



Council of the
European Union

**Brussels, 12 December 2014
(OR. en)**

**16741/14
ADD 1**

BUDGET 38

EXPLANATORY MEMORANDUM

Subject: Draft amending budget No 4 to the general budget for 2014: Council
position of 12 December 2014
- Technical annex

DRAFT AMENDING BUDGET No. 4/2014

VOLUME 1 - TOTAL REVENUE

A. INTRODUCTION AND FINANCING OF THE GENERAL BUDGET

FINANCING OF THE GENERAL BUDGET

**1. Appropriations to be covered during the financial year 2014 pursuant to Article 1 of
Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European
Communities' own resources**

EXPENDITURE

Description	Budget 2014 ¹	Budget 2013 ²	Change (%)
1. Smart and inclusive growth	65 300 076 773	69 127 255 205	– 5,45
2. Sustainable growth: natural resources	56 443 752 595	57 814 298 094	– 2,37
3. Security and citizenship	1 665 510 850	1 894 151 766	– 12,07
4. Global Europe	6 840 903 616	6 731 869 945	+ 1,62
5. Administration	8 405 389 881	8 417 791 740	– 0,15
6. Compensation	28 600 000	75 000 000	– 61,87
Special instruments	350 000 000	390 465 192	– 10,36
Total expenditure ³	139 034 233 715	144 450 831 942	– 3,75

2. REVENUE

Description	Budget 2014 ⁴	Budget 2013 ⁵	Change (%)
Miscellaneous revenue (Titles 4 to 9)	5 545 428 277	3 067 967 007	+ 80,75
Surplus available from the preceding financial year (Chapter 3 0, Article 3 0 0)	p.m.	1 023 276 526	– 100
Surplus of own resources resulting from the repayment of the surplus of the Guarantee Fund for external actions (Chapter 3 0, Article 3 0 2)	p.m.	34 000 000	—
Balance of own resources accruing from VAT and GNP/GNI-based own resources for earlier years (Chapters 3 1 and 3 2)	p.m.	p.m.	—
Total revenue for Titles 3 to 9	5 545 428 277	4 125 243 533	+ 34,43
Net amount of customs duties and sugar levies (Chapters 1 1 and 1 2)	15 664 600 000	14 822 700 000	+ 5,68
VAT-based own resource at the uniform rate (Tables 1 and 2, Chapter 1 3)	17 689 735 350	14 680 052 250	+ 20,50
Remainder to be financed by the additional resource (GNI-based own resource, Table 3, Chapter 1 4)	100 134 470 088	110 822 836 159	– 9,64

¹ The figures in this column correspond to those in the DAB No 4/2014.

² The figures in this column correspond to those in the 2013 budget (OJ L 66, 8.3.2013, p. 1) plus amending budget No 1 to No 9/2013.

³ The third subparagraph of Article 310(1) of the Treaty on the Functioning of the European Union reads: 'The revenue and expenditure shown in the budget shall be in balance'.

⁴ The figures in this column correspond to those in the DAB No 4/2014.

⁵ The figures in this column correspond to those in the 2013 budget (OJ L 66, 8.3.2013, p. 1) plus amending budget No 1 to No 9/2013.

Appropriations to be covered by the own resources referred to in Article 2 of Decision 2007/436/EC, Euratom ⁶	133 488 805 438	140 325 588 409	– 4,87
Total revenue ⁷	139 034 233 715	144 450 831 942	– 3.75

3. TABLE 1

Calculation of capping of harmonised value added tax (VAT) bases pursuant to Article 2(1)(b) of Decision 2007/436/EC, Euratom

Member State	1 % of non-capped VAT base	1 % of gross national income	Capping rate (in %)	1 % of gross national income multiplied by capping rate	1 % of capped VAT base ⁸	Member States whose VAT base is capped
	(1)	(2)	(3)	(4)	(5)	(6)
Belgium	1 665 407 000	3 925 460 000	50	1 962 730 000	1 665 407 000	
Bulgaria	193 091 000	399 471 000	50	199 735 500	193 091 000	
Czech Republic	596 638 000	1 357 846 000	50	678 923 000	596 638 000	
Denmark	951 296 000	2 654 016 000	50	1 327 008 000	951 296 000	
Germany	12 418 967 000	29 002 636 000	50	14 501 318 000	12 418 967 000	
Estonia	87 147 000	186 046 000	50	93 023 000	87 147 000	
Ireland	655 428 000	1 434 183 000	50	717 091 500	655 428 000	
Greece	709 312 000	1 798 621 000	50	899 310 500	709 312 000	
Spain	4 756 829 000	10 283 204 000	50	5 141 602 000	4 756 829 000	
France	9 731 337 000	21 411 597 000	50	10 705 798 500	9 731 337 000	
Croatia	263 049 000	422 319 000	50	211 159 500	211 159 500	Croatia
Italy	6 042 837 000	15 763 695 000	50	7 881 847 500	6 042 837 000	
Cyprus	105 170 000	153 422 000	50	76 711 000	76 711 000	Cyprus
Latvia	88 567 000	246 154 000	50	123 077 000	88 567 000	
Lithuania	138 416 000	349 250 000	50	174 625 000	138 416 000	
Luxembourg	268 280 000	325 038 000	50	162 519 000	162 519 000	Luxembourg
Hungary	401 698 000	979 528 000	50	489 764 000	401 698 000	
Malta	51 049 000	70 431 000	50	35 215 500	35 215 500	Malta
Netherlands	2 743 653 000	6 249 242 000	50	3 124 621 000	2 743 653 000	
Austria	1 499 731 000	3 217 349 000	50	1 608 674 500	1 499 731 000	
Poland	1 750 837 000	3 931 784 000	50	1 965 892 000	1 750 837 000	
Portugal	767 138 000	1 655 525 000	50	827 762 500	767 138 000	
Romania	528 406 000	1 444 740 000	50	722 370 000	528 406 000	
Slovenia	177 308 000	352 303 000	50	176 151 500	176 151 500	Slovenia
Slovakia	230 006 000	725 821 000	50	362 910 500	230 006 000	
Finland	928 440 000	1 983 150 000	50	991 575 000	928 440 000	
Sweden	1 889 310 000	4 380 034 000	50	2 190 017 000	1 889 310 000	
United Kingdom	9 529 537 000	20 226 302 000	50	10 113 151 000	9 529 537 000	
Total	59 168 884 000	134 929 167 000		67 464 583 500	58 965 784 500	

⁶The own resources for the 2014 budget are determined on the basis of the budget forecasts adopted at the 160th meeting of the Advisory Committee on Own Resources on 19 May 2014.

⁷The third subparagraph of Article 310(1) of the Treaty on the Functioning of the European Union reads: ‘The revenue and expenditure shown in the budget shall be in balance’.

⁸ The base to be used does not exceed 50 % of GNI.

4. TABLE 2

Breakdown of own resources accruing from VAT pursuant to Article 2(1)(b) of Decision 2007/436/EC, Euratom (Chapter 1 3)

Member State	1 % of capped VAT base	Uniform rate of VAT own resource (in %)	VAT-based own resource at uniform rate
	(1)	(2)	(3) = (1) × (2)
Belgium	1 665 407 000	0,300	499 622 100
Bulgaria	193 091 000	0,300	57 927 300
Czech Republic	596 638 000	0,300	178 991 400
Denmark	951 296 000	0,300	285 388 800
Germany	12 418 967 000	0,300	3 725 690 100
Estonia	87 147 000	0,300	26 144 100
Ireland	655 428 000	0,300	196 628 400
Greece	709 312 000	0,300	212 793 600
Spain	4 756 829 000	0,300	1 427 048 700
France	9 731 337 000	0,300	2 919 401 100
Croatia	211 159 500	0,300	63 347 850
Italy	6 042 837 000	0,300	1 812 851 100
Cyprus	76 711 000	0,300	23 013 300
Latvia	88 567 000	0,300	26 570 100
Lithuania	138 416 000	0,300	41 524 800
Luxembourg	162 519 000	0,300	48 755 700
Hungary	401 698 000	0,300	120 509 400
Malta	35 215 500	0,300	10 564 650
Netherlands	2 743 653 000	0,300	823 095 900
Austria	1 499 731 000	0,300	449 919 300
Poland	1 750 837 000	0,300	525 251 100
Portugal	767 138 000	0,300	230 141 400
Romania	528 406 000	0,300	158 521 800
Slovenia	176 151 500	0,300	52 845 450
Slovakia	230 006 000	0,300	69 001 800
Finland	928 440 000	0,300	278 532 000
Sweden	1 889 310 000	0,300	566 793 000
United Kingdom	9 529 537 000	0,300	2 858 861 100
Total	58 965 784 500		17 689 735 350

5. TABLE 3

Determination of uniform rate and breakdown of resources based on gross national income pursuant to Article 2(1)(c) of Decision 2007/436/EC, Euratom (Chapter 1 4)

Member State	1 % of gross national income	Uniform rate of 'additional base', own resource	'Additional base' own resource at uniform rate
	(1)	(2)	(3) = (1) × (2)
Belgium	3 925 460 000		2 913 186 716
Bulgaria	399 471 000		296 457 896
Czech Republic	1 357 846 000		1 007 693 093
Denmark	2 654 016 000		1 969 614 811
Germany	29 002 636 000		21 523 616 071
Estonia	186 046 000		138 069 611
Ireland	1 434 183 000		1 064 344 781
Greece	1 798 621 000		1 334 803 769
Spain	10 283 204 000		7 631 435 117

Member State	1 % of gross national income	Uniform rate of 'additional base', own resource	'Additional base' own resource at uniform rate
France	21 411 597 000	0,7421262 ⁹	15 890 107 135
Croatia	422 319 000		313 413 995
Italy	15 763 695 000		11 698 651 082
Cyprus	153 422 000		113 858 486
Latvia	246 154 000		182 677 333
Lithuania	349 250 000		259 187 576
Luxembourg	325 038 000		241 219 216
Hungary	979 528 000		726 933 393
Malta	70 431 000		52 268 690
Netherlands	6 249 242 000		4 637 726 224
Austria	3 217 349 000		2 387 678 990
Poland	3 931 784 000		2 917 879 922
Portugal	1 655 525 000		1 228 608 479
Romania	1 444 740 000		1 072 179 407
Slovenia	352 303 000		261 453 287
Slovakia	725 821 000		538 650 781
Finland	1 983 150 000		1 471 747 575
Sweden	4 380 034 000		3 250 537 992
United Kingdom	20 226 302 000		15 010 468 660
Total	134 929 167 000		100 134 470 088

6. TABLE 4.1

Correction of budgetary imbalances for the United Kingdom for the year 2013 pursuant to Article 4 of Decision 2007/436/EC, Euratom (Chapter 1 5)

Description	Coefficient ¹⁰ (%)	Amount
1. United Kingdom's share (in %) of notional uncapped VAT base	15,5861	
2. United Kingdom's share (in %) of enlargement-adjusted total allocated expenditure	6,1166	
3. (1) – (2)	9,4694	
4. Total allocated expenditure		134 675 970 767
5 Enlargement related expenditure ¹¹		31 337 201 043
6. Enlargement-adjusted total allocated expenditure = (4) – (5)		103 338 769 725
7. United Kingdom's correction original amount = (3) × (6) × 0,66		6 458 490 768
8. United Kingdom's advantage ¹²		883 513 735
9. Core United Kingdom's correction = (7) – (8)		5 574 977 032
10. Windfall gains deriving from traditional own resources ¹³		32 794 702

⁹Calculation of rate: $(100\,134\,470\,088) / (134\,929\,167\,000) = 0,7421262008384$

¹⁰ Rounded percentages.

¹¹The amount of enlargement-related expenditure corresponds to total allocated expenditure in the ten Member States which joined the Union on 1 May 2004 and the two Member States which joined the Union on 1 January 2007, except for agricultural direct payments and market-related expenditure as well as that part of rural development expenditure originating from the EAGGF, Guarantee Section. This amount is deducted from total allocated expenditure to ensure that expenditure which is unabated before enlargement remains so after enlargement.

¹² The 'UK advantage' corresponds to the effects arising for the United Kingdom from the changeover to capped VAT and the introduction of the GNP/GNI-based own resource.

Description	Coefficient ¹⁰ (%)	Amount
11. Correction for the United Kingdom = (9) – (10)		5 542 182 331

7. TABLE 4.2

Correction of budgetary imbalances for the United Kingdom for the year 2011 pursuant to Article 4 of Decision 2007/436/EC,
Euratom (Chapter 3 6)

Description	Coefficient ¹⁴ (%)	Amount
1. United Kingdom's share (in %) of notional uncapped VAT base	14,9811	
2. United Kingdom's share (in %) of enlargement-adjusted total allocated expenditure	7,3021	
3. (1) – (2)	7,6790	
4. Total allocated expenditure		116 702 674 481
5. Enlargement related expenditure ¹⁵		26 831 341 733
5a.Pre-accession expenditure		3 040 714 610
5b.Expenditure related to Art 4(1)(g)		23 790 627 123
6. Enlargement-adjusted total allocated expenditure = (4) – (5)		89 871 332 749
7. United Kingdom's correction original amount = (3) × (6) × 0,66		4 554 788 119
8. United Kingdom's advantage ¹⁶		358 708 861
9. Core United Kingdom's correction = (7) – (8)		4 196 079 257
10. Windfall gains deriving from traditional own resources ¹⁷		7 667 508
11. Correction for the United Kingdom ¹⁸ = (9) – (10)		4 188 411 749

¹³ These windfall gains correspond to the net gains of the United Kingdom resulting from the increase — from 10 to 25 % as of 1 January 2001 — in the percentage of traditional own resources retained by Member States to cover the collection costs of traditional own resources (TOR).

¹⁴ Rounded percentages.

¹⁵ The amount of enlargement-related expenditure corresponds to: (i) payments made to the 10 new Member States (which joined the EU on 01.05.2004) under 2003 appropriations, as adjusted by applying the EU GDP deflator for years 2004-2010, as well as payments made to Bulgaria and Romania under 2006 appropriations, as adjusted by applying the EU GDP deflator for years 2007-2010 (5a); and (ii) total allocated expenditure in those Member States, except for agricultural direct payments and market-related expenditure as well as that part of rural development expenditure originating from the EAGGF, Guarantee Section (5b). This amount is deducted from total allocated expenditure to ensure that expenditure which is unabated before enlargement remains so after enlargement.

¹⁶ The 'UK advantage' corresponds to the effects arising for the United Kingdom from the changeover to capped VAT and the introduction of the GNP/GNI-based own resource.

¹⁷ These windfall gains correspond to the net gains of the United Kingdom resulting from the increase — from 10 to 25 % as of 1 January 2001 — in the percentage of traditional own resources retained by Member States to cover the collection costs of traditional own resources (TOR).

¹⁸ Note: The difference of € -213 410 778 between the provisional amount of the 2011 UK correction (€ 4 188 411 749, as calculated above) and the previously budgeted amount of the 2011 UK correction (€ 3 975 000 971, entered in the AB 4/2012) is financed in chapter 36 of the AB 4/2014.

8. TABLE 4.3

Correction of budgetary imbalances for the United Kingdom for the year 2010 pursuant to Article 4 of Decision 2007/436/EC,
Euratom (Chapter 3 5)

Description	Coefficient ¹⁹ (%)	Amount
1. United Kingdom's share (in %) of notional uncapped VAT base	15,4336	
2. United Kingdom's share (in %) of enlargement-adjusted total allocated expenditure	7,7118	
3. (1) – (2)	7,7218	
4. Total allocated expenditure		111 424 575 479
5. Enlargement related expenditure ²⁰		23 861 206 535
5a.Pre-accession expenditure		2 970 699 609
5b.Expenditure related to Art 4(1)(g)		20 890 506 926
6. Enlargement-adjusted total allocated expenditure = (4) – (5)		87 563 368 944
7. United Kingdom's correction original amount = (3) × (6) × 0,66		4 462 554 636
8. United Kingdom's advantage ²¹		703 660 977
9. Core United Kingdom's correction = (7) – (8)		3 758 893 659
10. Windfall gains deriving from traditional own resources ²²		19 348 038
11. Correction for the United Kingdom ²³ = (9) – (10)		3 739 545 621

9. TABLE 5.1

Calculation of the financing of the correction for the United Kingdom amounting to EUR – 5 542 182 331 (Chapter 1 5)

Member State	Percentage share of GNI base	Shares without the United Kingdom	Shares without Germany, the Netherlands, Austria, Sweden and the United Kingdom	Three quarters of the share of Germany, the Netherlands, Austria and Sweden in column 2	Column 4 distributed in accordance with column 3	Financing scale	Financing scale applied to the correction
	(1)	(2)	(3)	(4)	(5)	(6) = (2) + (4) + (5)	(7)

¹⁹ Rounded percentages.

²⁰ The amount of enlargement-related expenditure corresponds to: (i) payments made to the 10 new Member States (which joined the EU on 01.05.2004) under 2003 appropriations, as adjusted by applying the EU GDP deflator for years 2004-2009, as well as payments made to Bulgaria and Romania under 2006 appropriations, as adjusted by applying the EU GDP deflator for years 2007-2009 (5a); and (ii) total allocated expenditure in those Member States, except for agricultural direct payments and market-related expenditure as well as that part of rural development expenditure originating from the EAGGF, Guarantee Section (5b). This amount is deducted from total allocated expenditure to ensure that expenditure which is unabated before enlargement remains so after enlargement.

²¹ The 'UK advantage' corresponds to the effects arising for the United Kingdom from the changeover to capped VAT and the introduction of the GNP/GNI-based own resource.

²² These windfall gains correspond to the net gains of the United Kingdom resulting from the increase — from 10 to 25 % as of 1 January 2001 — in the percentage of traditional own resources retained by Member States to cover the collection costs of traditional own resources (TOR).

²³ Note: The difference of € -109 003 534 between the definitive amount of the 2010 UK correction (€ 3 739 545 621, as calculated above) and the previously budgeted amount of the 2010 UK correction (€ 3 630 542 087, entered in the AB 4/2012) is financed in chapter 35 of the AB 4/2014.

Member State	Percentage share of GNI base	Shares without the United Kingdom	Shares without Germany, the Netherlands, Austria, Sweden and the United Kingdom	Three quarters of the share of Germany, the Netherlands, Austria and Sweden in column 2	Column 4 distributed in accordance with column 3	Financing scale	Financing scale applied to the correction
Belgium	2,91	3,42	5,46		1,53	4,95	274 500 044
Bulgaria	0,30	0,35	0,56		0,16	0,50	27 934 257
Czech Republic	1,01	1,18	1,89		0,53	1,71	94 951 620
Denmark	1,97	2,31	3,69		1,03	3,35	185 590 353
Germany	21,49	25,29	0,00	-18,96	0,00	6,32	350 335 402
Estonia	0,14	0,16	0,26		0,07	0,23	13 009 847
Ireland	1,06	1,25	2,00		0,56	1,81	100 289 723
Greece	1,33	1,57	2,50		0,70	2,27	125 774 188
Spain	7,62	8,97	14,31		4,01	12,97	719 085 138
France	15,87	18,67	29,80		8,35	27,02	1 497 272 756
Croatia	0,31	0,37	0,59		0,16	0,53	29 531 974
Italy	11,68	13,74	21,94		6,15	19,89	1 102 325 579
Cyprus	0,11	0,13	0,21		0,06	0,19	10 728 512
Latvia	0,18	0,21	0,34		0,10	0,31	17 213 087
Lithuania	0,26	0,30	0,49		0,14	0,44	24 422 396
Luxembourg	0,24	0,28	0,45		0,13	0,41	22 729 297
Hungary	0,73	0,85	1,36		0,38	1,24	68 496 553
Malta	0,05	0,06	0,10		0,03	0,09	4 925 108
Netherlands	4,63	5,45	0,00	-4,09	0,00	1,36	75 487 301
Austria	2,38	2,80	0,00	-2,10	0,00	0,70	38 863 752
Poland	2,91	3,43	5,47		1,53	4,96	274 942 269
Portugal	1,23	1,44	2,30		0,65	2,09	115 767 753
Romania	1,07	1,26	2,01		0,56	1,82	101 027 954
Slovenia	0,26	0,31	0,49		0,14	0,44	24 635 887
Slovakia	0,54	0,63	1,01		0,28	0,92	50 755 299
Finland	1,47	1,73	2,76		0,77	2,50	138 677 954
Sweden	3,25	3,82	0,00	-2,86	0,00	0,95	52 908 328
United Kingdom	14,99	0,00	0,00		0,00	0,00	0
Total	100,00	100,00	100,00	-28,02	28,02	100,00	5 542 182 331

The calculations are made to 15 decimal places.

10. TABLE 5.2

Intermediate update of the financing of the 2011 UK correction (chapter 36)

Member State	Amount
	(1)
Belgium	8 101 453
Bulgaria	1 375 381
Czech Republic	5 056 538
Denmark	7 280 734
Germany	18 309 269
Estonia	885 630
Ireland	8 409 370
Greece	3 438 553

Member State	Amount
Spain	21 543 140
France	58 179 865
Croatia	—
Italy	37 543 615
Cyprus	479 335
Latvia	1 333 866
Lithuania	1 324 873
Luxembourg	- 29 470
Hungary	4 872 613
Malta	438 532
Netherlands	2 529 744
Austria	1 155 028
Poland	17 881 528
Portugal	5 178 017
Romania	305 779
Slovenia	1 156 634
Slovakia	1 786 552
Finland	1 891 154
Sweden	2 983 045
United Kingdom	- 213 410 778
Total	0

11. TABLE 5.3

Financing of the definitive 2010 UK correction (chapter 35)

Member State	Amount
	(1)
Belgium	4 520 547
Bulgaria	562 835
Czech Republic	2 556 272
Denmark	3 345 263
Germany	10 941 079
Estonia	334 638
Ireland	5 207 662
Greece	452 777
Spain	5 161 577
France	36 713 295
Croatia	—
Italy	25 185 874
Cyprus	919 896
Latvia	377 190
Lithuania	527 852
Luxembourg	- 467 949
Hungary	925 341
Malta	320 963
Netherlands	1 088 457
Austria	439 387
Poland	4 287 709
Portugal	2 496 000
Romania	- 392 307

Member State	Amount
Slovenia	896 466
Slovakia	913 354
Finland	822 308
Sweden	867 048
United Kingdom	- 109 003 534
Total	0

12. TABLE 6

Summary of financing²⁴ of the general budget by type of own resource and by Member State

Member State	Traditional own resources (TOR)				VAT and GNI-based own resources, including adjustments					Total own resources ²⁵
	Net sugar sector levies (75 %)	Net customs duties (75 %)	Total net traditional own resources (75 %)	Collection costs (25 % of gross TOR) (p.m.)	VAT-based own resource	GNI-based own resource	United Kingdom correction	Total 'national contributions'	Share in total 'national contributions' (%)	
	(1)	(2)	(3) = (1) + (2)	(4)	(5)	(6)	(7)	(8) = (5) + (6) + (7)	(9)	(10) = (3) + (8)
Belgium	- 7 008 187	1 400 600	1 393 591	464 530 605	499 622 100	2 913 186		3 699 930	3,14	5 093 522
		000	813			716	287 122 045	861		674
Bulgaria	400 000	58 100 000	58 500 000	19 500 000	57 927 300	296 457 896	29 872 473	384 257 669	0,33	442 757 669
Czech Republic	2 719 317	163 100 000	165 819 317	55 273 106	178 991 400	1 007 693		1 289 248	1,09	1 455 068
						093	102 564 430	923		240
Denmark	- 5 037 845	302 300 000	297 262 155	99 087 385	285 388 800	1 969 614		2 451 219	2,08	2 748 482
						811	196 216 349	960		115
Germany	- 44 722 930	3 313 600	3 268 877	1 089 625	3 725 690	21 523 616		25 628 891	21,75	28 897 768
		000	070	686	100	071	379 585 752	923		993
Estonia	0	22 700 000	22 700 000	7 566 667	26 144 100	138 069 611	14 230 114	178 443 825	0,15	201 143 825
Ireland	- 1 628 671	229 500 000	227 871 329	75 957 110	196 628 400	1 064 344		1 374 879	1,17	1 602 751
						781	113 906 755	936		265
Greece	492 476	109 000 000	109 492 476	36 497 492	212 793 600	1 334 803		1 677 262	1,42	1 786 755
						769	129 665 518	887		363
Spain	748 762	1 017 100	1 017 848	339 282 921	1 427 048	7 631 435		9 804 273	8,32	10 822 122
		000	762		700	117	745 789 855	672		434
France	- 35 571 563	1 417 600	1 382 028	460 676 146	2 919 401	15 890 107	1 592 165	20 401 674	17,32	21 783 702
		000	437		100	135	916	151		588
Croatia	1 700 000	34 800 000	36 500 000	12 166 667	63 347 850	313 413 995	29 531 974	406 293 819	0,34	442 793 819
Italy	- 733 959	1 398 000	1 397 266	465 755 347	1 812 851	11 698 651	1 165 055	14 676 557	12,46	16 073 823
		000	041		100	082	067	249		290
Cyprus	0	15 200 000	15 200 000	5 066 667	23 013 300	113 858 486	12 127 743	148 999 529	0,13	164 199 529
Latvia	- 37 322	21 600 000	21 562 678	7 187 559	26 570 100	182 677 333	18 924 143	228 171 576	0,19	249 734 254
Lithuania	747 545	53 300 000	54 047 545	18 015 849	41 524 800	259 187 576	26 275 121	326 987 497	0,28	381 035 042
Luxembourg	0	11 800 000	11 800 000	3 933 333	48 755 700	241 219 216	22 231 878	312 206 794	0,26	324 006 794
Hungary	1 556 690	87 400 000	88 956 690	29 652 230	120 509 400	726 933 393		921 737 300	0,78	1 010 693
							74 294 507			990

²⁴ p.m. (own resources + other revenue = total revenue = total expenditure); (133 488 805 438 + 5 545 428 277 = 139 034 233 715 = 139 034 233 715).

²⁵ Total own resources as percentage of GNI: (133 488 805 438) / (13 492 916 700 000) = 0,99 %; own resources ceiling as percentage of GNI: 1,23 %.

Malta	0	9 100 000	9 100 000	3 033 333	10 564 650	52 268 690	5 684 602	68 517 942	0,06	77 617 942
Netherlands	- 8 805 676	1 919 800 000	1 910 994 324	636 998 108	823 095 900	4 637 726 224	79 105 502	5 539 927 626	4,70	7 450 921 950
Austria	- 3 287 560	168 800 000	165 512 440	55 170 814	449 919 300	2 387 678 990	40 458 167	2 878 056 457	2,44	3 043 568 897
Poland	8 893 006	372 100 000	380 993 006	126 997 669	525 251 100	2 917 879 922	297 111 506	3 740 242 528	3,17	4 121 235 534
Portugal	- 451 346	121 900 000	121 448 654	40 482 885	230 141 400	1 228 608 479	123 441 770	1 582 191 649	1,34	1 703 640 303
Romania	900 000	103 700 000	104 600 000	34 866 667	158 521 800	1 072 179 407	100 941 426	1 331 642 633	1,13	1 436 242 633
Slovenia	- 4 160	58 700 000	58 695 840	19 565 280	52 845 450	261 453 287	26 688 987	340 987 724	0,29	399 683 564
Slovakia	532 249	87 300 000	87 832 249	29 277 416	69 001 800	538 650 781	53 455 205	661 107 786	0,56	748 940 035
Finland	- 507 994	118 400 000	117 892 006	39 297 335	278 532 000	1 471 747 575	141 391 417	1 891 670 992	1,61	2 009 562 998
Sweden	- 409 989	447 700 000	447 290 011	149 096 670	566 793 000	3 250 537 992	56 758 421	3 874 089 413	3,29	4 321 379 424
United Kingdom	17 157	2 690 900 000	2 690 917 157	896 972 386	2 858 861 100	15 010 468 660	-5 864 596 643	12 004 733 117	10,19	14 695 650 274
Total	- 89 500 000	15 754 100 000	15 664 600 000	5 221 533 333	17 689 735 350	100 134 470 088		117 824 205 438	100,00	133 488 805 438

B. GENERAL STATEMENT OF REVENUE BY BUDGET HEADING

REVENUE —

Figures

Title	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
1	OWN RESOURCES	135 921 805 438	-2 433 000 000	133 488 805 438
3	SURPLUSES, BALANCES AND ADJUSTMENTS	p.m.		0
4	REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES	1 274 999 230		1 274 999 230
5	REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS	53 752 047		53 752 047
6	CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES	60 000 000		60 000 000
7	INTEREST ON LATE PAYMENTS AND FINES	1 540 000 000	2 433 000 000	3 973 000 000
8	BORROWING AND LENDING OPERATIONS	153 477 000		153 477 000
9	MISCELLANEOUS REVENUE	30 200 000		30 200 000
	Total	139 034 233 715		139 034 233 715

TITLE 1 — OWN RESOURCES

Figures

Title Chapter	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
1 1	LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(a) OF DECISION 2007/436/EC, EURATOM)	125 100 000	-214 600 000	-89 500 000
1 2	CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN ARTICLE 2(1)(a) OF DECISION 2007/436/EC, EURATOM	16 185 600 000	-431 500 000	15 754 100 000
1 3	OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO ARTICLE 2(1)(b) OF DECISION 2007/436/EC, EURATOM	17 882 179 650	-192 444 300	17 689 735 350
1 4	OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO ARTICLE 2(1)(c) OF DECISION 2007/436/EC, EURATOM	101 728 925 788	-1 594 455 700	100 134 470 088
1 5	CORRECTION OF BUDGETARY IMBALANCES	0		0
1 6	GROSS REDUCTION IN THE ANNUAL GNI-BASED CONTRIBUTION GRANTED TO THE NETHERLANDS AND SWEDEN	p.m.		p.m.
	Title 1 — Total	135 921 805 438	-2 433 000 000	133 488 805 438

CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM)

Figures

Title Chapter Article Item	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
1 1	LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(a) OF DECISION 2007/436/EC, EURATOM)			
1 1 0	<i>Production levies related to the marketing year 2005/2006 and previous years</i>	p.m.	-214 000 000	-214 000 000
1 1 1	<i>Sugar storage levies</i>	p.m.		p.m.
1 1 3	<i>Charges levied on non-exported C sugar, C isoglucose and C inulin syrup production, and on substituted C sugar and C isoglucose</i>	p.m.		p.m.
1 1 7	<i>Production charge</i>	125 100 000	-600 000	124 500 000
1 1 8	<i>One-off amounts on additional sugar quotas and supplementary isoglucose quotas</i>	p.m.		p.m.
1 1 9	<i>Surplus amount</i>	p.m.		p.m.
Chapter 1 1 — Total		125 100 000	-214 600 000	-89 500 000

Article 1 1 0 — Production levies related to the marketing year 2005/2006 and previous years

Figures

Budget 2014	Draft amending budget No. 4/2014	New amount
p.m.	-214 000 000	-214 000 000

Remarks

The common organisation of the market in the sugar sector provided that sugar, isoglucose and inulin syrup producers had to pay basic and B production levies. These levies were intended to cover market support expenditure. At present amounts entered under this article are a consequence of the revision of past established levies. Levies for the marketing years 2007/2008 and following years are entered under Article 1 1 7 of this chapter as a ‘production charge’.

Figures are net of collection costs.

Legal basis

Council Regulation (EC) No 1260/2001 of 19 June 2001 on the common organisation of the markets in the sugar sector (OJ L 178, 30.6.2001, p. 1).

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities’ own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(a) thereof.

Member State	Budget 2014	Draft amending budget No. 4/2014	New amount
Belgium	p.m.	- 13 608 187	- 13 608 187
Bulgaria	—	—	—
Czech Republic	p.m.	- 680 683	- 680 683
Denmark	p.m.	- 8 437 845	- 8 437 845
Germany	p.m.	- 71 022 930	- 71 022 930
Estonia	—	—	—

Member State	Budget 2014	Draft amending budget No. 4/2014	New amount
Ireland	p.m.	- 1 628 671	- 1 628 671
Greece	p.m.	- 907 524	- 907 524
Spain	p.m.	- 3 951 238	- 3 951 238
France	p.m.	- 66 471 563	- 66 471 563
Croatia	—	—	—
Italy	p.m.	- 5 433 959	- 5 433 959
Cyprus	—	—	—
Latvia	p.m.	- 37 322	- 37 322
Lithuania	p.m.	- 52 455	- 52 455
Luxembourg	—	—	—
Hungary	p.m.	- 343 310	- 343 310
Malta	—	—	—
Netherlands	p.m.	- 16 005 676	- 16 005 676
Austria	p.m.	- 6 487 560	- 6 487 560
Poland	p.m.	- 3 906 994	- 3 906 994
Portugal	p.m.	- 551 346	- 551 346
Romania	—	—	—
Slovenia	p.m.	- 4 160	- 4 160
Slovakia	p.m.	- 767 751	- 767 751
Finland	p.m.	- 1 207 994	- 1 207 994
Sweden	p.m.	- 3 009 989	- 3 009 989
United Kingdom	p.m.	- 9 482 843	- 9 482 843
Article 110 — Total	p.m.	- 214 000 000	- 214 000 000

Article 117 — Production charge

Figures

Budget 2014	Draft amending budget No. 4/2014	New amount
125 100 000	-600 000	124 500 000

Remarks

Under the actual common organisation of the markets in the sugar sector, a production charge is levied on undertakings producing sugar, isoglucose or inulin syrup.

Figures are net of collection costs.

Legal basis

Council Regulation (EC) No 318/2006 of 20 February 2006 on the common organisation of the markets in the sugar sector (OJ L 58, 28.2.2006, p. 1), and in particular Article 16 thereof.

Commission Regulation (EC) No 952/2006 of 29 June 2006 laying down detailed rules for the application of Council Regulation (EC) No 318/2006 as regards the management of the Community market in sugar and the quota system (OJ L 178, 1.7.2006, p. 39).

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(a) thereof.

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1), and in particular Article 51 thereof.

Member State	Budget 2014	Draft amending budget No. 4/2014	New amount
Belgium	6 600 000	—	6 600 000
Bulgaria	400 000	—	400 000
Czech Republic	3 400 000	—	3 400 000
Denmark	3 400 000	—	3 400 000
Germany	26 300 000	—	26 300 000
Estonia	—	—	—
Ireland	p.m.	—	p.m.
Greece	1 400 000	—	1 400 000
Spain	4 700 000	—	4 700 000
France	30 900 000	—	30 900 000
Croatia	1 700 000	—	1 700 000
Italy	4 700 000	—	4 700 000
Cyprus	—	—	—
Latvia	p.m.	—	p.m.
Lithuania	800 000	—	800 000
Luxembourg	—	—	—
Hungary	2 000 000	- 100 000	1 900 000
Malta	—	—	—
Netherlands	7 300 000	- 100 000	7 200 000
Austria	3 200 000	—	3 200 000
Poland	12 800 000	—	12 800 000
Portugal	200 000	- 100 000	100 000
Romania	1 000 000	- 100 000	900 000
Slovenia	p.m.	—	p.m.
Slovakia	1 400 000	- 100 000	1 300 000
Finland	800 000	- 100 000	700 000
Sweden	2 600 000	—	2 600 000
United Kingdom	9 500 000	—	9 500 000
Article 1 1 7 — Total	125 100 000	- 600 000	124 500 000

CHAPTER 1 2 — CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM

Figures

Title Chapter Article Item	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
1 2	CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN ARTICLE 2(1)(a) OF DECISION 2007/436/EC, EURATOM			
1 2 0	<i>Customs duties and other duties referred to in Article 2(1)(a) of Decision 2007/436/EC, Euratom</i>	16 185 600 000	-431 500 000	15 754 100 000
	Chapter 1 2 — Total	16 185 600 000	-431 500 000	15 754 100 000

*Article 1 2 0 — Customs duties and other duties referred to in Article 2(1)(a) of Decision 2007/436/EC,
Euratom*

Figures

Budget 2014	Draft amending budget No. 4/2014	New amount
16 185 600 000	-431 500 000	15 754 100 000

Remarks

The assignment of customs duties as own resources to the financing of common expenditure is the logical consequence of the free movement of goods within the Union. This article may comprise levies, premiums, additional or compensatory amounts, additional amounts or factors, Common Customs Tariff duties and other duties established or to be established by the institutions of the European Union in respect of trade with third countries and customs duties on products under the expired Treaty establishing the European Coal and Steel Community.

Figures are net of collection costs.

Legal basis

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(a) thereof.

Member State	Budget 2014	Draft amending budget No. 4/2014	New amount
Belgium	1 585 000 000	- 184 400 000	1 400 600 000
Bulgaria	53 700 000	4 400 000	58 100 000
Czech Republic	173 400 000	- 10 300 000	163 100 000
Denmark	295 900 000	6 400 000	302 300 000
Germany	3 386 600 000	- 73 000 000	3 313 600 000
Estonia	23 700 000	- 1 000 000	22 700 000
Ireland	213 300 000	16 200 000	229 500 000
Greece	114 600 000	- 5 600 000	109 000 000
Spain	1 030 900 000	- 13 800 000	1 017 100 000
France	1 468 900 000	- 51 300 000	1 417 600 000
Croatia	35 500 000	- 700 000	34 800 000
Italy	1 498 800 000	- 100 800 000	1 398 000 000
Cyprus	16 000 000	- 800 000	15 200 000
Latvia	22 100 000	- 500 000	21 600 000
Lithuania	53 900 000	- 600 000	53 300 000
Luxembourg	12 300 000	- 500 000	11 800 000
Hungary	94 500 000	- 7 100 000	87 400 000
Malta	9 600 000	- 500 000	9 100 000
Netherlands	1 938 600 000	- 18 800 000	1 919 800 000
Austria	164 700 000	4 100 000	168 800 000
Poland	369 400 000	2 700 000	372 100 000
Portugal	120 500 000	1 400 000	121 900 000
Romania	106 200 000	- 2 500 000	103 700 000
Slovenia	66 400 000	- 7 700 000	58 700 000
Slovakia	85 500 000	1 800 000	87 300 000
Finland	136 600 000	- 18 200 000	118 400 000
Sweden	485 100 000	- 37 400 000	447 700 000
United Kingdom	2 623 900 000	67 000 000	2 690 900 000
Article 1 2 0 — Total	16 185 600 000	- 431 500 000	15 754 100 000

CHAPTER 1 3 — OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO ARTICLE 2(1)(B) OF DECISION 2007/436/EC, EURATOM

Figures

Title Chapter Article Item	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
1 3	OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO ARTICLE 2(1)(b) OF DECISION 2007/436/EC, EURATOM			
1 3 0	<i>Own resources accruing from value added tax pursuant to Article 2(1)(b) of Decision 2007/436/EC, Euratom</i>	17 882 179 650	-192 444 300	17 689 735 350
	Chapter 1 3 — Total	17 882 179 650	-192 444 300	17 689 735 350

Article 1 3 0 — Own resources accruing from value added tax pursuant to Article 2(1)(b) of Decision 2007/436/EC, Euratom

Figures

Budget 2014	Draft amending budget No. 4/2014	New amount
17 882 179 650	-192 444 300	17 689 735 350

Remarks

The applied uniform rate valid for all Member States to the harmonised VAT assessment bases determined according to Union rules is fixed at 0,30 %. The assessment base to be taken into account for this purpose shall not exceed 50 % of GNI for each Member State.

For the period 2007-2013 only, the rate of call of the VAT-based own resource for Austria shall be fixed at 0,225 %, for Germany at 0,15 % and for the Netherlands and Sweden at 0,10 %.

Legal basis

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(b) and (4) thereof.

Member State	Budget 2014	Draft amending budget No. 4/2014	New amount
Belgium	502 682 400	- 3 060 300	499 622 100
Bulgaria	61 801 500	- 3 874 200	57 927 300
Czech Republic	193 725 600	- 14 734 200	178 991 400
Denmark	306 034 800	- 20 646 000	285 388 800
Germany	3 715 233 900	10 456 200	3 725 690 100
Estonia	26 175 600	- 31 500	26 144 100
Ireland	196 179 300	449 100	196 628 400
Greece	195 036 000	17 757 600	212 793 600
Spain	1 368 488 400	58 560 300	1 427 048 700
France	2 904 321 600	15 079 500	2 919 401 100
Croatia	65 823 600	- 2 475 750	63 347 850
Italy	1 970 415 300	- 157 564 200	1 812 851 100
Cyprus	23 208 000	- 194 700	23 013 300
Latvia	24 795 000	1 775 100	26 570 100
Lithuania	42 495 600	- 970 800	41 524 800
Luxembourg	51 143 400	- 2 387 700	48 755 700
Hungary	123 098 400	- 2 589 000	120 509 400
Malta	10 218 000	346 650	10 564 650

Member State	Budget 2014	Draft amending budget No. 4/2014	New amount
Netherlands	798 439 500	24 656 400	823 095 900
Austria	446 367 600	3 551 700	449 919 300
Poland	577 318 200	- 52 067 100	525 251 100
Portugal	232 634 400	- 2 493 000	230 141 400
Romania	163 314 900	- 4 793 100	158 521 800
Slovenia	52 445 550	399 900	52 845 450
Slovakia	77 911 800	- 8 910 000	69 001 800
Finland	291 653 100	- 13 121 100	278 532 000
Sweden	597 138 600	- 30 345 600	566 793 000
United Kingdom	2 864 079 600	- 5 218 500	2 858 861 100
Article 1 3 0 — Total	17 882 179 650	- 192 444 300	17 689 735 350

CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO ARTICLE 2(1)(c) OF DECISION 2007/436/EC, EURATOM

Figures

Title Chapter Article Item	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
1 4	OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO ARTICLE 2(1)(c) OF DECISION 2007/436/EC, EURATOM			
1 4 0	<i>Own resources based on gross national income pursuant to Article 2(1)(c) of Decision 2007/436/EC, Euratom</i>	101 728 925 788	-1 594 455 700	100 134 470 088
	Chapter 1 4 — Total	101 728 925 788	-1 594 455 700	100 134 470 088

Article 1 4 0 — Own resources based on gross national income pursuant to Article 2(1)(c) of Decision 2007/436/EC, Euratom

Figures

Budget 2014	Draft amending budget No. 4/2014	New amount
101 728 925 788	-1 594 455 700	100 134 470 088

Remarks

The GNI-based resource is an ‘additional’ resource, providing the revenue required to cover expenditure in excess of the amount yielded by traditional own resources, VAT-based payments and other revenue in any particular year. By implication, the GNI-based resource ensures that the general budget of the Union is always balanced *ex ante*.

The GNI call rate is determined by the additional revenue needed to finance the budgeted expenditure not covered by the other resources (VAT-based payments, traditional own resources and other revenue). Thus a call rate is applied to the GNI of each of the Member States.

The rate to be applied to the Member States’ gross national income for this financial year is 0,7421 %.

Legal basis

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities’ own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(c) thereof.

Member State	Budget 2014	Draft amending budget No. 4/2014	New amount
Belgium	3.010.719.673	-97.532.957	2.913.186.716
Bulgaria	312.477.642	-16.019.746	296.457.896

Member State	Budget 2014	Draft amending budget No. 4/2014	New amount
Czech Republic	1.076.134.033	-68.440.940	1.007.693.093
Denmark	1.990.789.973	-21.175.162	1.969.614.811
Germany	21.431.868.872	91.747.199	21.523.616.071
Estonia	138.834.491	-764.880	138.069.611
Ireland	1.043.565.015	20.779.766	1.064.344.781
Greece	1.366.918.338	-32.114.569	1.334.803.769
Spain	7.924.216.332	-292.781.215	7.631.435.117
France	16.279.396.602	-389.289.467	15.890.107.135
Croatia	330.689.434	-17.275.439	313.413.995
Italy	12.072.471.065	-373.819.983	11.698.651.082
Cyprus	116.594.054	-2.735.568	113.858.486
Latvia	187.865.486	-5.188.153	182.677.333
Lithuania	266.045.748	-6.858.172	259.187.576
Luxembourg	256.937.968	-15.718.752	241.219.216
Hungary	736.275.778	-9.342.385	726.933.393
Malta	51.333.939	934.751	52.268.690
Netherlands	4.728.245.346	-90.519.122	4.637.726.224
Austria	2.484.353.132	-96.674.142	2.387.678.990
Poland	2.968.333.755	-50.453.833	2.917.879.922
Portugal	1.217.687.444	10.921.035	1.228.608.479
Romania	1.136.398.660	-64.219.253	1.072.179.407
Slovenia	263.479.805	-2.026.518	261.453.287
Slovakia	572.512.828	-33.862.047	538.650.781
Finland	1.557.517.062	-85.769.487	1.471.747.575
Sweden	3.428.129.703	-177.591.711	3.250.537.992
United Kingdom	14.779.133.610	231.335.050	15.010.468.660
Article 1 4 0 — Total	101 728 925 788	-1.594.455.700	100 134 470 088

CHAPTER 1 5 — CORRECTION OF BUDGETARY IMBALANCES

Figures

Title Chapter Article Item	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
1 5	CORRECTION OF BUDGETARY IMBALANCES			
1 5 0	<i>Correction of budgetary imbalances granted to the United Kingdom in accordance with Articles 4 and 5 of Decision 2007/436/EC, Euratom</i>	0		0
	Chapter 1 5 — Total	0		0

Article 1 5 0 — Correction of budgetary imbalances granted to the United Kingdom in accordance with Articles 4 and 5 of Decision 2007/436/EC, Euratom

Figures

Budget 2014	Draft amending budget No. 4/2014	New amount
0		0

Remarks

The budgetary imbalance correction mechanism in favour of the United Kingdom (UK correction) was introduced by the European Council in Fontainebleau in June 1984 and the resulting own resources decision of 1985. The purpose of the mechanism is to reduce the UK budgetary imbalance through a reduction in its payments to the Union.

Legal basis

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Articles 4 and 5 thereof.

Member State	Budget 2014	Draft amending budget No. 4/2014	New amount
Belgium	263 826 803	10 673 241	274 500 044
Bulgaria	27 382 150	552 107	27 934 257
Czech Republic	94 300 710	650 910	94 951 620
Denmark	174 451 231	11 139 122	185 590 353
Germany	326 429 505	23 905 897	350 335 402
Estonia	12 165 948	843 899	13 009 847
Ireland	91 446 714	8 843 009	100 289 723
Greece	119 781 891	5 992 297	125 774 188
Spain	694 392 334	24 692 804	719 085 138
France	1 426 549 672	70 723 084	1 497 272 756
Croatia	28 978 034	553 940	29 531 974
Italy	1 057 900 367	44 425 212	1 102 325 579
Cyprus	10 217 038	511 474	10 728 512
Latvia	16 462 493	750 594	17 213 087
Lithuania	23 313 363	1 109 033	24 422 396
Luxembourg	22 515 255	214 042	22 729 297
Hungary	64 519 220	3 977 333	68 496 553
Malta	4 498 349	426 759	4 925 108
Netherlands	72 016 062	3 471 239	75 487 301
Austria	37 839 265	1 024 487	38 863 752
Poland	260 112 561	14 829 708	274 942 269
Portugal	106 704 915	9 062 838	115 767 753
Romania	99 581 648	1 446 306	101 027 954
Slovenia	23 088 511	1 547 376	24 635 887
Slovakia	50 168 812	586 487	50 755 299
Finland	136 483 895	2 194 059	138 677 954
Sweden	52 213 958	694 370	52 908 328
United Kingdom	-5 297 340 704	- 244 841 627	-5 542 182 331
Article 1 5 0 — Total	0	0	0

TITLE 3 — SURPLUSES, BALANCES AND ADJUSTMENTS

Figures

Title Chapter	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
3 0	SURPLUS AVAILABLE FROM THE PRECEDING FINANCIAL YEAR	p.m.		p.m.
3 1	BALANCES AND ADJUSTMENT OF BALANCES BASED ON VAT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(4), (5) AND (8) OF REGULATION (EC, EURATOM) No 1150/2000	p.m.		p.m.

Title Chapter	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
3 2	BALANCES AND ADJUSTMENTS OF BALANCES BASED ON GROSS NATIONAL INCOME/PRODUCT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(6), (7) AND (8) OF REGULATION (EC, EURATOM) No 1150/2000	p.m.		p.m.
3 4	ADJUSTMENT RELATING TO THE NON-PARTICIPATION OF CERTAIN MEMBER STATES IN CERTAIN POLICIES IN THE AREA OF FREEDOM, SECURITY AND JUSTICE	p.m.		p.m.
3 5	RESULT OF THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM	p.m.		0
3 6	RESULT OF INTERMEDIATE UPDATES OF THE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM	p.m.		0
Title 3 — Total		p.m.		0

CHAPTER 3 5 — RESULT OF THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM

Figures

Title Chapter Article Item	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
3 5	RESULT OF THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM			
3 5 0	<i>Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom</i>			
3 5 0 4	Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom	p.m.	0	0
	Article 3 5 0 — Subtotal	p.m.		0
	Chapter 3 5 — Total	p.m.		0

Article 3 5 0 — Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom

Item 3 5 0 4 — Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom

Figures

Budget 2014	Draft amending budget No. 4/2014	New amount
p.m.	0	0

Remarks

Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom.

The figures correspond to the result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom in respect of the correction for the year 2010.

Legal basis

Council Decision 2000/597/EC, Euratom of 29 September 2000 on the system of the European Communities' own resources (OJ L 253, 7.10.2000, p. 42), and in particular Articles 4 and 5 thereof.

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Articles 4 and 5 thereof.

Member State	Budget 2014	Draft amending budget No. 4/2014	New amount
Belgium	p.m.	4 520 547	4 520 547
Bulgaria	p.m.	562 835	562 835
Czech Republic	p.m.	2 556 272	2 556 272
Denmark	p.m.	3 345 263	3 345 263
Germany	p.m.	10 941 079	10 941 079
Estonia	p.m.	334 638	334 638
Ireland	p.m.	5 207 662	5 207 662
Greece	p.m.	452 777	452 777
Spain	p.m.	5 161 577	5 161 577
France	p.m.	36 713 295	36 713 295
Croatia	—	—	—
Italy	p.m.	25 185 874	25 185 874
Cyprus	p.m.	919 896	919 896
Latvia	p.m.	377 190	377 190
Lithuania	p.m.	527 852	527 852
Luxembourg	p.m.	- 467 949	- 467 949
Hungary	p.m.	925 341	925 341
Malta	p.m.	320 963	320 963
Netherlands	p.m.	1 088 457	1 088 457
Austria	p.m.	439 387	439 387
Poland	p.m.	4 287 709	4 287 709
Portugal	p.m.	2 496 000	2 496 000
Romania	p.m.	- 392 307	- 392 307
Slovenia	p.m.	896 466	896 466
Slovakia	p.m.	913 354	913 354
Finland	p.m.	822 308	822 308
Sweden	p.m.	867 048	867 048
United Kingdom	p.m.	- 109 003 534	- 109 003 534
Item 3 5 0 4 — Total	p.m.	0	0

CHAPTER 3 6 — RESULT OF INTERMEDIATE UPDATES OF THE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM

Figures

Title Chapter Article Item	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
3 6	RESULT OF INTERMEDIATE UPDATES OF THE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM			

Title Chapter Article Item	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
3 6 0	<i>Result of intermediate updates of the calculation of the financing of the correction of budgetary imbalances for the United Kingdom</i>			
3 6 0 4	Result of intermediate updates of the calculation of the financing of the correction of budgetary imbalances for the United Kingdom	p.m.	0	0
	<i>Article 3 6 0 — Subtotal</i>	p.m.		0
	Chapter 3 6 — Total	p.m.		0

Article 3 6 0 — Result of intermediate updates of the calculation of the financing of the correction of budgetary imbalances for the United Kingdom

Item 3 6 0 4 — Result of intermediate updates of the calculation of the financing of the correction of budgetary imbalances for the United Kingdom

Figures

Budget 2014	Draft amending budget No. 4/2014	New amount
p.m.	0	0

Remarks

This item is intended to record the difference between previously budgeted and the latest intermediate update of the UK correction before the final calculations are made.

The figures correspond to the result of the intermediate calculation of the financing of the correction of budgetary imbalances for the United Kingdom in respect of the correction for the year 2011.

Legal basis

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Articles 4 and 5 thereof.

Member State	Budget 2014	Draft amending budget No. 4/2014	New amount
Belgium	p.m.	8 101 453	8 101 453
Bulgaria	p.m.	1 375 381	1 375 381
Czech Republic	p.m.	5 056 538	5 056 538
Denmark	p.m.	7 280 734	7 280 734
Germany	p.m.	18 309 269	18 309 269
Estonia	p.m.	885 630	885 630
Ireland	p.m.	8 409 370	8 409 370
Greece	p.m.	3 438 553	3 438 553
Spain	p.m.	21 543 140	21 543 140
France	p.m.	58 179 865	58 179 865
Croatia	—	—	—
Italy	p.m.	37 543 615	37 543 615
Cyprus	p.m.	479 335	479 335
Latvia	p.m.	1 333 866	1 333 866
Lithuania	p.m.	1 324 873	1 324 873
Luxembourg	p.m.	- 29 470	- 29 470
Hungary	p.m.	4 872 613	4 872 613
Malta	p.m.	438 532	438 532
Netherlands	p.m.	2 529 744	2 529 744

Member State	Budget 2014	Draft amending budget No. 4/2014	New amount
Austria	p.m.	1 155 028	1 155 028
Poland	p.m.	17 881 528	17 881 528
Portugal	p.m.	5 178 017	5 178 017
Romania	p.m.	305 779	305 779
Slovenia	p.m.	1 156 634	1 156 634
Slovakia	p.m.	1 786 552	1 786 552
Finland	p.m.	1 891 154	1 891 154
Sweden	p.m.	2 983 045	2 983 045
United Kingdom	p.m.	- 213 410 778	- 213 410 778
Item 3 6 0 4 — Total	p.m.	0	0

TITLE 7 — INTEREST ON LATE PAYMENTS AND FINES

Figures

Title Chapter	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
7 0	INTEREST ON LATE PAYMENTS	32 000 000	230 000 000	262 000 000
7 1	FINES	1 508 000 000	2 203 000 000	3 711 000 000
7 2	INTEREST ON DEPOSITS AND FINES	p.m.		p.m.
	Title 7 — Total	1 540 000 000	2 433 000 000	3 973 000 000

CHAPTER 7 0 — INTEREST ON LATE PAYMENTS

Figures

Title Chapter Article Item	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
7 0	INTEREST ON LATE PAYMENTS			
7 0 0	<i>Interest on late payments</i>			
7 0 0 0	Interest due on late payments into the accounts held with the treasuries of the Member States	5 000 000		5 000 000
7 0 0 1	Other interest on late payments	3 000 000		3 000 000
	<i>Article 7 0 0 — Subtotal</i>	8 000 000		8 000 000
7 0 1	<i>Interest on late payments and other interest on fines</i>	24 000 000	230 000 000	254 000 000
	Chapter 7 0 — Total	32 000 000	230 000 000	262 000 000

Article 7 0 1 — Interest on late payments and other interest on fines

Figures

Budget 2014	Draft amending budget No. 4/2014	New amount
24 000 000	230 000 000	254 000 000

Remarks

This article is intended to receive accrued interest on special accounts for fines and interest on late payment connected with fines.

Legal basis

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1), and in particular Article 83 thereof.

CHAPTER 7 1 — FINES

Figures

Title Chapter Article Item	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
7 1	FINES			
7 1 0	<i>Fines, periodic penalty payments and other penalties</i>	1 508 000 000	2 203 000 000	3 711 000 000
7 1 1	<i>Excess emissions premiums for new passenger cars</i>	p.m.		p.m.
7 1 2	<i>Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty</i>	p.m.		p.m.
	Chapter 7 1 — Total	1 508 000 000	2 203 000 000	3 711 000 000

Article 7 1 0 — *Fines, periodic penalty payments and other penalties*

Figures

Budget 2014	Draft amending budget No. 4/2014	New amount
1 508 000 000	2 203 000 000	3 711 000 000

Remarks

The Commission may impose fines, periodic penalty payments and other penalties on undertakings and associations of undertakings for not respecting bans or not carrying out their obligations under the Regulations below or under Articles 101 and 102 of the Treaty on the Functioning of the European Union.

The fines must normally be paid within three months of the Commission decision being notified. However, the Commission will not collect the amount due when the undertaking has lodged an appeal with the Court of Justice of the European Union; the undertaking must accept that interest will be paid on the debt after the final date for payment and provide the Commission with a bank guarantee covering both the principal of the debt and interest or surcharges by the final date for payment.

Legal basis

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

SECTION III — COMMISSION

REVENUE — REVENUE

Figures

Title	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
4	Revenue accruing from persons working with the institutions and other Union bodies	939 169 454		939 169 454
5	Revenue accruing from the administrative operation of the institution	52 450 000		52 450 000
6	Contributions and refunds in connection with Union agreements and programmes	60 000 000		60 000 000
7	Interest on late payments and fines	1 540 000 000	2 059 000 000	3 599 000 000
8	Borrowing and lending operations	153 477 000		153 477 000
9	Miscellaneous revenue	30 000 000		30 000 000
	Total	2 775 096 454	2 059 000 000	4 834 096 454

TITLE 7 — INTEREST ON LATE PAYMENTS AND FINES

Figures

Title Chapter	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
7 0	INTEREST ON LATE PAYMENTS	32 000 000	230 000 000	262 000 000
7 1	FINES	1 508 000 000	1 829 000 000	3 337 000 000
7 2	INTEREST ON DEPOSITS AND FINES	p.m.		p.m.
	Title 7 — Total	1 540 000 000	2 059 000 000	3 599 000 000

CHAPTER 7 0 — INTEREST ON LATE PAYMENTS

Figures

Title Chapter Article Item	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
7 0	INTEREST ON LATE PAYMENTS			
7 0 0	<i>Interest on late payments</i>			
7 0 0 0	Interest due on late payments into the accounts held with the treasuries of the Member States	5 000 000		5 000 000
7 0 0 1	Other interest on late payments	3 000 000		3 000 000
	<i>Article 7 0 0 — Subtotal</i>	8 000 000		8 000 000
7 0 1	<i>Interest on late payments and other interest on fines</i>	24 000 000	230 000 000	254 000 000
	Chapter 7 0 — Total	32 000 000	230 000 000	262 000 000

Article 7 0 1 — Interest on late payments and other interest on fines

Figures

Budget 2014	Draft amending budget No. 4/2014	New amount
24 000 000	230 000 000	254 000 000

Remarks

This article is intended to record accrued interest on special accounts for fines and interest on late payment connected with fines.

Legal basis

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1), and in particular Article 83 thereof.

CHAPTER 7 1 — FINES

Figures

Title Chapter Article Item	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
7 1	FINES			
7 1 0	<i>Fines, periodic penalty payments and other penalties</i>	1 508 000 000	1 829 000 000	3 337 000 000
7 1 1	<i>Excess emissions premiums for new passenger cars</i>	p.m.		p.m.
7 1 2	<i>Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty</i>	p.m.		p.m.
	Chapter 7 1 — Total	1 508 000 000	1 829 000 000	3 337 000 000

Article 7 1 0 — Fines, periodic penalty payments and other penalties

Figures

Budget 2014	Draft amending budget No. 4/2014	New amount
1 508 000 000	1 829 000 000	3 337 000 000

Remarks

The Commission may impose fines, periodic penalty payments and other penalties on undertakings and associations of undertakings for not respecting bans or not carrying out their obligations under the Regulations enumerated below or under Articles 101 and 102 of the Treaty on the Functioning of the European Union.

The fines must normally be paid within three months of the Commission decision being notified. However, the Commission will not collect the amount due when the undertaking has lodged an appeal with the Court of Justice of the European Union; the undertaking must accept that interest will be paid on the debt after the final date for payment and provide the Commission with a bank guarantee covering both the principal of the debt and interest or surcharges by the final date for payment.

Legal basis

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (the EC Merger Regulation) (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

EXPENDITURE — EXPENDITURE

Figures

Title	Heading	Budget 2014		Draft amending budget No. 4/2014		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
01	Economic and financial affairs	253 013 066	320 994 951			253 013 066	320 994 951
	40 02 41	2 000 000	2 000 000			2 000 000	2 000 000
		255 013 066	322 994 951			255 013 066	322 994 951
02	Enterprise and industry	2 515 114 410	2 158 422 405			2 515 114 410	2 158 422 405
03	Competition	94 449 737	94 449 737			94 449 737	94 449 737
04	Employment, social affairs and inclusion	13 839 015 158	11 290 667 447			13 839 015 158	11 290 667 447
05	Agriculture and rural development	58 046 833 802	55 607 081 983			58 046 833 802	55 607 081 983
06	Mobility and transport	2 867 184 572	1 003 421 856			2 867 184 572	1 003 421 856
07	Environment	407 273 961	345 906 574			407 273 961	345 906 574
08	Research and innovation	6 198 702 491	4 090 645 510			6 198 702 491	4 090 645 510
09	Communications networks, content and technology	1 637 393 330	1 065 238 820			1 637 393 330	1 065 238 820
10	Direct research	419 601 970	414 982 955			419 601 970	414 982 955
11	Maritime affairs and fisheries	949 186 023	735 433 493	-3 701 500	p.m.	945 484 523	735 433 493
	40 02 41	115 342 000	42 775 000	-71 000 000		44 342 000	42 775 000
		1 064 528 023	778 208 493	-74 701 500		989 826 523	778 208 493
12	Internal market and services	116 892 170	115 128 367			116 892 170	115 128 367
13	Regional and urban policy	33 073 249 094	43 017 623 117			33 073 249 094	43 017 623 117
14	Taxation and customs union	157 040 580	132 361 974			157 040 580	132 361 974
15	Education and culture	2 820 016 221	2 420 679 427			2 820 016 221	2 420 679 427
16	Communication	246 345 359	250 385 333			246 345 359	250 385 333
17	Health and consumer protection	618 152 949	555 734 531			618 152 949	555 734 531
18	Home affairs	1 201 387 424	765 344 466			1 201 387 424	765 344 466
19	Foreign policy instruments	732 731 450	517 534 455			732 731 450	517 534 455
20	Trade	121 099 618	117 577 301			121 099 618	117 577 301
21	Development and cooperation	5 083 838 180	3 994 827 425			5 083 838 180	3 994 827 425
22	Enlargement	1 519 904 352	948 883 056			1 519 904 352	948 883 056
23	Humanitarian aid and civil protection	1 006 460 596	1 106 531 677		248 460	1 006 460 596	1 106 780 137
24	Fight against fraud	78 220 900	76 524 355			78 220 900	76 524 355
25	Commission's policy coordination and legal advice	194 089 509	194 812 309			194 089 509	194 812 309
26	Commission's administration	1 001 412 220	1 000 789 177			1 001 412 220	1 000 789 177
27	Budget	95 779 570	95 779 570			95 779 570	95 779 570
28	Audit	11 632 266	11 632 266			11 632 266	11 632 266
29	Statistics	131 883 729	130 895 146			131 883 729	130 895 146
30	Pensions and related expenditure	1 449 531 000	1 449 531 000			1 449 531 000	1 449 531 000
31	Language services	387 604 805	387 604 805			387 604 805	387 604 805

Title	Heading	Budget 2014		Draft amending budget No. 4/2014		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
32	Energy	933 444 642	653 022 040			933 444 642	653 022 040
33	Justice	203 409 105	185 843 405			203 409 105	185 843 405
34	Climate action	121 468 679	51 536 974			121 468 679	51 536 974
40	Reserves	573 523 000	194 775 000	-71 000 000		502 523 000	194 775 000
	Total	139 106 885	135 502 602	-74 701 500	248 460	139 032 184	135 502 851
		938	907			438	367
	Of which Reserves: 40 01 40, 40 02 41	117 342 000	44 775 000	-71 000 000		46 342 000	44 775 000

TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

Figures

Title Chapter	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
05 01	Administrative expenditure of the ‘Agriculture and rural development’ policy area		129 034 743	129 034 743			129 034 743	129 034 743
05 02	Improving the competitiveness of the agricultural sector through interventions in agricultural markets	2	2 233 400 000	2 232 941 971			2 233 400 000	2 232 941 971
05 03	Direct aids aimed at contributing to farm incomes, limiting farm income variability and meeting environment and climate objectives	2	41 447 275	41 447 275			41 447 275	41 447 275
			640	640			640	640
05 04	Rural development		13 987 271	11 591 354			13 987 271	11 591 354
		2	059	028			059	028
05 05	Instrument for Pre-accession Assistance — Agriculture and rural development	4	90 000 000	110 997 038			90 000 000	110 997 038
05 06	International aspects of the ‘Agriculture and rural development’ policy area	4	6 696 000	1 806 026			6 696 000	1 806 026
05 07	Audit of agricultural expenditure financed by the European Agricultural Guarantee Fund (EAGF)	2	60 200 000	60 200 000			60 200 000	60 200 000
05 08	Policy strategy and coordination of the ‘Agriculture and rural development’ policy area	2	40 793 360	32 848 523			40 793 360	32 848 523
05 09	Horizon 2020 — Research and innovation related to agriculture	1	52 163 000	624 014			52 163 000	624 014
	Title 05 — Total		58 046 833	55 607 081			58 046 833	55 607 081
			802	983			802	983

CHAPTER 05 03 — DIRECT AIDS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES

Figures

Title Chapter Article Item	Heading	FF	Budget 2014	Draft amending budget No. 4/2014	New amount
05 03	Direct aids aimed at contributing to farm incomes, limiting farm income variability and meeting environment and climate objectives				
05 03 01	Decoupled direct aids				
05 03 01 01	SPS (single payment scheme)	2	30 083 000 000		30 083 000 000
05 03 01 02	SAPS (single area payment scheme)	2	7 382 000 000		7 382 000 000

Title Chapter Article Item	Heading	FF	Budget 2014	Draft amending budget No. 4/2014	New amount
05 03 01 03	Separate sugar payment	2	277 000 000		277 000 000
05 03 01 04	Separate fruit and vegetables payment	2	12 000 000		12 000 000
05 03 01 05	Specific support (Article 68) — Decoupled direct aids	2	487 000 000		487 000 000
05 03 01 06	Separate soft fruit payment	2	11 000 000		11 000 000
05 03 01 99	Other (decoupled direct aids)	2	p.m.		p.m.
	<i>Article 05 03 01 — Subtotal</i>		38 252 000 000		38 252 000 000
05 03 02	<i>Other direct aids</i>				
05 03 02 06	Suckler-cow premium	2	902 000 000		902 000 000
05 03 02 07	Additional suckler-cow premium	2	49 000 000		49 000 000
05 03 02 13	Sheep and goat premium	2	23 000 000		23 000 000
05 03 02 14	Sheep and goat supplementary premium	2	7 000 000		7 000 000
05 03 02 28	Aid for silkworms	2	500 000		500 000
05 03 02 36	Payments for specific types of farming and quality production	2	2 000 000		2 000 000
05 03 02 39	Additional amount for sugar beet and cane producers	2	21 000 000		21 000 000
05 03 02 40	Area aid for cotton	2	239 000 000		239 000 000
05 03 02 42	Transitional fruit and vegetables payment — Other products than tomatoes	2	3 000 000		3 000 000
05 03 02 44	Specific support (Article 68) — Coupled direct aids	2	1 089 000 000		1 089 000 000
05 03 02 50	POSEI — European Union support programmes	2	407 000 000		407 000 000
05 03 02 52	POSEI — Aegean islands	2	18 000 000		18 000 000
05 03 02 99	Other (direct aids)	2	9 675 640		9 675 640
	<i>Article 05 03 02 — Subtotal</i>		2 770 175 640		2 770 175 640
05 03 03	<i>Additional amounts of aid</i>	2	600 000		600 000
05 03 09	<i>Reimbursement of direct aids in relation to financial discipline</i>	2			p.m.
05 03 10	<i>Reserve for crises in the agricultural sector</i>	2	424 500 000		424 500 000
	Chapter 05 03 — Total		41 447 275 640		41 447 275 640

Remarks

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations on any line in this chapter in accordance with Article 21 and Article 174(2) of the Financial Regulation.

When establishing the budgetary needs for this chapter, an amount of EUR 1 000 000 000 originating from Items 6 7 0 1, 6 7 0 2 and 6 7 0 3 of the general statement of revenue was taken into account in establishing the budgetary needs concerning Article 05 03 01, and in particular for Item 05 03 01 01.

The following legal basis applies to all Articles and Items of this chapter unless otherwise stated.

Legal basis

Council Regulation (EC) No 1782/2003 of 29 September 2003 establishing common rules for direct support schemes under the common agricultural policy and establishing certain support schemes for farmers (OJ L 270, 21.10.2003, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 73/2009 of 19 January 2009 establishing common rules for direct support schemes for farmers under the common agricultural policy and establishing certain support schemes for farmers (OJ L 30, 31.1.2009, p. 16).

Regulation (EU) No 671/2012 of the European Parliament and of the Council of 11 July 2012 amending Council Regulation (EC) No 73/2009 as regards the application of direct payments to farmers in respect of the year 2013 (OJ L 204, 31.7.2012, p. 11).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

Regulation (EU) No 1307/2013 of the European Parliament and of the Council of 17 December 2013 establishing rules for direct payments to farmers under support schemes within the framework of the common agricultural policy and repealing Council Regulation (EC) No 637/2008 and Council Regulation (EC) No 73/2009 (OJ L 347, 20.12.2013, p. 608).

Regulation (EU) No 1310/2013 of the European Parliament and of the Council of 17 December 2013 laying down certain transitional provisions on support for rural development by the European Agricultural Fund for Rural Development (EAFRD), amending Regulation (EU) No 1305/2013 of the European Parliament and of the Council as regards resources and their distribution in respect of the year 2014 and amending Council Regulation (EC) No 73/2009 and Regulations (EU) No 1307/2013, (EU) No 1306/2013 and (EU) No 1308/2013 of the European Parliament and of the Council as regards their application in the year 2014 (OJ L 347, 20.12.2013, p. 865).

Reference acts

Commission Implementing Regulation (EU) No 964/2013 of 9 October 2013 on fixing an adjustment rate to direct payments provided for in Council Regulation (EC) No 73/2009 in respect of calendar year 2013 (OJ L 268, 10.10.2013, p. 5).

Article 05 03 09 — Reimbursement of direct aids in relation to financial discipline

Figures

Budget 2014	Draft amending budget No. 4/2014	New amount
		p.m.

Remarks

New Article

This Article is intended to accommodate the amounts of direct aids having been subject to financial discipline in year n, but that have to be reimbursed in year n+1. No fresh appropriations are foreseen for this Article as, in accordance with Article 169(3) of the Financial Regulation (EU, Euratom) No 966/2012, appropriations may be carried over to the next financial year for that purpose. In accordance with Article 26(5) of Regulation (EU) No 1306/2013, appropriations carried over from the previous financial year shall be reimbursed to the final recipients who are subject, in the following financial year, to the adjustment rate for the application of financial discipline in accordance with Article 26, paragraphs (1) to (4) thereof.

TITLE 11 — MARITIME AFFAIRS AND FISHERIES

Figures

Title Chapter	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 01	Administrative expenditure of the 'Maritime affairs and fisheries' policy area		40 098 314	40 098 314			40 098 314	40 098 314
11 03	Compulsory contributions to Regional Fisheries Management Organisations and other International Organisations and Sustainable Fisheries Agreements	2	35 688 000	36 329 299			35 688 000	36 329 299
	40 02 41		115 342 000	42 775 000	-71 000 000		44 342 000	42 775 000
			151 030 000	79 104 299			80 030 000	79 104 299
11 06	European Maritime and Fisheries Fund (EMFF)	2	873 399 709	659 005 880	-3 701 500	p.m.	869 698 209	659 005 880
	Title 11 — Total		949 186 023	735 433 493	-3 701 500	p.m.	945 484 523	735 433 493
	40 02 41		115 342 000	42 775 000	-71 000 000		44 342 000	42 775 000
	Total including reserves		1 064 528 023	778 208 493	-74 701 500		989 826 523	778 208 493

CHAPTER 11 03 — COMPULSORY CONTRIBUTIONS TO REGIONAL FISHERIES MANAGEMENT ORGANISATIONS AND OTHER INTERNATIONAL ORGANISATIONS AND SUSTAINABLE FISHERIES AGREEMENTS

Figures

Title Chapter Article Item	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 03	Compulsory contributions to Regional Fisheries Management Organisations and other International Organisations and Sustainable Fisheries Agreements							
11 03 01	<i>Establishing a governance framework for fishing activities carried out by Union fishing vessels in third country waters</i>	2	29 658 000	32 658 000			29 658 000	32 658 000
	40 02 41		115 342 000	42 775 000	-71 000 000		44 342 000	42 775 000
			145 000 000	75 433 000			74 000 000	75 433 000
11 03 02	<i>Promoting sustainable development for fisheries management and maritime governance in line with the CFP objectives (Compulsory contributions to international bodies)</i>	2	6 030 000	3 671 299			6 030 000	3 671 299
	Chapter 11 03 — Total		35 688 000	36 329 299			35 688 000	36 329 299
	40 02 41		115 342 000	42 775 000	-71 000 000		44 342 000	42 775 000
	Total including reserves		151 030 000	79 104 299			80 030 000	79 104 299

**Article 11 03 01 — Establishing a governance framework for fishing activities carried out by Union
fishing vessels in third country waters**

Figures

	Budget 2014		Draft amending budget No. 4/2014		New amount	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
11 03 01	29 658 000	32 658 000			29 658 000	32 658 000
40 02 41	115 342 000	42 775 000	-71 000 000		44 342 000	42 775 000
Total	145 000 000	75 433 000	-71 000 000		74 000 000	75 433 000

Remarks

This appropriation is intended to cover the expenditure arising from the fisheries agreements which the Union/Community has negotiated or intends to renew or renegotiate with third countries.

In addition, the Union may negotiate new fisheries partnership agreements which would need to be financed under this article.

Legal basis

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Union financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Regulations and Decisions concerning the conclusion of agreements and/or protocols adopted with regard to fisheries between the Union/Community and the governments of the following countries:

Country	Regulation	Date	Official Journal	Duration
Cape Verde	Regulation (EC) No 2027/2006	19 December 2006	L 414, 30.12.2006	1.9.2006 to 31.8.2011
	Decision 2011/679/EU	10 October 2011	L 269, 14.10.2011	1.9.2011 to 31.8.2014
Comoros	Regulation (EC) No 1660/2005	6 October 2005	L 267, 12.10.2005	1.1.2005 to 31.12.2010
	Regulation (EC) No 1563/2006	5 October 2006	L 290, 20.10.2006	

	Decision 2011/294/EU New protocol initialled on 5 July 2013 — Legislative procedure ongoing	13 May 2011	L 134, 21.5.2011	1.1.2011 to 31.12.2013
Côte d'Ivoire	Regulation (EC) No 953/2005 Regulation (EC) No 242/2008	21 June 2005 17 March 2008	L 164, 24.6.2005 L 75, 18.3.2008	1.7.2004 to 30.6.2007 1.7.2007 to 30.6.2013
Gabon	Decision 2013/303/EU Decision 2006/788/EC Regulation (EC) No 450/2007	29 May 2013 7 November 2006 16 April 2007	L 170, 22.6.2013 L 319, 18.11.2006 L 109, 26.4.2007	1.7.2013 to 30.6.2018 3.12.2005 to 2.12.2011
Greenland	Decision 2013/462/EU Regulation (EC) 753/2007 Decision 2012/653/EU	22 July 2013 28 June 2007 16 July 2012	L 250, 20.9.2013 L 172, 30.6.2007 L 293, 23.10.2012	24.07.2013 to 23.07.2016 1.1.2007 to 31.12.2012 1.1.2013 to 31.12.2015
Guinea-Bissau	Regulation (EC) No 1491/2006 Regulation (EC) No 241/2008 Decision 2011/885/EU New protocol initialled on 10 February 2012 — Legislative procedure suspended	10 October 2006 17 March 2008 14 November 2011	L 279, 11.10.2006 L 75, 18.3.2008 L 344, 28.12.2011	16.6.2007 to 15.6.2011 16.6.2011 to 15.6.2012
Kiribati	Regulation (EC) No 893/2007 Decision 2012/669/EU	23 July 2007 09 October 2012	L 205, 7.8.2007 L 300, 30.10.2012	16.9.2006 to 15.9.2012 16.9.2012 to 15.9.2015
Madagascar	Decision 2007/797/EC Regulation (EC) No 31/2008 Decision 2012/826/EU	15 November 2007 15 November 2007 28 November 2012	L 331, 17.12.2007 L 15, 18.1.2008 L 361, 31.12.2012	1.1.2007 to 31.12.2012 1.1.2013 to 31.12.2014
Mauritius	Regulation (EC) No 2003/2004 Decision 2012/670/EU New fisheries partnership agreement and protocol initialled on 23 February 2012 — Legislative procedure ongoing, no provisional application	21 October 2004 09 October 2012	L 348, 24.11.2004 L 300, 30.10.2012	3.12.2003 to 2.12.2007
Mauritania	Regulation (EC) No 1801/2006 Regulation (EC) No 704/2008 Decision 2012/827/EU	30 November 2006 15 July 2008 18 December 2012	L 343, 8.12.2006 L 203, 31.7.2008 L 361, 31.12.2012	1.8.2006 to 31.7.2008 1.8.2008 to 31.7.2012 16.12.2012 to 15.12.2014
Morocco	Regulation (EC) No 764/2006 Decision 2011/491/EU Repealed by Decision 2012/15/EU Currently no protocol in force Decision 2013/720/EU New fisheries partnership agreement and protocol initialled on 24 July 2013 — Legislative procedure ongoing, no provisional application	22 May 2006 12 July 2011 20 December 2011 15 November 2013	L 141, 29.5.2006 L 202, 5.8.2011 L 6, 10.1.2012 L 328, 7.12.2013	28.2.2007 to 27.2.2011 28.2.2011 to 28.2.2012
Mozambique	Regulation (EC) No 1446/2007 Decision 2012/306/EU	22 November 2007 12 June 2012	L 331, 17.12.2007 L 153, 14.06.2012	1.1.2007 to 31.12.2011 1.2.2012 to 31.01.2015
São Tomé and Príncipe	Regulation (EC) No 894/2007	23 July 2007	L 205, 7.8.2007 and L 330, 15.12.2007	1.6.2006 to 31.5.2010
Seychelles	Decision 2011/420/EU Regulation (EC) No 1562/2006 Decision 2011/474/EU New fisheries partnership agreement and protocol initialled on 10 May 2013 — Legislative procedure ongoing	12 July 2011 5 October 2006 12 July 2011	L 188, 19.7.2011 L 290, 20.10.2006 L 196, 28.7.2011	13.5.2011 to 12.5.2014 18.1.2011 to 17.1.2014

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 13 July 2011, on the Common Fisheries Policy (COM(2011) 425 final), and in particular Article 41(1) thereof.

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF)

Figures

Title Chapter Article Item	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 06	European Maritime and Fisheries Fund (EMFF)							
11 06 01	<i>Completion of the Financial Instrument for Fisheries Guidance (FIFG) — Objective 1 (2000 to 2006)</i>	2	p.m.	14 444 368			p.m.	14 444 368
11 06 02	<i>Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)</i>	2	—	—			—	—
11 06 03	<i>Completion of earlier programmes — Former Objectives 1 and 6 (prior to 2000)</i>	2	p.m.	p.m.			p.m.	p.m.
11 06 04	<i>Completion of the Financial Instrument for Fisheries Guidance (FIFG) — Outside Objective 1 areas (2000 to 2006)</i>	2	p.m.	7 941 702			p.m.	7 941 702
11 06 05	<i>Completion of earlier programmes — Former Objective 5a (prior to 2000)</i>	2	p.m.	p.m.			p.m.	p.m.
11 06 06	<i>Completion of earlier programmes — Initiatives prior to 2000</i>	2	—	—			—	—
11 06 08	<i>Completion of earlier programmes — Former operational technical assistance and innovative measures (prior to 2000)</i>	2	—	—			—	—
11 06 09	<i>Specific measure aiming to promote the conversion of vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco</i>	2	p.m.	p.m.			p.m.	p.m.
11 06 11	<i>Completion of European Fisheries Fund (EFF) — Operational technical assistance (2007 to 2013)</i>	2	p.m.	2 444 057			p.m.	2 444 057
11 06 12	<i>Completion of European Fisheries Fund (EFF) — Convergence objective (2007 to 2013)</i>	2	p.m.	388 639 473			p.m.	388 639 473
11 06 13	<i>Completion of European Fisheries Fund (EFF) — Outside convergence objective (2007 to 2013)</i>	2	p.m.	100 353 663			p.m.	100 353 663
11 06 14	<i>Completion of intervention in fishery products (2007 to 2013)</i>	2	p.m.	6 800 000			p.m.	6 800 000
11 06 15	<i>Completion of the fisheries programme for the outermost regions (2007 to 2013)</i>	2	p.m.	10 835 165			p.m.	10 835 165
11 06 60	<i>Promoting sustainable and competitive fisheries and aquaculture, balanced and inclusive territorial development of fisheries areas and fostering the implementation of the Common Fisheries Policy</i>	2	753 443 838	41 845 392			753 443 838	41 845 392

Title Chapter Article Item	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 06 61	<i>Fostering the development and implementation of the Union's Integrated Maritime Policy</i>	2	43 216 876	11 964 825			43 216 876	11 964 825
11 06 62	<i>Accompanying measures for the Common Fisheries Policy and the Integrated Maritime Policy</i>							
11 06 62 01	Scientific advice and knowledge	2	14 349 220	21 639 419	-936 000		13 413 220	21 639 419
11 06 62 02	Control and enforcement	2	24 694 000	25 663 476			24 694 000	25 663 476
11 06 62 03	Voluntary contributions to international organisations	2	9 490 000	5 675 090			9 490 000	5 675 090
11 06 62 04	Governance and communication	2	6 809 400	4 857 767	-2 765 500		4 043 900	4 857 767
11 06 62 05	Market intelligence	2	4 745 000	1 901 598			4 745 000	1 901 598
	<i>Article 11 06 62 — Subtotal</i>		60 087 620	59 737 350	-3 701 500		56 386 120	59 737 350
11 06 63	<i>European Maritime and Fisheries Fund (EMFF) — Technical assistance</i>							
11 06 63 01	European Maritime and Fisheries Fund (EMFF) — Operational Technical assistance	2	3 834 475	1 982 985			3 834 475	1 982 985
11 06 63 02	European Maritime and Fisheries Fund (EMFF) — Operational Technical assistance managed by the Commission at the request of a Member State	2	p.m.	p.m.			p.m.	p.m.
	<i>Article 11 06 63 — Subtotal</i>		3 834 475	1 982 985			3 834 475	1 982 985
11 06 64	<i>European Fisheries Control Agency</i>	2	8 716 900	8 716 900			8 716 900	8 716 900
11 06 77	<i>Pilot projects and preparatory actions</i>							
11 06 77 01	Preparatory action — Monitoring centre for fisheries market prices	2	p.m.	p.m.			p.m.	p.m.
11 06 77 02	Pilot project — Tools for a common governance and sustainable fisheries management: fostering collaborative research between scientists and stakeholders	2	p.m.	450 000			p.m.	450 000
11 06 77 03	Preparatory action — Maritime policy	2	—	—		p.m.	—	p.m.
11 06 77 04	Pilot project — Networking and best practices in maritime policy	2	—	—			—	—
11 06 77 05	Pilot project — Establishment of a single instrument for commercial designations for fishery and aquaculture products	2	p.m.	200 000			p.m.	200 000
11 06 77 06	Preparatory action — Guardians of the Sea	2	600 000	900 000			600 000	900 000
11 06 77 07	Pilot project — Bringing a network of marine protected areas established or to be established under national and international environmental or fisheries legislation into service, with a view to enhancing the production potential of EU Mediterranean fisheries on the basis of maximum sustainable yields and an ecosystem approach to fisheries management	2	2 000 000	1 000 000			2 000 000	1 000 000
11 06 77 08	Pilot project — Support measures for small-scale fishing	2	1 500 000	750 000			1 500 000	750 000
	<i>Article 11 06 77 — Subtotal</i>		4 100 000	3 300 000		p.m.	4 100 000	3 300 000
	Chapter 11 06 — Total		873 399 709	659 005 880	-3 701 500	p.m.	869 698 209	659 005 880

Remarks

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections, any revenue from which is entered in Item 6 5 0 0 of the statement of revenue. That revenue may give rise to the provision of additional appropriations, in accordance with Article 21 of the Financial Regulation, in specific instances where they are necessary to cover risks of cancellation or of reductions in corrections previously decided upon.

Regulation (EC) No 1260/1999 determines the conditions for repayment of an advance without having the effect of reducing the contribution from the Structural Funds towards the assistance concerned. Any revenue from the repayment of advances entered in Item 6 1 5 7 of the statement of revenue will give rise to the provision of additional appropriations in accordance with Articles 21 and 178 of the Financial Regulation.

Article 80 of the Financial Regulation provides for financial corrections in the event of expenditure incurred in breach of applicable law.

Articles 77, 136 and 137 of the amended proposal for a Regulation COM(2013) 246 final on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to the EMFF.

Any revenue from the financial corrections carried out on that basis is entered in Item 6 5 0 0 of the statement of revenue and constitutes assigned revenue in accordance with Article 21(3)(c) of the Financial Regulation.

Article 177 of the Financial Regulation lays down the conditions for the repayment in full, or in part, of pre-financing payments in respect of a given operation.

Pre-financing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Measures to combat fraud are funded under Article 24 02 01.

Legal basis

Treaty on the Functioning of the European Union, in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (EFF) (OJ L 223, 15.8.2006, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1) and in particular Articles 21 (3) and (4), Article 80 and Article 177 thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 13 July 2011, on the Common Fisheries Policy (COM(2011) 425 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 2 December 2011, on the European Maritime and Fisheries Fund (COM(2011) 804 final).

Article 11 06 62 — Accompanying measures for the Common Fisheries Policy and the Integrated Maritime Policy

Item 11 06 62 01 — Scientific advice and knowledge

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 349 220	21 639 419	-936 000		13 413 220	21 639 419

Remarks

Former Article 11 07 01 and 11 07 02

This appropriation covers expenditure relating to:

- the Union financial contribution consisting in payments related to the expenditure incurred by Member States for the collection, management and use of data in the framework of multiannual national programmes started in 2013 or earlier,
- the financing of studies and pilot projects carried out by the Commission, where appropriate in cooperation with the Member States, necessary for the implementation and development of the CFP, including on alternative types of sustainable fishing techniques,
- the preparation and provision of scientific opinions and advice by scientific bodies, including international advisory bodies in charge of stock assessments, by independent experts and by research institutions,
- the costs incurred by the Commission for services related to collection, management and use of data, to the organisation and management of fisheries expert meetings and the management of annual work programmes related to fisheries scientific and technical expertise, to the processing of data calls and datasets, to the preparatory work aiming at deliver scientific opinions and advice,
- the cooperation activities between the Member States in the field of data collection, including the setting-up and running of regionalised databases for the storage, management and use of data which will benefit regional cooperation and improve data collection and management activities as well as the scientific expertise in support of fisheries management,
- administrative arrangements with the Joint Research Centre, or any other Union consultative body, to provide the secretariat for the Scientific, Technical and Economic Committee for Fisheries (STECF), to conduct the pre-analysis of the data and to prepare the data to evaluate the situation of the fisheries resources,
- indemnities paid to the members of the STECF, and/or the experts invited by the STECF for their participation and work performed during the working groups and plenary sessions,
- indemnities paid to independent experts providing the Commission with scientific advice, or providing training to administrators or stakeholders in the interpretation of the scientific advice.

Legal basis

Council Regulation (EC) No 1543/2000 of 29 June 2000 establishing a Community framework for the collection and management of the data needed to conduct the common fisheries policy (OJ L 176, 15.7.2000, p. 1).

Council Regulation (EC) No 2371/2002 of 20 December 2002 on the conservation and sustainable exploitation of fisheries resources under the Common Fisheries Policy (OJ L 358, 31.12.2002, p. 59).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Union financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Council Regulation (EC) No 199/2008 of 25 February 2008 concerning the establishment of a Community framework for the collection, management and use of data in the fisheries sector and support for scientific advice regarding the Common Fisheries Policy (OJ L 60, 5.3.2008, p. 1).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Reference acts

Commission Decision 2005/629/EC of 26 August 2005 establishing a Scientific, Technical and Economic Committee for Fisheries (OJ L 225, 31.8.2005, p. 18).

Commission Regulation (EC) No 665/2008 of 14 July 2008 laying down detailed rules for the implementation of Council Regulation (EC) No 199/2008 concerning the establishment of a Community framework for the collection, management and use of data in the fisheries sector and support for scientific advice regarding the Common Fisheries Policy (OJ L 186, 15.7.2008, p. 3).

Commission Regulation (EC) No 1078/2008 of 3 November 2008 laying down detailed rules for the implementation of Council Regulation (EC) No 861/2006 as regards the expenditure incurred by Member States for the collection and management of the basic fisheries data (OJ L 295, 4.11.2008, p. 24).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 13 July 2011, on the Common Fisheries Policy (COM(2011) 425 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 2 December 2011, on the European Maritime and Fisheries Fund (COM(2011) 804 final), and in particular Article 84(a) thereof.

Item 11 06 62 02 — Control and enforcement

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
24 694 000	25 663 476			24 694 000	25 663 476

Remarks

Former Article 11 08 01 and 11 08 02

This appropriation is intended to cover the payments for actions started in 2013 or earlier and relating to the expenditure incurred by Member States in implementing the monitoring and control systems applicable to the Common Fisheries Policy for:

- investments relating to control activities carried out by administrative bodies or by the private sector including implementation of new control technologies such as electronic recording systems (ERS), vessel monitoring systems (VMS), automatic identification systems (AIS) connected with vessels detection systems (VDS) and the purchase and modernisation of control means,
- training and exchange programmes for civil servants responsible for monitoring control and surveillance tasks in the fisheries area,
- implementation of pilot inspection and observer schemes,
- cost/benefit analysis, assessment of expenditure and audits incurred by competent authorities in carrying out monitoring, control and surveillance,

- initiatives, including seminars and media tools, aimed at enhancing awareness both among fishermen and other players such as inspectors, public prosecutors and judges, and among the general public of the need to fight irresponsible and illegal fishing and on the implementation of the rules of the Common Fisheries Policy,
- implementation of systems and procedures to enable traceability and instruments to control fleet capacity through the monitoring of engine power,
- pilot projects such as CCTV (closed circuit television).

This appropriation also covers the operational expenditure related to control and evaluation by the Commission of the implementation of the CFP, in particular verification, inspection and audit missions, Commission officials' equipment and training, the organisation of or participation at meetings, studies, IT, and the charter or purchase by the Commission of inspection means as specified in Title X of Council Regulation (EC) No 1224/2009.

It also covers the joint purchase by several Member States belonging to the same geographical area, of patrol vessels, aircrafts and helicopters, provided they are used at least 60 % of the time for fisheries control.

Legal basis

Council Regulation (EC) No 2371/2002 of 20 December 2002 on the conservation and sustainable exploitation of fisheries resources under the Common Fisheries Policy (OJ L 358, 31.12.2002, p. 59).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Union financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing, amending Regulations (EEC) No 2847/93, (EC) No 1936/2001 and (EC) No 601/2004 and repealing Regulations (EC) No 1093/94 and (EC) No 1447/1999 (OJ L 286, 29.10.2008, p. 1).

Council Regulation (EC) No 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy (OJ L 343, 22.12.2009, p. 1).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Reference acts

Commission Regulation (EC) No 391/2007 of 11 April 2007 laying down detailed rules for the implementation of Council Regulation (EC) No 861/2006 as regards the expenditure incurred by Member States in implementing the monitoring and control systems applicable to the Common Fisheries Policy (OJ L 97, 12.4.2007, p. 30).

Commission implementing Regulation (EU) No 404/2011 of 8 April 2011 laying down detailed rules for the implementation of Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the Common Fisheries Policy (OJ L 112, 30.4.2011, p. 1).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 13 July 2011, on the Common Fisheries Policy (COM(2011) 425 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 2 December 2011, on the European Maritime and Fisheries Fund (COM(2011) 804 final), and in particular Article 84(b) thereof.

Item 11 06 62 03 — Voluntary contributions to international organisations

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 490 000	5 675 090			9 490 000	5 675 090

Remarks

Former Article 11 03 03

This appropriation is intended to cover the Union's voluntary contributions to international organisations active in the field of fisheries and the law of the sea. It may in particular finance:

- preparatory work on new sustainable fisheries agreements,
- contributions and registration fees for meetings of international fisheries organisations in which the Union has observer status (Article 217 of the Treaty on the Functioning of the European Union), i.e. the International Whaling Commission (IWC), and the Organisation for Economic Cooperation and Development (OECD),
- support for the follow-up and implementation of some regional projects, in particular by contributing to specific joint international control and inspection activities. This appropriation should also cover surveillance programmes to be negotiated in West Africa and the Western Pacific,
- financial contributions to preparations for new international fisheries organisations which are of interest to the Union,
- financial contributions to scientific work carried out by international fisheries organisations which is of special interest to the Union,
- financial contributions to any activity (including working, informal or extraordinary meetings of contracting parties) which upholds the interests of the Union in international organisations and strengthens cooperation with its partners in these organisations. In this connection, when the presence of representatives of third countries in negotiations and meetings within international forums and organisations becomes necessary for the Union interests, the EMFF shall bear the costs of their participation,
- grants to regional bodies in which coastal states are involved in the subregion concerned.

Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2)(d) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Union financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 13 July 2011, on the Common Fisheries Policy (COM(2011) 425 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 2 December 2011, on the European Maritime and Fisheries Fund (COM(2011) 804 final), and in particular Article 84(c) thereof.

Item 11 06 62 04 — Governance and communication

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 809 400	4 857 767	-2 765 500		4 043 900	4 857 767

Remarks

Former Article 11 04 01

This appropriation is intended to finance the following activities under the action plan for closer dialogue with the fishing industry and those affected by the Common Fisheries Policy and the Integrated Maritime Policy:

- grants to (Regional) Advisory Councils (after the reformed CFP regulation is adopted, existing Regional Advisory Councils (RACs) will be transformed in Advisory Councils (ACs) and new ones will be created) to cover operational costs as well as interpretation and translation costs of (R)AC meetings,
- the implementation of measures to provide documentation about and explain the Common Fisheries Policy aimed at the fishing industry and those affected by the Common Fisheries Policy , as well as the Integrated Maritime Policy.

The Commission will continue supporting the functioning of the ACs with a financial contribution. It will participate in meetings when appropriate and analyse the recommendations issued by the ACs which may provide an input when drafting legislation. Through the consultation of stakeholders in the (R)ACs, the involvement of people working in fisheries and other interest groups in the common fisheries policy process will be strengthened, so that greater account of specific regional characteristics is taken.

Part of the appropriation is earmarked also for information and communication activities linked to the Common Fisheries Policy and the Integrated Maritime Policy as well as for communication activities addressed to the interested parties. Efforts will continue to be made to inform the stakeholders and the specialised media in the new Member States as well as in the countries joining the Union in the forthcoming enlargement about the Common Fisheries Policy and the Integrated Maritime Policy.

Any revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Legal basis

Council Regulation (EC) No 2371/2002 of 20 December 2002 on the conservation and sustainable exploitation of fisheries resources under the Common Fisheries Policy (OJ L 358, 31.12.2002, p. 59).

Council Decision 2004/585/EC of 19 July 2004 establishing Regional Advisory Councils under the Common Fisheries Policy (OJ L 256, 3.8.2004, p. 17).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Union financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2)(d) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 13 July 2011, on the Common Fisheries Policy (COM(2011) 425 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 2 December 2011, on the European Maritime and Fisheries Fund (COM(2011) 804 final), and in particular Article 84(d) and (f) thereof.

Item 11 06 62 05 — Market intelligence

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 745 000	1 901 598			4 745 000	1 901 598

Remarks

New item

This appropriation is intended to cover the costs for the development and dissemination of market intelligence for fishery and aquaculture products. Specific actions include, inter alia:

- the full operation of the Market Observatory,
- gathering, analysing and disseminating economic knowledge and understanding of the Union market for fishery and aquaculture products along the supply chain, taking into account the international context,
- regular price surveys along the Union supply chain for fishery and aquaculture products and the conduct of analyses on market trends,
- provision of ad-hoc market studies and a methodology for price formation surveys,
- facilitating access to available data on fishery and aquaculture products collected pursuant to the Union legislation,
- making market information available to the adequate level to stakeholders.

Legal basis

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 13 July 2011, on the common organisation of the markets in fishery and aquaculture products (COM(2011) 416 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 13 July 2011, on the Common Fisheries Policy (COM(2011) 425 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 2 December 2011, on the European Maritime and Fisheries Fund (COM(2011) 804 final).

Article 11 06 77 — Pilot projects and preparatory actions

Item 11 06 77 01 — Preparatory action — Monitoring centre for fisheries market prices

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.			p.m.	p.m.

Remarks

Former Item 11 02 01 03

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Item 11 06 77 02 — Pilot project — Tools for a common governance and sustainable fisheries management: fostering collaborative research between scientists and stakeholders

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	450 000			p.m.	450 000

Remarks

Former Article 11 07 03

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Item 11 06 77 03 — Preparatory action — Maritime policy

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—		p.m.	—	p.m.

Remarks

Former Article 11 09 01

This item is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Legal basis

Preparatory actions within the meaning of Article 54(2)(b) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Item 11 06 77 04 — Pilot project — Networking and best practices in maritime policy

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—			—	—

Remarks

Former Article 11 09 02

This item is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Item 11 06 77 05 — Pilot project — Establishment of a single instrument for commercial designations for fishery and aquaculture products

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	200 000			p.m.	200 000

Remarks

Former Item 11 02 01 04

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Commercial designations fall within the remit of each Member State's national authorities; a single instrument should be put in place to give European consumers guarantees that the various designations are transparent and coherent, and to make it easier to verify the information concerned.

The purpose of a pilot project would be to set up:

- a database containing all information in connection with commercial designations (codes from FAO nomenclatures, combined nomenclatures, customs nomenclatures, health nomenclatures or INN); the scientific names of species according to the FishBase system; names of species in the official languages of the Member States and, possibly, accepted regional or local designations),
- an expert system to analyse consistency between the various designations and nomenclatures,
- a dedicated Internet site.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Item 11 06 77 06 — Preparatory action — Guardians of the Sea

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
600 000	900 000			600 000	900 000

Remarks

Former Article 11 09 06

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

This appropriation is intended to be used to:

- assess the feasibility for making the best possible use of active fishing vessels which need to be decommissioned from the Union fleet and of fishermen's experience and practical know-how to benefit both of the fishermen themselves and society at large,
- test under close to real-life conditions the technical and economic viability of redeploying — from fishing to maritime activities — individuals who have been active in the sector as crew members and who can no longer make their living from fishing and possess maritime experience and knowledge at risk of being lost in case alternative sources of income are sought in land-based activities,
- test under close to real-life conditions the feasibility of converting fishing vessels into vessels operating as a platform for a number of environmental and maritime activities outside fishing, in particular collecting marine litter,

- identify under close to real-life conditions the necessary operating costs of a vessel operating under such conditions and the potential sources of funding; however, any such funding should be limited to supporting the start-up of activities that are self-sustaining in the long term,
- identify the appropriate training needed for fishermen in order to perform new duties and achieve useful results,
- support reduction of fishing capacity in line with CFP reform objectives by providing positive incentives for vessel-owners and fishermen who leave the sector and encouraging them to find/develop alternative activities at sea and/or in coastal areas,
- encourage activities complementing fishing for those fishermen staying in the sector,
- identify the necessary administrative and legal framework for collaborating with the relevant authorities and/or relevant administrative bodies and for coordinating ‘Guardians of the Sea’ activities with them,
- test under close to real-life conditions the implementation of the ‘Guardians of the Sea’ concept in the next programming period.

Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Item 11 06 77 07 — Pilot project — Bringing a network of marine protected areas established or to be established under national and international environmental or fisheries legislation into service, with a view to enhancing the production potential of EU Mediterranean fisheries on the basis of maximum sustainable yields and an ecosystem approach to fisheries management

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 000 000	1 000 000			2 000 000	1 000 000

Remarks

This appropriation is intended to cover the cost of bringing into service a network of marine protected areas established under national and international environmental and/or fisheries legislation.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Item 11 06 77 08 — Pilot project — Support measures for small-scale fishing

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 500 000	750 000			1 500 000	750 000

Remarks

This appropriation is intended to finance support measures for small-scale fishing which will help coordinate actions and channel funds from other instruments, with a view to dealing with the specific problems in this part of the fisheries sector.

The pilot project will involve:

- analysing the EU’s small-scale fishing sector;

- identifying the EU instruments and funding sources that could be used by this sector;
- establishing the uses to which the instruments, actions, measures and funding available are being put by the small-scale fishing sector;
- analysing the extent to which existing instruments can meet the needs of the small-scale fishing sector and, on the basis of that analysis, proposing any adjustments that may be necessary;
- supporting fishermen's groups, professional organisations and NGOs associated with small-scale fishing, with a view to their coordination, preparation and participation in advisory councils.

The intention is to lay the foundations for an EU support programme for small-scale inshore fishing and artisanal fishing which will help coordinate actions and channel funds from other instruments, with a view to dealing with the specific problems in this sector of the fishing industry.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

TITLE 13 — REGIONAL AND URBAN POLICY

Figures

Title Chapter	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 01	Administrative expenditure of the 'Regional and urban policy' policy area	1	82 299 094	82 299 094	2 480 038		82 299 094	82 299 094
13 03	European Regional Development Fund and other regional operations		24 988 950	31 286 893			24 991 430	31 286 893
13 04	Cohesion Fund (CF)		000	080			038	080
13 05	Instrument for Pre-Accession Assistance — Regional development and regional and territorial cooperation		11 092 840				11 092 840	
13 06	Solidarity Fund	9	7 963 000 000	264	-2 480 038		7 963 000 000	264
			39 000 000	405 590 679			36 519 962	405 590 679
			p.m.	150 000 000			p.m.	150 000 000
Title 13 — Total			33 073 249	43 017 623			33 073 249	43 017 623
			094	117			094	117

CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS

Figures

Title Chapter Article Item	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 03	European Regional Development Fund and other regional operations	1.2	p.m.	p.m.			p.m.	p.m.
13 03 01	Completion of European Regional Development Fund (ERDF) — Objective 1 (2000 to 2006)							

Title Chapter Article Item	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 03 02	Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 03	Completion of European Regional Development Fund (ERDF) — Objective 1 (prior to 2000)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 04	Completion of European Regional Development Fund (ERDF) — Objective 2 (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 05	Completion of European Regional Development Fund (ERDF) — Objective 2 (prior to 2000)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 06	Completion of Urban (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 07	Completion of earlier programmes — Community initiatives (prior to 2000)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 08	Completion of European Regional Development Fund (ERDF) — Technical assistance and innovative measures (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 09	Completion of European Regional Development Fund (ERDF) — Technical assistance and innovation measures (prior to 2000)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 12	Union contribution to the International Fund for Ireland	1.1	p.m.	p.m.			p.m.	p.m.
13 03 13	Completion of Interreg III Community initiative (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 14	Support for regions bordering candidate countries — Completion of earlier programmes (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 16	Completion of European Regional Development Fund (ERDF) — Convergence	1.2	p.m.	23 944 700 000			p.m.	23 944 700 000
13 03 17	Completion of European Regional Development Fund (ERDF) — PEACE	1.2	p.m.	26 000 000			p.m.	26 000 000
13 03 18	Completion of European Regional Development Fund (ERDF) — Regional competitiveness and employment	1.2	p.m.	4 376 486 929			p.m.	4 376 486 929
13 03 19	Completion of European Regional Development Fund (ERDF) — European territorial cooperation	1.2	p.m.	1 286 126 020			p.m.	1 286 126 020
13 03 20	Completion of European Regional Development Fund (ERDF) — Operational technical assistance	1.2	p.m.	25 600 000			p.m.	25 600 000
13 03 31	Completion of technical assistance and dissemination of information on the European Union strategy for the Baltic Sea Region and an improved knowledge of macroregions strategy (2007 to 2013)	1.2	p.m.	1 600 000			p.m.	1 600 000
13 03 40	Completion of risk-sharing instruments financed from the European Regional Development Fund (ERDF) Convergence envelope (2007 to 2013)	1.2	p.m.	p.m.			p.m.	p.m.

Title Chapter Article Item	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 03 41	Completion of risk-sharing instruments financed from the European Regional Development Fund (ERDF) Regional competitiveness and employment envelope (2007 to 2013)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 60	European Regional Development Fund (ERDF) — Less developed regions — Investment for growth and jobs goal	1.2	17 627 800 000	1 125 000 000			17 627 800 000	1 125 000 000
13 03 61	European Regional Development Fund (ERDF) — Transition regions — Investment for growth and jobs goal	1.2	2 865 400 000	167 824 266			2 865 400 000	167 824 266
13 03 62	European Regional Development Fund (ERDF) — More developed regions — Investment for growth and jobs goal	1.2	3 650 900 000	209 061 086			3 650 900 000	209 061 086
13 03 63	European Regional Development Fund (ERDF) — Additional allocation for outermost and sparsely populated regions — Investment for growth and jobs goal	1.2	209 100 000	13 000 000			209 100 000	13 000 000
13 03 64	European Regional Development Fund (ERDF) — European territorial cooperation							
13 03 64 01	European Regional Development Fund (ERDF) — European territorial cooperation	1.2	505 700 000	53 703 765			505 700 000	53 703 765
13 03 64 02	Participation of candidate countries and potential candidates in ERDF ETC— Contribution from Heading 4 (IPA II)	4			2 480 038		2 480 038	p.m.
13 03 64 03	Participation of European neighbourhood countries in ERDF ETC — Contribution from Heading 4 (ENI)	4					p.m.	p.m.
	<i>Article 13 03 64 — Subtotal</i>		505 700 000	53 703 765	2 480 038		508 180 038	53 703 765
13 03 65	European Regional Development Fund (ERDF) — Operational technical assistance							
13 03 65 01	European Regional Development Fund (ERDF) — Operational technical assistance	1.2	69 000 000	47 000 000			69 000 000	47 000 000
13 03 65 02	European Regional Development Fund (ERDF) — Operational technical assistance managed by the Commission at the request of a Member State	1.2	p.m.	p.m.			p.m.	p.m.
	<i>Article 13 03 65 — Subtotal</i>		69 000 000	47 000 000			69 000 000	47 000 000
13 03 66	European Regional Development Fund (ERDF) — Innovative actions in the field of sustainable urban development	1.2	50 100 000	p.m.			50 100 000	p.m.
13 03 67	Macro-regional strategies 2014-2020 — European Strategy for the Baltic Sea Region — Technical Assistance	1.2	2 500 000	1 250 000			2 500 000	1 250 000
13 03 68	Macro-regional strategies 2014-2020 — European Union Strategy for the Danube region — Technical Assistance	1.2	2 500 000	1 250 000			2 500 000	1 250 000
13 03 77	Pilot projects and preparatory actions							

Title Chapter Article Item	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 03 77 01	Pilot project — Pan-European coordination of Roma integration methods	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 02	Pilot project — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 03	Preparatory action — Promoting a more favourable environment for micro-credit in Europe	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 04	Pilot project — Suburbs sustainable regeneration	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 05	Preparatory action — RURBAN — Partnership for sustainable urban-rural development	1.2	p.m.	549 014			p.m.	549 014
13 03 77 06	Preparatory action — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale	1.2	p.m.	2 000 000			p.m.	2 000 000
13 03 77 07	Preparatory action — The definition of governance model for the European Union Danube Region — better and effective coordination	1.2	p.m.	1 000 000			p.m.	1 000 000
13 03 77 08	Pilot project — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a Pan-European Expertise and Excellence Platform in the Danube macro-region	1.2	p.m.	1 300 000			p.m.	1 300 000
13 03 77 09	Preparatory action on an Atlantic Forum for the European Union Atlantic Strategy	1.2	—	167 000			—	167 000
13 03 77 10	Preparatory action — Supporting Mayotte, or any other territory potentially affected, with the switchover to outermost-region status	1.2	p.m.	400 000			p.m.	400 000
13 03 77 11	Preparatory action — Erasmus for elected local and regional representatives	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 12	Preparatory action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a Pan-European Expertise and Excellence Platform in the Danube macro-region	1.2	1 800 000	800 000			1 800 000	800 000
13 03 77 13	Pilot project — Cohesion Policy and the synergies with the research and development funds: the ‘stairway to excellence’	1.2	1 200 000	600 000			1 200 000	600 000
13 03 77 14	Preparatory action — A regional strategy for the North Sea Region	1.2	250 000	125 000			250 000	125 000
13 03 77 15	Preparatory action — World cities: EU — third countries cooperation on urban development	1.2	2 000 000	1 000 000			2 000 000	1 000 000
13 03 77 16	Preparatory action — The actual and desired state of the economic potential in regions outside the Greek capital Athens	1.2	700 000	350 000			700 000	350 000
	<i>Article 13 03 77 — Subtotal</i>		5 950 000	8 291 014			5 950 000	8 291 014
	Chapter 13 03 — Total		24 988 950 000	31 286 893 080	2 480 038		24 991 430 038	31 286 893 080

Remarks

Article 175 of the Treaty on the Functioning of the European Union provides that the objectives of economic, social and territorial cohesion set out in Article 174 shall be supported by the action it takes through the Structural Funds, which includes the European Regional Development Fund (ERDF). According to Article 176, the ERDF is intended to help redress the main regional imbalances in the Union. The tasks, priority objectives and the organisation of the Structural Funds are defined in accordance with Article 177.

Article 80 of the Financial Regulation provides for financial corrections in the event of expenditure incurred in breach of applicable law.

Article 39 of Regulation (EC) No 1260/1999, Articles 100 and 102 of Regulation (EC) No 1083/2006 and Articles 85, 144 and 145 of Regulation (EU) No 1303/2013 on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to the ERDF.

Any revenue from the financial corrections carried out on that basis is entered in Item 6 5 0 0 of the statement of revenue and constitutes assigned revenue in accordance with Article 21(3)(c) of the Financial Regulation.

Article 177 of the Financial Regulation lays down the conditions for the repayment in full, or in part, of pre-financing payments in respect of a given operation.

Article 82 of Regulation (EC) No 1083/2006 provides for specific rules on repayment of pre-financing applicable to the ERDF.

Pre-financing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Measures to combat fraud are funded from Article 24 02 01.

Legal basis

Treaty on the Functioning of the European Union, and in particular Articles 174, 175, 176 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1) and in particular Article 39 thereof.

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25) and in particular Articles 82, 83, 100 and 102 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 21(3) and (4) and Articles 80 and 177 thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Conclusions of the Brussels European Council of 15 and 16 December 2005.

Conclusions of the European Council of 7 and 8 February 2013.

Article 13 03 64 — European Regional Development Fund (ERDF) — European territorial cooperation

Item 13 03 64 01 — European Regional Development Fund (ERDF) — European territorial cooperation

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
505 700 000	53 703 765			505 700 000	53 703 765

Remarks

Former article 13 03 64

This appropriation is intended to cover ERDF support under the European territorial cooperation goal in the 2014-2020 programming period. It shall finance cross-border cooperation between adjacent regions, transnational cooperation over larger transnational territories and interregional cooperation. It shall also include support for cooperation activities at the external borders of the Union, which is to be supported under the European Neighbourhood and Partnership Instrument and the Instrument for Pre-Accession Assistance.

Legal basis

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259).

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Item 13 03 64 02 — Participation of candidate countries and potential candidates in ERDF ETC— Contribution from Heading 4 (IPA II)

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
		2 480 038		2 480 038	p.m.

Remarks

Former article 13 05 63 02 (in part)

This appropriation is intended to cover the contribution of IPA II to ERDF transnational and interregional cooperation programmes in which the beneficiaries listed in Annex I of the IPA-Regulation participate.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the

contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Legal basis

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

Item 13 03 64 03 — Participation of European neighbourhood countries in ERDF ETC — Contribution from Heading 4 (ENI)

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
				p.m.	p.m.

Remarks

Former article 21 03 03 01 (in part)

This appropriation is intended to cover support from the European Neighbourhood Instrument (ENI) under the European territorial cooperation goal in the 2014-2020 programming period for the Baltic Sea cross-border cooperation programme. Support under both the ENI and the European Regional Development Fund (ERDF) should be provided for the cross-border cooperation programmes between, on the one hand, Member States and, on the other hand, partner countries and/or the Russian Federation ('other cross-border cooperation participating countries') along the external borders of the Union, in order to promote integrated and sustainable regional development and cooperation between neighbouring border areas and harmonious territorial integration across the Union and with neighbouring countries.

Commitments will be transferred from budget line 21 03 03 01, Cross-border cooperation (CBC) — Contribution from Heading 4, after the adoption of the CBC programming document. Once programmes start to be implemented, commitments will show an increasing trend over the 2015-2020 period (as was the case for 2007-2013).

Legal basis

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259).

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 14.03.2014, p. 27).

CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION

Figures

Title Chapter Article Item	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 05	Instrument for Pre-Accession Assistance — Regional development and regional and territorial cooperation							

Title Chapter Article Item	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 05 01	<i>Instrument for Structural Policies for Pre-accession (ISPA) — Completion of previous projects (2000 to 2006)</i>							
13 05 01 01	Instrument for Structural Policies for Pre-accession (ISPA) — Completion of other previous projects (2000 to 2006)	4	p.m.	40 000 000			p.m.	40 000 000
13 05 01 02	Instrument for Structural Policies for Pre-accession — Closure of pre-accession assistance relating to eight applicant countries	4	p.m.	p.m.			p.m.	p.m.
	<i>Article 13 05 01 — Subtotal</i>		p.m.	40 000 000			p.m.	40 000 000
13 05 02	<i>Instrument for Pre-Accession Assistance (IPA) — Completion of regional development component (2007 to 2013)</i>	4	p.m.	272 447 479			p.m.	272 447 479
13 05 03	<i>Instrument for Pre-Accession Assistance (IPA) — Completion of cross-border cooperation (CBC) component (2007 to 2013)</i>							
13 05 03 01	Completion of cross-border cooperation (CBC) — Contribution from Subheading 1b	1.2	p.m.	52 000 000			p.m.	52 000 000
13 05 03 02	Completion of cross-border cooperation (CBC) and participation of candidate and potential candidate countries in Structural Funds' transnational and interregional cooperation programmes — Contribution from Heading 4	4	p.m.	26 143 200			p.m.	26 143 200
	<i>Article 13 05 03 — Subtotal</i>		p.m.	78 143 200			p.m.	78 143 200
13 05 60	<i>Support to Albania, Bosnia and Herzegovina, Kosovo, Montenegro, Serbia and the former Yugoslav Republic of Macedonia</i>							
13 05 60 01	Support for political reforms and progressive alignment with and adoption, implementation and enforcement of the Union acquis	4	p.m.	p.m.			p.m.	p.m.
13 05 60 02	Support for economic, social and territorial development	4	p.m.	p.m.			p.m.	p.m.
	<i>Article 13 05 60 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
13 05 61	<i>Support to Iceland</i>							
13 05 61 01	Support for political reforms and progressive alignment with and adoption, implementation and enforcement of the Union acquis	4	p.m.	p.m.			p.m.	p.m.
13 05 61 02	Support for economic, social and territorial development	4	p.m.	p.m.			p.m.	p.m.
	<i>Article 13 05 61 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
13 05 62	<i>Support to Turkey</i>							
13 05 62 01	Support for political reforms and progressive alignment with and adoption, implementation and enforcement of the Union acquis	4	p.m.	p.m.			p.m.	p.m.
13 05 62 02	Support for economic, social and territorial development	4	p.m.	p.m.			p.m.	p.m.
	<i>Article 13 05 62 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.

Title Chapter Article Item	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 05 63	<i>Regional integration and territorial cooperation</i>							
13 05 63 01	Cross-border cooperation (CBC) — Contribution from Heading 1b	1.2	p.m.	p.m.			p.m.	p.m.
13 05 63 02	Cross-border cooperation (CBC) — Contribution from Heading 4	4	39 000 000	15 000 000	-2 480 038		36 519 962	15 000 000
	<i>Article 13 05 63 — Subtotal</i>		39 000 000	15 000 000	-2 480 038		36 519 962	15 000 000
	Chapter 13 05 — Total		39 000 000	405 590 679	-2 480 038		36 519 962	405 590 679

Article 13 05 63 — Regional integration and territorial cooperation

Item 13 05 63 01 — Cross-border cooperation (CBC) — Contribution from Heading 1b

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.			p.m.	p.m.

Remarks

New item

This appropriation is intended to cover the ERDF support under the European territorial cooperation goal in the 2014-2020 programming period to cross-border cooperation under the Instrument for Pre-Accession Assistance (IPA II).

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Legal basis

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259), and in particular Article 4 thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 7 December 2011, on the Instrument for Pre-accession Assistance (IPA II) (COM(2011) 838 final), and in particular Article 2(1)(d) thereof.

Item 13 05 63 02 — Cross-border cooperation (CBC) — Contribution from Heading 4

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
39 000 000	15 000 000	-2 480 038		36 519 962	15 000 000

Remarks

Former item 13 05 63 02 (in part)

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the specific objective of regional integration and territorial cooperation involving the beneficiaries listed in Annex I of the Regulation, Member States and, where appropriate, third countries within the scope of Regulation (EU) No 232/2014 of the European Parliament and of the Council establishing a European Neighbourhood Instrument.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Legal basis

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(d) thereof.

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

Figures

Title Chapter	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
23 01	Administrative expenditure of the 'Humanitarian aid and civil protection' policy area		35 271 596	35 271 596			35 271 596	35 271 596
23 02	Humanitarian aid, food aid and disaster preparedness	4	911 276 000	1 032 576 249		248 460	911 276 000	1 032 824 709
23 03	The Union Civil Protection Mechanism		47 765 000	35 444 416			47 765 000	35 444 416
23 04	EU Aid Volunteers	4	12 148 000	3 239 416			12 148 000	3 239 416
	Title 23 — Total		1 006 460 596	1 106 531 677		248 460	1 006 460 596	1 106 780 137

CHAPTER 23 02 — HUMANITARIAN AID, FOOD AID AND DISASTER PREPAREDNESS

Figures

Title Chapter Article Item	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
23 02	Humanitarian aid, food aid and disaster preparedness							

Title Chapter Article Item	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
23 02 01	<i>Delivery of rapid, effective and needs-based humanitarian aid and food aid</i>	4	874 529 000	1 003 733 007		248 460	874 529 000	1 003 981 467
23 02 02	<i>Disaster prevention, disaster risk reduction and preparedness</i>	4	36 747 000	28 843 242			36 747 000	28 843 242
	Chapter 23 02 — Total		911 276 000	1 032 576 249		248 460	911 276 000	1 032 824 709

Article 23 02 01 — Delivery of rapid, effective and needs-based humanitarian aid and food aid

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
874 529 000	1 003 733 007		248 460	874 529 000	1 003 981 467

Remarks

Former Article 23 02 01 and 23 02 02

This appropriation is intended to cover the financing of humanitarian aid and food aid operations of a humanitarian nature to help people in countries outside the Union who are the victims of conflicts or disasters, both natural and man-made (wars, outbreaks of fighting, etc.), or comparable emergencies, for as long as is necessary to meet the humanitarian needs that such situations give rise to. It will be carried out in accordance with the rules on humanitarian aid under Council Regulation (EC) No 1257/96.

The aid is granted to victims without discrimination on the grounds of race, ethnic origin, religion, disability, sex, age, nationality or political affiliation. That assistance is provided as long as it is necessary to meet the humanitarian needs to which such situations give rise.

This appropriation is also intended to cover the purchase and delivery of any product or equipment needed for the implementation of humanitarian aid operations, including the building of homes or shelters for affected groups of people, short-term rehabilitation and reconstruction work, particularly of infrastructure and facilities, the costs associated with external, expatriate or local staff, storage, international or national shipment, logistic support and distribution of relief and any other action aimed at facilitating freedom of access to the recipients of the aid.

This appropriation may be used to finance the purchase and delivery of food, seeds, livestock or any product or equipment needed for the implementation of the humanitarian food aid operations.

This appropriation may also cover any other costs directly linked to the implementation of humanitarian aid operations and the cost of the measures that are essential for implementing food aid operations of a humanitarian nature within the requisite timescale and in a way which meets the needs of the recipients, satisfies the requirement to achieve the greatest possible degree of cost-effectiveness and provides greater transparency.

It covers, inter alia:

- feasibility studies on humanitarian operations, evaluations of humanitarian aid projects and plans, visibility operations and information campaigns linked to humanitarian operations,
- the monitoring of humanitarian aid projects and plans, the promotion and development of initiatives intended to increase coordination and cooperation so as to make aid more effective and improve the monitoring of projects and plans,
- the supervision and coordination of the implementation of aid operations forming part of the humanitarian and food aid concerned, in particular the terms for the supply, delivery, distribution and use of the products involved, including the use of counterpart funds,

- measures to strengthen Union coordination with the Member States, other donor countries, international organisations and institutions (in particular those forming part of the United Nations), non-governmental organisations and organisations representing the latter,
- the financing of technical assistance contracts to facilitate the exchange of technical know-how and expertise between Union humanitarian organisations and agencies or between such bodies and those of third countries,
- studies and training linked to the achievement of the objectives of the humanitarian and food aid policy area,
- action grants and running cost grants in favour of humanitarian networks,
- humanitarian mine-clearance operations including public awareness campaigns for local communities on anti-personnel mines,
- expenditure incurred by the network on humanitarian assistance (NOHA), pursuant to Article 4 of Regulation (EC) No 1257/96. This is a one-year multidisciplinary postgraduate diploma in the humanitarian field designed to promote greater professionalism amongst humanitarian workers and involving several participating universities,
- the transport and distribution of aid, including any related operations such as insurance, loading, unloading, coordination, etc.,
- back-up measures that are essential for the programming, coordination and optimum implementation of the aid, the cost of which is not covered by other appropriations, e.g. exceptional transport and storage operations, processing or preparation of foodstuffs on the spot, disinfection, consultants' services, technical assistance and equipment directly involved in providing the aid (tools, utensils, fuel, etc.),
- pilot schemes concerning new forms of transport, packaging or storage, studies of food aid operations, visibility operations linked to the humanitarian operations, and information campaigns to increase public awareness,
- the storage of food (including administrative costs, futures operations, with or without options, training of technicians, purchase of packaging and mobile storage units, cost of maintaining and repairing stores, etc.),
- the technical assistance necessary for the preparation and implementation of humanitarian aid projects, in particular expenditure incurred covering the cost of contracts of individual experts in the field and the infrastructure and logistics costs, covered by imprest accounts and expenditure authorisations, of the Directorate-General for Humanitarian Aid and Civil Protection units deployed throughout the world.

In order to ensure full financial transparency under Articles 58 to 62 of the Financial Regulation, the Commission, when concluding or modifying agreements on the management and implementation of projects by international organisations, will make every effort to commit to sending all their internal and external audits regarding the use of Union funds to the European Court of Auditors and to the Internal Auditor of the Commission.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Legal basis

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

TITLE 40 — RESERVES

Figures

Title Chapter	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
40 01	Reserves for administrative expenditure	5	p.m.	p.m.			p.m.	p.m.
40 02	Reserves for financial interventions	9	573 523 000	194 775 000	-71 000 000		502 523 000	194 775 000
40 03	Negative reserve	8	p.m.	p.m.			p.m.	p.m.
	Title 40 — Total		573 523 000	194 775 000	-71 000 000		502 523 000	194 775 000

CHAPTER 40 02 — RESERVES FOR FINANCIAL INTERVENTIONS

Figures

Title Chapter Article Item	Heading	FF	Budget 2014		Draft amending budget No. 4/2014		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
40 02	Reserves for financial interventions							
40 02 40	Non-differentiated appropriations		p.m.	p.m.			p.m.	p.m.
40 02 41	Differentiated appropriations		117 342 000	44 775 000	-71 000 000		46 342 000	44 775 000
40 02 42	Emergency aid reserve	9	297 000 000	150 000 000			297 000 000	150 000 000
40 02 43	Reserve for the European Globalisation Adjustment Fund	9	159 181 000	p.m.			159 181 000	p.m.
	Chapter 40 02 — Total		573 523 000	194 775 000	-71 000 000		502 523 000	194 775 000

Article 40 02 41 — Differentiated appropriations

Figures

Budget 2014		Draft amending budget No. 4/2014		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
117 342 000	44 775 000	-71 000 000		46 342 000	44 775 000

Remarks

The appropriations in the Title ‘Reserves’ are intended for two circumstances only: (a) where no basic act exists for the action concerned when the budget is established; and (b) where there are serious grounds for doubting the adequacy of the appropriations or the possibility of implementing, under conditions consistent with sound financial management, the appropriations entered in the lines concerned. The appropriations entered in this article may be used only after transfer in accordance with the procedure laid down in Article 27 of the Financial Regulation.

The breakdown is as follows (commitments, payments):

1.	Article	01 02 01	Coordination and surveillance of, and communication on, the economic and monetary union, including the euro	2 000 000	2 000 000
2.	Article	11 03 01	Establishing a governance framework for fishing activities carried out by Union fishing vessels in third country waters	44 342 000	42 775 000
Total				46 342 000	44 775 000

Legal basis

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

SECTION IX — EUROPEAN DATA PROTECTION SUPERVISOR

EXPENDITURE — EXPENDITURE

Figures

Title	Heading	Budget 2014	Draft amending budget No. 4/2014	New amount
1	EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION	5 940 413	-248 460	5 691 953
2	BUILDINGS, EQUIPMENT AND EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE INSTITUTION	2 321 000		2 321 000
3	EUROPEAN DATA PROTECTION BOARD	p.m.		p.m.
10	OTHER EXPENDITURE	p.m.		p.m.
	Total	8 261 413	-248 460	8 012 953

TITLE 1 — EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION

Figures

Title Chapter	Heading	FF	Budget 2014	Draft amending budget No. 4/2014	New amount
1 0	MEMBERS OF THE INSTITUTION	5	1 169 013	-248 460	920 553
1 1	STAFF OF THE INSTITUTION	5	4 771 400		4 771 400
	Title 1 — Total		5 940 413	-248 460	5 691 953

CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

Figures

Title Chapter Article Item	Heading	FF	Budget 2014	Draft amending budget No. 4/2014	New amount
1 0	MEMBERS OF THE INSTITUTION				
1 0 0	<i>Remuneration, allowances and other entitlements of Members</i>				
1 0 0 0	Remuneration and allowances	5.2	617 459		617 459
1 0 0 1	Entitlements on entering and leaving the service	5.2	130 000		130 000
1 0 0 2	Temporary allowances	5.2	296 000	-246 000	50 000
1 0 0 3	Pensions	5.2	40 000		40 000
1 0 0 4	Provisional appropriation	5.2	11 160	-2 460	8 700
	<i>Article 1 0 0 — Subtotal</i>		1 094 619	-248 460	846 159
1 0 1	<i>Other expenditure in connection with Members</i>				
1 0 1 0	Further training	5.2	15 000		15 000
1 0 1 1	Mission expenses, travel expenses and other ancillary expenditure	5.2	59 394		59 394
	<i>Article 1 0 1 — Subtotal</i>		74 394		74 394
	Chapter 1 0 — Total		1 169 013	-248 460	920 553

Article 1 0 0 — Remuneration, allowances and other entitlements of Members

Item 1 0 0 2 — Temporary allowances

Figures

Budget 2014	Draft amending budget No. 4/2014	New amount
296 000	-246 000	50 000

Remarks

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 7 thereof.

This appropriation is intended to cover transitional allowances, family allowances and the corrective coefficient applied in respect of the countries of residence of former Members of the institution.

Item 1 0 0 4 — Provisional appropriation

Figures

Budget 2014	Draft amending budget No. 4/2014	New amount
11 160	-2 460	8 700

Remarks

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

This appropriation is intended to cover the effect of any adjustments in remuneration and pensions which may be made by the Council in the course of the financial year.

This appropriation is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.