



Council of the
European Union

Brussels, 30 January 2020
(OR. en)

5657/20

Interinstitutional File:
2020/0015(NLE)

RECH 18
ASIE 8

PROPOSAL

From:	Secretary-General of the European Commission, signed by Mr Jordi AYET PUIGARNAU, Director
date of receipt:	30 January 2020
To:	Mr Jeppe TRANHOLM-MIKKELSEN, Secretary-General of the Council of the European Union

No. Cion doc.:	COM(2020) 29 final
Subject:	Proposal for a COUNCIL DECISION on the renewal of the Agreement for scientific and technological cooperation between the European Community and the Government of the Republic of India

Delegations will find attached document COM(2020) 29 final.

Encl.: COM(2020) 29 final



Brussels, 30.1.2020
COM(2020) 29 final

2020/0015 (NLE)

Proposal for a

COUNCIL DECISION

**on the renewal of the Agreement for scientific and technological cooperation between
the European Community and the Government of the Republic of India**

EXPLANATORY MEMORANDUM

1. CONTEXT OF THE PROPOSAL

• Reasons for and objectives of the proposal

The 'Agreement for scientific and technological cooperation between the European Community and the Government of the Republic of India'¹ ('the Agreement') was signed in New Delhi on 23 November 2001 and entered into force on 14 October 2002. Article 11(b) provides that 'This Agreement shall be concluded for a period of five years and may be renewed by mutual agreement between the Parties after evaluation during the last year of the aforementioned period'. The Agreement has been renewed twice so far; the Council approved the renewal in 2009² and in 2015³ for an additional period of 5 years each time.

The current Agreement is due to expire on 17 May 2020.

The evaluation carried out by the Commission clearly demonstrates that the Agreement provides an important framework for conducting and facilitating cooperation between the EU and India in common S&T priority areas leading to mutual benefits. In the past years, under the Horizon 2020 Programme⁴, a joint call on water resulted in 7 selected projects for a total of EUR 30M with the Department of Science and Technology (DST) and the Department of Biotechnology (DBT); a joint call on new generation vaccines with DBT for a total of EUR 30M resulted in 3 selected projects; two innovation initiatives were launched: an incubators network and an innovation platform, resulting on more than 20 innovation partnerships among its participants. In addition, an Implementing Arrangement between the Science and Engineering Research Board (SERB) and the European Commission was signed to facilitate mobility of Indian researchers to European Research Council grantees teams. Finally, two co-funding mechanisms were set up by DBT and the Ministry of Earth Science (MoES) to fund successful Indian participants in more than 50 Horizon 2020 calls.

It is in the interest of the EU to renew this Agreement in order to continue to further engage in scientific and technological cooperation and facilitation thereof, in areas of mutual interest and leading to technological advances benefitting European scientific community and increase market access to India through joint cooperation.

The Agreement is essential to understand each other's scientific and innovation landscape and establish together priority areas of mutual interest on international cooperation in research and innovation. It offers a legal and administrative framework in which the modalities of cooperation can be discussed ensuring a sound and fair allocation of research and innovation funding in areas that are in line with the EU's interests and policies and can be adapted and adjusted to India's interests and needs.

It offers also a useful forum to assess past cooperation and determine future actions, including on topics making the cooperation effective such as Open access and Open Innovation principles.

¹ OJ L213, 09.08.2002, p. 30.

² Council Decision 2009/501/EC (OJ L 171, 01.07.2009, p. 17).

³ Council Decision 2015/1788/EU (OJ L 260, 07.10.2015, p. 18).

⁴ Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

At the India – EU Joint Steering Committee meeting, established by the Agreement, held in New Delhi on 1 March 2019, both Parties took note of their intention to renew the Agreement for another five-year period without any amendment following an evaluation in line with its Article 11.

The substance of the renewed Agreement will be identical to that of the current Agreement, as discussed and agreed with the Indian counterparts. It will not create new rights and obligations for the EU, but instead it will extend in time the legal regime already existing between the Parties in the field of S&T cooperation.

- **Consistency with existing policy provisions in the policy area**

This initiative is fully in line with the EU's international cooperation strategy for R&I⁵. The EU's strategy clearly states the importance of science & technology agreements as vehicles for defining and implementing multiannual roadmaps for cooperation with non-EU countries. The Agreement is also a means of implementing the EU's international cooperation strategy for R&I, which calls for more internationalisation and openness in the EU's R&I landscape.

- **Consistency with other Union policies**

The Agreement is instrumental in fulfilling the objectives of the EU Strategy on India⁶ to jointly tackling global challenges, supporting India's sustainable modernisation, opening business opportunities and increasing scientific excellence and competitiveness.⁷

2. LEGAL BASIS, SUBSIDIARITY AND PROPORTIONALITY

- **Legal basis**

The EU's power to act internationally in research and technological development is based on Article 186 TFEU. The procedural legal basis for the proposal is point (a)(v) of the second subparagraph of Article 218(6) TFEU.

- **Subsidiarity (for non-exclusive competence)**

The EU and its Member States have parallel competence in the area of research and technological development in accordance with Article 4(3) TFEU. The objectives of scientific and technological international cooperation can be better achieved at EU's level considering the global challenges. Also the Union is best placed to play a leading role in promoting common principles for the conduct of international research and innovation activities in order to create a level playing field in which researchers and innovators from across the globe feel confident to engage with each other. Therefore it's preferable for the EU to take action in the area of research and technological development. At the same time the Union's international cooperation activities and those of the Member States need to be consistent and complement each other.

In this context, the Agreement allows for a frame to identify areas of cooperation on research and innovation of mutual interest and in line with the EU's agenda and strategy on international cooperation. So far the cooperation between the EU and India has been proved to be useful and necessary and therefore we seek for its continuation via the renewal of the Agreement.

⁵ *Enhancing and focusing EU international cooperation in research and innovation: a strategic approach*, COM(2012) 497.

⁶ Joint Communication to the European Parliament and the Council JOIN(2018) 28 - 'Elements for an EU strategy on India'.

⁷ EU Strategy on India – Council conclusions 14634/18 (10 December 2018, p.3).

3. RESULTS OF EX-POST EVALUATIONS, STAKEHOLDER CONSULTATIONS AND IMPACT ASSESSMENTS

- **Regulatory fitness and simplification**

This initiative is not part of the REFIT agenda.

4. BUDGETARY IMPLICATIONS

Only human and administrative resources are required; these are set out in the legislative financial statement.

In the light of the above considerations, the Commission requests that the Council:

- approves, on behalf of the Union, and with the consent of the European Parliament, the renewal of the 'Agreement for scientific and technological cooperation between the European Community and the Government of the Republic of India', for an additional period of five years (i.e., from 17.05.2020 until 16/05/2025)
- authorises the President of the Council to designate the person(s) empowered to notify the Government of the Republic of India that the Union has completed the internal procedures necessary for the entry into force of this renewed Agreement

Proposal for a

COUNCIL DECISION

on the renewal of the Agreement for scientific and technological cooperation between the European Community and the Government of the Republic of India

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 186, in conjunction with point (v) of Article 218(6)(a) thereof,

Having regard to the proposal from the European Commission,

Having regard to the consent of the European Parliament⁸,

Whereas:

- (1) By Decision 2002/648/EC⁹, the Council approved the conclusion of the Agreement for scientific and technological cooperation between the European Community and the Government of the Republic of India ('the Agreement')¹⁰. The Agreement was signed in New Delhi on 23 November 2001 and entered into force on 14 October 2002.
- (2) In accordance with point (b) of Article 11 of the Agreement, the Agreement is concluded for a period of five years and may be renewed by mutual agreement between the Parties after evaluation during the last year of the five year period.
- (3) By Decisions 2009/501/EC¹¹, 2015/1788/EU¹² the Council approved the renewal of the Agreement for an additional period of five years each time. The current Agreement is due to expire on 17 May 2020.
- (4) The evaluation carried out by Commission services demonstrates that the Agreement provides an important framework for facilitating cooperation between the Union and India in common Science & Technology priority areas leading to mutual benefits. It is therefore in the Union's interest to renew the Agreement for a new period of five years.
- (5) Both Parties confirmed their intention to renew the Agreement for an additional period of five years without any amendments to the Agreement.
- (6) The renewal of the Agreement should be approved on behalf of the Union,

⁸ OJ C , , p. .

⁹ Council Decision 2002/648/EC of 25 June 2002 concerning the conclusion of the Agreement for scientific and technological cooperation between the European Community and the Government of the Republic of India (OJ L 213, 9.8.2002, p. 29).

¹⁰ Agreement for scientific and technological cooperation between the European Community and the Government of the Republic of India (OJ L 213, 9.8.2002, p. 30).

¹¹ Council Decision 2009/501/EC of 19 January 2009 concerning the conclusion of an Agreement renewing the Agreement for scientific and technological cooperation between the European Community and the Government of the Republic of India (OJ L 171, 01.07.2009, p. 17).

¹² Council Decision 2015/1788/EU of 1 October 2015 concerning the renewal of the Agreement for scientific and technological cooperation between the European Community and the Government of the Republic of India (OJ L 260, 07.10.2015, p. 18).

HAS ADOPTED THIS DECISION:

Article 1

The renewal of the Agreement for scientific and technological cooperation between the European Community and the Government of the Republic of India, for an additional period of five years, is hereby approved on behalf of the Union.

Article 2

The President of the Council shall designate the person(s) empowered to notify the Government of the Republic of India, on behalf of the Union, that the Union has completed its internal procedures necessary for the renewal of the Agreement in accordance with point (b) of Article 11 of the Agreement.

Article 3

This Decision shall enter into force on the day of its adoption.

Done at Brussels,

For the Council
The President

LEGISLATIVE FINANCIAL STATEMENT

1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

- 1.1. Title of the proposal/initiative
- 1.2. Policy area(s) concerned in the ABM/ABB structure
- 1.3. Nature of the proposal/initiative
- 1.4. Objective(s)
- 1.5. Grounds for the proposal/initiative
- 1.6. Duration and financial impact
- 1.7. Management mode(s) planned

2. MANAGEMENT MEASURES

- 2.1. Monitoring and reporting rules
- 2.2. Management and control system
- 2.3. Measures to prevent fraud and irregularities

3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

- 3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected
- 3.2. Estimated impact on expenditure
 - 3.2.1. *Summary of estimated impact on expenditure*
 - 3.2.2. *Estimated impact on operational appropriations*
 - 3.2.3. *Estimated impact on appropriations of an administrative nature*
 - 3.2.4. *Compatibility with the current multiannual financial framework*
 - 3.2.5. *Third-party contributions*
- 3.3. Estimated impact on revenue

LEGISLATIVE FINANCIAL STATEMENT

1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

1.1. Title of the proposal/initiative

Proposal for a Council Decision on the renewal of the Agreement for scientific and technological cooperation between the European Community and the Government of the Republic of India

1.2. Policy area(s) concerned in the ABM/ABB structure¹³

Policy strategy and coordination of, in particular, the Directorates-General RTD, AGRI, CLIMA, JRC, EAC, ENER, GROW, CNECT, MARE and MOVE.

1.3. Nature of the proposal/initiative

- ☐ The proposal/initiative relates to **a new action**
- ☐ The proposal/initiative relates to **a new action following a pilot project/preparatory action**
- ☒ The proposal/initiative relates to **the extension of an existing action**
- ☐ The proposal/initiative relates to **an action redirected towards a new action**

1.4. Objective(s)

1.4.1. The Commission's multiannual strategic objective(s) targeted by the proposal/initiative

The present initiative will allow both Parties to improve and intensify their cooperation in scientific and technological areas of common interest in line with the EU Strategy on India.

1.4.2. Specific objective(s) and ABM/ABB activity(ies) concerned

Specific objective No 4.1

It will allow a further exchange of specific knowledge and a transfer of know-how to the benefit of the scientific communities, industry and citizens. A useful forum will continue to be offered to assess past cooperation and determine future actions, including on topics making the cooperation effective such as Open access and Open Innovation principles.

ABM/ABB activity(ies) concerned

¹³ ABM: activity-based management; ABB: activity-based budgeting.

1.4.3. Expected result(s) and impact

Specify the effects which the proposal/initiative should have on the beneficiaries/groups targeted.

This decision will allow both the Union and India to derive mutual benefit from the scientific and technical progress achieved through research cooperation in their respective specific research programmes and facilitate further increased cooperation. It will allow the Union and India to jointly tackle global challenges, and allow the Union to contribute to the sustainable modernisation of India.

1.4.4. Indicators of results and impact

Specify the indicators for monitoring implementation of the proposal/initiative.

The Commission will regularly monitor all actions carried out under the Agreement, which will include a review by the Union. This review will cover, among others, the following points:

(a) cooperation indicators — analysis of the number and type of participation of Indian entities in EU funded programmes (e.g. number of proposals, number of signed grant agreements, main collaboration links, main thematic; generated output) and vice-versa (whenever the data is available);

(b) performance indicators — success rate of Indian entities participating in the EU framework programmes compared to other non-EU countries and to Member States/Associated countries to a Research Framework Programme; analysis of the quality of the participation (e.g. number of best ranked universities taking part on the programme, number of patents and publications coming from collaborative projects);

(c) data collection regarding cooperation activities and links that go beyond the respective research funding programmes as well as assessment of the impact of these activities, like participation in multilateral initiatives and working groups.

1.5. Grounds for the proposal/initiative

1.5.1. Requirement(s) to be met in the short or long term

This decision will allow the two Parties to continue to improve and intensify their cooperation in scientific and technological areas for mutual benefit.

1.5.2. Added value of EU involvement

The Agreement is based on the principles of mutual benefit, reciprocal access to programmes and activities of the other Party, in connection with the subject of the Agreement, non-discrimination, effective protection of intellectual property and equitable sharing of IPR and effective exploitation of results. The renewal of this Agreement will allow for increased scientific knowledge leading to market access opportunities.

1.5.3. Lessons learned from similar experiences in the past

Based on the experience so far in scientific and technological cooperation, it is considered mutually beneficial to continue research cooperation with India by renewing the Agreement for a further period of 5 years.

1.5.4. Compatibility and possible synergy with other appropriate instruments

The renewal of the Agreement with India is fully coherent with the overall policy framework on international cooperation in research and innovation (COM(2012)497).

1.6. Duration and financial impact

☒ Proposal/initiative of **limited duration**

– ☒ Proposal/initiative in effect from 17/05/2020 to 16/05/2025

– ☒ Financial impact from 17/05/2020 to 16/05/2025

☐ Proposal/initiative of **unlimited duration**

– Implementation with a start-up period from YYYY to YYYY,

– followed by full-scale operation.

1.7. Management mode(s) planned¹⁴

☒ **Direct management** by the Commission

– ☐ by its departments, including by its staff in the Union delegations;

– ☐ by the executive agencies

☐ **Shared management** with the Member States

☐ **Indirect management** by entrusting budget implementation tasks to:

– ☐ third countries or the bodies they have designated;

– ☐ international organisations and their agencies (to be specified);

– ☐ the EIB and the European Investment Fund;

– ☐ bodies referred to in Articles 208 and 209 of the Financial Regulation;

– ☐ public law bodies;

– ☐ bodies governed by private law with a public service mission to the extent that they provide adequate financial guarantees;

– ☐ bodies governed by the private law of a Member State that are entrusted with the implementation of a public-private partnership and that provide adequate financial guarantees;

– ☐ persons entrusted with the implementation of specific actions in the CFSP pursuant to Title V of the TEU, and identified in the relevant basic act.

– *If more than one management mode is indicated, please provide details in the 'Comments' section.*

Comments

¹⁴ Details of management modes and references to the Financial Regulation may be found on the BudgWeb site: http://www.cc.cec/budg/man/budgmanag/budgmanag_en.html

2. MANAGEMENT MEASURES

2.1. Monitoring and reporting rules

Specify frequency and conditions.

Participation of legal entities from India in the EU Framework Programme for Research and Innovation and other cooperative activities under the Agreement will be regularly followed through meetings of the Joint Committee established under Art. 6 (b) of the Agreement.

2.2. Management and control system

2.2.1. Risk(s) identified

Meetings and bilateral contacts take place on a regular basis allowing for the systematic sharing of information and control. No risks have been identified in the control system.

2.2.2. Information concerning the internal control system set up

N/A

2.2.3. Estimate of the costs and benefits of the controls and assessment of the expected level of risk of error

N/A

2.3. Measures to prevent fraud and irregularities

Specify existing or envisaged prevention and protection measures.

When the implementation of the Framework Programme calls for the use of external contractors or entails granting financial contribution to third parties, the Commission will carry out, where appropriate, financial audits, in particular if it has reason to doubt the realistic nature of work performed or described in the activity reports.

The Union's financial audits will be carried out either by its own staff or by accounting experts approved according to the law of the audited party. The Union will choose the latter freely, while avoiding any risks of conflicts of interest which might be indicated to it by the party subject to the audit. In addition, the Commission will make sure, in carrying out the research activities, that the financial interests of the Union are protected by effective checks and, where irregularities are detected, by deterrent and proportionate measures and penalties.

In order to achieve this aim, rules on checks, measures and penalties, with reference to Regulations No 2988/95, No 2185/96 and No 883/2013 will be incorporated in all contracts used in the implementation of the framework programme.

In particular, the following points will have to be provided for in the contracts:

- the insertion of specific clauses in the contracts to protect the financial interests of the EU in carrying out checks and controls in relation to the work performed;
- the carrying out of administrative checks as part of anti-fraud measures, in accordance with Regulations No 2185/96 and No 883/2013;

- the application of administrative penalties for all intentional or negligent irregularities in the implementation of the contracts, in accordance with the Framework Regulation No 2988/95, including a blacklisting mechanism;
- the fact that any recovery orders in the event of irregularities and fraud must be enforceable according to Article 299 of the Treaty on the Functioning of the European Union.

In addition and as a routine measure, a programme of checks on scientific and budgetary aspects of cooperation will be carried out by the staff responsible in the Directorate – General for Research and Innovation (DG RTD). An internal audit will be carried out by the Internal Audit unit of DG RTD, and local inspections will be carried out by the European Court of Auditors.

3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected

- Existing budget lines¹⁵

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Heading 1a – Competitiveness for growth and jobs	Diff./Non-diff. ¹⁶	from EFTA countries ¹⁷	from candidate countries ¹⁸	from third countries	within the meaning of Article 21(2)(b) of the Financial Regulation
1a	08.01.05.01	Non-diff.	YES	YES	NO	NO
1a	08.01.05.03	Non-diff.	YES	YES	NO	NO

- New budget lines requested

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Number [Heading..... ...]	Diff./Non-diff.	from EFTA countries	from candidate countries	from third countries	within the meaning of Article 21(2)(b) of the Financial Regulation
	[XX.YY.YY.YY]		YES/NO	YES/NO	YES/NO	YES/NO

¹⁵ Appropriations for the period 2021-2024 (Multiannual Financial Framework 2021-2027) and related legal basis are still to be adopted by the European Parliament and Council.

¹⁶ Diff. = Differentiated appropriations / Non-diff. = Non-differentiated appropriations.

¹⁷ EFTA: European Free Trade Association.

¹⁸ Candidate countries and, where applicable, potential candidate countries from the Western Balkans.

3.2. Estimated impact on expenditure

3.2.1. Summary of estimated impact on expenditure

EUR million (to three decimal places)

Heading of multiannual financial framework	1a	'Competitiveness for growth and jobs'
---------------------------------------------------	----	---------------------------------------

DG: RTD			Year 2020 ¹⁹	Year 2021	Year 2022	Year 2023	Year 2024	Year 2025	TOTAL
Appropriations of an administrative nature financed from the envelope of specific programmes ²⁰									
Number of budget line: 08.01.05.01	Commitments	(1)	0.040	0.060	0.060	0.060	0.060	0.020	0.300
	Payments	(2)	0.040	0.060	0.060	0.060	0.060	0.020	0.300
Number of budget line: 08.01.05.03		(3)	0.008	0.012	0.012	0.012	0.012	0.004	0.060
TOTAL appropriations for DG RTD	Commitments	=1+1a +3	0.048	0.072	0.072	0.072	0.072	0.024	0.360
	Payments	=2+2a +3	0.048	0.072	0.072	0.072	0.072	0.024	0.360

• TOTAL appropriations of an administrative nature financed from the envelope for specific programmes		(6)	0.048	0.072	0.072	0.072	0.072	0.024	0.360	
TOTAL appropriations		Commitments	=4+ 6	0.048	0.072	0.072	0.072	0.072	0.024	0.360

¹⁹ Year 2020 is the year in which implementation of the proposal/initiative starts. Amounts for 2020 and 2025 correspond to seven months and five months respectively.
²⁰ Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

under HEADING <1a> of the multiannual financial framework	Payments	=5+ 6	0.048	0.072	0.072	0.072	0.072	0.024	0.360
---------------------------------------------------------------------------	----------	-------	--------------	--------------	--------------	--------------	--------------	--------------	--------------

If more than one heading is affected by the proposal / initiative:

• TOTAL operational appropriations	Commitments	(4)							
	Payments	(5)							
• TOTAL appropriations of an administrative nature financed from the envelope for specific programmes		(6)							
TOTAL appropriations under HEADINGS 1 to 4 of the multiannual financial framework (Reference amount)	Commitments	=4+ 6							
	Payments	=5+ 6							

Heading of multiannual financial framework	5	‘Administrative expenditure’
---------------------------------------------------	----------	------------------------------

EUR million (to three decimal places)

		Year 2020	Year 2021	Year 2022	Year 2023	Year 2024	Year 2025	TOTAL
DG: RTD								
• Human resources								
• Other administrative expenditure								
TOTAL DG RTD	Appropriations							

TOTAL appropriations under HEADING 5 of the multiannual financial framework	(Total commitments = Total payments)							
------------------------------------------------------------------------------------	--------------------------------------	--	--	--	--	--	--	--

EUR million (to three decimal places)

		Year 2020 ²¹	Year 2021	Year 2022	Year 2023	Year 2024	Year 2025	TOTAL
TOTAL appropriations under HEADINGS 1 to 5 of the multiannual financial framework	Commitments	0.048	0.072	0.072	0.072	0.072	0.024	0.360
	Payments	0.048	0.072	0.072	0.072	0.072	0.024	0.360

²¹ Year 2020 is the year in which implementation of the proposal/initiative starts. Amounts for 2020 and 2025 correspond to seven months and five months respectively.

3.2.2. Estimated impact on operational appropriations

- ☒ The proposal/initiative does not require the use of operational appropriations

Commitment appropriations in EUR million (to three decimal places)

Indicate objectives and outputs ↓			Year N		Year N+1		Year N+2		Year N+3		Enter as many years as necessary to show the duration of the impact (see point 1.6)						TOTAL	
	OUTPUTS																	
	Type ²²	Average cost	No	Cost	No	Cost	No	Cost	No	Cost	No	Cost	No	Cost	No	Cost	Total No	Total cost
SPECIFIC OBJECTIVE No 1 ²³ ...																		
- Output																		
- Output																		
- Output																		
Subtotal for specific objective No 1																		
SPECIFIC OBJECTIVE No 2 ...																		
- Output																		
Subtotal for specific objective No 2																		
TOTAL COST																		

²² Outputs are products and services to be supplied (e.g.: number of student exchanges financed, number of km of roads built, etc.).

²³ As described in point 1.4.2. 'Specific objective(s)...'

3.2.3. Estimated impact on appropriations of an administrative nature

3.2.3.1. Summary

- ☐ The proposal/initiative does not require the use of appropriations of an administrative nature
- ☒ The proposal/initiative requires the use of appropriations of an administrative nature, as explained below:

EUR million (to three decimal places)

	Year 2020 ²⁴	Year 2021	Year 2022	Year 2023	Year 2024	Year 2025	TOTAL
--	----------------------------	--------------	--------------	--------------	--------------	--------------	-------

HEADING 5 of the multiannual financial framework							
Human resources							
Other administrative expenditure							
Subtotal HEADING 5 of the multiannual financial framework							

Outside HEADING 5²⁵ of the multiannual financial framework							
Human resources	0.040	0.060	0.060	0.060	0.060	0.020	0.300
Other expenditure of an administrative nature	0.008	0.012	0.012	0.012	0.012	0.004	0.060
Subtotal outside HEADING 5 of the multiannual financial framework	0.048	0.072	0.072	0.072	0.072	0.024	0.360

TOTAL	0.048	0.072	0.072	0.072	0.072	0.024	0.360
--------------	--------------	--------------	--------------	--------------	--------------	--------------	--------------

The appropriations required for human resources and other expenditure of an administrative nature will be met by appropriations from the DG that are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

²⁴ Year 2020 is the year in which implementation of the proposal/initiative starts. Amounts for 2020 and 2025 correspond to seven months and five months respectively.

²⁵ Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

3.2.3.2. Estimated requirements of human resources

- ☐ The proposal/initiative does not require the use of human resources.
- ☒ The proposal/initiative requires the use of human resources, as explained below:

Estimate to be expressed in full time equivalent units

	Year 2020	Year 2021	Year 2022	Year 2023	Year 2024	Year 2025
• Establishment plan posts (officials and temporary staff)						
XX 01 01 01 (Headquarters and Commission's Representation Offices)						
XX 01 01 02 (Delegations)						
08 01 05 01 (Indirect research)	0.3	0.5	0.5	0.5	0.5	0.2
10 01 05 01 (Direct research)						
• External staff (in Full Time Equivalent unit: FTE)²⁶						
XX 01 02 01 (AC, END, INT from the 'global envelope')						
XX 01 02 02 (AC, AL, END, INT and JED in the delegations)						
XX 01 04 yy²⁷	- at Headquarters					
	- in Delegations					
XX 01 05 02 (AC, END, INT – Indirect research)						
10 01 05 02 (AC, END, INT – Direct research)						
Other budget lines (specify)						
TOTAL	0.3	0.5	0.5	0.5	0.5	0.2

XX is the policy area or budget title concerned.

The human resources required will be met by staff from the DG who are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

Description of tasks to be carried out:

Officials and temporary staff	Preparation and management of meetings of the Joint Consultative Group established under Art. 6(b) of the Agreement, as well as follow-up of the functioning and implementation of the Agreement. Calculations are done proportionally considering the duration of the Agreement.
External staff	

²⁶ AC= Contract Staff; AL = Local Staff; END= Seconded National Expert; INT = agency staff; JED= Junior Experts in Delegations.

²⁷ Sub-ceiling for external staff covered by operational appropriations (former 'BA' lines).

3.2.4. *Compatibility with the current multiannual financial framework*

- ☒ The proposal/initiative is compatible the current multiannual financial framework.

Multiannual Financial Framework 2021-2027 is still to be adopted by the European Parliament and Council.

3.2.5. *Third-party contributions*

- ☒ The proposal/initiative does not provide for co-financing by third parties.

Appropriations in EUR million (to three decimal places)

	Year N	Year N+1	Year N+2	Year N+3	Enter as many years as necessary to show the duration of the impact (see point 1.6)			Total
Specify the co-financing body								
TOTAL appropriations co-financed								

3.3. **Estimated impact on revenue**

- ☒ The proposal/initiative has no financial impact on revenue.

EUR million (to three decimal places)

Budget revenue line:	Appropriations available for the current financial year	Impact of the proposal/initiative						
		Year N	Year N+1	Year N+2	Year N+3	Enter as many years as necessary to show the duration of the impact (see point 1.6)		
Article								

For miscellaneous ‘assigned’ revenue, specify the budget expenditure line(s) affected.

Specify the method for calculating the impact on revenue.