10(2) and Article 22 may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.
- 4. Before adopting a delegated act, the Commission shall consult experts designated by each Member State in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making.
- 5. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.

6. A delegated act adopted pursuant to Article 6(<u>2b</u>4), <u>Article 6a(3)</u>, <u>Article 10(2)</u> and Article 22 shall enter into force only if no objection has been expressed either by the European Parliament or by the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council.

Article 24

Committee procedure

- 1. The Commission shall be assisted by the Standing Committee on Plants, Animals, Food and Feed established by Article 58(1) of Regulation (EC) No 178/2002. That Committee shall be a committee within the meaning of Regulation (EU) No 182/2011.
- 2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply.
 - Where the <u>Cc</u>ommittee delivers no opinion, the Commission shall not adopt the draft implementing act and Article 5(4), third subparagraph, of Regulation (EU) No 182/2011 shall apply.

CHAPTER VII

STRICTER NATIONAL MEASURES AND FINAL PROVISIONS

Article 25

Stricter national measures

1. This Regulation shall not prevent Member States from maintaining <u>or adopting</u> any stricter national rules aimed at a more extensive protection of the welfare of dogs and cats <u>kept in establishments and their traceability</u> and in force at the time of entry into force of this Regulation, provided that those rules are not inconsistent with this Regulation and do not interfere with the proper functioning of the internal market. Before [the date of application of this Regulation],

Member States shall inform the Commission about such <u>existing</u> national rules <u>by [the date of application of this Regulation]</u> and shall inform the Commission about such new national rules before their adoption, unless Member States have notified the <u>draft national rules in accordance with Directive (EU) 2015/1535</u>. The Commission shall bring them to the attention of the other Member States.

- 2. This Regulation shall not prevent Member States from adopting stricter national measures aimed at ensuring more extensive protection of the welfare of dogs and cats kept in establishments within the territory of a Member State on the following animal welfare issues:
 - (a) housing conditions;
 - (b) mutilations;
 - (c) enrichment;
 - (d) selection and breeding programmes, including minimum and maximum age for breeding.

Member States shall inform the Commission about such national rules before their adoption. The Commission shall bring them to the attention of the other Member States.

- 3. The measures referred to in paragraph 2 shall only be allowed provided that they are not inconsistent with this Regulation and do not interfere with the proper functioning of the internal market.
- 4. Member States <u>that has stricter national rules referred to in paragraph 1</u> shall not prohibit or impede the placing on the market within <u>theirits</u> territory of dogs and cats kept in another Member State on the grounds that the dogs and cats concerned have not been kept in accordance with its stricter national ruleson animal welfare.

Reporting and evaluation

- 1. On the basis of the reports received in accordance with Article 20 and <u>anv</u> additional relevant information, the Commission shall publish, by [7 years after from the date of entry into force of this Regulation] and thereafter every 53 years, a monitoring report on the welfare of dogs and cats placed on the market in the Union.
- 2. By [15 years from the date of entry into force of this Regulation], the Commission shall carry out an evaluation of this Regulation, including an assessment of a possible maximum age for breeding of dogs and cats, and present a report on the main findings to the European Parliament, the Council, the European Economic and Social Committee, and the Committee of the Regions.
- 3. For the purposes of the reporting referred to in paragraphs 1 and 2, Member States shall provide the Commission with the information necessary for the preparation of those reports.

Article 27

Penalties

Member States shall lay down the rules on penalties applicable to infringements of this Regulation and shall take all measures necessary to ensure that they are implemented. The penalties provided for shall be effective, proportionate and dissuasive.

Member States shall notify the Commission of those rules and of those measures and shall notify it, without delay, of any subsequent amendment affecting them.

Entry into force and application

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

It shall apply from [2 years from the date of entry into force of this Regulation], unless otherwise provided for in this Regulationexcept:

- (i) Article 13 that shall apply from [3 years from the date of entry into force of this Regulation];
- (ii) second subparagraph of Article 9(2), Article 17(1) to (3) and Article 19(1) that shall apply from [4 years from the date of entry into force of this Regulation];
- (iii) Article 12, Article 17(4) and (6), Article 19(2) and (2a), and Article 21(1) to (4a) that shall apply from [5 years from the date of entry into force of this Regulation] and;
- (iv) Article 7a that shall apply from [8 years from the date of entry into force of this Regulation].

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels,

For the European Parliament
The President

For the Council

The President

ANNEX I

Requirements applicable to establishments

(pursuant to Articles 11 to 145)

1. Feeding and watering

- 1.1. The operator shall implement the following feeding frequencies:
 - (a) adult cats and dDogs and cats shall be fed at least twice aper day.; Puppies and kittens shall be fed more frequently.

These requirements shall not apply to breeding establishments where livestock guardian dogs are kept during the periods when such dogs are used for herding purposes.

- (b) pregnant bitches and queens shall have ad libitum access to feed;
- (c) puppies under 8 weeks of age shall be fed at least 5 times a day;
- (d) kittens under 12 weeks of age shall be fed at least 4 times a day.
- 1.2. Each Every new-born puppy or kitten shall be fed with colostrum during at least the first two days of its life, and thereafter with milk from their its mother or a lactating bitch or queen in the first two days of their lives. If this is not possible or not sufficient, the puppy or kitten shall be fed with a milk replacer designed for puppies and kittens with such feeding frequency as instructed by the producer of the replacer or by a veterinarian.
- 1.3. If the bitch or the queen is ill or is otherwise unable to feed her offspring, the operator shall provide milk from other bitches and queens at the same holding and supplementary milk formulas designed for puppies and kittens with the feeding frequency as instructed by the formula producer or a veterinarian, until weaning is completed.

- 1.4. The operator shall ensure that a<u>A</u>ll unweaned puppies and kittens are shall be fed-getting enough milk, milk replacer or a combination thereof to steadily gain bodyweight.
- 1.5. Weaning shall be performed with gradual introduction of firm feed, in a process not shorter than 7 days and shall not be completed before 6 weeks of age for puppies and kittens alike.

2. Housing

2.1. Temperature:

Operators shall ensure that In breeding establishments the temperature shall be is maintained within a range of:

- (a) 10 to 26°C in the indoor areas where adult dogs are kept;
- (b) 15 to 26°C in the indoor areas where adult cats are kept;
- (c) 22 to 28°C in whelping areas for the first 10 days of puppies' lives;
- (d) 18 to 27°C in kittening areas for the first 21 days of kittens' lives.

Temperature ranges shall be adapted accordingly for animals of brachycephalic breed/type and for animals with extreme coat types (hairless or heavy-coated breeds).

- 2.2. Lighting
 - 2.2.1. <u>Dogs and cats shall be exposed to light for at least 7 hours per day.</u> Where applicable, artificial lighting shall be provided for a period at least equivalent to the period of natural light normally available between 9 a.m. and 5 p.m.
 - 2.2.2. Artificial light shall be broad spectrum or full spectrum with a frequency of at least 80 Hertz.
 - 2.2.3. The illuminance shall be at least 50 lux at the height of an animal's head.
 - 2.2.4. Animals <u>Dogs and cats</u> shall have the possibility to <u>be without artificial</u> <u>lights stay in the dark</u> for at least 8 hours per day.

2.2.a Outdoor access for dogs

Dogs shall have daily access to an outdoor area for a minimum of 1 hour per day in total, or shall be walked daily, to allow exercise, exploration and socialisation.

- 2.3. Space allowances
 - 2.3.1 Minimum space allowances for dogs and cats (total accessible area, including indoor and confined outdoor space referred to in Article 11(5) where relevant):

Live weight	Area per animal	For each additional adult animal or for bitches and queens with litter	Minimum height (Where roofed)
Dogs of less or than	4 m ²	$+2 \text{ m}^2$	
10kg and cats			
Dogs of more than	6-m ²	+ 3 m ²	
10 kg and less than			
20 kg			1,80 m
Dogs of more than	8 m ²	$+4 \text{ m}^2$	1,00 111
20 kg and less than			
30 kg			
Dogs of more than	10 m²	+5 m ²	
30 kg			

- 2.3.2. Thew Whelping and kittening areas, pen must be provided for and shall be designed to permit the mother bitch to move away from her puppies offspring.
- 2.3.3. If enclosures are occupied by more than one dog or cat, operators must ensure by taking specific measures (e.g., separation panels) that these animals do not pose threat to each other due to aggressive behaviour.

2.3.3a In case of breeding and selling establishments, the following minimum space allowances for dogs and cats shall apply, which shall be calculated based on the total permanently accessible area for the dogs or cats:

Space allowand	ce for dog	s with or v	without lit	ters		
		Minimum height (m)				
Wither height (cm)	<30	30-39	40-59	60-70	> 70	
Area for one dog (m²)	4	4	5	8	10	
Area for each additional dog (m²)	3	3.5	4	5	6	2
Space allowand	ce for cat	s with or w	ithout litt	ers		
	Miı	Minimum height (m)				
Area for one cat (m²)						
Area for each additional cat (m²)		2				

*In case of purebred dogs, wither heights can be calculated on the basis of the standard wither height of the breed. When dogs of different wither heights are kept in one enclosure, only the column for the minimum surface area for the dog with the highest wither shall be used for the space allowance calculation for all dogs.

**The surface of the enrichment for cats is not included in the minimum ground surface area.

3. Health

- 3.1. Queens shall only be bred if their age is at least 12 months;
- 3.2. Bitches shall only be bred <u>as of their second oestrus</u>if their age is at least 18 months;

- 3.2.a Queens shall be bred only if their age is at least 10 months or they have reached the breed specific age of skeletal maturity.
- 3.3. Operators shall allow for up to 3 litters per <u>A</u> bitch or queen <u>shall not deliver more</u> than 3 litters within a period of 2 years.
- 3.4. For bitches After that have delivered 3 consecutive litters giving pregnancies, including stillborns, of a bitch or a queen within a period of 2 years, operators there shall ensure be a recuperation period by preventing pregnancies of the bitch or queen for a period of at least 1 year.
- 3.4.a Any bitch or queen that underwent two cesarean sections shall not be used for breeding.
- 3.4.b Any bitch aged 8 years or more and any queen aged 6 years or more, before being used for breeding, shall undergo a physical examination by a veterinarian, who confirms in writing that at the moment of the assessment, the examination does not reveal any counter-indication to the pregnancy.

The operator shall keep the written confirmation referred to in point 3.4.b for a period of at least 3 years.

- 4. Behavioural needs
 - 4.1. Socialisation

Operators shall ensure that:

(a)4.1.1 From three in the first 15 weeks of age, puppies and kittens dogs and cats shall be gradually provided with daily have regular opportunities for social contact with their conspecifics and humans, and, if where possible, with other animals species.

- 4.1.2 Dogs and cats that pose a threat to each other due to aggressive behaviour or cause each other undue stress or discomfort shall be kept separate.
- (b) where adult cats and dogs are placed in catteries or kennels, socialization with humans is provided, notably by regular visits and contact with the animals;
- (c) areas where cats and dogs are kept are equipped with enrichment structures and items accessible to all animals, providing a stimulating environment, and reducing frustration of animals;
- (d) puppies are not permanently separated from their mothers before the age of 8 weeks;
- (e) kittens are not permanently separated from their mothers before the age of 12 weeks

4.2. Enrichment

- 4.2.1 Enrichment shall not present a risk of injury or biological or chemical contamination or any other health risk.
- 4.2.2 Where cats are kept, there shall be a sufficient number of scratching posts, hiding places and shelves on different levels to ensure that each cat can climb, rest, observe and withdraw.

4.3 Separation

<u>Puppies kept in establishments, other than selling establishments, shall not be</u> <u>permanently separated from their mothers before the age of 8 weeks.</u>

<u>Kittens kept in shelters and foster homes shall not be permanently separated from their mothers before the age of 8 weeks. Kittens kept in breeding establishments shall not be permanently separated from their mothers before the age of 12 weeks.</u>

By way of derogation, earlier separation shall be possible due to medical reasons based on written advice of a veterinarian. The operator shall keep a record of the advice until the last puppy or kitten of the litter concerned is placed on the market.

ANNEX II

Identification and registration of dogs and cats

(pursuant to Article 17 and Article 21)

Transponders used to <u>individually identify</u> dogs and cats and dogs as required in Article 167 and Article 21 shall meet the following requirements:

- (a) the microchip shall contain an individual, non-repeatable and non-reprogrammable identification number;
- (b) the identification number shall identify the country of originidentification of the dog or catanimal;
- (c) code structure and technical concept of radio frequency identification shall be in compliance with ISO standards 11784 and 11785;
- (e)(d) compliance with ISO standards 11784 and 11785 shall be evaluated according to ISO standard 24631.

ANNEX III

Collection of data

(pursuant to Article 20)

- 1. Number of dogs and cats microchipped registered per year as referred to in Article 17 and Article 21(4).;
- 1a. Number of breeding establishments, selling establishments, shelters and foster homes registered per year in accordance with Article 7.
- 2. Number of breeding establishments approved per year as referred to in Article 167a.
- 2a. Number of breeding establishments whose approval has been suspended or withdrawn per year.