



Council of the  
European Union

Brussels, 3 May 2016  
(OR. en)

8338/16  
ADD 1

AGRI 209  
PHYTOSAN 9  
AGRILEG 56  
CODEC 533

#### "I/A" ITEM NOTE

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From:	General Secretariat of the Council
To:	Permanent Representatives Committee/Council
No. Cion doc.:	9574/13 - COM(2013) 267 final
Subject:	Proposal for a Regulation of the European Parliament and of the Council on protective measures against pests of plants - <i>Political agreement</i>

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Having regard to the Treaty on the Functioning of the European Union, and in particular  
Article 43 (2), thereof,

Having regard to the proposal from the European Commission,

After transmission of the draft legislative act to the national Parliaments,

Having regard to the opinion of the European Economic and Social Committee<sup>1</sup>,

Having regard to the opinion of the Committee of the Regions<sup>2</sup>,

Acting in accordance with the ordinary legislative procedure,

Whereas:

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<sup>1</sup> OJ C , , p. .

<sup>2</sup> OJ C , , p. .

- (1) Council Directive 2000/29/EC of 8 May 2000 on protective measures against the introduction into the Community of organisms harmful to plants or plant products and against their spread within the Community <sup>3</sup>sets out a plant health regime.
- (2) On 21 November 2008, the Council invited the Commission to proceed to an evaluation of that plant health regime<sup>4</sup>.
- (3) In the light of the outcome of that evaluation and the experience gained from the application of Directive 2000/29/EC, that Directive should be replaced. To ensure uniform application of the new rules, the act replacing that Directive should take the form of a Regulation.
- (4) Plant health is very important for plant production, **forests [...], natural and planted areas,** natural ecosystems, ecosystem services and biodiversity in the Union. Plant health is threatened by species injurious to plants and plant products [...] **which now present a greater risk of being introduced into the Union territory owing to globalisation of trade and climate change.** To fight that threat, it is necessary to adopt measures concerning the determination of the phytosanitary risks posed by those pests and the reduction of those risks to an acceptable level.
- (5) The need for such measures has long been recognised. They have formed the subject of international agreements and international conventions, including the International Plant Protection Convention (IPPC) of 6 December 1951 concluded at the United Nations Food and Agricultural Organisation (FAO) and its new revised text approved by the Food and Agriculture Organisation Conference in November 1997 at its 29th session. The Union **and all its Member States are [...]** **parties** to the IPPC.

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<sup>3</sup> OJ L 169, 10.7.2000, p. 1.

<sup>4</sup> Council of the European Union, 2906th Economic and Financial Affairs / Budget, 21 November 2008. Council Conclusions on the Review of the EU Plant Health Regime. Document no. 104228.

- (6) It has appeared that for the determination of the scope of this Regulation it is important to take into account bio-geographical factors to avoid that pests not present in the European territory of the Union spread to that territory. Consequently, **Ceuta, Melilla and [...]** outermost regions of Member States referred to in Article 355(1) TFEU, **other than the islands of Madeira and the Azores,** should be excluded from the territorial scope of this Regulation. [...]. References to third countries should be read as references also to the **abovementioned** territories [...].
- (7) Directive 2000/29/EC sets out rules concerning official controls to be carried out by the competent authorities as regards protective measures against the introduction into the Community of organisms harmful to plants or plant products and against their spread within the Community. [...]. **It requires the Member States to carry out adequate and efficient control measures. Such adequate and efficient official controls measures should also be preserved in the future. As part of the "Smarter Rules for Safer Food" package, this Regulation only provides for a limited number of provisions on official controls since those rules should be provided for in the framework of the proposed horizontal legislation on official controls.**
- 8) Criteria should be set out in order to allow pests to be identified for which it is necessary to adopt measures **to prevent introduction into and spread within [...]** the entire Union territory. Such pests are referred to as 'Union quarantine pests'. Criteria should also be set out for the identification of pests for which it is necessary to adopt measures of control only as regards one or more parts of that territory. Such pests are referred to as 'protected zone quarantine pests'. **In case those pests are plants, the implementation of this Regulation should focus in particular on plants which are parasitic to other plants, when they are most injurious to plant health.**
- (9) In order to allow efforts for the control of Union quarantine pests to concentrate on pests whose **potential** economic, environmental or social impact is most severe for the Union territory as a whole, a restricted list of such pests, hereinafter 'priority pests', should be established.

(10) [...]

(11) In order to ensure effective and timely action in case of the presence of a Union quarantine pest, notification obligations should apply to [...] the Member States, **professional operators and the public**.

(12) Where those notification obligations imply that personal data of natural or legal persons should be disclosed to the competent authorities, this may constitute a limitation of Article 8 (Protection of Personal Data) of the Charter on Fundamental Rights. However that limitation would be necessary and proportionate to achieve the public interest objective of this Regulation.

(13) A professional operator **or other person suspecting or** becoming aware of the presence of a Union quarantine pest in a plant, plant product or other object which is or was under its control should be under an obligation to **notify to the competent authority that suspicion or awareness and** take all measures that may be appropriate as regards the elimination of the pest, the withdrawal or recall of the plants, plant products or other objects concerned and the information of the competent authority, other persons in the trade chain and the public.

(14) Member States should take all necessary **phytosanitary** measures to eradicate Union quarantine pests, when found present in their territories. It is appropriate to set out measures which may be taken by Member States in such a case and the principles based on which they are to decide what measures to take. Those measures should include the establishment of [...] **demarcated** areas, consisting of an infested zone and a buffer zone **and, when applicable, the determination of actions which should be taken by a professional operator or other person in order to eliminate the quarantine pest or to prevent the spread of that pest**.

- (15) In certain cases, Member States should impose measures for the eradication of quarantine pests on plants in private premises, because eradication of pests can only be successful if all sources of infestation are removed. For this purpose, the competent authorities of Member States should have legal access to those premises. This may constitute a limitation of Article 7 (Respect for Private and Family Life) and Article 17 (Right to Property) of the Charter on Fundamental Rights. That limitation [...] **should be** necessary and proportionate to achieve the public interest objective of the regime [...].
- (16) **Prevention and** early detection of the presence of pests is extremely important for timely and effective eradication. Member States should therefore conduct surveys for the presence of Union quarantine pests in the areas where those pests were not known to be present. In view of the number of Union quarantine pests and the time and resources required to carry out those surveys, Member States should establish multi-annual survey programmes.
- (17) The Commission should be empowered to adopt measures in case of the suspected or confirmed presence of specific Union quarantine pests, concerning in particular their eradication and containment, and the establishment of [...] **demarcated** areas, surveys, contingency plans, simulation exercises and **action** [...] plans as regards those pests.
- (17a) Where a Union quarantine pest has become established in a demarcated area and cannot be eradicated, the Commission should adopt Union measures as regards the containment of that pest in that area.**
- (18) In order to ensure swift and effective action against pests which are no Union quarantine pests but which Member States consider may fulfil the conditions for inclusion in the list of Union quarantine pests, provision should be made for measures to be taken by Member States in case they become aware of the presence of such a pest. Similar provisions should be set out for the Commission.
- (19) Under certain conditions Member States should be allowed to adopt more stringent [...] measures than required by Union legislation.

- (20) Special provisions should apply to priority pests as regards the information of the public, surveys, contingency plans, **simulation exercises, action** [...] plans **for eradication** and co-financing of measures by the Union, in particular.
- (21) Quarantine pests which are present in the Union territory but absent from specific parts of that territory designated as 'protected zones', and whose presence would have unacceptable economic, social or environmental impacts only for those protected zones, should be specifically identified and listed as 'protected zone quarantine pests'. The introduction into, movement within, and release into the respective protected zones of protected zone quarantine pests should be prohibited.
- (22) Rules should be set out concerning the recognition, modification or revocation of recognition of protected zones, survey obligations for protected zones, [...] actions to be taken in case protected zone quarantine pests are found present in the respective protected zones, **as well as the establishment of temporary protected zones**. In case of findings of the presence of the protected zone quarantine pest inside the respective protected zone, strict rules should apply for the amendment and revocation of those protected zones.
- (23) A pest, which is **not a** Union quarantine pest, should be referred to as a 'Union [...] **regulated non-quarantine** pest' in case that pest is mainly transmitted through specific plants for planting, its presence on those plants for planting has an unacceptable economic impact as regards the intended use of those plants and it is listed as a Union [...] **regulated non-quarantine** pest. To limit the presence of such pests their introduction into or movement within the Union territory on the plants for planting concerned should be prohibited [...] **where those pests are present at an incidence above a certain threshold**.
- (24) Certain plants, plant products and other objects pose an unacceptable [...] risk by their likelihood to host a Union quarantine pest. For some of those, acceptable risk mitigation measures are available, while not for others. Depending on the availability of acceptable risk mitigation measures, their introduction into, and movement within, the Union territory should be either prohibited or subject to special requirements. Those plants, plant products and other objects should be listed.

**(24a) In addition to the measures taken to manage the unacceptable risk of the plants, plant products and other objects thereof, this Regulation should provide risk based and preventive measures to protect the Union territory from pests that a plant, plant product or other object originating from a third country might introduce, on the basis of a preliminary assessment of this high risk. That preliminary assessment should take into account specific criteria as appropriate for the plant, plant product or other object concerned. To that purpose scientific opinions or studies of the IPPC, the European and Mediterranean Plant Protection Organisation (EPPO), the European Food Safety Authority (EFSA) or Member State authorities should be taken into account. On the basis of that preliminary assessment, the list of those high risk plants, plant products or other objects should be established and their introduction into the Union territory should be prohibited, pending a risk assessment carried out in accordance with IPPC standards. Those plants, plant products or other objects should be other than the ones whose introduction in the Union territory is prohibited or subject to special and equivalent requirements, on the basis of a pest risk analysis, or which are subject to the temporary prohibitions laid down in this Regulation.**

- (25) Derogations from the prohibitions or special requirements as regards the introduction of plants, plant products and other objects into the Union territory should be provided for. The Commission should be empowered to recognise certain measures of third countries as equivalent to the requirements for the movement within the Union territory of plants, plant products and other objects concerned.
- (26) Those prohibitions or requirements should neither apply to small quantities of plants, plant products and other objects, other than plants for planting, for non-commercial and non-professional purposes nor to the introduction into and movement within frontier zones of plants, plant products and other objects. [...].

**(26a) It is appropriate to provide for exemptions from the prohibition of introduction into and movement within the Union territory of pests, plants, plant products and other objects which are subject to those prohibitions and which are intended for certain purposes such as official testing, scientific or educational purposes, trials, varietal selection, and breeding. Proper safeguards should be set and information should be provided to those concerned.**

**(26b) Plants moving into the Union from third countries and moving through postal services are in many cases non-compliant with the phytosanitary requirements of the Union. In order to raise awareness, specific rules concerning the information to be provided to travellers and clients of postal services should be set out.**

(27) A derogation from the Union rules for introduction into and movement within the Union territory should be provided for plants, plant products and other objects in **phytosanitary transit, subject to specific conditions**.

(28) The international trade of plants, **plant products and other objects** [...] with which there is limited phytosanitary experience can **potentially** involve [...] **unacceptable** risks of the establishment of quarantine pests [...] **which are not yet listed as Union quarantine pests and** for which no measures have been adopted pursuant to this Regulation. In order to ensure swift and effective action against **those** newly identified **or suspected pest** risks associated with plants [...], **plant products and other objects** which are not subject to permanent requirements or prohibitions, but may qualify for such permanent measures, the Commission should have the possibility to adopt temporary measures in accordance with the precautionary principle **and identify those plants, plant products and other objects taking into account objective and established elements.**

(29) It is necessary to set out prohibitions and special requirements, similar to those set out for the Union territory, in respect of the introduction into and movement within protected zones of plants, plant products and other objects that would pose a [...] risk of an unacceptable level by their likelihood to host the respective protected zone quarantine pest.



- (30) General requirements should be adopted concerning vehicles, **machinery** and packaging material of plants, plant products and other objects to ensure that they are free from quarantine pests.
- (31) Member States should designate **confinement facilities and** quarantine stations. Requirements concerning the designation, **authorisation**, operation and supervision of those **confinement facilities and** quarantine stations as well as the release of plants, plant products or other objects from those **facilities or** stations should be set out. Where those requirements include the maintenance of lists of staff and visitors entering the stations, this may constitute a limitation of Article 8 (Protection of Personal Data) of the Charter on Fundamental Rights. However that limitation would be necessary and proportionate to achieve the public interest objective of this Regulation.
- (32) [...]
- (33) [...]
- (33b) **The Commission should keep a publicly available, updated list of all notifications it has received concerning emerging plant pests in third countries which may pose a risk to plant health in the Union territory.**
- (34) In order to ensure effective implementation of this Regulation, **certain** professional operators subject to obligations under this Regulation should be registered in registers set up by the Member States. **Requirements for such a registration, as well as exceptions from such an obligation, should be set out** [...].
- (35) [...]
- (36) To facilitate the detection of the source of an infestation by a quarantine pest, it is appropriate to require professional operators to keep records in respect of the plants, plant products and other objects supplied to them by professional operators and supplied by them to other professional operators. In view of the latency periods of some quarantine pests, and the time required for the detection of the source of infestation, records should be kept for **at least** three years.

- (37) Professional operators should also have in place systems and procedures to allow identification of the movements of [...] plants, plant products and other objects within **and between** their own premises.
- (38) A phytosanitary certificate should be required for the introduction from third countries into the Union territory, and into protected zones, of certain plants, plant products and other objects. Those plants, plant products and other objects should be listed in the interest of [...] **transparency.**
- (38a) Phytosanitary certificates should also be required for the introduction of other plants from third countries into the Union territory. This is important in order to ensure an appropriate level of phytosanitary safety as well as effective overview of the import of those plants into the Union and the risks thereof. Those plants should, however, not be subject to the provisions on official controls at border control posts laid down in the relevant Union legislation.**
- (39) Those phytosanitary certificates should comply with the requirements of the IPPC and attest compliance with the requirements and measures established pursuant to this Regulation. In order to ensure the credibility of the phytosanitary certificates, rules should be established concerning the conditions of their validity and **invalidation** [...].
- (40) The movement within the Union territory, and into and within protected zones, of certain plants, plant products and other objects should only be permitted if accompanied by a plant passport, attesting compliance with the requirements and measures established pursuant to the provisions of this Regulation. Those plants, plant products and other objects should be listed in the interest of **transparency** [...].
- (41) Plant passports should not be required for plants, plant products and other objects [...] **supplied directly to** final users, **including home gardeners. However, certain exceptions should be set out.**

- (42) In order to ensure the credibility of the plant passports, rules should be established concerning their content[...] **and form.**
- (43) Plant passports should generally be issued by the **authorised** professional operator. [...] **The** possibility should exist that [...] **competent authorities may decide to issue** plant passports [...].
- (44) Rules should be set out for the issuance of plant passports, the examinations required for issuance, **the attaching of plant passports, the** authorisation and supervision of professional operators issuing plant passports, the obligations of authorised operators and the withdrawal of that authorisation.
- (45) In order to reduce the burden of authorised operators, examinations for issuing plant passports should, **where appropriate,** be combined with the examinations required under **Directives 66/401/EEC, 66/402/EEC, 68/193/EEC, 2002/54/EC, 2002/55/EC, 2002/56/EC, 2002/57/EC, 2008/72/EC and 2008/90/EC**[...].
- (46) Authorised operators should possess the necessary knowledge concerning pests.
- (47) Certain **authorised** operators may desire to establish a **pest** [...] risk management plan, ensuring and demonstrating a high level of competence and awareness as regards **pest** [...] risks as regards critical points in their professional activities and justifying special control arrangements with the competent authorities. Union rules should be established concerning the contents of those plans.
- (48) It is appropriate to provide for the replacement of plant passports and of phytosanitary certificates.
- (49) In cases of non-compliance with the Union rules, plant passports should be removed, invalidated and, for reasons of traceability, kept.

- (50) [...] International Standard for Phytosanitary Measures No 15 *Regulation of Wood Packaging Material in International Trade (ISPM15)* requires that wood packaging material is marked with a specific mark, applied by duly authorised and supervised professional operators. This Regulation should [...] **set out requirements concerning the treatment, marking and repairing of wood packaging material in line with the said standard. It should also set out rules for** the authorisation and supervision of professional operators in the Union territory applying that mark.
- (51) Where so required by a third country, the respective plants, plant products or other objects should move from the Union territory to that third country with a phytosanitary certificate for export or re-export. In respect of the relevant provisions of IPPC, those certificates should be issued by the competent authorities, respecting the contents of the model certificates for export and re-export set out by the IPPC. **Protection should be offered to third countries against Union quarantine pests because of their acknowledged harmful nature, except where a Union quarantine pest is officially known to be present in the third country concerned and not under official control, or where it can be reasonably assumed that that Union quarantine pest does not meet the criteria to qualify as a quarantine pest for the third country concerned.**
- (52) Where a plant, plant product or other object is moved through more than one Member States before it is exported to a third country, it is important that the Member State in which the plants, plant product or other objects were produced or processed exchanges information with the Member State which issues the phytosanitary certificate for export. This exchange of information is important to enable attestation of compliance with the requirements of the third country. Therefore, a harmonised 'pre-export certificate' should be established to ensure that the exchange of that information takes place in a uniform manner.
- (53) The Commission should establish an electronic system for the notifications required in accordance with this Regulation.

**(53a) In order to take into account the most severe economic, social or environmental impact for the Union territory of certain Union quarantine pests, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of listing priority pests.**

(54) In order to ensure that the exceptions for Union quarantine pests **and for plants, plant products and other objects originating from third countries or territories which are prohibited from being introduced into the territory of the Union,** used for **official testing,** scientific **or educational** purposes, trials, varietal **selection and** breeding [...] are implemented in a manner that does not pose any **pest** [...] risk to the Union territory or parts of it, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of rules concerning the exchange of information between Member States and the Commission as regards the introduction into, [...] movement within, **holding, multiplication and use in** the Union territory of the pests **and plants, plant products and other objects** concerned, the **procedure and conditions for granting the corresponding** [...] authorisation, and the monitoring of compliance[...] **as well as** the actions **to be taken** in case of non-compliance [...].

**(54a) In order to ensure the correct application of the derogations from the obligation to carry out annual surveys of demarcated areas, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of further specifying the pests concerned by those derogations and conditions for the application of those derogations.**

(55) [...]

(56) [...]

(57) [...]

- (58) In order to ensure that protected zones are established and function in a reliable manner, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of **detailed** rules **for the** [...] surveys to be carried out for purposes of the recognition of protected zones and [...] **for the preparation and the content of surveys on protected zone quarantine pests.**
- (59) In order to ensure a proportionate and restricted application of the exemptions concerning the movement of plants, plant products or other objects into or within frontier zones, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of rules concerning the maximum width of third country frontier zones and Member State frontier zones, the maximum distance of the movement of the plants, plant products and other objects concerned within the third country frontier zones and Member State frontier zones and the procedures concerning the authorisation of the introduction into and movement within the Member State frontier zones of plants, plant products and other objects.
- (60) [...]
- (61) In order to ensure that the registration of professional operators is proportionate to the objective of controlling **pest** [...] risk, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of rules setting out **further** categories of [...] professional operators to be exempted from the obligation to register [...], **particular requirements for the registration of certain categories of professional operators and the maximum figure for small quantities that the professional operators may supply to final users in order to be exempted from the obligation to register.**
- (62) In order to ensure the credibility of phytosanitary certificates of third countries which are not parties to the IPPC, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of rules supplementing the conditions for acceptance of phytosanitary certificates from those third countries.

- (63) In order to minimise the **pest** [...] risks of plants, plant products or other objects moved within the Union territory, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of rules setting out the **cases where,** [...] for [...] particular plants, plant products or other objects, **the exception from the requirement of issuing plant passports only applies for small quantities** [...].
- (64) In order to ensure the reliability of examinations of plants, plant products and other objects carried out for the issuance of plant passports, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of rules on visual examination, sampling and testing and the [...] **frequency and timing of the examinations.**
- (65) In order to enhance the credibility of plant passports, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of rules setting out **criteria** [...] to be fulfilled by the professional operators in order for them to be authorised to issue plant passports **and procedures ensuring that those criteria are met.**
- (65a) In order to ensure correct marking of wood packaging material and to take into account the development of international standards, and notably of ISPM15, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of amending and supplementing the requirements concerning wood packaging material, including its introduction into the Union territory, and of specifying the requirements for authorisation of registered operators to apply the mark of wood packaging material in the Union territory.**
- (66) [...]
- (67) In order to take into account the development of international standards, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of rules concerning attestations for [...] **plants, plant products and other objects**, other than wood packaging material, which would require the application of a specific attestation of compliance with the rules of this Regulation.

- (68) In order to ensure the utility and reliability of official attestations [...], the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of rules setting out the contents of official attestations, the authorisation and supervision of professional operators issuing those attestations, **as well as** [...] the **elements** [...] of the **export, re-export and** pre-export certificate.
- (69) In order to adapt to the **developments of** technical and scientific [...] **knowledge** and [...] **of international standards, in particular the standards of the IPPC and the EPPO**, [...] the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of rules amending the Annexes of this Regulation.
- (70) It is of particular importance that the Commission carry out appropriate consultations during its preparatory work, including at expert level. The Commission, when preparing and drawing up delegated acts, should ensure a simultaneous, timely and appropriate transmission of relevant documents to the European Parliament and to the Council.\*

(71) [...]

**(71a) In order to ensure uniform conditions for the implementation of this Regulation, implementing powers should be conferred on the Commission with respect to listing Union quarantine pests; setting out the format of reports on surveys, as well as instructions on how to fill in that format; establishing the format of the multiannual survey programmes and related practical arrangements; setting out measures against specific Union quarantine pests; and adopting measures for a limited time as regards the risks posed by pests not listed as Union quarantine pests.**

**(71b) In order to ensure uniform conditions for the implementation of this Regulation implementing powers should be conferred on the Commission with respect to establishing a list of protected zones and of the respective protected zone quarantine pests; and amending the size of, or revoking, protected zones.**

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\* Text to be aligned in accordance with the Interinstitutional Agreement in force at the time of the adoption of this Regulation.



(71c) In order to ensure uniform conditions for the implementation of this Regulation implementing powers should be conferred on the Commission with respect to listing of Union regulated non-quarantine pests and the plants for planting concerned; and setting out measures to prevent the presence of Union regulated non-quarantine pests on the respective plants for planting.

(71d) In order to ensure uniform conditions for the implementation of this Regulation implementing powers should be conferred on the Commission with respect to listing the plants, plant products and other objects which are prohibited from being introduced into the Union territory, and the third countries concerned; listing the plants, plant products and other objects subject to special requirements, and the special requirements concerned for their introduction into, and movement within, the Union territory; the provisional listing of the high-risk plants, plant products and other objects whose introduction into the Union territory is to be prohibited, and the third countries concerned; the procedure for risk assessment in connection with that listing; setting out, for third countries, requirements that are equivalent to the requirements for movement within the Union territory of plants, plant products or other objects; setting out arrangements for the presentation and use of posters and brochures concerning the introduction of plants, plant products and other objects into the Union territory; setting out specific conditions or measures concerning the introduction of particular plants, plant products and other objects into frontier zones of Member States; adoption of temporary measures concerning plants, plants products and other objects likely to pose newly identified pest risks or other suspected phytosanitary risks; adopting decisions on temporary measures taken by Member States concerning imminent danger; listing of plants, plant products and other objects which are prohibited from being introduced into certain protected zones; listing the plants, plant products and other objects subject to special requirements, and the special requirements concerned for their introduction into, and movement within, certain protected zones; and laying down rules concerning the requirements on quarantine stations and confinement facilities, and the release of plants, plant products and other objects from those stations and facilities.

(71e) In order to ensure uniform conditions for the implementation of this Regulation implementing powers should be conferred on the Commission with respect to setting out shorter or longer minimum periods for keeping the records concerning traceability by the professional operators, and requirements as to the accessibility of those records.

(71f) In order to ensure uniform conditions for the implementation of this Regulation implementing powers should be conferred on the Commission with respect to listing of the plants, plant products and other objects, and the respective third countries of origin or dispatch, for which a phytosanitary certificate is to be required for their introduction into the Union territory; listing of the plants, plant products and other objects, and the respective third countries of origin or dispatch, for which a phytosanitary certificate is to be required for their introduction into certain protected zones from those third countries; listing of the plants, plant products and other objects, the third countries concerned, and the maximum quantity subject to be exempted from the requirement for a phytosanitary certificate when introduced in the Union territory; and setting out technical arrangements concerning the invalidation of the electronic phytosanitary certificates.

(71g) In order to ensure uniform conditions for the implementation of this Regulation implementing powers should be conferred on the Commission with respect to listing of the plants, plant products and other objects, for which a plant passport is to be required for their movement within the Union territory; listing of the plants, plant products and other objects for which a plant passport is to be required for their introduction and movement within certain protected zones; and specifying the protected zone pests, plants, plant products or other objects for which a plant passport for protected zones is required in case of direct supply to a final user.

(71h) In order to ensure uniform conditions for the implementation of this Regulation implementing powers should be conferred on the Commission with respect to setting out the format specifications of the plant passport; identifying types and species of plants for planting for which exemptions from the indication of traceability code in the format of the plant passport should not apply; and setting out technical arrangements for the issuance of electronic plant passports.

**(71i) In order to ensure uniform conditions for the implementation of this Regulation implementing powers should be conferred on the Commission with respect to setting out specific arrangements concerning the material, treatment and marking as regards repairing of wood packaging material; laying down the format specifications of attestations other than the mark of wood packaging material; laying down the procedures for the issuance of the pre-export certificate; and laying down specific rules concerning the submission of notifications.**

**(71j) The implementing powers conferred on the Commission should be exercised in accordance with Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers <sup>5</sup>.**

**(71k) The Commission should adopt immediately applicable implementing acts where, in duly justified cases relating to measures to be adopted against specific Union quarantine pests or pests not listed as Union quarantine pests, to the prohibition of introduction of certain plants, plant products and other objects into the Union territory or a protected zone or to subjecting such introduction to specific requirements and to temporary measures concerning plants, plant products and other objects likely to pose newly identified pest risks or other suspected phytosanitary risks, imperative grounds of urgency so require.**

(72) [...]

(73) Council Directive 74/647/EEC of 9 December 1974 on control of carnation leaf-rollers<sup>6</sup> and Council Directive **2006/91/EC of 7 November 2006** [...] on control of San José Scale<sup>7</sup> set out measures on the control of the respective pests. Following the entry into force of those Directives, the concerned pests have become widely spread throughout the Union territory, thus their containment is not feasible any more. Those Directives should therefore be repealed.

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<sup>5</sup> OJ L 55, 28.2.2011, p. 13.

<sup>6</sup> OJ L 352, 28.12.1974, p. 41–42.

<sup>7</sup> OJ L **312, 11.11.2006, p.42–44**, [...]

- (74) Council Directive 69/464/EEC of 8 December 1969 on control of Potato Wart Disease<sup>8</sup>, Council Directive 93/85/EEC of 4 October 1993 on control of Potato Ring Rot<sup>9</sup>, Council Directive 98/57/EC of 20 July 1998 on control of *Ralstonia solanacearum* (Smith) Yabuuchi et al.<sup>10</sup> and Council Directive 2007/33/EC of 11 June 2007 on the control of potato cyst nematodes and repealing Directive 69/465/EC<sup>11</sup> should be repealed, as new measures on the pests concerned should be adopted in accordance with the provisions of this Regulation.

In view of the time and resources required to adopt those new measures, those acts should be repealed by **31 December** [...] 2021.

- (75) Regulation (EU) No 652/[...] 2014 [...] **of 15 May 2014 laying down provisions for the management of expenditure relating to the food chain, animal health and animal welfare, and relating to plant health and plant reproductive material, amending Council Directives 98/56/EC, 2000/29/EC and 2008/90/EC, Regulations (EC) No 178/2002, (EC) No 882/2004 and (EC) No 396/2005 of the European Parliament and of the Council, Directive 2009/128/EC of the European Parliament and of the Council and Regulation (EC) No 1107/2009 of the European Parliament and of the Council and repealing Council Decisions 66/399/EEC, 76/894/EEC and 2009/470/EC**[...] <sup>12</sup> [...] sets out that grants for measures against pests are to concern certain pests listed in the Annexes to Directive 2000/29/EC, and certain pests not listed in those Annexes but subject to temporary Union measures adopted with regard to them. [...] **In addition to the provisions of that Regulation,**this Regulation establishes the category of priority pests and it is [...] **essential** that certain measures taken by the Member States as regards, **in particular,** priority pests are to be eligible for Union grants, including compensation paid to professional operators for the value of plants, plant products and other objects, subject to destruction pursuant to the eradication measures set out in this Regulation. Regulation (EU) No [...] **652/2014** should therefore be amended.

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<sup>8</sup> OJ L 323, 24.12.1969, p. 1–2.  
<sup>9</sup> OJ L 259, 18.10.1993, p. 1–25.  
<sup>10</sup> OJ L 235, 21.8.1998, p. 1–39.  
<sup>11</sup> OJ L 156, 16.6.2007, p. 12–22.  
<sup>12</sup> OJ L ..., ....., p. ....

- (76) Since the objective of this Regulation, namely to ensure a harmonised approach with regard to protective measures against pests of plants, cannot be sufficiently achieved by the Member States and can therefore, by reason of its effect, complexity, trans-border and international character, be better achieved at Union level, the Union may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union. In accordance with the principle of proportionality, as set out in that Article, this Regulation does not exceed what is necessary in order to achieve that objective.
- (77) For small and medium enterprises, this Regulation does not create disproportionate administrative burden or economic impact. Under this Regulation, based on consultation with stakeholders, the special situation of small and medium enterprises has been taken into account where possible. A potential universal exemption for micro-enterprises, which make up the majority of companies, has not been considered, in view of the public policy objective(s) to protect plant health.
- (78) This Regulation respects the IPPC, the Agreement on the Application of Sanitary and Phytosanitary Measures (SPS Agreement) and the guidelines set out under these.

**(78a) In accordance with the principle of smart regulation, the implementation of this Regulation should be coordinated with that of Regulation 1143/2014 in order to guarantee that plant health legislation applies fully and in its entirety.**

- (79) This Regulation respects the fundamental rights and observes the principles recognised in particular by the Charter of Fundamental Rights of the European Union and notably respect for private and family life, the right to property, the protection of personal data, freedom to conduct business and the freedom of art and science. This Regulation should be applied by the Member States in accordance with those rights and principles,

HAVE ADOPTED THIS REGULATION:

# Chapter I

## Subject matter, scope and definitions

### *Article 1*

#### Subject matter and scope

1. This Regulation lays down rules to determine the phytosanitary risks posed by any species, strain or biotype of pathogenic agents, animals or parasitic plants injurious to plants or plant products (hereinafter “pests”) and measures to reduce those risks to an acceptable level.

**1a. Whenever there is evidence that non-parasitic plants pose phytosanitary risks which have a severe economic, social and environmental impact for the Union territory, those non-parasitic plants may be considered as plants injurious to plants or plant products in the sense of paragraph 1 of this Article.**

**Those non-parasitic plants shall be other than those regulated under Article 4(1) of Regulation (EU) 1143/2014 on the prevention and management of the introduction and spread of invasive alien species.**

2. **For the purposes of this Regulation references to third countries shall be read as references to third countries, Ceuta, Melilla and to the territories that are referred to in Article 355(1) of the Treaty with the exception of the islands of Madeira and the Azores.**

**For the purposes of this Regulation, references to the Union territory shall be read as references to the Union territory without Ceuta, Melilla and the territories that are referred to in Article 355(1) of the Treaty, other than the islands of Madeira and the Azores.**

[...]

[...]

[...]

[...]

## *Article 2*

### **Definitions**

For the purposes of this Regulation, the following definitions shall apply:

- (1) 'plants' means living plants and the following living parts of plants:
- (a) seeds, in the botanical sense, other than those not intended for planting;
  - (b) fruits, in the botanical sense;
  - (c) vegetables;
  - (d) tubers, corms, bulbs, rhizomes, roots, rootstocks, stolons;
  - (e) shoots, stems, runners;
  - (f) cut flowers;
  - (g) branches with **or without** foliage;
  - (h) cut trees retaining foliage;
  - (i) leaves, foliage;
  - (j) plant tissue cultures, including cell cultures, germplasm, meristems, chimaeric clones, micro-propagated material;
  - (k) live pollen **and spores**;
  - (l) buds, budwood, cuttings, scions, grafts;

- (2) 'plant products' means [...] **unmanufactured material of plant origin and those manufactured products that, by their nature or that of their processing, may create a risk for the spread of quarantine pests.**

Except where otherwise provided[...] **in the implementing acts adopted pursuant to Articles 27, 29 and 41,** wood shall only be considered as a 'plant product' if it [...] complies with one or more of the following points:

- (a) it retains all or part of its natural round surface, with or without bark;
- (b) it has not retained its natural round surface due to sawing, cutting or cleaving;
- (c) it is in the form of chips, particles, sawdust, wood waste, shavings or scrap, and has not undergone processing involving the use of glue, heat or pressure or a combination thereof to produce pellet, briquettes, plywood or particle board;
- (d) it is, or is intended to be, used as packaging material [...], whether or not it is actually in use for transport of goods;

**(2a) 'planting' means any operation for the placing of plants in a growing medium, or by grafting or similar operations, to ensure their subsequent growth, reproduction or propagation;**

- (3) 'plants for planting' means plants [...] **intended to remain planted, to be planted or replanted.**
- (4) 'other object' means any material or object, other than plants or plant products, capable of harbouring or spreading pests, including soil or growing medium;
- (5) 'competent authority' means **the central authorities of a Member State, or where applicable a third country, responsible for the organization of official controls and of other official activities, or any other authority to which that responsibility has been conferred, in accordance with Union legislation on official controls** [...].



(6) 'lot' means a number of units of a single commodity, identifiable by its homogeneity of composition, [...] origin **and other relevant elements**, forming part of a consignment;

**(6a) 'trade unit' means the smallest commercial or other useable unit applicable to the marketing stage concerned, which may be the subset or the whole of a lot;**

(7) 'professional operator' means any person, governed by public or private law, involved professionally in, **and legally responsible for**, one or more of the following activities concerning plants, plant products and other objects:

(a) planting;

(b) **breeding** [...];

(c) production, **including growing, multiplying and maintaining**;

(d) introduction into, [...] movement within, and out of the Union territory;

(e) making available on the market;

**(ea) storage, collection, dispatching and processing;**

**(7a) 'registered operator' means a professional operator registered in accordance with Article 61;**

**(7b) 'authorised operator' means a registered operator authorised by the competent authority to issue plant passports in accordance with Article 84 , to apply a mark in accordance with Article 92, or to issue attestations in accordance with Article 93;**

(8) 'final user' means any person, acting for purposes which are outside its trade, business or profession, who acquires for its own use plants or plant products;

(9) 'test' means an official examination, other than visual, to determine if pests are present or to identify pests;

- (10) 'treatment' means a procedure, whether official or non-official, for the killing, inactivation or removal of pests, or for rendering those pests infertile or for the [...] devitalisation of plants or plant products;
- (10a) 'incidence' means the proportion or number of units in which a pest is present in a sample, consignment, field or other defined population;
- (10b) 'establishment' means the perpetuation, for the foreseeable future, of a pest within an area after entry;
- (10c) 'eradication' means the application of phytosanitary measures to eliminate a pest from an area;
- (10d) 'containment' means the application of phytosanitary measures in and around an infested area to prevent the spread of a pest.
- (10e) 'quarantine station' means any official station for holding pests, plants, plant products or other objects in quarantine;
- (10f) 'confinement facility' means any facility, other than quarantine stations, where pests, plants, plant products or other objects are kept under confinement conditions.
- (10h) 'traceability code' means a letter, numerical or alphanumerical code that identifies a consignment, lot or trade unit, used for traceability purposes, including numbers referring to a lot, batch, series, date of production, professional operator documents.
- (10i) 'phytosanitary measure' means any official measure having the purpose to prevent the introduction and/or spread of quarantine pests or to limit the economic impact of regulated non-quarantine pests.

## Chapter II

### Quarantine pests

#### SECTION 1

#### QUARANTINE PESTS

##### Article 3

##### Definition of quarantine pests

A pest shall be referred to as a 'quarantine pest', with respect to a defined territory, if it fulfils all of the following conditions:

- (a) its identity is established, within the meaning of point (1) of Section 1 of Annex II;
- (b) it is not present in that territory, within the meaning of point (2)(a) of Section 1 of Annex II, or, if present[...] **not widely** distributed [...] within that territory, within the meaning of points (2)(b) and (c) of Section 1 of Annex II;
- (c) it is capable of entering into, [...] **establishing in**, [...] and [...] **spreading** within that territory, or, if present, **but not widely distributed, in** those parts of **that territory** [...] where it is **absent** [...], in accordance with point (3) of Section 1 of Annex II;
- (d) its entry, establishment and spread would, within the meaning of point (4) of Section 1 of Annex II, have an unacceptable economic, environmental or social impact for that territory, or, if present **but not widely distributed, for** those parts of it where it is **absent** [...]; and
- (e) feasible and effective measures are available to prevent the entry into, establishment or spread of that pest within that territory, and mitigate its [...] risks and impacts.

**SECTION 2**  
**UNION QUARANTINE PESTS**

Article 4

**Definition of Union quarantine pests**

A quarantine pest shall be referred to as a 'Union quarantine pest' if the defined territory as referred to in the introductory words of Article 3 is the Union territory and it is included in the list referred to in Article 5(2).

*Article 5*

**Prohibition of introduction, [...] movement, holding, multiplication or release of  
Union quarantine pests**

1. A Union quarantine pest shall not be introduced into or moved within the Union territory. **, or held, multiplied or released within the Union territory.**

[...]

2. The Commission shall, by means of an implementing act, establish a list of pests fulfilling the conditions referred to in Article 3 [...] in respect of the Union territory, referred to as 'list of Union quarantine pests'.

That list shall include the pests listed in Part A of Annex I to Directive 2000/29/EC and Section I of Part A of Annex II to that Directive.

Pests which are indigenous to **or established in** any part of the Union territory, whether naturally or due to their introduction from outside the Union territory, shall be marked in that list as pests known to occur in the Union territory.

Pests which are not indigenous to **or established in** any part of the Union territory shall be marked in that list as pests not known to occur in the Union territory.

That implementing act shall be adopted in accordance with the [...] **examination** procedure referred to in Article 99[...] **(3)**.

3. The Commission shall amend the implementing act referred to in paragraph 2, where an assessment shows that a pest not listed in that act fulfils the conditions referred to in Article 3 [...] in respect of the Union territory, or a pest listed in that act no longer fulfils one or more of those conditions. In the first case it shall add the pest concerned to the list referred to in paragraph 2, in the second case it shall delete the pest concerned from that list.

The Commission shall make that assessment available to the Member States.

The implementing acts amending the implementing act referred to in paragraph 2 shall be adopted in accordance with the examination procedure referred to in Article 99(3). The same procedure shall apply to [...] a replacement of the implementing act referred to in paragraph 2 **for purposes of consolidating amendments**.

[...]

[...]

#### *Article 6*

#### **Priority pests**

1. A Union quarantine pest shall **be referred to as** [...] a 'priority pest' if it fulfils all of the following conditions:
  - (a) it fulfils, as regards the Union territory, the condition set out in point [...] **(2)** of Section 1 of Annex II;
  - (b) its potential economic, environmental or social impact is most severe for the Union territory as set out in Section 2 of Annex II;
  - (c) it is listed in accordance with paragraph 2.

2. The Commission shall [...] **be empowered to adopt delegated acts in accordance with Article 98 to** establish [...] a list of the priority pests, hereinafter: 'list of priority pests'.

Where the results of an assessment show that a Union quarantine pest fulfils the conditions referred to in paragraph 1, or a pest no longer fulfils one or more of those conditions, the Commission shall **be empowered to adopt delegated acts in accordance with Article 98 amending the list** [...] referred to in the first subparagraph by adding the pest concerned to, or removing it from, that list.

The Commission shall make that assessment available to the Member States **without delay**.

[...]

[...]

[...] **Where in the case of** a serious **pest** [...] risk, [...] **imperative grounds of urgency so require**, the procedure **provided for in Article 98a shall apply to delegated acts adopted pursuant to this Article** [...].

#### *Article 7*

#### **Amendment of Section 1 [...] of Annex II**

1. The Commission shall be empowered to adopt delegated acts in accordance with Article 98 amending Section 1 of Annex II on criteria to identify pests which qualify as a quarantine pest, [...] **in order to adapt those criteria to** [...] the developments of technical and scientific knowledge **and relevant international standards**.

[...]

**Union quarantine pests used for official testing, scientific or educational purposes, trials, varietal selections or breeding[...]**

1. By way of derogation from Article 5(1), Member States may, on application, **temporarily** authorise the introduction into, [...] the movement within, **and the holding and multiplication in their territory** of Union quarantine pests or pests subject of the measures adopted pursuant to Article 29(1) for use for **official testing**, scientific [...] **or educational** purposes, trials, varietal selections, or breeding [...].

**That authorisation shall be granted for the activity concerned only if adequate restrictions are imposed to ensure that the introduction, movement, holding, multiplication and use of the pest concerned do not result in the establishment or spread of that pest within the Union territory, taking into account the identity, biology and means of dispersal of the pest, the activity envisaged, the interaction with the environment and other relevant factors relating to the risk posed by that pest.**

[...]

[...]

[...]

[...]

[...]

3. Where an authorisation is granted, it shall include all of the following conditions:
  - (a) the pest is to be kept in **a location and under conditions** [...] found to be appropriate by the competent authorities and referred to in the authorisation;
  - (b) the activity involving the pest is to be carried out in a quarantine station, **or confinement facility** designated **by the competent authority** in accordance with Article 56 [...] and referred to in the authorisation;

- (c) the activity involving the pest is to be carried out by personnel whose scientific and technical [...] **competence is** [...] found to be appropriate by the competent authority and referred to in the authorisation;
- (d) the pest is to be accompanied by the authorisation when introduced into, [...] moved within, **held or multiplied in** the Union territory.

4. The authorisation shall be limited to the amount **and duration** that is adequate for the activity concerned and shall not exceed the capacity of the designated quarantine station **or confinement facility**.

It shall include the restrictions necessary to adequately [...] **eliminate** the risk of establishment and spread of the **respective** Union quarantine pest **or pest subject to the measures adopted pursuant to Article 29(1)** [...].

5. The competent authority shall monitor compliance with the conditions referred to in paragraph 3 and the limitation and the restrictions referred to in paragraph 4 and take the necessary action in case those conditions, that limitation or those restrictions are not complied with. Where appropriate, that action shall be the revocation of the authorisation referred to in paragraph 1.
6. The Commission shall be empowered to adopt delegated acts, in accordance with Article 98, laying down detailed rules concerning:
  - (a) the exchange of information between Member States and the Commission concerning the introduction into, [...] movement within, **holding, multiplication and use in** the Union territory of the pests concerned;
  - (b) **the** [...] **procedure and conditions for granting the** authorisation referred to in paragraph [...] **1**; and
  - (c) **the** monitoring of compliance [...] **and** the actions **to be taken** in case of non-compliance [...], as referred to in paragraph 5.



*Article 9*

[...]

*Article 10*

[...] **Notification of imminent dangers**

[...]

**1. Where a Member State has evidence that there is an imminent danger of the entry of a Union quarantine pest into the Union territory or into a part of that territory where it is not yet present, that Member State shall immediately and in writing notify the Commission and the other Member States of that evidence.**

**1a. Paragraph 1 shall also apply for a pest that is not included in the list of Union quarantine pests, where that pest is subject to the measures adopted pursuant to Article 29(1) or the Member State concerned considers that that pest may fulfil the conditions for inclusion in the list of Union quarantine pests.**

**2. Professional operators shall immediately notify the competent authorities of any evidence they may have concerning an imminent danger as referred to in paragraph 1 concerning Union quarantine pests or pests referred to in paragraph (1a).**

*Article 11*

[...] **Official Confirmation by the competent authorities of the presence of a Union quarantine pest**

**Where a competent authority suspects, or has received evidence concerning, the presence of a Union quarantine pest, or a pest subject to measures adopted pursuant to Article 29(1), in a part of the territory of the respective Member State where that pest was previously not known to be present, or in a consignment of plants, plant products or other objects introduced into, intended to be introduced into, or moved within the Union territory, it shall immediately take any measures necessary to confirm on the basis of a diagnosis of an official laboratory, which is designated by the competent authority in accordance with conditions and requirements set out in Union legislation on official controls (hereinafter: 'to officially confirm'), whether that pest is present or not. Pending the official confirmation of the presence of that pest, the**

**Member States concerned shall, where applicable, take phytosanitary measures to eliminate the risk of spread of that pest.**

**The suspicion or evidence referred to in the first subparagraph may be based on any information received pursuant to Articles 15 and 15a, or any other source.**

[...]

[...]

[...]

[...]

[...]

[...]

#### *Article 12*

[...] **Notification of Union quarantine pests by the Member States to the Commission and the other Member States**

[...]

**A Member State shall notify, through the electronic notification system referred to in Article 97, the Commission and the other Member States, in case one of the following conditions is fulfilled:**

- (a) its competent authority has officially confirmed the presence in its territory of a Union quarantine pest not known to be present in that Member State;**
- (b) its competent authority has officially confirmed the presence in its territory of a Union quarantine pest, if that pest is found to be present in a part of its territory where it was previously not present;**
- (c) its competent authority has officially confirmed the presence in its territory of a Union quarantine pest in a consignment of plants, plant products or other objects introduced into, intended to be introduced into, or moved within the Union territory.**

**Those notifications shall be submitted by the single authority, as referred to in Union legislation on official controls, of the Member State concerned.**

*Article 13*

[...] **Information on Union quarantine pests provided to professional operators by the competent authorities**

**1.** Where **one** of the conditions referred to in [...] Article [...] **12** [...] is fulfilled, the competent authority [...] **concerned shall ensure that professional operators, whose plants, plant products or other objects may be affected, are without delay informed of the presence of the Union quarantine pest concerned.**

**1a.** **The Commission shall establish and keep up to date a publicly available list of all notifications it has received concerning emerging plant pests in third countries which may pose a risk to plant health in the Union territory.**

**That list may be part of the electronic system referred to in Article 97.**

*Article 14*

[...] **Information on priority pests provided to the public by the competent authorities**

[...]

[...]

**When one of the conditions referred to in points (a) or (b) of Article 12 is fulfilled as regards a priority pest, the competent authority shall inform the public about the measures taken and to be taken by that competent authority and, where applicable, about the measures to be taken by relevant categories of professional operators or other persons.**

**Measures to be taken immediately by professional operators**

1. Where a professional operator **suspects or** becomes aware that a Union quarantine pest **or a pest subject to measures adopted pursuant to Article 29(1)** is present in plants, plant products or other objects which are under its control, it shall [...] immediately [...] **notify to** the competent authority [...] **that suspicion or awareness, in order for the competent authority to take actions according to Article 11. As appropriate, the professional operator shall also take immediately precautionary measures to prevent the establishment and spread of that pest.** [...]
- 1a0. The competent authority may determine that the notification referred to in paragraph 1 is not required in the case where a specific pest is known to occur in an area. In such a case, the competent authority shall inform accordingly the professional operators concerned.**
- 1a. Where a professional operator receives an official confirmation concerning the presence of a Union quarantine pest in plants, plant products or other objects which are under its control, it shall consult with the competent authority on the actions to be taken and shall proceed, as applicable, with the actions referred to in paragraphs 2 to 4.**  
  
[...]
2. [...] **The professional operator shall immediately take the necessary measures to prevent the spread of that pest. In case the competent authority has provided instructions concerning those measures, the professional operator shall act in accordance with those instructions.**
- 2a. Where so instructed by the competent authority, the professional operator shall also take the necessary measures to eliminate that pest from the plants, plant products or other objects concerned and from its premises, land, soil, water or other infested elements which are under its control.**

3. [...] Unless otherwise instructed by the competent authority, the professional operator concerned shall without delay withdraw from the market the plants, plant products and other objects which are under its control and in which the pest may be present.

Where those plants, plant products or other objects have left the control of the professional operator concerned, that professional operator shall, unless otherwise instructed by the competent authority, immediately recall those plants, plant products or other objects. That recall shall be carried out by informing the persons in the trade chain to whom those plants, plant products and other objects had been supplied, of the presence of the pest.

That information shall include guidelines on the necessary measures to be taken during shipment of the respective plants, plant products and other objects to reduce the risk of spread or escape of the pests concerned.

4. [...] Where paragraphs 1, 1a, 2, 2a or 3 apply, the professional operator concerned shall on request provide to the competent authority concerned all information which is relevant for the public. Without prejudice to Article 14, that authority shall as soon as possible inform the public in case action needs to be taken with regard to the plants, plant products or other objects in which the respective pest may be present.

#### Article 15a

##### Measures to be taken by persons other than professional operators

1. Where any person, other than a professional operator, becomes aware of the presence of a Union quarantine pest or has reason to suspect such a presence, that person shall immediately notify the competent authority. Where the notification is not submitted in writing, the competent authority shall officially record it. If so requested by the competent authority, that person shall provide that authority with the information which is in its possession concerning that presence.

- 1a. The competent authority may determine that the notification referred to in paragraph 1 is not required in the case where a specific pest is known to be present in an area.**
- 2. Following the notification referred to in paragraph 1, that person shall consult with the competent authority on the actions to be taken. In accordance with the respective instructions of the competent authority, that person shall take the necessary measures to prevent the spread of that pest, and eliminate that pest from the plants, plant products or other objects concerned and, where applicable, from its premises.**

### **Article 15b**

#### **Derogations to notification obligations**

**The obligation for notification as referred to in articles 15 and 15a shall not apply to the following cases:**

- (a) Union quarantine pests found to be present in the infested zone of a demarcated area established for the containment of that pest, as referred to in Article 17(2);**
- (b) Union quarantine pests found in the infested zone of a demarcated area and subject to eradication measures requiring eight years or more, during the period of those first eight years.**

### *Article 16*

#### **Eradication of Union quarantine pests**

- 1. Following the official confirmation referred to in Article 12(a) or (b), [...] the competent authority shall immediately take all necessary phytosanitary measures to eradicate [...] the Union quarantine pest from [...] the area concerned [...]. Those measures shall be [...] taken in accordance with Annex IV on measures and principles for the management of the risks of pests.**

**The obligation to eradicate, as referred to in the first subparagraph, shall not apply where an implementing act concerning that pest, adopted pursuant to Article 27(2), specifies otherwise.**

2. **The competent authority shall without delay investigate the source of** [...] the presence of the Union quarantine pest concerned, **in particular where that presence** may be related to movements of plants, plant products or other objects, [...] and the possibility that the pest concerned has been spread to further plants, plant products or other objects by those movements.
3. Where the measures referred to in paragraph 1 concern the introduction into, or movement within, the Union territory of plants, plant products and other objects, the Member State concerned shall immediately notify those measures to the Commission and the other Member States.
4. [...]

**The measures referred to in paragraph 1, and the investigations referred to in paragraph 2, shall be taken irrespective of whether the pest is present in public or in private premises.**

#### *Article 17*

#### **Establishment of demarcated [...] areas**

1. Following the official confirmation referred to in Article [...] **12 (a) or (b)**, the competent authority shall immediately establish an area, **or areas**, [...] (hereinafter: '**demarcated** [...] area'), **where the measures for the purpose of the eradication referred to in Article 16(1) are to be taken.**

The **demarcated** [...] area shall consist of an infested zone, as provided for in paragraph 2, and a buffer zone, as provided for in paragraph 3.

2. The infested zone shall, **as applicable**, contain
  - (a) all plants known to be infested by the pest concerned;
  - (b) all plants showing signs or symptoms indicating possible infestation by that pest;

- (c) all other plants liable to **have been or become** [...] **contaminated or** infested by that pest, **including plants liable to be infested** due to their susceptibility to that pest and their close proximity to infested plants, or common source of production, if known, with infested plants, or plants grown from them[...];

**(ca) land, soil, water courses or other elements infested, or liable to be infested, by the pest concerned.**

3. The buffer zone shall be adjacent to the infested zone and shall surround it.

Its size shall be appropriate in view of the risk of the pest concerned spreading out of the infested zone naturally, or by human activities in the infested zone and its surroundings, and shall be decided in accordance with the principles set out in Section 2 of Annex IV on measures and principles for the management of the risks of pests.

However, where any risk of the pest spreading out of the infested zone is **eliminated or reduced to** an **acceptable level** [...] through natural or artificial barriers, no buffer zone **needs to** be established.

4. By way of derogation from paragraph 1, where upon first sight the competent authority concludes, in view of the nature of the pest, **the plant, plant product or other object** concerned, and the site where it was found, that the pest concerned can be eliminated immediately, the competent authority may decide not to establish a [...] **demarcated** area.

In that case, it shall carry out a survey to determine whether any further plants or plant products have been infested. On the basis of that survey, the competent authority shall determine whether there is a need to establish a [...] **demarcated** area. [...]

5. Where in accordance with paragraphs 2 and 3 a [...] **demarcated** area is to extend into the territory of another Member State, the Member State where the pest concerned was found to be present shall immediately contact the Member State into whose territory the [...] **demarcated** area is to extend in order to allow that Member State to take all appropriate actions, as referred to in paragraphs 1 to 4.



6. By **30 April** [...] of each year, Member States shall notify to the Commission and the other Member States the number and locations of the [...] **demarcated** areas established, the pests concerned, and the respective measures taken during the preceding year.

**This paragraph shall apply without prejudice to any obligation for the notification of demarcated areas set out by the implementing acts referred to in Article 97a.**

#### *Article 18*

##### **Surveys and modifications of demarcated [...] areas, and lifting of restrictions**

1. Competent authorities shall **at least** annually carry out a survey of each [...] **demarcated** area, **at appropriate times**, as regards the development of the presence of the pest concerned.

Those surveys shall be carried out in accordance with the provisions on surveys as set out in Article 21 [...] (2).

2. Where, **whether or not** as a result of a [...] survey **as referred to in paragraph 1**, a competent authority finds a presence of the pest concerned in the buffer zone, the Member State concerned shall immediately notify that presence to the Commission and the other Member States specifying that the pest was found present in a buffer zone.
3. Competent authorities shall modify the boundaries of infested zones, buffer zones and [...] **demarcated** areas, where appropriate, in view of the results of the surveys referred to in paragraph 1.
4. Competent authorities may decide to abolish a [...] **demarcated** area and terminate the respective eradication measures, provided that **that area has been found free from the pest concerned through** [...] the survey [...] referred to in paragraph 1, **and** no presence of the pest concerned has been found in that [...] **demarcated** area for a sufficiently long period [...] **resulting in the verification of the pest-free status of that area.**

5. When deciding on the modifications referred to in paragraph 3 or the abolition of the [...] **demarcated** area referred to in paragraph 4, the competent authority concerned shall at least take into account the biology of the pest and the vector concerned, the presence of host plants, the [...] **ecoclimatic** conditions and the likelihood of the eradication measures having been successful.

**5a. By derogation to paragraph 1, annual surveys need not to be carried out in the infested zone of demarcated areas established for:**

**(a) pests subject to eradication measures requiring eight years or more;**

**(b) pests subject to the containment measures referred to in Article 27(2).**

**The Commission shall be empowered to adopt delegated acts in accordance with Article 98 further specifying the pests referred to in point (a) of this Article and in point (b) of Article 15b and conditions for the application of those derogations.**

#### *Article 19*

#### **Reports on measures taken in accordance with Articles 16, 17 and 18**

- 1. Where measures are taken by a Member State in an area adjacent to the border with another Member State, a report on the measures taken in accordance with Articles 16, 17 and 18 shall be submitted to the latter Member State.**
- 2. Where so requested by the Commission or by any other Member State, a Member State shall submit a report on specific measures taken in accordance with Articles 16, 17 and 18.**

[...]

[...]

**Amendment of Annex IV**

The Commission shall be empowered to adopt delegated acts in accordance with Article 98, amending Section 1 of Annex IV [...] as regards the measures targeting prevention and elimination of infestation of cultivated and wild plants, measures targeting consignments of plants, plant products and other objects, measures targeting other pathways for quarantine pests, and amending Section 2 of that Annex [...], as regards principles for the management of the risks of pests [...], **in order to adapt those measures and principles to the developments of technical and scientific knowledge or relevant international standards.**

Article 21

**Surveys on Union quarantine pests and pests provisionally qualifying as Union quarantine pest**

1. Member States shall conduct **risk-based** surveys, over specific periods of time, checking **at least** for:
  - (a) the presence of any Union quarantine pest, and;
  - (b) signs or symptoms of any pest **subject to the measures referred to in Article 28 or to measures adopted pursuant to Article 29(1) [...].**

**Those surveys shall take place** in all areas where [...] **the pest concerned** was not known to be present.

[...] Those surveys **do not need to be carried out for pests for which it is unequivocally concluded that they cannot be established or spread in the Member State concerned, due to the ecoclimatic conditions or to the absence of their host species.**
2. **The design of those surveys shall be based on the risk that the pest concerned occurs in the respective area. They shall** consist, at least, of visual examinations by the competent authority, and, where appropriate, collection of samples and performance of tests. **Those surveys shall be carried out in all appropriate locations, and shall include as appropriate premises, vehicles, machinery and packaging used by professional operators and other persons.** They shall be based on sound scientific and technical principles, and shall be carried out at appropriate times with regard to the possibility to detect the pest concerned.

Those surveys shall take account of scientific and technical evidence, and any other appropriate information, concerning the presence of the pests concerned.

3. Member States shall report to the Commission and the other Member States by 30 April of each year the results of the surveys referred to in paragraph 1, which have been carried out in the preceding year. **Those reports shall include information on where the surveys were conducted, the timing of those surveys, the pests, and the plants, plant products or other objects concerned, the number of inspections and samples taken, and the findings of each pest concerned.**

**The Commission may, by means of implementing acts, set out the format of those reports, as well as instructions on how to fill-in that format. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 99(3).**

#### *Article 22*

##### **Multi-annual survey programmes and collection of information**

1. Member States shall establish multi-annual programmes setting out the content of the surveys to be carried out pursuant to Article 21. Those programmes shall provide for the collection and recording of the scientific and technical evidence and other information as referred to in the second subparagraph of Article 21(2).

Those programmes shall [...] **include** the following elements **in conformity with Article 21(2)**: the specific objective of each survey, its[...] scope **as regards the area concerned and the time scale covered**, the pests, plants and commodities targeted, the survey methodology and quality management including a description of the procedures for visual examination, sampling and testing and their technical justification, the timing, frequency and numbers of scheduled visual examinations, samples and tests, the methods of recording of the information collected and their reporting. The multi-annual programmes shall be for a period of five to seven years.

2. Member States shall notify their multi-annual survey programmes upon their establishment to the Commission and the other Member States **on request**.

3. [...]

**The Commission may adopt implementing acts establishing the format of the multiannual survey programmes, and the practical arrangements for the application of the elements set out in paragraph 1 to the specific pest risks.**

**Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 99(3).**

#### *Article 23*

##### **Surveys of priority pests**

1. For each priority pest, Member States shall annually carry out a [...] survey, as set out in Article 21(1) **and (2)**. Those surveys shall include a sufficiently high number of visual examinations, sampling and testing, as appropriate for the relevant pests, to ensure, at a high level of **confidence** [...], their timely detection, **as far as it is possible in relation to the biology of the pest concerned or the ecoclimatic conditions.**

**Those surveys do not need to be carried out for pests for which it is unequivocally concluded that they cannot be established or spread in the Member State concerned, due to the respective ecoclimatic conditions or to the absence of their host species.**

2. Member States shall report to the Commission and the other Member States by 30 April of each year the results of the surveys referred to in paragraph 1, which were carried out in the preceding year.

#### *Article 24*

##### **Contingency plans for priority pests**

1. Each Member State shall draw up and keep up to date, for each priority pest which is capable of entering into and establishing in its territory, or a part thereof, a separate plan containing information concerning the decision making processes, procedures and protocols to be followed, and **minimum** resources to be made available **and procedures to make available further resources**, in case of **an officially** confirmed or suspected presence of the pest concerned, hereinafter ‘the contingency plan’.

Member States shall, at an appropriate stage, consult all relevant stakeholders in the process of drawing up and keeping up to date the contingency plan.

**Those contingency plans do not need to be drawn up for pests for which it is unequivocally concluded that they cannot establish or spread in the Member State concerned, due to the respective ecoclimatic conditions or to the absence of their host species.**

2. The contingency plan shall include the following:

- (a) the roles and responsibilities of the bodies involved in the execution of the plan, in case of **an officially** confirmed or suspected presence of the priority pest concerned, the chain of command and procedures for the co-ordination of actions taken by competent authorities, other public authorities, [...] delegated bodies or natural persons involved, [...] laboratories and professional operators, including co-ordination with neighbouring Member States and neighbouring third countries, where appropriate;
- (b) access of competent authorities to premises of professional operators, **other relevant operators** and [...] natural persons;  
  
**(ba) access of competent authorities**, where necessary, **to** laboratories, equipment, personnel, external expertise and resources necessary for the rapid and effective eradication or, where appropriate, containment of the priority pest concerned;
- (c) measures to be taken concerning the information of the Commission, the other Member States, the professional operators concerned and the public, as regards the presence of the priority pest concerned and the measures taken against it, in case a presence of the pest concerned is officially confirmed or suspected;
- (d) arrangements for recording findings of the presence of the priority pest concerned;
- (e) the available assessments as set out in Article 6(2), and any assessment of the Member State as regards the risk of the priority pest concerned for its territory;

- (f) the risk management measures to be implemented as regards the priority pest concerned, in accordance with Section 1 of Annex IV, and the procedures to be followed;
- (g) principles for the geographical demarcation of [...] **demarcated** areas;
- (h) protocols describing the methods of visual examinations, sampling and laboratory testing; and
- (i) principles concerning the training of personnel of the competent authorities **and, as appropriate, the bodies, public authorities, laboratories, professional operators and other persons referred to in point (a).**

Where appropriate, points[...] **(c)** to (i) shall take the form of instruction manuals.

**2a. The contingency plans referred to in paragraph 1 may be combined for multiple priority pests with similar biology and range of host species. In that case, the contingency plan shall consist of a general part common to all priority pests covered by it, and specific parts for each of the priority pest concerned.**

**3. Within four years from the date of establishment of the list of priority pests referred to in Article 6(2), Member States shall establish a contingency plan for the priority pests included in that list.**

Within one year from the date of the inclusion of [...] **any further** pest concerned in [...] **that** list of priority pests, Member States shall establish a contingency plan for the priority pest concerned. Member States shall regularly review and, where appropriate, update their contingency plans.

**4. Member States shall communicate their contingency plans to the Commission and to the other Member States on request, and shall inform all relevant operators through publication on the internet.**

**Simulation exercises for priority pests**

1. Member States shall carry out simulation exercises concerning the implementation of the contingency plans at intervals set according to the biology of the priority pest **or pests** concerned and the [...] risk posed by that pest **or those pests**.

Those exercises shall take place with regard [...] to all priority pests concerned within a reasonable period of time **and with the involvement of the relevant stakeholders concerned**.

**Those exercises may not be carried out in case measures have already been taken by the respective Member State, within a recent period, for the eradication of the relevant pest.**

2. As regards priority pests whose presence in one Member state could have impacts for neighbouring Member States, the simulation exercises [...] **may** be carried out together by the Member States concerned on the basis of their respective contingency plans.

Where appropriate, Member States [...] **may** carry out those simulation exercises with neighbouring third countries.

3. Member States shall, on request, make available a report on the results of each simulation exercise to the Commission and to the other Member States.

[...]

[...]

[...]

[...]

[...]



**Action [...] plans for priority pests**

1. Where the presence of a priority pest is officially confirmed in the territory of a Member State pursuant to Article 11 [...], the competent authority shall immediately adopt a plan setting out the measures for the eradication of the pest concerned, as provided for in Articles 16, 17 and 18, **or the containment of the pest concerned, as provided for in Article 27(2)**, and a time schedule for the application of those measures. That plan is referred to as the '**action** [...]' plan'.

The **action** [...] plan shall include a description of the design and organisation of the surveys to be carried out and set out the number of visual examinations, samples to be taken and laboratory tests to be carried out, **as well as the methodology to be applied for the examination, sampling and testing**.

**The action plan shall be based on the relevant contingency plan referred to in Article 24(1) and shall be immediately communicated by the competent authority to the professional operators concerned.**

2. Member States shall notify, on request, to the Commission and the other Member States [...] the **action** [...] plans concerned.

Article 27

***Union measures for specific Union quarantine pests***

1. The Commission may, by means of implementing acts, set out measures against specific Union quarantine pests. Those measures shall implement, specifically for each of the pest(s) concerned, one or more of the following provisions:
  - (a) Article [...] 11 concerning measures in case of suspicion **and official confirmation by competent authorities** of the presence of [...] **that** Union quarantine pest;
  - (b) Article 15 concerning measures to be taken immediately by professional operators;
  - (ba) Article 15a concerning measures to be taken by persons other than professional operators;**

- (c) Article 16 concerning eradication of Union quarantine pests;
- (d) Article 17 concerning establishment of [...] **demarcated** areas;
- (e) Article 18 concerning surveys, modifications of [...] **demarcated** areas and lifting of restrictions;
- (f) Article 21 concerning surveys on Union quarantine pests and pests provisionally qualifying as Union quarantine pest;
- (g) Article 23 concerning surveys for priority pests, as regards the number of visual examinations, samples and tests for particular priority pests;
- (h) Article 24 concerning contingency plans for priority pests;
- (i) Article 25 concerning simulation exercises **for priority pests**;
- (j) Article 26 concerning **action** [...] plans for priority pests.

Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 99(3).

2. **By way of derogation to Article 16**, where, as regards a **demarcated** [...] area, **it is** [...] concluded, on the basis of the surveys referred to in Article 18 or other evidence, that the eradication of the Union quarantine pest concerned is not possible, the Commission **shall** [...] adopt implementing acts, as referred to in paragraph 1, which set out measures with the single purpose of **containment** [...].

**For the purpose of reaching that conclusion, the Commission shall, without delay, take the necessary actions following the submission of the respective evidence by the Member State concerned or any other source.**

3. In case the Commission concludes that prevention measures in **areas** [...] outside [...] **demarcated** areas are necessary to protect the part of the Union territory where the Union quarantine pest concerned is not present, the Commission may adopt implementing acts, as referred to in paragraph 1, setting out such measures.

4. The measures referred to in paragraphs 1, 2 and 3 shall be taken in accordance with Annex IV on measures and principles for the management of the risks of pests, taking into account the specific risks of the Union quarantine pests concerned, **the specific ecoclimatic conditions and risks as regards the Member States concerned** and the need to implement the necessary risk mitigation measures in a harmonised manner at Union level.
5. [...] Until a measure has been adopted by the Commission, the Member State may maintain the measures that it has employed.
6. On duly justified imperative grounds of urgency to address a serious **pest** [...] risk, the Commission shall adopt immediately applicable implementing acts, in accordance with the procedure referred to in Article 99(4). **Those acts shall be adopted in accordance with Annex IV on measures and principles for the management of the risks of pests , taking into account the specific risks of the Union quarantine pests concerned, the specific ecoclimatic conditions and risks as regards Member States concerned and the need to implement the necessary risk mitigation measures in a harmonised manner at Union level.**
7. Member States shall notify, through the electronic notification system referred to in Article 97, the Commission and other Member States of any cases of non-compliance by professional operators **or persons other than professional operators** with the measures adopted pursuant to this Article, **which creates a risk of spread of Union quarantine pests.**

#### *Article 28*

##### **Measures by Member States concerning pests not listed as Union quarantine pests**

1. Where the presence of a pest that is not included in the list of Union quarantine pests **is officially confirmed** in the territory of a Member State [...], and the **Member State** [...] concerned considers that that pest may fulfil the conditions for inclusion in the list of Union quarantine pests, it shall immediately assess whether that pest fulfils the criteria of Subsection 1 of Section 3 of Annex II. If it concludes that those criteria are fulfilled, it shall immediately take eradication measures in accordance with Annex IV on measures and principles for the management of the risks of pests. Articles 16 to 19 shall apply.

**Where, as regards a demarcated area, it is concluded, on the basis of the surveys referred to in Article 18 or other evidence, that the eradication of the pest concerned is not possible, Article 27(2) shall apply accordingly.**

**Where the presence of a pest fulfilling the criteria referred to in the first subparagraph is officially confirmed in a consignment of plants, plant products or other objects introduced into, or moved within, the territory of a Member State, the Member State concerned shall take the necessary measures to prevent the entry into, and establishment and spread, of that pest in the Union territory.**

Where a **Member State** [...] suspects the presence in its territory of a pest fulfilling the criteria referred to in the first subparagraph, Article [...] **11** shall apply accordingly.

**Pending the official confirmation of the presence of that pest, the Member State shall, where appropriate, take phytosanitary measures to mitigate the risk of its spread.**

2. Following the **measures** [...] referred to in paragraph 1, the Member State shall assess whether the pest concerned fulfils [...] the criteria for quarantine pests set out in Section 1 of Annex II.
3. The Member State concerned shall [...] notify to the Commission and the other Member States the presence of that pest [...]. **It shall also inform the Commission and the other Member States of** the assessment referred to in paragraph 1, the measures taken and the evidence justifying those measures.

It shall notify to the Commission the results of the assessment referred to in paragraph 2 within 24 months of the official confirmation of the presence of that pest.

Notifications of the presence of that pest shall be submitted through the electronic notification system referred to in Article 97.

**Measures by the Union concerning pests not listed as Union quarantine pests**

1. Where the Commission receives a [...] notification as referred to in the first subparagraph of Article 28(3), or has other evidence concerning the presence in, or imminent danger of entry into, **or spread within**, the Union territory of a pest which is not included in the list of Union quarantine pests and it considers that that pest may fulfil the conditions for inclusion in that list, it shall immediately assess whether, as regards the Union territory, that pest fulfils the criteria of Subsection 2 of Section 3 of Annex II.

Where it concludes that those criteria are fulfilled, it shall immediately, by means of implementing acts, adopt measures for a limited time as regards the [...] risks posed by that pest. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 99(3).

Those measures shall, **where appropriate**, implement, specifically for each of the pests concerned, one or more of the provisions referred to in Article 27(1)(a) to (f).

**1a. Following the measures referred to in paragraph 1, the Commission shall assess whether the pest concerned fulfils, as regards the Union territory, the criteria for quarantine pests set out in Section 1 of Annex II.**

- 2.** Where **it is** [...] **concluded** [...], on the basis of surveys referred to in Article 18 and in Article 21, or other evidence, that the eradication of the pest concerned is not possible in certain [...] **demarcated** areas, the implementing acts referred to in the second subparagraph of paragraph 1 may set out measures with the single purpose to contain that pest.
3. **Where it is** [...] **concluded** [...] that prevention measures in **areas** [...] outside [...] **demarcated** areas are necessary to protect the part of the Union territory where the pest concerned is not present, the implementing acts referred to in paragraph 1 may set out such measures.

4. The measures referred to in paragraphs 1, 2 and 3 shall be adopted in accordance with Section 1 of Annex IV on measures to manage the risks of quarantine pests and Section 2 of that Annex on principles for the management of the risks of pests, taking into account the specific risks of the pests concerned and the need to implement the necessary risk mitigation measures in a harmonised manner at Union level.
5. [...] Until [...] measures **have** been adopted by the Commission, the Member State may maintain the measures that it has employed **pursuant to Article 28**.
6. On duly justified imperative grounds of urgency to address a serious **pest** [...] risk, the Commission shall adopt immediately applicable implementing acts, in accordance with the procedure referred to in Article 99(4). **Those acts shall be adopted in accordance with Section 1 of Annex IV on measures to manage the risks of quarantine pests and Section 2 of that Annex on principles for the management of the risks of pests, taking into account the specific risks of the pests concerned and the need to implement the necessary risk mitigation measures in a harmonised manner at Union level.**
7. Member States shall notify, through the electronic notification system referred to in Article 97, the Commission and other Member States of any cases of non-compliance by professional operators **or persons other than professional operators** with the measures adopted pursuant to this Article, **which creates a risk of spread of the pests referred to in paragraph 1.**

#### *Article 30*

[...]

#### *Article 31*

### **More stringent requirements adopted by Member States**

1. Member States may apply within their territories more stringent measures than the measures adopted pursuant to Article 27(1), (2) and (3) and Article 29(1), (2) and (3), if justified by the objective of phytosanitary protection and in accordance with Section 2 of Annex IV on measures and principles for the management of the risks of pests.

Those measures shall not impose, or result in, any prohibitions or restrictions on the introduction into, or movement within **and through**, the Union territory of plants, plant products and other objects, other than those imposed by the provisions of Articles 40 to 54 and the provisions of Articles 67 to 96.

2. Member States shall immediately notify the Commission and the other Member States of measures adopted by them within the ambit of paragraph 1.

Member States shall, on request, submit to the Commission and the other Member States an annual report on the measures taken in accordance with paragraph 1.

### SECTION 3

#### PROTECTED ZONE QUARANTINE PESTS

##### *Article 32*

##### **Recognition of protected zones**

1. Where a quarantine pest is present in the Union territory but not present in the Member State concerned, and is not a Union quarantine pest, the Commission may, upon application of that Member State pursuant to paragraph 4, recognise the territory of that Member State as a protected zone in accordance with paragraph 3.

Where a protected zone quarantine pest is absent from a part of the territory of a Member State the same shall apply with respect to that part.

Such a quarantine pest is referred to as 'a protected zone quarantine pest'.

2. A protected zone quarantine pest shall not be introduced into or moved within the respective protected zone, **or held, multiplied or released within that protected zone.**

[...] **Article 8 on Union quarantine pests used for official testing, scientific or educational purposes, trials, varietal selections or breeding shall apply accordingly to the introduction into, movement within, holding and multiplication within protected zones of protected zone quarantine pests.**

3. The Commission shall, by means of an implementing act, establish a list of protected zones and the respective protected zone quarantine pests. That list shall include the protected zones recognised in accordance with the first subparagraph of Article 2(1)(h) of Directive 2000/29/EC and the respective pests, listed in Part B of Annex I and Part B of Annex II to Directive 2000/29/EC, **and a code specifically attributed to the respective protected zone quarantine pest**. That implementing act shall be adopted in accordance with the [...] **examination** procedure referred to in Article 99 [...] (3).

The Commission may recognise additional protected zones, by amending the implementing act referred to in the first subparagraph, where the conditions provided for in paragraph 1 are fulfilled. Such an amendment shall be adopted in accordance with the examination procedure referred to in Article 99(3). The same procedure shall apply to a [...] replacement of the implementing act referred to in the first subparagraph, **for purposes of consolidating amendments**.

[...]

4. With the application referred to in paragraph 1, the Member State concerned shall submit:
- (a) a description of the boundaries of the protected zone concerned, including maps; [...]
  - (b) the results of surveys showing that during **at least** the three years preceding the application, the quarantine pest concerned was not present in the territory concerned;
  - (c) **evidence that the respective protected zone quarantine pest fulfils the requirement of paragraph (1), and accordingly the criteria referred to in Article 3.**
- 4a.** The [...] surveys **referred to in paragraph 4** shall have been carried out at appropriate times and have been of appropriate intensity with regard to the possibility to detect the presence of the quarantine pest concerned. They shall have been based on sound scientific and technical principles, **and have taken into account the relevant international standards**.



The Commission shall be empowered to adopt delegated acts, in accordance with Article 98, laying down detailed rules for **the surveys referred to in paragraph 4 [...]. Those acts shall be adopted in accordance with the technical and scientific developments, and the applicable international standards.**

**4b. In addition to paragraph 1, the Commission may recognise a temporary protected zone. For that purpose the conditions of paragraphs 1, 4 and subparagraph 1 of paragraph 4a shall apply accordingly. By way of derogation to the requirement referred to in point (b) of paragraph 4, a survey shall be carried out at least one year preceding the application.**

**The recognition of a temporary protected zone shall last no longer than three years after recognition, and shall expire automatically after three years.**

**4c. Member States shall notify the Commission, the other Member States and inform, via publication on the official website of the competent authority, the professional operators about the boundaries of the protected zones in their territory, including maps.**

### *Article 33*

#### **General obligations concerning protected zones**

1. With regard to a protected zone, the obligations set out in the following Articles shall apply accordingly to the protected zone quarantine pests:
  - (a) Articles [...] **10** to [...] **14** concerning the **official** confirmation, notification and information as regards the presence of Union quarantine pests;
  - (b) Article 15 **and 15a** concerning measures to be taken immediately by professional operators **and persons other than professional operators**;
  - (c) Articles 16, 17 and 18 concerning the eradication of Union quarantine pests, the establishment and modification of [...] **demarcated** areas and surveys in those [...] **demarcated** areas.

2. A plant, plant product or other object originating in a [...] **demarcated** area established, in accordance with Article 17, in a protected zone for the protected zone quarantine pest concerned, may not be moved [...] **from that demarcated area into the remaining part of that protected zone, or** into any **other** protected zone established for that protected zone quarantine pest.

**By way of derogation to subparagraph 1,** [...] that plant, plant product or other object **may be moved out of that demarcated area through and out of the protected zone concerned, only if it is** [...] packed and moved in such a way that there is no risk of spreading the respective protected zone quarantine pest within that protected zone.

3. The [...] **demarcated** areas established within a protected zone and the eradication measures taken in those areas pursuant to Articles 16, 17 and 18 shall be immediately notified to the Commission and the other Member States.

#### *Article 34*

#### **Surveys on protected zone quarantine pests**

1. The competent authority shall carry out an annual survey of each protected zone as regards the presence of the protected zone quarantine pest concerned. [...] **The provisions of Article 21(2) shall apply accordingly for the surveys carried out on protected zone quarantine pests.**

The Commission shall be empowered to adopt delegated acts, in accordance with Article 98, laying down detailed rules for the [...] **preparation and the content of the surveys referred to in subparagraph 1** [...].

2. Member States shall notify the Commission and the other Member States, by 30 April of each year, of the results of the surveys referred to in paragraph 1, which have been carried out in the preceding year.

**Amendment and revocation of the protected zones**

1. The Commission may amend the size of the protected zone on application by the Member State whose territory is concerned.

[...]

Where that amendment concerns the extension of a protected zone, Article[...] 32[...] shall apply.

2. On application by the Member State referred to in paragraph 1, the Commission shall revoke the recognition of a protected zone or shall reduce its size **by amending the implementing act referred to in Article 32(3). That amendment shall be adopted in accordance with the examination procedure referred to in Article 99 (3).**
3. The Commission shall revoke the recognition of a protected zone in case the surveys referred to in Article 34 have not been carried out in accordance with that Article.
4. The Commission shall revoke the recognition of a protected zone, in case the respective protected zone quarantine pest has been found to be present in that zone and one of the following conditions is fulfilled:
  - (a) no [...] **demarcated** area has been designated, in accordance with Article 33(1), within three months after the presence of that pest was confirmed;
  - (b) the eradication measures taken in a [...] **demarcated** area pursuant to Article 33(1) have not been successful within 24 months after the presence of that pest was confirmed, **or within a period longer than 24 months where the biology of the pest so justifies and that period is set out in the implementing act adopted pursuant to Article 32(3).**

- (c) information at the disposal of the Commission demonstrates, with regard to the application of measures pursuant, by virtue of Article 33(1)(c), to Articles 16, 17 and 18, **gross** negligent reaction to the presence of that pest in the concerned protected zone.

**5. The Commission shall revoke the recognition of a protected zone, pursuant to paragraphs 3 and 4 of this Article, by amending the implementing act referred to in Article 32(3). That amendment shall be adopted in accordance with the examination procedure referred to in Article 99 (3).**

### Chapter III

#### Union [...] **regulated non-quarantine pests**

##### *Article 36*

#### Definition of Union **regulated non-quarantine** [...] pests

A pest shall be referred to as a 'Union [...] **regulated non-quarantine** pest' if it fulfils **all** of the following conditions and it is included in the list referred to in Article 37:

- (a) its identity is established in accordance with point (1) of Section 4 of Annex II;
- (b) it is present in the Union territory;
- (c) it is **not a** [...] Union quarantine pest **or a pest subject to measures adopted pursuant to Article 29(1)**;
- (d) it is transmitted mainly through specific plants for planting, in accordance with point (2) of Section 4 of Annex II;
- (e) its presence on those plants for planting has an unacceptable economic impact, as regards the intended use of those plants for planting, in accordance with point (3) of Section 4 of Annex II;

- (f) feasible and effective measures are available to prevent its presence on the plants for planting concerned.

*Article 37*

**Prohibition of the introduction and movement of Union[...] regulated non-quarantine pests on plants for planting**

1. **Professional operators shall not introduce a** Union [...] **regulated non-quarantine** pest [...] into, or move[...] **that pest** within, the Union territory on the plants for planting through which it is transmitted, as specified in the list referred to in paragraph 2.

**The prohibition in the first subparagraph shall not apply in one or more of the following cases:**

**(a) movement of plants for planting within, or between, the premises of the professional operator concerned;**

**(b) movement of plants for planting necessary for their disinfection.**

2. The Commission shall, by means of an implementing act, establish a list setting out the Union [...] **regulated non-quarantine** pests and the specific plants for planting, as referred to in Article 36(d), where appropriate with the categories referred to in paragraph 4 and thresholds referred to in paragraph 5.

- 2a. The Commission shall, by means of an implementing act, as appropriate, set out measures to prevent the presence of those pests on the respective plants for planting, as referred to in Article 36(f). Those measures shall, as appropriate, concern the introduction into and the movement within the Union of those plants. Those measures shall be adopted in accordance with the principles set out in Section 2 of Annex IV on principles for the management of the risks of pests. Those measures shall apply without prejudice to the measures adopted pursuant to Directives 66/401/EEC, 66/402/EEC, 68/193/EC, 98/56/EC, 1999/105/EC, 2002/54/EC, 2002/55/EC, 2002/56/EC, 2002/57/EC, 2008/72/EC and 2008/90/EC.**

**2b.** The [...] list referred to in paragraph 2 shall include the pests, and the respective plants for planting, as set out in the following acts:

- (a) Section II of Part A of Annex II of Directive 2000/29/EC;
- (b) points (3) and (6) of Annex I to Council Directive 66/402/EEC of 14 June 1966 on the marketing of cereal seed and point (3) of Annex II thereto;

**(ba) Annex I to Council Directive 68/193/EEC of 9 April 1968 on the marketing of material for the vegetative propagation of the vine<sup>13</sup>;**

[...]

[...]

**(da) the acts adopted pursuant to Article 5(5) of Directive 98/56/EC;**

- (e) [...] Annex II to Council Directive 2002/55/EC of 13 June 2002 on the marketing of vegetable seed<sup>14</sup>;
- (f) [...] Annex I to Council Directive 2002/56/EC<sup>15</sup> of 13 June 2002 on the marketing of seed potatoes and point B of Annex II thereto, **and the acts adopted pursuant to point (c) of Article 18 of that Directive;**
- (g) point (4) of Annex I to Council Directive 2002/57/EC of 13 June 2002 on the marketing of seed of oil and fibre plants and point (5) of Annex II thereto.

**(h) the acts adopted pursuant to Article 4 of Directive 2008/90/EC;**

**(i) the acts adopted pursuant to Article 4 of Council Directive 2008/72/EC of 15 July 2008 on the marketing of vegetable propagating and planting material, other than seed.**

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<sup>13</sup> OJ L 93, 17.4.1968, p. 15.

<sup>14</sup> OJ L 193, 20.7.2002, p. 33.

<sup>15</sup> OJ L 193, 20.7.2002, p. 60.

**Pests listed in Annex I, and in Section I of Part A and in Part B of Annex II of Directive 2000/29/EC and listed as a Union quarantine pest pursuant to Article 5(2) and pests subject to measures adopted pursuant to Article 29(1) shall not be included in that list.**

- 2c. The [...] implementing acts referred to in paragraphs 2 and 2a** shall be adopted in accordance with the **examination** [...] procedure referred to in Article 99[...] (3).
3. The Commission shall amend the implementing acts referred to in paragraphs 2 **and 2a**, where an assessment shows that a pest not listed in [...] **the implementing act referred to in paragraph 2** fulfils the conditions referred to in Article 36, a pest listed in that implementing act no longer fulfils one or more of those conditions or where amendments to that list are necessary, as regards categories referred to in paragraph 4 [...] **or** thresholds referred to in paragraph 5 **or where amendments to measures adopted pursuant to paragraph 2a are necessary**.

The Commission shall make that assessment available to the Member States **without delay**.

**All amendments to the implementing act referred to in paragraphs 2 and 2a shall be adopted in accordance with the examination procedure referred to in Article 99(3). The same procedure shall apply to a replacement of those implementing acts for purposes of consolidating amendments.**

4. Where Article 36(e) is only fulfilled for one or more of the **pre-basic, basic, or certified material, seed or seed potatoes, or standard or CAC material or seed, as respectively referred to in Directives 66/401/EEC, 66/402/EEC, 68/193/EEC, 2002/54/EC, 2002/55/EC, 2002/56/EC, 2002/57/EC, 2008/72/EC and 2008/90/EC** [...], the list referred to in paragraph 1 shall set out those categories stating that the prohibition of introduction and movement provided for in paragraph 1 only applies to those categories.
5. Where Article 36(e) is only fulfilled if the pest concerned is present **at an incidence** above a certain threshold **higher than zero**, the list referred to in paragraph 1 shall set out that threshold stating that the prohibition of introduction and movement provided for in paragraph 1 only applies above that threshold.

**Such** a threshold **higher than zero** shall only be set if the following points are fulfilled:

- (a) it is possible **for professional operators** to ensure [...] that the **incidence** [...] of that Union [...] **regulated non-quarantine** pest on those plants for planting does not exceed that threshold; and
- (b) it is possible to verify whether that threshold is not exceeded in lots of those plants for planting.

The principles for the management of the risk of pests set out in Section 2 of Annex IV shall apply.

[...]

[...]

**7. The provisions of Article 31 shall apply accordingly for the measures to be taken by the Member States concerning regulated non-quarantine pests and the respective plants for planting.**

*Article 38*

**Amendment of Section 4 of Annex II**

The Commission shall be empowered to adopt delegated acts in accordance with Article 98 amending Section 4 of Annex II on criteria to identify pests which qualify as a Union [...] **regulated non-quarantine** pest, [...] **in order to adapt those criteria to the** development[...] of technical and scientific knowledge **and international standards.**

*Article 39*

**Union regulated non-quarantine pests used for scientific or educational purposes, trials, varietal selection, breeding or exhibitions**

The prohibition provided for in Article 37 shall not apply to Union [...] **regulated non-quarantine pests** present on the plants for planting concerned, [...] used for scientific **or educational** purposes, trials, varietal selection[,], breeding or exhibitions.



## Chapter IV

### Measures concerning plants, plant products and other objects

#### SECTION 1

#### MEASURES RELATING TO THE ENTIRE UNION TERRITORY

##### *Article 40*

#### **Prohibition of introduction of plants, plant products and other objects into the Union territory**

**01. Certain plants, plant products or other objects shall not be introduced into the territory of the Union if they originate from all or certain third countries or territories.**

1. [...]

**The Commission, shall by means of implementing acts, adopt a list containing the plants, plant products and other objects referred to in paragraph 01 which are prohibited from being introduced into the territory of the Union. Third countries, group of third countries or specific areas of third countries to which the prohibition applies shall also be listed in that implementing act.**

**The first time that the Commission adopts that implementing act, it shall include the plants, plant products and other objects as well as their country of origin as listed in Part A of Annex III to Directive 2000/29/EC.**

That implementing act shall be adopted in accordance with the [...] **examination** procedure referred to in Article 99[...] **(3)** of this Regulation.

In the list established by that implementing act, the plants, plant products and other objects shall **also** be identified by their respective code in accordance with the classification in the Combined Nomenclature as laid down in Council Regulation (EEC) No 2658/87 of 23 July 1987 on the tariff and statistical nomenclature and on the Common Customs Tariff<sup>16</sup> (hereinafter: 'CN code'), **where that code is available.**

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<sup>16</sup> OJ L 256, 7.9.1987, p. 1.

**Other codes, laid down by Union legislation, shall in addition be referred to where they specify further the applicable CN code for a specific plant, plant product or other object.**

2. In case a plant, plant product or other object, originating in or being dispatched from a third country, poses a **pest** [...] risk of an unacceptable level by its likelihood to host a Union quarantine pest, and that risk cannot be reduced to an acceptable level by applying one or more of the measures set out in points 2 and 3 of Section 1 of Annex IV on measures and principles for the management of the risks of pests, the Commission shall amend, as appropriate, the implementing act referred to in paragraph 1, to include in it that plant, plant product or other object and the third countries, concerned.

In case a plant, plant product or other object included in that implementing act does not pose a **pest** [...] risk of an unacceptable level, or it poses such a risk but that risk can be reduced to an acceptable level by applying one or more of the measures set out in points 2 and 3 of Section 1 of Annex IV on measures to manage the risks and pathways of quarantine pests, the Commission shall amend that implementing act, as appropriate.

The acceptability of the level of that **pest** [...] risk shall be assessed in accordance with the principles set out in Section 2 of Annex IV on principles for the management of the risks of pests. Where appropriate, the acceptability of that level of **pest** [...] risk shall be assessed with regards to one or more specific third countries.

Those amendments shall be adopted in accordance with the examination procedure referred to in Article 99(3) of this Regulation.

On duly justified imperative grounds of urgency to address a serious **pest** [...] risk, the Commission shall adopt those amendments by immediately applicable implementing acts, in accordance with the procedure referred to in Article 99(4).

3. [...]

4. Member States shall notify, through the electronic notification system referred to in Article 97, the Commission and other Member States where plants, plant products or other objects have been introduced into the Union territory in violation of paragraph [...] **01**.

[...] **That notification shall also include the** third country from which the plants, plant products or other objects were introduced into the Union territory [...].

*Article 41*

**Plants, plant products and other objects subject to special and equivalent requirements**

**01. Certain plants, plant products or other objects may only be introduced into, or moved within, the territory of the Union if special requirements, or equivalent requirements, are fulfilled. Those plants, plant products or other objects may originate from third countries or within the territory of the Union.**

1. [...] **The Commission shall, by means of implementing acts, adopt a list containing the plants, plant products and other objects and their respective special requirements as referred to in paragraph 01. That list shall, where applicable, include the third country, group of third countries or specific areas within third countries concerned. The first time that the Commission adopts that implementing act, it shall include the plants, plant products and other objects, the special requirements and, where applicable, their third country of origin as listed in Part A of Annex IV to Directive 2000/29/EC.**

That implementing act shall be adopted in accordance with the examination procedure referred to in Article 99(3) of this Regulation.

In the list established by that implementing act, those plants, plant products and other objects shall **also** be identified by their respective CN code, **where that code is available. Other codes, laid down by Union legislation, shall in addition be referred to where they specify further the applicable CN code for a specific plant, plant product or other object.**

2. In case a plant, plant product or other object poses a **pest** [...] risk of an unacceptable level by its likelihood to host a Union quarantine pest, and that risk can be reduced to an acceptable level by applying one or more of the measures set out in points 2 and 3 of Section 1 of Annex IV on measures and principles for the management of the risks of pests, the Commission shall amend the implementing act referred to in paragraph 1, to include in it that plant, plant product or other object and the measures to be applied to it. Those measures, and the requirements referred to in paragraph 1, are hereinafter referred to as 'special requirements'.

Those measures may take the form of specific requirements, adopted in accordance with Article 42(1), for the introduction into the Union territory of particular plants, plant products or other objects, which are equivalent to special requirements for the **introduction into, and movement within, the Union territory** of those plants, plant products or other objects [...] (hereinafter: 'equivalent requirements').

In case a plant, plant product or other object included in that implementing act does not pose a **pest** [...] risk of an unacceptable level, or it poses such a risk but that risk cannot be reduced to an acceptable level by the special requirements, the Commission shall amend that implementing act **accordingly by removing that plant, plant product or other object from the list or by including it in the list referred to in Article 40(2).**

The acceptability of the level of that **pest** [...] risk shall be assessed, and the measures to reduce that risk to an acceptable level shall be adopted, in accordance with the principles set out in Section 2 of Annex IV on principles for the management of the risks of pests. Where appropriate, the acceptability of that level of **pest** [...] risk shall be assessed, and those measures shall be adopted, with regards to one or more specific third countries or parts thereof.

Those amendments shall be adopted in accordance with the examination procedure referred to in Article 99(3) of this Regulation.

On duly justified imperative grounds of urgency to address a serious **pest** [...] risk, the Commission shall adopt immediately applicable implementing acts in accordance with the procedure referred to in Article 99(4).

[...]

4. **In the event that** [...] plants, plant products or other objects have been introduced into, or moved within, the Union territory in violation of paragraph [...] **01, Member States shall adopt the necessary measures, as referred to in Union legislation on official controls and shall notify the Commission and other Member States, through the electronic notification system referred to in Article 97.**

Where applicable, that notification shall also include the third country from which the plants, plant products or other objects were introduced into the Union territory [...].

#### Article 41a

### Restrictions on the basis of a preliminary assessment for the introduction into the Union territory of high risk plants, plant products and other objects

1. Should a preliminary assessment reveal that a plant, plant product or other object originating in a third country and which is not listed according to Article 40 or not sufficiently covered by the requirements referred to in Article 41 or not subject to the temporary measures of Article 47, presents a pest risk of an unacceptable level for the Union territory, it shall be referred to as ‘high risk plant’, ‘high risk plant product’ or ‘high risk other object’ (hereinafter - high risk plants, plant products or other objects).

That preliminary assessment shall take into account, as appropriate for the plant, plant product or other object concerned, the criteria set out in Annex IIIA.

2. High risk plants, plant products or other objects listed in the implementing act provided for in paragraph 3 shall not be introduced into the Union territory from the third country of origin concerned by that listing.
3. The Commission shall adopt an implementing act, provisionally listing on the appropriate taxonomic level, pending the risk assessment referred to in paragraph 4, the high risk plants, plant products or other objects referred to in paragraph 1, and, where appropriate, the third countries, group of third countries or specific areas of third country concerned.

That implementing act shall be adopted in accordance with the examination procedure referred to in Article 99 (3) of this Regulation.

That implementing act shall be adopted the first time by [Office of publication insert the date corresponding to two years after the entry into force].

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In the list established by that implementing act, those plants, plant products and other objects, where applicable, shall also be identified by their respective CN code, where that code is available. Other codes, laid down by Union legislation, shall in addition be referred to where they specify further the applicable CN code for a specific plant, plant product or other object.

4. If it is concluded, on the basis of a risk assessment, that a plant, plant product or other object, originating in the third countries concerned, referred to in paragraph 2 on the taxonomic level as listed in accordance with paragraph 3 or below that level does not pose a risk of an unacceptable level by its likelihood to host a Union quarantine pest, the Commission shall adopt an implementing act removing that plant, plant product or other object from the list referred to in that paragraph for the third countries concerned. If it is concluded, on the basis of a risk assessment, that the a plant, plant product or other object originating in the third countries concerned , referred to in paragraph 2 poses an unacceptable risk by its likelihood to host a Union quarantine pest, and that that risk cannot be reduced to an acceptable level by applying one or more of the measures set out in points 2 and 3 of Section 1 of Annex IV on measures and principles for the management of the risks of pests, the Commission shall adopt an implementing act removing that plant, plant product or other object and the third countries concerned from the list referred to in paragraph 2 and add it to the list referred to in Article 40.

If it is concluded, on the basis of a risk assessment, that a plant, plant product or other object originating in the third countries concerned, referred to in paragraph 2 poses an unacceptable risk, but that that risk can be reduced to an acceptable level by applying one or more of the measures set out in points 2 and 3 of Section 1 of Annex IV on measures and principles for the management of the risks of pests, the Commission shall adopt an implementing act removing that plant, plant product or other object and the third countries concerned from the list referred to in paragraph 2 and add it to the list referred to in Article 41.

Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 99(3).

5. Provided that a demand for import of plants, plant products or other objects listed in accordance with paragraph 2 is identified, the risk assessment referred to in paragraph 4 shall be carried out within an appropriate and reasonable period of time.

As appropriate, that assessment may only concern plants, plant products or other objects of a particular third country of origin or dispatch, or a group of third countries of origin or dispatch.

6. The Commission may, by means of an implementing act, lay down specific rules concerning the procedure to be followed in order to carry out the risk assessment referred to in paragraph 4.

That implementing act shall be adopted in accordance with the examination procedure referred to in Article 99(3).

#### Article 41b

#### Specific import conditions for the introduction into the Union territory of wood packaging material

1. Wood packaging material, whether or not actually in use in the transport of objects of all kinds, shall only be introduced into the Union territory if it fulfils all of the following requirements:
- (a) it has been subject to the approved treatments, and complies with the respective requirements, as set out in Annex 1 of the International Standard for Phytosanitary Measures No 15 *Regulation of Wood Packaging Material in International Trade* (hereinafter: ISPM15);
  - (b) it is marked with the mark referred to in Annex 2 of ISPM15, attesting that it has been subject to the treatments referred to in point (a).

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**This paragraph shall not apply to wood packaging material which is subject to the exemptions provided for in ISPM15.**

- 2. The Commission shall be empowered to adopt delegated acts in accordance with Article 98 to amend and supplement the requirements referred to in paragraph 1 to take into account the development of international standards, and notably of ISPM 15.**

**Those delegated acts may also determine that wood packaging material, other than that referred to in the third subparagraph of paragraph (1), is exempted from the requirements of paragraph 1, or is subject to less stringent requirements.**

*Article 42*

**Setting out of equivalent requirements**

1. Equivalent requirements, as referred to in the second subparagraph of Article 41(2) shall be set out, by means of an implementing act, on request of a particular third country, if all of the following conditions are fulfilled:
  - (a) the third country concerned ensures, through the application under its official control of one or more specified measures, a level of phytosanitary protection which is equivalent to the special requirements adopted pursuant to Article 41(1) and (2) in respect of the movement within the Union territory of plants, plant products and other objects concerned;
  - (b) the third country concerned [...] demonstrates to the Commission that the specified measures referred to in point (a) achieve the level of phytosanitary protection referred to in that point.
2. Where appropriate, the Commission shall [...] **carry out investigations**, in the third country concerned, [...] **to verify** whether points (a) and (b) **of paragraph 1** are fulfilled. **Those investigations shall comply with requirements for Commission investigations as referred to in Union legislation on official controls.**
3. The implementing acts referred to in paragraph 1 shall be adopted in accordance with the examination procedure referred to in Article 99(3).



**Information to be provided to travellers [...] and clients of postal services [...]**

1. Member States, **seaports, airports,** and international transport operators shall make information available to passengers concerning prohibitions, set out pursuant to Article 40[...] **(01)**, [...] requirements, set out pursuant to Articles 41(1) and 42(2), and [...] exemptions, set out pursuant to Article 70(2), as regards the introduction of plants, plant products and other objects into the Union territory.

That information shall be provided in the form of posters or brochures, **and, as applicable, on the internet site of the persons referred to in the first sub-paragraph** [...].

**That information shall also be made available at least through the internet by postal services and by professional operators involved in sales through distance contracts to their clients concerning plants, plant products and other objects referred to in the first subparagraph.**

[...]

The Commission **may** [...], **by means of** an implementing act, set out **arrangements for the presentation and use of** those posters and brochures. That implementing act shall be adopted in accordance with the **examination** [...] procedure referred to in Article 99 [...] **(3)** of this Regulation.

[...]

3. Member States shall, **on request,** [...] submit to the Commission a report summarising the information provided pursuant to this Article.

**Exception from prohibitions and requirements for frontier zones**

1. By way of derogation from Articles 40[...] **(01)**, 41[...] **(01) and 41a(2)**, Member States may authorise the introduction of plants, plant products and other objects into the Union territory, where the plants, plant products and other objects fulfil **all of** the following conditions:

- (a) they are grown or produced in areas of third countries in the vicinity of their **land** border with Member States (hereinafter: 'third country frontier zones');
- (b) they are introduced into areas of Member States immediately across that border (hereinafter: 'Member State frontier zones');
- (c) they are to be **subject to processing** [...] in the respective Member State frontier zones in such a manner that any **pest** [...] risk is eliminated;
- (d) they do not pose any risk of spreading **Union** quarantine pests **or pests subject to measures adopted pursuant to Article 29(1)** caused by movements within the frontier zone.

Those plants, plant products and other objects shall only **be moved** into and within the Member State frontier zones, and only under the official control of the competent authority.

2. The Commission shall be empowered to adopt delegated acts, in accordance with Article 98, setting out the following:
  - (a) the maximum width of third country frontier zones and Member State frontier zones, as appropriate for the specific plants, plant products and other objects individually;
  - (b) the maximum distance of the movement of the plants, plant products and other objects concerned within the third country frontier zones and Member State frontier zones; and
  - (c) the procedures concerning the authorisation of the introduction into, and movement within, the Member State frontier zones of plants, plant products and other objects referred to in paragraph 1.

The width of those zones shall be such to ensure that the introduction and movement of those plants, plant products and other objects in the Union territory does not pose any **pest** [...] risks to the Union territory or parts of it.

3. The Commission may lay down, by means of implementing acts, specific conditions or measures concerning the introduction into Member State frontier zones of particular plants, plant products and other objects, and specific third countries, which are subject to this Article.

Those acts shall be adopted in accordance with Section 1 of Annex IV on measures to manage the risks of quarantine pests and Section 2 of that Annex on principles for the management of the risks of pests, **and, where appropriate,** taking into account the **development of** scientific and technical **knowledge** [...] **and international standards**.

Those implementing acts shall be adopted, and as appropriate repealed or replaced, in accordance with the examination procedure referred to in Article 99(3).

4. Member States shall notify, through the electronic notification system referred to in Article 97, the Commission and the other Member States where plants, plant products or other objects have been introduced into, or moved within, the frontier zones as referred to in paragraphs 1, [...] 2 **and 3** in violation of those paragraphs.

[...] **That notification shall also include the** third country from which the plants, plant products or other objects were introduced into the frontier zone concerned [...].

#### *Article 45*

##### [...] **Requirements for phytosanitary transit**

1. By way of derogation from Article 40[...] (**01**), Article 41[...] (**01**), **41a(2)**, [...] 68(1) **and 68a**, [...] plants, plant products and other objects **may be introduced into**, and [...] **be** passed[...] through, the Union territory to a third country, **either in the form of transit or transshipment** (hereinafter 'phytosanitary transit'), where those plants, plant products and other objects fulfil the following conditions:
  - (a) they are accompanied by a signed declaration of the professional operator in control of those plants, plant products and other objects stating that those plants, plant products or other objects are in phytosanitary transit;

- (b) they are packed and moved in such a way that there is no risk of spreading of Union quarantine pests during their introduction into, and passing through, the Union territory;

[...]

- 1a. Competent authorities shall prohibit phytosanitary transit if the plants, plant products or other objects concerned do not comply, or there is reasonable evidence that they will not comply, with paragraph 1.**

[...]

[...]

[...]

[...]

[...]

[...]

#### *Article 46*

**Plants, plant products and other objects used for official testing, scientific or educational purposes, trials, varietal selection and breeding [...]**

1. By way of derogation from Articles 40[...] (01), 41[...] (01) **and 41a(2)** Member States may, on application, authorise **temporarily** the introduction into, and the movement within, their territory of plants, plant products and other objects used for **official testing**, scientific **or educational** purposes, trials, varietal selection **and** breeding[...].

**That authorisation shall be granted for the activity concerned only if adequate restrictions are imposed to ensure that the presence of the plants, plant products or other objects concerned does not cause an unacceptable risk of the spread of a Union quarantine pest or pest subject to the measures adopted pursuant to Article 29, taking into account the identity, biology and means of dispersal of the pests concerned, the activity envisaged, the interaction with the environment and other relevant factors relating to the pest risk posed by those plants, plant products or other objects.**

[...]

[...]

[...]

[...]

[...]

[...]

3. Where an authorisation is granted, it shall include all of the following conditions:

- (a) the plants, plant products or other objects concerned are to be kept in **a location and under conditions** [...] found to be appropriate by the competent authorities and referred to in the authorisation;
- (b) the activity involving those plants, plant products or other objects is to be carried out in a quarantine station, **or confinement facility**, designated in accordance with Article 56 by the competent authority and referred to in the authorisation;
- (c) the activity involving those plants, plant products or other objects is to be carried out by personnel whose scientific and technical [...] **competence is** [...] found to be appropriate by the competent authority and referred to in the authorisation;
- (d) those plants, plant products or other objects are to be accompanied by the authorisation when introduced into or moved within the Union territory.

4. The authorisation shall be limited to the amount **and duration** that is adequate for the activity concerned and shall not exceed the capacity of the designated quarantine station **or confinement facility**.

It shall include the restrictions necessary to adequately [...] **eliminate** the risk of the spread of the **respective** Union quarantine pests **or pests subject to measures adopted pursuant to Article 29(1)** [...].

5. The competent authority shall monitor compliance with the conditions referred to in paragraph 3 and the limitation and the restrictions referred to in paragraph 4 and take the necessary action in case those conditions, that limitation or those restrictions are not complied with.

**Where appropriate, that action shall be the revocation of the authorisation referred to in paragraph 1.**

6. The Commission shall be empowered to adopt delegated acts, in accordance with Article 98, laying down detailed rules concerning:
- (a) the exchange of information between Member States and the Commission concerning the introduction into, and movement within, the Union territory of the plants, plant products and other objects concerned;
  - (b) the **procedures and conditions for granting** [...] **the** authorisation referred to in paragraph **1**[...]; and
  - (c) **the requirements for the** monitoring of compliance **and** the actions **to be taken** in case of non-compliance[...], as referred to in paragraph 5.

[...]

[...]

[...]

#### *Article 47*

**Temporary measures concerning plants [...], plant products and other objects likely to pose newly identified pest risks or other suspected phytosanitary risks**

1. The Commission may adopt, by means of implementing acts, temporary measures as regards the introduction into, and movement within, the Union territory of plants, **plant products and other objects** [...] from third countries, where the following conditions are fulfilled:

**(a0) the plants, plant products or other objects are likely to pose newly identified pest risks which are not sufficiently covered by any Union measures and are not linked, or cannot yet be linked, to Union quarantine pests listed pursuant to Article 5(2) and (3) or pests subject to measures adopted pursuant to Article 29(1);**

- (a) there is [...] **insufficient** phytosanitary experience, **such as in relation to new plant species or pathways,** as regards trade in the plants, **plant products and other objects** [...] concerned originating in or dispatched from the third country concerned;
- (b) no assessment has been carried out as regards the **newly identified pest** [...] risks for the Union territory in respect of those plants, **plant products or other objects** [...] from the third country concerned;

[...]

Those implementing acts shall be adopted, and as appropriate repealed or replaced, in accordance with the examination procedure referred to in Article 99(3).

2. The temporary measures referred to in paragraph 1 shall be adopted **taking into account** [...] Annex III on elements to identify plants **or plant products** which **are likely to** pose **newly identified pest** [...] risks **or other suspected phytosanitary risks** for the Union territory and Section 2 of Annex IV on principles for the management of the risks of pests.

Those measures shall provide for one **or more** of the following, as necessary in the case concerned:

- (a) **systematic and intensive inspections and** [...] sampling, at the point of introduction, of each lot of plants, **plant products or other objects** [...] introduced into the Union territory and testing of samples;
- (b) [...] a quarantine period, **within a quarantine station or a confinement facility as referred to in Article 56,** to verify the absence of that **newly identified pest** [...] risk in those plants, **plant products or other objects** [...];

- (c) [...] a prohibition of the introduction of those plants, **plant products or other objects**  
[...] into the Union territory.

**In the case of (a) and (b) the implementing act referred to in paragraph 1 may also set out specific measures to be taken before the introduction into the Union territory of those plants, plant products or other objects.**

3. The **temporary** measures referred to in paragraph 1 shall apply for **an appropriate and reasonable period of time**, [...] **pending the characterisation of pests likely to be associated with those plants, plant products or other objects from those third countries and the full assessment of the risks posed by those pests in accordance with Section 1 of Annex II** [...].
4. On duly justified imperative grounds of urgency to address a serious **newly identified pest** [...] risk, the Commission shall adopt immediately applicable implementing acts, in accordance with the procedure referred to in Article 99(4). **Those acts shall be adopted in accordance with Section 2 of Annex IV on principles for the management of the risks of pests.**
5. By way of derogation from the measures adopted pursuant to paragraph 1, Article 46 shall apply to the introduction into, and the movement within, the Union territory of plants, **plant products or other objects** [...] used for **official testing**, scientific **or educational** purposes, trials, varietal selection [...] **and** breeding [...].
6. **By 30 April each year** Member States shall **submit a report to the** [...] Commission and the other Member States **on the application** [...] **of** the measures referred to in points (a) or (b) of paragraph 2, **during the preceding year.**

Member States shall notify the Commission and the other Member States where, following the application of the measures referred to in points (a) or (b) of paragraph 2, a pest has been found which is likely to pose new **pest** [...] risks.



Member States shall notify, through the electronic notification system referred to in Article 97, the Commission and the other Member States where the introduction of a plant, plant product or other object into the Union territory was refused, or its movement within the Union territory prohibited, because the Member State concerned considered that the prohibition referred to in point (c) of paragraph 2 was violated. Where applicable, those notifications shall include the measures taken by the Member States on the plants, plant products [...] **or** other objects concerned [...] **as referred to in Union legislation on official controls**.

Where applicable, the third country from which the plants, plant products or other objects were dispatched for introduction into the Union territory shall also be notified.

#### **Article 47 a**

#### **Report from the Commission on the enforcement and effectiveness of measures relating to imports into the Union territory**

**By [Office of Publications, please insert the date: five years after the date of entry into force of this Regulation] the Commission shall present a report to the European Parliament and the Council, including a cost-benefit analysis, on the enforcement and effectiveness of measures relating to imports into the Union territory, and if appropriate present a legislative proposal.**

#### **Article 48**

#### **Amendment of Annex III**

1. The Commission shall be empowered to adopt delegated acts, in accordance with Article 98, amending Annex III on elements to identify plants **or plant products** [...] which **are likely to** pose **newly identified** [...] pest risks **or other suspected phytosanitary risks** for the Union territory, as regards the characteristics and origin of those plants **or plant products** [...], in **order** to adapt **those elements** to the developments of technical and scientific knowledge **and relevant international standards**.

- 2. The Commission shall be empowered to adopt delegated acts, in accordance with Article 98, amending Annex IIIA on criteria to assess high risk plants, plant products or other objects as referred to in Article 41a, in order to adapt those criteria to the developments of technical and scientific knowledge and relevant international standards.**

**Article 48a**

**Temporary measures by Member States concerning imminent danger**

- 1. Where a Member State considers that the introduction into, or movement within, its territory of plants, plant products or other objects from certain third countries or certain other Member States poses an unacceptable level of pest risk concerning the entry into, and establishment and spread in, its territory of a Union quarantine or a pest assessed to fulfil the conditions for inclusion in the list of Union quarantine pests, and that risk is not adequately mitigated by the measures referred to in Article 16(1), Article 16(2), Article 17(1), Article 18(1), Article 27(1), Article 27(2), Article 28(1), Article 29(1), Article 29(2), Article 40(1), Article 40(2), Article 41(1), Article 41(2), Article 41a(3), Article 47(1) and Article 49 it shall notify in writing the Commission and the other Member States of the Union measures it would like to be taken, together with the technical or scientific justification for those measures.**
- 1a. If it considers that those Union measures are not being, or cannot be, taken in sufficient time to mitigate that risk, it may take temporary measures to protect its territory against the imminent danger. Those temporary measures, and the technical justification for those measures, shall be notified immediately to the Commission and the other Member States.**

- 2. Where the Commission receives the notification referred to in paragraph 1, it shall immediately assess whether the risk referred to in paragraph 1 is adequately mitigated by the measures referred to in Article 16(1), Article 16(2), Article 17(1), Article 18(1), Article 27(1), Article 27(2), Article 28(1), Article 29(1), Article 29(2), Article 40(1), Article 40(2), Article 41(1), Article 41(2), Article 41a(3), Article 47(1) and Article 49, or whether any new measure should be adopted pursuant to those Articles.**
- 3. Where, on the basis of the assessment referred to in paragraph 2, the Commission concludes that that risk is not adequately mitigated by the temporary measures, taken by the Member State pursuant to paragraph 1a, or if those measures are disproportionate or not adequately justified, it may decide, by means of an implementing act, that those measures are to be repealed or amended. Until that implementing act has been adopted by the Commission, the Member State may maintain the measures that it has employed.**

**That implementing act shall be adopted in accordance with the examination procedure referred to in Article 99(3).**

## **SECTION 2**

### **MEASURES RELATING TO PROTECTED ZONES**

#### *Article 49*

**Prohibition of introduction of plants, plant products and other objects into protected zones**

- 01. Certain plants, plant products or other objects originating from third countries or within the territory of the Union, shall not be introduced into certain protected zones.**
- 1. [...] The Commission shall by means of implementing acts, adopt a list containing the plants, plant products and other objects referred to in paragraph 01, which are prohibited from being introduced into certain protected zones. The first time that the Commission adopts that implementing act, it shall include the plants, plant products and other objects and their respective protected zones and, where applicable, their country of origin as listed in Part B of Annex III to Directive 2000/29/EC.**

That implementing act shall be adopted in accordance with the [...] **examination** procedure referred to in Article 99 [...] **(3)** of this Regulation.

In the list established by that implementing act, the plants, plant products and other objects, shall **also** be identified by their respective CN code, **where that code is available**.

**Other codes, laid down by Union legislation, shall in addition be referred to where they specify further the applicable CN code for a specific plant, plant product or other object.**

2. In case a plant, plant product or other object, coming from outside the protected zone concerned, poses a [...] **pest** risk of an unacceptable level by its likelihood to host a protected zone quarantine pest, and that risk cannot be reduced to an acceptable level by applying one or more of the measures set out in points 2 and 3 of Section 1 of Annex IV on measures to manage the risks and pathways of quarantine pests, the Commission shall amend, as appropriate, the implementing act referred to in paragraph 1, to include in it that plant, plant product or other object and the protected zones concerned.

In case a plant, plant product or other object included in that implementing act does not pose a [...] **pest** risk of an unacceptable level, or it poses such a risk but that risk can be reduced to an acceptable level by applying one or more of the measures set out in points 2 and 3 of Section 1 of Annex IV on measures to manage the risks and pathways of quarantine pests, the Commission shall amend that implementing act **accordingly**.

Those amendments shall be adopted in accordance with the examination procedure referred to in Article 99(3) of this Regulation.

The acceptability of the level of that [...] **pest** risk shall be assessed in accordance with the principles set out in Section 2 of Annex [...] **IV** on principles for the management of the risks of pests.

[...]

4. On duly justified imperative grounds of urgency to address a serious [...] **pest** risk, the Commission shall adopt immediately applicable implementing acts in accordance with the procedure referred to in Article 99(4).

5. Member States shall notify, through the electronic notification system referred to in Article 97, the Commission and other Member States of any cases where plants, plant products or other objects have been introduced into, or moved within the protected zone concerned, in violation of the prohibitions adopted pursuant to this Article.

Where applicable, **the Member States or the Commission, shall notify the** third country from which the plants, plant products or other objects were introduced into the protected zone concerned [...].

#### *Article 50*

#### **Plants, plant products and other objects subject to special requirements for protected zones**

**01. Certain plants, plant products or other objects may only be introduced into, or moved within, certain protected zones if special requirements are fulfilled.**

1. [...] **The Commission shall, by means of implementing acts, adopt a list containing the plants, plant products and other objects, their respective protected zones and the special requirements. The first time that the Commission adopts that implementing act, it shall include the plants, plant products and other objects, the respective protected zones and the special requirements as listed in Part B of Annex IV to Directive 2000/29/EC.**

That implementing act shall be adopted in accordance with the [...] **examination** procedure referred to in Article 99 [...] **(3)** of this Regulation.

In the list established by that implementing act, those plants, plant products and other objects shall **also** be identified by their respective CN code, **where that code is available**.

**Other codes, laid down by Union legislation, shall in addition be referred to where they specify further the applicable CN code for a specific plant, plant product or other object.**

2. In case a plant, plant product or other object, coming from outside the protected zone concerned poses a [...] **pest** risk of an unacceptable level for that protected zone by its likelihood to host a protected zone quarantine pest, and that risk can be reduced to an acceptable level by applying one or more of the measures set out in points 2 and 3 of Section 1 of Annex IV on measures to manage the risks and pathways of quarantine pests,

the Commission shall amend the implementing act referred to in paragraph 1, to include in it that plant, plant product or other object and the measures to be applied to it. Those measures, and the requirements referred to in paragraph 1, are hereinafter referred to as 'special requirements for protected zones'.

In case a plant, plant product or other object included in that implementing act does not pose a [...] **pest** risk of an unacceptable level for the protected zone concerned, or it poses such a risk but that risk cannot be reduced to an acceptable level by the special requirements for protected zones, the Commission shall amend that implementing act **accordingly**.

Those amendments shall be adopted in accordance with the examination procedure referred to in Article 99(3) of this Regulation.

The acceptability of the level of that [...] **pest** risk shall be assessed, and the measures to reduce that risk to an acceptable level shall be adopted, in accordance with the principles set out in Section 2 of Annex [...] **IV** on principles for the management of the risks of pests.

On duly justified imperative grounds of urgency to address a serious [...] **pest** risk, the Commission shall adopt immediately applicable implementing acts in accordance with the procedure referred to in Article 99(4).

[...]

4. Member States shall notify, through the electronic notification system referred to in Article 97, the Commission and other Member States where plants, plant products or other objects have been introduced into, or moved within, the protected zone concerned, in violation of the measures adopted pursuant to this Article.

Where applicable, **the Member States or the Commission, shall notify the** third country from which the plants, plant products or other objects were introduced into the Union territory [...].

#### *Article 51*

### **Information to be provided to travellers [...] and clients of postal services [...] as regards protected zones**

Article 43 concerning information to be provided to travellers and clients of postal services [...] shall apply accordingly as regards the introduction into, or movement within, protected zones of plants, plant products and other objects [...].

#### *Article 52*

### **Exception from prohibitions and requirements for frontier zones as regards protected zones**

Article 44 [...] shall apply accordingly as regards the plants, plant products and other objects listed pursuant to Article 49(1) and (2) and Article 50(1) and (2) [...] which are introduced from the frontier zone of a third country into the respective protected zones bordering [...] that frontier zone [...].

#### *Article 53*

### **[...] Requirements for phytosanitary transit as regards protected zones**

Article 45 concerning the [...] requirements for phytosanitary transit shall apply accordingly as regards the plants, plant products and other objects listed pursuant to Article 49(1) and (2) and Article 50(1) and (2) with regard to phytosanitary transit through protected zones.

#### *Article 54*

### **Plants, plant products and other objects used for official testing, scientific or educational purposes, trials, varietal selection and breeding [...] as regards protected zones**

By way of derogation from the prohibitions and requirements provided for in Articles 49[...] **(01)** and 50[...] **(01)**, Article 46 shall apply accordingly as regards the plants, plant products and other objects listed pursuant to Article 49(1) and (2) and Article 50(1) and (2) with regard to the introduction into and the movement within protected zones of plants, plant products and other objects used for official testing, scientific or educational purposes, trials, varietal selection [...] and breeding [...].

**SECTION 3**  
**OTHER MEASURES CONCERNING PLANTS, PLANT PRODUCTS AND OTHER**  
**OBJECTS**

*Article 55*

**General requirements for vehicles, machinery and packaging material [...]**

1. **Vehicles, machinery and** packaging material used for plants, plant products or other objects, referred to in the implementing acts adopted pursuant to Articles 27(1) and (2), 29(1) and (2), 40(1), 41(1) and (2), **41a(3)** and 47(1) [...] and moving into or within the Union territory, **or through the Union territory pursuant to Article 45,** shall be free from Union quarantine pests, **and from the pests subject to measures adopted pursuant to Article 29(1).**

[...]

[...]

[...]

3. Paragraph[...] 1 [...] shall apply to protected zones also as regards the respective protected zone quarantine pests.

*Article 56*

**Designation of quarantine stations and confinement facilities**

1. **For the purposes referred to in Articles 8, 46 and 47 ,** Member States shall **take one or more of the following actions, taking into account the respective pest risk :**

[...]

**(a)** designate in their territory quarantine stations [...] **or confinement facilities;**

**(b)** authorise the use of designated quarantine stations [...] **or confinement facilities in another Member State, provided that, where applicable, the other Member State has given its consent for that authorisation;**



(c) designate temporarily the premises of professional operators or other persons as confinement facilities for the pests, plants, plant products or other objects and their respective uses as set out by the Articles referred to in this paragraph.

**1a. Those quarantine stations or confinement facilities shall fulfil the requirements set out in Article 56b.**

[...]

[...]

[...]

[...]

[...]

[...]

[...]

[...]

[...]

[...]

[...]

3. Member States shall communicate a list of the designated quarantine stations **and confinement facilities** in their territory to the Commission and the other Member States upon request.

Requirements for quarantine stations and confinement facilities

1. Quarantine stations and confinement facilities shall meet the following conditions to prevent the spread of Union quarantine pests:

- (a) they provide physical isolation of the pests, plants, plant products and other objects to be kept in quarantine or confinement and ensure they cannot be accessed or removed from those stations or facilities without consent of the competent authority;
- (b) they have systems, or access to systems, for sterilisation, decontamination or destruction of infested plants, plant products and other objects, waste and equipment before removal from the stations or facilities;
- (c) an identification and description of the tasks of those stations and facilities, the persons responsible for carrying out those tasks and the conditions under which they shall carry out those tasks, is available;
- (d) a sufficient number of suitably qualified, trained and experienced personnel is available;
- (e) a contingency plan is available to effectively eliminate any unintended presence of Union quarantine pests and pests subject to measures adopted pursuant to Article 29(1) and prevent their spread.

2. The Commission may, by means of implementing acts, lay down specific rules in order to provide uniform conditions of application for the requirements set out in paragraph 1 in relation to the type of plants, plant products and other objects and the actual or potential risk, including specific requirements for official testing, scientific or educational purposes, trials, varietal selection or breeding.

**Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 99(3).**

*Article 57*

**Operation of quarantine stations and confinement facilities**

1. The person responsible for the quarantine station, **or confinement facility**, shall monitor that station **or facility** and the immediate vicinity of that station **or facility** for the **unintended** presence of **Union** quarantine pests **and pests subject to measures adopted pursuant to Article 29(1).**

- 1a.** Where **an unintended presence of** such a pest is found [...], **or in case of suspicion of the unintended presence of such a pest,** the person responsible for the quarantine station **or confinement facility** concerned shall take the appropriate action [...], **based on the contingency plan referred to in point (e) of Article 56b. The obligations set out for professional operators in Articles 15 shall apply accordingly to the person responsible for the quarantine station or confinement facility.**

[...]

3. The person responsible for the quarantine station **or confinement facility** shall keep records on the following points:
  - (a) the personnel employed;
  - (b) the visitors accessing the station **or facility**;
  - (c) the **pests**, plants, plant products and other objects entering and leaving the station **or facility**;
  - (d) the place of origin of such plants, plant products and other objects;
  - (e) observations concerning the presence of pests on such plants, plant products and other objects **inside the quarantine station or confinement facility and in its immediate vicinity.**

Those records shall be kept for three years.

*Article 58*

**Supervision of quarantine stations and confinement facilities and revocation of designation**

1. The competent authority shall [...] **regularly inspect** the quarantine stations [...] **and confinement facilities**, to verify whether those stations **and facilities** meet the **requirements referred to in Articles 56b and the operation conditions referred to in Article [...] 57.**

**The frequency of those inspections shall be determined by the competent authority according to the pest risk related to the operation of the quarantine stations or confinement facilities.**

2. [...] **On the basis of the inspection referred to in paragraph 1, the competent authority may require the person responsible for quarantine station or confinement facility to implement corrective actions as regards compliance with Articles 56b and 57, either immediately or within a specified period of time, to ensure compliance with those provisions .**

**In case the competent authority concludes that the quarantine station or confinement facility fails to comply with Articles 56b and 57, that authority shall without delay take the measures necessary to ensure that non-compliance with those provisions does not continue. Those measures may include the revocation or suspension of the designation referred to in Article 56(1).**

- 2a. Where the competent authority has taken measures in accordance with paragraph 2, other than the revocation of the the designation referred to in Article 56(1), and non-compliance continues, that authority shall without delay revoke that designation.**

**Release of plants, plant products and other objects from quarantine stations and confinement facilities**

1. Plants, plant products and other objects shall only leave the quarantine stations or confinement facilities, upon authorisation by the competent authorities, if it is confirmed that they are free from Union quarantine pests and pests subject to measures adopted pursuant to Article 29(1), or, where applicable, protected zone quarantine pests.
2. Competent authorities may authorise the movement of plants, plant products or other objects infested by a Union quarantine pest or pest subject to measures adopted pursuant to Article 29(1) from a quarantine station or a confinement facility to another quarantine station or confinement facility, if that movement is justified by official testing or scientific reasons and takes place under the conditions set by the competent authority [...].
3. The Commission may, by means of implementing acts, lay down specific rules concerning the release of plants, plant products and other objects from quarantine stations and confinement facilities, and, where appropriate, labelling requirements related to that release or to the movement referred to in paragraph 2.

Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 99(3).

[...]

## Chapter V

## Registration of professional operators and traceability

## Article 61

- concerned:**

[...] professional operators [...] **introducing into, or moving within, the Union plants, plant products and other objects for which a phytosanitary certificate or a plant passport is respectively required on the basis of the implementing acts adopted pursuant to Articles 68(1), 68a, 69(1), 74(1) and 75(1);**

(b) [...] **professional operators authorised to issue plant passports according to Article 84;**

$$\left[ \begin{array}{c} \vdots \end{array} \right]$$
$$\left[ \begin{array}{c} \vdots \end{array} \right]$$
$$\left[ \begin{array}{c} \vdots \end{array} \right]$$
$$\left[ \begin{array}{c} \vdots \end{array} \right]$$
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$$\begin{bmatrix} \cdot \\ \cdot \\ \cdot \end{bmatrix}$$

**(d) professional operators who request the competent authority to issue the certificates referred to in Articles 94, 95 and 96 ;**

**(da) professional operators authorised to apply the marks referred to in Article 92, authorised to issue the attestation referred to in Article 93, providing information according to Articles 43 or 51, introducing plants, plant productions or other objects to frontier zones according to Articles 44(1) or 52, or the activities of which concern the relevant plants in demarcated areas referred to in Article 17, unless these operators are listed in another official register which is accessible to the competent authorities;**

**(db) professional operators other than the ones referred to in points (a) to (da), if so required by the implementing act adopted pursuant to Articles 27(1), and 29 (1), 41(1), 47 (1), 49(1) or 50(1);**

**Member States may decide that further categories of growers or other professional operators shall be registered, if so justified by the pest risk presented by the plants that they grow or by any other of their activities.**

2. A professional operator may be registered **only once** in the register of a competent authority [...]. **Where applicable, that registration shall be carried out with explicit references to each of the** [...] different premises, [...] as referred to in Article 62(2)(d). [...]
3. Paragraph 1 shall not apply to a professional operator covered by one or more of the following points:
  - (a) it supplies exclusively **and directly to final users** small [...] quantities of plants, plant products and other objects [...] **by means other than sales through distance contracts** [...];
  - (aa) it supplies exclusively and directly to final users small quantities of seeds, other than the seeds subject Article 68;**
  - (b) its professional activity concerning plants, plant products and other objects is limited to transporting such plants, plant products or other objects for another professional operator;

- (c) its professional activity exclusively concerns the transport of objects of all kinds using wood packaging material.

**Member States may decide that the exception referred to in point (a) shall not apply to all or certain growers or other professional operators, if so justified by the pest risk presented by the plants that they grow or that are covered by any other of their activities.**

**3a.** The Commission shall be empowered to adopt delegated acts, in accordance with Article 98, setting out one or more of the following:

- (a) further categories of professional operators to be exempted from the application of paragraph 1, where that registration would constitute a disproportionate administrative burden for them compared to the **low pest** [...] risk **related** [...] to their professional activities;
- (b) particular requirements for the registration of certain categories of professional operators, **taking into account the nature of the activity or of the plant, plant product or other object concerned;**
- (c) the maximum figure for small quantities of particular plants, plant products or other objects as referred to in point (a) of [...] paragraph **3. Those figures shall be established as appropriate to the plants, plant products and other objects concerned and the respective pest risks.**

#### *Article 62*

#### **Procedure of registration**

1. Professional operators falling within the scope [...] of Article 61(1) shall submit an application to the competent authorities for inclusion in the register.
2. That application shall include the following elements:



- (a) name, address **in the Member State of registration** and contact details of the professional operator;
- (b) a statement concerning the intention of the professional operator to exercise [...] **one or more** of the activities referred to in Article 61(1) concerning plants, plant products and other objects;
- (c) a statement concerning the intention of the professional operator to carry out [...], **as applicable, one or more** of the following activities:
  - (i) issuing of plant passports for plants, plant products and other objects, pursuant to Article 79(1);
  - (ii) placing of the mark on wood packaging material, referred to in Article 91(1);
  - (iii) issuing of any other attestation, as referred to in Article 93(1);
 [...]
- (d) address of the premises [...] **and as applicable, the location of land plots** used by the professional operator in the Member State concerned to carry out the activities referred to in Article 61(1) for the purpose of the registration;
- (e) the **commodity types, families, genera** [...], **or** species of the plants and plant products, and, where appropriate, nature of other objects, concerned by the activities of the professional operator, **as referred to in Article 61(1)**.

3. The competent authorities shall register a professional operator **without delay** where the application for registration contains the elements of paragraph 2.

**3a. By way of derogation from paragraphs 1 and 2, a competent authority shall register a professional operator without the submission of the application, if that operator is registered in accordance with subparagraph 3 of Article 6(5), Article 6(6) or Article 13c(1)(b) of Directive 2000/29/EC or national plant health rules, and all elements of paragraph 2 are available to that competent authority. Where appropriate, the professional operator concerned shall submit an update of those elements within three months from the date of application of this Regulation.**

4. Registered [...] operators shall, where [...] **applicable**, submit **annually** an [...] **update concerning any changes of** the data referred to in points [...] (d) and (e) of paragraph 2, and the statements referred to in points (b) and (c) of paragraph 2. **That submission shall take place by 30 April of each year with regards to the update of the data of the preceding year.**

**An application for updating the data referred to in point (a) of paragraph (2) shall be submitted no later than 30 days after the change of those data.**

5. Where the competent authority becomes aware that the registered operator does not carry out any more the activities of Article 61(1), or that the registered operator has submitted an application no longer complying with the requirements of paragraph 2, it shall request that operator to comply with those requirements immediately or within a specified period of time.

In case the registered operator does not comply with those requirements within the period of time set by the competent authority, the competent authority shall, **as appropriate, amend or** revoke the registration of that operator.

### *Article 63*

#### **Content of the register**

The register shall contain the elements set out in points (a), (b), (d) and (e) of Article 62(2) and the following elements:

- (a) the official registration number [...], **which shall include** the two-letter code indicated in norm ISO 3166-1-alpha-2<sup>17</sup> for the Member State in which the professional operator is registered;
- (c) **as applicable**, an indication [...] for [...] **which** of the activities referred to in point (c) of Article 62(2) **the professional operator is authorised, and, as applicable, the specific plants, plant products or other objects concerned.**

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<sup>17</sup> ISO 3166-1:2006, Codes for the representation of names of countries and their subdivisions – Part 1: Country codes. International Organisation for Standardization, Geneva.

## Article 64

### Availability of information of official registers

1. The Member State keeping the register shall, **make available**, on **reasoned** request, [...] the information it contains [...] to the other Member States or the Commission, **for their own use**.
2. The Member State keeping the register shall make available, on [...] **justified** request, the information referred to in [...] **points (a) and (b) of Article 62(2) and point (c) of Article 63, with regards to one particular registered operator**, to any professional operator **which is established in the Union, for its own use**.
3. **This Article shall apply without prejudice to national and Union rules on confidentiality, access to information and private data protection.**

## Article 65

### Traceability

1. A professional operator to which plants, plant products or other objects are supplied that are subject to [...] requirements or conditions pursuant to Articles **27(1)(a-c), (2) and (3), 29(1), (2), (3), 37(2)**, [...] 41(1) and (2), 44(1) and (3), [...] 46(1) and (3), 47(1), [...] 50(1) and (2), 52, 53, 54 **and 74(1)** shall keep a record for each **trade unit of** plant, plant product or other object supplied, allowing that operator to identify the professional operators supplying it.
2. A professional operator supplying plants, plant products or other objects that are subject to [...] requirements or conditions pursuant to Articles **27(1)(a-c), (2), (3), 29(1), (2), (3), 37 (2)**, [...] 41(1) and (2), 44(1) and (3), 45(1), 46(1) and (3), 47(1), [...] 50(1) and (2), 52, 53, 54 **and 74(1)** shall keep a record allowing that professional operator to identify, for each **trade unit of** plant, plant product or other object it supplied, the professional operators **to** whom it was supplied.

- 2a. Where an authorised operator issues a plant passport pursuant to Article 79(1), and where the competent authority issues a plant passport pursuant to Article 79(2) for a registered operator, that operator shall ensure, for the purpose of ensuring traceability pursuant to paragraphs 1 and 2, that it records the following information as regards that plant passport:**
- (a) where applicable, the professional operator who supplied the trade unit concerned;**
  - (b) the professional operator to whom the trade unit concerned was supplied;**
  - (c) relevant information of the plant passport.**
3. Professional operators shall keep the records referred to in paragraphs 1, [...] **2 and 2a** for **at least** three years from the date on which the plant, plant product or other object concerned was supplied to or by them.
- 3a. The Commission may, by means of implementing acts, set out the following elements:**
- (a) a shorter or longer minimum period than the one referred to in paragraph 3 with regards to specific plants, where so justified by the length of the cultivation period of those plants;**
  - (b) requirements as to the accessibility of the records to be kept by the professional operators referred to in paragraphs 1 and 2.**
- Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 99(3).**
4. On request, [...] **the professional operators referred to in paragraph 3** shall communicate the information in the records referred to in paragraphs 1, [...] **2 and 2a** to the competent [...] **authority**.
5. Paragraphs 1 to 4 shall not apply to the professional operators referred to in points **(b) and (c)** of Article 61(3).

**Movements of plants, plant products and other objects within and between the premises of the professional operator**

1. **The** professional operators **which are supplied with, or supply, the plants, plant products or other objects referred to in Article 65 (1) and (2)** shall have in place traceability systems [...] **or** procedures to allow identification of the movements of [...] **those** plants, plant products and other objects within **and between** their own premises.

The first subparagraph shall not apply to the professional operators referred to in points (b) **and (c)** of Article 61(3).

2. The information, as identified by the systems [...] **or** procedures referred to in paragraph 1, on the movement of the plants, plant products and other objects within those premises shall be made available to the competent authority on request.

**Chapter VI**

**Certification of plants, plant products and other objects**

**SECTION 1**

**PHYTOSANITARY CERTIFICATES REQUIRED FOR THE INTRODUCTION OF PLANTS, PLANT PRODUCTS AND OTHER OBJECTS INTO THE UNION TERRITORY**

**Phytosanitary certificate for introduction into the Union territory**

1. A phytosanitary certificate for introduction of plants, plant products and other objects into the Union territory shall be a document, issued by a third country, which fulfils the conditions of Article 71, has the contents set out in Part A of Annex V, or, where applicable, Part B of Annex V, and certifies that the plant, plant product or other object concerned complies with all of the following requirements:
  - (a) it is free from Union quarantine pests **and pests subject to measures adopted pursuant to Article 29 (1)**;

- (b) it complies with the provisions of Article 37(1) concerning the presence of Union [...] **regulated non-quarantine** pests on plants for planting;
  - (c) it complies with the requirements referred to in Articles 41(1) and (2), 41a or, where applicable, in Article 50(1), (2);
  - (d) where applicable, it complies with rules adopted in accordance with the provisions adopted pursuant to Article 27(1)(c) and (2) and Article 29(1).
2. [...] The phytosanitary certificate shall specify under the heading 'Additional Declaration' [...] **which specific requirement is fulfilled, whenever the respective** implementing act, adopted pursuant to Articles **27(1),(2), 29(1),(2), 37(2)**, 41(1) and (2), 50(1) and (2), **allows for** several **different** options **for such requirements**. [...] **That specification shall include the full wording of the relevant requirement.**
  3. Where applicable, the phytosanitary certificate shall state that the plants, plant products or other objects concerned comply with phytosanitary measures recognised as equivalent, pursuant to Article 42, to the requirements of the implementing act adopted pursuant to Article 41(2).
  4. The Commission shall be empowered to adopt delegated acts, in accordance with Article 98, amending Parts A and B of Annex V to adapt them to the [...] **development of the relevant** international standards.

#### *Article 68*

#### **Plants, plant products and other objects for which phytosanitary certificates are required**

1. The Commission shall, by means of an implementing act, establish a list of the plants, plant products and other objects, and the respective third countries of origin or dispatch, for which a phytosanitary certificate is required for introduction into the Union territory.

That list shall include:

**(a0) all plants for planting, other than seeds;**

- (a) the plants, plant products and other objects listed in Point I of Part B of Annex V to Directive 2000/29/EC;
- (b) plants, plant products and other objects for which requirements have been adopted pursuant to Articles 27(1)(c) and 29(1) concerning their introduction into the Union territory;
- (c) seeds **or, as applicable, seed potatoes** listed pursuant to Article 37(2) **and (2b) and subject to equivalence decisions adopted pursuant to directives 66/401/EEC, 66/402/EEC, 98/56/EC, 1999/105/EC, 2002/54/EC, 2002/55/EC, 2002/56/EC, 2002/57/EC;**
- (d) plants, plant products and other objects listed pursuant to Articles 41(1) and (2);
- (e) plants, plant products and other objects subject to points (a) and (b) of Article 47(2).**

Points (a) to (d) shall not apply, however, **and a phytosanitary certificate shall not be required** where the act adopted pursuant to Articles 27(1)(c), 29(1) or 41(1) and (2) requires proof of compliance in the form of an official mark, as referred to in Article 91(1), or another official attestation, as referred to in Article 93(1).

That implementing act shall be adopted in accordance with the [...] **examination** procedure referred to in Article 99[...] (3).

**In the list established by that implementing act, the plants, plant products and other objects shall also be identified by their respective CN code, where that code is available. Other codes, laid down by Union legislation, shall in addition be referred to where they specify further the applicable CN code for a specific plant, plant product or other object.**

2. The Commission shall, by means of an implementing act, amend the implementing act referred to in paragraph 1, in the following cases:
  - (a) where a plant, plant product or other object, listed in that implementing act, does not fulfil paragraph 1(b), (c) or (d);

- (b) where a plant, plant product or other object, not listed in that act, fulfils paragraph 1(b),  
(c) or (d).

That implementing act shall be adopted in accordance with the [...] **examination** procedure referred to in Article 99[...]**(3)**.

3. **Further to paragraph 2**, the Commission may, by means of an implementing act, amend the implementing act referred to in paragraph 1, in accordance with the principles set out in Section 2 of Annex IV, where there is a risk that a plant, plant product or other object, not listed in that act, hosts a Union quarantine pest **or pest subject to measures adopted pursuant to Article 29 (1)**, or where, for a plant, plant product or other object listed in that act, that risk no longer exists.

That implementing act shall be adopted in accordance with the examination procedure referred to in Article 99(3).

4. By way of derogation from paragraphs 1, 2 and 3, no phytosanitary certificate shall be required for the plants, plant products or other objects, which are subject to Articles 44, 45, 46 and 70**(1)**.

#### **Article 68a**

##### **Other plants for which phytosanitary certificates are required**

- 1. The Commission shall, by means of an implementing act, set out that for plants, other than the plants referred to in Article 68(1), a phytosanitary certificate is required for introduction into the Union territory.**
- 2. However that implementing act shall set out that a phytosanitary certificate is not required for those plants where an assessment, based on evidence about pest risks and experience with trade, demonstrates that such a certificate is not necessary. That assessment shall take into account the criteria set out in Annex VIA. As appropriate, that assessment may only concern plants of a particular third country of origin or dispatch, or a group of third countries of origin or dispatch.**



**In the list established by that implementing act, the plants shall also be identified by their respective CN code, where that code is available.**

**Other codes, laid down by Union legislation, shall in addition be referred to where they specify further the applicable CN code for a specific plant, plant product or other object.**

- 3. That implementing act shall be adopted or amended in accordance with the examination procedure referred to in Article 99(3). It shall be adopted by [Office of publication insert the date corresponding to two years after the entry into force].**

#### *Article 69*

**Plants, plant products and other objects for which phytosanitary certificates are required for introduction into a protected zone**

- 1. Phytosanitary certificates are required, in addition to the cases referred to in Article 68 (1), (2) and (3), for the introduction of some plants, plant products and other objects into certain protected zones from certain third countries of origin or dispatch.**

The Commission shall, by means of an implementing act, establish a list of those plants, plant products and other objects, and the respective third countries of origin or dispatch **referred to in the first subparagraph** [...].

That list shall include:

- (a) **the first time that the Commission adopts that implementing act,** the plants, plant products and other objects listed in Point II of Part B of Annex V to Directive 2000/29/EC;
- (b) plants, plant products and other objects listed pursuant to Article 50(1) or (2).

**Notwithstanding the list of plants, plant products and other objects under** points (a) and (b) [...] **a phytosanitary certificate shall not be required** where the act adopted pursuant to Article 50(1) or (2) requires proof of compliance in the form of an official mark, as referred to in Article 91(1), or another official attestation, as referred to in Article 93(1).

That implementing act shall be adopted in accordance with the examination procedure referred to in Article 99(3). That implementing act shall be adopted in accordance with the [...] **examination** procedure referred to in Article 99[...] **(3)**.

**In the list established by that implementing act, the plants, plant products and other objects shall also be identified by their respective CN code, where that code is available. Other codes, laid down by Union legislation, shall in addition be referred to where they specify further the applicable CN code for a specific plant, plant product or other object.**

2. The Commission shall, by means of an implementing act, amend the implementing act referred to in paragraph 1, in the following cases:
  - (a) where a plant, plant product or other object, listed in that implementing act, does not fulfil paragraph 1(b);
  - (b) where a plant, plant product or other object, not listed in that act, fulfils paragraph 1(b).

That implementing act shall be adopted in accordance with the [...] **examination** procedure referred to in Article 99[...] **(3)**.

3. **Further to paragraph 2,** the Commission may, by means of an implementing act, amend the implementing act referred to in paragraph 1, in accordance with the principles set out in Section 2 of Annex IV, where there is a risk that a plant, plant product or other object, not listed in that act, hosts the respective protected zone quarantine pest, or where, for a plant, plant product or other object listed in that act, that risk no longer exists.

That implementing act shall be adopted in accordance with the examination procedure referred to in Article 99(3).

4. By way of derogation from paragraphs 1, 2 and 3, no phytosanitary certificate shall be required for the plants, plant products or other objects, which are subject to Articles 52, 53, 54 and 70 **(1)**.

**Exceptions for travellers' luggage[...]**

1. Small quantities of particular plants, **other than plants for planting, and of** plant products and other objects from a third country may be exempted from the requirement for a phytosanitary certificate set out in Article 68(1), **Article 68a(1)** and Article 69(1), if they comply with all of the following conditions:

- (a) they are introduced into the Union territory as part of travellers' personal luggage [...];
- (b) they are not to be used for professional or commercial purposes;
- (c) they are listed pursuant to paragraph 2.

[...]

2. The Commission shall, by means of implementing acts, list the plants, plant products and other objects referred to in paragraph 1 and the third countries concerned, and set out the maximum quantity, as appropriate, of the plants, plant products and other objects concerned that shall be subject to the exemption of that paragraph and, where appropriate, one or more of the risk management measures set out in Section 1 of Annex IV.

That listing and the setting out of the maximum quantity concerned and, where appropriate, the risk management measures shall be decided on the basis of the [...] **pest** risk posed by small quantities of those plants, plant products and other objects, in accordance with the criteria set out in Section 2 of Annex IV.

That implementing act shall be adopted in accordance with the examination procedure referred to in Article 99(3) of this Regulation.

**Conditions to be fulfilled by a phytosanitary certificate**

1. **Without prejudice to obligations under the International Plant Protection Convention and taking into account relevant international standards,** the competent authority shall only accept a phytosanitary certificate accompanying plants, plant products or other objects to be introduced from a third country, if the content of that certificate complies with Part A of Annex V. Where the plants, plant products or other objects are to be introduced from a third country from which they do not originate, the competent authority shall only accept a phytosanitary certificate complying **either** with **Part A or** Part B of Annex V.

It shall not accept that phytosanitary certificate where the additional declaration referred to in Article 67(2), where applicable, is not present or not correct, and where the statement referred to in Article 67(3), where applicable, is not present.

**It shall not accept a phytosanitary certificate for re-export if that phytosanitary certificate is not accompanied by the original phytosanitary certificate for export, or a certified copy of the original phytosanitary certificate for export.**

2. The competent authority shall only accept a phytosanitary certificate if it fulfils the following requirements:
  - (a) it is issued in at least one of the official languages of the Union;
  - (b) it is addressed to the [...] **national plant protection organisation** of **a** [...] Member State [...];
  - (c) it has been issued no more than 14 days before the date on which the plants, plant products or other objects covered by it have left the third country, in which it was issued.

3. In the case of a third country which is **a contracting** party to the IPPC, the competent authority shall only accept the phytosanitary certificates issued by the official national plant protection organisation of that third country or, under its responsibility, by a public officer who is technically qualified and duly authorised by that official national plant protection organisation.
4. In the case of a third country which is not **a contracting** party to the IPPC, the competent authority shall only accept the phytosanitary certificates issued by the authorities **which are** competent in accordance with the national rules of that third country and notified to the Commission. The Commission shall inform the Member States and the operators, through the electronic notification system referred to in Article 97[...], of the notifications received.

The Commission shall be empowered to adopt delegated acts, in accordance with Article 98, supplementing the conditions for acceptance referred to in the first subparagraph, to ensure the reliability of those certificates.

5. Electronic phytosanitary certificates shall only be accepted when provided through, or in electronic exchange with, [...] **a** computerised information management system **for official controls at Union level** [...].

#### *Article 72*

#### **Invalidation of phytosanitary certificate**

1. Where a phytosanitary certificate has been issued in accordance with Article 67(1), (2) and (3), and the competent authority concerned concludes that the conditions referred to in Article 71 are not fulfilled, it shall invalidate that phytosanitary certificate and ensure that it does not accompany any longer those plants, plant products or other objects concerned. In that case, and in respect of the plants, plant products or other objects concerned, the competent authority shall take one of the measures [...] **to be taken in case of non-compliant consignments entering the Union from third countries, referred to in Union legislation on official controls.**

**Upon invalidation, the certificate concerned shall bear on its face and in a prominent position a triangular stamp in red, marked ‘certificate cancelled’ from the respective competent authority, together with its denomination and the date of invalidation. It shall be in capital letters, and in at least one of the official languages of the Union.**

2. Member States shall notify, through the electronic notification system referred to in Article 97, the Commission and other Member States where a phytosanitary certificate was invalidated pursuant to paragraph 1.

The third country which had issued that phytosanitary certificate shall also be notified **by the Member State concerned**.

3. **The Commission may by means of an implementing act set out technical arrangements concerning the invalidation of the electronic phytosanitary certificates, as referred to in Article 71(5). That implementing act shall be adopted in accordance with the examination procedure referred to in Article 99(3).**

## SECTION 2

### PLANT PASSPORTS REQUIRED FOR THE MOVEMENT OF PLANTS, PLANT PRODUCTS AND OTHER OBJECTS WITHIN THE UNION TERRITORY

#### *Article 73*

#### **Plant passports**

A plant passport shall be an official label for movement of plants, plant products and other objects within the Union territory and, where applicable, into and within protected zones, which [...] **attests** compliance with all requirements set out in Article 80 and, for movement into **and within** protected zones, Article 81, and has the content and format set out in Article 78.

**Plants, plant products and other objects for which a plant passport is required for movement within the Union territory**

1. **Plant passports are required for movement of certain plants, plant products and other objects within the Union territory.** The Commission shall, by means of an implementing act, establish a list of **those** [...] plants, plant products and other objects, for which a plant passport is required for their movement within the Union territory.

That list shall include:

- (a) all plants for planting, other than seeds;
- (b) **the first time that the Commission adopts that implementing act**, the plants, plant products and other objects listed in point (I) of Part (A) of Annex V to Directive 2000/29/EC **as long as not already covered by point (a)**;
- (c) plants, plant products and other objects for which requirements have been adopted pursuant to Article 27(1), (2) or (3) or 29(1), (2) or (3) concerning their movement within the Union territory;
- (d) seeds listed pursuant to Article 37(2);
- (e) plants, plant products and other objects listed pursuant to Article 41(1) and (2) **with regard to their movement within the Union, with the exception of plants for planting, plant products and other objects requiring another specific label or other type of attestation pursuant to that Article.**

That implementing act shall be adopted in accordance with the [...] **examination** procedure referred to in Article 99[...] **(3)**.

2. The Commission shall, by means of an implementing act, amend the implementing act referred to in paragraph 1, in the following cases:
- (a) where a plant, plant product or other object, not listed in that act, fulfils paragraphs 1(c), (d) or (e);

- (b) where a plant, plant product or other object, listed in that implementing act, does not fulfil paragraph 1(c), (d) or (e);

That implementing act shall be adopted in accordance with the [...] **examination** procedure referred to in Article 99[...] **(3)**.

3. **Further to paragraph 2,** the Commission may, by means of an implementing act, amend the implementing act referred to in paragraph 1, in accordance with the principles of Section 2 of Annex IV, where there is a risk that a plant, plant product or other object, not listed in that act, hosts a Union quarantine pest or where, for a plant, plant product or other object listed in that act, that risk no longer exists.

That implementing act shall be adopted in accordance with the examination procedure referred to in Article 99(3).

4. By way of derogation from paragraphs 1, 2 and 3, no plant passport shall be required for the plants, plant products or other objects, which are subject to Articles 44, 45, 46 and 70.

- 4a. The Commission shall, not later than /Office of Publication please insert the date: five years after the date of entry into force of this Regulation/ submit a report to the European Parliament and the Council to present the experience gained from the extension of the plant passport system to all movement of plants for planting within the Union territory including a clear costs benefits analysis for the operators, accompanied if appropriate, by a legislative proposal.**

#### *Article 75*

**Plants, plant products and other objects for which a plant passport is required for introduction into, and movement within, protected zones**

1. **Plant passports are required for certain plants, plant products and other objects being introduced into or moved within certain protected zones.**

The Commission shall, by means of an implementing act, establish a list of **those** [...] plants, plant products and other objects, for which a plant passport is required for their introduction into and movement within certain protected zones.



That list shall include:

- (a) **the first time that the Commission adopts that implementing act,** the plants, plant products and other objects listed in point (II) of Part A of Annex V to Directive 2000/29/EC;
- (b) other plants, plant products and other objects listed pursuant to Article 50(2).

That implementing act shall be adopted in accordance with the [...] **examination** procedure referred to in Article 99[...] **(3)**.

- 2. The Commission may, by means of an implementing act, amend the implementing act referred to in paragraph 1, in the following cases:

- (a) where a plant, plant product or other object, not listed in that act, fulfils paragraph 1(b);
- (b) where a plant, plant product or other object, listed in that implementing act, does not fulfil paragraph 1[...] (b).

That implementing act shall be adopted in accordance with the [...] **examination** procedure referred to in Article 99[...] **(3)**.

- 3. **Further to paragraph 2,** the Commission may, by means of an implementing act, amend the implementing act referred to in paragraph 1, and in accordance with the principles of Section 2 of Annex IV, where there is a risk that a plant, plant product or other object, not listed in that act, hosts the respective protected zone quarantine pest, or where, for a plant, plant product or other object listed in that act, that risk no longer exists.

That implementing act shall be adopted in accordance with the examination procedure referred to in Article 99(3).

- 4. By way of derogation from paragraphs 1, 2 and 3, no plant passport shall be required for the plants, plant products or other objects, which are subject to Articles 52, 53 **and** 54 [...].

**Exception for direct supply to final users**

1. No plant passport shall be required for the movement of [...] plants, plant products [...] **or** other objects [...] **supplied directly** to a final user, **including home gardeners**.

**This exception shall not apply to:**

**(a) final users receiving those plants, plant products or other objects by means of sales through distance contracts;**

**(b) final users of plants, plant products or other objects for which a plant passport for protected zones is required pursuant to Article 75.**

**The Commission may, by means of an implementing act, specify that the requirements of point (b) shall only apply for particular protected zone pests, plants, plant products or other objects .**

**That implementing act shall be adopted in accordance with the examination procedure referred to in Article 99(3).**

2. The Commission shall be empowered to adopt delegated acts, in accordance with Article 98, setting out **the [...] cases where, for [...] particular plants, plant products or other objects,** **the exception of paragraph 1 shall only apply to small quantities. Those delegated acts shall define those quantities per period of time as appropriate to the plant, plant product or other object concerned and the respective pest risks.**

**Exceptions for movements within and between the premises of a [...] registered operator**

No plant passport shall be required for the movements of plants, plant products and other objects within and between the premises of the same [...] **registered** operator, **which are in close proximity to each other.**

**Member States may further define close proximity in their respective territories and whether any documents need to be issued for those movements instead of the plant passport.**

**Where such movements take place within two or more Member States, the exception from the requirement for a plant passport shall require approval by the competent authorities of the Member States concerned.**

#### *Article 78*

#### **Content and format of the plant passport**

1. The plant passport shall take the form of a distinct label, [...] **made** on any [...] substrate **suitable for printing the elements referred to in paragraph 2**, provided that the plant passport is [...] **clearly distinguishable** from any other information or label which may also be indicated on that substrate.

The plant passport shall be **easily visible and** clearly legible [...], **and the information on it shall be unchangeable and durable.**

2. The plant passport for movement within the Union territory shall contain the elements set out in Part A of Annex VI.

**By way of derogation to point 1 (e) of Part A of Annex VI, the traceability code may not be required where plants for planting fulfil all of the following conditions:**

**(a) they are prepared in such a way that they are ready for the sale to final users without any further preparation and no risk exists concerning the spread of Union quarantine pests or pests subject to measures adopted pursuant to Article 29(1);**

**(b) they do not belong to types or species listed by the implementing act referred to in paragraph (2a).**

- 2a. The Commission shall, by means of an implementing act, identify types and species of plants for planting for which the exemption referred to in paragraph (2) shall not apply. That implementing act shall be adopted in accordance with the examination procedure referred to in Article 99(3).**

- 2b.** The plant passport for introduction into, and movement within, a protected zone shall contain the elements set out in Part B of Annex VI.
3. In the case of plants for planting produced, or made available on the market, [...] as pre-basic, basic or certified material [...] **or pre-basic, basic or certified seed or seed potatoes, as respectively referred to in Directives 66/401/EEC, 66/402/EEC, 68/193/EEC, 2002/54/EC, 2002/55/EC, 2002/56/EC, 2002/57/EC and 2008/90/EC** the plant passport shall be included, in a distinct form, in the official label produced in accordance with [...] **the respective provisions of those Directives.**

Where this paragraph applies, the plant passport for movement within the Union territory shall contain the elements set out in Part C of Annex VI.

Where this paragraph applies, the plant passport for introduction into, and movement within, a protected zone shall contain the elements set out in Part D of Annex VI.

4. The Commission shall be empowered to adopt, pursuant to Article 98, delegated acts amending Parts A, B, C and D of Annex VI, to adapt those elements, where applicable, to **the development of** scientific and technical [...] **knowledge.**
5. Within one year from the entry into force of this Regulation, the Commission shall adopt, by means of implementing acts, the format specifications of the plant passport for movement within the Union territory and the plant passport for introduction into, and movement within, a protected zone, as regards the plant passports referred to in the first and second subparagraphs of paragraph 2 and in the second and third subparagraphs of paragraph 3. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 99(3).

Where the nature of particular plants, plant products or other objects so requires, specific size specifications **for the plant passport** may be set out for [...] **such plants, plant products or other objects.**

6. A plant passport may also be issued in an electronic form (hereinafter 'electronic plant passport'), provided that it contains all the elements referred to in paragraph 2, and that the technical arrangements have been set out through the implementing act referred to in the second subparagraph.

The Commission may, by means of an implementing act, set out technical arrangements for the issuance of electronic plant passports, to ensure their compliance with the provisions of this Article and appropriate, credible and effective mode for the issuance of those plant passports. That implementing act shall be adopted in accordance with the examination procedure referred to in Article 99(3).

#### *Article 79*

##### **Issuance by authorised professional operators and competent authorities**

1. Plant passports shall be issued by [...] **authorised** operators, [...] under the supervision of the competent authorities.

Authorised operators shall issue plant passports only for the plants, plant products or other objects for which they are responsible.

2. By way of derogation from paragraph (1) [...] competent authorities[...] may also issue plant passports.
3. Authorised operators shall only issue plant passports in the premises, collective warehouses and dispatching centres [...] which are under their responsibility and declared by them pursuant to Article 62(2)(d)or, where Article 89(1) applies, in another location if authorised so by the competent authority.

#### *Article 80*

##### **Substantive requirements for a plant passport for movement within the Union territory**

A plant passport shall be issued for movement within the Union territory for a plant, plant product or other object [...] **which** fulfils the following requirements:

- (a) it is free from Union quarantine pests **or pests subject to measures adopted pursuant to Article 29(1);**
- (b) it complies with the provisions of Article 37(1) concerning the presence of Union [...] **regulated non-quarantine** pests on plants for planting **and the provisions of Article 37(2a) concerning the measures to be taken;**
- (c) it complies with the requirements **concerning its movement within the Union, as** referred to in Article 41(1) and (2);
- (d) where applicable, it complies with rules adopted in accordance with the [...] **relevant measures** adopted pursuant to **Article 16 (3), points (a) to (c) of Article 27(1) [...], Article 27(2)** and Article 29(1) and (2); and
- (e) where applicable, it complies with measures adopted by the competent authorities for the eradication of Union quarantine pests pursuant to Article 16(1) **or pests subject to measures adopted pursuant to Article 29(1)** and the eradication of pests provisionally qualifying as Union quarantine pests pursuant to Article 28(1).

#### *Article 81*

#### **Substantive requirements for a plant passport for movement into and within a protected zone**

1. A plant passport shall be issued for introduction into, and movement within, a protected zone for a plant, plant product and other object [...] **which** fulfils all of the requirements of Article 80, and in addition the following requirements:
  - (a) it is free from the respective protected zone quarantine pest; and
  - (b) it complies with the requirements referred to in Article 50(1) and (2).
2. Where Article 33(2) applies, the plant passport referred to in paragraph 1 shall not be issued **for plants, plant products or other objects originating in the demarcated area concerned and which may host the protected zone pest concerned.**

### Examinations for plant passports

1. A plant passport may only be issued for plants, plant products and other objects for which a meticulous examination in accordance with paragraphs 2, 3, and 4 has shown that they fulfil the requirements of Article 80, and, where applicable, Article 81.

Plants, plant products and other objects may either be examined individually or by representative samples. The examination shall also cover the packaging material of the plants, plant products or other objects concerned.

2. The examination shall be carried out by the authorised operator [...]. **However, in the following cases, the examination shall be carried out by the competent authority:**

**(a) where point (c) of paragraph 3 applies concerning inspections, sampling and testing;**

**(b) where Article 79(2) applies;**

**(c) where an examination is carried out in the immediate vicinity as referred to in point (b) of paragraph (3) and the authorised operator has not access to that immediate vicinity.**

3. The examination shall fulfil the following conditions:

(a) it shall be carried out [...] at appropriate times and taking into account the risks involved;

(b) it shall be carried out at the premises [...] referred to in Article 62(2)(d). **Where so required by the implementing acts adopted pursuant to Articles 27(1), 29 (1), 37(2a), 41(1), or 50(1), an examination shall also be carried out in the immediate vicinity of the place of production of the plants, plant products or other object concerned;** [...]

(c) it shall be made at least by visual examination [...]. In case of suspicion of the presence of a Union quarantine pest or [...] pests subject to measures adopted pursuant to Article 29(1), or in the case of the suspicion of the presence of a protected zone [...] quarantine pest [...] in the respective protected zone, the visual examination shall be complemented by inspections, sampling and testing by the competent authority. In case of suspicion of the presence of a Union regulated non quarantine pest, and where applicable above the respective thresholds, the visual examination shall be complemented by sampling and testing;

(ca) its results shall be recorded and stored for at least three years.

That examination shall take place without prejudice to any specific examination requirements or measures adopted in accordance with Article 27(1), (2) or (3), 29(1), (2) or (3), 37(2a), 41(1) and (2), and 50(1) and (2). Where those examination requirements or measures require that that examination is carried out by the competent authority, that examination shall not be carried out by the authorised operator referred to in paragraph 2.

4. The Commission shall be empowered to adopt delegated acts, in accordance with Article 98, setting out detailed measures concerning visual examination, sampling and testing, and the frequency and timing of the examinations, referred to in paragraphs 1, 2 and 3, with regard to specific plants, plant products and other objects, on the basis of the particular [...] pest risks they may present. Those examinations shall, where appropriate, concern certain plants for planting belonging to [...] pre-basic, basic, or certified material, seed or seed potatoes, or standard or CAC material or seed, as respectively referred to in Directives 66/401/EEC, 66/402/EEC, 68/193/EEC, 2002/54/EC, 2002/55/EC, 2002/56/EC, 2002/57/EC, 2008/72/EC and 2008/90/EC.



Where the Commission adopts such delegated act for specific plants for planting, and those plants for planting are subject to certification schemes pursuant to [...] **Directives 66/401/EEC, 66/402/EEC, 68/193/EEC, 2002/54/EC, 2002/55/EC, 2002/56/EC, 2002/57/EC and 2008/90/EC**, the Commission shall set out the requirements as regards **the examinations for the presence of Union quarantine pests or pests subject to measures adopted pursuant to Article 29(1) and Union regulated non-quarantine pests and the examinations for other characteristics of the plants for planting pursuant to those Directives** in a single certification scheme.

When adopting those delegated acts, the Commission shall take into account the **development of** technical and scientific knowledge and [...] **international standards**.

### *Article 83*

#### **Attaching of the plants passports**

Plant passports shall be attached by the [...] **professional** operators [...] **concerned to the** [...] **trade unit** of the plants, plant products and other objects concerned before they are moved within the Union territory pursuant to Article 74 or into or within a protected zone pursuant to Article 75. Where such plants, plant products or other objects are moved in a package, bundle or container, the plant passport shall be attached to that package, bundle or container.

### *Article 84*

#### **Authorisation of professional operators to issue plant passports**

1. The competent authority shall grant an authorisation to a professional operator to issue plant passports (hereinafter 'the authorisation to issue plant passports') **for particular families, genera, or species, and commodity types of plants, plant products and other objects** where that professional operator complies with the following conditions:
  - (a) it possesses the necessary knowledge to carry out the examinations referred to in Article 82 concerning the Union quarantine pests **or pests subject to measures adopted pursuant to Article 29(1)**, protected zone quarantine pests and Union [...] **regulated non-quarantine** pests that could affect the plants, plant products and other objects concerned, and concerning the signs of the presence of those pests[...],

the symptoms caused by them, **and** the means to prevent the presence and spread of those pests [...];

(b) it has in place systems and procedures enabling it to fulfil its obligations concerning traceability pursuant to Article 65 and 66.

2. The Commission shall be empowered to adopt delegated acts, in accordance with Article 98, setting out [...] **criteria** to be fulfilled by the professional operators in order for them to comply with the conditions of paragraph 1(a) **and procedures to ensure that those criteria are met.**

#### *Article 85*

#### **Obligations of authorised operators**

1. Where an authorised operator intends to issue a plant passport, it shall identify and monitor the points of its production process, and the points concerning the movement of plants, plant products and other objects [...], which are critical as regards compliance with the rules adopted pursuant to Article 27(1), (2) and (3), Article 29(1), (2) and (3), Article 37(1), Article 41([...] **01**), Article 80 and Article 82, and, where applicable, Article 33(2), **Article 37(2a),** Article 50 [...] (**01**) and Article 81.

It shall keep **for at least 3 years** records concerning the identification and monitoring of those points.

2. The authorised operator referred to in paragraph 1 shall [...] **ensure that appropriate training is provided, when necessary,** to its personnel involved in the examinations referred to in Article 82, to ensure that that personnel possesses the necessary knowledge to carry out those examinations.

#### *Article 86*

#### [...] **Pest risk management plans**

1. **Authorised operators may have in place pest risk management plans.** The competent authority [...] **shall** approve [...] **those plans, if they fulfil all of [...] the following conditions:**

- a) they set out measures which are appropriate for those operators to fulfil the obligations set out in Article 85(1);
- b) they fulfil the requirements set out in paragraph 2.

Authorized operators implementing an approved pest risk management plan may be subject to inspections with a reduced frequency.

2. The [...] pest risk management plans shall cover, where appropriate in the form of [...] standard operating procedure manuals, at least the following:
- (a) the information required under Article 62(2) concerning the registration of the authorised operator;
  - (b) the information required under Article 65(3) and 66(1) concerning the traceability of plants, plant products and other objects;
  - (c) a description of the production processes of the authorised operator and its activities as regards movement and sales of plants, plant products and other objects;
  - (d) an analysis of the critical points referred to in Article 85(1) and the measures taken by the authorised operator to mitigate the [...] pest risks associated with those critical points;
  - (e) the procedures in place and actions foreseen in the case of suspicion or findings of quarantine pests, the recording of those suspicion or findings and the recording of the actions taken;
  - (f) the roles and responsibilities of the personnel involved in the notifications referred to in Article [...] 15, the examinations referred to in Article 82(1), [...] the issuance of plant passports pursuant to Article 79(1), Article 88(1) and (2) and Article 89, and the attaching of plant passports pursuant to Article 83;
  - (g) the training provided to the personnel referred to in point (f).

[...]

**3a. Where the competent authority becomes aware that the professional operator concerned does not apply the measures referred to in point (a) of paragraph (1), or that a pest risk management plan is no longer up to date with any of the requirements referred to in point (b) of paragraph (1) , that authority shall without delay take the measures necessary to ensure that non-compliance with those conditions does not continue. Those measures may include the withdrawal of the approval of that plan.**

**Where the competent authority has taken measures in accordance with the first subparagraph , other than the withdrawal of the approval of the plan, and non-compliance continues, that authority shall without delay withdraw that approval.**

#### *Article 87*

#### **Inspections and withdrawal of authorisation**

**01. The competent authority shall carry out inspections at least once per year, and sampling and testing if appropriate, to verify whether the authorised operators comply with Article 78(1), (2), (2b), (3), Article 82, Article 83, Article 84(1), Article 85 or Article 88(1), (2), (3), (5).**

1. Where the competent authority becomes aware that an authorised operator does not comply with **the provisions referred to in paragraph (01)** or that a plant, plant product or other object, for which that professional operator has issued a plant passport, does not comply with Article 80 or, where applicable, Article 81, that authority shall without delay take the measures necessary to ensure that non-compliance with those provisions does not continue.

**Those measures may include the withdrawal of the authorisation to issue plant passports for the plants, plant products and other objects concerned.**

2. Where the competent authority has taken measures in accordance with paragraph 1, other than the withdrawal of the authorisation to issue plant passports **for the plants, plant products and other objects concerned**, and non-compliance continues, that authority shall without delay withdraw that authorisation.

### Replacing a plant passport

1. An authorised operator which has received **a [...] trade unit of** plants, plant products or other objects, for which a plant passport has been issued, or the competent authority acting on request of a professional operator, may issue a new plant passport for that [...] **trade unit**, replacing the plant passport initially issued for that [...] **trade unit**, provided that the conditions of paragraph 3 are fulfilled.
2. Where **a [...] trade unit of** plants, plant products or other objects, for which a plant passport has been issued, is divided into two or more **new trade units**, the authorised operator responsible for those new [...] **trade units**, or the competent authority acting on request of a professional operator, shall issue a plant passport for each new [...] **trade unit** resulting from the division, provided that the conditions set out in paragraph 3 are fulfilled. Those plant passports shall replace the plant passport issued for the initial [...] **trade unit**.  
  
[...]
3. A plant passport, as provided for in paragraphs 1 and 2, may only be issued if the following conditions are fulfilled:
  - (a) **the traceability requirements referred to in Article 65 (2a) concerning** [...] the plants, plant products or other objects concerned [...] **are fulfilled**; [...]
  - (b) **as applicable**, the plants, plant products or other objects concerned continue to comply with the requirements referred to in Articles 80 and 81; **and**
  - (c) **the characteristics of the plants, plant products or other objects concerned have not changed.**
4. Where a plant passport is issued pursuant to paragraphs 1 or 2, the examination referred to in Article 82(1) shall not be required.

5. Following the replacement of a plant passport **as** referred to in paragraphs 1 and 2, the authorised operator concerned shall retain the replaced plant passport **or its content** for **at least** three years.

In case **the replacement of a plant passport as referred to in paragraphs 1 and 2 is** [...] **carried out** by the competent authority [...], the professional operator, on whose request it is issued, shall retain the replaced plant passport **or its content** for **at least** three years.

**That retainment may take the form of storage of the information contained in the plant passport in a computerised database, provided that this includes the information contained in any traceability barcode, hologram, chip or other data carrier, which may supplement the traceability code, as referred to in Annex VI.**

#### *Article 89*

#### **Plant passports replacing phytosanitary certificates**

1. By way of derogation from Article 82, where a plant, plant product or other object, introduced into the Union territory from a third country, which for movement within the Union territory requires a plant passport pursuant to the implementing acts referred to in Article 74(1) and 75(1), such a passport shall be issued where the checks **by means of official controls at the border control post**, [...] concerning the introduction of the respective plant, plant product or other object have been completed satisfactorily and have come to the **conclusion** that the plants, plant products or other objects concerned fulfil the substantive requirements for issuance of a plant passport according to Article 80 and, where appropriate, Article 81.

**The replacement of a phytosanitary certificate by a plant passport may be carried out at the place of destination of the plant, plant product or other object concerned, instead of the point of entry, where checking at the place of destination is allowed, as referred to in Union legislation on official controls.**

- 1a. By way of derogation from the first subparagraph of paragraph 1, Member States may decide to replace a phytosanitary certificate at the place of entry of the plant, plant product or other object concerned into the Union territory, by a certified copy of the original phytosanitary certificate.**

**That certified copy of the original phytosanitary certificate shall be issued by the competent authority and shall accompany the movement of the plant, plant product or other object concerned only up to the point where the plant passport is issued and only within the territory of the respective Member State.**

2. [...] **The competent authority** shall retain the phytosanitary certificate for **at least** three years. **That retainment may take the form of storage of the information contained in the phytosanitary certificate in a computerised database.**

Where point [...] **(a0)** of Article 95(2) applies, that phytosanitary certificate shall be replaced by a certified copy of it.

#### *Article 90*

##### **[...] Invalidation and removal of the plant passport**

1. The professional operator which has under its control a [...] **trade unit** of plants, plant products or other objects, shall [...] **invalidate** the plant passport **and, where possible, remove it** from that [...] **trade unit**, in case it becomes aware that any of the requirements of Articles 78 to 82, 84, 85, **88 or 89** are not fulfilled.

[...]

**Without prejudice to the notification obligation referred to in Article 15, that professional operator shall inform the competent authority under the competence of which it operates.**

2. In case the professional operator fails to comply with paragraph 1, the competent authorities shall [...] **invalidate** the plant passport **and, where possible, remove it** from the [...] **trade unit** concerned [...].
3. Where paragraphs 1 and 2 apply, the professional operator concerned shall retain the invalidated plant passport **or its content** for **at least** three years.

**That retainment may take the form of storage of the information contained in the invalidated plant passport in a computerised database, provided that this includes the information contained in any traceability barcode, hologram, chip or other data carrier, which may supplement the traceability code , as referred to in Annex VI, and a statement concerning that invalidation.**

4. Where paragraphs 1 and 2 apply, the professional operator concerned shall inform accordingly the authorised operator, or competent authority, who issued the invalidated plant passport.

5. Member States shall notify, through the electronic notification system referred to in Article 97, the Commission and other Member States where a plant passport was removed and invalidated pursuant to paragraph 2.

### SECTION 3

#### OTHER ATTESTATIONS

##### *Article 91*

##### **Marking of wood packaging material, wood, or other objects**

1. [...] **The mark applied on wood packaging material, wood or other objects to attest that a treatment has been applied in accordance with Annex 1 of ISPM 15 shall comply with the requirements set out in Annex 2 of ISPM15, in all of the following cases:**
- (a) wood packaging material introduced into the Union territory from a third country, as referred to in Article 41b;**
  - (b) wood packaging material marked within the Union territory, moving out of the Union territory;**
  - (c) wood packaging material, wood or other objects moving within the Union territory, if required so by an implementing act adopted pursuant to Articles 27, 29, 41 or 50.**
  - (d) any other wood packaging material, wood or other object marked within the Union territory.**



**The mark shall only be applied where the wood packaging material, wood or other objects have been subject to the approved treatments referred to in Annex 1 of ISPM15, without prejudice to Regulations 1005/2009, 1107/2009 and 528/2012.**

**For wood packaging material, wood or other objects marked in the Union territory, the mark shall only be applied by a registered operator authorised in accordance with Article 92.**

**Points (a) and (b) shall not apply to wood packaging material which is subject to the exemptions provided for in ISPM15.**

2. The Commission shall be empowered, in accordance with Article 98, to adopt delegated acts amending [...] **and supplementing the requirements of paragraph 1,** to adapt [...] **them** to the development of international standards **and notably to ISPM15.**

[...]

[...]

#### **Article 91a**

##### **Repairing of wood packaging material in the Union territory**

- 1. Wood packaging material that is marked with the mark referred to in Article 91 shall only be repaired if all of the following conditions are fulfilled :**

- (a) **the person carrying out that repair is a registered operator authorised according to Article 92;**
- (b) **material and treatment used are eligible for repair;**
- (c) **the mark is applied anew, as appropriate.**

- 2. The Commission may by means of implementing acts set out specific arrangements concerning the material, treatment and marking referred to in paragraph 1. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 99 (3). Those implementing acts shall take into account relevant international standards and notably ISPM15.**

**3. Paragraphs 1 and 2 shall not apply in the case where a professional operator permanently obliterates by any means all earlier applications of that mark from the wood packaging material.**

*Article 92*

**Authorisation and supervision of [...] registered operators applying the mark of wood packaging material in the Union territory**

1. An authorisation to apply the mark referred to in Article 91[...] **and to repair wood packaging material according to Article 91a** shall be granted **by the competent authority on application** to a registered operator provided that it fulfils **all of** the following conditions:
  - (a) it possesses the necessary knowledge to carry out the treatment of the wood packaging material, **wood and other objects** required pursuant to the acts referred to in Article 91[...] **and 91a**;
  - (b) it operates appropriate facilities **and equipment** to carry out that treatment (hereinafter: 'treatment facilities');

The Commission shall be empowered, in accordance with Article 98, to adopt delegated acts **specifying** [...] the requirements for authorisation, where appropriate in view of the development of scientific and technical knowledge **and international standards**.

[...]

2. [...] **An authorisation to apply the mark referred to in Article 91 and to repairing wood packaging material according to Article 91a shall be granted by the competent authority on application to a registered operator, using wood treated in a facility of another operator, provided that it fulfils all of the following conditions for wood packaging material marked with that mark :**
  - (a) it exclusively uses wood: [...]

(i) **which has been subject to the approved treatments referred to in Annex 1 of ISPM 15 and has been treated in** [...] facilities operated by a registered operator authorised pursuant to paragraph 1; **or**

(ii) **which has been subject to the approved treatments referred to in Annex 1 of ISPM15 in a treatment facility in a third country that has been approved by the national plant protection organisation of that third country.**

(b) it ensures that the wood used for that purpose can be traced back to those treatment facilities **in the Union territory or to the third country treatment facilities concerned;**

(c) where applicable pursuant to Articles 27(1) and (2), 29(1) and (2), 41(1) and (2) and 50(1) and (2), it exclusively uses wood referred to in point (a) which is accompanied by a plant passport **or any other document providing guarantees that the treatment requirements referred to in Annex 1 of ISPM15 are fulfilled.**

3. The competent authority shall supervise **at least once per year** the [...] **registered** operators authorised pursuant to paragraphs 1 **and 2**, to verify and ensure that they treat and mark **as appropriate** wood packaging material, **wood and other objects** in accordance with Article 91(1) **and (1a)** and fulfil the conditions set out **respectively** in paragraphs 1 and 2.

[...]

4. Where the competent authority becomes aware that a professional operator does not comply with the requirements referred to in paragraphs 1 **or** 2 [...], that authority shall without delay take the measures necessary to ensure that **the** non-compliance with those provisions does not continue.

Where the competent authority has taken those measures, other than the withdrawal of the authorisation referred to in paragraphs 1 **or** 2, and non-compliance continues, that authority shall without delay withdraw the authorisation referred to in paragraphs 1 **or** 2.

**Attestations other than the mark of wood packaging material**

1. The Commission shall be empowered to adopt delegated acts, in accordance with Article 98, setting out the elements to be contained in official attestations, specific for plants, plant products or other objects, other than wood packaging material, which are required by the applicable international standards as form of proof of the implementation of measures adopted pursuant to Article 27(1) or (2), Article 29(1) or (2), Article 41(1) or (2), **Article 42,** or Article 50(1) or (2).
2. Those delegated acts may also set out requirements concerning one or more of the following:
  - (a) the authorisation of professional operators as regards the issuance of the official attestations referred to in paragraph 1;
  - (b) the supervision by the competent authority of the professional operators authorised pursuant to point (a);
  - (c) the withdrawal of that authorisation referred to in point (a).
3. The Commission shall adopt, by means of implementing acts, the format specifications of the attestations referred to in paragraph 1. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 99(3).

**SECTION 4**

**EXPORT OF PLANTS, PLANT PRODUCTS AND OTHER OBJECTS FROM THE UNION  
TERRITORY**

**Phytosanitary certificate for export from the Union**

1. Where for the export of a plant, plant product or other object from the Union territory to a third country, a phytosanitary certificate is required by the [...] **phytosanitary import requirements** of that third country (hereinafter: 'phytosanitary certificate for export'), that certificate shall be issued by the competent authority, at the request of the professional operator [...], **when all of the [...] following conditions are fulfilled:**

- (a) the professional operator is registered by that competent authority in accordance with Article 61;**
- (b) the professional operator has under its control the plant, plant product or other object to be exported;**
- (c) it is ensured that that plant, plant product or other object complies with the phytosanitary import requirements of the third country concerned.**

**The competent authority shall also issue a phytosanitary certificate for export at the request of persons other than professional operators, provided that the conditions set out in points (b) and (c) of the first subparagraph are fulfilled.**

**For the purpose of this paragraph, the competent authority shall not delegate the issuance of the phytosanitary certificate for export to any other person.**

2. **Without prejudice to obligations under the International Plant Protection Convention, and taking into account the relevant international standards, the** phytosanitary certificate for export shall be issued provided that the information available [...] **allows the competent authority** to certify compliance **of the plant, plant product or other object concerned** with the **phytosanitary import** requirements of the third country concerned. That information may originate[...] from one or more of the following elements, **as applicable**:

**(a0) inspections, sampling and testing of the plants, plant products or other objects concerned, or their place of production and its vicinities;**

**(a00) official information on the pest status in the production site, place of production, area or country of origin of the plants, plant products or other objects concerned;**

- (a) a plant passport, as referred to in Article 73, accompanying the plants, plant products or other objects concerned, where that plant passport attests the results of inspections by the competent authority;**

- (b) the mark of wood packaging material as referred to in Article 91(1), or the attestation referred to in Article 93(1);
- (c) the information included in the pre-export certificate referred to in Article 96;
- (d) official information included in the phytosanitary certificate as referred to in Article 67, where the plants, plant products or other objects concerned **have** been introduced into the Union territory from a third country;

[...]

- 3. The phytosanitary certificate for export shall [...] **comply with the description and format of the model** set out in Part A of Annex VIII.
- 4. The Commission shall be empowered to adopt delegated acts, in accordance with Article 98, amending **and supplementing the elements referred to in paragraph 2 and** Part A of Annex VIII to adapt [...] **them to** the development **of the relevant** international standards.

[...]

- 6. Electronic phytosanitary certificates for export shall [...] be [...] provided through, or in electronic exchange with, [...] **a** computerised information management system **for official controls at Union level**.

#### *Article 95*

#### **Phytosanitary certificate for re-export from the Union**

- 1. [...] **For the re-export of** a plant, plant product or other object **which** originates in a third country and has been introduced into the Union territory from that, or another, third country, a phytosanitary certificate for re-export [...] **from the Union (hereinafter: ‘the phytosanitary certificate for re-export’)** shall, where possible, be issued instead of the phytosanitary certificate for export.

The phytosanitary certificate for re-export shall be issued by the competent authority at the request of the professional operator [...] **when the following conditions are fulfilled:**

- (a) that professional operator is registered by that competent authority in accordance with Article 61 ;**
- (b) the professional operator has under its control the plant, plant product or other object to be re-exported,**
- (c) it is ensured that that plant, plant product or other object complies with the phytosanitary import requirements of the third country concerned.**

**The competent authority shall also issue a phytosanitary certificate for re-export at the request of persons other than professional operators, provided that the conditions set out in points (b) and (c) of the first subparagraph are fulfilled.**

**For the purpose of this paragraph, the competent authority shall not delegate the issuance of the phytosanitary certificate for re-export to any other person.**

2. **Without prejudice to obligations under the International Plant Protection Convention, and taking into account the relevant international standards, the** phytosanitary certificate for re-export shall be issued provided that **the information available allows to certify compliance with the phytosanitary import requirements of the third country concerned and that** all of the following conditions are complied with:

- (a0) the original phytosanitary certificate accompanying the plant, plant product or other object concerned from the third country of origin, or a certified copy of it, is attached to the phytosanitary certificate for re-export;**
- (a) the plant, plant product or other object concerned has not been grown, produced or processed **to change its nature** since its introduction in the Union territory [...];
  - (b) the plant, plant product or other object concerned has not been exposed to any risk of infestation **or contamination** with quarantine pests **or regulated non-quarantine pests**, listed as such by the third country of destination, during storage in the Member State from which it is to be exported to that third country;

(c) [...] **the identity of the plant, plant product or other object concerned has been maintained**.

3. The provisions of Article 94(2), concerning the information [...] **allowing** to certify compliance with the **phytosanitary import** requirements of the third country concerned, shall apply accordingly.
4. The phytosanitary certificate for re-export shall [...] **comply with the description and format of the model** set out in Part B of Annex VIII.
5. The Commission shall be empowered to adopt delegated acts, in accordance with Article 98, amending **and supplementing** Part B of Annex VIII to adapt it to [...] **the development of the relevant** international standards.

[...]

7. Electronic phytosanitary certificates for re-export shall [...] be [...] provided through, or in electronic exchange with, [...] **a** computerised information management system **for official controls at Union level**.

#### *Article 96*

##### **Pre-export certificates**

1. The **competent authorities of the** Member State from which the plants, plant products [...] **or** other objects referred to in Article 94(1) are exported and the **competent authorities of the** Member State in which the plants, plant products [...] **or** other objects were grown, produced, **stored** or processed, shall exchange **the necessary phytosanitary** information as [...] **the basis** for issuing [...] the phytosanitary certificate for export.
2. The exchange of information referred to in paragraph 1 shall take the form of a harmonised document (hereinafter 'pre-export certificate'), in which the **competent authorities of the** Member State, in which the plants, plant products and other objects were grown, produced, **stored** or processed, [...] **certifies** compliance of those plants, plant products or other objects with specific phytosanitary requirements concerning one or more of the following:



(a) the absence, **or presence below a specified threshold**, of particular pests in the plants, plants products or other objects concerned;

(b) the origin of the plants, plant products or other objects concerned **in a specific field, production site, place of production or area**;

**(ba) the pest status in the field, production site, place of production or area of origin or country of origin of the plants, plant products or other objects concerned;**

**(bb) the results of the inspections, sampling and testing of the plants, plant products or other objects concerned;**

(c) the phytosanitary procedures applied to the production or processing of the plants, plant products or other objects concerned.

3. The pre-export certificate shall be issued, on request of the professional operator, by **the competent authorities of** the Member State in which the plants, plant products or other objects were grown, produced, **stored** or processed, while those plants, plant products or other objects are on the premises of the professional operator concerned.

4. The pre-export certificate shall accompany the plants, plant products and other objects concerned during their movement within the Union territory, unless the information contained in it is exchanged between the Member States concerned [...] **through, or in electronic exchange with, a computerised information management system for official controls at Union level.**

**4a. Without prejudice to the requirements set out in paragraph 3, the pre-export certificate may be issued when the plants, plant products or other objects have left the premises of the professional operator concerned, provided that inspections and, where necessary, sampling have been carried out, confirming the compliance of those plants, plant products or other objects with one or more of the specific phytosanitary requirements referred to in paragraph 2.**

5. **The pre-export certificate shall contain the elements and shall be in the format as set out in Part C of Annex VIII.** The Commission shall be empowered to adopt delegated acts, in accordance with Article 98, [...] **amending and supplementing Part C of Annex VIII, to adapt it to the technical and scientific developments, and to the development of the relevant international standards.**
6. The Commission [...] **may**, by means of implementing acts, **lay down the** [...] **procedures for the issuance** of the pre-export certificate. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 99(3).

## **Chapter VII**

### **Supporting measures by the Commission**

#### *Article 97*

#### **Establishment of electronic notification system**

1. The Commission shall establish an electronic system for the submission of notifications by the Member States.

That system shall be connected to and compatible with [...] **a** computerised information management system [...] **for official controls at Union level.**

[...]

#### **Article 97a**

#### **Information items, format and deadlines of notifications, and notifications in the case of suspected presence of pests**

**The Commission may, by means of implementing acts, lay down specific rules concerning the submission of notifications referred to in Articles 10(1) and (1a), 12, 16(3), 18(2), 27(7), subparagraph 1 of 28(3), 29(7), 33(1), 40(4), 41(4), 44(4), 47(6), 49(5), 50(4) 57(1), 72(2) and 90(5). Those rules shall concern one or more the following elements:**

- (a) the information items to be included in those notifications;
- (b) the format of those notifications and instructions on how to fill-in that format;
- (c) deadlines for the submission of particular information items as referred to in point (a);
- (d) the cases where the suspected presence of a pest shall be notified due to the need of swift action in view of its biology and possibility of rapid and wide spread;
- (e) the cases of non-compliance to be notified where that non-compliance creates a risk for spread of a Union quarantine pest or a pest provisionally qualifying as union quarantine pest.

Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 99(3).

## Chapter VIII

### Final provisions

#### *Article 98*

#### **Exercise of the delegation\***

1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.
2. The [...] power **to adopt delegated acts** referred to in Articles [...], **6(2)**, 7(1) [...], 8(6), [...], **18(5a)**, 20, [...] 32(4**a**), 34(1), 38, **41b(2)**, 44(2), [...] 46(6), 48, 61(3**a**), 67(4), 71(4), 76(2), 78(4), 82(4), 84(2), [...] 91(2), 92(1), 93(1), 94(4), 95(5) and 96(5) shall be conferred on the Commission for a period of [...] **five** years [...] from [*the **date of** entry into force of this Regulation*].

**The Commission shall draw up a report in respect of the delegation of power no later than nine months before the end of the five-year period. The delegation of power shall be tacitly extended for periods of an identical duration, unless the European Parliament**

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\* Text to be aligned in accordance with the Interinstitutional Agreement in force at the time of the adoption of this Regulation.

or the Council opposes such extension not later than three months before the end of each period.

3. The delegation of power referred to in Articles [...], **6(2)**, 7(1) [...], 8(6), [...] **18(5a)**, 20, [...] 32(4a), 34(1), 38, **41b(2)**, 44(2), [...] 46(6), 48, 61(3a), 67(4), 71(4), 76(2), 78(4), 82(4), 84(2), [...] 91(2), 92(1), 93(1), 94(4), 95(5) and 96(5) may be revoked at any time by the European Parliament or by the Council. A decision **to revoke** [...] shall put an end to the delegation of the power specified [...] **in that decision**. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.
4. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.
5. A delegated act adopted pursuant to Articles [...], **6(2)**, 7(1) [...], 8(6), [...] **18(5a)**, 20, [...] 32(4a), 34(1), 38, **41b(2)**, 44(2), [...] 46(6), 48, 61(3a), 67(4), 71(4), 76(2), 78(4), 82(4), 84(2), [...] 91(2), 92(1), 93(1), 94(4), 95(5) and 96(5) shall enter into force only if no objection has been expressed either by the European Parliament or **by** the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or **of** the Council.

#### **Article 98a** **Urgency procedure**

- 1. Delegated acts adopted under this Article shall enter into force without delay and shall apply as long as no objection is expressed in accordance with paragraph 2. The notification of a delegated act to the European Parliament and to the Council shall state the reasons for the use of the urgency procedure.**
- 2. Either the European Parliament or the Council may object to a delegated act in accordance with the procedure referred to in Article 98(5). In such a case, the Commission shall repeal the act without delay following the notification of the decision to object by the European Parliament or by the Council.**

## *Article 99*

### **Committee procedure**

1. The Commission shall be assisted by the Standing Committee on Plants, Animals, Food and Feed established by Article 58(1) of Regulation (EC) No 178/2002 of the European Parliament and of the Council. That committee shall be a committee within the meaning of Regulation (EU) No 182/2011.

[...]

3. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply. Where the opinion of the committee is to be obtained by written procedure, that procedure shall be terminated without result when, within the time-limit for delivery of the opinion, the chair of the committee so decides or a simple majority of committee members so requests.
4. Where reference is made to this paragraph, Article 8 of Regulation (EU) No 182/2011, in conjunction with Article 5 thereof shall apply.

## *Article 100*

### **Penalties**

The Member States shall lay down the rules on penalties applicable to infringements of this Regulation and shall take all measures necessary to ensure that they are implemented. The penalties provided for must be effective, proportionate and dissuasive.

The Member States shall notify those provisions to the Commission by .....

[*Office of Publications, please insert date of application of this Regulation*] at the latest and shall notify them without delay of any subsequent amendments affecting them.

*Repeals*

1. Directive 2000/29/EC is repealed, **with the exception of the following provisions:**[...]

**(a) Article 1(4);**

**(b) points (g), (i), (j), (k), (l), (m), (n), (p), (q) and (r) of Article 2(1);**

**(c) Article 11(3);**

**(d) Article 12;**

**(e) Article 13;**

**(f) Article 13a;**

**(g) Article 13b;**

**(h) Article 13c;**

**(i) Article 13d;**

**(f) Article 21(1) to (5);**

**(g) Article 27a;**

**(h) Annex VIIIa.**

The following acts are also repealed:

(a) Directive 69/464/EEC;

[...]

(c) Directive 74/647/EEC ;

(d) Directive 93/85/EEC;

(e) Directive 98/57/EC;

**(ea) Directive 2006/91/EC;**

(f) Directive 2007/33/EC.

2. References to those repealed acts shall be construed as references to this Regulation and shall be read in accordance with the correlation table set out in Annex IX hereto.

## Article 102

### **Amendment of Regulation (EU) 652/2014 [...]**

[...]

Regulation (EU) No [...] 652/2014 is amended as follows:

(1) In Article 1, point (e) is replaced by the following:

'(e) on protective measures against pests of plants;

**(1a) In Article 5(2), the following point (c) is inserted after point (b):**

**'(c) the programmes for the control of pests in the outermost regions of the Union as referred to in Article 25;'**

(2) In Article 16 [...] (1), points (a), (b) and (c) are replaced by the following:

'(a) measures to eradicate a pest from an infested area, taken by the competent authorities pursuant to Article 16(1), 27(1), 28(1) or 29(1) of Regulation (EU) No [...] / [...] of the European Parliament and of the Council [on protective measures against pests of plants]\*;

(b) measures to contain a priority pest, listed pursuant to Article 6(2) of Regulation (EU) No [...] / [...]\*, against which Union containment measures have been adopted pursuant to Article 27(2) [...] of that Regulation, in an infested area from which that priority pest cannot be eradicated, where those measures are essential to protect the Union territory against further spread of that priority pest. Those measures shall concern the eradication of that pest from the buffer zone surrounding that infested area in case its presence is detected in that buffer zone;

(c) prevention measures taken against the spread of a priority pest, listed pursuant to Article 6(2) of Regulation (EU) No [...] / [...]\*, against which Union measures have been adopted pursuant to Article 27(3) [...] of that Regulation, where those measures are essential to protect the Union territory against further spread of that priority pest.

\* OJ L ..., ....., p. ...' [*Office of Publications, please insert this footnote, referring to the present Regulation, in Regulation (EU) No **652/2014** laying down provisions for the management of expenditure relating to the food chain, animal health and animal welfare, and relating to plant health and plant reproductive material*]

(3) Article [...] **17** is [...] **replaced by the following:**

**'Article 17**

**Conditions**

**The measures referred to in Article 16 may qualify for grants provided that they have been applied immediately and the applicable provisions laid down in the relevant Union law have been complied with, and provided that one or more of the following conditions is fulfilled:**

- (a) they concern Union quarantine pests, listed pursuant to Article 5(2) of Regulation (EU) No [...] / [...] \* as not known to occur in the Union territory;**
- (b) they concern pests, not listed as Union quarantine pests, which are subject to a measure adopted by the competent authority of a Member State pursuant to Article 28(1) of Regulation (EU) No [...] / [...] \*;**
- (c) they concern pests, not listed as Union quarantine pests, which are covered by a measure adopted by the Commission pursuant to Article 29(1) of Regulation (EU) No [...] / [...] \*;**
- (d) they concern priority pests listed pursuant to Article 6(2) of Regulation (EU) No [...] / [...] \*.**



For measures fulfilling the condition laid down in point (b), the grant shall not cover costs incurred later than two years after the entry into force of the measure adopted by the competent authority of the Member State concerned [pursuant to Article 28(1) of Regulation (EU) No [...] / [...] \*], or incurred after the expiry of that measure. For measures fulfilling the condition laid down in point (c), the grant shall not cover costs incurred after the expiry of the measure adopted by the Commission pursuant to Article 29(1) of Regulation (EU) No [...] / [...] \*.

\* OJ L ..., ....., p. ...' [Office of Publications, please insert this footnote, referring to the present Regulation, in Regulation (EU) No 652/2014 laying down provisions for the management of expenditure relating to the food chain, animal health and animal welfare, and relating to plant health and plant reproductive material]'.'

[...]

[...]

[...]

[...]

[...]

[...]

[...]

[...]

(4) In paragraph 1 of Article[...] 18, point (d) is replaced by the following:[...]

'(d) costs of compensation to the owners concerned for the value of the destroyed plants, plant products or other objects subject to the measures referred to in Article 16, limited to the market value of such plants, plant products and other objects as if they were not affected by those measures; the salvage value, if any, shall be deducted from the compensation; and'

[...]

[...]

[...]

[...]

[...]

[...]

[...]

(5) Article **19** [...] is amended as follows:

(a) [...] The first paragraph [...] **is** replaced by the following:

**'Grants may be awarded to Member States for annual and multiannual survey programmes that they carry out concerning the presence of pests ('survey programmes'), provided that those survey programmes comply with at least one of the following three conditions:**

(a) they concern Union quarantine pests [...] listed pursuant to Article 5(2) of Regulation (EU) No [...]/\* **as not known to occur in the Union territory;**

(b) they concern priority pests listed pursuant to Article 6(2) of Regulation (EU) [...]/\*; **and**

(c) they concern pests not listed as Union quarantine pests which are covered by a measure adopted by the Commission pursuant to Article 29(1) of Regulation (EU) No [...]/\*.

\* OJ L ..., ....., p. ...' [*Office of Publications, please insert this footnote, referring to the present Regulation, in Regulation (EU) No **652/2014** [...] laying down provisions for the management of expenditure relating to the food chain, animal health and animal welfare, and relating to plant health and plant reproductive material*].

(b) The third paragraph is replaced by the following:

'For measures fulfilling the condition laid down in point (c) of the first paragraph, the grant shall not cover costs incurred after the expiry of the measure adopted by the Commission pursuant to Article 29(1) of Regulation (EU) No [...]/\*.

\* OJ L ..., ....., p. ...' [*Office of Publications, please insert this footnote, referring to the present Regulation, in Regulation (EU) No **652/2014** [...] laying down provisions for the management of expenditure relating to the food chain, animal health and animal welfare, and relating to plant health and plant reproductive material*]'.

**(6) Article 20 is amended as follows:**

**Point (a0) is added before point (a):**

**'(a0) costs for visual examinations;'**

**(7) Article 47 is amended as follows:**

**Point 2 is replaced by the following:**

**"(2) The following Article is inserted:**

**'Article 15a**

**Member States shall provide that anyone who becomes aware of the presence of a pest listed in Annex I or Annex II or a pest covered by a measure pursuant to Article 16 (2) or 16(3), or has reason to suspect such a presence, shall immediately notify the competent authority, and, if so requested by that competent authority, shall provide the information concerning that presence which is in its possession. Where the notification is not submitted in writing, the competent authority shall officially record it.'"**

**Article 102a**

**Amendment of the Regulation (EU) No 228/2013<sup>18</sup>**

**In Article 24(2) of the Regulation (EU) No 228/2013 of the European Parliament and the Council of the 13 March 2013 laying down specific measures for agriculture in the outermost regions of the Union and repealing Council regulation (EC) No 247/2006 a new subparagraph is added, as follows:**

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<sup>18</sup> OJ L 78 of 20.3.2013, p.23

**' Union financing of the programmes for the control of pests in the outermost regions of the Union shall be implemented in accordance with the provisions of Regulation (EU) No 652/2014 for the management of expenditure relating to the food chain, animal health and animal welfare, and relating to plant health and plant reproductive material'**

**Article 102b**

**Amendment of Regulation (EU) 1143/2014**

**Regulation (EU) No 1143/2014 is amended as follows:**

**(1) In Article 2, point (d) is replaced by the following:**

**'(d) pests of plants listed pursuant to Article 5(2) or Article 32(3), or subject to measures pursuant to Article 29(1) of Regulation (EU) No XXX/XXXX [on protective measures against pests of plants\*];'**

**\* OJ L ..., ....., p. ...' [Office of Publications, please insert this footnote, referring to the present Regulation, in Regulation (EU) 1143/2014 on the prevention and management of the introduction and spread of invasive alien species]**

**Article 103**

**Entry into force and application**

1. This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.

It shall apply [*Office of Publications, please insert date counting 36 months from the entry into force*].

[...]

3. The acts referred to in points (a), (d), (e) and (f) of Article 101(1) shall be repealed on 31 December 2021. In case of conflict between the provisions of those acts and the provisions of this Regulation, the provisions of this Regulation shall prevail.

**4. Point 7 of Article 102 shall apply from 1 January 2017.**

**5. Paragraph 3 of Article 94 and paragraph 4 of Article 95 shall apply from 1 January 2021.**

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels,

For the European Parliament

*The President*

For the Council

*The President*

## ANNEX I

[...]

## ANNEX II

### CRITERIA FOR THE QUALIFICATION OF PESTS ACCORDING TO THEIR RISK TO THE UNION TERRITORY

#### SECTION 1

#### CRITERIA TO IDENTIFY PESTS WHICH QUALIFY AS A QUARANTINE PEST, AS REFERRED TO IN ARTICLES 3, 6(1), 7(1), [...] 28(2), 29(1a) AND 47(3)

(1) Identity of the pest

The taxonomic identity of the pest shall be clearly defined or, alternatively, the pest shall have been shown to produce consistent symptoms and to be transmissible.

The taxonomic identity of the pest shall be defined at species level or, alternatively, a higher or lower taxonomic level, where that taxonomic level is scientifically appropriate based on its virulence, host range or vector relationships.

(2) Presence of the pest in the territory in question

One or more of the following conditions shall apply:

- (a) the pest is not known to be present in the territory in question;
- (b) the pest is not known to be present in the territory in question, except in a limited part of it;
- (c) the pest is not known to be present in the territory in question, except for scarce, irregular, isolated and infrequent presences in it.

Where points (b) or (c) apply, the pest shall be considered to be [...] **not widely distributed**.

(3) Capability of entry, establishment and spread of the pest in the territory in question

(a) *Capability of entry*

The pest shall be considered capable of entry into the territory in question, or, if present **but not widely distributed**, into the part of that territory where it is **absent** [...] (hereinafter: '**relevant part of the** endangered area'), either by natural spread, or if all of the following conditions are fulfilled:

- (i) it is associated, as regards plants, plant products or other objects which are moved into the territory in question, with those plants, plant products and other objects in the territory where they originate or from where they are moved into the territory in question;
- (ii) it survives during transport or storage;
- (iii) it may be transferred to a suitable host plant, plant product or other object in the territory in question.

(b) *Capability of establishment*

The pest shall be considered capable of [...] 'establishment' in the territory in question, or, if present **but not widely distributed**, **in** the part of that territory where it is **absent** [...], if all of the following conditions are fulfilled:

- (i) hosts of the pest and, where relevant, vectors for transmission of the pest are available;
- (ii) the decisive environmental factors are favourable for the pest concerned and, where applicable, its vector, enabling it to survive periods of climatic stress and complete its life cycle;



- (iii) cultivation practices and control measures applied in that territory are favourable;
- (iv) the survival methods, reproductive strategy, genetic adaptability of the pest and its minimum viable population size support its establishment.

(c) *Capability of spread*

The pest shall be considered capable of territorial spread in the territory in question, or, if present **but not widely distributed, in** the part of that territory where it is **absent** [...], if one or more of the following conditions is fulfilled:

- (i) the environment is suitable for natural spread of the pest;
- (ii) barriers to natural spread of the pest are insufficient;
- (iii) commodities or conveyances allow for movement of the pest;
- (iv) hosts and, where relevant, vectors of the pest are present;

**(iva) cultivation practices and control measures applied in that territory are favourable;**

- (v) natural enemies and antagonists of the pest are not present or not sufficiently capable to suppress the pest.

(4) Potential economic, social and environmental impact

The entry, establishment and spread of the pest in the territory in question, or, if present **but not widely distributed, in** the part of that territory where it is **absent** [...], shall have unacceptable economic, social and/or environmental impacts for that territory, or the part of that territory where it is **not widely distributed** [...], as regards one or more of the following points:

- (a) crop losses in terms of yield and quality;
- (b) costs of control measures;

- (c) costs of replanting and or losses due to the necessity of growing substitute [...] **plants**;
- (d) effects on existing production practices;
- (e) effects on street trees, parks and [...] **natural and** [...] **planted areas**;
- (f) effects on native plants, biodiversity and ecosystem services;
- (g) effects on the establishment, spread and impact of other pests, **for example** due to the capacity of the pest concerned to act as a vector for other pests;
- (h) changes to producer costs or input demands, including control costs and costs of eradication and containment;
- (i) effects on producer profits that result from changes in **quality**, production costs, yields or price levels;
- (j) changes to domestic or foreign consumer demand for a product resulting from quality changes;
- (k) effects on domestic and export markets and prices paid, including effects on export market access and likelihood of phytosanitary restrictions imposed by trading partners;
- (l) resources needed for additional research and advice;
- (m) environmental and other undesired effects of control measures;
- (n) effects on Natura 2000 or other protected areas;
- (o) changes in ecological processes and the structure, stability or processes of an ecosystem, including further effects on plant species, erosion, water table changes, fire hazards, nutrient cycling;

- (p) costs of environmental restoration **and prevention measures**;
- (q) effects on food security **and food safety**;
- (r) effects on employment;
- (s) effects on water quality, recreation, tourism, **landscape heritage**, animal grazing, hunting, fishing;.

[...]

[...]

## SECTION 2

### CRITERIA TO IDENTIFY UNION QUARANTINE PESTS WHICH QUALIFY AS A PRIORITY PEST AS REFERRED TO IN ARTICLES 6(1), **6(2)** AND 7(2)

A Union quarantine pest shall be considered to have most severe economic, social or environmental impact for the Union territory, if its entry, establishment and spread fulfils one or more of the following points:

- (a) Economic impacts: the pest has the potential to cause major losses in terms of the direct and indirect effects referred to in point (4) of Section **1**[...] for [...] **plants** with a [...] **significant economic value** [...] **in** the Union territory.

**The plants referred to in the first subparagraph may be trees that are not in production.**

- (b) Social impacts: the pest has the potential to cause one or more of the following effects:
  - (i) a significant employment decrease in the agriculture, horticulture [...] **or** forestry sector concerned **or industries related to those sectors, including tourism and recreation**;

- (ii) **significant** risks to food security or food safety;
  - (iii) the disappearance of, or [...] **long term** large-scale damage to, [...] **important** tree species growing or cultivated in the Union territory **or tree species of high importance in terms of landscape as well as cultural or historical heritage for the Union.**
- (c) Environmental impacts: the pest has the potential to cause one or more of the following effects:
- (i) **significant effects on biodiversity and ecosystems services, including** effects on species and habitats listed under the provisions of Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora<sup>19</sup> and Directive 2009/147/EC of the European Parliament and of the Council of 30 November 2009 on the conservation of wild birds<sup>20</sup>;
  - (ii) [...] **significant** and [...] **long term** increases of the use of plant protection products on the [...] **plants** concerned.
- (iia) the disappearance of, or long term large-scale damage to, main important tree species growing or cultivated in the Union territory or tree species of high importance in terms of landscape as well as cultural or historical heritage for the Union.**

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<sup>19</sup> OJ L 206, 22.7.1992, p. 7.

<sup>20</sup> OJ L 20, 26.1.2010, p. 7.

### SECTION 3

CRITERIA FOR A PRELIMINARY ASSESSMENT TO IDENTIFY PESTS WHICH PROVISIONALLY QUALIFY AS A UNION QUARANTINE PEST REQUIRING TEMPORARY MEASURES AS REFERRED TO IN ARTICLES 21(1), 28(1), AND 29(1) [...]

#### SUBSECTION 1

CRITERIA FOR A PRELIMINARY ASSESSMENT TO IDENTIFY PESTS WHICH PROVISIONALLY QUALIFY AS A UNION QUARANTINE PEST REQUIRING TEMPORARY MEASURES AS REFERRED TO IN ARTICLE 28(1)

(1) Identity of the pest

The pest shall meet the criterion defined in point (1) of Section 1.

(2) Presence of the pest in the Member State's territory

The pest is not previously known to be present in the territory of a Member State. Based on the information available to that Member State, the pest is also not previously known to be present in the Union territory, or is considered to fulfil the conditions set out in points (b) or (c) of point (2) of Section 1 as regards the Union territory.

(3) Probability of establishment and spread of the pest in the Union territory, or the specific part(s) of the Union territory where it is not present

Based on the information available to the Member State, the pest meets the criteria defined in points (3)(b) and (c) of Section 1 as regards its territory and, to the extent possible for the Member State to assess this, the Union territory.

(4) Potential economic, social and environmental impact of the pest

Based on the information available to the Member State, the pest [...] would have unacceptable economic, social and/or environmental impacts as regards its territory and, to the extent possible for the Member State to assess this, the Union territory, if it would establish and spread in that territory [...].

Those impacts shall include at least one or more of the direct effects listed under point (4)(a) to (g) of Section 1.

## SUBSECTION 2

### CRITERIA FOR A PRELIMINARY ASSESSMENT TO IDENTIFY PESTS WHICH PROVISIONALLY QUALIFY AS A UNION QUARANTINE PEST REQUIRING TEMPORARY MEASURES AS REFERRED TO IN ARTICLE

#### 29(1)

(1) Identity of the pest

The pest shall meet the criterion defined in point (1) of Section 1.

(2) Presence of the pest in the Union territory

The pest is not previously known to be present in Union territory or is considered to fulfil the conditions set out in points (b) or (c) of point (2) of Section 1 as regards the Union territory.

(3) Probability of establishment and spread of the pest in the Union territory, or the specific part(s) of the Union territory where it is not present

Based on the information available to the Union, the pest meets the criteria defined in points (3)(b) and (c) of Section 1 as regards the Union territory.

(4) Potential economic, social and environmental impact of the pest

Based on the information available to the Union, the pest **would have** unacceptable economic, social and/or environmental impacts as regards the Union territory, if it would establish and spread in that territory [...]. Those impacts shall include at least one or more of the direct effects listed under point (4)(a) to (g) of Section 1.

## SECTION 4

### CRITERIA TO IDENTIFY PESTS WHICH QUALIFY AS A UNION [...]REGULATED NON-QUARANTINE PEST AS REFERRED TO IN ARTICLE 36 AND 38

(1) Identity of the pest

The pest shall meet the criterion defined in point (1) of Section 1.

(2) Probability of spread in the Union territory of the pest

The [...] **transmission** of the pest shall be assessed to take place mainly via specific plants for planting, rather than via natural spread or via movement of plant products or other objects.

That assessment shall include, as appropriate, the following aspects:

- (a) the number of life cycles of the pest on the concerned hosts;
- (b) the biology, epidemiology and survival of the pest;
- (c) possible natural, human-assisted or other pathways for transmission of the pest to the concerned host and pathway efficiency, including mechanisms of dispersal and dispersal rate;
- (d) [...] **subsequent** infestation and transmission of the pest from the concerned host to other plants and vice versa;
- (e) climatological factors;
- (f) cultural practices before and after harvest;
- (g) soil types;
- (h) susceptibility of the concerned host and relevant stages of host plants;

- (i) presence of vectors for the pest;
  - (j) presence of natural enemies and antagonists of the pest;
  - (k) presence of other hosts susceptible to the pest;
  - (l) prevalence of the pest in the Union territory;
  - (m) intended use of the plants.
- (3) Potential economic, social and environmental impact of the pest

Infestations of the plants for planting referred to in point (2) with the pest shall have an unacceptable economic impact on the intended use of those plants as regards one or more of the following points:

- (a) crop losses in terms of yield and quality;
- (b) extra costs of control measures;
- (c) extra costs of harvesting and grading;
- (d) costs of replanting;
- (e) losses due to the necessity of growing substitute **plants** [...];
- (f) effects on existing production practices;
- (g) effects on other host plants at the place of production;
- (h) effects on the establishment, spread and impact of other pests, due to the capacity of the pest concerned to act as a vector for those other pests;



- (i) effects on producer costs or input demands, including control costs and costs of eradication and containment;
- (j) effects on producer profits that result from changes in production costs, yields or price levels;
- (k) changes to domestic or foreign consumer demand for a product resulting from quality changes;
- (l) effects on domestic and export markets and prices paid;
- (m) effects on employment.

[...]

[...]

### **ANNEX III**

**Elements to identify plants or plant products [...] which are likely to pose [...] newly identified pest risks or other suspected phytosanitary risks for the Union territory, as referred to in Article 47**

Plants **or plant products** [...] from third countries shall be considered likely to pose **pest** [...] risks for the Union territory, as referred to in Article 47(1), where those plants [...] **or plant products** fulfil at least three of the following conditions, including at least one of the conditions provided in points (1)(a), (b) and (c):

- (1) **Characteristics of the plants or plant products** [...]
  - (a) They belong to, **or are produced from,** a plant genus or family known to commonly host pests regulated as quarantine pests in the Union territory or in third countries.

- (b) They belong to, **or are produced from,** a plant genus or family known to [...] **host commonly hosted** pests known to have major impact to plant species grown in the Union territory which have major economic, social or environmental importance to the Union territory.
- (c) They belong to, **or are produced from,** a plant genus or family known to commonly harbour pests without signs and symptoms of those pests, or with a latent period for the expression of those signs or symptoms of at least three months, implying that the presence of pests on those plants [...] **or plant products** is likely to be missed during official controls at introduction into the Union territory, without recourse to sampling and testing or submission to quarantine procedures.
- (d) They are grown outdoors **or grown from plants grown outdoors** in the third countries of origin.

[...]

[...]

- (g) They are not shipped in closed containers or packaging, or when shipped in such a way, the shipments because of their size cannot be opened in closed premises for purposes of official controls at introduction into the Union territory.

(2) **Origin of the plants or plant products** [...]

- (a) They originate from, or are moved from, a third country which is the source of [...] **repetitive** notifications of interceptions of quarantine pests not listed pursuant to Article 5(2).
- (b) They originate from, or are moved from, a third country which is not a member of the IPPC.

## ANNEX IIIA

### Criteria to assess high risk plants, plant products or other objects as referred to in Article 41a

The criteria to be taken into account for the assessment referred to in Article 41a, are the  
following :

1. As regards plants for planting other than seeds:

- (a) they are usually introduced into the Union in the form of a shrub or tree or  
they are present in the Union territory in such form or are taxonomically  
related to such plants;
- (b) they are collected in the wild or grown from plants collected in the wild;
- (c) they are grown outdoors or grown from plants grown outdoors in the third  
countries, group of third countries or specific areas of third country concerned;
- (d) they are known to host commonly hosted pests known to have major impact to  
plant species which are of major economic, social or environmental importance  
to the Union territory;
- (e) they are known to commonly harbour pests without signs and symptoms of  
those pests, or with a latent period for the expression of those signs or  
symptoms, implying that the presence of pests is likely to be missed during  
inspections at introduction into the Union territory;
- (f) they are perennial plants commonly traded as old plants;

2. As regards other plants, plant products or other objects:

- (c) they are known to host and provide a significant pathway for commonly hosted  
pests known to have major impact on plant species which are of major  
economic, social or environmental importance to the Union territory;

- (d) they are known to commonly harbour and provide a significant pathway for pests without signs and symptoms of those pests, or with a latent period for the expression of those signs or symptoms, implying that the presence of pests is likely to be missed during inspections at introduction into the Union territory;

## **ANNEX IV**

### **Measures and principles for the management of the risks of pests**

#### **SECTION 1**

**MEASURES TO MANAGE THE RISKS OF QUARANTINE PESTS AS REFERRED TO IN ARTICLES 16(1), 20, 24(2), 27(4), 27(6), 28(1), 29(4), 29(6), 40(2), 41(2), 41A(4), 44(3), 49(2) [...], 50(2) AND 70(2)**

The management of the risks of quarantine pests shall consist of one or more, as appropriate, of the following measures:

- (1) Measures targeting prevention and elimination of infestation of cultivated and wild plants
  - (a) Restrictions as regards the identity, nature, origin, ancestry, provenance and production history of cultivated plants.
  - (b) Restrictions on the cultivation, harvesting and use of plants.
  - (c) Restrictions on the use of plant products, premises, land, water, soil, growing media, facilities, machinery, equipment and other objects.
  - (d) Surveillance, visual examination, sampling and laboratory testing of plants, plant products, premises, land, water, soil, growing media, facilities, machinery, equipment and other objects for the presence of quarantine pests.
  - (e) Surveillance for breakdown or change in the effectiveness of a resistant plant species or plant variety which relates to a change in the composition of the quarantine pest or its biotype, pathotype, race or virulence group.
  - (f) Physical, chemical and biological treatment of plants, plant products, premises, land, water, soil, growing media, facilities, machinery, equipment and other objects, infested or potentially infested with quarantine pests.

- (g) Destruction of plants, plant products and other objects, infested or potentially infested with quarantine pests or for preventive purposes.
- (h) Information, data recording, communication and reporting obligations.

**(ha) Registration of professional operators concerned.**

For the purposes of point (b), those measures may include requirements with regard to the testing of plant species and plant varieties for resistance to the quarantine pest concerned and the listing of plant species and plant varieties found to be resistant to the quarantine pest concerned.

For the purposes of point (f), those measures may include requirements with regard to:

- (a) the registration, authorisation and official supervision of professional operators applying the treatment concerned;
- (b) the issuance of a phytosanitary certificate, plant passport, label or other official attestation for the treated plants, plants products or other objects and the placing of the mark referred to in Article 91(1) following the application of the treatment concerned.

(2) **Measures targeting consignments of plants, plants products and other objects**

- (a) Restrictions on the identity, nature, origin, provenance, ancestry, production method, production history and traceability of plants, plant products and other objects.
- (b) Restrictions on the introduction, movement, use, handling, processing, packaging, storage, distribution and destination of plants, plant products and other objects.
- (c) Surveillance, visual examination, sampling, laboratory testing of plants, plant products and other objects for the presence of quarantine pests, including through subjection to quarantine procedures **and pre-export inspections in third countries.**

- (d) Physical, chemical and biological treatment and, where appropriate, destruction of plants, plant products and other objects, infested or potentially infested with quarantine pests.
- (e) Information, data recording, communication and reporting obligations.

**(ea) Registration of professional operators concerned.**

For the purposes of points (a) to (d), those measures may include requirements with regard to:

- (a) the issuance of a phytosanitary certificate, plant passport, label or other official attestation, including the placing of the mark referred to in Article 91(1) to attest compliance with the provisions referred to in point (a) to (d);
- (b) the registration, authorisation and official supervision of professional operators applying the treatment referred to in point (d).

(3) **Measures targeting pathways for quarantine pests, other than consignments of plants, plant products or other objects**

- (a) Restrictions on the introduction and movement of quarantine pests as a commodity.
- (b) Surveillance, visual examination, sampling and laboratory testing and where appropriate destruction of commodities of quarantine pests.
- (c) Restrictions on plants, plant products and other objects carried by travellers.
- (d) Surveillance, visual examination, sampling and laboratory testing and where appropriate treatment or destruction of plants, plant products and other objects carried by travellers.

- (e) Restrictions on vehicles, packaging and other objects used in transport of commodities.
- (f) Surveillance, visual examination, sampling and laboratory testing and where appropriate treatment or destruction of vehicles, packaging and other objects used in transport of commodities.
- (g) Information, data recording, communication and reporting obligations.
- (ga) Registration of professional operators concerned.**



## SECTION 2

**PRINCIPLES FOR THE MANAGEMENT OF THE RISKS OF PESTS AS REFERRED TO IN ARTICLES 16(1), 17[...] (3), 20, 27(4), 27(6), 28(1), 29(4), 29(6), 31(1), 37 (2A) AND (5), 40(2), 41(2), 44(3), 47(2), 47(4), 49(2), 50(2), 68(3), 69(3), 70(2), 74(3) AND 75(3)**

The management of the risks of Union quarantine pests, protected zone quarantine pests and Union [...] **regulated non-quarantine** pests shall respect the following principles:

(1) Necessity

Measures to manage the risk of a pest shall be applied only where such measures are necessary to prevent the [...] **entry**, establishment and spread of that pest.

(2) Proportionality

Measures taken to manage the risk of a pest shall be [...] **proportionate to** the risk posed by the pest concerned and the level of protection that is required.

(3) Minimal impact

Measures taken to manage the risk of a pest shall represent the least restrictive measures available, and result in the minimum impediment to the international movement of people, commodities and conveyances.

(4) Non-discrimination

Measures taken to manage the risk of a pest shall not be applied in such a way as to constitute either a means of arbitrary or unjustified discrimination or a disguised restriction, particularly on international trade. They shall be no more stringent for third countries than measures applied to that same pest if present within the Union territory, if third countries can demonstrate that they have the same phytosanitary status and apply identical or equivalent phytosanitary measures.

(5) Technical justification

Measures taken to manage the risk of a pest shall be technically justified on the basis of conclusions reached by using an appropriate risk analysis or, where applicable, another comparable examination and evaluation of available scientific information. Those measures should reflect, and, where appropriate, be modified or removed to reflect new or updated risk analysis or relevant scientific information.

(6) Feasibility

Measures taken to manage the risk of a pest should be such as to allow that the objective of those measures is likely achieved.

## **ANNEX V**

### **Contents of phytosanitary certificates for introduction into the Union territory**

#### **PART A**

#### **PHYTOSANITARY CERTIFICATES FOR EXPORT AS REFERRED TO IN ARTICLE 71(1)**

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#### **Model Phytosanitary Certificate**

No. \_\_\_\_\_

Plant Protection Organization of \_\_\_\_\_

TO: Plant Protection Organization(s) of \_\_\_\_\_

#### **I. Description of Consignment**

Name and address of exporter: \_\_\_\_\_

Declared name and address of consignee: \_\_\_\_\_

Number and description of packages: \_\_\_\_\_

Distinguishing marks: \_\_\_\_\_

Place of origin: \_\_\_\_\_

Declared means of conveyance: \_\_\_\_\_

Declared point of entry: \_\_\_\_\_

Name of produce and quantity declared: \_\_\_\_\_

Botanical name of plants: \_\_\_\_\_

This is to certify that the plants, plant products or other regulated articles described herein have been inspected and/or tested according to appropriate official procedures and are considered to be free from the quarantine pests specified by the importing contracting party and to conform with the current phytosanitary requirements of the importing contracting party, including those for regulated non-quarantine pests.

They are deemed to be practically free from other pests.\*

## II. Additional Declaration

[Enter text here]

## III. Disinfestation and/or Disinfection Treatment

Date \_\_\_\_\_ Treatment \_\_\_\_\_ Chemical (active ingredient) \_\_\_\_\_

Duration and temperature \_\_\_\_\_

Concentration \_\_\_\_\_

Additional information \_\_\_\_\_

Place of issue \_\_\_\_\_

(Stamp of Organization) \_\_\_\_\_ Name of authorized officer \_\_\_\_\_

Date \_\_\_\_\_

(Signature)

No financial liability with respect to this certificate shall attach to \_\_\_\_\_ (name of Plant Protection Organization) or to any of its officers or representatives.\*

\* Optional clause

**PART B**

**PHYTOSANITARY CERTIFICATES FOR RE-EXPORT AS REFERRED TO IN ARTICLE 71(1)**

---

**Model Phytosanitary Certificate for Re-Export**

No. \_\_\_\_\_

Plant Protection Organization of \_\_\_\_\_ (contracting party of re-export)

TO: Plant Protection Organization(s) of \_\_\_\_\_ (contracting party(ies) of import)

**I. Description of Consignment**

Name and address of exporter: \_\_\_\_\_

Declared name and address of consignee: \_\_\_\_\_

Number and description of packages: \_\_\_\_\_

Distinguishing marks: \_\_\_\_\_

Place of origin: \_\_\_\_\_

Declared means of conveyance: \_\_\_\_\_

Declared point of entry: \_\_\_\_\_

Name of produce and quantity declared: \_\_\_\_\_

Botanical name of plants: \_\_\_\_\_

This is to certify that the plants, plant products or other regulated articles described above  
\_\_\_\_\_ were imported into (contracting party of re-export) \_\_\_\_\_ from  
\_\_\_\_\_ (contracting party of origin) covered by Phytosanitary Certificate No.  
\_\_\_\_\_.

\*original ☐ \*certified true copy ☐

of which is attached to this certificate; that they are

\*packed ☐ \*repacked ☐

in

\*original ☐ \*new ☐

containers, that based on the

\*original phytosanitary certificate ☐

and

\*additional inspection ☐

they are considered to conform with the current phytosanitary requirements of the importing  
contracting party, and that during storage in \_\_\_\_\_ (contracting party of re-export), the  
consignment has not been subjected to the risk of infestation or infection.

\*Insert tick in appropriate ☐ boxes

## II. Additional Declaration

[Enter text here]

### III. Disinfestation and/or Disinfection Treatment

Date \_\_\_\_\_ Treatment \_\_\_\_\_ Chemical (active ingredient) \_\_\_\_\_

Duration and temperature \_\_\_\_\_

Concentration \_\_\_\_\_

Additional information \_\_\_\_\_

\_\_\_\_\_

Place of issue \_\_\_\_\_

(Stamp of Organization) \_\_\_\_\_ Name of authorized officer \_\_\_\_\_

Date \_\_\_\_\_

(Signature)

\_\_\_\_\_

No financial liability with respect to this certificate shall attach to \_\_\_\_\_ (name of Plant Protection Organization) or to any of its officers or representatives.\*

\* Optional clause



## ANNEX VI

### **Plant passports**

#### **PART A**

#### **PLANT PASSPORTS FOR MOVEMENT WITHIN THE UNION TERRITORY AS REFERRED TO IN THE FIRST SUBPARAGRAPH OF ARTICLE 78(2)**

- (1) The plant passport for movement within the Union territory shall contain the following elements:
- (a) **the words 'Plant Passport'** in its upper [...] **right** hand corner, [...] **in one of the official languages of the European Union and in English, separated by a slash;**
  - (b) [...] the flag of the European Union **in its upper left hand corner, printed in colour or in black and white;**
  - (c) the letter 'A.', followed by the botanical name of the plant species or taxon concerned, in case of plants and plant products, or, where appropriate, the name of the object concerned, **and, optionally, the name of the variety;**
  - (d) the letter 'B.', followed by subsequently the two-letter code, referred to in point (b) of Article 63, for the Member State in which the professional operator issuing the plant passport is registered, a hyphen and the registration number of the professional operator concerned **who issues the plant passport or for whom the plant passport is issued by the competent authority;**
  - (e) the letter 'C.', followed by the [...] **traceability code** of the plant, plant product or the other object concerned;
  - (f) the letter 'D.', **where applicable** [...] followed by:

(i) the name of the third country of origin, or

(ii) two-letter code, referred to in point (b) of Article 63, [...] of the Member State of origin.

- (2) The [...] **traceability code** referred to in point 1(e) may **also** be **supplemented** [...] by a reference to a unique traceability barcode, hologram, chip or other data carrier, present on the [...] **trade unit**.

## PART B

### PLANT PASSPORTS FOR MOVEMENT INTO AND WITHIN PROTECTED ZONES AS REFERRED TO IN THE SECOND SUBPARAGRAPH OF ARTICLE 78(2)

- (1) The plant passport for movement into and within protected zones shall contain the following elements:
- (a) **the words 'Plant Passport — PZ' in its upper [...]right hand corner, [...] in one of the official languages of the European Union and in English, separated by a slash;**
  - (b) immediately underneath those words, the scientific name(s) **or the code(s)** of the **respective** protected zone quarantine pest(s) **, as referred to in Article 32(3)** [...];
  - (c) **the flag of the European Union** in **its** [...] upper **left** [...] hand corner, [...] **printed in colour or in black and white;**
  - (d) the letter 'A.', followed by the botanical name of the plant species or taxon concerned, in case of plants and plant products, or, where appropriate, the name of the object concerned **and, optionally, the name of the variety** ;
  - (e) the letter 'B.', followed by subsequently the two-letter code, referred to in point (b) of Article 63, for the Member State in which the professional operator issuing the plant passport is registered, a hyphen and the registration number of the professional operator concerned **who issues the plant passport or for whom the plant passport is issued by the competent authority;**
  - (f) the letter 'C.', followed by the [...] **traceability code** of the plant, plant product or the other object concerned;

(g) the letter 'D.', **where appropriate** [...] followed by:

**(i)** the name of the third country of origin, or

**(ii)** two-letter code, referred to in point (b) of Article 63, [...] **of** the Member State of origin **and, in case of replacement of the plant passport, the registration number of the professional operator concerned who issued the initial plant passport or for whom the initial plant passport was issued by the competent authority as referred in Article 88 (1) and (2).**

(2) The [...] **traceability code** referred to in point 1(f) may **also** be **supplemented** [...] by a reference to a unique traceability barcode, hologram, chip or other data carrier, present on the the [...] **trade unit**.

## PART C

### PLANT PASSPORTS FOR MOVEMENT WITHIN THE UNION TERRITORY, COMBINED WITH A CERTIFICATION LABEL, AS REFERRED TO IN THE SECOND SUBPARAGRAPH OF ARTICLE 78(3)

- (1) The plant passport for movement within the Union territory, combined in a joint label with the official label [...] **for seeds or other propagating material referred to respectively in Articles 10(1) of Directive 66/401/EEC, Article 10(1) of Directive 66/402/EEC, Article 10(1) of Directive 68/193/EEC, Article 12 of Directive 2002/54/EC, Article 28(1) of Directive 2002/55/EC, Article 13(1) of Directive 2002/56/EC, and Article 12(1) of Directive 2002/57/E, and the label for pre-basic, basic or certified material as referred to in point (b) of Article 9(1) of Directive 2008/90/EC**, shall contain the following elements:
- (a) **the words 'Plant Passport'** in the upper [...] **right** hand corner of the joint label, [...] **in one of the official languages of the European Union and in English, separated by a slash;**
- (b) **the flag of the European Union** in the upper **left** [...] hand corner of the joint label [...] **printed in colour or in black and white;**

[...]

The plant passport shall be positioned in the joint label immediately above, and have the same width as, that official label [...].

- (2) Point (2) of Part A shall apply accordingly.

## PART D

### PLANT PASSPORTS FOR MOVEMENT INTO AND WITHIN PROTECTED ZONES, COMBINED WITH A CERTIFICATION LABEL, AS REFERRED TO IN THE THIRD SUBPARAGRAPH OF ARTICLE 78(3)

- (1) The plant passport for movement into and within protected zones, combined in a joint label with the official label [...] **for seeds or other propagating material referred to respectively in Articles 10(1) of Directive 66/401/EEC, Article 10(1) of Directive 66/402/EEC, Article 10(1) of Directive 68/193/EEC, Article 12 of Directive 2002/54/EC, Article 28(1) of Directive 2002/55/EC, Article 13(1) of Directive 2002/56/EC and Article 12(1) of Directive 2002/57/EC, and the label for pre-basic, basic or certified material as referred to in point (b) of Article 9(1) of Directive 2008/90/EC,** shall contain the following elements:
- (a) **the words 'Plant Passport — PZ' in the upper [...] right hand corner of the joint label in one of the official languages of the European Union and in English, separated by a slash;**
  - (b) immediately underneath those words, the scientific name(s) **or code(s)** of the protected zone quarantine pest(s) concerned;
  - (c) **the flag of the European Union** in the upper **left** [...] hand corner of the joint label [...] **printed in colour or in black and white;**

[...]

The plant passport shall be positioned in the joint label immediately above, and have the same width as, that official label or, where applicable, that master certificate.

- (2) Point (2) of Part B shall apply accordingly.

## **ANNEX VIA**

### **Criteria to identify plants referred to in Article 68a, which do not require a phytosanitary certificate**

**The assessment referred to in Article 68a shall take into account the following criteria:**

- (1) The plants do not host Union quarantine pests or pests subject to measures adopted pursuant to Article 29, or commonly hosted pests which may impact on plant species grown in the Union.**
- (2) The plants have a history of compliance with the requirements for introduction into the Union territory relevant to the third country or countries of origin.**
- (3) No indication of outbreak(s) is linked to the introduction of the plants concerned from one or more third countries, and those plants have not been subject to repetitive interceptions of Union quarantine pests or pests subject to the measures adopted pursuant to Article 29 during the introduction into the Union territory.**

## **ANNEX VII**

[...]



## **ANNEX VIII**

### **Contents of phytosanitary certificates for export [...], re-export and pre-export as referred to in Articles 94(3) [...], 95(4) and 96(5)**

#### **PART A**

##### **PHYTOSANITARY CERTIFICATES FOR EXPORT AS REFERRED TO IN ARTICLE 94(3)**

- (1) The phytosanitary certificate for movement out of the Union territory, for the purpose of export to a third country, shall contain the following elements:
  - (a) the words 'Phytosanitary certificate', followed by subsequently:
    - (i) the letters 'EU';
    - (ii) the two-letter code, referred to in point (b) of Article 63, for the Member State in which the professional operator requesting the issuance of the phytosanitary certificate for export is registered;
    - (iii) a slash;
    - (iv) a unique identification code for the certificate, consisting of numbers or a combination of letters and numbers, the letters representing, as applicable, the province and district of the Member State where the certificate is issued;
  - (b) the words 'Name and address of exporter', followed by the name and address of the registered operator, **or private person**, requesting the issuance of the phytosanitary certificate for export;
  - (c) the words 'Declared name and address of consignee', followed by the declared name and address of the consignee;

- (d) the words "Plant protection organisation of", followed by the name of the Member State of which the plant protection organisation issues the certificate, and subsequently the words 'to the plant protection organisation(s) of', followed by the name or, as applicable, names, of the country or, as applicable, countries of destination;
- (e) the words 'Place of origin', followed by the place **or places** of origin of the plants, plant products or other objects included in the consignment for which the certificate is issued. **In all cases, the name of the country or countries of origin should be stated;**
- (ea) an unnumbered box, this box is reserved for the EU logo. Optionally, other official logos can be added;**
- (f) the words "Declared means of conveyance", followed by the declared means of conveyance of that consignment;
- (g) the words "Declared point of entry", followed by the declared point of entry into the country of destination of that consignment;
- (h) the words "Distinguishing marks: number and description of packages; name of produce; botanical name of plants", followed by **a description of the consignment including botanical name of plants or the name of the produce, distinguishing marks,** the number and type of packages included in the consignment;
- (i) the words "Quantity declared", followed by the quantity of the plants, plant products or other objects included in that consignment, expressed by number or weight;

- (j) the words 'This is to certify that the plants, plant products or other regulated articles described herein have been inspected and/or tested according to appropriate official procedures and are considered to be free from the quarantine pests specified by the importing contracting party and to conform with the current phytosanitary requirements of the importing contracting party, including those for regulated non-quarantine pests'. **Optionally, the following clause may be added: 'They are deemed to be practically free from other pests.'**
- (k) the words "Additional declaration", followed by the additional declaration referred to in Article 67(2) and the statement referred to in Article 67(3) and, optionally, any further phytosanitary information relevant to the consignment. If there is insufficient space for the whole of the additional declaration [...] **an attachment may be added. The information in the attachment should only include what is required on the phytosanitary certificate. All the pages of the attachment should bear the number of the phytosanitary certificate and should be dated, signed and stamped in the same manner as required for the phytosanitary certificate. The phytosanitary certificate should refer to any attachments in the appropriate section;**
- (l) the words 'Disinfestation and/or disinfection treatment';
- (m) the word "Treatment", followed by the treatment that has been applied to that consignment;
- (n) the words "Chemical (active ingredient)", followed by the active ingredient of the chemical used for the treatment referred to in point (m);
- (o) the words "Duration and temperature", followed by the duration and, where applicable, temperature of that treatment;
- (p) the word "Concentration", followed by the concentration of that chemical reached during that treatment;

- (q) the word "Date", followed by the date on which that treatment was applied;
- (r) the word "Additional information", followed by any additional information that the competent authority wishes to include in the certificate;
- (s) the words 'Place of issue', followed by the place of issuance of the phytosanitary certificate;
- (t) the word 'Date', followed by the date of issuance of the phytosanitary certificate;
- (u) the words 'Name and signature of authorised officer', followed by the name and signature of the officer issuing and signing the phytosanitary certificate;
- (v) the words 'Stamp of organisation', followed by the official stamp of the competent authority issuing the phytosanitary certificate.

**(w) optionally, the sentence 'No financial liability with respect to this certificate shall attach to (name of Plant Protection Organisation) or to any of its officials or representatives' may be added on the certificate below the frame.**

[...]

**2. In case the phytosanitary certificate is not issued electronically, the paper used shall contain a watermark, embossed seal or embossed logo determined by the competent authority that signs the certificate. The colour of the pre-printed text shall be green except for the number of the original certificate as referred to under paragraph (1) point (a) (iv), which may be in another colour.**

**MODEL PHYTOSANITARY CERTIFICATE FOR EXPORT**

<b><u>1. Name and address of exporter</u></b>	<b><u>2.</u></b>  <b><u>PHYTOSANITARY CERTIFICATE</u></b>  <b><u>No EU XX /00000000</u></b>	
<b><u>3. Declared name and address of consignee</u></b>	<b><u>4. Plant Protection Organisation of</u></b>  <b><u>to Plant Protection Organisation(s) of</u></b>	
	<b><u>5. Place of origin</u></b>	
<b><u>6. Declared means of conveyance</u></b>		
<b><u>7. Declared point of entry</u></b>		
<b><u>8. Distinguishing marks: number and description of packages; name of produce;</u></b> <b><u>botanical name of plants</u></b>		<b><u>9. Quantity declared</u></b>

<b><u>10. This is to certify that the plants, plant products or other regulated articles described herein have been inspected and/or tested according to appropriate official procedures and are considered to be free from the quarantine pests specified by the importing contracting party and to conform with the current phytosanitary requirements of the importing contracting party, including those for regulated non-quarantine pests 'They are deemed to be practically free from other pests.'</u>*</b>			
<b><u>11. Additional declaration</u></b>			
<b><u>DISINFESTATION AND/OR DISINFECTION TREATMENT</u></b>		<b><u>18. Place of issue</u></b>	
<b><u>12. Treatment</u></b>		<b><u>Date</u></b>	
<b><u>13. Chemical</u></b> <b><u>(active ingredient)</u></b>	<b><u>14. Duration and temperature</u></b>	<b><u>Name and signature of authorized officer</u></b>	
<b><u>15. Concentration</u></b>	<b><u>16. Date</u></b>	<b><u>Stamp of organisation</u></b>	
<b><u>17. Additional information</u></b>			

\* : optional clause

## **PART B**

### **PHYTOSANITARY CERTIFICATES FOR RE-EXPORT AS REFERRED TO IN ARTICLE 95(4)**

- (1) The phytosanitary certificate for movement out of the Union territory, for the purpose of re-export to a third country, shall contain the following elements:
  - (a) the words 'Phytosanitary certificate for re-export', followed by subsequently:
    - (i) the letters 'EU';
    - (ii) the two-letter code, referred to in point (b) of Article 63, for the Member State in which the professional operator requesting the issuance of the phytosanitary certificate for re-export is registered;
    - (iii) a slash;
    - (iv) a unique identification code for the certificate, consisting of numbers or a combination of letters and numbers, the letters representing, as applicable, the province and district of the Member State where the certificate is issued;
  - (b) the words 'Name and address of exporter', followed by the name and address of the registered operator requesting the issuance of the phytosanitary certificate for re-export;
  - (c) the words 'Declared name and address of consignee', followed by the declared name and address of the consignee;
  - (d) the words "Plant protection organisation of", followed by the name of the Member State of which the plant protection organisation issues the certificate, and subsequently the words 'to the plant protection organisation(s) of', followed by the name or, as applicable, names, of the country or, as applicable, countries of destination;

- (e) the words 'Place of origin', followed by the place **or places** of origin of the plants, plant products or other objects included in the consignment for which the certificate is issued. **In all cases, the name of the country or countries of origin should be stated;**

**(ea) an unnumbered box, this box is reserved for the EU logo. Optionally, other official logos can be added ;**

- (f) the words "Declared means of conveyance", followed by the declared means of conveyance of that consignment;
- (g) the words "Declared point of entry", followed by the declared point of entry into the country of destination of that consignment;
- (h) the words "Distinguishing marks: number and description of packages; name of produce; botanical name of plants", followed by **a description of the consignment including botanical name of plants or the name of the produce, distinguishing marks,** the number and type of packages included in the consignment;
- (i) the words "Quantity declared", followed by the quantity of the plants, plant products or other objects included in that consignment, expressed by number or weight;
- (j) the following text:

'This is to certify

- that the plants [...], plant products **or other regulated articles** described above were imported into ..... (country/ **contracting party** of re-export) from ..... (country/ **contracting party** of origin) covered by phytosanitary certificate No .....

☐ original ☐ certified true copy of which is attached to this certificate,



- that they are

☐ packed ☐ repacked

in

☐ original ☐ new containers,

- that based on the

☐ original phytosanitary certificate and

☐ additional inspection,

they are considered to conform with the current phytosanitary [...] **requirements** of the importing country/**contracting party**, and

- that during storage in ..... ([...] **contracting party** of re-export) the consignment has not been subjected to the risk of infestation or infection.

in which text the required information shall be filled and the applicable boxes ticked;


- (k) the words "Additional declaration", followed by the additional declaration referred to in Article 67(2) and the statement referred to in Article 67(3) and, optionally, any further phytosanitary information relevant to the consignment. If there is insufficient space for the whole of the additional declaration, [...] **an attachment may be added. The information in the attachment should only include what is required on the phytosanitary certificate. All the pages of the attachment should bear the number of the phytosanitary certificate and should be dated, signed and stamped in the same manner as required for the phytosanitary certificate. The phytosanitary certificate should refer to any attachments in the appropriate section;**

- (l) the words 'Disinfestation and/or disinfection treatment';
- (m) the word "Treatment", followed by the treatment that has been applied to that consignment;
- (n) the words "Chemical (active ingredient)", followed by the active ingredient of the chemical used for the treatment referred to in point (m);
- (o) the words "Duration and temperature", followed by the duration and, where applicable, temperature of that treatment;
- (p) the word "Concentration", followed by the concentration of that chemical reached during that treatment;
- (q) the word "Date", followed by the date on which that treatment was applied;
- (r) the word "Additional information", followed by any additional information that the competent authority wishes to include in the certificate;
- (s) the words 'Place of issue', followed by the place of issuance of the phytosanitary certificate;
- (t) the word 'Date', followed by the date of issuance of the phytosanitary certificate;
- (u) the words 'Name and signature of authorised officer', followed by the name and signature of the officer issuing and signing the phytosanitary certificate;
- (v) the words 'Stamp of organisation', followed by the official stamp of the competent authority issuing the phytosanitary certificate.
- (w) **optionally, the sentence 'No financial liability with respect to this certificate shall attach to (name of Plant Protection Organisation) or to any of its officials or representatives' may be added on the certificate below the frame.**

[...]

**2. In case the phytosanitary certificate is not issued electronically, the paper used shall contain a watermark, embossed seal or embossed logo determined by the competent authority that signs the certificate. The colour of the pre-printed text shall be brown except for the number of the original certificate as referred to under paragraph (1) point (a) (iv), which may be in another colour.**

**MODEL PHYTOSANITARY CERTIFICATE FOR RE-EXPORT**

<b><u>1. Name and address of exporter</u></b>	<b><u>2.</u></b>  <b><u>PHYTOSANITARY CERTIFICATE FOR RE-EXPORT</u></b>  <b><u>No EU XX/00000000</u></b>	
<b><u>3. Declared name and address of consignee</u></b>	<b><u>4. Plant Protection Organisation of</u></b>  <b><u>to Plant Protection Organisation(s) of</u></b>	
	<b><u>5. Place of origin</u></b>	
<b><u>6. Declared means of conveyance</u></b>		
<b><u>7. Declared point of entry</u></b>		
<b><u>8. Distinguishing marks; number and description of packages; name of produce;</u></b> <b><u>botanical name of plants</u></b>		<b><u>9. Quantity declared</u></b>

**10. This is to certify**

**- that the plants, plant products or other regulated articles described above were imported into..... (country/ contracting party of re-export)**

**from.....(country/ contracting party of origin) covered by phytosanitary certificate Nr. ....**

(\*) ☐ **original**

☐ **certified true copy of which is attached to this certificate;**

**- that they are**

(\*) ☐ **packed**

☐ **repacked in**

☐ **original**

☐ **new containers**

**- that based on the**

(\*) ☐ **original phytosanitary certificate and**

☐ **additional inspection, they are considered to conform with the current phytosanitary requirements of the importing country/contracting party, and**

**-that during storage ..... in**

**( country/contracting party of re-export) the consignment has not been subjected to the risk of infestation or infection.**

**(\*)Insert tick in the appropriate boxes**

**11. Additional declaration**

<b><u>DISINFESTATION AND/OR DISINFECTION TREATMENT</u></b>		<b><u>18. Place of issue</u></b>  <b><u>Date</u></b>  <b><u>Name and signature of authorized officer</u></b>  <b><u>Stamp of organisation</u></b>
<b><u>12. Treatment</u></b>		
<b><u>13. Chemical (active ingredient)</u></b>	<b><u>14. Duration and temperature</u></b>	
<b><u>15. Concentration</u></b>	<b><u>16. Date</u></b>	
<b><u>17. Additional information</u></b>		

## **PART C**

### **PRE-EXPORT CERTIFICATES AS REFERRED TO IN ARTICLE 96(5)**

## MODEL PRE-EXPORT CERTIFICATE

### **1. PRE-EXPORT CERTIFICATE**



*[No. EU / Code Member State / Internal individual reference number]*

This document is issued by the competent authority of an EU Member State according to *[Regulation on protective measures against pests of plants]* on request of a professional operator in order to communicate to competent authorities of EU Member States that certain phytosanitary procedures have been applied

**2 Name of Member State of origin and name of the declaring competent authority** *[and if wanted logo of competent authority of origin]*

### **3 Professional operator**

### **4 Description of the consignment**

### **5 Quantity declared**

### **6 The consignment as described above:**

*[Boxes(A-F) of applicable options to be ticked combined with information under "pest specifications"]*

☐ Comply with the specific requirements of EU Regulation on protective measures against pests of plants: [List the publishing number of the relevant implementing act (for the consignment concerned) adopted pursuant to Article 41 and the applicable alternative of the specific requirements that is certified as being complied with.]

☐ have been inspected according to an appropriate official procedure: [if necessary list procedure], and found free from (A)

☐ have been tested according to an appropriate official procedure: [if necessary list procedure], and found free from (B)

☐ originate in a field, officially recognized as being free from (C)

☐ originate in a production site, officially recognized as being free from (D)

☐ originate in a place of production, officially recognized as being free from (Da)

☐ originate in an area, officially recognized as being free from (E)

☐ originate in a country, officially recognized as being free from (F)

**Pest specifications and identification of field/production site/area (with ref. to (A)-(F) above as appropriate):**

**7 Other official information**

*[e.g. related to phytosanitary import requirements, treatment of consignment etc.]*

**8 Place of issue:**

Contact details (phone/e-mail/fax):

Date:

**9 Name and signature of the authorized officer**

**(Stamp of the competent authority)**



## **ANNEX IX**

### **Correlation table**

<b>Council Directive 69/464/EEC</b>	<b>This Regulation</b>	<b>[...]</b>
Article 1	Article 27(1)	
Article 2	Article 27(1)(d)	
Articles 3, 4 and 5	Article 27(1)(c)	
Article 6	Article 27(1)(e)	
Article 7	—	
Article 8	Article 8	
Article 9	Article 31(1)	
Articles 10 and 11	Article 27(1)(c)	
Articles 12 and 13	—	
<b>Council Directive 93/85/EEC</b>	<b>This Regulation</b>	
Article 1	Article 27(1)	
Article 2	Article 27(1)(f)	
Article 3	Article 9	
Articles 4 to 8	Article 27(1)(a), (b) and (c)	
Article 9	—	
Article 10	Article 8	
Article 11	Article 31(1)	
Article 12	Article 27(1)	
Articles 13 to 15	—	
Annexes I to V	Article 27(1)	

Council Directive 98/57/EC	This Regulation	
Article 1	Article 27(1)	
Article 2	Article 27(1)(f)	
Article 3	Article 9	
Article 4 to 7	Article 27(1)(a), (b) and (c)	
Article 8	—	
Article 9	Article 8	
Article 10	Article 31(1)	
Article 11	Article 27(1)	
Articles 12 to 14	—	
Annexes I to VII	Article 27(1)	
Council Directive 2007/33/EC	This Regulation	
Article 1	Article 27(1)	
Articles 2 and 3	Article 27(1) and (2)	
Articles 4 to 8	Article 27(1)(f)	
Articles 9 to 13	Article 27(1) and (2)	
Article 14	Article 8	
Article 15	Article 31(1)	
Article 16	Article 27(1)	
Article 17	Article 99	
Articles 18 to 20	—	
Annexes I to IV	Article 27(1)	

Council Directive 2000/29/EC	This Regulation	
Article 1(1), (2) and (3)	Article 1	
Article 1(4)	—	
Article 1(5) and (6)	—	
Article 2(1)(a)	Article 2(1)	
Article 2(1)(b)	Article 2(2)	
Article 2(1)(c)	—	
Article 2(1)(d)	Article 2(3)	
Article 2(1)(e)	Article 1(1)	
Article 2(1)(f)	Article 73	
Article 2(1)(g)	—	
Article 2(1)(h)	Articles 32 to 35	
Article 2(1)(i), first subparagraph	Article 71	
Article 2(1)(i), second subparagraph	—	
Article 2(1)(i), third subparagraph	—	
Article 2(1)(j)	—	
Article 2(1)(k)	—	
Article 2(1)(l)	—	
Article 2(1)(m)	—	
Article 2(1)(n)	—	
Article 2(1)(o)	Article 2(6)	
Article 2(1)(p)	—	
Article 2(1)(q)	—	
Article 2(1)(r)	—	
Article 2(2)	Article 2(2), second subparagraph	
Article 3(1)	Article 5(1)	
Article 3(2) and (3)	Articles 5(1), 37(1) and 41(3)	

Article 3(4)	—
Article 3(5)	Article 32(2) and 50(3)
Article 3(6)	Articles 5(2) and 32(3)
Article 3(7)	Articles 5(3), 27(1) and 37(1)
Articles 3(8) and (9)	Articles 8, 46 and 54
Article 4(1)	Article 40(1)
Article 4(2)	Article 49(1)
Article 4(3)	—
Article 4(4)	—
Article 4(5)	Articles 8, 46 and 54
Article 4(6)	Article 44
Article 5(1)	Article 40(3)
Article 5(2)	Article 49(3)
Article 5(3)	Articles 40(2) and 49(2)
Article 5(4)	Articles 51 and 70
Article 5(5)	Articles 8, 46 and 54
Article 5(6)	Article 44
Article 6(1) to (4)	Article 82(1), (2) and (3)
Article 6(5), first and second subparagraphs	Article 82(1), (2) and (3)
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