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**PROPOSAL**

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From:	Secretary-General of the European Commission, signed by Mr Jordi AYET PUIGARNAU, Director
date of receipt:	30 May 2018
To:	Mr Jeppe TRANHOLM-MIKKELSEN, Secretary-General of the Council of the European Union

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Subject:	ANNEX to the Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on specific provisions for the European territorial cooperation goal (Interreg) supported by the European Regional Development Fund and external financing instruments
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Delegations will find attached document COM(2018) 374 final - ANNEX.

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Encl.: COM(2018) 374 final - ANNEX



Strasbourg, 29.5.2018  
COM(2018) 374 final

ANNEX

ANNEX

*to the*

**Proposal for a**

**REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL**

**on specific provisions for the European territorial cooperation goal (Interreg) supported  
by the European Regional Development Fund and external financing instruments**

{SEC(2018) 268 final} - {SWD(2018) 282 final} - {SWD(2018) 283 final}

## ANNEX

### TEMPLATE FOR INTERREG PROGRAMMES

<b>CCI</b>	[15 characters]
<b>Title</b>	[255]
<b>Version</b>	
<b>First year</b>	[4]
<b>Last year</b>	[4]
<b>Eligible from</b>	
<b>Eligible until</b>	
<b>Commission decision number</b>	
<b>Commission decision date</b>	
<b>Programme amending decision number</b>	[20]
<b>Programme amending decision entry into force date</b>	
<b>NUTS regions covered by the programme</b>	
<b>Component of Interreg</b>	

#### **1. Programme strategy: main development challenges and policy responses**

##### **1.1. Programme area (not required for component 4 Interreg programmes)**

*Reference: Article 17(4)(a), Article 17(9)(a)*

*Text field [2 000]*

##### **1.2. Summary of main joint challenges, taking into account economic, social and territorial disparities, joint investment needs and complimentary with other forms of support, lessons-learnt from past experience and macro-regional strategies and sea-basin strategies where the programme area as a whole or partially is covered by one or more strategies.**

*Reference: Article 17(4)(b), Article 17(9)(b)*

*Text field [50 000]*

##### **1.3. Justification for the selection of policy objectives and the Interreg specific objectives, corresponding priorities, specific objectives and the forms of support, addressing, where appropriate, missing links in cross-border infrastructure**

*Reference: Article 17(4)(c)*

Table 1

Selected policy objective or selected Interreg-specific objective	Selected specific objective	Priority	Justification for selection
			[2 000 per objective]

## 2. Priorities [300]

*Reference: Article 17(4)(d) and (e)*

### 2.1. Title of the priority (repeated for each priority)

*Reference: Article 17(4)(d)*

Text field: [300]

☐ This is a priority pursuant to a transfer under Article 17(3)

#### 2.1.1. Specific objective (repeated for each selected specific objective, for priorities other than technical assistance)

*Reference: Article 17(4)(e)*

#### 2.1.2 Related types of action, including a list of planned operations of strategic importance, and their expected contribution to those specific objectives and to macro-regional strategies and sea-basis strategies, where appropriate

*Reference: Article 17(4)(e)(i), Article 17(9)(c)(ii)*

Text field [7000]

*List of planned operations of strategic importance*

Text field [2000]

#### **For component 4 Interreg programmes:**

*Reference Article 17(9)(c)(i)*

*Definition of a single beneficiary or a limited list of beneficiaries and the granting procedure*

Text field [7000]

#### 2.1.3 Indicators

*Reference: Article 17(4)(e)(ii), Article 17(9)(c)(iii)*

Table 2: Output indicators

Priority	Specific objective	ID [5]	Indicator	Measurement unit [255]	Milestone (2024) [200]	Final target (2029) [200]

Table 3: Result indicators

Priority	Specific objective	ID	Indicator	Measurement unit	Baseline	Reference year	Final target (2029)	Source of data	Comments

#### 2.1.4 The main target groups

*Reference: Article 17(4)(e)(iii), Article 17(9)(c)(iv)*

*Text field [7000]*

#### 2.1.5 Specific territories targeted, including the planned use of ITI, CLLD or other territorial tools

*Reference: Article 17(4)(e)(iv)*

*Text field [7000]*

#### 2.1.6 Planned use of financial instruments

*Reference: Article 17(4)(e)(v)*

*Text field [7000]*

#### 2.1.7 Indicative breakdown of the EU programme resources by type of intervention

*Reference: Article 17(4)(e)(vi), Article 17(9)(c)(v)*

Table 4: Dimension 1 – intervention field

Priority no	Fund	Specific objective	Code	Amount (EUR)

Table 5: Dimension 2 – form of financing

Priority no	Fund	Specific objective	Code	Amount (EUR)

Table 6: Dimension 3 – territorial delivery mechanism and territorial focus

Priority No	Fund	Specific objective	Code	Amount (EUR)

## 2.T. Technical assistance priority

*Reference: Article 17(4)(f) ETC*

*Text field [8000]*

Priority No	Fund	Code	Amount (EUR)

## 3. Financing plan

*Reference: Article 17(4)(g)*

### 3.1 Financial appropriations by year

*Reference: Article 17(4)(g)(i), Article 17(5)(a)(i)-(iv)*

Table 7

Fund	2021	2022	2023	2024	2025	2026	2027	Total
ERDF								
IPA III CBC <sup>1</sup>								
Neighbourhood CBC <sup>2</sup>								
IPA III <sup>3</sup>								
NDICI <sup>4</sup>								
OCTP Greenland <sup>5</sup>								
OCTP <sup>6</sup>								
Interreg Funds <sup>7</sup>								

<sup>1</sup> Component 1, external cross-border cooperation

<sup>2</sup> Component 1, external cross-border cooperation

<sup>3</sup> Components 2 and 4

<sup>4</sup> Components 2 and 4

<sup>5</sup> Components 2 and 4

<sup>6</sup> Components 3 and 4

<sup>7</sup> ERDF, IPA III, NDICI or OCTP, where as single amount under Components 2 and 4

<i>Total</i>								
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### **3.2 Total financial appropriations by fund and national co-financing**

*Reference: Article 17(4)(g)(ii), Article 17(5)(a)(i)-(iv), Article 17(5)(b)*

Table 8\*

PO No or TA	Priority	Fund (as applicable)	Basis for calculation EU support (total or public)	EU contribution (a)	National contribution (b)=(c)+(d)	Indicative breakdown of the national counterpart		Total (e)=(a)+(b)	Co-financing rate (f)=(a)/(e)	Contributions from the third countries (for information)
						National public (c)	National private (d)			
	<b>Priority 1</b>	ERDF								
		IPA III CBC <sup>8</sup>								
		Neighbourhood CBC <sup>9</sup>								
		IPA III <sup>10</sup>								
		NDICI <sup>11</sup>								
		OCTP Greenland <sup>12</sup>								
		OCTP <sup>13</sup>								
		Interreg Funds <sup>14</sup>								
	<b>Priority 2</b>	(funds as above)								
	<b>Total</b>	<b>All funds</b>								
		ERDF								
		IPA III CBC								
		Neighbourhood CBC								
		IPA III								
		NDICI								
		OCTP Greenland								
		OCTP								
		Interreg Funds								
	<b>Total</b>	<b>All funds</b>								

\* Prior to the mid-term review, this table includes the amounts for the years 2021-2025 only.

<sup>8</sup> Component 1, external cross-border cooperation

<sup>9</sup> Component 1, external cross-border cooperation

<sup>10</sup> Components 2 and 4

<sup>11</sup> Components 2 and 4

<sup>12</sup> Components 2 and 4

<sup>13</sup> Components 3 and 4

<sup>14</sup> ERDF, IPA III, NDICI or OCTP, where as single amount under Components 2 and 4



**4. Action taken to involve the relevant programme partners in the preparation of the Interreg programme and the role of those programme partners in the implementation, monitoring and evaluation**

*Reference: Article 17(4)(h)*

Text field [10 000]

**5. Approach to communication and visibility for the Interreg programme, including the planned budget**

*Reference: Article 17(4)(i)*

Text field [10 000]

**6. Implementing provisions**

**6.1. Programme authorities**

*Reference: Article 17(7)(a)*

*Table 10*

<b>Programme authorities</b>	<b>Name of the institution [255]</b>	<b>Contact name [200]</b>	<b>E-mail [200]</b>
Managing authority			
National authority (for programmes with participating third countries, if appropriate)			
Audit authority			
Group of auditors representatives (for programmes with participating third countries, if appropriate)			
Body to which the payments are to be made by the Commission			

**6.2. Procedure for setting up the joint secretariat**

*Reference: Article 17(7)(b)*

Text field [3 500]

**6.3 Apportionment of liabilities among participating Member States and where applicable, the third countries and OCTs, in the event of financial corrections imposed by the managing authority or the Commission**

*Reference: Article 17(7)(c)*

## APPENDICES

- Map of the programme area
- **Reimbursement of eligible expenditure from the Commission to the Member State based on unit costs, lump sums and flat rates**
- **Financing not linked to cost**

**Appendix 1: Map of the programme area**

**Appendix 2: Reimbursement of eligible expenditure from the Commission to the Member State based on unit costs, lump sums and flat rates**

**Reimbursement of eligible expenditure from the Commission to the Member State based on unit costs, lump sums and flat rates**

**Template for submitting data for the consideration of the Commission**

**(Article 88 CPR)**

Date of submitting the proposal	
Current version	

**A. Summary of the main elements**

Priority	Fund	Estimated proportion of the total financial allocation within the priority to which the SCO will be applied in % (estimate)	Type(s) of operation		Corresponding indicator name(s)		Unit of measurement for the indicator	Type of SCO (standard scale of unit costs, lump sums or flat rates)	Corresponding standard scales of unit costs, lump sums or flat rates
			Code	Description	Code	Description			

**B. Details by type of operation (to be completed for every type of operation)**

**Did the Managing Authority receive support from an external company to set out the simplified costs below?**

**If so, please specify which external company:** Yes/No – Name of external company

Types of operation:

1.1. Description of the operation type	
1.2 Priority /specific objective(s) concerned	
1.3 Indicator name <sup>15</sup>	
1.4 Unit of measurement for indicator	
1.5 Standard scale of unit cost, lump sum or flat rate	
1.6 Amount	
1.7 Categories of costs covered by unit cost, lump sum or flat rate	
1.8 Do these categories of costs cover all eligible expenditure for the operation? (Y/N)	
1.9 Adjustment(s) method	
1.10 Verification of the achievement of the unit of measurement - describe what document(s) will be used to verify the achievement of the unit of measurement - describe what will be checked during management verifications (including on-the-spot), and by whom - describe what the arrangements are to collect and store the data/documents	
1.11 Possible perverse incentives or problems caused by this indicator, how they could be mitigated, and the estimated level of risk	
1.12 Total amount (national and EU) expected to be reimbursed	

<sup>15</sup> Several complementary indicators (for instance one output indicator and one result indicator) are possible for one type of operation. In these cases, fields 1.3 to 1.11 should be filled in for each indicator.

**C: Calculation of the standard scale of unit costs, lump sums or flat rates**

1. Source of data used to calculate the standard scale of unit costs, lump sums or flat rates (who produced, collected and recorded the data; where the data are stored; cut-off dates; validation, etc.):

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2. Please specify why the proposed method and calculation is relevant to the type of operation:

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3. Please specify how the calculations were made, in particular including any assumptions made in terms of quality or quantities. Where relevant, statistical evidence and benchmarks should be used and attached to this annex in a format that is usable by the Commission.

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4. Please explain how you have ensured that only eligible expenditure was included in the calculation of the standard scale of unit cost, lump sum or flat rate;

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5. Assessment of the audit authority(ies) of the calculation methodology and amounts and the arrangements to ensure the verification, quality, collection and storage of data:

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### **Appendix 3: Financing not linked to costs**

#### **Template for submitting data for the consideration of the Commission**

##### **(Article 89 CPR)**

Date of submitting the proposal	
Current version	

**A. Summary of the main elements**

Priority	Fund	<i>The amount covered by the financing not linked to costs</i>	Type(s) of operation	Conditions to be fulfilled/results to be achieved	Corresponding indicator name(s)		Unit of measurement for the indicator
					Code	Description	
The overall amount covered							

**B. Details by type of operation (to be completed for every type of operation)**

Types of operation:

1.1. Description of the operation type			
1.2 Priority /specific objective(s) concerned			
1.3 Conditions to be fulfilled or results to be achieved			
1.4 Deadline for fulfilment of conditions or results to be achieved			
1.5 Indicator definition for deliverables			
1.6 Unit of measurement for indicator for deliverables			
1.7 Intermediate deliverables (if applicable) triggering reimbursement by the Commission with schedule for reimbursements	Intermediate deliverables	Date	Amounts
1.8 Total amount (including EU and national funding)			
1.9 Adjustment(s) method			
1.10 Verification of the achievement of the result or condition (and where relevant, the intermediate deliverables) - describe what document(s) will be used to verify the achievement of the result or condition - describe what will be checked during management verifications (including on-the-spot), and by whom - describe what arrangements there are to collect and store the data/documents			
1.11 Arrangements to ensure the audit trail  Please list the body(ies) responsible for these arrangements.			