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NOTE

From:	Presidency
To:	Delegations
No. Cion doc.:	9645/18 + COR 1 + ADD 1
Subject:	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL establishing rules on support for strategic plans to be drawn up by Member States under the Common agricultural policy (CAP Strategic Plans) and financed by the European Agricultural Guarantee Fund (EAGF) and by the European Agricultural Fund for Rural Development (EAFRD) and repealing Regulation (EU) No 1305/2013 of the European Parliament and of the Council and Regulation (EU) No 1307/2013 of the European Parliament and of the Council - Presidency drafting suggestions (Annexes I, II, III, X, XII, [X])

Delegations will find in the <u>Annex</u> the consolidated Finnish Presidency drafting suggestions on Annexes I, II, III, X, XII and [X] of the abovementioned proposal.

Compared to the Commission proposal, the added text is marked in **bold and underlined** and **strikethrough** is used for deleted text.

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ANNEX I

IMPACT, RESULT, CONTEXT*** AND OUTPUT INDICATORS PURUSUANT TO ARTICLE 7

Assessment of the performance of the policy (multi-annual) - IMPACT

Objectives and their respective impact indicators.*

Annual performance review - RESULT*

Annual performance clearance - OUTPUT

Broad tTypes of interventions and their output indicators.*

EU <u>cross-cutting</u> objective: Modernisation	Indicator	Result indicators (only based on interventions supported by the CAP)	Agricultural Knowledge and Innovation Systems (AKIS)	Output indicators
Fostering knowledge, innovation and digitalisation in agriculture and rural areas and encouraging their uptake	I.1 Sharing knowledge and innovation: Share of CAP budget for knowledge sharing and innovation	R.1 Enhancing performance through knowledge and innovation: Share of farmers Number of persons receiving benefitting from support for advice, training, knowledge exchange, or participationng in EIP operational groups to enhance economic, environmental, climate and resource efficiency performance.	Types of interventions related to Agricultural Knowledge and Innovation Systems, including	O.1 Number of EIP operational groups projects

	R.2 Linking advice and knowledge systems: #Number of advisors integrated within Agricultural Knowledge and Innovation Systems (AKIS) (compared to total number of farmers)	European Innovation Partnership—for agricultural knowledge and innovation (EIP)**	O.2 Number of advisors advice actions setting up to provide innovation support for preparing or participating in implementing EIP operational groups projects
	R.3 Digitalising agriculture: Share of farmers benefitting from support to precision digital farming technology through CAP		

EU Specific objectives	Impact indicators
	I.2 Reducing income disparities: Evolution of agricultural income compared to the general economy
	I.3 Reducing farm income variability: Evolution of agricultural income
Support viable farm income and resilience across the Union to enhance food security	I.4 Supporting viable farm income: Evolution of agricultural income level by sectors type of farming (compared to the average in agriculture)
	I.5 Contributing to territorial balance: Evolution of agricultural income in areas with natural constraints (compared to the average)

Result indicators
(only based on interventions supported by the CAP)
R.4 Linking income support to standards and good practices: Share of Utilised Agricultural Area (UAA) covered by income support and subject to conditionality
R.5 Risk Management : Share of farms with <u>supported</u> CAP risk management tools
R.6 Redistribution to smaller farms: Percentage additional support direct payments per hectare for eligible farms below average farm size (compared to average)
R.7 Enhancing support to farms in areas with specific needs: Percentage additional support per hectare in areas with higher needs (compared to average)

Broad tType of interventions	Output indicators (per intervention)
CAP supportFor all types of interventions	O.3 Number of CAP support beneficiaries
Decoupled direct support under interventions in the form of direct payments	O.4 Number of ha for decoupled Description of Payments O.5 Number of beneficiaries for decoupled Description of Payments
	O.6 Number of ha subject to enhanced income support for young farmers
	O.7 Number of beneficiaries subject to enhanced income support for young farmers

EU Specific objectives	Impact indicators	Result indicators (only based on interventions supported by the CAP)	Broad (Type of interventions
Enhance market orientation and ncrease ompetitiveness,	I.6 Increasing farm productivity: Total factor productivity in agriculture	R.8 Targeting farms in sectors in difficulties: Share of farmers benefitting from coupled income support for improving competitiveness, sustainability or quality	Risk management tools under Rural Development and Sectoral types of interventions
ncluding greater focus n research, technology nd digitalisation	I.7 Harnessing Agri-food trade: Agri-food trade imports and exports	R.9 Farm modernisation: Share of farmers receiving investment support to restructure and modernise, including to improve resource efficiency R.9a Promoting internationally EU agri-food products: Number of third country markets targeted with promotion and information actions supported with sectoral types of interventions	Coupled incomsupport under interventions in the form of direct payment

Broad tType of interventions	Output indicators (per intervention)
Risk management tools under Rural Development and Sectoral types of interventions	O.8 Number of farmers units covered by supported crisis prevention and risk management instruments
Coupled income support under interventions in the form of direct payments	O.9 Number of ha benefitting from coupled income support

EU Specific objectives	Impact indicators	Result indicators (only based on interventions supported by the CAP)	Broad tType of interventions	Output indicators (per intervention)
	I.8 Improving farmers' position in the food chain : Value added for primary producers in the food chain	R.10 Better supply chain organisation: Share of farmers participating in supported Producer Groups, Producer Organisations, Cooperatives and other forms of cooperation, local markets, short supply chain circuits and quality schemes		O.10 Number of heads benefitting from coupled income support
Improve the farmers' position in the value chain		R.11 Concentration of supply: Share of value of marketed production by sectoral Producer Organisations with operational programmes	Payments for natural constraints and or other region area-specific constraints under Rural Development types of interventions	O.11 Number of ha receiving ANC top up for areas facing natural or specific constraints (3 categories)
Contribute to climate change mitigation and adaptation, as well as sustainable energy	I.9 Improving farm the resilience of agriculture to climate change: Index Agricultural sector resilience progress indicator	R.12 Adaptation to climate change: Share of agricultural land under supported commitments to improve climate adaptation	Payments for area-specific disadvantages resulting from certain mandatory requirements	O.12 Number of ha receiving support under Natura 2000 or the Water Framework Directive
	I.10 Contributeing to climate change mitigation: Reducing Greenhouse gases (GHG) emissions from agriculture	R.13 Reducing emissions in the livestock sector: Share of livestock units under support to reduce GHG emissions and/or ammonia, including manure management	Payments for management commitments	O.13 Number of ha (agriculturalexcluding forestry) covered by environment/climate

EU Specific objectives	Impact indicators
	I.11 Enhancing carbon sequestration: Increase the sSoil organic carbon in agricultural land
	I.12 Increaseing sustainable energy in agriculture: Production of renewable energy from agriculture and forestry

Result indicators
(only based on interventions supported by the CAP)
R.14 Reducing emissions from land and enhancing Ccarbon storage in soils and biomass: Share of agricultural land under supported commitments to reducinge emissions, maintaining and/or enhancinge carbon storage (permanent grassland, agricultural land in peatland, forest, etc.)
R.15 Green energy from agriculture and forestry: Supported Linvestments in renewable energy production capacity, including bio-based (MWegawatt)
R.16 Enhanceing energy efficiency: Energy savings in agriculture by means of supported actions (T.o.e.)
R 17 Afforested land: Area supported for afforestation and creation of woodland, including agroforestry
R.17a Investment support to the forest sector: Total investment to improve the performance of the forestry sector

Broad t Type of interventions	Output indicators (per intervention)
(environment-	commitments going beyond
climate, genetic	mandatory requirements
resources,	
animal welfare)	
under Rural	
Development	
and Sectoral	
types of	
<u>interventions</u>	

EU Specific objectives	Impact indicators		Result indicators (only based on interventions supported by the CAP)	Broad type of intervention	Output indicators (per intervention)
	I.13 Reducing soil erosion: Percentage of <u>agricultural</u> land in moderate and severe soil erosion on agricultural land	•	R.18 Improving soils: Share of agricultural land under management supported commitments beneficial for soil management		O.14 Number of ha (forestry) covered by environment/climate commitments going beyond mandatory requirements
Foster sustainable development and efficient management of natural resources such	I.14 Improving air quality: Reduce aAmmonia emissions from agriculture		R.19 Improving air quality: Share of agricultural land under supported commitments to reduce ammonia emission		O.15 Number of ha units with support for organic farming
as water, soil and air	I.15 Improving water quality: Gross nutrient balance on agricultural land		R.20 Protecting water quality: Share of agricultural land under management supported commitments for water quality		O.16 Number of livestock units covered by support for animal welfare, health or increased biosecurity measures
	I.16 Reducing nutrient leakage: Nitrate in ground water—Percentage of ground water stations with N concentration over 50 mg/l as per the Nitrate directive		R.21 Sustainable nutrient management: Share of agricultural land under <u>supported</u> commitments related to improved nutrient management		O.17 Number of projects operations or units supporting genetic resources
	I.17 Reducing pressure on water resource: Water Exploitation Index Plus (WEI+)		R.22 Sustainable water use: Share of irrigated ble land under supported commitments to improve water balance	Investments <u>under Rural</u> <u>Development</u>	O.18 Number of supported operations or units for on-farm productive investments
			R.22a Environmental performance in the livestock sector: Share of livestock units under supported commitments to improve environmental sustainability	and Sectoral types of interventions	

R.23 Environment-/climate-related performance through investment in farming: Share of farmers with support in investments related to care for the environment or climate	O.19 Number of supported local operations for infrastructures
R.23a Environment-/climate-related performance through investment in rural areas: Number of operations contributing to environmental sustainability, climate mitigation and adaptation goals in rural areas	
R.24 Environmental/climate performance through knowledge: Share Number of farmers-persons receiving support for benefitting from advice/, training, knowledge exchange	O.20 Number of supported operations or units for non- productive investments
supported with the CAP related to environmental-climate performance	O.21 Number of supported operations for off-farm productive investments

EU Specific objectives	Impact indicators	Result indicators (only based on interventions supported by the CAP)	Broad type of intervention	Output indicators (per intervention)
	I.18 Increasing farmland bird populations: Farmland Bird Index	R.25 Supporting sustainable forest management: Share of forest land under management supported commitments to support forest protection and management.	Installation grants of young farmers and rural business start-up under Rural Development types of interventions	O.22 Number of <u>voung</u> farmers receiving installation grants <u>support</u>
Contribute to the protection of biodiversity, enhance ecosystem services and preserve habitats and	I.19 Enhanceding biodiversity protection: Percentage of species and habitats of Community interest related to agriculture with stable or increasing trends I.20 Enhanceding provision of ecosystem services: sShare of UAA covered with landscape features	R.26 Protecting forest ecosystems: Share of forest land under management supported commitments for supporting landscape, and biodiversity and ecosystem services		O.23 Number of rural entrepreneurs businesses receiving installation grants support for start-up
landscapes		R.27 Preserving habitats and species: Share of agricultural land under management supported commitments supporting biodiversity conservation or restoration	Cooperation under Rural Development and Sectoral types of interventions	O.24 Number of supported producer groups/organisations O.25 Number of farmers receiving support to participate in EU quality schemes
		R.28 Supporting Natura 2000: Share of Aarea in Natura 2000 sites under supported commitments for protection, maintenance and restoration		O.26 Number of supported operations for generational renewal projects (young/non-young farmers)

		R.29 Preserving landscape features: Share of agriculture land under <u>supported</u> commitments for managing landscape features, including hedgerows		O.27 Number of supported local development strategies (LEADER) and partnerships benefitting from preparatory support
				O.28 Number of other supported cooperation groups projects (excluding EIP reported under O.1)
Attract young farmers and facilitate business development in rural areas	I.21 Attracting young farmers: Evolution of number of new farm managers	R.30 Generational renewal: Number of young farmers setting up a farm-with support from the CAP	Knowledge exchange and information	O.29 Number of training and advice actions carried out for farmers trained/given advice and non-farmers (excluding actions reported under O.2)

EU Specific objectives	Impact indicators	Result indicators	Broad type of	Output indicators (per intervention)
		(only based on interventions supported by the CAP)	intervention	
	I.22 Contributing to jobs in rural	R.31 Growth and Creating jobs in rural areas: New jobs in		O.30 Number of non-farmers
	areas: Evolution of the employment rate	supported projects		trained/given advice
	in predominantly rural areas	R.31a Enhancing human capital: Share of rural population		
		covered by LEADER local development strategies		
	I.23 Contributing to growth in rural	R.32 Developing the rural bioeconomy: Number of bio-	Horizontal	O.31 Number of ha under
	areas: Evolution of GDP per head in	economy businesses developed with support	indicators <u>for</u>	environmental practices (synthesis
	predominantly rural areas		several types of	indicator on physical area covered by
Promote employment,			interventions	conditionality, ELS, AECM, forestry
growth, social inclusion				measures, organic farming eco-
and local development				schemes, agri- and forest
in rural areas,				environmental and climate
including bio-economy				management commitments)
and sustainable	I.24 A fairer CAP: Improve the	R.33 Digitalising the rural economy: Share of Rrural		O.32 Number of ha subject to
forestry	d <u>D</u> istribution of CAP support	population covered by a supported Smart Villages strategy		conditionality (broken down by
				GAEP <u>C</u> practice, excluding SMRs)
	I.25 Promoting rural inclusion:	R.34 Connecting rural Europe: Share of rural population	Sectorial	O.33 Number of producer
	Evolution of poverty index in rural areas	benefitting from improved access to services and infrastructure	programmes type	organisations setting up an
		through CAP support	s of interventions	operational fund/program
		R.35 Promoting social inclusion: Number of people from		O.34 Number of promotion and
		minority and/or vulnerable groups benefitting from Share of		information actions, and market
		rural population covered by supported social inclusion projects		monitoring
			1	

1.26 Limiting antibiotiemicrobial use in agriculture farmed animals: sales/use of antimicrobials in food producing animals

Improve the response of EU agriculture to societal demands on food and health, including safe, nutritious and sustainable food, as well as animal welfare

I.27 Sustainable use of pesticides:
Reduce rRisks and impacts of
pesticides**

I.28 Responding to consumer demand for quality food: Value of production under EU quality schemes (incl.and organics) R.36 Limiting antibioticmicrobial use: Share of livestock units concerned by supported actions to limit the use of antibiotics (prevention/reduction), to support health or increased biosecurity measures

R.37 Sustainable pesticide use: Share of agricultural land concerned by supported specific actions commitments which lead to a sustainable use of pesticides in order to reduce risks and impacts of pesticides

R.38 Improving animal welfare: Share of livestock units covered by supported actions to improve animal welfare

R.38a Informing EU citizens on agri-food products: Number of days with promotion and information actions in the EU supported with sectoral types of interventions

O.35a Number of supported research and experimental production actions

O.35b Number of actions or units supported for other interventions

*Data notified annually for their declared expenditure.

^{*} Most of impact indicators are already collected via other channels (European statistics, JRC, EEA...) and used in the framework of other EU legislation or SDGs. The data collection frequency is not always annual and there might be 2/3 years delay. ** Directive on sustainable use of pesticides

^{*} Proxies for results. Data notified annually by MS to monitor the progress towards the targets they established in the CAP plans.

O.35 Number of actions <u>or units</u> for beekeeping preservation/improvement

^{**}Support to operational Groups under EIP falls under the provisions of cooperation.

*** CONTEXT INDICATORS

	Indicator No.	Context indicator
Population	<u>C.01</u>	Population numbers
	<u>C.02</u>	Population density
	<u>C.03</u>	Age structure of the population
Total area	<u>C.04</u>	Total area
	<u>C.05</u>	<u>Land cover</u>
Labour market	<u>C.06</u>	Rural employment rate
	<u>C.07</u>	Rural unemployment rate
	<u>C.08</u>	Employment (by sector, by type of region, by economic activity)
Economy	<u>C.09</u>	GDP per capita
	<u>C.10</u>	Poverty rate
	<u>C.11</u>	Gross value added by sector, by type of region, in agriculture and for primary producers
Farms and farmers	<u>C.12</u>	Agricultural holdings (farms)
	<u>C.13</u>	Farm labour force
	<u>C.14</u>	Age structure of farm managers
	<u>C.15</u>	Agricultural training of farm managers
	<u>C.16</u>	New farm managers

	Indicator No.	Context indicator	
Agricultural land	<u>C.17</u>	Agricultural area	
	<u>C.18</u>	Irrigable land	
	<u>C.19</u>	Farming in Natura 2000 areas	
	<u>C.20</u>	Areas facing natural and other specific constraints (ANCs)	
	<u>C.21</u>	Agricultural land covered with landscape features	
<u>Livestock</u>	<u>C.22</u>	<u>Livestock units</u>	
	<u>C.23</u>	<u>Livestock density</u>	
Agricultural and farm income	<u>C.24</u>	Agricultural factor income	
	<u>C.25</u>	Comparison of agricultural income with non-agricultural labour cost	
	<u>C.26</u>	Farm income by type of farming, by region, by farm size, in areas facing natural and other specific constraints	
	<u>C.27</u>	Gross fixed capital formation in agriculture	
Agricultural productivity	<u>C.28</u>	Total factor productivity in agriculture	
	<u>C.29</u>	Labour productivity in agriculture, in forestry and in the food industry	
Agricultural trade	<u>C.30</u>	Agricultural imports and exports	
Other gainful activities	<u>C.31</u>	Tourism infrastructure	
Farming practices	<u>C.32</u>	Agricultural area under organic farming	
	<u>C.33</u>	Farming intensity	
	<u>C.34</u>	Value of production under EU quality schemes	

	Indicator No.	Context indicator
Biodiversity	<u>C.35</u>	Farmland birds index (FBI)
	<u>C.36</u>	Percentage of species and habitats of Community interest related to agriculture with stable or increasing trends
Water	<u>C.37</u>	Water use in agriculture
	<u>C.38</u>	Water quality
		Gross nutrient balance – nitrogen
		Gross nutrient balance – phosphorus
		Nitrates in ground water
Soil	<u>C.39</u>	Soil organic carbon in agricultural land
	<u>C.40</u>	Soil erosion by water
Energy	<u>C.41</u>	Production of renewable energy from agriculture and forestry
	<u>C.42</u>	Energy use in agriculture, forestry and food industry
Climate	<u>C.43</u>	Greenhouse gas emissions from agriculture
	<u>C.44</u>	Agricultural sector resilience progress indicator
	<u>C.45</u>	Direct agricultural loss attributed to disasters
Air	<u>C.46</u>	Ammonia emissions from agriculture
<u>Health</u>	<u>C.47</u>	Antimicrobials sales in food producing animals
	<u>C.48</u>	Risk and impacts of pesticides

ANNEX II

WTO DOMESTIC SUPPORT PURSUANT TO ARTICLE 10

Type of intervention	Reference in this Regulation	Paragraph of Annex 2 to the WTO Agreement on Agriculture ("Green Box")
Basic income support for sustainability	Title III, Chapter 2 Section 1 Sub- Section 2	5 (if implementation is not based on payment entitlements) 6 (if implementation is based on payment entitlements)
Complementary redistributive income support for sustainability	Title III, Chapter 2 Section 1 Sub- Section 3	5 (if implementation of the related basic income support for sustainability is not based on payment entitlements) 6 (if implementation of the related basic income support for sustainability is based on payment entitlements)
Complementary income support for young farmers	Article 27	5 (if implementation of the related basic income support for sustainability is not based on payment entitlements) 6 (if implementation of the related basic income support for sustainability is based on payment entitlements)

Type of intervention	Reference in this Regulation	Paragraph of Annex 2 to the WTO Agreement on Agriculture ("Green Box")
Schemes for the climate and the environment "eco schemes"	Article 28(6)(a)	5 (if granted only to farmers who are entitled to a basic income support for sustainability and implementation of the related basic income support for sustainability is not based on payment entitlements) 6 (if granted only to farmers who are entitled to a basic income support for sustainability and implementation of the related basic income support for sustainability is based on payment entitlements) 12 (if not if granted to farmers who are entitled to a basic income support for sustainability)
Schemes for the climate and the environment "eco-scheme"	<u>Article 28(6)(b)</u>	12
Fruit and vegetables – investments	Article 43(1)(a)	2, 11 or 12
Fruit and vegetables – research and experimental production	Article 43(1)(b)	2

Type of intervention	Reference in this Regulation	Paragraph of Annex 2 to the WTO Agreement on Agriculture ("Green Box")
Fruit and vegetables – organic production	Article 43(1)(c)	12
Fruit and vegetables - integrated production	Article 43(1)(d)	12
Fruit and vegetables – soil conservation and carbon enhancement	Article 43(1)(e)	12
Fruit and vegetables – creating and maintaining habitats or maintaining landscape	Article 43(1)(f)	12
Fruit and vegetables - energy saving and efficiency, renewable energy	Article 43(1)(g)	11 or 12
Fruit and vegetables – pest resilience	Article 43(1)(h)	2, 11 or 12
Fruit and vegetables – water use and management	Article 43(1)(i)	2 or 11
Fruit and vegetables – reduce waste production and waste management	Article 43(1)(j)	11 or 12

Type of intervention	Reference in this Regulation	Paragraph of Annex 2 to the WTO Agreement on Agriculture ("Green Box")
Fruit and vegetables – transport and storage	Article 43(1)(k)	11
Fruit and vegetables – climate change adaptation and mitigation	Article 43(1)(1)	11 or 12
Fruit and vegetables - quality schemes	Article 43(1)(m) and 43(2)(j)	2
Fruit and vegetables – promotion and communication	Article 43(1)(n)	2
Fruit and vegetables – advisory services and technical assistance	Article 43(1)(o)	2
Fruit and vegetables – training and exchange of best practices	Article 43(1)(p)	2
Fruit and vegetables - mutual funds	Article 43(2)(a)	8
Fruit and vegetables - investments	Article 43(2)(b)	11
Fruit and vegetables - orchard replanting	Article 43(2)(c)	8
Fruit and vegetables - Coaching	Article 43(2)(h)	2

Type of intervention	Reference in this Regulation	Paragraph of Annex 2 to the WTO Agreement on Agriculture ("Green Box")
Fruit and vegetables - implementation and management of third country phytosanitary protocols	Article 43(2)(i)	2
Fruits and vegetables – advisory services and technical assistance	Article 43(2)(k)	2
Apiculture – technical assistance	Article 49(1)(a)	2
Apiculture - combating beehive invaders and diseases	Article 49(1)(b)	2
Apiculture – support laboratories	Article 49(1)(d)	2
Apiculture – research programmes	Article 49(1)(f)	2
Apiculture – market monitoring	Article 49(1)(g)	2
Apiculture – enhancing product quality	Article 49(1)(h)	2

Type of intervention	Reference in this Regulation	Paragraph of Annex 2 to the WTO Agreement on Agriculture ("Green Box")
Wine – restructuring and conversion	Article 52(1)(a)	8
Wine – investments in processing facilities and winery infrastructure	Article 52(1)(b)	11
Wine – investments in innovation	Article 52(1)(e)	11
Wine – information actions	Article 52(1)(g)	2
Wine – promotion	Article 52(1)(h)	2
Wine – administrative costs of mutual funds	Article 52(1)(i)	2
Other sectors (and hops and olive oil and table olives if used for those sectors) – investments, research and experimental production	Article 60(1)(a)	2, 11 or 12
Other sectors (and hops and olive oil and table olives if used for those sectors) – advisory services and technical assistance	Article 60(1)(b)	2

Type of intervention	Reference in this Regulation	Paragraph of Annex 2 to the WTO Agreement on Agriculture ("Green Box")
Other sectors (and hops and olive oil and table olives if used for those sectors) – training and exchange of best practices	Article 60(1)(c)	2
Other sectors (and hops and olive oil and table olives if used for those sectors) – organic production	Article 60(1)(d)	12
Other sectors (and hops and olive oil and table olives if used for those sectors) – sustainable transport and storage	Article 60(1)(e)	2 or 12
Other sectors (and hops and olive oil and table olives if used for those sectors) – promotion and communication	Article 60(1)(f)	2
Other sectors (and hops and olive oil and table olives if used for those sectors) – quality schemes	Article 60(1)(g)	2

Type of intervention	Reference in this Regulation	Paragraph of Annex 2 to the WTO Agreement on Agriculture ("Green Box")
Other sectors (and hops and olive oil and table olives if used for those sectors) – traceability and certification systems	Article 60(1)(h)	2
Other sectors (and hops and olive oil and table olives if used for those sectors) – mutual funds	Article 60(2)(a)	2 or 8
Other sectors (and hops and olive oil and table olives if used for those sectors) - Investments in volume management	Article 60(2)(b)	11
Other sectors (and hops and olive oil and table olives if used for those sectors) – orchard replanting	Article 60(2)(d)	8
Environmental, climate and other management commitments	Article 65	12
Natural or other area-specific constraints; area-specific disadvantages resulting from certain mandatory requirements	Articles 66 , 67	13

Type of intervention	Reference in this Regulation	Paragraph of Annex 2 to the WTO Agreement on Agriculture ("Green Box")
Area-specific disadvantages resulting from certain mandatory requirements	Article 67	<u>13</u>
Investments	Article 68	11 <u>or 8</u>
Co-operation	Article 71	2
Knowledge exchange and innovation information	Article 72	2

ANNEX III

RULES ON CONDITIONALITY PURSUANT TO ARTICLE 11: OBJECTIVES, STANDARDS AND REQUIREMENTS

SMR: Statutory Management Requirement

GAEC: Standards for good agricultural and environmental condition of land

Areas	Main Issue	Requirem	ents and standards	Main objective of the standard	
	Climate change (mitigation of and adaptation to)	GAEC 1	Maintenance of permanent grassland based on a ratio of permanent grassland in relation to agricultural area at national, regional, sub-regional, group-of-holdings or holding level. This ratio shall not decrease by more than 5% compared to reference year 2015.	General safeguard against conversion to other agricultural uses to preserve carbon stock	
		GAEC 2	Appropriate Minimum protection of wetland and peatland at the latest by 2024	Protection of carbon-rich soils	
		GAEC 3	Ban on burning arable stubble, except for plant health reasons	Maintenance of soil organic matter	
	Water	SMR 1	Directive 2000/60/EC of 23 October 2000 of the European Parliament and of the Council establishing a framework for Community action in the field of water policy: Article 11(3)(e) and Article 11(3)(h) as regards mandatory requirements to control diffuse sources of pollution by phosphates		
		SMR 2	Council Directive 91/676/EEC of 12 December 1991 concerning the protection of waters against pollution caused by nitrates from agricultural sources (OJ L 375, 31.12.1991, p. 1): Articles 4 and 5		
		GAEC 4	Establishment of buffer strips along water courses ¹	Protection of river courses against pollution and run-off	
				and run-off	

The GAEC buffer strips must respect, both within and outside vulnerable zones designated pursuant to Article 3(2) of Directive 91/676/EEC, at least the requirements relating to the conditions for land application of fertiliser near water courses, referred to in point A.4 of Annex II to Directive 91/676/EEC to be applied in accordance with the action programmes of Member States established under Article 5(4) of Directive 91/676/EEC

Areas	Main Issue	Requirem	ents and standards	Main objective of the standard	
		GAEC 5	Use of Farm Sustainability Tool for Nutrients ²	Sustainable management of nutrients	
	Soil (protection and quality)	GAEC 6	Tillage management or other appropriate cultivation techniques to limit-reducing the risk of soil degradation, including taking into account the slope consideration gradient	Minimum land management reflecting site specific conditions to limit erosion	
t		GAEC 7	No bare Minimum soil cover in period(s) and areas that are most sensitive period(s)	Protection of soils in period(s) and areas that are most sensitive winter	
		GAEC 8	Crop rotation or other practices aiming at preserving the soil potential, such as crop diversification	Preserve the soil potential	
	Biodiversity and landscape (protection and quality)	SMR 3	Directive 2009/147/EC of the European Parliament and of the Council of 30 November 2009 on the conservation of wild birds (OJ L 20, 26.1.2010, p. 7): Article 3(1), Article 3(2)(b), Article 4(1), (2)		
			and (4)		
		SMR 4	Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild flora and fauna (OJ L 206, 22.7.1992, p. 7):		
			Article 6(1) and (2)		

b) Functionalities

 Automatic integration of data from various sources (LPIS and IACS, farmer-generated data, soil analyses etc.) as far as possible, to avoid data input duplication for farmers;

The Tool shall provide at least for the following elements and functionalities:
a) Elements

Relevant farm information based on LPIS and IACS;

Information from the soil sampling, on an appropriate spatial and temporal scale;

Information on relevant management practices, crop history, and yield goals;

Indications regarding legal limits and requirements relevant to farm nutrients management;

A complete nutrient budget.

Two-way communication between PA/MAs and farmers allowed;

Modularity and possibility to support further sustainability objectives (e.g. emissions management, water management)

Respect of EU data inter-operability, openness and re-use principles;

Guarantees for data security and privacy in line with best current standards.

Areas	Main Issue	Requireme	ents and standards	Main objective of the standard
		GAEC 9	Minimum share of agricultural area arable land and permanent crops devoted to: (i) non-productive features or (ii) catch crops or nitrogen fixing crops, cultivated without plant protection products Retention of landscape features Ban on cutting hedges and trees during the bird breeding and rearing season As an option, measures for avoiding invasive plant species	Maintenance of non- productive features and area to improve on-farm biodiversity
		GAEC 10	Ban on converting or ploughing permanent grassland in Natura 2000 sites designated as environmentally-sensitive permanent grasslands in Natura 2000 sites.	Protection of habitats and species
Public health, animal health and plant health	Food safety	SMR 5	Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety (OJ L 31, 1.2.2002, p. 1): Articles 14 and 15, Article 17(1) ³ and Articles	
			18, 19 and 20	
		SMR 6	Council Directive 96/22/EC of 29 April 1996 concerning the prohibition on the use in stockfarming of certain substances having a hormonal or thyrostatic action and betaagonists, and repealing Directives 81/602/EEC, 88/146/EEC and 88/299/EEC (OJ L 125, 23.5.1996, p. 3):	
			Article 3(a), (b), (d) and (e) and Articles 4, 5 and 7	
	Identification and registration of		Council Directive 2008/71/EC of 15 July 2008 on identification and registration of pigs (OJ L 213, 8.8.2005, p. 31):	
	animals		Articles 3, 4 and 5	

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As implemented in particular by:

[—] Article 14 of Regulation (EC) No 470/2009 and the Annex of Regulation (EC) No 37/2010,

[—] Regulation (EC) No 852/2004: Article 4(1) and Annex I part A (II 4 (g, h, j), 5 (f, h), 6; III 8 (a, b, d, e), 9 (a, c)),

[—] Regulation (EC) No 853/2004: Article 3(1) and Annex III Section IX Chapter 1 (I-1 b, c, d, e; I-2 a (i, ii, iii), b (i, ii), c; I-3; I-4; I-5; II-A 1, 2, 3, 4; II-B 1(a, d), 2, 4 (a, b)), Annex III Section X Chapter 1(1),

[—] Regulation (EC) No 183/2005: Article 5(1) and Annex I, part A (I-4 e, g; II-2 a, b, e), Article 5(5) and Annex III (under the heading 'FEEDING', point 1 entitled 'Storage', first and last sentences, and point 2 entitled 'Distribution', third sentence), Article 5(6), and

[—] Regulation (EC) No 396/2005: Article 18.

Main Issue	Kequiteii	ents and standards	Main objective of the standard	
	SMR-8	Regulation (EC) No 1760/2000 of the European Parliament and of the Council of 17 July 2000 establishing a system for the identification and registration of bovine animals and regarding the labelling of beef and beef products and repealing Council Regulation (EC) No 820/97(OJ L 204, 11.8.2000, p. 1): Articles 4 and 7		
	SMR-9	Council Regulation (EC) No 21/2004 of 17 December 2003 establishing a system for the identification and registration of ovine and caprine animals and amending Regulation (EC) No 1782/2003 and Directives 92/102/EEC and 64/432/EEC (OJ L 5, 9.1.2004, p. 8): Articles 3, 4 and 5]		
Animal diseases	SMR 10	Regulation (EC) No 999/2001 of the European Parliament and of the Council of 22 May 2001 laying down rules for the prevention, control and eradication of certain transmissible spongiform encephalopathies (OJ L 147, 31.5.2001, p. 1):		
	SMR 11	Articles 7, 11, 12, 13 and 15 Regulation (EU) 2016/429 of the European Parliament and of the Council of 9 March 2016 on transmissible animal diseases (OJ L 84, 31.3.2016, p.1) Article 18(1), limited to foot and mouth disease, swine vesicular disease and blue		
Plant protection products	SMR 12	Regulation (EC) No 1107/2009 of the European Parliament and of the Council of 21 October 2009 concerning the placing of plant protection products on the market and repealing Council Directives 79/117/EEC and 91/414/EEC (OJ L 309, 24.11.2009, p. 1): Article 55, first and second sentence		
	SMR 13	Directive 2009/128/EC of the European Parliament and of the Council of 21 October 2009 establishing a framework for Community action to achieve the sustainable use of pesticides (OJ L 309, 24.11.2009, p. 71): Article 5(2) and Article 8(1) to (5) Article 12 with regard to restrictions on the use of pesticides in protected areas defined on the basis of the Water Framework Directive and Natura 2000 legislation.		
	Plant protection	Animal diseases SMR 10 SMR 11 Plant protection products	Parliament and of the Council of 17 July 2000 establishing a system for the identification and registration of bowine animals and regarding the labelling of beef and beef products and repealing Council Regulation (EC) No 820/97(OJ L 204, 11.8.2000, p. 1): Articles 4 and 7 SMR-9 SMR-9 Council Regulation (EC) No 21/2004 of 17 December 2003 establishing a system for the identification and registration of ovine and caprine animals and amending Regulation (EC) No 1782/2003 and Directives 92/102/EEC and 64/432/EEC (OJ L 5, p.1.2004, p. 8): Articles 3, 4 and 51 Animal diseases SMR-10 Regulation (EC) No 999/2001 of the European Parliament and of the Council of 22 May 2001 laying down rules for the prevention, control and eradication of certain transmissible spongiform encephalopathies (OJ L 147, 31.5.2001, p. 1): Articles 7, 11, 12, 13 and 15 SMR-11 Regulation (EU) 2016/429 of the European Parliament and of the Council of 9 March 2016 on transmissible animal diseases (OJ L 84, 31.3.2016, p.1) Article 18(1), limited to foot and mouth disease, swine vesicular disease and blue tongue. Plant protection products on the market and repealing Council Directives 79/117/EEC and 91/414/EEC (OJ L 309, 24.11.2009, p. 1): Article 55, first and second sentence SMR-13 Directive 2009/128/EC of the European Parliament and of the Council of 21 October 2009 establishing a framework for Community action to achieve the sustainable use of pesticides (OJ L 309, 24.11.2009, p. 71): Article 5(2) and Article 8(1) to (5) Article 12 with regard to restrictions on the use of pesticides in protected areas defined on the basis of the Water Framework Directive and	

Areas	Main Issue	Requirem	ents and standards	Main objective of the standard
Animal welfare	Animal welfare	SMR 14	Council Directive 2008/119/EC of 18 December 2008 laying down minimum standards for the protection of calves (OJ L 10, 15.1.2009, p. 7): Articles 3 and 4	
		SMR 15	Council Directive 2008/120/EC of 18 December 2008 laying down minimum standards for the protection of pigs (OJ L 47, 18.2.2009, p. 5): Article 3 and Article 4	
		SMR 16	Council Directive 98/58/EC of 20 July 1998 concerning the protection of animals kept for farming purposes(OJ L 221, 8.8.1998, p. 23): Article 4	

ANNEX X

MINIMUM AMOUNTS RESERVED FOR THE OBJECTIVE "ATTRACT <u>AND SUSTAIN</u> YOUNG FARMERS AND FACILITATE BUSINESS DEVELOPMENT <u>IN RURAL AREAS</u>" AS REFERRED TO IN <u>POINT (g) OF</u> ARTICLE <u>86(5)6</u>

(current prices, in EUR)

Calendar year	2021	2022	2023	2024	2025	2026	2027 and the subsequent years
Belgium	9 712 079	9 712 079	9 712 079	9 712 079	9 712 079	9 712 079	9 712 079
Bulgaria	15 475 439	15 644 780	15 814 121	15 983 462	16 152 803	16 322 144	16 322 144
Czech Republic	16 776 886	16 776 886	16 776 886	16 776 886	16 776 886	16 776 886	16 776 886
Denmark	16 922 490	16 922 490	16 922 490	16 922 490	16 922 490	16 922 490	16 922 490
Germany	96 462 159	96 462 159	96 462 159	96 462 159	96 462 159	96 462 159	96 462 159
Estonia	3 354 430	3 453 356	3 552 281	3 651 206	3 750 131	3 849 057	3 849 057
Ireland	23 278 766	23 278 766	23 278 766	23 278 766	23 278 766	23 278 766	23 278 766

Calendar year	2021	2022	2023	2024	2025	2026	2027 and the subsequent years
Greece	37 120 578	37 120 578	37 120 578	37 120 578	37 120 578	37 120 578	37 120 578
Spain	94 203 434	94 346 677	94 489 919	94 633 162	94 776 404	94 919 647	94 919 647
France	142 955 739	142 955 739	142 955 739	142 955 739	142 955 739	142 955 739	142 955 739
Croatia	6 886 800	7 354 228	7 354 228	7 354 228	7 354 228	7 354 228	7 354 228
Italy	71 203 710	71 203 710	71 203 710	71 203 710	71 203 710	71 203 710	71 203 710
Cyprus	935 002	935 002	935 002	935 002	935 002	935 002	935 002
Latvia	5 992 672	6 165 893	6 339 113	6 512 334	6 685 555	6 858 775	6 858 775
Lithuania	10 216 405	10 494 645	10 772 885	11 051 125	11 329 365	11 607 604	11 607 604
Luxembourg	642 620	642 620	642 620	642 620	642 620	642 620	642 620
Hungary	24 395 393	24 395 393	24 395 393	24 395 393	24 395 393	24 395 393	24 395 393
Malta	90 150	90 150	90 150	90 150	90 150	90 150	90 150

Calendar year	2021	2022	2023	2024	2025	2026	2027 and the subsequent years
Netherlands	14 077 407	14 077 407	14 077 407	14 077 407	14 077 407	14 077 407	14 077 407
Austria	13 296 391	13 296 391	13 296 391	13 296 391	13 296 391	13 296 391	13 296 391
Poland	59 459 556	60 071 486	60 683 415	61 295 345	61 907 274	62 519 203	62 519 203
Portugal	11 693 003	11 865 375	12 037 746	12 210 118	12 382 490	12 554 862	12 554 862
Romania	37 123 452	37 664 232	38 205 012	38 745 792	39 286 572	39 827 352	39 827 352
Slovenia	2 581 053	2 581 053	2 581 053	2 581 053	2 581 053	2 581 053	2 581 053
Slovakia	7 676 128	7 771 499	7 866 870	7 962 242	8 057 613	8 152 985	8 152 985
Finland	10 119 993	10 155 679	10 191 365	10 227 051	10 262 736	10 298 422	10 298 422
Sweden	13 455 218	13 459 695	13 464 172	13 468 649	13 473 126	13 477 604	13 477 604

ANNEX XII

REPORTING BASED ON CORE SET OF INDICATORS PURSUANT TO ARTICLE 128

<u>Indicators for the European Agriculture Guarantee Fund (EAGF)</u> and the European Agriculture Fund for Rural Development (EAFRD)

Objectives	Core set of indicators
Support viable farm income and resilience across the Union to enhance food security;	O.3 Number of CAP support beneficiaries R.6 Redistribution to smaller farms: Percentage additional support per hectare for eligible farms below average farm size (compared to average)
Enhance market orientation and increase competitiveness, including through a greater focus on and uptake of research, innovative solutions, technology and digitalisation;	R.9 Farm modernisation: Share of farmers receiving investment support to restructure and modernise, including to improve resource efficiency
Improve the farmers' position in the value chain;	R.10 Better supply chain organisation : Share of farmers participating in supported Producer Groups, Producer Organisations, local markets, short supply chain circuits and quality schemes
Contribute to climate change mitigation and adaptation, as well as sustainable energy;	R.14 Carbon storage in soils and biomass: Share of agricultural land under commitments to reducing emissions, maintaining and/or enhancing carbon storage (permanent grassland, agricultural land in peatland, forest, etc.)
Foster sustainable development and efficient management of natural	O.13 Number of ha (agricultural) covered by environment/climate commitments going beyond mandatory requirements
resources such as water, soil and air;	R.4 Linking income support to standards and good practices: Share of UAA covered by income support and subject to conditionality

Objectives	Core set of indicators
Contribute to the protection of biodiversity, enhance ecosystem services and preserve habitats and landscapes;	R.27 Preserving habitats and species : Share of agricultural land under management commitments supporting biodiversity conservation or restoration
Attract young farmers and facilitate business development <u>in rural areas</u> ;	R.30 Generational renewal : Number of young farmers setting up a farm with support from the CAP
Promote employment, growth, social inclusion and local development in	R.31 Growth and jobs in rural areas: New jobs in supported projects
rural areas, including bio-economy and sustainable forestry;	R.34 Connecting rural Europe : Share of rural population benefitting from improved access to services and infrastructure through CAP support
Improve the response of EU agriculture to societal demands on food and health, including safe, nutritious and sustainable food, as well as animal welfare.	O.16 Number of livestock units covered by support for animal welfare, health or increased biosecurity measures

ANNEX [X]

List of products referred to in Article 39(f) of this Regulation

CN code // Description

<u>ex 0101</u> <u>Live horses, asses, mules and hinnies:</u>

– Horses

<u>0101 21 00</u> —— Pure-bred breeding animals (a):

<u>0101 29</u> <u>-- Other:</u>

<u>0101 29 10</u> ___ For slaughter⁴

<u>0101 29 90</u> ___ Other

<u>0101 30 00</u> <u>- Asses</u>

<u>0101 90 00</u> <u>– Other</u>

ex 0103 Live swine:

<u>O103 10 00</u> — Pure-bred breeding animals (b)

ex 0106 Other live animals:

<u>0106 14 10</u> <u>– Domestics rabbits</u>

<u>ex 0106 19 00</u> <u>-- Other: reindeers and deers</u>

<u>0106 33 00</u> — Ostriches; emus (*Dromaius novaehollandiae*)

⁴ Entry under this subheading is subject to conditions laid down in the relevant Union provisions (see Articles 291 to 300 of Regulation (EEC) No 2454/93).

<u>ex 0205 00</u> <u>Meat of horses, fresh, chilled or frozen</u>

ex 0208 Other meat and edible meat offal, fresh, chilled or frozen:

<u>ex 0208 10 10</u> <u>— Meat of domestic rabbits</u>

<u>ex 0208 90 10</u> <u>—— Meat of domestic pigeons</u>

<u>ex 0208 90 30</u> —— Met of game other than rabbits or hares

<u>ex 0208 90 60</u> <u>— Meat of reindeers</u>

<u>ex 0407</u> <u>Birds' eggs, in shell, fresh, preserved or cooked:</u>

<u>0407 19 90</u> <u>– Fertilised, other than of poultry</u>

<u>0407 29 90</u> — Other fresh eggs, other than of poultry

<u>0407 90 90</u> — Other eggs, other than of poultry

<u>0701</u> Potatoes, fresh or chilled

ex 0713 Dried leguminous vegetables, shelled, whether or not skinned or split:

ex 0713 10 — Peas (*Pisum sativum*):

<u>0713 10 90</u> —— Other than for sowing

<u>ex 0713 20 00</u> <u>– Chickpeas (garbanzos):</u>

-- Other than for sowing

- Beans (Vigna spp., Phaseolus spp.):

<u>ex 0713 31 00</u>	—— Beans of the species Vigna mungo (L) Hepper or Vigna radiata (L)
	Wilczek:
	Other than for sowing
<u>ex 0713 32 00</u>	—— Small red (Adzuki) beans (<i>Phaseolus</i> or <i>Vigna angularis</i>):
	Other than for sowing
<u>ex 0713 33</u>	— Kidney beans, including white pea beans (Phaseolus vulgaris):
<u>0713 33 90</u>	Other than for sowing
<u>ex 0713 34 00</u>	– – Bambara beans (Vigna subterranea or Voandzeia subterranea)
	Other than for sowing
<u>ex 0713 35 00</u>	– – Cow peas (Vigna unguiculata):
	Other than for sowing
<u>ex 0713 39 00</u>	<u> Other:</u>
	Other than for sowing
<u>ex 0713 40 00</u>	<u>– Lentils:</u>
	Other than for sowing
<u>ex 0713 50 00</u>	- Broad beans (Vicia faba var. major) and horse beans (Vicia faba var.
	equina and Vicia faba var. minor):
	—— Other than for sowing
<u>ex 0713 60 00</u>	– Pigeon peas (Cajanus cajan):
	—— Other than for sowing

<u>ex 0713 90 00</u>	<u>– Other:</u>
	—— Other than for sowing
<u>1201 90 00</u>	Soya beans, whether or not broken, other than seed
1202 41 00	Groundnuts, not roasted or otherwise cooked, in shell, other than seed
<u>1202 42 00</u>	Ground-nuts, not roasted or otherwise cooked, shelled, whether or not broken, other than seed
1203 00 00	<u>Copra</u>
<u>1204 00 90</u>	Linseed, whether or not broken, other than for sowing
<u>1205 10 90</u>	Low euricic acid rape or colza seeds, whether or not broken, other than for sowing
<u>1205 90 00</u>	Other rape or colza seeds, whether or not broken, other than for sowing
<u>1206 00 91</u>	Sunflower seeds, shelled; in grey and white striped shellwhether or not broken, other than for sowing
<u>1206 00 99</u>	Other sunflower seeds, whether or not broken, other than for sowing
<u>1207 29 00</u>	Cotton seeds, whether or not broken, other than for sowing
<u>1207 40 90</u>	Sesamum seeds, whether or not broken, other than for sowing
<u>1207 50 90</u>	Mustard seeds, whether or not broken, other than for sowing
<u>1207 91 90</u>	Poppy seeds, whether or not broken, other than for sowing
<u>1207 99 91</u>	Hemp seeds, whether or not broken, other than for sowing
<u>ex 1207 99 96</u>	Other oilseeds and oleaginous fruits, whether or not broken, other than for sowing

ex 1211 Plants and parts of plants (including seeds and fruits) of a kind used primarily in perfumery, in pharmacy or for insecticidal, fungicidal or similar purposes, fresh or dried, whether or not cut, crushed or powdered excluding the products listed under CN code ex 1211 90 86 in Part IX;

1212 94 00 Chicory roots

<u>ex 1214</u> Swedes, mangolds, fodder roots, hay, lucerne (alfalfa), clover, sainfoin, forage kale, lupines, vetches and similar forage products, whether or not in the form of pellets:

ex 1214 10 00 – Lucerne (alfalfa) meal and pellets:

> --- excluding of lucerne artificially heat-dried or of lucerne otherwise dried and ground

ex 1214 90 Other:

<u>1214 90 10</u> -- Mangolds, swedes and other fodder roots

<u>ex 1214 90 90</u> <u>– – Other, excluding:</u>

> --- Sainfoin, clover, lupines, vetches and similar fodder products artificially heat-dried, except hay and fodder kale and products containing hay

--- Sainfoin, clover, lupines, vetches, honey lotus, chickling pea and birdsfoot, otherwise dried and ground

ex 2206 Other fermented beverages (for example, cider, perry, mead); mixtures of fermented beverages and mixtures of fermented beverages and nonalcoholic beverages, not elsewhere specified or included:

<u>ex 2206 00 31 to</u> <u>— Fermented beverages other than piquette</u> <u>ex 2206 00 89</u>