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10261/2/23 REV 2

LIMITE

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NOTE

General Secretariat of the Council
Delegations
COM(2022) 480 final
Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on import, export and transit measures for firearms, their essential components and ammunition, implementing Article 10 of the United Nations' Protocol against the illicit manufacturing of and trafficking in firearms, their parts and components and ammunition, supplementing the United Nations Convention against Transnational Organised Crime (UN Firearms Protocol) (recast) - Presidency compromise text

Delegations will find in the Annex the revised Presidency's compromise text for the above proposal.

Changes to the previous document (ST 10261/1/23 REV 1) are marked in **bold and underline** and strikethrough.

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CHAPTER I SUBJECT MATTER, DEFINITIONS AND SCOPE

Article 1 Subject matter

This Regulation lays down rules governing import and export authorisation, and import, export and transit measures for firearms, their essential components, ammunition, alarm and signal weapons, deactivated firearms, semi-finished firearms, semi-finished essential components and silencers (goods listed in Annex I), for the purpose of implementing Article 10 of the United Nations Protocol against the Illicit Manufacturing of and Trafficking in Firearms, their Parts and Components and Ammunition, supplementing the United Nations Convention against Transnational Organised Crime (the 'UN Firearms Protocol').

Article 2 Definitions

- 1. For the purposes of this Regulation, the following definitions shall apply:
 - 1. 'firearm' means a firearm as defined in Article 1(1), point (1) of Directive (EU) 2021/555;
 - 2. 'silencers' means any device designed or adapted to diminish the sound caused by firing a firearm;
 - 3. 'essential components' means an essential component as defined in Article 1(1), point (2) of Directive (EU) 2021/555;
 - 4. 'semi-finished firearms' means firearms that are not ready for direct use and have the approximate shape or outline of the finished firearms, and which can only be used, other than in exceptional cases, for completion into the finished firearm;
 - 5. 'semi-finished essential components' mean essential components that are not ready for direct use and have the approximate shape or outline of the finished essential component, and

- which can only be used, other than in exceptional cases, for completion into the finished essential component;
- 6. 'ammunition' means ammunition as defined in Article 1(1), point (3) of Directive (EU) 2021/555;
- 7. 'deactivated firearms' means a deactivated firearm as defined in Article 1(1), point (6) of Directive (EU) 2021/555;
- 8. 'alarm and signal weapons' means the alarm and signal weapons as defined in Article 1(1), point (4) of Directive (EU) 2021/555;
- 9. 'person' means a natural person, a legal person and, where the possibility is provided for under the rules in force, an association of persons recognised as having the capacity to perform legal acts but lacking the legal status of a legal person;
- 10. 'customs territory of the Union' means the territory within the meaning of Article 4 of Regulation (EU) No 952/2013;
- 11. 'Union goods' means Union goods as defined of Article 5, point (23) of Regulation (EU) **No** 952/2013;
- 12. 'non-Union goods' means non-Union goods as defined in Article 5, point (24) of Regulation (EU) No 952/2013;
- 13. 'customs authorities' means customs authorities as defined in Article 5, point (1) of Regulation (EU) No 952/2013;
- 15. 'customs legislation' means customs legislation as defined in Article 5, point (2) of Regulation (EU) No 952/2013;
- 'customs formalities' means customs formalities as defined in Article 5, point (8) of Regulation (EU) No 952/2013;
- 17. 'customs controls' means customs controls as defined in Article 5, point (3) of Regulation (EU) **No** 952/2013;
- 18. 'customs declaration' means a customs declaration as defined in Article 5, point (12) of Regulation (EU) No 952/2013;

- 21. 'entry' means the physical entry of non-Union goods into the customs territory of the Union;
- 22. 'import' means the placing of goods under release for free circulation as laid down in Article 201 of Regulation (EU) No 952/2013 or placed under a special procedure, bringing goods into the customs territory of the Union, as laid down in Article 210 of Regulation (EU) No 952/2013;
- 23. 'importer' means any person who makes a customs declaration for an import on its own behalf, or on whose behalf the declaration is made;
- 24. 'export' means an export procedure within the meaning of Article 269 of Regulation (EU) No 952/2013 including the situations specified in Article 269(2), point a), b) and c) of Regulation (EU) No 952/2013;
- 24a. 're-export' means re-export within the meaning of Articles 270, 271 and 274 of Regulation (EU) No 952/2013;
- 25. 'exit' means the **physical** exit of goods from the customs territory of the Union;
- 26. 'exporter' means
- a) any <u>natural or legal</u> person; established in the customs territory of the Union,-who makes or on whose behalf a custom declaration for export <u>is made</u> or a re-export declaration or notification are made and at the time when the declaration is accepted, holds the contract with the consignee in the third country and has the power for determining the sending of the item out of the customs territory of the Union. If no export contract has been concluded or if the holder of the contract does not act on its own behalf, the exporter shall mean the person who has the power for determining the sending of the item out of the customs territory of the Union or any natural person travelling with goods listed in Annex I as their accompanied personal effects.
- b) any natural or legal person who makes or on whose behalf a re-export declaration, an exit summary declaration or a re-export notification are made and at the time when the declaration is accepted, holds the contract with the consignee in the third country and has the power for determining the sending of the item out of the customs territory of the Union. If no export contract has been concluded or if the holder of the contract

does not act on its own behalf, the exporter shall mean the person who has the power for determining the sending of the item out of the customs territory of the Union or

- c) where point a or b is not applicable, any natural person travelling with goods listed in

 Annex I as their accompanied personal effects within the meaning of point (a) of

 Article 1(19) of Commission Delegated Regulation (EU) 2015/2446¹.
- 28. 'declarant' means a declarant as defined in Article 5, point (15) of Regulation (EU) No 952/2013;
- 29. 'dealer' means a dealer as defined in Article 1(1), point (9) of Directive (EU) 2021/555;
- 30. 'broker' means a broker as defined in Article 1(1), point (10) of Directive (EU) 2021/555;
- 31. 'exhibition' means a trade fair or similar event as described in Article 90(2), point (a) in Council Regulation (EC) No 1186/2009², without sales of goods from third countries listed in Annex I;
- 33. 'temporary export' means the exitexport of goods listed in Annex I from the the customs territory of the Union with the intention to import those goods back into the customs territory of the Union;
- 35. 'outward processing' means an outward processing within the meaning the of Article 259 of Regulation (EU) No 952/2013;
- 36. 'inward processing' means inward processing within the meaning of Article 256 of Regulation (EU) No 952/2013;
- 37. 'transit' means the transit procedures within the meaning of Title VII, chapter 2, of Regulation (EU) No 952/2013;
- 39. 'temporary admission' means temporary admission within the meaning of Article 250 of Regulation (EU) No 952/2013 applies;

Commission Delegated Regulation (EU) 2015/2446 of 28 July 2015 supplementing Regulation (EU)

No 952/2013 of the European Parliament and of the Council as regards detailed rules concerning certain provisions of the Union Customs Code (OJ L 343, 29.12.2015, p. 1)

² Council Regulation (EC) No 1186/2009 of 16 November 2009 setting up a Community system of reliefs from customs duty (OJ L 324, 10.12.2009, p. 23).

- 40. 'transhipment' means a movement involving the physical operation of unloading goods listed in Annex I from a means of transport onto another means of transport;
- 41. 'illicit trafficking' means the import, export, sale, delivery, movement or transfer of goods listed in Annex I to, from or across the territory of one Member State to or from that of a third country, if any of the following applies:
 - (a) the Member State concerned does not authorise it in accordance with the terms of this Regulation;
 - (b) the goods listed in Annex I are not marked in accordance with Article 6(1);

(c) the goods listed in Annex I are not marked in accordance with Article 6(2) and are declared for release for free circulation;

44. 'competent authority' means the national authorities as understood in Article 34.

Article 2a Conferral of implemeting powers

<u>2</u>. The Commission shall specify by means of implementing acts the technical characteristics of silencers, semi-finished firearms and semi-finished essential components, in <u>the meaning of</u> accordance with Article 2, point <u>paragraph 1, points</u> (2), (4) and (5). Those implementing acts shall be adopted in accordance with the <u>committeeexamination</u> procedure referred to in Article 37(2).

Article 3

Scope

- 1.—This Regulation does not apply to:
 - (a) State to State transactions or State transfers;

- (b) goods listed in the Common Military List of the European Union³, imported to or exported from the customs territory of Union-for military purposes, unless they are temporarily exported in accordance with article 17 or 17a of this Regulation;
- (c) goods listed in Annex I destined for the armed forces, the police, or the public authorities of the Member States, including transactions of such goods for development, testing, production, maintenance or exhibition intended for the armed forces, the police or the public authorities as final recipients; and
- (d) antique firearms as defined in accordance with national legislation, provided that antique firearms do not include firearms manufactured after 1899.
- 2. The Commission shall specify by means of implementing acts for the military purposes in accordance with paragraph (1), point (b). Those implementing acts shall be adopted in accordance with the committee procedure referred to in Article 37(2).

Derogations to Union customs procedures

- 1. The goods listed in Annex I to this Regulation shall not:
 - (a) be placed under a customs procedure based on a simplified declaration established under Article 166 of Regulation (EU) No 952/2013;
 - (b) be subject to an entry in the declarant's record pursuant to Article 182 of Regulation (EU) No 952/2013;
 - (c) be subject to self-assessment pursuant to Article 185 of Regulation (EU) No 952/2013;
 - (d) be declared with a customs declaration containing the specific dataset referred to in Article 143a of Delegated Regulation (EU) 2015/2446;

Common Military list of the European Union adopted by the Council on 21 February 2022 (equipment covered by Council Common Position 2008/944/CFSP defining common rules governing the control of exports of military technology and equipment) (updating and replacing the Common Military List of the European Union adopted by the Council on 17 February 2020 (OJ C 85, 13.3.2020, p. 1.)) (CFSP) 2022/C 100/03.

- (e) be declared with a customs declaration containing the reduced dataset referred to in Article 144 of Delegated Regulation (EU) 2015/2446.
- (f) be declared by means of an oral declaration or by any other act as referred to in Article 135 to 141 of Delegated Regulation (EU) 2015/2446.
- 2. With respect to single authorisations for simplified procedures still valid pursuant to Article 345 (4) of Implementing Regulation (EU) 2015/2447⁴ paragraph 1, points (a) and (b) of this Article shall not apply to goods listed in Annex I to this Regulation.

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Commission Implementing Regulation (EU) 2015/2447 of 24 November 2015 laying down detailed rules for implementing certain provisions of Regulation (EU) No 952/2013 of the European Parliament and of the Council laying down the Union Customs Code (OJ L 343, 29.12.2015, p. 558).

CHAPTER II ENTRY AND IMPORT REQUIREMENTS

Article 5 Tasks of importers

1. Importers established in the customs territory of the Union shall only import goods listed in Annex I, if they comply with the rules on marking referred to in Article 6(2) and with the obligations set out in paragraph 2.

Importers-shall:

- (a) ensure that the goods listed in Annex I comply with
 - (i) the rules on marking referred to in Article 6(1);
 - (ii) the rules on deactivation referred to in Article 7;, when applicable
 - (iii) the rules on non-convertibility referred to in Article 8, when applicable;
- (b) keep all documents according to the rules referred to in point (a) of this paragraph and relevant documentation according to Articles 9, 10 and 11 at the disposal of the competent authority for the time limit referred to in Article 51 of Regulation (EU)

 No 952/2013;
- (c) following a reasoned request from the competent authority provide that authority with the export authorisation of the exporting third country or, where applicable, the exception from that authorisation;
- (d) when having reason to believe that goods listed in Annex I may not comply with this Regulation, Directive (EU) 2021/555 and the legal acts based on those acts, inform the competent authority thereof;

- (e) cooperate with the competent authority, including following a reasoned request making sure that the immediate, necessary, corrective action is taken to remedy any case of non-compliance with the requirements set out in the acts referred to in point(d) of this paragraph.
- 32. The obligations under paragraph 21 shall not affect any obligations of importers under Directive (EU) 2021/555 and the legal acts based on it-the acts referred to in paragraph 2, point (d).

Marking at import

- 1. Firearms without marking in accordance with Article 8 of the UN Firearms Protocol, entering the customs territory of the Union, shall not be imported or re-exported.
- 2. The goods listed in Annex I may only be declared for release for free circulation if they comply with the marking requirements of Article 4 of Directive (EU) 2021/555.
- 3. Paragraphs 1 and 2 shall not apply to goods listed in Annex I that are of particular historical importance.
- 4. Paragraph 2 shall not apply to goods imported by dealers.

Article 7

Deactivated firearms

- Devices declared as deactivated firearms shall only be declared for release for free circulation or temporary admission in accordance with Article 10 provided that they are accompanied by the deactivation certificate and marked as referred to in Article 15 of Directive (EU) 2021/555.
- 2. The importer shall provide the competent authority with a copy of the deactivation certificate through the electronic licensing system as referred to in Article 28.

Alarm and signal weapons

- 1. An import authorisation for a device declared as alarm and signal weapons weapon shall only be declared for release for free circulation or temporary admission in accordance with Article 10 granted by the competent authority provided that they comply the device complies with the technical specifications referred to in Article 14 of Directive (EU) 2021/555 or listed as a non-convertible alarm and signal weapon.
- 2. An import authorisation for a non-convertible alarm and signal weapon shall only be granted provided that those weapons comply with the technical specifications referred to in Article 14is of Directive (EU) 2021/555 or a model listed as a non-convertible alarm and signal weapon in the implementing act referred to in paragraph 2.
- The Commission shall adopt implementing acts establishing the list of <u>models of</u> non-convertible alarm and signal weapons referred to in paragraph 1. <u>The Commission shall</u> <u>also adopt implementing acts establishing the list of alarm and signal weapons known to be convertible.</u> Those implementing acts shall be adopted in accordance with the <u>committeeexamination</u> procedure referred to in Article 37-(2).

Article 9

Import authorisation

- 1. An import authorisation shall be necessary for the entry of non-Union goods listed in Annex I into the customs territory of the Union. The authorisation shall be granted by the competent authority of the Member State of final destination.
- 2. The import authorisation shall contain the information listed in Annex II, Part I and shall be issued by electronic means through the electronic licensing system referred to in Article 28 in one of the following types:
 - (a) a single authorisation for one shipment of one or more goods listed in Annex I, valid for a maximum of 1 year;
 - (b) a multiple authorisation for multiple shipments of one or more goods listed in Annex I, valid for a maximum of 3 years;

- (c) an a Union general authorisation for goods listed in Annex I available to authorised economic operators for security and safety pursuant to Article 38(2), point (b), of Regulation (EU) No 952/2013 valid for imports from specified countries of origin.
- 3. Any person permitted, pursuant to Directive (EU) 2021/555, to manufacture, acquire, possess, hold or trade goods listed in Annex I, except for semi-finished firearms and semi-finished essential components, mayis entitled to apply for an import authorisation.
- 4. Only dealers and brokers mayare entitled to apply for an import authorisation for semi-finished firearms and semi-finished essential components.
- 5. In case a person is not entitled to apply **for an import authorisation** under paragraph 3 or paragraph 4 the competent authority shall **rejectnot accept** the application.
- 6. The Commission shall adopt an implementing act to establish an Union general import authorisation for authorised economic operators for security and safety pursuant to Article 38(2), point (b), of Regulation (EU) No 952/2013 specifying the format, use and geographical validity for that type of authorisation. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 37-(2).

Article 9a Import authorisation procedure

- 1. The competent authority shall process applications for import authorisations within a period of time, which shall not exceed 60 working days, from the date on which all required information has been provided to the competent authority. Under exceptional circumstances and for duly justified reasons, that period may be extended to 90 working days.
- 2. The competent authority shall refuse to grant an import authorisation if;
 - (a) the applicant is a natural person and has a criminal record concerning conduct constituting an offence listed in Article 2(2) of Council Framework Decision 2002/584/JHA, or concerning any other conduct provided that it constituted an offence punishable by a maximum penalty of at least four years of imprisonment;

- (b) the applicant is a legal person, and one of the following persons has a criminal record referred to in point (a):
 - (i) the applicant;
 - (ii) the person(s) in charge of the applicant or exercising control over its management
- (c) the firearm to be imported was declared lost, stolen, under investigation or otherwise sought for seizure in the relevant EU, national or international databases;
- (d) there are indications suggesting that any of the persons mentioned in (a) or (b) constitute a security threat or a threat to public safety.
- 3. When deciding whether to grant an import authorisation, the competent authority shall take into account all relevant considerations, including those of national foreign and security policy. Article 18 shall apply mutatis mutandis.
- 4. The competent authority shall request information on previous criminal convictions For the purpose of the persons referred to in-paragraph 2-from other, Member States., including verification of the criminal record, the competent authority of a Member State shall consult the European Criminal Record Information System 'ECRIS'.
- 5. The competent authority shall annul, suspend, modify or revoke an import authorisation if the conditions for granting it <u>arewere</u> not met or are no longer met. Where the competent authority take those decisions, it shall make that information available to the customs authorities through the electronic licensing system referred to in Article 28.
- 6. Where the competent authority has refused to grant an import authorisation, their final decision shall be registered in the system referred to in Article 29.
- 7. The Commission shall, by means of implementing acts, establish determine the system to be used for communication between Member States regarding firearms declared lost, stolen, under investigation or otherwise sought for seizure and requests for information on previous criminal convictions. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 37-(2).

Import authorisation for non-union goods temporarily entering the customs territory of the Union

- 1. An import authorisation for non Non-union goods listed in Annex I may temporarily enteringenter the customs territory of the Union shall be required from importers when accompanied by a single import authorisation requested by an importer without establishment in the customs territory of the Union.
- 2. Importers without <u>establishment in the customs territory of the Union may only be</u>
 <u>granted an import authorisations for the goods listed in Annex I</u> in the following situations:
 - (a) the temporary admission for evaluation, exhibition or inward processing for repair, provided that the goods listed in Annex I remain the property of a person established outside the customs territory of the Union and the goods are re-exported to that person;
 - (b) the temporary admission by hunters, historical reenactors or sport shooters as part of their accompanied personal effects, provided that they present to the competent authority:
 - (i) the reasons for the journey, in particular by producing an invitation or other proof of the hunting, historical re-enacting or sport shooting activities in the customs territory of the Union;
 - (ii) a description of the goods listed in Annex I intended to be brought in to the customs territory of the Union and the reasons for the type and amount of those goods which shall be appropriate for the reasons of the temporary admission. The amount of ammunition shall be limited to a maximum of 800 rounds for hunters and a maximum of 1 200 rounds for sport shooters.

(iii) information on the planned exit point and date of these goods.

(c) non-Union goods entering and passing through the customs territory of the Union placed under a customs transit procedure with the final destination in a third country.

The authorisation in points (a) and (b) of this paragraph shall be granted by the competent authority of the Member State of final destination.

The authorisation in point (c) shall be granted by the competent authority of the Member State where the goods enter the customs territory of the Union.

- 3. The competent authority shall refuse to grant an application for the import authorisation for non-union goods temporarily entering the customs territory of the Union if; as referred to in paragraph 2 shall include the following:
 - (a) a proof or statement of absence of a criminal record concerning conduct constituting an offence listed in Article 2(2) of Council Framework Decision 2002/584/JHA, or concerning any other conduct provided that it constituted an offence punishable by a maximum penalty of at least four years of imprisonment;
 - (b) the identification of one of the three purposes as listed in paragraph 2 of this Article;
 - (c) the date and unique reference number of the authorisation, or equivalent, to own or possess a firearm and of the export authorisation from the non-EU country.
 - (a) the applicant is a natural person and has a criminal record concerning conduct constituting an offence listed in Article 2(2) of Council Framework Decision 2002/584/JHA, or concerning any other conduct provided that it constituted an offence punishable by a maximum penalty of at least four years of imprisonment;
 - (b) the applicant is a legal person, and one of the following persons has a criminal record as referred to in point (a):
 - (i) the applicant;
 - (ii) the person(s) in charge of the applicant or exercising control over its management

- (c) there are indications suggesting that any of the persons, including the person inviting the applicant to the activity in the customs territory of the Union, concerned by paragraph 1, point (a), (b) or (c) constitute a security threat or a threat to public safety;
- (d) the application does not contain all relevant information in accordance with Annex II

 Part II.
- When deciding whether to grant an authorisation, the competent authority shall take into account all relevant considerations, including those of national foreign and security policy, Article 18 shall apply mutatis mutandis.
- 4. Article 9a paragraph 1, 2, 3, 5 and 6 shall apply for issuing the import authorisation refered to in paragraph 2 of this Article.
- 4. The application for the import authorisation and any supporting documents shall be submitted to the competent authority before the planned entry of the goods into the customs territory of the Union. The application shall be submitted through the electronic system referred to in Article 28 and contain the information listed in Annex II Part II.
- 5. The competent authority shall process applications for the import authorisation within a period of time, which shall not exceed 60 working days, from the date on which all required information has been provided to the competent authority. Under exceptional circumstances and for duly justified reasons, that period may be extended to 90 working days.
- 65. When goods listed in Annex I is placed under the temporary admission procedure using thean ATA carnet, the customs authorities shall inform the competent authority through available the electronic means system referred to in Article 28 of that import.

Article 11 Administrative simplification

1. Any person holding a European Firearms pass or in other cases permitted, pursuant to Directive (EU) 2021/555, to manufacture, acquire, possess, hold or trade goods listed in

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- (a) import of goods listed in Annex I previously temporary exported in accordance with Article 17(1), point (a)(i) and Article 17(217a(1), point (c) and provided that:
 - (i) the reference number or the number of the simplified export authorisation issued by the competent authority in accordance with Article 17(2) or 17a(1) is communicated through the electronic licensing system referred to in Article 28 to the competent authority of destination at the latest 10 working days before the planned re-entry into the customs territory of the Union;
 - (ii) the imported goods were also the exported goods;
 - (iii) the goods are imported within 90 days following the export;
 - (iv) the reasons for the export were stated to the competent authority, in particular the invitation or other proof of the hunting, historical re-enacting or sport shooting activities in the third country;
 - (iv) the planned entry time and entry point to the customs territory of the Union are stated to the competent authority reported through the electronic licensing system referred to in Article 28 to the competent authority of destination at the latest 10 working days before the planned re-entry into the customs territory of the Union;
- _(b) Union-goods re-entering the customs territory of the Union having previously been placed under a customs transit procedure for passing through a country or territory outside the customs territory of the Union with the final destination in the Union-
- 2. The person importing goods under paragraph 1, point (a) shall provide the information listed therin and the reference number received in accordance with Article 17(1), point (d) to the competent authority prior to the re-entry in to the customs territory of the Union.
- The person moving goods under paragraph 1, point (b) shall provide the competent authority with the reference number to the customs declaration.

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- 42. The person importing goods according to this article shall state in the customs declaration the reference number of the customs declaration used for temporarily bringing the goods out of the Customs territory of the Union and the reference number or the number of the simplified export authorisation provided by competent authority in accordance with Article 17(1), point (d).2) or 17a(1)
- 53. The competent authority of destination shall decide to refuse import and register that decision in the electronic licensing system referred to in Article 28 if;
 - (a) the applicant does not fulfill the criteria for the administrative simplification set out in this article; or
 - (b) there are indications suggesting that any of the persons, including the person inviting the applicant to the activity inoutside the customs territory of the Union, concerned by paragraph 1, point (a) or (b) constitute a security threat or a threat to public safety.
- 4. When goods listed in Annex I are imported using an ATA carnet the customs authorities shall inform the competent authority through available the electronic means system referred to in Article 28 of that import.

<u>Consultation to the Member States affected by the planned movement</u> <u>Procedures for movement</u> <u>of non-Union goods</u>

- 1. In case of movements in the customs territory of the Union of non-Union goods listed in Annex I₂ the import authorisation referred to in Article 9 or 10 shall contain information about the planned movements. Changes in the planned movement shall be notified by the person holding the authorisation to the competent authority granting the authorisation through the electronic licensing system referred to in Article 28.
- 2. The competent authority shall assess the notified amendments and decided wheter to accept or refuse them in accordance with the provision for granting the authorisation.

- 2. he competent authority for granting the import authorisation referred to in Articles 9 or 10 shall seek the approval from the competent authority of the other Member States indicated in the application of the planned movement." and of any amendments of the authorisation". The competent authority of the informed Member State shall may raise objections to a movement through its territory within 10 working days from the date when the information of the planned movement was provided. The absence of any objections shall be deemed to be an approval. Any objections the competent authority of the other Member States may have to the granting of such an authorisation, shall bind the Member State in which the application has been submitted. The electronic licensing system referred to in Article 28 shall be used for the communication.
- 3. Changes in the planned movement shall be notified by the person holding the authorisation to the competent authority granting the authorisation through the electronic licensing system referred to in Article 28. The competent authority shall decide wheter to accept or refuse the notified changes in accordance with the rules for granting the authorisation and following the consultation procedure referred to in paragraph 2.
- 4. In case of administrative simplifications laid down in Article 11(1) point a), when the planned re-entry point is not located within the territory of the competent authority of destination, that competent authority shall immediately inform the competent authority of the Member State of the planned re-entry point of this movement, through the electronic licensing system referred to in Article 28. The competent authority of the planned re-entry point may raise objections to this movement through its territory within three working days from the date when the information of the planned re-entry was provided. The absence of any objections shall be deemed to be an approval. Any objections the competent authority of the Member State of the planned re-entry may have to the granting of such an administrative simplification, shall bind the Member State of destination.
- 4. The customs authority shall inform the competent authority granting the import authorisation referred to in Article 9 or 10 of all declarations and notifications provided for under the customs legislation with regards to the movement of the goods covered by that authorisation.



CHAPTER III

EXPORT, RE-EXPORT AND EXIT REQUIREMENTS

Article 14

Export authorisation

- 1. An export authorisation shall be necessary for the export of taking goods listed in Annex Iout of the customs territory of the Union.
- 2. Any person permitted, pursuant to Directive (EU) No 2021/555, to manufacture, acquire, possess or trade goods listed in Annex I mayis entitled to request an export authorisation. The authorisation shall be granted by the competent authority of the Member State where the applicantexporter is established.
- 3. The export authorisation shall contain the information in referred to in Annex III, Part I, and it shall be issued by electronic means through the electronic licensing system referred to in Article 28 in one of the following forms:
 - (a) a single authorisation or licence granted to one specific person for one shipment of one or more goods listed in Annex I to one identified final recipient or consignee in a third country;
 - (b) a multiple authorisation or licence granted to one specific person for multiple shipments of one or more goods listed in Annex I to one identified final recipient or consignee in a third country; or
 - (c) a <u>national general export authorisation directly authorising the export of goods</u>

 <u>listed in Annex I to exporters established in the territory of the Member State that issues</u>

 <u>the national general export authorisation, if they meet the requirements set in this</u>

 <u>Regulation and comply with the terms and conditions defined in the national general export authorisation, or</u>

- (d) <u>a</u> Union general authorisation <u>only</u> available to authorised economic operators for security and safety pursuant to Article 38(2), point (b), of Regulation (EU) <u>No</u> 952/2013 for exports to specified countries of destination.
- 4. If the goods listed in Annex I are located in one or more Member States other than the one where the application for export authorisation has been submitted, that fact shall be indicated on that application. The competent authority of the Member State to which the application for export authorisation has been submitted shall consult the competent authority of the other concerned Member State or States, providing the relevant information on the application for export authorisation. The Member State or States consulted shall report within 10 working days from the day they were contacted through the system set out in Article 28 any objections it or they may have to the granting of such an authorisation, which shall bind the Member State in which the application has been submitted.
- Where the export of goods listed in Annex I requires an export authorisation and that export is also subject to authorisation requirements in accordance with Common Position 2008/944/CFSP, Member States may use a single procedure to carry out the obligations imposed on them by this Regulation and by that Common Position.
- 6. In case a person is not entitled to request an export authorisation under paragraph 2, the competent authority shall not accept the application.

- 7. Member States may adopt national general export authorisations establishing national requirements for the export of goods listed in Annex I. Member States shall notify the Commission and the other Member States without delay of any national general export authorisation adopted pursuant to paragraph 3 point c), indicating the reasons for the national general export authorisation. They shall inform the Commission and the other Member States of the description of the controlled goods, countries of destinations, conditions and requirements for use. Member States shall also notify without delay any amendment to the national general authorisations adopted. The Commission shall publish such notifications in the C series of the Official Journal of the European Union.
- 8. The Commission shall adopt an implementing act to establish ana Union general export authorisation for authorised economic operators for security and safety pursuant to Article 38(2), point (b), of Regulation (EU) 952/2013 specifying the format, use and geographical validity for that type of authorisation. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 37-(2).
- 5. If the goods listed in Annex I are located in one or more Member States other than the one where the application for export authorisation has been made, that fact shall be indicated on that application. The competent authority of the Member State to which the application for export authorisation has been made shall consult the competent authority of the Member State or States in question and provide the relevant information. The competent authority of the Member State or States consulted shall make known within 10 working days from the day they were contacted any objections it or they may have to the granting of such an authorisation, which shall bind the Member State in which the application has been made.

Export authorisation procedure

1. The competent authority shall process applications for export authorisations within a period of time, which shall not exceed 60 working days, from the date on which all required information has been provided to the competent authority. Under exceptional

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circumstances and for duly justified reasons, that period may be extended by the competent authority to 90 working days.

- 1.2. Before issuing an export authorisation referred to in Articles 14 and 17(2) the competent authority shall verify that:
 - (a) the importing third country has authorised the relevant import; and
 - (b) the third <u>country or</u> countries of transit, if any, have any objections to the movement of goods listed in Annex I through their territory. This provision does not apply:
 - (i) to shipments by sea or air and through ports or airports of third countries provided that that there is no transhipment or change of means of transport;
 - (ii) in the case of temporary exports for verifiable lawful purposes, which include hunting, sport shooting, evaluation, exhibitions and repair.
- 23. If no objections to the transit according to paragraph +2, point (b) are received within 20 working days from the day of the written request, the consulted third country of transit shall be regarded as having no objection to the transit.
- 34. The applicant shall supply the competent authority of the Member State responsible for issuing the export authorisation with the necessary documents proving that the importing third country has authorised the import and that the third country of transit had no objection to the transit.
- 4<u>5</u>. With respect to deactivated firearms, the applicant shall supply the deactivation certificate referred to in Article 15 of Directive (EU) 2021/555 to the competent authority of the Member States responsible for issuing the export authorisation.
- The competent authority may only grant export authorisations for firearms of eategories A andcategory B of Annex I if the application for such authorisation is accompanied by an end-user statement in accordance with Annex IV delivered by the importer of the country of final destination. In the case of export to a private company that resells the goods on a local market, that company will be regarded as the end-user for the purposes of this Regulation. This shall not prevent the competent authority from evaluating export authorisation applications that concern exports to resellers differently from export

- authorisation applications concerning exports to end-users. The competent authority may also request an end-user statement for firearms of category C of Annex I.
- 6. Competent authority shall process applications for export authorisations within a period of time, which shall not exceed 60 working days, from the date on which all required information has been provided to the competent authority. Under exceptional circumstances and for duly justified reasons, that period may be extended by the competent authority to 90 working days.
- 7. The period of validity of a single export authorisation shall not exceed the period of validity of the import authorisation issued by the third country. The period of validity of a multiple or a Union general export authorisation shall not exceed three years. Where the import authorisation issued by the third country does not specify a period of validity, except under exceptional circumstances and for duly justified reasons, the period of validity of an export authorisation shall be at least nine months.

Article 16 Traceability of firearms

- 1. The export authorisation, <u>the</u> import authorisation issued by the concerned third country or the accompanying documentation shall contain the following information:
 - (a) the dates of issue and expiry;
 - (b) the place of issue;
 - (c) the country of export and exit;
 - (d) the third country or territory of destination;
 - (e) whenever applicable, the third countries or territories through which the goods are transported;
 - (f) the consignee; (s):

- (g) the final recipient, if known at the time of the shipment;
- (h) particulars enabling the identification of the goods listed in Annex I, and the quantity thereof including, at the latest prior to the shipment, the marking applied to the firearms or to the essential components.
- (i) the owner of the goods covered by the export authorisation and the import authorisation issued by the concerned third country, if the exporter is a broker.
- 2. The information referred to in paragraph 1, if contained in the import authorisation issued by the concerned third country, shall be provided by the exporter in advance to the third countries or territories through which the goods are transported, at the latest prior to the shipment.
- 3. Goods listed in Annex I may be exported provided that they are marked in accordance with Article 4 of Directive (EU) 2021/555.

Administrative simplifications Exemption to the requirement of an export authorisation

- 1. 1. Simplified administrative procedures for No export authorisation shall be required
 for the temporary export or the re-export of goods listed in Annex 1 shall apply as follows in
 the following cases:
 - (a) no export authorisation shall be required for
 - the temporary export by hunters, historical reenactors or sport shooters as part of their accompanied personal effects, during a journey to a third country, provided that they provide to the competent authority at exit, through the **electronic licensing** system referred to in Article 28, at least 10 working days before bringing the goods out of the customs territory of the Union:
 - (<u>i)</u> the reasons for the journey, in particular by producing an invitation or other proof of the hunting, historical reenactment or sport shooting activities in the third country of destination;

- (ii) the European Firearms Pass referred to in Article 17 of Directive (EU) No 2021/555 or the authorisation to possess, pursuant Directive (EU) No 2021/555, goods listed in Annex I;
- (<u>iii)</u> which of the firearms as specified in the European Firearms Pass and other goods, besides firearms, listed in Annex I <u>are</u> intended <u>to</u> be brought out of the customs territory of the Union and the reasons for the type and amount of those goods which shall be appropriate <u>forto</u> the reasons of the journey. The amount of ammunition shall be limited to a maximum of 800 rounds for hunters and a maximum of 1 200 rounds for sport shooters,

In the case of travel by air, the European Firearms Pass shall be produced to the competent authority where the relevant items are handed over to the airline for transport out of the customs territory of the Union.

- (<u>b</u>) the re-export, within 90 days from the entry in to the customs territory, by hunters, historical reenactors or sport shooters as part of their accompanied personal effects following temporary admission for hunting, historical reenacting or sport shooting activities, provided that:
 - (i) the goods listed in Annex I remain the property of a person established outside the customs territory of the Union and that goods are re-exported to that person,
 - (ii) the goods are re-exported within 90 days from the entry in to the customs territory,
 - (iii) the person concerned notifies the exit from the customs territory of the Union by providing provides the reference number of the import authorisation to the customs authority at exit and the exporter mentions the reference number of the declaration for temporary admission inthe re-export declaration;
- (iiic) non-Union goods leaving the customs territory of the Union after passing through the territory of one or several Member States whilst placed under a

- customs transit procedure started in a third country with a final where both the customs office of departure and destination are located in a third country;
- (ivd) Union-goods leaving the customs territory of the Union temporarily whilst moved under a customs transit procedure passing through a country or territory outside the customs territory of the Union with the final destination in the Union, provided that the planned movement is notified to the competent authority of destination in advance through the system referred to in Article 28.:
 - (i) the transfer is authorised according to Directive (EU) 2021/555, where necessary.
 - (ii) the planned movement is notified to the competent authority of destination 10 working days in advance through the electronic licensing system referred to in Article 28.
- (b) In the case of travel by air, the European Firearms Pass shall be produced to the competent authority where the relevant items are handed over to the airline for transport out of the customs territory of the Union.
- 2. The competent authority shall provide the person submitting information in accordance with paragraph 1, point a with a reference number through the electronic licensing system referred to in Article 28.
- 3. (e) The competent authority of a Member State shall, for a period not exceeding 10 working days, suspend the process of export or, if necessary, otherwise prevent goods listed in Annex I from leaving the customs territory of the Union through that Member State, where they have grounds for suspicion that the reasons, referred to in **paragraph 1**, point (a)(i)₅₂ which were provided by hunters, historical reenactors or sport shooters are not in conformity with the relevant considerations and the obligations laid down in Article 18. In exceptional circumstances and for duly justified reasons, the suspension period referred to in this point may be extended by the competent authority to 30 working days. The competent authority shall communicate this decision to the customs authority via the electronic licensing system referred to in Article 28.

(d) The competent authority shall provide the person submitting information in accordance with point (a) with a reference number. The information submitted to the competent authority shall be available in the system referred to in Article 28.

2

Article 17a

Simplified export authorisation

- 1. By way of derogation from Article 14(2) a simplified export authorisation set out in Annex III, part II may be usedissued for:
 - (a) the re-export, within 180 days, of goods listed in Annex I following temporary admission for evaluation, exhibition, or inward processing for repair, provided that the goods remain the property of a person established outside the customs territory of the Union and are re-exported to that person and that the exporter mentions the reference number of the declaration for temporary admission or inward processing in the re-export declaration;
 - (b) the re-export, within 90 days, of goods listed in Annex I held in temporary storage from the moment they enter the customs territory of the Union until their exitre-export;
 - (c) the temporary export of goods listed in Annex I for the purpose of evaluation and repair and exhibition, provided that the exporter proves the lawful possession of those goods.
 - 3. The applicant shall indicate in the application for the simplified export authorisation for which of the three categories mentioned in paragraph 2 they are claiming the benefit of the administrative simplification. The applicant shall provide the application for the in points

 (a) and (b) of this paragraph shall be granted by the competent authority of the Member State which granted the import authorisation in accordance according to Article 10.

The simplified export authorisation set out in Annex III, Part II to in point (c) of this paragraph shall be granted by the competent authority of the Member State where the applicant is established.

- 2. The application for the export authorisation as referred to in paragraph 1 shall be submitted through the electronic licensing system referred to in Article 28- and shall include the following:
- <u>4</u>: With respect to paragraph 1, point (a)(ii) and paragraph 2, point ____ (a) the <u>identification</u>
 of one of the three purposes mentioned in paragraph 1 of this Article;
 - (b) the name, identification number, address of the exporter shall mention the reference number of the declaration for temporary admission or inward processing in the re-export declaration and contact details;
 - (c) the particulars of the firearms, including the name of the manufacturer or brand, the country or place of manufacture, the serial number and the year of manufacture, if not already part of the serial number, and the model where feasible;
 - (d) the date and unique reference number of the authorisation to own or possess a firearm and of the import authorisation from the non-EU country; or, where applicable, a reference to the authorisation, pursuant to Directive (EU) 2021/555, to manufacture, acquire, possess, hold or trade goods listed in Annex I to this Regulation; and,
 - (e) in cases of re-export of previously temporarily imported goods listed in Annex I, the reference to the customs declaration under which the goods where brought into the Customs territory of the Union.
- 3. The competent authority shall process applications for simplified export authorisations within a period of time, which shall not exceed 20 working days, from the date on which all required information has been provided to the competent authority. Under exceptional circumstances and for duly justified reasons, that period may be extended to 40 working days. The simplified export authorisation shall be issued through the electronic licensing system referred to in Article 28.
- 3. The applicant shall indicate in the application for the simplified export authorisation for which of the three categories mentioned in paragraph 2 they are claiming the benefit of the administrative simplification. The applicant shall provide the application for the simplified export authorisation set out in Annex III, Part II to the electronic licensing system referred to in Article 28.

- 4. With respect to paragraph 1, point (a)(ii) and paragraph 2, point (a) the exporter shall mention the reference number of the declaration for temporary admission or inward processing in the reexport declaration
- 4. The requirements of Article 18 and Article 19 shall be taken into account when granting the export authorisations for the cases referred to in paragraph 1.
- 5. When goods listed in Annex I are temporary exported using an ATA carnet the customs authorities shall inform the competent authority through available the electronic means system referred to in Article 28 of that export.

Obligations of Member States

- 1. In deciding whether to grant an export authorisation under this Regulation, the competent authority shall take into account all relevant considerations including:
 - (a) their obligations and commitments as parties to the relevant international export control arrangements or relevant international treaties;
 - (b) considerations of national foreign and security policy, including those covered by Common Position 2008/944/CFSP;
 - (c) considerations as to intended end use, consignee, identified final recipient and the risk of diversion.
- 2. In addition to the relevant considerations set out in paragraph 1, when assessing an application for an export authorisation, the competent authority shall take into account the application by the applicant of proportionate and adequate means and procedures to ensure compliance with the provisions and objectives of this Regulation and with the terms and conditions of the authorisation.
- 3. In deciding whether to grant an export authorisation under this Regulation, the competent authority shall respect the obligations with regard to sanctions imposed by decisions adopted by the Council or by a decision of the Organisation for Security and Cooperation

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- in Europe (OSCE) or by a binding resolution of the Security Council of the United Nations, in particular as regards arms embargoes.
- 4. Before the competent authority grant an export authorisation, theyit shall take into account all available refusals under this Regulation. That authority may first consult the concerned competent authority of another Member State. If, following such consultation, the competent authority decided to grant an authorisation, theyit shall notify the concerned competent authority in the other Member States, providing all relevant information to explain the decision.
- 5. The competent authority shall monitor the compliance of authorisation throughout the duration of the authorisation. The monitoring activities including controls shall be based on risk management.

Refusals to grant and annullment, suspension, modification, annullments, suspensions, modifications or revoking revocations of an export authorisations

- 1. The competent authority shall:
- (a) refuse to grant an export authorisation if any of the following conditions apply:
 - (ia) the applicant is a natural person and has a criminal record concerning a conduct constituting an offence listed in Article 2(2) of Framework Decision 2002/584/JHA, or concerning any other conduct provided that it constituted an offence punishable by a maximum penalty of at least four years of imprisonment;
 - (iib) the applicant is a legal person, and one of the following persons has a criminal record referred to in point (ia):
 - the applicant;
 - the person(s) in charge of the applicant or exercising control over its management;

- (iiic) goods listed in Annex I to this Regulation were declared lost, stolen or otherwise sought for seizure;
- (b) annul, suspend, modify or revoke an export authorisation if the conditions for granting it were not met or are no longer met.
- (d) there are indications suggesting that any of the persons mentioned in (a) or (b) constitute a security threat or a threat to public safety.
- 2. For the purpose of paragraph 1, including verification of the criminal record,

 competent authority of a Member State shall consult the European Criminal Record

 Information System 'ECRIS'.
- 2.3. The Commission shall, by means of implementing acts, establish the system to be used for the purpose of information on criminal records and if goods listed in Annex I were communication between Member States regarding firearms declared lost, stolen, under investigation or otherwise sought for seizure. Those implementing acts shall be adopted in accordance with the advisory examination procedure referred to in Article 37(2).
- 3. 4. Where tThe competent authority shall refuse annul, suspend, modify or revoke an export authorisation they shall make that information available to the customs authority through the electronic licensing system referred to in Article 28 if the conditions for granting it were not met or are no longer met. Where the competent authority take those decisions, it shall make that information available to the customs authority through the electronic licensing system referred to in Article 28.
- 4<u>5</u>. Where the competent authority have suspended an export authorisation, their final decision shall be made available to the other Member States at the end of the period of suspension, through the electronic licensing system as referred to in Article 28.
- 56. Where the competent authority have refused to grant an export authorisation, their final decision shall be registered in the system referred to in Article 29.

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Proof of receipt

- 1. Within two months after the exit from the customs territory of the Union, the exporter shall provide to the competent authority that issued the export authorisation, proof of the receipt of the dispatched shipment of the goods listed in Annex I in the third country of import, which shall notably be ensured by producing the relevant customs import documents. This notification shall be done through the electronic licensing system referred to in Article 28.
- 2. In the absence of such a proof of receipt of the dispatched shipments as referred to in paragraph 1-of this Article Member States' competent authority that issued the export authorisation shall request from the customs authority of export to confirm that the customs formalities related to the exit of goods have been carried out and that the goods listed in Annex I have exited the customs territory of the Union. Member States shall, in case of suspicion, request the importing third country to confirm receipt of the dispatched shipment of the goods.

Article 21

CHAPTER IV SUPERVISION AND CONTROLS

Article 22

Post shipment checks

- 1. The competent authority granting the export authorisation may carry out post-shipment checks to ensure that the export of goods listed in Annex I are in line with the commitments made in the end-user statement as set out in Annex IV- or that the goods have arrived at the planned final destination.
- 2. Competent authorities, and customs authorities and the Commission shall cooperate with each other and if necessary, with the authorities of third countries in order to verify the commitments made in the end-user statement as set out in Annex IV. or the arrival of the goods at the planned final destination. Controls may be conducted, where appropriate in third countries, provided that such third countries agree, through in cooperation with the authorities of those third countries. The Commission may support the Member States in conducting such controls.

Article 23

Exchange of information and cooperation between authorities

- 1. To ensure the enforcement of this Regulation;
 - (a) the Commission, competent authorities and customs authorities shall cooperate in accordance with Article 47(2) of Regulation (EU) No 952/2013; and;
 - (b) the customs authorities may communicate, in accordance with Article 12(1) of Regulation (EU) No 952/2013, confidential information acquired by the customs authorities in the course of performing their duties, or provided to the customs authorities on a confidential basis, to the Commission and the competent authority.

- 2. The risk information, including risk analysis and control results, relevant for the enforcement of this Regulation and, in particular, in relation to suspicion of illicit trafficking of goods listed in Annex I shall be exchanged and processed
 - (a) between customs authorities in accordance with Article 46(5) of Regulation (EU) No 952/2013:
 - (b) between customs authorities and the Commission in accordance with Article 47(2) of Regulation (EU) No 952/2013;
 - (c) between customs authorities and competent authorities, including competent authorities of other Member States, in accordance with Article 47(2) of Regulation (EU) No 952/2013.
- 3. The information exchange and processing as set out in paragraph 2, points (a) and (b) of this Article shall be done by means of the system established for those purposes by Article 16(1) of Regulation (EU) No 952/2013.
- 4. The information exchange between customs authorities and competent authorities
 shall be done by established national means or through the electronic licensing system
 referred to in Article 28.
- 5. Regulation (EC) No 515/97 shall apply mutatis mutandis to measures under this Article.

Procedures at import and export

- 1. When completing customs formalities for goods listed in Annex I the declarant shall state, in the customs declaration or re-export declaration, the reference number provided to the importer or exporter authorisation granted by the competent authority-under Articles 9, 10, 14 or 17a or the reference number provided by the competent authority in accordance with Article 17.
- 2. All information and documentation necessary to demonstrate the conformity of the goods listed in Annex I shall be provided **by the importer or exporter**, in accordance with the

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- 3. When the interconnection referred to in Article 28(1), point (d) is operational, the customs authority shall verify upon acceptance of a customs declaration for import or export or a re-export declaration of goods listed in Annex I, the customs authority shall verify the validity of the authorisation through the Single Window Environment for Customs.
- 4. Where all requirements and formalities established in the Union customs and firearms legislation relating to the import or export have been fulfilled, the customs authority shall release the goods listed in Annex I to a customs procedure or re-export. The release of the goods listed in Annex I shall be communicated to the competent authority through the Single Window Environment for Customs
- 5. Without prejudice to any powers conferred on them under Regulation (EU) No 952/2013, customs authority shall, for a period not exceeding 10 working days not release the goods listed in Annex I to a customs procedure or re-export and inform through electronic means established national means or the electronic licensing system referred to in Article 28 the competent authority, which shall take the decision on the treatment of those goods, if they have grounds for suspicion that:
 - (a) relevant information was not taken into account when the authorisation was granted; or
 - (b) circumstances have materially changed since the authorisation was granted.; or
 - (c) the goods may fall under the scope of this Regulation.

In exceptional circumstances and for duly substantiated reasons, that period may be extended to 30 working days. If the competent authority does not respond within the given time frame the customs authority shall release the goods in accordance with Article 194 of Regulation (EU) No 952/2013.

6. Customs authority shall refuse the release of the goods listed in Annex I for the customs procedure concerned, if they, in other circumstances than those mentioned in paragraph 5, points (a) and (b), have grounds to believe that those goods do not comply with this

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Regulation. In such a case, they shall <u>inform</u> through <u>established national means or the</u> electronic <u>means informlicensing system referred to in Article 28</u> the competent authority, which shall take the decision on the treatment of those goods.

The competent authority shall in those cases reply to the customs authority through electronic means established national means or the electronic licensing system referred to in Article 28 within 10 working days.

In exceptional circumstances and for duly substantiated reasons, the period referred to in the paragrpah 6, second subparagraph of this Article may be extended to 30 working days. If the competent authority does not respond within the given time frame the customs authority shall release the goods in accordance with Article 194 of Regulation (EU) No 952/2013.

Article 25

Detection of a non-compliant shipment

- 1. If a customs authority discovers a shipment of goods listed in Annex I that does not comply with the obligations set out in this Regulation, the customs authority it shall take appropriate measures to ensure that the goods remains under customs supervision and without delaywithin 24 hours inform the competent authority thereof.
- The competent authority shall without delay decide decide within a period not exceeding 10 working days on the handling of the goods and inform the customs authority of that decision. In exceptional circumstances and for duly substantiated reasons, this period can be extended to 30 working days.
- 3. The customs authority shall ensure that the decision of the competent authority regarding goods under customs supervision is executed in accordance with the customs legislation. Article 6(1) shall not prevent the customs authority from applying Article 198(2) of Regulation (EU) 952/2013. The importer shall be responsible for any costs for destruction shall be born in accordance with Article 198(3) of Regulation (EU) 952/2013.
- 4. If the shipment of non-compliant goods was dispatched from or destined to another Member State, the competent authority of the Member State where the shipment of goods

- was discovered shall inform the competent authority of the Member State of dispatch or destination of the measures taken regarding the goods and the reasons thereof.
- 5. In case of suspicion of illicit trafficking of goods listed in Annex I, the goods should be seized or retained and the information related to the goods seized or retained during customs controls shall be shared by the customs authority with the competent authority.
- 6. Seizure or retention data shall include, if available, the following information:
 - (a) the particulars of the firearm, including the name of the manufacturer or brand, the country or place of manufacture, the serial number and the year of manufacture, if not already part of the serial number, and the model where feasible, as well as the quantities;
 - (b) the category of the firearm, in line with Annex I;
 - (c) information about manufacture: including the reactivation of deactivated firearms, the conversion of alarm and signal weapons, hand-made firearms manufactured by additive manufacturing or any other information of interest;
 - (d) the country of origin;
 - (e) the country of dispatch;
 - (f) the country of destination;
 - (g) the means of transport and the nationality of the transportation company or person, including as the case may be 'container', 'lorry or van', 'personal vehicle', 'bus or coach', 'train', 'commercial aviation', 'general aviation' or 'postal freight and parcels';
 - (h) the place and type of the seizure <u>or retention</u>, including as the case may be 'inland', 'border crossing point', 'land border', 'airport' or 'maritime port'.
- 7. The Commission shall, by means of implementing acts, establish determine the system to be used by the customs authorities and the competent authorities, in the same and different Member States for sharing information with each other on goods listed in Annex I in accordance with this Article. This system shall allow for yearly statistical information

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<u>on seizures or retentions of goods listed in Annex I.</u> Those implementing acts shall be adopted in accordance with the <u>advisoryexamination</u> procedure referred to in Article 37-(2).

CHAPTER V

ADMINISTRATION, DIGITALISATION AND COOPERATION

Article 26

Information storage for import and export, export and re-export of the goods listed in Annex I

- 1. Member States shall keep, for not less than 20 years, all information relating to importation export and re-export of goods listed in Annex I, which is necessary to trace and identify those goods, and to prevent and detect illicit trafficking therein.
- 2. The information in paragraph 1 of this Article shall include information in accordance with Article 16(1):) mutatis mutandis.
- 3. Paragraph 1 shall not apply to imports and exports as referred to in Article 11(1), point (a) and Article 17(1), point (a)(i) and Article 17(217a(1), point (c).

Article 27

Administrative fees

Member States may apply a fee to cover the administrative costs of handling applications for authorisations.

Article 28

Electronic licensing system

1. The Commission shall establish and maintain an electronic licensing system for import and export authorisations, registrations and decisions related to them pursuant to Articles 9, 10, 11, 12, 14, 17 and 1717a.

The electronic licensing system shall provide at least for the following functionalities:

(a) registration of persons authorised, pursuantentitled to Directive (EU) No 2021/555, to manufacture, acquire, possessrequest an authorisation or trade goods listed in

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Annex I import, export or transit of the goods, simplification under this Regulation and, if applicable, the inclusion of the Economic Operators Registration and Identification (EORI) number in accordance with Article 9 of Regulation (EU) No 952/2013 in the registration profile;

- (b) allow for the electronic procedure to request, grant and issue an authorisation <u>or</u> <u>simplification</u> pursuant to this Regulation;
- (c) allow for the interconnection with the national electronic licensing system for requesting, granting and issuing authorisation authorisations or simplifications pursuant to this Regulation in the Member States;
- (d) interconnection with national customs authorities via the EU Customs Single Window Environment for Customs, referred in Article 4 of the Regulation (EU) 2022/2399, including quantity management of authorised goods where necessary;
- (e) allow the risk profiling by competent authorities and the customs authorities of persons authorised or registered in accordance with this Regulation to import, export or movere-export the goods listed in Annex I and profiling of those goods;
- (f) allow administrative assistance and cooperation between competent authorities and the Commission to exchange information and statistics regarding the use of the electronic licensing system;

(g(g) allow for exchange of information between competent authorities for the implementation of this Regulation;

(h) allow communication between competent authorities and persons authorised, pursuant to Directive (EU) No 2021/555, to manufacture, acquire, possessrequesting an authorisation or trade goods listed in Annex I for the purposes of implementation of this Regulationsimplification;

(h(i) allow communication between competent authorities and customs authorities for the purpose of implementation of this Regulation;

(i) with the exception of personal data, allow for statistics of the following information; the number of authorisations and refusals, the quantities and values of actual imports

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- and exports of goods listed in Annex I, by origin and by destination; the information on seizures or retentions of goods listed in Annex I.
- 2. The Commission shall, by means of implementing acts, establish rules for the functioning of the electronic licensing system, including rules relating to processing of personal data and exchange of data with other IT systems. Those implementing acts shall be adopted in accordance with the **examination** procedure referred to in Article 37-(2).
- 3. The Commission shall provide access to the electronic licensing system to:
 - (a) customs authorities and competent authorities for the purpose of executing their obligations under this Regulation and the customs legislation;
 - (b) person authorised, pursuant to Directive (EU) No 2021/555, to manufacture, acquire, possess or trade goods listed in Annex I for the purposes of fulfilling their respective obligations under this Regulation. (b) person requesting an authorisation or simplification. Those persons shall only have access to information regarding themselves;
 - (c) the relevant Commission services for the purpose of maintanence of the system, exchange of data according to paragraph 1, point (e) and <u>(f) and</u> collection of data in accordance with paragraph 1, point (gi).
- 4. The Commission shall provide for interconnection between the electronic licensing system and the electronic national licencing systems, if established.
- 5. This electronic licensing system shall be in place <u>at least 24 within [XX or less]</u> months after the date of entry into force of this Regulation.

Article 29

Exchange of information Information and reporting obligations

1. The Commission, in consultation with the Coordination Group established by Article 33, shall <u>develop or</u> choose a secure and encrypted system to support direct cooperation and the exchange of information between competent authorities regarding refusals to grant import or export authorisations. <u>This system shall also allow for yearly statistical information on the number of refusals.</u>

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- 2. Member States shall communicate on 1 July of each year to the Commission a report on the alarm and signal weapons classified as non-convertible. Those reports shall be discussed within the Coordination Group referred to in Article 33.
- Member States shall report <u>every two years</u> to the Coordination Group on the results of the monitoring of authorisations. The reports shall be discussed in the Coordination Group established by Article 33.
- 3. The Commission shall, by means of implementing acts, establish rules for the functioning of the exchange of information between Member States regarding refusals to grant import or export authorisations. Those implementing acts shall be adopted in accordance with the **examination** procedure referred to in Article 37-(2).

CHAPTER VI

GENERAL AND FINAL PROVISIONS

Article 30

Secure procedures

- 1. Member States shall take such measures as may be necessary to ensure that their authorisation procedures are secure and that the authenticity of authorisation documents can be verified or validated.
- 2. Verification and validation may also, where appropriate, be ensured by means of diplomatic channels.

Article 31

Tasks for competent authorities

- 1. In order to ensure that this Regulation is properly applied, Member States shall take necessary and proportionate measures to enable their competent authority to:
 - (a) enforce, with any necessary measures the application of this Regulation, including confiscation and sale or destruction of goods listed in Annex I;
 - (b) gather information on any order or transaction involving goods listed in Annex I; and
 - (c) establish that the obligations of a person under this Regulation are being properly fulfilled, which may, in particular, include the power to enter the premises of that person and other persons with an interest in transaction concerned.
- 2. Upon request of a third country of export that is a State Party to the UN Firearms Protocol at the time of the export, the competent authority of the Member State issuing the import authorisation used for the export from the third country shall confirm the import of the goods listed in Annex I concerned by the import authorisation.

Article 32

Enforcement

- 1. Member States shall lay down the rules on penalties applicable to infringements of this Regulation and shall take all measures necessary to ensure that they are implemented. The penalties provided for shall be effective, proportionate and dissuasive.
- 2. The whistle-blower-protection regime established by Directive (EU) No 2019/1937 shall apply to persons who report breaches of this Regulation.

Article 33

Expert Coordination group

- 1. A Firearms Imports and Exports Coordination Group (the 'Coordination Group') chaired by a representative of the Commission shall be set up. It shall be composed of representatives of the competent authorities referred to in Article 34(2).
- 2. The Coordination Group shall examine any question on the application of this Regulation which may be raised either by the Chair or by a representative of the competent authorities referred to in Article 34(2). The processing and use of the information carried out in accordance with this paragraph shall comply with the provisions of Article 23(5) concerning its confidentiality.
- 3. The Chair of the Coordination Group or the Coordination Group shall, whenever necessary, consult any relevant stakeholders concerned by this Regulation.

Article 34

Implementation tasks

- 1. Each Member State shall inform the Commission of the laws, regulations and administrative provisions adopted in implementation of this Regulation, including the measures referred to in Article 32.
- 2. By ...[six months after the date of entry into force of this Regulation] each Member State shall **designate and** inform the other Member States and the Commission of the national authority or authorities competent for implementing this Regulation.

- 3. Based on that information, the Commission shall publish and update on its website a list of those authorities on a yearly basis.
- 4. Upon request of the Coordination Group and in any event every 10 years, the Commission shall review the implementation of this Regulation and present a report to the European Parliament and the Council on its application, which may include proposals for its amendment. Member States shall provide the Commission with all appropriate information for the preparation of the report. The Commission shall publish a first interim application report by 5 years after the entry into force of this Regulation.

Article 35 Delegated acts

The Commission is empowered to adopt delegated acts in accordance with Article 36 to:

- (a) amend Annex I to this Regulation on the basis of the amendments to Annex I to Regulation (EEC) No 2658/87, and on the basis of the amendments to Annex I to Directive (EU) 2021/555;
- (b) amend Annex II and III to this Regulation;

Article 36

Power to adopt delegated acts

- 1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.
- 2. The power to adopt delegated acts referred to in Article 35 shall be conferred on the Commission for an indeterminate period of time.
- 3. The delegation of power referred to in Article 35 may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the *Official Journal of the European Union* or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.

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- 4. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.
- 5. A delegated act adopted pursuant to Article 35 shall enter into force only if no objection has been expressed either by the European Parliament or the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council.

Article 37 Committee procedure

- 1. The Commission shall be assisted by a committee. This committee shall be a committee within the meaning of Regulation (EU) No 182/2011.
- 2. Where reference is made to this paragraph the examination procedure referred to in Article 5 of Regulation (EU) No 182/2011 shall apply.

Article 38

Transitional periodprovisions

- 1. Authorisations for the import or export of goods listed in Annex I, subject to

 Articles 9, 10, 14, and 17a and granted before the [date of entry into application of

 Articles 9, 10, 14, and 17a)] shall remain valid for a maximum twelve month

 period from [date of entry into application of Articles 9, 10, 14 and 17a)].
- 2. Authorisations for the import or export of goods listed in Annex I, requested before [date of entry into application of Articles 9, 10, 14 and 17a] and pending on that date, shall be granted in accordance with the provisions applicable before that date. These authorisations shall be valid for a maximum twelve month period from [date of entry into application of Articles 9, 10, 14 and 17a)].

Article 39

Repeal

Regulation (EU) No 258/2012 is repealed.

References to the repealed Regulation shall be construed as references to this Regulation and shall be read in accordance with the correlation table in Annex V to this Regulation.

Article 40

Entry into force and application

- 1. This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.
- 2. It shall apply from 48 months... [xx months after the date of entry into force of this Regulation].
- 3. Notwithstanding paragraph 2, Articles 2a, 32(2), 8(32), 9(6), 9a(7), 14(47), 19(2), 25(7), 28, 29, 32, 33, 34, 35, 36, 37, 38, and 40 shall apply from ... [the date of entry into force of this Regulation].

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels,

For the European Parliament For the Council
The President The President

ANNEX I

I: List of firearms and ammunitions, according to Directive (EU) 2021/555.

DESCRIPTION		NC CODE		
Category A – prohibited firearms				
(1)	Explosive military missiles and launchers.	9301 10 00		
		9301 20 00		
		9306 90 10		
(2)	Automatic firearms.	9301 90 00		
(3)	Firearms disguised as other objects.	ex 9302 00 00		
		ex 9303 10 00		
		ex 9303 90 00		
		9301 90 00		
		ex 9303 20 10		
		ex 9303 20 95		
(4)	Ammunition with penetrating, explosive or	9306 30 30		
	incendiary projectiles, and the projectiles for such ammunition.	9306 90 10		
		ex 9306 21 00		
(5)	Pistol and revolver ammunition with expanding	ex 9306 30 10		
	projectiles and the projectiles for such ammunition, except in the case of weapons for hunting or for	9306 30 30		
	target shooting, for persons entitled to use them.			
(6)	Automatic firearms which have been converted into semi-automatic firearms.	9301 90 00		
		1		

		ex 9302 00 00
(7)	Any of the following centre-fire semi-automatic firearms:	
	(a) short firearms which allow the firing of more than 21 rounds without reloading, if:	ex 9302 00 00
	 a loading device with a capacity exceeding 20 rounds is part of that firearm; or 	
	 a detachable loading device with a capacity exceeding 20 rounds is inserted into it; 	
	(b) long firearms which allow the firing of more than 11 rounds without reloading, if:	ex 9303 30 00
	 a loading device with a capacity 	9301 90 00
	exceeding 10 rounds is part of that firearm; or	ex 9303 90 00
	 a detachable loading device with a capacity exceeding 10 rounds is 	ex 9303 20 10
	inserted into it.	ex 9303 20 95
(8)	Semi-automatic long firearms, that is to say	9301 90 00
	firearms that are originally intended to be fired from the shoulder, that can be reduced to a length of less	ex 9303 20 10
	than 60 cm without losing functionality by means of	ex 9303 20 95
	a folding or telescoping stock or by a stock that can be removed without using tools.	ex 9303 30 00
		ex 9303 90 00
(9)	Any firearm in this category that has been converted	9301 90 00
	to firing blanks, irritants, other active substances or pyrotechnic rounds, or into a salute or acoustic	ex 9302 00 00
	weapon.	ex 9303 20 10
		ex 9303 20 95
		ex 9303 30 00

		ex 9303 90 00				
Cate	Category B – Firearms subject to authorisation					
(10)	Repeating short firearms	ex 9302 00 00				
(11)	Single-shot short firearms with centre-fire percussion.	ex 9302 00 00				
(12)	Single-shot short firearms with rimfire percussion whose overall length is less than 28 cm.	ex 9302 00 00				
(13)	Semi-automatic long firearms the loading device and chamber of which can together hold more than	ex 9303 20 10				
	three rounds in the case of rimfire firearms, and more than three but fewer than twelve rounds in the	ex 9303 20 95 ex 9303 30 00				
	case of centre-fire firearms.	ex 9303 90 00				
(14)	Semi-automatic short firearms other than those listed under point 7(a) of category A.	ex 9302 00 00				
(15)	Semi-automatic long firearms listed under	ex 9303 20 10				
	point 7(b) of category A the loading device and chamber of which cannot together hold more than	ex 9303 20 95				
	three rounds, where the loading device is detachable	ex 9303 30 00				
	or where it is not certain that the weapon cannot be converted, with ordinary tools, into a weapon the	ex 9303 90 00				
	loading device and chamber of which can together hold more than three rounds.					
(16)	Repeating and semi-automatic long firearms with	ex 9303 20 10				
	smooth-bore barrels not exceeding 60 cm in length	ex 9303 20 95				
(17)	Any firearm in this category that has been converted	ex 9302 00 00				
	to firing blanks, irritants, other active substances or pyrotechnic rounds, or into a salute or acoustic	ex 9303 20 10				

	weapon.	ex 9303 20 95
		ex 9303 30 00
		ex 9303 90 00
(18)	Semi-automatic firearms for civilian use which	ex 9302 00 00
	resemble weapons with automatic mechanisms other than those listed under point 6, 7 or 8 of	ex 9303 20 10
	category A	ex 9303 20 95
		ex 9303 30 00
		ex 9303 90 00
Cate	gory C –Firearms and weapons subject to declarati	ion
(19)	Repeating long firearms other than those listed in	ex 9303 20 95
	point 7 of category B.	ex 9303 30 00
		ex 9303 90 00
(20)	Long firearms with single-shot rifled barrels.	ex 9303 20 95
		ex 9303 30 00
		ex 9303 90 00
(21)	Semi-automatic long firearms other than those listed	ex 9303 30 00
	in category A or B	ex 9303 20 10
		ex 9303 20 95
		ex 9303 90 00
(22)	Single-shot short firearms with rimfire percussion	ex 9302 00 00
	whose overall length is not less than 28 cm	
(23)	Any firearm in this category that has been converted	ex 9303 20 10
	to firing blanks, irritants, other active substances or	ex 9303 20 95

	pyrotechnic rounds, or into a salute or acoustic weapon.	ex 9303 30 00 ex 9303 90 00
(24)	Firearms classified in category A or B or this category that have been deactivated in accordance with Implementing Regulation (EU) 2015/2403.	ex 9304 00 00
(25)	Single-shot long firearms with smooth-bore barrels	9303 10 00
	placed on the market on or after 14 September 2018.	ex 9303 20 10
		ex 9303 20 95

II: Firearms and ammunitions others than listed in part I and essential components.

(26)	Collections and collectors' pieces of historical interest Antiques of an age exceeding 100 years	ex 9705 10 00 ex 9706 10 00 ex 9706 90 00
(27)	A many mitient the commister may also the commence of	27 2601 00 00
(27)	Ammunition: the complete round or the components thereof, including cartridge cases, primers, propellant powder, bullets or projectiles, that are	ex 3601 00 00 9306 21 00
	used in a firearm, provided that those components	ex 9306 29 00
	are themselves subject to authorisation in the relevant Member State	ex 9306 30 10
		ex 9306 30 30
		ex 9306 30 90
		ex 9306 90 10
(2.8)		ex 9306 90 90
(28)	Any essential components of firearms, even semi-	ex 9305 10 00

finished, including semi-finished firearms.	ex 9305 20 00
	ex 9305 91 00
	ex 9305 99 00

III: Non-convertible alarm and signal weapons

(29)	Non-convertible alarm and signal weapons referred	ex 9303 90 00
	to in Article 8 of this Regulation	ex 9304 00 00

IV: Silencers

(30) Silencers	ex 9305 10 00
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For the purposes of this Annex:

- 'short firearm' means a firearm with a barrel not exceeding 30 centimetres or whose overall length does not exceed 60 centimetres;
- 'long firearm' means any firearm other than a short firearm;
- 'automatic firearm' means a firearm which reloads automatically each time a round is fired and can fire more than one round with one pull on the trigger;
- 'semi-automatic firearm' means a firearm which reloads automatically each time a
 round is fired and can fire only one round with one pull on the trigger;
- 'repeating firearm' means a firearm which, after a round has been fired, is designed to be reloaded from a magazine or cylinder by means of a manually-operated action;
- 'single-shot firearm' means a firearm with no magazine which is loaded before each shot by the manual insertion of a round into the chamber or a loading recess at the breech of the barrel.
- (1) Based on the Combined Nomenclature of goods as laid down in Council Regulation (EEC) No 2658/87 of 23 July 1987 on the tariff and statistical nomenclature and on the Common Customs Tariff.

(2) When an 'ex' code is indicated, the scope is to be determined by application of the CN code and corresponding description taken together.

ANNEX II

Part I

(template for import authorisation forms)

(referred to in Article 99a of this Regulation)

When granting import authorisations, Member States will strive to ensure the visibility of the nature of the authorisation on the form issued.

This is an import authorisation valid in all Member States of the Union until its expiry date.

EUROPEAN UNI	ON	IMPORT OF FIRE	ARMS (Reg	gulation (EU) No)
Type of authorisat	ion			
Single	multiple <u>nation</u>	al general		
I ntra-EU Transit M	lovements before import applicable? Yes	External-transit	procedure f	or non-union goods
applicable? Yo	es			
Non-convertible al	larm and signal weapons	Deactivated <u>certified</u> firearms		
		Deactivated firearms without certificate		
1	1. importer No	2. identification number of the authorisation ⁵	3. expiry d	ate
	(EORI number if applicable)	4. contact point details		
=	g			
Authorisation	5. consignee(s) (EORI number if applicable)	6. issuing authority		
7. Agent(s)/Representative(s) No				
A I		8. country(ies) of import		Code ⁶
	(If different from importer) (EORI	9. country(ies) of export and nu	imber(s) of	Code ²

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For completion by the competent authority.

⁶ See Council Regulation (EC) No 1172/95 (OJ L 118. 25.5.1995, p.10).

	number if applicable)	export authorisation(s)	
	10. Final recipient(s) (if know at the time of the shipment) (EORI number if applicable)	11. Third countries of transity consignment is passing thrapplicable)	
		12. Member State(s) of intended the customs import procedure	entry into Code ²
	13. Description of the items	14. Harmonised System or Corapplicable – 8 digits)	mbined Nomenclature Code (if
	13a. Marking	15. currency and value	16. quantity of the items
	UN fireamrs protocol compliant		
	EU firearms Directive compliant		
	17. End use	18. contract date (if applicable)	19. customs import procedure
	20. Additional information required by nati	onal legislation (to be specified on	the form)
	Available for pre-printed information		
	At c	liscretion of Member States	
		For completion by issuing authority	
		Signature	Stamp
		Issuing authority	
		Place and date	
EUROPEAN	UNION		
1a. (a separate ter be filled in consignee)	mplate shall 1. importer for each	2. identification number	9. country of import and number of import authorisation
Aut hori	u u	5. consignee	

13.1 description of the items	14. commodity code (if appli	cable with 8 digits)
13a. Marking	15. Currency and Value	16. Quantity of the items
13.2 description of the items	14. commodity code (if appli	cable with 8 digits)
13a. Marking	15. Currency and Value	16. Quantity of the items
13.3 description of the items	14. commodity code (if appli	cable with 8 digits)
13a. Marking	15. Currency and Value	16. Quantity of the items
13.4 description of the items	14. commodity code (if appli	cable with 8 digits)
13a. Marking	15. Currency and Value	16. Quantity of the items
13.5 description of the items	14. commodity code (if appli	cable with 8 digits)
13a. Marking	15. Currency and Value	16. Quantity of the items
13.6 description of the items	14. commodity code (if appli	cable with 8 digits)
13a. Marking	15. Currency and Value	16. Quantity of the items

Note: A separate template shall be filled in for each consignee, in line with the 1a template. In part 1 of column 22, indicate the quantity still available and in part 2 of column 22, indicate the quantity deducted at this occasion.

21. Net quantity/value (net mass/other unit	24. Customs	25. Member State,
with indication of unit)	document (Type and	name and signature,

22. In numbers	23. In words for quantity/value deducted	number) or extract (Nr) and date of deduction	stamp of deduction
1			
2			
1			
2			
1			
2			
1			
2			
1			
2			
1			
2			
1			
2			

<u>Part II</u>

(referred to in Article 10 of this Regulation)

The application for an import authorisation referred to in Article 10 shall include the following:

	the name, identification number, address of the applicant/importer and contact details;
_	a proof or statement of absence of a criminal record concerning conduct constituting an offence listed in Article 2(2) of Council Framework Decision 2002/584/JHA, or concerning any other conduct provided that it constituted an offence punishable by a
	maximum penalty of at least four years of imprisonment;
_	which of the purposes listed in Article 10 for which the simplified authorsation is applied for;
	the particulars of the firearms, including the name of the manufacturer or brand, the
	country or place of manufacture, the serial number and the year of manufacture, if not
	already part of the serial number, and the model where feasible;
(31)	the date and unique reference number of the authorisation, or equivalent, to own or posses a firearm and of the export authorisation from the non-EU country; or, where applicable, a reference to the authorisation, pursuant to Directive (EU) 2021/555, to manufacture, acquire, possess, hold or trade goods listed in Annex I;
(32)	and, in cases of re-import of previously exported goods listed in Annex I, the reference to

of the Customs territory of the Union.

ANNEX III

Part I

(template for export authorisation forms)

(referred to in Article 14 and 17a of this Regulation)

When granting export authorisations, Member States will strive to ensure the visibility of the nature of the authorisation on the form issued.

This is an export authorisation valid in all Member States of the Union until its expiry date.

EUROPEAN UNION		EXPORT OF FIREARMS	(Regulation (EU) No)
Type of authorisation			
Single mu	ltiple		
Intra-EU Transit after export a	pplicable yes		
Non-convertible alarm and sign	nal weapons	Deactivated firearms	
1a. Owner (if applicable)	1. applicant/exporter No	2. identification number of the authorisation 7 3. expiry	date
=	(EORI number if applicable)	4. contact point details	
Authorisation	5. consignee(s) (EORI number if applicable)	6. issuing authority	
Autl	Agent(s)/Representative(s) No	8. country(ies) of export 9. country(ies) of import and number(s)	Code ⁸ Code ⁴
	(If different from applicant/holder of the	of import authorisation(s)	

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⁷ For completion by the competent authority.

⁸ See Council Regulation (EC) No 1172/95 (OJ L 118. 25.5.1995, p.10).

	authorisation) (EORI number if applicable)		
	10. Final recipient(s) (if know at the time of the shipment) (EORI number if applicable)	11. Third countries of transitwo consignment is passing through applicable)	
	п аррисаоте)	12. Member State(s) of intend into the customs export procedure	
	13. Description of the items	14. Harmonised System or Coapplicable – 8 digits)	ombined Nomenclature Code (if
	13a. Marking	15. currency and value	16. quantity of the items
	17. End use	18. contract date (if applicable)	19. customs export procedure
	20. Additional information re	quired by national legislation (to l	be specified on the form)
		Available for pre-printed informa	ation
		At discretion of Member State	es
		For completion by issuing authority	
		Signature	Stamp
		Issuing authority	
		Place and date	
EUROPEAN UNION			
1a. (a separate template shall be filled in for each consignee)	1. Applicant/exporter	2. identification number	9. country of import and number of import authorisation
uo		5. consignee	
Authorisation	13.1 description of the items	e 14. commodity code (if ap	plicable with 8 digits)
Aut	13a. Marking	15. Currency and Value	16. Quantity of the items

13.2 description of the items	14. commodity code (if applicable with 8 digits)
13a. Marking	15. Currency and Value 16. Quantity of the items
13.3 description of the items	14. commodity code (if applicable with 8 digits)
13a. Marking	15. Currency and Value 16. Quantity of the items
13.4 description of the items	14. commodity code (if applicable with 8 digits)
13a. Marking	15. Currency and Value 16. Quantity of the items
13.5 description of the items	14. commodity code (if applicable with 8 digits)
13a. Marking	15. Currency and Value 16. Quantity of the items
13.6 description of the items	14. commodity code (if applicable with 8 digits)
13a. Marking	15. Currency and Value 16. Quantity of the items

Note: A separate template shall be filled in for each consignee, in line with the 1a template. In part 1 of column 22, indicate the quantity still available and in part 2 of column 22, indicate the quantity deducted at this occasion.

21. Net quantity/value	e (net mass/other unit	24. Customs	25. Member State,
with indication of unit)		document (Type and	name and signature,
		number) or extract	stamp of deduction
22. In numbers	23. In words for quantity/value deducted	(Nr) and date of deduction	
1			

2			
1			
2			
1			
2			
1			
2			
1			
2			
1			
2			
1			
2			
	1	Part II	

Part II

(referred to in Article 17 of this Regulation)

The simp	olified export authorisation shall include the following:
	the name, identification number, address of the applicant/exporter and contact details;
	which of the purposes listed in Article 10 for which the simplified authorisation is applied
;	for;

_	the particulars of the firearms, including the name of the manufacturer or brand, the
	country or place of manufacture, the serial number and the year of manufacture, if not
	already part of the serial number, and the model where feasible;
	the date and unique reference number of the authorisation to own or possess a firearm and
	of the export authorisation from the non-EU country; or, where applicable, a reference to
	the authorisation, pursuant to Directive (EU) 2021/555, to manufacture, acquire, possess,
	hold or trade goods listed in Annex I to this Regulation;
	and, in cases of re-export of previously temporarily imported goods listed in Annex I, the
	reference to the customs declaration under which the goods where brought in to the
	Customs territory of the Union.

ANNEX IV

End-user statement

The end-user statement is to include at least the following information:

- details of the exporter (including name, address, business name and, if available, company registration number);
- details of the end-user (including name, address, business name and, if available, company registration number);
- country of final destination;
- description of the goods, including, if available, the contract number or order number;
- if applicable, quantity or value of the goods intended for export;
- signature, name and title of the end-user;
- name of the competent national authority in the country of final destination;
- import authorisation issued by the relevant national authorities according to national practice (including the date, name, title and original signature of the authorising official);
- the date of issue of the end-user statement:
- if applicable, a unique identifying number or contract number relating to the end-user statement;
- a commitment that the products concerned will not be re-exported without the express agreement of the Member State issuing the export authorisation and a commitment that the products will only be used for civilian purposes;
- if appropriate, details of the relevant broker (including name, address, business name and, if available, company registration number).

IANNEX V

Correlation table

Regulation (EU) No 258/2012	This Regulation
Article 1	Article 1
Article 2, introductory wording	Article 2, introductory wording
Article 2, point 1	Article 2, point 1
Article 2, point 2	-
-	Article 2, point 2
Article 2, point 3	Article 2, point 3
-	Article 2, point 4
-	Article 2, point 5
Article 2, point 4	Article 2, point 6
Article 2, point 5	Article 2, point 7
-	Article 2, point 8
Article 2, point 7	Article 2, point 9
Article 2, point 9	Article 2, point 10
-	Article 2, point 11
-	Article 2, point 12
-	Article 2, point 13
-	Article 2, point 14
-	Article 2, point 15
	I

-	Article 2, point 16
-	Article 2, point 17
-	Article 2, point 18
-	Article 2, point 19
Article 2, point 10	Article 2, point 20
-	Article 2, point 20
-	Article 2, point 21
-	Article 2, point 22
-	Article 2, point 23
Article 2, point 6	Article 2, point 24
-	Article 2, point 25
Article 2, point 8	Article 2, point 26
-	Article 2, point 27
-	Article 2, point 28
-	Article 2, point 29
-	Article 2, point 30
-	Article 2, point 31
Article 2, point 14	Article 2, point 32
Article 2, point 11	Article 2, point 33
-	Article 2, point 34
-	Article 2, point 35

	Article 2, point 36
Article 2, point 12	-
-	Article 2, point 37
-	Article 2, point 38
-	Article 2, point 39
Article 2, point 13	Article 2, point 40
Article 2, point 15	Article 2, point 41
Article 2, point 16	Article 2, point 42
-	Article 2, point 43
-	Article 2, point 44
-	Article 2, point 45
-	Article 2, point 46
-	Article 2, point 47
-	Article 2, point 48
-	Article 2, point 49
Article 3(1), points (a), (c) and (f)	Article 3(1), points (a), (b) and (c)
Article 3(1), points (b), (d) and (e)	-
Article 3(2)	-
-	Article 4
-	Article 5
-	Article 6

-	Article 7
-	Article 8
-	Article 9
-	Article 10
-	Article 11
-	Article 12
-	Article 13
-	Article 14(1) first sentence
Article 4(1), first and second sentence	Article 14(1) second and third sentence
-	Article 14(1) forth sentence
Article 4(2)	-
-	Article 14(2)
-	Article 14(3)
Article (4(3)	Article 14(4)
Article 5	Article 35(1), introductory wording and point (a)
-	Article 35(1), point (b) and (c)
Article 6	Article 36
Article 7(1)	Article 15(1)
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