



Council of the
European Union

Brussels, 14 June 2019
(OR. en)

10285/19

**Interinstitutional File:
2018/0197(COD)**

**FSTR 110
REGIO 146
FC 50
CADREFIN 279
CODEC 1224**

'I' ITEM NOTE

From:	General Secretariat of the Council
To:	Permanent Representatives Committee
No. Cion doc.:	COM(2018) 372
Subject:	European Regional Development Fund and Cohesion Fund Regulation - Annexes

I. INTRODUCTION

1. On 30 May 2018, the Commission adopted its proposal for a Regulation on the European Regional Development Fund (ERDF) and on the Cohesion Fund¹.
2. The Economic and Social Committee and the Committee of the Regions have been consulted on the ERDF/Cohesion Fund Regulation and have adopted their opinions on 17 October and 5 December 2018, respectively.
3. The European Parliament voted its first reading position on the ERDF/Cohesion Fund Regulation on 27 March 2019.

¹ Doc. 9522/18 + ADD 1-2.

II. WORK WITHIN THE COUNCIL

4. The proposal for the ERDF/Cohesion Fund Regulation was presented to the Structural Measures Working Party (SMWP) in June 2018 during the Bulgarian Presidency.
5. Detailed examination of the text took place during the Austrian Presidency, as stated in their Presidency's Report (doc. 15428/1/18 REV).
6. On 13 February, under the Romanian Presidency, the Permanent Representatives Committee agreed on a partial mandate for negotiations on the ERDF/Cohesion Fund Regulation, as set out in doc.6147 ADD 2. Article 3 (Thematic concentration) was bracketed and thus excluded from such compromise pending further progress on the MFF discussions. The Annexes were also bracketed pending the work of the Evaluation Network.
7. On 27 May, at the Structural Measures Working Party, the Commission services presented the outcome of the work of the Evaluation Network on the ERDF/Cohesion Fund Annexes. Following that meeting, the Structural Measures Working Party scrutinised the Annexes once more, on 11 June.
8. Following the Structural Measures Working Party on 11 June, **the Presidency is of the view that, based on the principle that "nothing is agreed until everything is agreed", a balanced compromise text on the Annexes has emerged from discussions amongst Member States.** It is to be noted that the Annexes will have to be aligned at a later stage with the outcome of the inter-institutional negotiations.

III. WAY FORWARD

9. The Permanent Representatives Committee is, therefore, invited to agree on a partial mandate for negotiations with the European Parliament on the ERDF/Cohesion Fund Annexes and confirm the compromise proposed by the Presidency as set out in the Annex to this note.

ANNEX I

Common output and result indicators for ERDF and the Cohesion Fund - Article 7(1)²

Table 1: Common output and result indicators for ERDF (Investment for jobs and growth and Interreg) and the Cohesion Fund**

Policy objective	Outputs	Results
(1)	(2)	(3)
1. A smarter Europe by promoting innovative and smart economic transformation	RCO ³ 01 - Enterprises supported (of which: micro, small, medium, large) ⁴ RCO 02 - Enterprises supported by grants* RCO 03 - Enterprises supported by financial instruments* RCO 04 - Enterprises with non-financial support* RCO 05 - New enterprises supported* RCO 06 - Researchers working in supported research facilities RCO 07 - Research institutions participating in joint research projects RCO 08 - Nominal value of research and innovation equipment RCO 10 - Enterprises cooperating with research institutions RCO 96 – Interregional investments for innovation in EU projects EU projects*	RCR ⁵ 01 - Jobs created in supported entities* RCR 102 – Research jobs created in supported entities* RCR 02 - Private investments matching public support (of which: grants, financial instruments) ⁶ RCR 03 – Small and medium-size enterprises (SMEs) introducing product or process innovation* RCR 04 - SMEs introducing marketing or organisational innovation* RCR 05 - SMEs innovating in-house* RCR 06 - Patent applications submitted* RCR 07 - Trademark and design applications* RCR 08 - Publications from supported projects

² To be used, for the Investment for jobs and growth and Interreg in accordance with point (a) of the second subparagraph of Article [12(1)], and point (b) of Article [36(2) [data transmission] of Regulation (EU) [new CPR] and, for Investment for jobs and growth in accordance with point (d)(ii) of Article [17 (3)] of the Regulation (EU) [new CPR] and, for Interreg, in accordance with point (e)(ii) of Article 17 (4) of the of the Regulation (EU) [new ETC regulation]

³ RCO: REGIO Common Output Indicator.

⁴ Breakdown not requested for programming but only for reporting.

⁵ RCR: REGIO Common Result Indicator.

⁶ Breakdown not requested for programming but only for reporting.

	<p><i>RCO 12 deleted</i> RCO 13 - Value of digital services, products and processes developed for enterprises RCO 14 - Public institutions supported to develop digital services, products and processes</p>	<p>RCR 11 - Users of new and upgraded public digital services, products and processes* RCR 12 - Users of new and upgraded digital services, products and processes developed by enterprises* RCR 13 - Enterprises reaching high digital intensity* <i>RCR 14 deleted</i></p>
	<p>RCO 15 - Capacity of incubation created* RCO 103 - High growth enterprises supported*</p>	<p><i>RCR 16 deleted-</i> RCR 17 – New enterprises surviving in the market* RCR 18 - SMEs using incubator services after incubator creation RCR 19 - Enterprises with higher turnover* RCR 25 – SMEs with higher value added per employee*</p>
	<p>RCO 16 – Participations of institutional stakeholders in entrepreneurial discovery process <i>RCO 17 deleted</i> RCO 101 – SMEs investing in skills for smart specialisation, for industrial transition and entrepreneurship <i>RCO 120 deleted</i> <i>RCO 102 deleted</i></p>	<p><i>RCR 24 deleted</i> RCR 97 – Apprenticeships supported in SMEs RCR 98 – SMEs staff completing training for skills for smart specialisation, for industrial transition and entrepreneurship (by type of skill: technical, management, entrepreneurship, green, other)⁷ <i>RCR 105 deleted</i> <i>RCR 99 deleted</i> <i>RCR 100 deleted</i></p>
<p>2. A greener, low-carbon Europe by promoting clean and fair energy transition, green and blue</p>	<p>RCO 18 – Dwellings with improved-energy performance RCO 19 - Public buildings with improved-energy performance RCO 20 - District heating and cooling network lines newly constructed or improved RCO 104 – Number of high efficiency co-generation units</p>	<p>RCR 26 - Annual primary energy consumption (of which: dwellings, public buildings, enterprises, other)⁸ <i>RCR 27 deleted</i> <i>RCR 28 deleted</i> RCR 29 - Estimated greenhouse gas emissions* <i>RCR 30 deleted</i></p>

⁷ Breakdown not requested for programming but only for reporting.

⁸ Breakdown not requested for programming but only for reporting.

investment, the circular economy, climate adaptation and risk prevention and management	RCO 22 - Additional production capacity for renewable energy (of which: electricity, thermal) ⁹ RCO 97 –Renewable energy communities supported*	RCR 31 - Total renewable energy produced (of which: electricity, thermal) ¹⁰ RCR 32 – Additional operational capacity installed for renewable energy*
	RCO 23 - Digital management systems for smart energy systems <i>RCO 98 deleted</i> RCO 105 – Solutions for electricity storage	RCR 33 - Users connected to smart energy systems RCR 34 - Roll-out of projects for smart energy systems
	RCO 24 – Investments in new or upgraded disaster monitoring, preparedness, warning and response systems RCO 25 - Coastal strip, river bank and lakeshore flood protection newly built or consolidated RCO 106 – Landslide protection newly built or consolidated RCO 26 - Green infrastructure built or upgraded for adaptation to climate change* RCO 27 – National and sub-national strategies addressing climate change adaptation* RCO 28 - Area covered by protection measures against wildfires	RCR 35 - Population benefiting from flood protection measures RCR 36 - Population benefiting from wildfire protection measures RCR 37 - Population benefiting from protection measures against climate related natural disasters (other than floods and wildfire) RCR 96 – Population benefiting from protection measures against non-climate related natural risks and risks related to human activities* <i>RCR 38 deleted</i>
	RCO 30 - Length of new or upgraded pipes for the distribution systems of public water supply RCO 31 - Length of new or upgraded pipes for the public network for collection of waste water RCO 32 - New or upgraded capacity for waste water treatment	RCR 41 - Population connected to improved public water supply RCR 42 - Population connected to at least secondary public waste water treatment RCR 43 - Water losses in distribution systems for public water supply <i>RCR 44 deleted</i>
	RCO 34 - Additional capacity for waste recycling RCO 107 – Investments in facilities for separate waste collection	<i>RCR 46 deleted</i> RCR 103 – Waste collected separately RCR 47 - Waste recycled RCR 48 - Waste used as raw materials <i>RCR 49 deleted-</i>

⁹ Breakdown not requested for programming but only for reporting.

¹⁰ Breakdown not requested for programming but only for reporting.

	<p>RCO 36 - Green infrastructure supported for other purposes than adaptation to climate change RCO 37 - Surface of Natura 2000 sites covered by protection and restoration measures <i>RCO 99 deleted</i> RCO 38 - Surface area of rehabilitated land supported RCO 39 – Area covered by systems for monitoring air pollution installed</p>	<p>RCR 50 - Population benefiting from measures for air quality* RCR 95 -Population having access to new or improved green infrastructure* <i>RCR 51 deleted</i> RCR 52 - Rehabilitated land used for green areas, social housing, economic or other uses</p>
	<p>RCO 55 - Length of new tram and metro lines RCO 56 - Length of reconstructed or modernised tram and metro lines RCO 57 – Capacity of environmentally friendly rolling stock for collective public transport RCO 58 - Dedicated cycling infrastructure supported RCO 59 - Alternative fuels infrastructure (refuelling/recharging points)* RCO 60 - Cities and towns with new or modernised digitised urban transport systems</p>	<p>RCR 62 - Annual users of new or modernised public transport RCR 63 - Annual users of new or modernised tram and metro lines RCR 64 - Annual users of dedicated cycling infrastructure</p>
<p>3. A more connected Europe by enhancing mobility and regional ICT connectivity</p>	<p>RCO 41 - Additional dwellings with broadband access of very high capacity RCO 42 - Additional enterprises with broadband access of very high capacity</p>	<p>RCR 53 - Dwellings with broadband subscriptions to a very high capacity network RCR 54 - Enterprises with broadband subscriptions to a very high capacity network</p>
	<p>RCO 43 - Length of new or upgraded roads - TEN-T¹¹ RCO 45 - Length of roads reconstructed or modernised - TEN-T RCO 108 – Length of roads with new or modernised traffic management systems – TEN-T RCO 47 - Length of new or upgraded rail - TEN-T RCO 49 - Length of rail reconstructed or modernised - TEN-T RCO 51 - Length of new, upgraded or modernised inland waterways - TEN-T RCO 109 - Length of European Rail Traffic Management System equipped railways in operation – TEN-T</p>	<p>RCR 55 – Annual users of newly built, reconstructed, upgraded or modernised roads RCR 56 - Time savings due to improved road infrastructure RCR 101- Time savings due to improved rail infrastructure <i>RCR 57 deleted</i> RCR 58 - Annual users of newly built, upgraded, reconstructed or modernised railways RCR 59 - Freight transport on rail RCR 60 - Freight transport on inland waterways</p>

¹¹ Regulation (EU) No 1315/2013 of the European Parliament and of the Council of 11 December 2013 on Union guidelines for the development of the trans-European transport network and repealing Decision No 661/2010/EU (OJ L 348, 20.12.2013, p. 1).

	<p>RCO 44 - Length of new or upgraded roads –non-TEN-T</p> <p>RCO 46 - Length of roads reconstructed or modernised - non-TEN-T</p> <p>RCO 110 – Length of roads with new or modernised traffic management systems – non-TEN-T</p> <p>RCO 48 - Length of new or upgraded rail - non-TEN-T</p> <p>RCO 50 - Length of rail reconstructed or modernised – non-TEN-T</p> <p>RCO 111 - Length of European Rail Traffic Management System equipped railways in operation – non-TEN-T</p> <p>RCO 52 - Length of new or modernised inland waterways –non-TEN-T</p> <p>RCO 53 – New or modernised railway stations and stops</p> <p>RCO 54 – New or modernised intermodal connections*</p> <p><i>RCO 100 deleted</i></p>	
	<i>RCO 55 - 60 moved to PO2</i>	<i>RCR 62 - 64 moved to PO2</i>
4. A more social Europe by implementing the European Pillar of Social Rights	RCO 61 – Surface of new or modernised facilities for employment services	RCR 65 –Annual users of new or modernised facilities for employment services
	RCO 66 - Classroom capacity of new or modernised childcare facilities RCO 67 - Classroom capacity of new or modernised education facilities	RCR 70 - Annual users of new or modernised childcare RCR 71 - Annual users of new or modernised education facilities
	RCO 63 - Capacity of new or modernised temporary reception facilities <i>RCO 64 deleted</i> RCO 65 - Capacity of new or modernised social housing	RCR 66 – Annual users of new or modernised temporary reception facilities RCR 67 - Annual users of new or modernised social housing <i>RCR 68 deleted</i>
	RCO 69 - Capacity of new or modernised health care facilities RCO 70 - Capacity of new or modernised social care facilities (other than housing)	RCR 72 – Annual users of new or modernised e-health care services RCR 73 - Annual users of new or modernised health care facilities RCR 74 - Annual users of new or modernised social care facilities <i>RCR 75 deleted</i>

<p>5. A Europe closer to citizens by fostering the sustainable and integrated development of all types of territories</p>	<p>RCO 74 - Population covered by projects in the framework of strategies for integrated territorial development*</p> <p>RCO 75 - Strategies for integrated territorial development supported*</p> <p>RCO 76 - Integrated projects for territorial development</p> <p>RCO 77 - Number of cultural and tourism sites supported*</p> <p>RCO 80 – Community-led local development strategies supported*</p> <p>RCO 112 - Stakeholders involved in the preparation and implementation of strategies for integrated territorial development</p> <p>RCO (new) Open space created or rehabilitated in urban areas*</p>	<p><i>RCR 76 deleted</i></p> <p>RCR 77 - Visitors of cultural and tourism sites supported*</p> <p><i>RCR 78 deleted</i></p>
<p><i>Horizontal implementation - deleted</i></p>		

** For presentational reasons common output and result indicators are grouped by, but not limited to, a policy objective. In particular, policy objective 5, may use relevant common indicators listed for policy objectives 1 to 4. In addition, in order to develop a full picture of the expected and actual performance of the programmes, the common indicators indicated by (*) may be used by specific objectives under any of the policy objectives 1 to 4, when relevant.

Table 2: Additional common output and result indicators for the ERDF for Interreg

<p>Interreg-specific indicators</p>	<p>RCO 81 - Participations in joint actions across borders RCO 115 - Public events across borders jointly organised RCO 82 - Participations in joint actions promoting gender equality, equal opportunities and social inclusion RCO 83 - Strategies and action plans jointly developed RCO 84 - Pilot actions developed jointly and implemented in projects RCO 116 - Jointly developed solutions RCO 85 - Participations in joint training schemes <i>RCO 96 deleted</i> RCO 117 - Solutions for legal or administrative obstacles across border identified RCO 86 - Joint administrative or legal agreements signed RCO 87 - Organisations cooperating across borders <i>RCO 88 deleted RCO 89 deleted</i> RCO 118 - Organisations cooperating for the multi-level governance of macroregional strategies RCO 90 - Projects for innovation networks across borders RCO (new1) Projects supporting cooperation across borders to develop urban-rural linkages</p>	<p>RRC 79 - Joint strategies and action plans taken up by organisations <i>RRC 80 deleted</i> RRC 104 - Solutions taken up or up-scaled by organisations RRC 81 - Completions of joint training schemes RRC 82 - Legal or administrative obstacles across borders alleviated or resolved RRC 83 - Persons covered by joint administrative or legal agreements signed RRC 84 - Organisations cooperating across borders after project completion RRC 85 - Participations in joint actions across borders after project completion <i>RRC 86 deleted</i></p>
--	---	--

ANNEX II

Core set of performance indicators for ERDF and Cohesion Fund referred to in Article 7(3), to be used by the Commission in compliance with its reporting requirement pursuant to Article 41(3)(h)(iii) of Regulation (EU) No 2018/1046 (Financial Regulation)

Policy objective	Specific objective	Outputs	Results
(1)	(2)	(3)	(4)
1. A smarter Europe by promoting innovative and smart economic transformation	(i) Enhancing research and innovation capacities and the uptake of advanced technologies	CCO 01 – Enterprises supported to innovate CCO 02 – Researchers working in supported research facilities	CCR 01 – Small and medium-sized enterprises ¹² (SMEs) introducing product, process, marketing or organisational innovation
	(ii) Reaping the benefits of digitisation for citizens, companies and governments	CCO 03 – Enterprises and public institutions supported to develop digital products, services and processes	CCR 02 – Annual users of new or upgraded digital products, services and processes
	(iii) Enhancing growth and competitiveness of SMEs	CCO 04 – SMEs supported to enhance growth and competitiveness	CCR 03 – Jobs created in enterprises supported
	(iv) Developing skills for smart specialisation, industrial transition and entrepreneurship	CCO 05 – SMEs investing in skills for smart specialisation, for industrial transition and entrepreneurship	CCR 04 – SMEs staff completing training for skills for smart specialisation, for industrial transition and entrepreneurship
2. A greener, low-carbon Europe by promoting clean and fair energy transition, green and	(i) Promoting energy efficiency measures and reducing green-house gas emissions	CCO 06 – Investments in measures to improve energy performance	CCR 05 – Savings in annual primary energy consumption

¹² Commission Recommendation of 6 May 2003 concerning the definition of micro, small and medium-sized enterprises (notified under document number C(2003) 1422) (OJ L 124, 20.5.2003, p. 36).

blue investment, the circular economy, climate adaptation and risk prevention and management	(ii) Promoting renewable energy	CCO 07 – Additional production capacity for renewable energy	CCR 06 – Additional renewable energy produced
	(iii) Developing smart energy systems, grids and storage outside TEN-E	CCO 08 – Digital management systems for smart energy systems	CCR 07 – Additional users connected to smart energy systems
	(iv) Promoting climate change adaptation, risk prevention and disaster resilience	CCO 09 – Investments in new or upgraded disaster monitoring, preparedness, warning and response systems	CCR 08 - Additional population benefiting from protection measures against floods, wild fires, and other climate related natural disasters
	(v) Promoting sustainable water management	CCO 10 – New or upgraded capacity for waste water treatment	CCR 09 – Additional population connected to at least secondary waste water treatment
	(vi) Promoting the transition to a circular economy	CCO 11 – New or upgraded capacity for waste recycling	CCR 10 – Additional waste recycled
	(vii) Enhancing nature protection and biodiversity, green infrastructure in particular in the urban environment, and reducing pollution	CCO 12 – Surface area of green infrastructure	CCR 11 – Population benefiting from measures for air quality
	(viii) Promoting sustainable multimodal urban mobility	CCO 16 – Extension and modernisation of tram and metro lines	CCR 15 – Annual users served by new and modernised tram and metro lines
	3. A more connected Europe by enhancing mobility and regional ICT connectivity	(i) Enhancing digital connectivity	CCO 13 - Additional dwellings and enterprises with broadband access of very high capacity
(ii) Developing a sustainable, climate resilient, intelligent, secure and intermodal TEN-T		CCO 14 – Road TEN-T: New, upgraded, reconstructed, or modernised roads CCO 15 – Rail TEN-T: New, upgraded, reconstructed, or modernised railways	CCR 13 – Time savings due to improved road infrastructure CCR 14 – Annual number of passengers served by improved rail transport
(iii) Developing and enhancing a sustainable, climate resilient, intelligent and intermodal national,		CCO 15 CCO 22 – Road non-TEN-T: New, upgraded, reconstructed, or modernised	

	regional and local mobility, including improved access to TEN-T and cross-border mobility	roads CCO 23 – Rail non-TEN-T: New, upgraded, reconstructed, or modernised railways	
	<i>(iv) deleted</i>	<i>CCO 16 deleted</i>	<i>CCR 15 deleted</i>
4. A more social Europe by implementing the European Pillar of Social Rights	(i) Enhancing the effectiveness of labour markets and access to quality employment through developing social innovation and infrastructure	CCO 17 – Surface of new or modernised facilities for employment services	CCR 16 – Annual users of new or modernised facilities for employment services
	(ii) Improving access to inclusive and quality services in education, training and lifelong learning through developing infrastructure	CCO 18 – New or modernised capacity for childcare and education facilities	CCR 17 – Annual users served by new or modernised childcare and education facilities
	(iii) Increasing the socio-economic integration of marginalised communities, migrants and disadvantaged groups, through integrated measures including housing and social services;	CCO 19 – New or modernised capacity of temporary reception and social housing facilities	CCR 18 – Annual users of new or modernised temporary reception and social housing facilities
	(iv) Ensuring equal access to health care through developing infrastructure, including primary care	CCO 20 – New or modernised capacity for health care facilities	CCR 19 – Annual users of new or modernised health care services
5. A Europe closer to citizens by fostering the sustainable and integrated development of all types of territories	(i) Fostering the integrated social, economic and environmental development, cultural heritage, tourism and security in urban areas	CCO 21 – Population covered by strategies for integrated territorial development	

(ii) Fostering the integrated social, economic and environmental local development, cultural heritage, tourism and security in areas other than urban areas	
---	--

ANNEX VII – TABLES FOR THE TRANSMISSION OF DATA ON INDICATORS (ART 37 (1) AND (2))

TABLE 3: Common and programme specific output indicators for ERDF, Cohesion Fund and the EMFF (Article 37(2)(b))

1.	2.	3.	4.	5.	6.	7.	8.	9.	10.	11.	12.	13 deleted.	14.
Data on output indicators from the programme [extracted from Table 2 of the programme]										Progress in output indicators to date			
Priority axis	Specific objective	Fund	Category of region ¹³	ID	Indicator name	Indicator breakdown ¹⁴ (of which:)	Measurement unit	Milestone (2024)	Target 2029	Selected [dd/mm/yy]	Implemented [dd/mm/yy]		Comments
<type='S' input='G'> ¹⁵	<type='S' input='G'>	<type='S' input='G'>		<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>		<type='N' input='G'>	<type='N' input='M'>	<type='N' input='M'>		<type='S' input='M'>
...													

¹³ It does not apply to the Cohesion Fund and the EMFF

¹⁴ It applies only to some indicators. See Commission guidelines for details.

¹⁵ Legend for the characteristics of fields:

type: N=Number, S=String, C=Checkbox

input: M=Manual and [also covering automatically upload], S=Selection, G=Generated by system

TABLE 5: Multiple support to enterprises for ERDF and Cohesion Fund at programme level (Article 37(2)(b))

1.	2.	3.	4.		5.
ID	Indicator name	Indicator breakdown (of which:)	Number of enterprises net of multiple support by [dd/mm/yy]		Comments
<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='N' input='M' >		<type='S' input='M'>
RCO 01	Enterprises supported	Micro			
RCO 01	Enterprises supported	Small			
RCO 01	Enterprises supported	Medium			
RCO 01	Enterprises supported	Large			
RCO 01	Enterprises supported	Total	<type='N' input='G'>		

TABLE 6: Common and programme specific result indicators for ERDF, Cohesion Fund and the EMFF (Article 37(2)(b))

1.	2.	3.	4.	5	6.	7.	8.	9.	10.	11	12.	13.	14.	15. deleted	16.
Data on result indicators from the programme [extracted from Table 3 of the programme]										Progress in result indicators to date					
Priority	Specific objective	Fund	Category of region ¹⁶	ID	Indicator name	Indicator breakdown ¹⁷ (of which:)	Measurement unit	Baseline in the programme	Target 2029	Baseline of selected operations [dd/mm/yy]		Values to date [dd/mm/yy]			Comments
										Selected	Implemented	Selected	Implemented		
<type='S' input='G' > ¹⁸	<type='S' input='G' >	<type='S' input='G' >		<type='S' input='G' >	<type='S' input='G' >	<type='S' input='G' >		<type='N' input='G' >	<type='N' input='G' >	<type='N' input='M' >	<type='N' input='M' >	<type='N' input='M' >	<type='N' input='M' >		<type='S' input='M' >
...															

¹⁶ It does not apply to the Cohesion Fund and the EMFF

¹⁷ It applies only to some indicators. See Commission guidelines for details.

¹⁸ Legend for the characteristics of fields:

type: N=Number, S=String, C=Checkbox

input: M=Manual and [also covering automatically upload], S=Selection, G=Generated by system