



Brussels, 27 November 2017  
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14275/17

**BUDGET 32**

## **EXPLANATORY MEMORANDUM**

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Subject: Draft amending budget No 6 to the general budget for 2017: Reduction of payment and commitment appropriations in line with updated forecasts of expenditure and update of revenue (own resources and fines)  
– *Council position of 27 November 2017*

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### **I. INTRODUCTION**

On 9 October 2017, the Commission submitted to the Council draft amending budget (DAB) No 6 to the general budget for 2017.

With regard to the expenditure side, the following modifications are proposed:

- to decrease payment appropriations (p/a) by -EUR 7.7 billion mostly under sub-heading 1b (-EUR 5.9 billion), and to a lesser extent under headings 2, 3 and 4 due to delays in the implementation of the 2014-2020 programmes;
- to decrease commitment appropriations (c/a) by -EUR 15.3 million under heading 2 as a result of the latest needs assessment;
- to decrease c/a and p/a by -EUR 46 million for the European Union Solidarity Fund (EUSF) relating to the payment of advances which will no longer be needed in 2017.

The changes on the revenue side proposed in this DAB concern:

- the revision of the forecast of Traditional Own Resources (i.e. customs duties and sugar levies), value added tax (VAT) and gross national income (GNI) bases, the budgeting of the relevant UK corrections and their financing, which affects the distribution of own resources contributions from Member States to the EU budget;
- entering into the EU budget the fines decided by the Commission, for which all legal remedies were exhausted and which therefore became definitive in the course of 2017, reducing accordingly the own resources contributions from Member States to the EU budget.

Overall, this DAB decreases the level of c/a by -EUR 61.3 million and of p/a by -EUR 7 719.7 million.

## **II. CONCLUSION**

On 27 November 2017, the Council adopted its position on DAB No 6 to the general budget for 2017 as set out in the ANNEX.

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# TECHNICAL ANNEX

**VOLUME 1**  
**TOTAL REVENUE**

# **A. INTRODUCTION AND FINANCING OF THE GENERAL BUDGET**

# FINANCING OF THE GENERAL BUDGET

## Appropriations to be covered during the financial year 2017 pursuant to Article 1 of Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union

### EXPENDITURE

Description	Budget 2017 <sup>1</sup>	Budget 2016 <sup>2</sup>	Change (%)
1. Smart and inclusive growth	49 393 819 321	59 290 697 648	- 16,69
2. Sustainable growth: natural resources	54 120 940 747	54 972 403 654	- 1,55
3. Security and citizenship	3 224 307 287	3 022 387 739	+ 6,68
4. Global Europe	9 055 843 969	10 155 590 403	- 10,83
5. Administration	9 394 599 816	8 950 916 040	+ 4,96
6. Compensation	p.m.	p.m.	—
Special instruments	1 581 200 013	250 475 125	+ 531,28
<b>Total expenditure<sup>3</sup></b>	<b>126 770 711 153</b>	<b>136 642 470 609</b>	<b>- 7,22</b>

### REVENUE

Description	Budget 2017 <sup>4</sup>	Budget 2016 <sup>5</sup>	Change (%)
Miscellaneous revenue (Titles 4 to 9)	4 882 392 898	1 616 701 373	+ 202,00
Surplus available from the preceding financial year (Chapter 3 0, Article 3 0 0)	6 404 529 791	1 349 116 814	+ 374,72
Repayment of the surplus of the Guarantee Fund for external actions (Chapter 3 0, Article 3 0 2)	p.m.	p.m.	—
Balance of own resources accruing from VAT and GNP/GNI-based own resources for earlier years (Chapters 3 1 and 3 2)	p.m.	p.m.	—
<b>Total revenue for Titles 3 to 9</b>	<b>11 286 922 689</b>	<b>2 965 818 187</b>	<b>+ 280,57</b>
Net amount of customs duties and sugar levies (Chapters 1 1 and 1 2)	20 507 300 000	20 247 900 000	+ 1,28
VAT-based own resource at the uniform rate (Tables 1 and 2, Chapter 1 3)	16 620 148 350	16 279 317 150	+ 2,09
Remainder to be financed by the additional resource (GNI-based own resource, Table 3, Chapter 1 4)	78 356 340 114	97 149 435 272	- 19,34
Appropriations to be covered by the own resources referred to in Article 2 of Decision 2014/335/EU, Euratom <sup>6</sup>	115 483 788 464	133 676 652 422	- 13,61
<b>Total revenue<sup>7</sup></b>	<b>126 770 711 153</b>	<b>136 642 470 609</b>	<b>- 7,22</b>

<sup>1</sup> The figures in this column correspond to those in the 2017 budget (OJ L 51, 28.2.2017, p. 1) plus amending budgets No 1 to No 5/2017 and draft amending budget No 6/2017.

<sup>2</sup> The figures in this column correspond to those in the 2016 budget (OJ L 48, 24.2.2016, p. 1) plus amending budgets No 1 to No 6/2016.

<sup>3</sup> The third subparagraph of Article 310(1) of the Treaty on the Functioning of the European Union reads: ‘The revenue and expenditure shown in the budget shall be in balance’.

<sup>4</sup> The figures in this column correspond to those in the 2017 budget (OJ L 51, 28.2.2017, p. 1) plus amending budgets No 1 to No 5/2017 and draft amending budget No 6/2017.

<sup>5</sup> The figures in this column correspond to those in the 2016 budget (OJ L 48, 24.2.2016, p. 1) plus amending budgets No 1 to No 6/2016.

<sup>6</sup> The own resources for the 2017 budget are determined on the basis of the budget forecasts adopted at the 169th meeting of the Advisory Committee on Own Resources on 19 May 2017.

<sup>7</sup> The third subparagraph of Article 310(1) of the Treaty on the Functioning of the European Union reads: ‘The revenue and expenditure shown in the budget shall be in balance’.

**TABLE 1**

**Calculation of capping of harmonised value added tax (VAT) bases  
pursuant to point (b) of Article 2(1) of Decision 2014/335/EU, Euratom**

Member State	1 % of non-capped VAT base	1 % of gross national income	Capping rate (in %)	1 % of gross national income multiplied by capping rate	1 % of capped VAT base <sup>1</sup>	Member States whose VAT base is capped
	(1)	(2)	(3)	(4)	(5)	(6)
Belgium	1 817 020 000	4 308 573 000	50	2 154 286 500	1 817 020 000	
Bulgaria	231 693 000	482 549 000	50	241 274 500	231 693 000	
Czech Republic	695 917 000	1 708 216 000	50	854 108 000	695 917 000	
Denmark	1 095 436 000	2 940 730 000	50	1 470 365 000	1 095 436 000	
Germany	13 445 825 000	32 928 958 000	50	16 464 479 000	13 445 825 000	
Estonia	110 136 000	217 108 000	50	108 554 000	108 554 000	Estonia
Ireland	823 280 000	2 314 297 000	50	1 157 148 500	823 280 000	
Greece	727 024 000	1 817 266 000	50	908 633 000	727 024 000	
Spain	5 112 161 000	11 578 873 000	50	5 789 436 500	5 112 161 000	
France	10 167 196 000	23 241 543 000	50	11 620 771 500	10 167 196 000	
Croatia	283 373 000	463 003 000	50	231 501 500	231 501 500	Croatia
Italy	6 496 358 000	17 052 647 000	50	8 526 323 500	6 496 358 000	
Cyprus	120 825 000	179 095 000	50	89 547 500	89 547 500	Cyprus
Latvia	106 629 000	264 521 000	50	132 260 500	106 629 000	
Lithuania	165 567 000	392 408 000	50	196 204 000	165 567 000	
Luxembourg	269 404 000	372 683 000	50	186 341 500	186 341 500	Luxembourg
Hungary	483 570 000	1 168 247 000	50	584 123 500	483 570 000	
Malta	67 571 000	98 431 000	50	49 215 500	49 215 500	Malta
Netherlands	3 043 113 000	7 128 827 000	50	3 564 413 500	3 043 113 000	
Austria	1 680 780 000	3 606 465 000	50	1 803 232 500	1 680 780 000	
Poland	1 794 467 000	4 228 476 000	50	2 114 238 000	1 794 467 000	
Portugal	937 563 000	1 870 676 000	50	935 338 000	935 338 000	Portugal
Romania	637 693 000	1 749 516 000	50	874 758 000	637 693 000	
Slovenia	191 853 000	409 103 000	50	204 551 500	191 853 000	
Slovakia	288 504 000	823 049 000	50	411 524 500	288 504 000	
Finland	941 017 000	2 207 433 000	50	1 103 716 500	941 017 000	
Sweden	2 085 481 000	4 888 885 000	50	2 444 442 500	2 085 481 000	
United Kingdom	11 056 622 000	23 336 858 000	50	11 668 429 000	11 056 622 000	
<b>Total</b>	<b>64 876 078 000</b>	<b>151 778 436 000</b>		<b>75 889 218 000</b>	<b>64 687 704 000</b>	

<sup>1</sup> The base to be used does not exceed 50 % of GNI.

**TABLE 2**

**Breakdown of own resources accruing from VAT  
pursuant to point (b) of Article 2(1) of Decision 2014/335/EU, Euratom (Chapter 1 3)**

<b>Member State</b>	<b>1 % of capped VAT base</b>	<b>Uniform rate of VAT own resource (in %)</b>	<b>VAT-based own resource at uniform rate</b>
	(1)	(2)	(3) = (1) × (2)
Belgium	1 817 020 000	0,30	545 106 000
Bulgaria	231 693 000	0,30	69 507 900
Czech Republic	695 917 000	0,30	208 775 100
Denmark	1 095 436 000	0,30	328 630 800
Germany	13 445 825 000	0,15	2 016 873 750
Estonia	108 554 000	0,30	32 566 200
Ireland	823 280 000	0,30	246 984 000
Greece	727 024 000	0,30	218 107 200
Spain	5 112 161 000	0,30	1 533 648 300
France	10 167 196 000	0,30	3 050 158 800
Croatia	231 501 500	0,30	69 450 450
Italy	6 496 358 000	0,30	1 948 907 400
Cyprus	89 547 500	0,30	26 864 250
Latvia	106 629 000	0,30	31 988 700
Lithuania	165 567 000	0,30	49 670 100
Luxembourg	186 341 500	0,30	55 902 450
Hungary	483 570 000	0,30	145 071 000
Malta	49 215 500	0,30	14 764 650
Netherlands	3 043 113 000	0,15	456 466 950
Austria	1 680 780 000	0,30	504 234 000
Poland	1 794 467 000	0,30	538 340 100
Portugal	935 338 000	0,30	280 601 400
Romania	637 693 000	0,30	191 307 900
Slovenia	191 853 000	0,30	57 555 900
Slovakia	288 504 000	0,30	86 551 200
Finland	941 017 000	0,30	282 305 100
Sweden	2 085 481 000	0,15	312 822 150
United Kingdom	11 056 622 000	0,30	3 316 986 600
<b>Total</b>	<b>64 687 704 000</b>		<b>16 620 148 350</b>



**TABLE 3****Determination of uniform rate and breakdown of resources based on gross national income pursuant to point (c) of Article 2(1) of Decision 2014/335/EU, Euratom (Chapter 1 4)**

Member State	1 % of gross national income	Uniform rate of 'additional base', own resource	'Additional base' own resource at uniform rate
	(1)	(2)	(3) = (1) × (2)
Belgium	4 308 573 000		2 224 321 322
Bulgaria	482 549 000		249 118 218
Czech Republic	1 708 216 000		881 874 642
Denmark	2 940 730 000		1 518 165 862
Germany	32 928 958 000		16 999 731 324
Estonia	217 108 000		112 083 039
Ireland	2 314 297 000		1 194 766 843
Greece	1 817 266 000		938 172 223
Spain	11 578 873 000		5 977 648 307
France	23 241 543 000		11 998 557 213
Croatia	463 003 000		239 027 503
Italy	17 052 647 000		8 803 510 192
Cyprus	179 095 000		92 458 646
Latvia	264 521 000	0,5162548 <sup>1</sup>	136 560 225
Lithuania	392 408 000		202 582 498
Luxembourg	372 683 000		192 399 373
Hungary	1 168 247 000		603 113 075
Malta	98 431 000		50 815 472
Netherlands	7 128 827 000		3 680 290 875
Austria	3 606 465 000		1 861 854 725
Poland	4 228 476 000		2 182 970 864
Portugal	1 870 676 000		965 745 390
Romania	1 749 516 000		903 195 963
Slovenia	409 103 000		211 201 371
Slovakia	823 049 000		424 902 964
Finland	2 207 433 000		1 139 597 795
Sweden	4 888 885 000		2 523 910 154
United Kingdom	23 336 858 000		12 047 764 036
<b>Total</b>	<b>151 778 436 000</b>		<b>78 356 340 114</b>

<sup>1</sup> Calculation of rate:  $(78\,356\,340\,114) / (151\,778\,436\,000) = 0,516254760419326$ .

**TABLE 4**

**Calculation of the gross reduction in GNI contribution for Denmark, Netherlands and Sweden and its financing pursuant to Article 2(5) of Decision 2014/335/EU, Euratom (Chapter 1 6)**

Member State	Gross reduction	Percentage share of GNI base	GNI key applied to the gross reduction	Financing of the reduction
	(1)	(2)	(3)	(4) = (1) + (3)
Belgium		2,84	31 242 775	31 242 775
Bulgaria		0,32	3 499 110	3 499 110
Czech Republic		1,13	12 386 794	12 386 794
Denmark	- 141 660 311	1,94	21 324 129	- 120 336 182
Germany		21,70	238 777 900	238 777 900
Estonia		0,14	1 574 316	1 574 316
Ireland		1,52	16 781 672	16 781 672
Greece		1,20	13 177 549	13 177 549
Spain		7,63	83 961 933	83 961 933
France		15,31	168 531 504	168 531 504
Croatia		0,31	3 357 376	3 357 376
Italy		11,24	123 653 935	123 653 935
Cyprus		0,12	1 298 672	1 298 672
Latvia		0,17	1 918 122	1 918 122
Lithuania		0,26	2 845 470	2 845 470
Luxembourg		0,25	2 702 438	2 702 438
Hungary		0,77	8 471 315	8 471 315
Malta		0,06	713 753	713 753
Netherlands	- 757 337 819	4,70	51 693 295	- 705 644 524
Austria		2,38	26 151 576	26 151 576
Poland		2,79	30 661 967	30 661 967
Portugal		1,23	13 564 841	13 564 841
Romania		1,15	12 686 273	12 686 273
Slovenia		0,27	2 966 530	2 966 530
Slovakia		0,54	5 968 179	5 968 179
Finland		1,45	16 006 769	16 006 769
Sweden	- 201 593 520	3,22	35 450 794	- 166 142 726
United Kingdom		15,38	169 222 663	169 222 663
<b>Total</b>	<b>-1 100 591 650</b>	<b>100,00</b>	<b>1 100 591 650</b>	<b>0</b>
EU GDP price deflator, in EUR (spring 2016 economic forecast) : (a) 2011 EU27 = 101,2392 / (b) 2013 EU27 = 104,3369 (c) 2013 EU28 = 104,3226 / (d) 2017 EU28 = 110,3047				
Lump-sum for Netherlands: in 2017 prices: 695 000 000 EUR × [ (b/a) × (d/c) ] = 757 337 819 EUR				
Lump-sum for Sweden: in 2017 prices: 185 000 000 EUR × [ (b/a) × (d/c) ] = 201 593 520 EUR				
Lump-sum for Denmark: in 2017 prices: 130 000 000 EUR × [ (b/a) × (d/c) ] = 141 660 311 EUR				

**TABLE 5.1**

**Correction of budgetary imbalances for the United Kingdom for the year 2016  
pursuant to Article 4 of Decision 2014/335/EU, Euratom (Chapter 1 5)**

Description	Coefficient <sup>1</sup> (%)	Amount
1. United Kingdom's share (in %) of notional uncapped VAT base	17,5900%	
2. United Kingdom's share (in %) of enlargement-adjusted total allocated expenditure	7,6814%	
3. (1) – (2)	9,9086%	
4. Total allocated expenditure		117 477 286 403
5. Enlargement related expenditure <sup>2</sup>		25 506 896 869
6. Enlargement-adjusted total allocated expenditure = (4) – (5)		91 970 389 534
7. United Kingdom's correction original amount = (3) × (6) × 0,66		6 014 542 348
8. United Kingdom's advantage <sup>3</sup>		1 128 635 343
9. Core United Kingdom's correction = (7) – (8)		4 885 907 005
10. Windfall gains deriving from traditional own resources <sup>4</sup>		- 46 683 873
<b>11. Correction for the United Kingdom = (9) – (10)</b>		<b>4 932 590 878</b>

<sup>1</sup> Rounded percentages.

<sup>2</sup> The amount of enlargement-related expenditure corresponds to total allocated expenditure in 13 Member States (that have acceded to the EU after 30 April 2004), except for agricultural direct payments and market-related expenditure as well as that part of rural development expenditure originating from the EAGGF, Guarantee Section.

<sup>3</sup> The 'UK advantage' corresponds to the effects arising for the United Kingdom from the changeover to capped VAT and the introduction of the GNP/GNI-based own resource.

<sup>4</sup> These windfall gains correspond to the net gains of the United Kingdom resulting from the increase — from 10 to 20% as of 1 January 2014 — in the percentage of traditional own resources retained by Member States to cover the collection costs of traditional own resources (TOR).

**TABLE 5.2**

**Correction of budgetary imbalances for the United Kingdom for the year 2013  
pursuant to Article 4 of Decision 2007/436/EC, Euratom (Chapter 3 5)**

Description	Coefficient <sup>1</sup> (%)	Amount
1. United Kingdom's share (in %) of notional uncapped VAT base	16,2955%	
2. United Kingdom's share (in %) of enlargement-adjusted total allocated expenditure	6,0959%	
3. (1) – (2)	10,1996%	
4. Total allocated expenditure		134 745 129 775
5. Enlargement related expenditure <sup>2</sup>		31 288 595 815
6. Enlargement-adjusted total allocated expenditure = (4) – (5)		103 456 533 960
7. United Kingdom's correction original amount = (3) × (6) × 0,66		6 964 389 260
8. United Kingdom's advantage <sup>3</sup>		931 944 129
9. Core United Kingdom's correction = (7) – (8)		6 032 445 131
10. Windfall gains deriving from traditional own resources <sup>4</sup>		10 994 751
<b>11. Correction for the United Kingdom = (9) – (10)<sup>5</sup></b>		<b>6 021 450 379</b>

<sup>1</sup> Rounded percentages.

<sup>2</sup> The amount of enlargement-related expenditure corresponds to total allocated expenditure in 13 Member States (that have acceded to the EU after 30 April 2004), except for agricultural direct payments and market-related expenditure as well as that part of rural development expenditure originating from the EAGGF, Guarantee Section.

<sup>3</sup> The 'UK advantage' corresponds to the effects arising for the United Kingdom from the changeover to capped VAT and the introduction of the GNP/GNI-based own resource.

<sup>4</sup> These windfall gains correspond to the net gains of the United Kingdom resulting from the increase — from 10 to 20% as of 1 January 2014 — in the percentage of traditional own resources retained by Member States to cover the collection costs of traditional own resources (TOR).

<sup>5</sup> The difference of € -98 402 760 between the definitive amount of the 2013 UK correction (€ 6 021 450 379, as calculated here) and the previously budgeted amount of the 2013 UK correction (€ 5 923 047 619, entered in the AB 5/2016) is financed in chapter 35 of the DAB 6/2017 (see table 6.2).

**TABLE 6.1**

**Calculation of the financing of the correction for the United Kingdom  
amounting to EUR – 4 932 590 878 (Chapter 1 5)**

Member State	Percentage share of GNI base	Shares without the United Kingdom	Shares without Germany, the Netherlands, Austria, Sweden and the United Kingdom	Three quarters of the share of Germany, the Netherlands, Austria and Sweden in column 2	Column 4 distributed in accordance with column 3	Financing scale	Financing scale applied to the correction
	(1)	(2)	(3)	(4)	(5)	(6) = (2) + (4) + (5)	(7)
Belgium	2,84	3,35	5,39		1,53	4,88	240 885 677
Bulgaria	0,32	0,38	0,60		0,17	0,55	26 978 571
Czech Republic	1,13	1,33	2,14		0,61	1,94	95 503 724
Denmark	1,94	2,29	3,68		1,04	3,33	164 411 683
Germany	21,70	25,64	0,00	-19,23	0,00	6,41	316 145 831
Estonia	0,14	0,17	0,27		0,08	0,25	12 138 174
Ireland	1,52	1,80	2,90		0,82	2,62	129 388 779
Greece	1,20	1,41	2,27		0,64	2,06	101 600 542
Spain	7,63	9,01	14,49		4,11	13,12	647 356 946
France	15,31	18,10	29,09		8,25	26,34	1 299 398 854
Croatia	0,31	0,36	0,58		0,16	0,52	25 885 784
Italy	11,24	13,28	21,35		6,05	19,33	953 387 216
Cyprus	0,12	0,14	0,22		0,06	0,20	10 012 925
Latvia	0,17	0,21	0,33		0,09	0,30	14 788 961
Lithuania	0,26	0,31	0,49		0,14	0,44	21 938 927
Luxembourg	0,25	0,29	0,47		0,13	0,42	20 836 132
Hungary	0,77	0,91	1,46		0,41	1,32	65 314 890
Malta	0,06	0,08	0,12		0,03	0,11	5 503 126
Netherlands	4,70	5,55	0,00	-4,16	0,00	1,39	68 442 765
Austria	2,38	2,81	0,00	-2,11	0,00	0,70	34 625 113
Poland	2,79	3,29	5,29		1,50	4,79	236 407 577
Portugal	1,23	1,46	2,34		0,66	2,12	104 586 612
Romania	1,15	1,36	2,19		0,62	1,98	97 812 744
Slovenia	0,27	0,32	0,51		0,15	0,46	22 872 318
Slovakia	0,54	0,64	1,03		0,29	0,93	46 015 401
Finland	1,45	1,72	2,76		0,78	2,50	123 414 177
Sweden	3,22	3,81	0,00	-2,85	0,00	0,95	46 937 429
United Kingdom	15,38	0,00	0,00		0,00	0,00	0
<b>Total</b>	<b>100,00</b>	<b>100,00</b>	<b>100,00</b>	<b>-28,35</b>	<b>28,35</b>	<b>100,00</b>	<b>4 932 590 878</b>

The calculations are made to 15 decimal places.

**TABLE 6.2****Financing of the definitive 2013 UK correction (chapter 35)**

<b>Member State</b>	<b>Amount</b>
	<b>(1)</b>
Belgium	3 427 431
Bulgaria	1 515 290
Czech Republic	2 998 256
Denmark	6 889 492
Germany	8 754 255
Estonia	368 634
Ireland	5 640 096
Greece	2 191 253
Spain	- 651 779
France	18 525 521
Croatia	824 776
Italy	25 072 902
Cyprus	228 695
Latvia	81 908
Lithuania	1 117 632
Luxembourg	2 999 679
Hungary	1 214 768
Malta	320 388
Netherlands	1 151 037
Austria	418 805
Poland	2 257 310
Portugal	1 399 728
Romania	2 993 513
Slovenia	916 682
Slovakia	2 214 808
Finland	4 965 839
Sweden	565 841
United Kingdom	- 98 402 760
<b>Total</b>	<b>0</b>

**TABLE 7**  
**Summary of financing<sup>1</sup> of the general budget by type of own resource and by Member State**

Member State	Traditional own resources (TOR)				VAT and GNI-based own resources, including adjustments						Total own resources <sup>2</sup>
	Net sugar sector levies (80 %)	Net customs duties (80 %)	Total net traditional own resources (80 %)	Collection costs (20 % of gross TOR) (p.m.)	VAT-based own resource	GNI-based own resource	Reduction in favour of: Denmark, Netherlands, Austria and Sweden	United Kingdom correction	Total 'national contributions'	Share in total 'national contributions' (%)	
	(1)	(2)	(3) = (1) + (2)	(4)	(5)	(6)	(7)	(8)	(9) = (5) + (6) + (7) + (8)	(10)	(11) = (3) + (9)
Belgium	7 000 000	2 180 792 231	2 187 792 231	546 948 058	545 106 000	2 224 321 322	31 242 775	244 313 108	3 044 983 205	3,21	5 232 775 436
Bulgaria	400 000	74 252 985	74 652 985	18 663 246	69 507 900	249 118 218	3 499 110	28 493 861	350 619 089	0,37	425 272 074
Czech Republic	3 600 000	253 894 259	257 494 259	64 373 565	208 775 100	881 874 642	12 386 794	98 501 980	1 201 538 516	1,27	1 459 032 775
Denmark	3 600 000	333 053 412	336 653 412	84 163 353	328 630 800	1 518 165 862	- 120 336 182	171 301 175	1 897 761 655	2,00	2 234 415 067
Germany	28 100 000	4 214 682 435	4 242 782 435	1 060 695 604	2 016 873 750	16 999 731 324	238 777 900	324 900 086	19 580 283 060	20,62	23 823 065 495
Estonia	0	29 059 618	29 059 618	7 264 905	32 566 200	112 083 039	1 574 316	12 506 808	158 730 363	0,17	187 789 981
Ireland	0	277 104 213	277 104 213	69 276 053	246 984 000	1 194 766 843	16 781 672	135 028 875	1 593 561 390	1,68	1 870 665 603
Greece	1 500 000	156 903 067	158 403 067	39 600 767	218 107 200	938 172 223	13 177 549	103 791 795	1 273 248 767	1,34	1 431 651 834
Spain	5 000 000	1 521 384 214	1 526 384 214	381 596 054	1 533 648 300	5 977 648 307	83 961 933	646 705 167	8 241 963 707	8,68	9 768 347 921
France	33 000 000	1 599 033 778	1 632 033 778	408 008 445	3 050 158 800	11 998 557 213	168 531 504	1 317 924 375	16 535 171 892	17,41	18 167 205 670
Croatia	1 900 000	45 759 463	47 659 463	11 914 866	69 450 450	239 027 503	3 357 376	26 710 560	338 545 889	0,36	386 205 352
Italy	5 000 000	1 910 669 874	1 915 669 874	478 917 469	1 948 907 400	8 803 510 192	123 653 935	978 460 118	11 854 531 645	12,48	13 770 201 519
Cyprus	0	20 285 123	20 285 123	5 071 281	26 864 250	92 458 646	1 298 672	10 241 620	130 863 188	0,14	151 148 311
Latvia	0	40 664 595	40 664 595	10 166 149	31 988 700	136 560 225	1 918 122	14 870 869	185 337 916	0,20	226 002 511
Lithuania	900 000	76 989 117	77 889 117	19 472 279	49 670 100	202 582 498	2 845 470	23 056 559	278 154 627	0,29	356 043 744
Luxembourg	0	19 530 328	19 530 328	4 882 582	55 902 450	192 399 373	2 702 438	23 835 811	274 840 072	0,29	294 370 400
Hungary	2 200 000	140 108 872	142 308 872	35 577 218	145 071 000	603 113 075	8 471 315	66 529 658	823 185 048	0,87	965 493 920
Malta	0	11 888 025	11 888 025	2 972 006	14 764 650	50 815 472	713 753	5 823 514	72 117 389	0,08	84 005 414
Netherlands	7 700 000	2 433 176 899	2 440 876 899	610 219 225	456 466 950	3 680 290 875	- 705 644 524	69 593 802	3 500 707 103	3,69	5 941 584 002
Austria	3 400 000	204 077 771	207 477 771	51 869 443	504 234 000	1 861 854 725	26 151 576	35 043 918	2 427 284 219	2,56	2 634 761 990
Poland	13 700 000	608 648 035	622 348 035	155 587 009	538 340 100	2 182 970 864	30 661 967	238 664 887	2 990 637 818	3,15	3 612 985 853
Portugal	200 000	138 316 233	138 516 233	34 629 058	280 601 400	965 745 390	13 564 841	105 986 340	1 365 897 971	1,44	1 504 414 204
Romania	1 000 000	148 034 222	149 034 222	37 258 556	191 307 900	903 195 963	12 686 273	100 806 257	1 207 996 393	1,27	1 357 030 615

<sup>1</sup> p.m. (own resources + other revenue = total revenue = total expenditure); (115 483 788 464 + 11 286 922 689 = 126 770 711 153 = 126 770 711 153).

<sup>2</sup> Total own resources as percentage of GNI: (115 483 788 464) / (15 177 843 600 000) = 0,76 %; own resources ceiling as percentage of GNI: 1,20 %

Slovenia	0	67 648 526	67 648 526	16 912 132	57 555 900	211 201 371	2 966 530	23 789 000	295 512 801	0,31	363 161 327
Slovakia	1 400 000	95 670 300	97 070 300	24 267 575	86 551 200	424 902 964	5 968 179	48 230 209	565 652 552	0,60	662 722 852
Finland	800 000	140 486 269	141 286 269	35 321 567	282 305 100	1 139 597 795	16 006 769	128 380 016	1 566 289 680	1,65	1 707 575 949
Sweden	2 800 000	497 410 082	500 210 082	125 052 521	312 822 150	2 523 910 154	- 166 142 726	47 503 270	2 718 092 848	2,86	3 218 302 930
United Kingdom	10 100 000	3 134 476 054	3 144 576 054	786 144 014	3 316 986 600	12 047 764 036	169 222 663	-5 030 993 638	10 502 979 661	11,06	13 647 555 715
<b>Total</b>	<b>133 300 000</b>	<b>20 374 000 000</b>	<b>20 507 300 000</b>	<b>5 126 825 000</b>	<b>16 620 148 350</b>	<b>78 356 340 114</b>	<b>0</b>	<b>0</b>	<b>94 976 488 464</b>	<b>100,00%</b>	<b>115 483 788 464</b>



## **B. GENERAL STATEMENT OF REVENUE BY BUDGET HEADING**

# REVENUE —

## Figures

Title	Heading	Budget 2017	Council position on DAB No 6/2017	New amount
1	OWN RESOURCES	125 313 448 674	-9 829 660 210	115 483 788 464
3	SURPLUSES, BALANCES AND ADJUSTMENTS	6 404 529 791		6 404 529 791
4	REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES	1 490 262 072		1 490 262 072
5	REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS	70 200 866		70 200 866
6	CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES	60 000 000		60 000 000
7	DEFAULT INTEREST AND FINES	1 120 000 000	2 110 000 000	3 230 000 000
8	BORROWING AND LENDING OPERATIONS	6 928 960		6 928 960
9	MISCELLANEOUS REVENUE	25 001 000		25 001 000
	<b>Total</b>	<b>134 490 371 363</b>	<b>-7 719 660 210</b>	<b>126 770 711 153</b>

## TITLE 1 — OWN RESOURCES

### Figures

Title Chapter	Heading	Budget 2017	Council position on DAB No 6/2017	New amount
1 1	LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM)	133 300 000		133 300 000
1 2	CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM	21 333 700 000	-959 700 000	20 374 000 000
1 3	OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO POINT (B) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM	16 598 937 750	21 210 600	16 620 148 350
1 4	OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO POINT (C) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM	87 247 510 924	-8 891 170 810	78 356 340 114
1 5	CORRECTION OF BUDGETARY IMBALANCES	0		0
1 6	GROSS REDUCTION IN THE ANNUAL GNI-BASED CONTRIBUTION GRANTED TO CERTAIN MEMBER STATES	0		0
	<b>Title 1 — Total</b>	<b>125 313 448 674</b>	<b>-9 829 660 210</b>	<b>115 483 788 464</b>

## Chapter 1 2 — CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM

### Figures

Title Chapter Article Item	Heading	Budget 2017	Council position on DAB No 6/2017	New amount
1 2	CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM			
1 2 0	<i>Customs duties and other duties referred to in point (a) of Article 2(1) of Decision 2014/335/EU, Euratom</i>	21 333 700 000	-959 700 000	20 374 000 000
	<b>Chapter 1 2 — Total</b>	<b>21 333 700 000</b>	<b>-959 700 000</b>	<b>20 374 000 000</b>

### *Article 1 2 0 — Customs duties and other duties referred to in point (a) of Article 2(1) of Decision 2014/335/EU, Euratom*

### Figures

Budget 2017	Council position on DAB No 6/2017	New amount
21 333 700 000	-959 700 000	20 374 000 000

### Remarks

The assignment of customs duties as own resources to the financing of common expenditure is the logical consequence of the free movement of goods within the Union. This article may comprise levies, premiums, additional or compensatory amounts, additional amounts or factors, Common Customs Tariff duties and other duties established or to be established by the institutions of the European Union in respect of trade with third countries and customs duties on products under the expired Treaty establishing the European Coal and Steel Community.

Figures are net of collection costs.

### Legal basis

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular point (a) of Article 2(1) thereof.

Member State	Budget 2017	Council position on DAB No 6/2017	New amount
Belgium	2 113 800 000	66 992 231	2 180 792 231
Bulgaria	67 900 000	6 352 985	74 252 985
Czech Republic	265 700 000	- 11 805 741	253 894 259
Denmark	415 800 000	- 82 746 588	333 053 412
Germany	4 415 800 000	- 201 117 565	4 214 682 435
Estonia	29 900 000	- 840 382	29 059 618
Ireland	333 500 000	- 56 395 787	277 104 213
Greece	155 400 000	1 503 067	156 903 067
Spain	1 501 400 000	19 984 214	1 521 384 214
France	1 743 100 000	- 144 066 222	1 599 033 778
Croatia	47 300 000	- 1 540 537	45 759 463
Italy	1 952 000 000	- 41 330 126	1 910 669 874
Cyprus	19 600 000	685 123	20 285 123
Latvia	34 000 000	6 664 595	40 664 595
Lithuania	85 900 000	- 8 910 883	76 989 117
Luxembourg	19 000 000	530 328	19 530 328

Member State	Budget 2017	Council position on DAB No 6/2017	New amount
Hungary	150 100 000	- 9 991 128	140 108 872
Malta	13 200 000	- 1 311 975	11 888 025
Netherlands	2 555 700 000	- 122 523 101	2 433 176 899
Austria	223 600 000	- 19 522 229	204 077 771
Poland	602 600 000	6 048 035	608 648 035
Portugal	136 800 000	1 516 233	138 316 233
Romania	141 000 000	7 034 222	148 034 222
Slovenia	73 600 000	- 5 951 474	67 648 526
Slovakia	100 600 000	- 4 929 700	95 670 300
Finland	137 600 000	2 886 269	140 486 269
Sweden	575 000 000	- 77 589 918	497 410 082
United Kingdom	3 423 800 000	- 289 323 946	3 134 476 054
Article 1 2 0 — Total	21 333 700 000	- 959 700 000	20 374 000 000

### CHAPTER 13 — OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO POINT (B) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM

#### Figures

Title Chapter Article Item	Heading	Budget 2017	Council position on DAB No 6/2017	New amount
1 3	OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO POINT (B) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM			
1 3 0	<i>Own resources accruing from value added tax pursuant to point (b) of Article 2(1) of Decision 2014/335/EU, Euratom</i>	16 598 937 750	21 210 600	16 620 148 350
	Chapter 1 3 — Total	16 598 937 750	21 210 600	16 620 148 350

#### *Article 1 3 0 — Own resources accruing from value added tax pursuant to point (b) of Article 2(1) of Decision 2014/335/EU, Euratom*

#### Figures

Budget 2017	Council position on DAB No 6/2017	New amount
16 598 937 750	21 210 600	16 620 148 350

#### Remarks

The applied uniform rate valid for all Member States to the harmonised VAT assessment bases determined according to Union rules is fixed at 0,30 %. The assessment base to be taken into account for this purpose shall not exceed 50 % of GNI for each Member State. For the period 2014-2020 only, the rate of call of the VAT-based own resource for Germany, the Netherlands and Sweden shall be fixed at 0,15 %.

#### Legal basis

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular point (b) of Article 2(1) and Article 2(4) thereof.

Member State	Budget 2017	Council position on DAB No 6/2017	New amount
Belgium	530 323 200	14 782 800	545 106 000
Bulgaria	64 650 300	4 857 600	69 507 900
Czech Republic	212 455 800	- 3 680 700	208 775 100
Denmark	318 802 500	9 828 300	328 630 800
Germany	2 025 927 900	- 9 054 150	2 016 873 750
Estonia	32 484 900	81 300	32 566 200
Ireland	251 320 200	- 4 336 200	246 984 000
Greece	233 254 800	- 15 147 600	218 107 200
Spain	1 470 944 400	62 703 900	1 533 648 300
France	2 984 214 000	65 944 800	3 050 158 800
Croatia	68 895 300	555 150	69 450 450
Italy	1 872 447 000	76 460 400	1 948 907 400
Cyprus	26 562 750	301 500	26 864 250
Latvia	31 362 900	625 800	31 988 700
Lithuania	48 017 700	1 652 400	49 670 100
Luxembourg	54 522 600	1 379 850	55 902 450
Hungary	140 130 000	4 941 000	145 071 000
Malta	14 510 700	253 950	14 764 650
Netherlands	432 688 500	23 778 450	456 466 950
Austria	482 235 600	21 998 400	504 234 000
Poland	566 854 800	- 28 514 700	538 340 100
Portugal	268 796 700	11 804 700	280 601 400
Romania	178 125 900	13 182 000	191 307 900
Slovenia	55 640 700	1 915 200	57 555 900
Slovakia	82 906 200	3 645 000	86 551 200
Finland	279 193 200	3 111 900	282 305 100
Sweden	320 007 300	- 7 185 150	312 822 150
United Kingdom	3 551 661 900	- 234 675 300	3 316 986 600
<b>Article 1 3 0 — Total</b>	<b>16 598 937 750</b>	<b>21 210 600</b>	<b>16 620 148 350</b>

## CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO POINT (C) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM

### Figures

Title Chapter Article Item	Heading	Budget 2017	Council position on DAB No 6/2017	New amount
1 4	OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO POINT (C) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM			
<i>1 4 0</i>	<i>Own resources based on gross national income pursuant to point (c) of Article 2(1) of Decision 2014/335/EU, Euratom</i>	87 247 510 924	-8 891 170 810	78 356 340 114
	<b>Chapter 1 4 — Total</b>	<b>87 247 510 924</b>	<b>-8 891 170 810</b>	<b>78 356 340 114</b>

### *Article 1 4 0 — Own resources based on gross national income pursuant to point (c) of Article 2(1) of Decision 2014/335/EU, Euratom*

### Figures

Budget 2017	Council position on DAB No 6/2017	New amount
87 247 510 924	-8 891 170 810	78 356 340 114

### Remarks

The GNI-based resource is an ‘additional’ resource, providing the revenue required to cover expenditure in excess of the amount yielded by traditional own resources, VAT-based payments and other revenue in any particular year. By implication, the GNI-based resource ensures that the general budget of the Union is always balanced *ex ante*.

The GNI call rate is determined by the additional revenue needed to finance the budgeted expenditure not covered by the other resources (VAT-based payments, traditional own resources and other revenue). Thus a call rate is applied to the GNI of each of the Member States.

The rate to be applied to the Member States’ gross national income for this financial year is 0,5163 %.

### Legal basis

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular point (c) of Article 2(1) thereof.

Member State	Budget 2017	Council position on DAB No 6/2017	New amount
Belgium	2 470 811 750	- 246 490 428	2 224 321 322
Bulgaria	255 418 816	- 6 300 598	249 118 218
Czech Republic	931 715 610	- 49 840 968	881 874 642
Denmark	1 650 958 606	- 132 792 744	1 518 165 862
Germany	18 717 060 757	-1 717 329 433	16 999 731 324
Estonia	124 278 230	- 12 195 191	112 083 039
Ireland	1 160 058 097	34 708 746	1 194 766 843
Greece	1 031 624 294	- 93 452 071	938 172 223
Spain	6 569 903 096	- 592 254 789	5 977 648 307
France	13 183 786 758	-1 185 229 545	11 998 557 213
Croatia	260 035 805	- 21 008 302	239 027 503
Italy	9 693 154 464	- 889 644 272	8 803 510 192
Cyprus	100 257 435	- 7 798 789	92 458 646
Latvia	155 153 455	- 18 593 230	136 560 225
Lithuania	224 027 258	- 21 444 760	202 582 498
Luxembourg	205 788 031	- 13 388 658	192 399 373
Hungary	654 537 786	- 51 424 711	603 113 075
Malta	54 768 635	- 3 953 163	50 815 472
Netherlands	4 099 872 073	- 419 581 198	3 680 290 875
Austria	2 024 012 963	- 162 158 238	1 861 854 725
Poland	2 451 562 504	- 268 591 640	2 182 970 864
Portugal	1 062 920 738	- 97 175 348	965 745 390
Romania	1 001 363 913	- 98 167 950	903 195 963
Slovenia	229 109 626	- 17 908 255	211 201 371
Slovakia	460 783 364	- 35 880 400	424 902 964
Finland	1 229 056 454	- 89 458 659	1 139 597 795
Sweden	2 901 826 721	- 377 916 567	2 523 910 154
United Kingdom	14 343 663 685	-2 295 899 649	12 047 764 036
<b>Article 1 4 0 — Total</b>	<b>87 247 510 924</b>	<b>-8 891 170 810</b>	<b>78 356 340 114</b>

## Chapter 15 — CORRECTION OF BUDGETARY IMBALANCES

### Figures

Title Chapter Article Item	Heading	Budget 2017	Council position on DAB No 6/2017	New amount
1 5	CORRECTION OF BUDGETARY IMBALANCES			
1 5 0	<i>Correction of budgetary imbalances granted to the United Kingdom in accordance with Articles 4 and 5 of Decision 2014/335/EU, Euratom</i>	0		0
	<b>Chapter 1 5 — Total</b>	<b>0</b>		<b>0</b>

### *Article 1 5 0 — Correction of budgetary imbalances granted to the United Kingdom in accordance with Articles 4 and 5 of Decision 2014/335/EU, Euratom*

### Figures

Budget 2017	Council position on DAB No 6/2017	New amount
0		0

### Remarks

The budgetary imbalance correction mechanism in favour of the United Kingdom (UK correction) was introduced by the European Council in Fontainebleau in June 1984 and the resulting own resources decision of 1985. The purpose of the mechanism is to reduce the UK budgetary imbalance through a reduction in its payments to the Union.

### Legal basis

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular Articles 4 and 5 thereof.

Member State	Budget 2017	Council position on DAB No 6/2017	New amount
Belgium	259 335 598	- 18 449 921	240 885 677
Bulgaria	26 808 676	169 895	26 978 571
Czech Republic	97 792 567	- 2 288 843	95 503 724
Denmark	173 284 078	- 8 872 395	164 411 683
Germany	336 225 054	- 20 079 223	316 145 831
Estonia	13 044 203	- 906 029	12 138 174
Ireland	121 759 321	7 629 458	129 388 779
Greece	108 278 950	- 6 678 408	101 600 542
Spain	689 574 892	- 42 217 946	647 356 946
France	1 383 765 970	- 84 367 116	1 299 398 854
Croatia	27 293 273	- 1 407 489	25 885 784
Italy	1 017 390 340	- 64 003 124	953 387 216
Cyprus	10 522 988	- 510 063	10 012 925
Latvia	16 284 856	- 1 495 895	14 788 961
Lithuania	23 513 828	- 1 574 901	21 938 927
Luxembourg	21 599 445	- 763 313	20 836 132
Hungary	68 700 073	- 3 385 183	65 314 890
Malta	5 748 498	- 245 372	5 503 126
Netherlands	73 648 300	- 5 205 535	68 442 765
Austria	36 358 479	- 1 733 366	34 625 113
Poland	257 315 203	- 20 907 626	236 407 577
Portugal	111 563 815	- 6 977 203	104 586 612
Romania	105 102 831	- 7 290 087	97 812 744



Member State	Budget 2017	Council position on DAB No 6/2017	New amount
Slovenia	24 047 272	- 1 174 954	22 872 318
Slovakia	48 363 672	- 2 348 271	46 015 401
Finland	129 001 366	- 5 587 189	123 414 177
Sweden	52 127 140	- 5 189 711	46 937 429
United Kingdom	-5 238 450 688	305 859 810	-4 932 590 878
Article 1 5 0 — Total	0	0	0

## CHAPTER 1 6 — GROSS REDUCTION IN THE ANNUAL GNI-BASED CONTRIBUTION GRANTED TO CERTAIN MEMBER STATES

### Figures

Title Chapter Article Item	Heading	Budget 2017	Council position on DAB No 6/2017	New amount
1 6	GROSS REDUCTION IN THE ANNUAL GNI-BASED CONTRIBUTION GRANTED TO CERTAIN MEMBER STATES			
1 6 0	<i>Gross reduction in the annual GNI-based contribution granted to certain Member States pursuant to Article 2(5) of Decision 2014/335/EU, Euratom</i>	0		0
	Chapter 1 6 — Total	0		0

### *Article 1 6 0 — Gross reduction in the annual GNI-based contribution granted to certain Member States pursuant to Article 2(5) of Decision 2014/335/EU, Euratom*

### Figures

Budget 2017	Council position on DAB No 6/2017	New amount
0		0

### Remarks

This article is intended to record reductions in the annual GNI contributions of certain Member States in accordance with Council Decision 2014/335/EU, Euratom.

### Legal basis

Council Regulation (EU, Euratom) No 609/2014 of 26 May 2014 on the methods and procedure for making available the traditional, VAT and GNI-based own resources and on the measures to meet cash requirements (OJ L 168, 7.6.2014, p. 39), and in particular Article 10a(6) thereof.

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular Article 2(5) thereof.

Member State	Budget 2017	Council position on DAB No 6/2017	New amount
Belgium	31 168 279	74 496	31 242 775
Bulgaria	3 222 004	277 106	3 499 110
Czech Republic	11 753 211	633 583	12 386 794
Denmark	- 120 834 144	497 962	- 120 336 182
Germany	236 108 063	2 669 837	238 777 900
Estonia	1 567 719	6 597	1 574 316
Ireland	14 633 658	2 148 014	16 781 672
Greece	13 013 518	164 031	13 177 549
Spain	82 876 639	1 085 294	83 961 933
France	166 308 075	2 223 429	168 531 504
Croatia	3 280 245	77 131	3 357 376
Italy	122 275 177	1 378 758	123 653 935
Cyprus	1 264 707	33 965	1 298 672
Latvia	1 957 197	- 39 075	1 918 122
Lithuania	2 826 012	19 458	2 845 470
Luxembourg	2 595 932	106 506	2 702 438
Hungary	8 256 726	214 589	8 471 315
Malta	690 884	22 869	713 753
Netherlands	- 705 619 608	- 24 916	- 705 644 524
Austria	25 532 095	619 481	26 151 576
Poland	30 925 458	- 263 491	30 661 967
Portugal	13 408 310	156 531	13 564 841
Romania	12 631 796	54 477	12 686 273
Slovenia	2 890 124	76 406	2 966 530
Slovakia	5 812 594	155 585	5 968 179
Finland	15 504 044	502 725	16 006 769
Sweden	- 164 988 163	- 1 154 563	- 166 142 726
United Kingdom	180 939 448	- 11 716 785	169 222 663
<b>Article 1 6 0 — Total</b>	<b>0</b>	<b>0</b>	<b>0</b>

## TITLE 3 — SURPLUSES, BALANCES AND ADJUSTMENTS

### Figures

Title Chapter	Heading	Budget 2017	Council position on DAB No 6/2017	New amount
3 0	SURPLUS AVAILABLE FROM THE PRECEDING FINANCIAL YEAR	6 404 529 791		6 404 529 791
3 1	BALANCES AND ADJUSTMENT OF BALANCES BASED ON VAT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10B OF REGULATION (EU, EURATOM) No 609/2014	p.m.		p.m.
3 2	BALANCES AND ADJUSTMENTS OF BALANCES BASED ON GROSS NATIONAL INCOME/PRODUCT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10B OF REGULATION (EU, EURATOM) No 609/2014	p.m.		p.m.
3 3	NETTING OF ADJUSTMENTS TO THE VAT AND GNI-BASED OWN RESOURCES FOR PREVIOUS FINANCIAL YEARS	p.m.		p.m.
3 4	ADJUSTMENT RELATING TO THE NON-PARTICIPATION OF CERTAIN MEMBER STATES IN CERTAIN POLICIES IN THE AREA OF FREEDOM, SECURITY AND JUSTICE	p.m.		p.m.
3 5	RESULT OF THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM	p.m.		0
3 6	RESULT OF INTERMEDIATE UPDATES OF THE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM	p.m.		p.m.
3 7	ADJUSTMENT RELATING TO THE IMPLEMENTATION OF OWN RESOURCES DECISIONS	p.m.		p.m.
<b>Title 3 — Total</b>		<b>6 404 529 791</b>		<b>6 404 529 791</b>

## CHAPTER 3 5 — RESULT OF THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM

### Figures

Title Chapter Article Item	Heading	Budget 2017	Council position on DAB No 6/2017	New amount
3 5	RESULT OF THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM			
3 5 0	<i>Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom</i>			
3 5 0 4	Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom	p.m.	0	0
	<i>Article 3 5 0 — Subtotal</i>	p.m.		0
	<b>Chapter 3 5 — Total</b>	<b>p.m.</b>		<b>0</b>

**Article 3 5 0 — Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom**

Item 3 5 0 4 — Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom

*Figures*

Budget 2017	Council position on DAB No 6/2017	New amount
p.m.	0	0

*Remarks*

Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom.

The figures correspond to the result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom in respect of the correction for the year 2013.

*Legal basis*

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Articles 4 and 5 thereof.

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular Articles 4 and 5 thereof.

Member State	Budget 2017	Council position on DAB No 6/2017	New amount
Belgium	p.m.	3 427 431	3 427 431
Bulgaria	p.m.	1 515 290	1 515 290
Czech Republic	p.m.	2 998 256	2 998 256
Denmark	p.m.	6 889 492	6 889 492
Germany	p.m.	8 754 255	8 754 255
Estonia	p.m.	368 634	368 634
Ireland	p.m.	5 640 096	5 640 096
Greece	p.m.	2 191 253	2 191 253
Spain	p.m.	- 651 779	- 651 779
France	p.m.	18 525 521	18 525 521
Croatia	p.m.	824 776	824 776
Italy	p.m.	25 072 902	25 072 902
Cyprus	p.m.	228 695	228 695
Latvia	p.m.	81 908	81 908
Lithuania	p.m.	1 117 632	1 117 632
Luxembourg	p.m.	2 999 679	2 999 679
Hungary	p.m.	1 214 768	1 214 768
Malta	p.m.	320 388	320 388
Netherlands	p.m.	1 151 037	1 151 037
Austria	p.m.	418 805	418 805
Poland	p.m.	2 257 310	2 257 310
Portugal	p.m.	1 399 728	1 399 728
Romania	p.m.	2 993 513	2 993 513
Slovenia	p.m.	916 682	916 682

Member State	Budget 2017	Council position on DAB No 6/2017	New amount
Slovakia	p.m.	2 214 808	2 214 808
Finland	p.m.	4 965 839	4 965 839
Sweden	p.m.	565 841	565 841
United Kingdom	p.m.	- 98 402 760	- 98 402 760
<b>Item 3 5 0 4 — Total</b>	<b>p.m.</b>	<b>0</b>	<b>0</b>

## TITLE 7 — DEFAULT INTEREST AND FINES

### Figures

Title Chapter	Heading	Budget 2017	Council position on DAB No 6/2017	New amount
7 0	DEFAULT INTEREST AND INTEREST ON FINES	20 000 000		20 000 000
7 1	FINES AND PENALTIES	1 100 000 000	2 110 000 000	3 210 000 000
	<b>Title 7 — Total</b>	<b>1 120 000 000</b>	<b>2 110 000 000</b>	<b>3 230 000 000</b>

## CHAPTER 7 1 — FINES AND PENALTIES

### Figures

Title Chapter Article Item	Heading	Budget 2017	Council position on DAB No 6/2017	New amount
7 1	FINES AND PENALTIES			
7 1 0	<i>Fines, periodic penalty payments and other penalties in connection with the implementation of the rules on competition</i>	1 100 000 000	2 110 000 000	3 210 000 000
7 1 1	<i>Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty</i>	p.m.		p.m.
7 1 2	<i>Fines imposed for fraud and irregularities which are damaging to the Union's financial interests</i>	p.m.		p.m.
7 1 3	<i>Fines in the framework of the Union's economic governance — Assigned revenue</i>	p.m.		p.m.
7 1 9	<i>Other fines and penalty payments</i>			
7 1 9 0	Other fines and penalty payments — Assigned revenue	p.m.		p.m.
7 1 9 1	Other non-assigned fines and penalty payments	p.m.		p.m.
	<i>Article 7 1 9 — Subtotal</i>	p.m.		p.m.
	<b>Chapter 7 1 — Total</b>	<b>1 100 000 000</b>	<b>2 110 000 000</b>	<b>3 210 000 000</b>

### *Article 7 1 0 — Fines, periodic penalty payments and other penalties in connection with the implementation of the rules on competition*

### Figures

Budget 2017	Council position on DAB No 6/2017	New amount
1 100 000 000	2 110 000 000	3 210 000 000

### *Remarks*

The Commission may impose fines, periodic penalty payments and other penalties on undertakings and associations of undertakings for not respecting bans or not carrying out their obligations under the Regulations below or under Articles 101 and 102 of the Treaty on the Functioning of the European Union.

The fines must normally be paid within three months of the Commission decision being notified. However, the Commission will not collect the amount due when the undertaking has lodged an appeal with the Court of Justice of the European Union. The undertaking must provide the Commission with either a provisional payment or a financial guarantee covering both the principal of the debt and the interest or surcharges by the final date for payment.

### *Legal basis*

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

**VOLUME III**

**SECTION III - COMMISSION**

# REVENUE — REVENUE

## Figures

Title	Heading	Budget 2017	Council position on DAB No 6/2017	New amount
4	Revenue accruing from persons working with the institution and other Union bodies	1 138 640 638		1 138 640 638
5	Revenue accruing from the administrative operation of the institution	70 000 000		70 000 000
6	Contributions and refunds in connection with Union agreements and programmes	60 000 000		60 000 000
7	Default interest and fines	1 120 000 000	2 110 000 000	3 230 000 000
8	Borrowing and lending operations	6 928 960		6 928 960
9	Miscellaneous revenue	25 000 000		25 000 000
	<b>Total</b>	<b>2 420 569 598</b>	<b>2 110 000 000</b>	<b>4 530 569 598</b>

## TITLE 7 — DEFAULT INTEREST AND FINES

### Figures

Title Chapter	Heading	Budget 2017	Council position on DAB No 6/2017	New amount
7 0	DEFAULT INTEREST AND INTEREST ON FINES	20 000 000		20 000 000
7 1	FINES AND PENALTIES	1 100 000 000	2 110 000 000	3 210 000 000
	<b>Title 7 — Total</b>	<b>1 120 000 000</b>	<b>2 110 000 000</b>	<b>3 230 000 000</b>

## CHAPTER 7 1 — FINES AND PENALTIES

### Figures

Title Chapter Article Item	Heading	Budget 2017	Council position on DAB No 6/2017	New amount
7 1	FINES AND PENALTIES			
7 1 0	<i>Fines, periodic penalty payments and other penalties in connection with the implementation of the rules on competition</i>	1 100 000 000	2 110 000 000	3 210 000 000
7 1 1	<i>Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty</i>	p.m.		p.m.
7 1 2	<i>Fines imposed for fraud and irregularities which are damaging to the Union's financial interests</i>	p.m.		p.m.
7 1 3	<i>Fines in the framework of the Union's economic governance — Assigned revenue</i>	p.m.		p.m.
7 1 9	<i>Other fines and penalty payments</i>			
7 1 9 0	Other fines and penalty payments — Assigned revenue	p.m.		p.m.
7 1 9 1	Other non-assigned fines and penalty payments	p.m.		p.m.
	<i>Article 7 1 9 — Subtotal</i>	p.m.		p.m.
	<b>Chapter 7 1 — Total</b>	<b>1 100 000 000</b>	<b>2 110 000 000</b>	<b>3 210 000 000</b>



**Article 7 1 0 — Fines, periodic penalty payments and other penalties in connection with the implementation of the rules on competition**

*Figures*

Budget 2017	Council position on DAB No 6/2017	New amount
1 100 000 000	2 110 000 000	3 210 000 000

*Remarks*

The Commission may impose fines, periodic penalty payments and other penalties on undertakings and associations of undertakings for not respecting bans or not carrying out their obligations under the Regulations enumerated below or under Articles 101 and 102 of the Treaty on the Functioning of the European Union.

The fines must normally be paid within three months of the Commission decision being notified. However, the Commission will not collect the amount due when the undertaking has lodged an appeal with the Court of Justice of the European Union. The undertaking must provide the Commission with either a provisional payment or a financial guarantee covering both the principal of the debt and interest or surcharges by the final date for payment.

*Legal basis*

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (the EC Merger Regulation) (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

# EXPENDITURE — EXPENDITURE

Figures

Title	Heading	Budget 2017		Council position on DAB No 6/2017		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
01	Economic and financial affairs	3 361 394 801	3 115 247 301			3 361 394 801	3 115 247 301
02	Internal market, industry, entrepreneurship and SMEs	2 455 727 091	2 260 420 906			2 455 727 091	2 260 420 906
03	Competition	108 427 562	108 427 562			108 427 562	108 427 562
04	Employment, social affairs and inclusion	14 313 326 529	10 725 565 124		-1 150 000 000	14 313 326 529	9 575 565 124
05	Agriculture and rural development	57 537 879 867	54 110 140 315	-3 400 000	-781 900 000	57 534 479 867	53 328 240 315
06	Mobility and transport	3 783 964 054	1 815 351 093			3 783 964 054	1 815 351 093
07	Environment	472 838 520	388 338 137			472 838 520	388 338 137
08	Research and innovation	6 192 803 780	5 911 660 897			6 192 803 780	5 911 660 897
09	Communications networks, content and technology	2 008 048 360	2 164 759 630			2 008 048 360	2 164 759 630
10	Direct research	401 736 330	401 569 370			401 736 330	401 569 370
11	Maritime affairs and fisheries	1 090 330 395	752 871 678	-207 268	-207 268	1 090 123 127	752 664 410
		14 809 522	14 809 522	-11 166 522	-10 361 522	3 643 000	4 448 000
		1 105 139 917	767 681 200	-11 373 790	-10 568 790	1 093 766 127	757 112 410
12	Financial stability, financial services and capital markets union	85 913 287	88 425 287			85 913 287	88 425 287
		4 856 000	3 267 000			4 856 000	3 267 000
		90 769 287	91 692 287			90 769 287	91 692 287
13	Regional and urban policy	39 825 339 821	26 768 072 268	-46 000 000	-4 815 693 246	39 779 339 821	21 952 379 022
		23 625 000	12 375 000			23 625 000	12 375 000
		39 848 964 821	26 780 447 268			39 802 964 821	21 964 754 022
14	Taxation and customs union	178 361 995	161 007 995			178 361 995	161 007 995
15	Education and culture	3 366 357 284	3 146 029 354			3 366 357 284	3 146 029 354
16	Communication	211 571 438	210 059 438			211 571 438	210 059 438
17	Health and food safety	564 254 603	541 521 603	-560 000	-560 000	563 694 603	540 961 603
18	Migration and home affairs	3 419 137 519	2 800 013 252		-259 650 000	3 419 137 519	2 540 363 252
		40 000 000	28 000 000		-28 000 000	40 000 000	p.m.
		3 459 137 519	2 828 013 252		-287 650 000	3 459 137 519	2 540 363 252
19	Foreign policy instruments	738 187 747	699 292 859			738 187 747	699 292 859
20	Trade	113 201 323	111 701 323			113 201 323	111 701 323
21	International cooperation and development	3 702 842 929	3 339 435 538			3 702 842 929	3 339 435 538
22	Neighbourhood and enlargement negotiations	4 508 080 400	3 856 253 509		-673 288 174	4 508 080 400	3 182 965 335
23	Humanitarian aid and civil protection	1 052 651 277	1 254 755 387			1 052 651 277	1 254 755 387
24	Fight against fraud	82 246 700	80 192 081			82 246 700	80 192 081
25	Commission's policy coordination and legal advice	232 305 442	232 055 442			232 305 442	232 055 442
26	Commission's administration	1 065 512 732	1 063 133 732			1 065 512 732	1 063 133 732
		4 644 253	4 644 253			4 644 253	4 644 253
		1 070 156 985	1 067 777 985			1 070 156 985	1 067 777 985
27	Budget	76 142 758	76 142 758			76 142 758	76 142 758
28	Audit	19 227 094	19 227 094			19 227 094	19 227 094
29	Statistics	143 533 663	127 573 663			143 533 663	127 573 663
30	Pensions and related expenditure	1 796 802 000	1 796 802 000			1 796 802 000	1 796 802 000
31	Language services	407 877 123	407 877 123			407 877 123	407 877 123
32	Energy	1 643 319 742	1 316 740 381			1 643 319 742	1 316 740 381
33	Justice and consumers	270 997 258	238 117 353			270 997 258	238 117 353
34	Climate action	146 724 470	102 431 675			146 724 470	102 431 675

Title	Heading	Budget 2017		Council position on DAB No 6/2017		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
40	Reserves	594 658 775	378 095 775	-11 166 522	-38 361 522	583 492 253	339 734 253
	<b>Total</b>	<b>155 971 724 669</b>	<b>130 569 308 903</b>	<b>-61 333 790</b>	<b>-7 719 660 210</b>	<b>155 910 390 879</b>	<b>122 849 648 693</b>
	Of which Reserves: 40 01 40, 40 02 41	87 934 775	63 095 775	-11 166 522	-38 361 522	76 768 253	24 734 253

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

### Figures

Title Chapter	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
04 01	Administrative expenditure of the 'Employment, social affairs and inclusion' policy area		103 133 045	103 133 045			103 133 045	103 133 045
04 02	European Social Fund	1	13 399 726 072	9 891 741 079		-1 150 000 000	13 399 726 072	8 741 741 079
04 03	Employment, Social Affairs and Inclusion		264 640 500	214 691 000			264 640 500	214 691 000
04 04	European Globalisation Adjustment Fund (egf)	9	p.m.	25 000 000			p.m.	25 000 000
04 05	Instrument for Pre-Accession Assistance — Employment, Social Policies and Human Resources Development	4	p.m.	50 000 000			p.m.	50 000 000
04 06	Fund for European Aid to the Most Deprived	1	545 826 912	441 000 000			545 826 912	441 000 000
	<b>Title 04 — Total</b>		<b>14 313 326 529</b>	<b>10 725 565 124</b>		<b>-1 150 000 000</b>	<b>14 313 326 529</b>	<b>9 575 565 124</b>

## CHAPTER 04 02 — EUROPEAN SOCIAL FUND

### Figures

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
04 02	European Social Fund							
04 02 01	Completion of the European Social Fund — Objective 1 (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 02	Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 03	Completion of the European Social Fund — Objective 1 (prior to 2000)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 04	Completion of the European Social Fund — Objective 2 (2000 to 2006)		p.m.	p.m.			p.m.	p.m.
04 02 05	Completion of the European Social Fund — Objective 2 (prior to 2000)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 06	Completion of the European Social Fund — Objective 3 (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 07	Completion of the European Social Fund — Objective 3 (prior to 2000)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 08	Completion of EQUAL (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 09	Completion of previous Community initiatives (prior to 2000)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 10	Completion of the European Social Fund — Innovative actions and technical assistance (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 11	Completion of the European Social Fund — Innovative actions and technical assistance (prior to 2000)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 17	Completion of the European Social Fund — Convergence (2007 to 2013)	1.2	p.m.	510 000 000			p.m.	510 000 000

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
04 02 18	Completion of the European Social Fund — PEACE (2007 to 2013)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 19	Completion of the European Social Fund — Regional competitiveness and employment (2007 to 2013)	1.2	p.m.	190 000 000			p.m.	190 000 000
04 02 20	Completion of the European Social Fund — Operational technical assistance (2007 to 2013)	1.2	p.m.	500 000			p.m.	500 000
04 02 60	European Social Fund — Less developed regions — Investment for growth and jobs goal	1.2	7 346 787 700	4 979 660 000		-667 462 307	7 346 787 700	4 312 197 693
04 02 61	European Social Fund — Transition regions — Investment for growth and jobs goal	1.2	1 907 753 625	1 109 539 000		-148 720 085	1 907 753 625	960 818 915
04 02 62	European Social Fund — More developed regions — Investment for growth and jobs goal	1.2	3 629 184 747	2 490 475 000		-333 817 608	3 629 184 747	2 156 657 392
04 02 63	European Social Fund — Operational technical assistance							
04 02 63 01	European Social Fund — Operational technical assistance	1.2	16 000 000	11 000 000			16 000 000	11 000 000
04 02 63 02	European Social Fund — Operational technical assistance managed by the Commission at the request of a Member State	1.2	p.m.	567 079			p.m.	567 079
	<i>Article 04 02 63 — Subtotal</i>		16 000 000	11 567 079			16 000 000	11 567 079
04 02 64	Youth Employment Initiative	1.2	500 000 000	600 000 000			500 000 000	600 000 000
	<b>Chapter 04 02 — Total</b>		<b>13 399 726 072</b>	<b>9 891 741 079</b>		<b>-1 150 000 000</b>	<b>13 399 726 072</b>	<b>8 741 741 079</b>

### Remarks

Article 175 of the Treaty on the Functioning of the European Union (TFEU) provides that the objectives of economic, social and territorial cohesion set out in Article 174 shall be supported by the action it takes through the Structural Funds, which includes the European Social Fund (ESF). The tasks, priority objectives and the organisation of the Structural Funds are defined in accordance with Article 177 TFEU.

Article 80 of the Financial Regulation provides for financial corrections in the event of expenditure incurred in breach of applicable law.

Article 39 of Regulation (EC) No 1260/1999, Articles 100 and 102 of Regulation (EC) No 1083/2006 and Articles 85, 144 and 145 of Regulation (EU) No 1303/2013 on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to the ESF.

Any revenue from the financial corrections carried out on that basis is entered in Article 6 5 1, 6 5 2, 6 5 3 or 6 5 4 of the statement of revenue and constitutes assigned revenue in accordance with point (c) of Article 21(3) of the Financial Regulation.

Article 177 of the Financial Regulation lays down the conditions for the repayment in full, or in part, of pre-financing payments in respect of a given operation.

Article 82 of Regulation (EC) No 1083/2006 provides for specific rules on repayment of pre-financing amounts applicable to the ESF.

Pre-financing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Measures to combat fraud are funded under Article 24 02 01.

### *Legal basis*

Treaty on the Functioning of the European Union, and in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 39 thereof.

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25), and in particular Articles 82, 83, 100 and 102 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 21(3) and (4), and Articles 80 and 177 thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

### *Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Conclusions of the Brussels European Council of 16 and 17 December 2005.

Conclusions of the European Council of 7 and 8 February 2013.

### ***Article 04 02 60 — European Social Fund — Less developed regions — Investment for growth and jobs goal***

#### *Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 346 787 700	4 979 660 000		-667 462 307	7 346 787 700	4 312 197 693

### Remarks

This appropriation is intended to cover ESF support under the Investment for growth and jobs goal in less developed regions in the 2014-2020 programming period. The catching-up process for these economically and socially lagging regions requires long-term sustained efforts. This category of regions concerns those regions whose GDP per capita is less than 75 % of the average GDP of the EU-27.

Promotion of equality between women and men horizontally and through specific actions should be part of the support provided by the ESF, in order to improve the employability of women and their participation in the labour market. Special attention should be paid to non-discrimination in the labour market, combating the feminisation of poverty, access to education and affordable childcare services.

### Legal basis

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470), and in particular point (c) of Article 4(3) thereof.

### **Article 04 02 61 — European Social Fund — Transition regions — Investment for growth and jobs goal**

#### Figures

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 907 753 625	1 109 539 000		-148 720 085	1 907 753 625	960 818 915

### Remarks

This appropriation is intended to cover ESF support under the Investment for growth and jobs goal in the 2014-2020 programming period in a new category of region ('transition regions') that replaces the 2007-2013 phasing-out and phasing-in system. This category of regions includes all regions with a GDP per capita is between 75 and 90 % of the average GDP of the EU-27.

Gender mainstreaming and gender equality specific actions should be part of the support provided by the ESF, in order to improve the employability of women and their participation in the labour market. Special attention should be paid to non-discrimination in the labour market, combating the feminisation of poverty, access to education and affordable childcare services.

### *Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470), and in particular point (b) of Article 4(3) thereof.

### ***Article 04 02 62 — European Social Fund — More developed regions — Investment for growth and jobs goal***

#### *Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 629 184 747	2 490 475 000		-333 817 608	3 629 184 747	2 156 657 392

#### *Remarks*

This appropriation is intended to cover ESF support under the Investment for growth and jobs goal in more developed regions in the 2014-2020 programming period. While interventions in the less developed regions will remain the priority for cohesion policy, this appropriation is thus intended to cover important challenges that concern all Member States such as global competition in the knowledge-based economy, the shift towards the low carbon economy and social polarisation exacerbated by the current economic climate. This category of regions concerns those regions whose GDP per capita is above 90 % of the average GDP of the EU-27.

Gender mainstreaming and gender equality specific actions should be part of the support provided by the ESF, in order to improve the employability of women and their participation in the labour market. Special attention should be paid to non-discrimination in the labour market, combating the feminisation of poverty, access to education and affordable childcare services.

#### *Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470), and in particular point (a) of Article 4(3) thereof.

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

*Figures*

Title Chapter	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
05 01	Administrative expenditure of the 'Agriculture and rural development' policy area		135 331 385	135 331 385	-900 000	-900 000	134 431 385	134 431 385
05 02	Improving the competitiveness of the agricultural sector through interventions in agricultural markets	2	2 806 800 000	2 766 337 000			2 806 800 000	2 766 337 000
05 03	Direct payments aimed at contributing to farm incomes, limiting farm income variability and meeting environment and climate objectives	2	39 661 700 000	39 661 700 000			39 661 700 000	39 661 700 000
05 04	Rural development	2	14 355 548 697	11 201 022 443	-1 500 000	-780 000 000	14 354 048 697	10 421 022 443
05 05	Instrument for Pre-accession Assistance — Agriculture and rural development	4	199 000 000	89 970 000			199 000 000	89 970 000
05 06	International aspects of the 'Agriculture and rural development' policy area	4	8 285 849	8 285 849			8 285 849	8 285 849
05 07	Audit of agricultural expenditure financed by the European Agricultural Guarantee Fund (EAGF)	2	85 279 139	83 900 515			85 279 139	83 900 515
05 08	Policy strategy and coordination of the 'Agriculture and rural development' policy area	2	48 810 940	41 944 954	-1 000 000	-1 000 000	47 810 940	40 944 954
05 09	Horizon 2020 — Research and innovation related to agriculture	1	237 123 857	121 648 169			237 123 857	121 648 169
<b>Title 05 — Total</b>			<b>57 537 879 867</b>	<b>54 110 140 315</b>	<b>-3 400 000</b>	<b>-781 900 000</b>	<b>57 534 479 867</b>	<b>53 328 240 315</b>



**CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA**

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2017	Council position on DAB No 6/2017	New amount
05 01	Administrative expenditure of the ‘Agriculture and rural development’ policy area				
<b>05 01 01</b>	<b>Expenditure related to officials and temporary staff in the ‘Agriculture and rural development’ policy area</b>	5.2	100 777 140		100 777 140
<b>05 01 02</b>	<b>External personnel and other management expenditure in support of the ‘Agriculture and rural development’ policy area</b>				
05 01 02 01	External personnel	5.2	3 419 215		3 419 215
05 01 02 11	Other management expenditure	5.2	6 490 597		6 490 597
	<i>Article 05 01 02 — Subtotal</i>		9 909 812		9 909 812
<b>05 01 03</b>	<b>Expenditure related to information and communication technology equipment and services of the ‘Agriculture and rural development’ policy area</b>	5.2	6 292 864		6 292 864
<b>05 01 04</b>	<b>Support expenditure for operations and programmes in the ‘Agriculture and rural development’ policy area</b>				
05 01 04 01	Support expenditure for the European Agricultural Guarantee Fund (EAGF) — Non-operational technical assistance	2	7 682 000	-900 000	6 782 000
05 01 04 03	Support expenditure for pre-accession assistance in the field of agriculture and rural development (IPA)	4	459 960		459 960
05 01 04 04	Support expenditure for the European Agricultural Fund for Rural Development (EAFRD) — Non-operational technical assistance	2	4 910 000		4 910 000
	<i>Article 05 01 04 — Subtotal</i>		13 051 960	-900 000	12 151 960
<b>05 01 05</b>	<b>Support expenditure for research and innovation programmes in the ‘Agriculture and rural development’ policy area</b>				
05 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	1.1	1 535 400		1 535 400
05 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	1.1	433 545		433 545
05 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	1.1	830 664		830 664
	<i>Article 05 01 05 — Subtotal</i>		2 799 609		2 799 609
<b>05 01 06</b>	<b>Executive agencies</b>				
05 01 06 01	Consumer, Health, Agriculture and Food Executive Agency — Contribution from the agricultural promotion programme	2	2 500 000		2 500 000
	<i>Article 05 01 06 — Subtotal</i>		2 500 000		2 500 000
	<b>Chapter 05 01 — Total</b>		<b>135 331 385</b>	<b>-900 000</b>	<b>134 431 385</b>

*Remarks*

The following legal basis applies to all articles and items of this chapter unless otherwise stated.

*Legal basis*

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

***Article 05 01 04 — Support expenditure for operations and programmes in the ‘Agriculture and rural development’ policy area***

*Legal basis*

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Council Regulation (EC) No 1268/1999 of 21 June 1999 on Community support for pre-accession measures for agriculture and rural development in the applicant countries of central and eastern Europe in the pre-accession period (OJ L 161, 26.6.1999, p. 87).

Council Regulation (EC) No 870/2004 of 24 April 2004 establishing a Community programme on the conservation, characterisation, collection and utilisation of genetic resources in agriculture and repealing Regulation (EC) No 1467/94 (OJ L 162, 30.4.2004, p. 18).

Council Regulation (EC) No 1698/2005 of 20 September 2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (OJ L 277, 21.10.2005, p. 1).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1305/2013 of the European Parliament and of the Council of 17 December 2013 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) and repealing Council Regulation (EC) No 1698/2005 (OJ L 347, 20.12.2013, p. 487).

Regulation (EU) No 1307/2013 of the European Parliament and of the Council of 17 December 2013 establishing rules for direct payments to farmers under support schemes within the framework of the common agricultural policy and repealing Council Regulation (EC) No 637/2008 and Council Regulation (EC) No 73/2009 (OJ L 347, 20.12.2013, p. 608).

Regulation (EU) No 1308/2013 of the European Parliament and of the Council of 17 December 2013 establishing a common organisation of the markets in agricultural products and repealing

Council Regulations (EEC) No 922/72, (EEC) No 234/79, (EC) No 1037/2001 and (EC) No 1234/2007 (OJ L 347, 20.12.2013, p. 671).

Regulation (EU) No 1310/2013 of the European Parliament and of the Council of 17 December 2013 laying down certain transitional provisions on support for rural development by the European Agricultural Fund for Rural Development (EAFRD), amending Regulation (EU) No 1305/2013 of the European Parliament and of the Council as regards resources and their distribution in respect of the year 2014 and amending Council Regulation (EC) No 73/2009 and Regulations (EU) No 1307/2013, (EU) No 1306/2013 and (EU) No 1308/2013 of the European Parliament and of the Council as regards their application in the year 2014 (OJ L 347, 20.12.2013, p. 865).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

Item 05 01 04 01 — Support expenditure for the European Agricultural Guarantee Fund (EAGF) — Non-operational technical assistance

### Figures

Budget 2017	Council position on DAB No 6/2017	New amount
7 682 000	-900 000	6 782 000

### Remarks

This appropriation is intended to cover the preparatory, monitoring, administrative and technical support activities, as well as evaluation, audit and inspection measures required to implement the common agricultural policy and in particular the measures as stipulated in points (a), (d), (e) and (f) of Article 6 of Regulation (EU) No 1306/2013.

This includes expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the genetic resources programme laid down by Regulation (EC) No 870/2004. It also includes the expenditure for the financing of the Conciliation Body within the framework of the common agricultural policy clearance of accounts (fees, equipment, travel and meetings).

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations in accordance with Articles 21 and 174 of the Financial Regulation.

## CHAPTER 05 04 — RURAL DEVELOPMENT

### Figures

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
05 04	Rural development							
05 04 01	<i>Completion of rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006</i>							
05 04 01 14	Completion of rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006	2	p.m.	p.m.			p.m.	p.m.
	<i>Article 05 04 01 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
05 04 03	<i>Completion of other measures</i>							
05 04 03 02	Plant and animal genetic resources — Completion of earlier measures	2	p.m.	p.m.			p.m.	p.m.

			p.m.	p.m.			p.m.	p.m.
<b>05 04 05</b>	<i>Article 05 04 03 — Subtotal</i>							
	<b>Completion of rural development financed by the European Agricultural Fund for Rural Development (EAFRD) (2007 to 2013)</b>							
05 04 05 01	Rural development programmes	2	p.m.	1 280 000 000		-780 000 000	p.m.	500 000 000
05 04 05 02	Operational technical assistance	2	p.m.	p.m.			p.m.	p.m.
	<i>Article 05 04 05 — Subtotal</i>							
<b>05 04 51</b>	<b>Completion of rural development financed by the EAGGF Guidance Section — Programming period prior to 2000</b>	2	p.m.	p.m.			p.m.	p.m.
<b>05 04 52</b>	<b>Completion of rural development financed by the EAGGF Guidance section and the transitional instrument for rural development for the new Member States financed by the EAGGF Guarantee Section — Programming period 2000 to 2006</b>	2	p.m.	p.m.			p.m.	p.m.
<b>05 04 60</b>	<b>European Agricultural Fund for Rural Development — EAFRD (2014 to 2020)</b>							
05 04 60 01	Promoting sustainable rural development, a more territorially and environmentally balanced, climate-friendly and innovative Union agricultural sector	2	14 337 026 697	9 902 000 000			14 337 026 697	9 902 000 000
05 04 60 02	Operational technical assistance	2	18 522 000	19 022 443	-1 500 000		17 022 000	19 022 443
05 04 60 03	Operational technical assistance managed by the Commission at the request of a Member State	2	p.m.	p.m.			p.m.	p.m.
	<i>Article 05 04 60 — Subtotal</i>		14 355 548 697	9 921 022 443	-1 500 000		14 354 048 697	9 921 022 443
	<b>Chapter 05 04 — Total</b>		<b>14 355 548 697</b>	<b>11 201 022 443</b>	<b>-1 500 000</b>	<b>-780 000 000</b>	<b>14 354 048 697</b>	<b>10 421 022 443</b>

**Article 05 04 05 — Completion of rural development financed by the European Agricultural Fund for Rural Development (EAFRD) (2007 to 2013)**

*Remarks*

Any revenue entered in Article 6 7 1 of the general statement of revenue may give rise to the provision of additional appropriations on any item in this article in accordance with Articles 21 and 177 of the Financial Regulation.

The following legal basis applies to all items of this article unless otherwise stated.

*Legal basis*

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

Council Regulation (EC) No 1698/2005 of 20 September 2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (OJ L 277, 21.10.2005, p. 1).

Council Regulation (EC) No 378/2007 of 27 March 2007 laying down rules for voluntary modulation of direct payments provided for in Regulation (EC) No 1782/2003 establishing common rules for direct support schemes under the common agricultural policy and establishing certain support schemes for farmers, and amending Regulation (EC) No 1290/2005 (OJ L 95, 5.4.2007, p. 1).

Council Regulation (EC) No 73/2009 of 19 January 2009 establishing common rules for direct support schemes for farmers under the common agricultural policy and establishing certain support schemes for farmers, amending Regulations (EC) No 1290/2005, (EC) No 247/2006, (EC) No 378/2007 and repealing Regulation (EC) No 1782/2003 (OJ L 30, 31.1.2009, p. 16).

Regulation (EU) No 1310/2013 of the European Parliament and of the Council of 17 December 2013 laying down certain transitional provisions on support for rural development by the European Agricultural Fund for Rural Development (EAFRD), amending Regulation (EU) No 1305/2013 of the European Parliament and of the Council as regards resources and their distribution in respect of the year 2014 and amending Council Regulation (EC) No 73/2009 and Regulations (EU) No 1307/2013, (EU) No 1306/2013 and (EU) No 1308/2013 of the European Parliament and of the Council as regards their application in the year 2014 (OJ L 347, 20.12.2013, p. 865).

#### *Reference acts*

Commission Implementing Regulation (EU) No 335/2013 of 12 April 2013 amending Regulation (EC) No 1974/2006 laying down detailed rules for the application of Council Regulation (EC) No 1698/2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (OJ L 105, 13.4.2013, p. 1).

Item 05 04 05 01 — Rural development programmes

#### *Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 280 000 000		-780 000 000	p.m.	500 000 000

#### *Remarks*

This appropriation is intended to pay commitments of the 2007 to 2013 rural development programmes funded by the European Agricultural Fund for Rural Development (EAFRD).

#### **Article 05 04 60 — European Agricultural Fund for Rural Development — EAFRD (2014 to 2020)**

#### *Remarks*

Any revenue entered in Article 6 7 1 of the general statement of revenue in relation to programmes 2014-2020 may give rise to the provision of additional appropriations on any line in this article in accordance with Articles 21 and 177 of the Financial Regulation.

The following legal basis applies to all items of this article unless otherwise stated.

#### *Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1305/2013 of the European Parliament and of the Council of 17 December 2013 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) and repealing Council Regulation (EC) No 1698/2005 (OJ L 347, 20.12.2013, p. 487).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

Regulation (EU) No 1310/2013 of the European Parliament and of the Council of 17 December 2013 laying down certain transitional provisions on support for rural development by the European Agricultural Fund for Rural Development (EAFRD), amending Regulation (EU) No 1305/2013 of the European Parliament and of the Council as regards resources and their distribution in respect of the year 2014 and amending Council Regulation (EC) No 73/2009 and Regulations (EU) No 1307/2013, (EU) No 1306/2013 and (EU) No 1308/2013 of the European Parliament and of the Council as regards their application in the year 2014 (OJ L 347, 20.12.2013, p. 865).

Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 (OJ L 347, 20.12.2013, p. 884).

Item 05 04 60 02 — Operational technical assistance

### *Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
18 522 000	19 022 443	-1 500 000		17 022 000	19 022 443

### *Remarks*

This appropriation is intended to cover technical assistance measures at the initiative of the Commission as provided for by Articles 51 to 54 of Regulation (EU) No 1305/2013, Article 6 of Regulation (EU) No 1306/2013 and Article 58 of Regulation (EU) No 1303/2013. This includes the European Network for Rural Development and the European Innovation Partnership Network.

**CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA**

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
05 08	Policy strategy and coordination of the ‘Agriculture and rural development’ policy area							
<b>05 08 01</b>	<b>Farm Accountancy Data Network (FADN)</b>	2	18 000 830	17 811 386			18 000 830	17 811 386
<b>05 08 02</b>	<b>Surveys on the structure of agricultural holdings</b>	2	250 000	1 436 500			250 000	1 436 500
<b>05 08 03</b>	<b>Restructuring of systems for agricultural surveys</b>	2	16 090 110	7 330 573			16 090 110	7 330 573
<b>05 08 06</b>	<b>Enhancing public awareness of the common agricultural policy</b>	2	8 000 000	8 000 000			8 000 000	8 000 000
<b>05 08 09</b>	<b>European Agricultural Guarantee Fund (EAGF) — Operational technical assistance</b>	2	6 270 000	6 270 000	-1 000 000	-1 000 000	5 270 000	5 270 000
<b>05 08 77</b>	<b>Pilot projects and preparatory actions</b>							
05 08 77 06	Preparatory action — European farm prices and margins observatory	2	p.m.	p.m.			p.m.	p.m.
05 08 77 08	Pilot project — Exchange programme for young farmers	2	p.m.	p.m.			p.m.	p.m.
05 08 77 09	Preparatory action — Union plant and animal genetic resources	2	p.m.	384 800			p.m.	384 800
05 08 77 10	Pilot project — Agropol: development of a European cross-border Agribusiness Model Region	2	p.m.	201 695			p.m.	201 695
05 08 77 11	Pilot project — Agroforestry	2	p.m.	p.m.			p.m.	p.m.
05 08 77 12	Pilot project — Social eco-village	2	p.m.	120 000			p.m.	120 000
05 08 77 13	Pilot project — Improving crisis prevention and management criteria and strategies in the agricultural sector	2	p.m.	90 000			p.m.	90 000
05 08 77 14	Pilot project — Restructuring the honey bee chain and Varroa resistance breeding and selection programme	2	200 000	210 000			200 000	210 000
05 08 77 15	Pilot project — Analysis of the best ways for producer organisations (POs) to be formed, carry out their activities and be supported	2	p.m.	90 000			p.m.	90 000
	<i>Article 05 08 77 — Subtotal</i>		200 000	1 096 495			200 000	1 096 495
<b>05 08 80</b>	<b>Union participation at the ‘Feeding the Planet — Energy for Life’ World Exposition 2015 in Milan</b>	2	p.m.	p.m.			p.m.	p.m.
	<b>Chapter 05 08 — Total</b>		<b>48 810 940</b>	<b>41 944 954</b>	<b>-1 000 000</b>	<b>-1 000 000</b>	<b>47 810 940</b>	<b>40 944 954</b>

*Remarks*

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations in accordance with Articles 21 and 174 of the Financial Regulation.

The following legal basis applies to all articles and items of this chapter unless otherwise stated.

*Legal basis*

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

**Article 05 08 09 — European Agricultural Guarantee Fund (EAGF) — Operational technical assistance**

*Figures*

Budget 2017	Council position on DAB No 6/2017	New amount
6 270 000	-1 000 000	5 270 000

*Remarks*

This appropriation is intended to cover the expenditure in conformity with points (a), (d), (e) and (f) of Article 6 of Regulation (EU) No 1306/2013.

This appropriation also includes the expenditure for establishing an analytical databank for wine products provided for in point (a) of Article 89(5) of Regulation (EU) No 1306/2013.

**TITLE 11 — MARITIME AFFAIRS AND FISHERIES**

*Figures*

Title Chapter	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 01	Administrative expenditure of the 'Maritime affairs and fisheries' policy area		43 874 839	43 874 839			43 874 839	43 874 839
11 03	Compulsory contributions to Regional Fisheries Management Organisations and other International Organisations and Sustainable Fisheries Agreements	2	123 590 478	118 590 478	-207 268	-207 268	123 383 210	118 383 210
			14 809 522	14 809 522	-11 166 522	-10 361 522	3 643 000	4 448 000
			138 400 000	133 400 000	-11 373 790	-10 568 790	127 026 210	122 831 210
11 06	European Maritime and Fisheries Fund (EMFF)	2	922 865 078	590 406 361			922 865 078	590 406 361
	<b>Title 11 — Total</b>		<b>1 090 330 395</b>	<b>752 871 678</b>	<b>-207 268</b>	<b>-207 268</b>	<b>1 090 123 127</b>	<b>752 664 410</b>
			14 809 522	14 809 522	-11 166 522	-10 361 522	3 643 000	4 448 000
	Total including reserves		1 105 139 917	767 681 200	-11 373 790	-10 568 790	1 093 766 127	757 112 410

**CHAPTER 11 03 — COMPULSORY CONTRIBUTIONS TO REGIONAL FISHERIES MANAGEMENT ORGANISATIONS AND OTHER INTERNATIONAL ORGANISATIONS AND SUSTAINABLE FISHERIES AGREEMENTS**

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 03	Compulsory contributions to Regional Fisheries Management Organisations and other International Organisations and Sustainable Fisheries Agreements							
11 03 01	<i>Establishing a governance framework for fishing activities carried out by Union fishing vessels in third country waters</i>	2	118 390 478	113 390 478			118 390 478	113 390 478
			14 809 522	14 809 522	-11 166 522	-10 361 522	3 643 000	4 448 000
			133 200 000	128 200 000			122 033 478	117 838 478
11 03 02	<i>Promoting sustainable development for fisheries management and maritime governance in line with the CFP objectives (Compulsory contributions to international bodies)</i>	2	5 200 000	5 200 000	-207 268	-207 268	4 992 732	4 992 732
	<b>Chapter 11 03 — Total</b>		<b>123 590 478</b>	<b>118 590 478</b>	<b>-207 268</b>	<b>-207 268</b>	<b>123 383 210</b>	<b>118 383 210</b>



Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
			14 809 522	14 809 522	-11 166 522	-10 361 522	3 643 000	4 448 000
	Total including reserves		138 400 000	133 400 000	-11 373 790	-10 568 790	127 026 210	122 831 210

**Article 11 03 01 — Establishing a governance framework for fishing activities carried out by Union fishing vessels in third country waters**

*Figures*

	Budget 2017		Council position on DAB No 6/2017		New amount	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
11 03 01	118 390 478	113 390 478			118 390 478	113 390 478
	14 809 522	14 809 522	-11 166 522	-10 361 522	3 643 000	4 448 000
Total	133 200 000	128 200 000	-11 166 522	-10 361 522	122 033 478	117 838 478

*Remarks*

This appropriation is intended to cover the expenditure arising from the fisheries agreements which the Union has negotiated or intends to renew or renegotiate with third countries.

In addition, the Union may negotiate new fisheries partnership agreements which would need to be financed under this article.

*Legal basis*

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Union financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22), and in particular Article 31 thereof.

Regulations and Decisions concerning the conclusion of agreements and/or protocols adopted with regard to fisheries between the Union/Community and the governments of the following countries:

Status (as of September 2016)	Country	Legal basis	Date	Official Journal	Duration
Agreements in provisional application or in force (financial compensation due in 2017 entered in Article 11 03 01)	Cape Verde	Decision 2014/948/EU	15 December 2014	L 369, 24.12.2014	23.12.2014 to 22.12.2018
	Côte d'Ivoire	Decision 2014/102/EU	28 January 2014	L 54, 22.2.2014	1.7.2013 to 30.6.2018
	Greenland	Decision (EU) 2015/2103	16 November 2015	L 305, 21.11.2015	1.1.2016 to 31.12.2020
	Liberia	Decision (EU) 2015/2312	30 November 2015	L 328, 12.12.2015	9.12.2015 to 8.12.2020
	Madagascar	Decision 2014/929/EU	15 December 2014	L 365, 19.12.2014	1.1.2015 to 31.12.2018
	Mauritania	Decision (EU) 2015/2191	10 November 2015	L 315, 1.12.2015	16.11.2015 to 15.11.2019
	Morocco	Decision 2013/785/EU	16 December 2013	L 349, 21.12.2013	15.7.2014 to 14.7.2018
	São Tomé and Príncipe	Decision 2014/334/EU	19 May 2014	L 168, 7.6.2014	23.5.2014 to 22.5.2018
	Seychelles	Decision 2014/5/EU	16 December 2013	L 4, 9.1.2014	18.1.2014 to 17.1.2020
	Senegal	Decision 2014/733/EU	8 October 2014	L 304, 23.10.2014	20.10.2014 to 19.10.2019
	Cook Islands	Decision (EU) 2016/776	29 April 2016	L 131, 20.5.2016	2016-2020 (exact date depending on signature)

Agreements under negotiation or legislative procedure ongoing (financial compensation entered in Article 40 02 41)	Mauritius	Decision 2014/146/EU	28 January 2014	L 79, 18.3.2014	28.1.2014 to 27.1.2017
	Comoros	Decision 2014/369/EU	13 May 2014	L 179, 19.6.2014	1.1.2014 to 31.12.2016
	Guinea-Bissau	Decision 2014/782/EU	16 October 2014	L 328, 13.11.2014	24.11.2014 to 23.11.2017
	Mozambique	Decision 2012/306/EU	12 June 2012	L 153, 14.6.2012	1.2.2012 to 31.1.2015
	Gabon	Decision 2013/462/EU	22 July 2013	L 250, 20.9.2013	24.7.2013 to 23.7.2016

**Article 11 03 02 — Promoting sustainable development for fisheries management and maritime governance in line with the CFP objectives (Compulsory contributions to international bodies)**

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 200 000	5 200 000	-207 268	-207 268	4 992 732	4 992 732

*Remarks*

This appropriation is intended to cover the Union's active participation in international fisheries organisations responsible for the long-term conservation and sustainable exploitation of marine fisheries resources. It relates to compulsory contributions, amongst others, to the following regional fisheries management organisations and other international organisations:

- Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR),
- North Atlantic Salmon Conservation Organisation (NASCO),
- International Commission for the Conservation of Atlantic Tunas (ICCAT),
- North-East Atlantic Fisheries Commission (NEAFC),
- Northwest Atlantic Fisheries Organisation (NAFO),
- Indian Ocean Tuna Commission (IOTC),
- General Fisheries Commission for the Mediterranean (GFCM),
- South East Atlantic Fisheries Organisation (SEAFO),
- Southern Indian Ocean Fisheries Agreement (SIOFA),
- Western and Central Pacific Fisheries Commission (WCPFC, ex-MHLC),
- Agreement on the International Dolphin Conservation Programme (AIDCP),
- Inter-American Tropical Tuna Commission (IATTC),
- South Pacific Regional Fisheries Management Organisation (SPRFMO),
- Commission of the Convention for the Conservation of Southern Bluefin Tuna (CCSBT),
- Convention on the conservation of migratory species of wild animals (CMS).

This appropriation is also intended to cover the Union's financial contributions to the bodies set up by the United Nations Convention on the Law of the Sea, 1982, in particular the International Seabed Authority and the International Tribunal for the Law of the Sea.

*Legal basis*

Council Regulation (EEC) No 3179/78 of 28 December 1978 concerning the conclusion by the European Economic Community of the Convention on Future Multilateral Cooperation in the Northwest Atlantic Fisheries (OJ L 378, 30.12.1978, p. 1).

Council Decision 81/608/EEC of 13 July 1981 concerning the conclusion of the Convention on Future Multilateral Cooperation in the North-East Atlantic Fisheries (OJ L 227, 12.8.1981, p. 21).

Council Decision 81/691/EEC of 4 September 1981 on the conclusion of the Convention on the conservation of Antarctic marine living resources (OJ L 252, 5.9.1981, p. 26).

Council Decision 82/461/EEC of 24 June 1982 on the conclusion of the Convention on the conservation of migratory species of wild animals (OJ L 210, 19.7.1982, p. 10).

Council Decision 82/886/EEC of 13 December 1982 concerning the conclusion of the Convention for the Conservation of Salmon in the North Atlantic Ocean (OJ L 378, 31.12.1982, p. 24).

Council Decision 86/238/EEC of 9 June 1986 on the accession of the Community to the International Convention for the Conservation of Atlantic Tunas, as amended by the Protocol annexed to the Final Act of the Conference of Plenipotentiaries of the States Parties to the Convention signed in Paris on 10 July 1984 (OJ L 162, 18.6.1986, p. 33).

Council Decision 95/399/EC of 18 September 1995 on the accession of the Community to the Agreement for the establishment of the Indian Ocean Tuna Commission (OJ L 236, 5.10.1995, p. 24).

Council Decision 98/392/EC of 23 March 1998 concerning the conclusion by the European Community of the United Nations Convention of 10 December 1982 on the Law of the Sea and the Agreement of 28 July 1994 relating to the implementation of Part XI thereof (OJ L 179, 23.6.1998, p. 1).

United Nations Convention on the Law of the Sea (OJ L 179, 23.6.1998, p. 3).

Council Decision 98/416/EC of 16 June 1998 on the accession of the European Community to the General Fisheries Commission for the Mediterranean (OJ L 190, 4.7.1998, p. 34).

Council Decision 2002/738/EC of 22 July 2002 on the conclusion by the European Community of the Convention on the Conservation and Management of Fishery Resources in the South-East Atlantic Ocean (OJ L 234, 31.8.2002, p. 39).

Council Decision 2005/75/EC of 26 April 2004 on the accession of the Community to the Convention on the Conservation and Management of Highly Migratory Fish Stocks in the Western and Central Pacific Ocean (OJ L 32, 4.2.2005, p. 1).

Council Decision 2005/938/EC of 8 December 2005 on the approval on behalf of the European Community of the Agreement on the International Dolphin Conservation Programme (OJ L 348, 30.12.2005, p. 26).

Council Decision 2006/539/EC of 22 May 2006 on the conclusion, on behalf of the European Community of the Convention for the Strengthening of the Inter-American Tropical Tuna Commission established by the 1949 Convention between the United States of America and the Republic of Costa Rica (OJ L 224, 16.8.2006, p. 22).

Council Decision 2008/780/EC of 29 September 2008 on the conclusion, on behalf of the European Community, of the Southern Indian Ocean Fisheries Agreement (OJ L 268, 9.10.2008, p. 27).

Council Decision 2012/130/EU of 3 October 2011 on the approval, on behalf of the European Union, of the Convention on the Conservation and Management of High Seas Fishery Resources in the South Pacific Ocean (OJ L 67, 6.3.2012, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22), and in particular Articles 29 and 30 thereof.

Council Decision (EU) 2015/2437 of 14 December 2015 on the conclusion, on behalf of the European Union, of the Agreement in the form of an Exchange of Letters between the European Union and the Commission for the Conservation of Southern Bluefin Tuna (CCSBT) concerning the membership of the Union in the Extended Commission of the Convention for the Conservation of Southern Bluefin Tuna (OJ L 336, 23.12.2015, p. 27).

# TITLE 13 — REGIONAL AND URBAN POLICY

## Figures

Title Chapter	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 01	Administrative expenditure of the 'Regional and urban policy' policy area		89 356 102	89 356 102			89 356 102	89 356 102
			1 125 000	1 125 000			1 125 000	1 125 000
			90 481 102	90 481 102			90 481 102	90 481 102
13 03	European Regional Development Fund and other regional operations		29 218 751	19 339 955		-4 700 820	29 218 751	14 639 134 699
			321	381		682	321	381
13 04	Cohesion Fund (CF)	1	9 080 135 577	5 748 249 495			9 080 135 577	5 748 249 495
13 05	Instrument for Pre-Accession Assistance — Regional development and regional and territorial cooperation		115 060 568	264 279 412		-68 872 564	115 060 568	195 406 848
13 06	Solidarity Fund	9	1 287 200 013	1 287 200 013	-46 000 000	-46 000 000	1 241 200 013	1 241 200 013
13 07	Aid Regulation	4	34 836 240	39 031 865			34 836 240	39 031 865
13 08	Structural Reform Support Programme (SRSP) – Operational technical assistance		p.m.	p.m.			p.m.	p.m.
			22 500 000	11 250 000			22 500 000	11 250 000
			22 500 000	11 250 000			22 500 000	11 250 000
	<b>Title 13 — Total</b>		<b>39 825 339 821</b>	<b>26 768 072 268</b>	<b>-46 000 000</b>	<b>-4 815 693 246</b>	<b>39 779 339 821</b>	<b>21 952 379 022</b>
			23 625 000	12 375 000			23 625 000	12 375 000
	<b>Total including reserves</b>		<b>39 848 964 821</b>	<b>26 780 447 268</b>			<b>39 802 964 821</b>	<b>21 964 754 022</b>

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS

### Figures

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 03	European Regional Development Fund and other regional operations							
13 03 01	<i>Completion of European Regional Development Fund (ERDF) — Objective 1 (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 02	<i>Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 03	<i>Completion of European Regional Development Fund (ERDF) — Objective 1 (prior to 2000)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 04	<i>Completion of European Regional Development Fund (ERDF) — Objective 2 (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 05	<i>Completion of European Regional Development Fund (ERDF) — Objective 2 (prior to 2000)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 06	<i>Completion of Urban (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 07	<i>Completion of earlier programmes — Community initiatives (prior to 2000)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 08	<i>Completion of European Regional Development Fund (ERDF) — Technical assistance and innovative measures (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 09	<i>Completion of European Regional Development Fund (ERDF) — Technical assistance and innovation measures (prior to 2000)</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 12	<i>Union contribution to the International Fund for Ireland</i>	1.1	p.m.	p.m.			p.m.	p.m.
13 03 13	<i>Completion of Interreg III Community initiative (2000 to 2006)</i>	1.2	p.m.	p.m.			p.m.	p.m.

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 03 14	Support for regions bordering candidate countries — Completion of earlier programmes (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 16	Completion of European Regional Development Fund (ERDF) — Convergence	1.2	p.m.	452 134 177			p.m.	452 134 177
13 03 17	Completion of European Regional Development Fund (ERDF) — PEACE	1.2	p.m.	p.m.			p.m.	p.m.
13 03 18	Completion of European Regional Development Fund (ERDF) — Regional competitiveness and employment	1.2	p.m.	106 686 990			p.m.	106 686 990
13 03 19	Completion of European Regional Development Fund (ERDF) — European territorial cooperation	1.2	p.m.	25 585 650			p.m.	25 585 650
13 03 20	Completion of European Regional Development Fund (ERDF) — Operational technical assistance	1.2	p.m.	1 610 747			p.m.	1 610 747
13 03 31	Completion of technical assistance and dissemination of information on the European Union strategy for the Baltic Sea region and an improved knowledge of macro-regions strategy (2007 to 2013)	1.2	p.m.	154 965			p.m.	154 965
13 03 40	Completion of risk-sharing instruments financed from the European Regional Development Fund (ERDF) Convergence envelope (2007 to 2013)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 41	Completion of risk-sharing instruments financed from the European Regional Development Fund (ERDF) Regional competitiveness and employment envelope (2007 to 2013)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 60	European Regional Development Fund (ERDF) — Less developed regions — Investment for growth and jobs goal	1.2	18 775 111 553	12 457 677 000		-3 441 410 203	18 775 111 553	9 016 266 797
13 03 61	European Regional Development Fund (ERDF) — Transition regions — Investment for growth and jobs goal	1.2	3 719 489 334	2 204 431 000		-491 788 711	3 719 489 334	1 712 642 289
13 03 62	European Regional Development Fund (ERDF) — More developed regions — Investment for growth and jobs goal	1.2	4 622 273 189	3 043 052 000		-510 253 467	4 622 273 189	2 532 798 533
13 03 63	European Regional Development Fund (ERDF) — Additional allocation for outermost and sparsely populated regions — Investment for growth and jobs goal	1.2	222 029 433	139 873 000			222 029 433	139 873 000
13 03 64	European Regional Development Fund (ERDF) — European territorial cooperation							
13 03 64 01	European Regional Development Fund (ERDF) — European territorial cooperation	1.2	1 731 601 443	783 299 000		-257 368 301	1 731 601 443	525 930 699
13 03 64 02	Participation of candidate countries and potential candidates in ERDF ETC— Contribution from Heading 4 (IPA II)	4	9 396 205	1 312 500			9 396 205	1 312 500
13 03 64 03	Participation of European neighbourhood countries in ERDF ETC — Contribution from Heading 4 (ENI)	4	1 459 650	231 000			1 459 650	231 000
	<i>Article 13 03 64 — Subtotal</i>		1 742 457 298	784 842 500		-257 368 301	1 742 457 298	527 474 199
13 03 65	European Regional Development Fund (ERDF) — Operational technical assistance							
13 03 65 01	European Regional Development Fund (ERDF) — Operational technical assistance	1.2	74 000 000	69 400 000			74 000 000	69 400 000
13 03 65 02	European Regional Development Fund (ERDF) — Operational technical assistance managed by the Commission at the request of a Member State	1.2	p.m.	1 028 043			p.m.	1 028 043
	<i>Article 13 03 65 — Subtotal</i>		74 000 000	70 428 043			74 000 000	70 428 043

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 03 66	<i>European Regional Development Fund (ERDF) — Innovative actions in the field of sustainable urban development</i>	1.2	53 090 514	42 472 411			53 090 514	42 472 411
13 03 67	<i>Macro-regional strategies 2014-2020 — European strategy for the Baltic Sea region — Technical assistance</i>	1.2	p.m.	p.m.			p.m.	p.m.
13 03 68	<i>Macro-regional strategies 2014-2020 — European Union strategy for the Danube region — Technical assistance</i>	1.2	p.m.	500 000			p.m.	500 000
13 03 77	<i>Pilot projects and preparatory actions</i>							
13 03 77 01	Pilot project — Pan-European coordination of Roma integration methods	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 03	Preparatory action — Promoting a more favourable environment for micro-credit in Europe	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 06	Preparatory action — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 07	Preparatory action — The definition of governance model for the European Union Danube region — better and effective coordination	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 08	Pilot project — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region	1.2	p.m.	322 551			p.m.	322 551
13 03 77 09	Preparatory action on an Atlantic Forum for the European Union Atlantic strategy	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 10	Preparatory action — Supporting Mayotte, or any other territory potentially affected, with the switchover to outermost-region status	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 12	Preparatory action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region	1.2	p.m.	1 234 347			p.m.	1 234 347
13 03 77 13	Pilot project — Cohesion policy and the synergies with the research and development funds: the ‘stairway to excellence’	1.2	p.m.	600 000			p.m.	600 000
13 03 77 14	Preparatory action — A regional strategy for the North Sea region	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 15	Preparatory action — World cities: EU-third countries cooperation on urban development	1.2	p.m.	750 000			p.m.	750 000
13 03 77 16	Preparatory action — The actual and desired state of the economic potential in regions outside the Greek capital Athens	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 17	Preparatory action — EU-CELAC cooperation on territorial cohesion	1.2	2 000 000	1 700 000			2 000 000	1 700 000
13 03 77 18	Preparatory action — Cohesion policy and synergies with the research and development funds: the stairway to excellence — the way forward	1.2	1 500 000	1 000 000			1 500 000	1 000 000
13 03 77 19	Preparatory action — Support for growth and governance in regions whose development is lagging behind	1.2	1 000 000	1 000 000			1 000 000	1 000 000
13 03 77 20	Preparatory action — The economic competitive advantages and potential for smart specialisation at regional level in Romania	1.2	p.m.	1 000 000			p.m.	1 000 000
13 03 77 21	Pilot project — European Strategy for the Adriatic and Ionian Region (EUSAIR): generation and preparation of initiatives and projects with genuine added value for the region as a whole	1.2	1 300 000	650 000			1 300 000	650 000
13 03 77 22	Preparatory action — Macro-regional strategy 2014-2020: EU strategy for the Alpine Region	1.2	2 000 000	1 000 000			2 000 000	1 000 000
13 03 77 23	Preparatory action — Urban agenda for the EU	1.2	2 500 000	1 250 000			2 500 000	1 250 000

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
	<i>Article 13 03 77 — Subtotal</i>		10 300 000	10 506 898			10 300 000	10 506 898
	<b>Chapter 13 03 — Total</b>		<b>29 218 751 321</b>	<b>19 339 955 381</b>		<b>-4 700 820 682</b>	<b>29 218 751 321</b>	<b>14 639 134 699</b>

### *Remarks*

Article 175 of the Treaty on the Functioning of the European Union provides that the objectives of economic, social and territorial cohesion set out in Article 174 shall be supported by the action it takes through the Structural Funds, which includes the European Regional Development Fund (ERDF). In accordance with Article 176, the ERDF is intended to help redress the main regional imbalances in the Union. The tasks, priority objectives and the organisation of the Structural Funds are defined in accordance with Article 177.

Article 80 of the Financial Regulation provides for financial corrections in the event of expenditure incurred in breach of applicable law.

Article 39 of Regulation (EC) No 1260/1999, Articles 100 and 102 of Regulation (EC) No 1083/2006 and Articles 85, 144 and 145 of Regulation (EU) No 1303/2013 on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to the ERDF.

Any revenue from the financial corrections carried out on that basis is entered in Article 6 5 1, 6 5 2, 6 5 3 or 6 5 4 of the statement of revenue and constitutes assigned revenue in accordance with point (c) of Article 21(3) of the Financial Regulation.

Article 177 of the Financial Regulation lays down the conditions for the repayment in full, or in part, of prefinancing payments in respect of a given operation.

Article 82 of Regulation (EC) No 1083/2006 provides for specific rules on repayment of prefinancing applicable to the ERDF.

Prefinancing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Measures to combat fraud are funded from Article 24 02 01.

### *Legal basis*

Treaty on the Functioning of the European Union, and in particular Articles 174, 175, 176 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1) and in particular Article 39 thereof.

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).



Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25) and in particular Articles 82, 83, 100 and 102 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 21(3) and (4) and Articles 80 and 177 thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

#### *Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Conclusions of the Brussels European Council of 15 and 16 December 2005.

Conclusions of the European Council of 7 and 8 February 2013.

**Article 13 03 60 — European Regional Development Fund (ERDF) — Less developed regions — Investment for growth and jobs goal**

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
18 775 111 553	12 457 677 000		-3 441 410 203	18 775 111 553	9 016 266 797

*Remarks*

This appropriation is intended to cover ERDF support under the investment for growth and jobs goal in less developed regions in the 2014-2020 programming period. The catching up process for these economically and socially lagging regions requires long-term sustained efforts. This category of regions includes regions with a GDP per capita less than 75 % of the average GDP of the Union.

*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

**Article 13 03 61 — European Regional Development Fund (ERDF) — Transition regions — Investment for growth and jobs goal**

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 719 489 334	2 204 431 000		-491 788 711	3 719 489 334	1 712 642 289

*Remarks*

This appropriation is intended to cover ERDF support under the investment for growth and jobs goal in the 2014-2020 period in a new category of region — ‘transition regions’ — that replaces the 2007-2013 phasing-out and phasing-in system. This category of regions includes regions with a GDP per capita between 75 % and 90 % of the average GDP of the Union.

### *Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

### ***Article 13 03 62 — European Regional Development Fund (ERDF) — More developed regions — Investment for growth and jobs goal***

#### *Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 622 273 189	3 043 052 000		-510 253 467	4 622 273 189	2 532 798 533

#### *Remarks*

This appropriation is intended to cover ERDF support under the Investment for growth and jobs goal in more developed regions in the 2014-2020 programming period. While interventions in the less developed regions will remain the priority for cohesion policy, this appropriation is thus intended to cover important challenges that concern all Member States such as global competition in the knowledge-based economy, the shift towards the low carbon economy and social polarisation exacerbated by the current economic climate. This category of regions includes regions with a GDP per capita above 90 % of the average GDP of the Union.

### *Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

**Article 13 03 64 — European Regional Development Fund (ERDF) — European territorial cooperation**

*Remarks*

This appropriation is intended to cover ERDF support under the European territorial cooperation goal in the 2014-2020 programming period. It shall finance cross-border cooperation between adjacent regions, transnational cooperation over larger transnational territories and interregional cooperation. It shall also include support for cooperation activities at the external borders of the Union, which is to be supported under the European Neighbourhood Instrument and the Instrument for Pre-Accession Assistance.

*Legal basis*

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259).

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Item 13 03 64 01 — European Regional Development Fund (ERDF) — European territorial cooperation

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 731 601 443	783 299 000		-257 368 301	1 731 601 443	525 930 699

*Remarks*

This appropriation is intended to cover ERDF support under the European territorial cooperation goal in the 2014-2020 programming period. It shall finance cross-border cooperation between adjacent regions, transnational cooperation over larger transnational territories and interregional cooperation.

*Legal basis*

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259).

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

## CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION

### Figures

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 05	Instrument for Pre-Accession Assistance — Regional development and regional and territorial cooperation							
<i>13 05 01</i>	<i>Instrument for Structural Policies for Pre-accession (ISPA) — Completion of previous projects (2000 to 2006)</i>							
13 05 01 01	Instrument for Structural Policies for Pre-accession (ISPA) — Completion of other previous projects (2000 to 2006)	4	p.m.	p.m.			p.m.	p.m.
13 05 01 02	Instrument for Structural Policies for Pre-accession — Closure of pre-accession assistance relating to eight applicant countries	4	p.m.	p.m.			p.m.	p.m.
	<i>Article 13 05 01 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
<i>13 05 02</i>	<i>Instrument for Pre-Accession Assistance (IPA) — Completion of regional development component (2007 to 2013)</i>	4	p.m.	172 258 377		-9 473 967	p.m.	162 784 410
<i>13 05 03</i>	<i>Instrument for Pre-Accession Assistance (IPA) — Completion of cross-border cooperation (CBC) component (2007 to 2013)</i>							
13 05 03 01	Completion of cross-border cooperation (CBC) — Contribution from Subheading 1b	1.2	p.m.	20 988 371		-15 422 489	p.m.	5 565 882
13 05 03 02	Completion of cross-border cooperation (CBC) and participation of candidate and potential candidate countries in Structural Funds' transnational and interregional cooperation programmes — Contribution from Heading 4	4	p.m.	6 569 630			p.m.	6 569 630
	<i>Article 13 05 03 — Subtotal</i>		p.m.	27 558 001		-15 422 489	p.m.	12 135 512
<i>13 05 60</i>	<i>Support to Albania, Bosnia and Herzegovina, Kosovo<sup>1</sup>, Montenegro, Serbia and the former Yugoslav Republic of Macedonia</i>							
13 05 60 01	Support for political reforms and related progressive alignment with the Union acquis	4	p.m.	p.m.			p.m.	p.m.
13 05 60 02	Support for economic, social and territorial development and related progressive alignment with the Union acquis	4	p.m.	p.m.			p.m.	p.m.
	<i>Article 13 05 60 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.

<sup>1</sup> This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
<b>13 05 61</b>	<b>Support to Iceland</b>							
13 05 61 01	Support for political reforms and related progressive alignment with the Union acquis	4	p.m.	p.m.			p.m.	p.m.
13 05 61 02	Support for economic, social and territorial development and related progressive alignment with the Union acquis	4	p.m.	p.m.			p.m.	p.m.
	<i>Article 13 05 61 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
<b>13 05 62</b>	<b>Support to Turkey</b>							
13 05 62 01	Support for political reforms and related progressive alignment with the Union acquis	4	p.m.	p.m.			p.m.	p.m.
13 05 62 02	Support for economic, social and territorial development and related progressive alignment with the Union acquis	4	p.m.	p.m.			p.m.	p.m.
	<i>Article 13 05 62 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
<b>13 05 63</b>	<b>Regional integration and territorial cooperation</b>							
13 05 63 01	Cross-border cooperation (CBC) — Contribution from Heading 1b	1.2	57 530 284	32 231 517		-21 988 054	57 530 284	10 243 463
13 05 63 02	Cross-border cooperation (CBC) — Contribution from Heading 4	4	57 530 284	32 231 517		-21 988 054	57 530 284	10 243 463
	<i>Article 13 05 63 — Subtotal</i>		115 060 568	64 463 034		-43 976 108	115 060 568	20 486 926
	<b>Chapter 13 05 — Total</b>		<b>115 060 568</b>	<b>264 279 412</b>		<b>-68 872 564</b>	<b>115 060 568</b>	<b>195 406 848</b>

**Article 13 05 02 — Instrument for Pre-Accession Assistance (IPA) — Completion of regional development component (2007 to 2013)**

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	172 258 377		-9 473 967	p.m.	162 784 410

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

In accordance with Article 105a(1) of Council Regulation (EC) No 1083/2006 as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

*Legal basis*

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

**Article 13 05 03 — Instrument for Pre-Accession Assistance (IPA) — Completion of cross-border cooperation (CBC) component (2007 to 2013)**

Item 13 05 03 01 — Completion of cross-border cooperation (CBC) — Contribution from Subheading 1b

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	20 988 371		-15 422 489	p.m.	5 565 882

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from the European Regional Development Fund 2007-2013 contribution for cross-border cooperation and the technical assistance provided outside the Commission which is required for the implementation in the Member States.

In accordance with Article 105a(1) of Council Regulation (EC) No 1083/2006 as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

## *Article 13 05 63 — Regional integration and territorial cooperation*

Item 13 05 63 01 — Cross-border cooperation (CBC) — Contribution from Heading 1b

### *Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
57 530 284	32 231 517		-21 988 054	57 530 284	10 243 463

### *Remarks*

This appropriation is intended to cover the ERDF support under the European territorial cooperation goal in the 2014-2020 programming period to cross-border cooperation under the Instrument for Pre-Accession Assistance (IPA II).

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

### *Legal basis*

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259), and in particular Article 4 thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).



Item 13 05 63 02 — Cross-border cooperation (CBC) — Contribution from Heading 4

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
57 530 284	32 231 517		-21 988 054	57 530 284	10 243 463

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the specific objective of regional integration and territorial cooperation involving the beneficiaries listed in Annex I to Regulation (EU) No 231/2014, Member States and, where appropriate, third countries within the scope of Regulation (EU) No 232/2014 of the European Parliament and of the Council establishing a European Neighbourhood Instrument.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular point (d) of Article 2(1) thereof.

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

**CHAPTER 13 06 — SOLIDARITY FUND**

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 06	Solidarity Fund							
13 06 01	<i>Assistance to Member States in the event of a major natural disaster with serious repercussions on living conditions, the natural environment or the economy</i>	9	1 287 200 013	1 287 200 013	-46 000 000	-46 000 000	1 241 200 013	1 241 200 013
13 06 02	<i>Assistance to countries negotiating for accession in the event of a major natural disaster with serious repercussions on living conditions, the natural environment or the economy</i>	9	p.m.	p.m.			p.m.	p.m.
	<b>Chapter 13 06 — Total</b>		<b>1 287 200 013</b>	<b>1 287 200 013</b>	<b>-46 000 000</b>	<b>-46 000 000</b>	<b>1 241 200 013</b>	<b>1 241 200 013</b>

**Article 13 06 01 — Assistance to Member States in the event of a major natural disaster with serious repercussions on living conditions, the natural environment or the economy**

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 287 200 013	1 287 200 013	-46 000 000	-46 000 000	1 241 200 013	1 241 200 013

*Remarks*

This article is intended to record appropriations resulting from the mobilisation of the European Union Solidarity Fund in the event of major or regional disasters in the Member States. Assistance should be provided in connection with natural disasters to the Member States concerned, with a deadline being laid down for use of the financial assistance awarded and provision being made for beneficiary states to substantiate the use made of the assistance they receive. Assistance received which is subsequently offset by third-party payments, under the ‘polluter pays’ principle, for example, or received in excess of the final valuation of damage should be recovered.

With the exception of advance payments, the allocation of the appropriations will be carried out by transfers of appropriations from the reserve or, in case of insufficient appropriations in the reserve, by an amending budget simultaneously to the mobilisation decision of the European Union Solidarity Fund.

*Legal basis*

Council Regulation (EC) No 2012/2002 of 11 November 2002 establishing the European Union Solidarity Fund (OJ L 311, 14.11.2002, p. 3).

Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 (OJ L 347, 20.12.2013, p. 884), and in particular Article 10 thereof.

## TITLE 17 — HEALTH AND FOOD SAFETY

### Figures

Title Chapter	Heading	Budget 2017		Council position on DAB No 6/2017		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
17 01	Administrative expenditure of the 'Health and food safety' policy area	104 399 603	104 399 603			104 399 603	104 399 603
17 03	Public health	203 947 000	202 972 000			203 947 000	202 972 000
17 04	Food and feed safety, animal health, animal welfare and plant health	255 908 000	234 150 000	-560 000	-560 000	255 348 000	233 590 000
	<b>Title 17 — Total</b>	<b>564 254 603</b>	<b>541 521 603</b>	<b>-560 000</b>	<b>-560 000</b>	<b>563 694 603</b>	<b>540 961 603</b>

## CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH

### Figures

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
17 04	Food and feed safety, animal health, animal welfare and plant health							
17 04 01	<i>Ensuring a higher animal health status and high level of protection of animals in the Union</i>	3	165 000 000	151 300 000			165 000 000	151 300 000
17 04 02	<i>Ensuring timely detection of harmful organisms for plants and their eradication</i>	3	15 000 000	10 000 000			15 000 000	10 000 000
17 04 03	<i>Ensuring effective, efficient and reliable controls</i>	3	53 558 000	49 500 000			53 558 000	49 500 000
17 04 04	<i>Fund for emergency measures related to animal and plant health</i>	3	20 000 000	16 000 000			20 000 000	16 000 000
17 04 07	<i>European Chemicals Agency — Activities in the field of biocides legislation</i>	2	1 450 000	1 450 000	-560 000	-560 000	890 000	890 000
17 04 10	<i>Contributions to international agreements and membership of international organisations in the fields of food safety, animal health, animal welfare and plant health</i>	4	300 000	300 000			300 000	300 000
17 04 51	<i>Completion of previous measures in food and feed safety, animal health, animal welfare and plant health</i>	3	p.m.	5 000 000			p.m.	5 000 000
17 04 77	<i>Pilot projects and preparatory actions</i>							
17 04 77 01	Pilot project — Coordinated European Animal Welfare Network	2	p.m.	p.m.			p.m.	p.m.
17 04 77 02	Preparatory action — Control posts (resting points) in relation to transport of animals	2	p.m.	p.m.			p.m.	p.m.
17 04 77 03	Pilot project — Developing best practices in animal transport	2	p.m.	300 000			p.m.	300 000
17 04 77 04	Pilot project — Farmhouse and Artisan Cheesemakers' European Network — Project involving the production of European guidelines for proper hygienic practice	2	p.m.	p.m.			p.m.	p.m.
17 04 77 05	Pilot project — Establishment of a harmonised internal market for pigmeat obtained from pigs that have not been surgically castrated	2	600 000	300 000			600 000	300 000
	<i>Article 17 04 77 — Subtotal</i>		600 000	600 000			600 000	600 000
	<b>Chapter 17 04 — Total</b>		<b>255 908 000</b>	<b>234 150 000</b>	<b>-560 000</b>	<b>-560 000</b>	<b>255 348 000</b>	<b>233 590 000</b>

*Article 17 04 07 — European Chemicals Agency — Activities in the field of biocides legislation*

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 450 000	1 450 000	-560 000	-560 000	890 000	890 000

*Remarks*

This appropriation is intended to cover the Agency's staff, administrative and operational expenditure for the activities related to the implementation of the biocides legislation.

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The establishment plan of the European Chemicals Agency is set out in Annex 'Staff' to this section.

The Union contribution for 2017 amounts to a total of EUR 4 500 000. As the amount of the assigned revenue related to the 2015 budget outturn is EUR 3 050 000, a contribution of 1 450 000 EUR is entered in the budget.

*Legal basis*

Regulation (EU) No 334/2014 of the European Parliament and of the Council of 11 March 2014 amending Regulation (EU) No 528/2012 concerning the making available on the market and use of biocidal products, with regard to certain conditions for access to the market (OJ L 103, 5.4.2014, p. 22).

# TITLE 18 — MIGRATION AND HOME AFFAIRS

## Figures

Title Chapter	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
18 01	Administrative expenditure of the 'Migration and home affairs' policy area		68 651 959	68 651 959			68 651 959	68 651 959
18 02	Internal security	3	1 272 712 003	1 291 502 822		-102 150 000	1 272 712 003	1 189 352 822
			40 000 000	28 000 000		-28 000 000	40 000 000	p.m.
			1 312 712 003	1 319 502 822		-130 150 000	1 312 712 003	1 189 352 822
18 03	Asylum and migration		1 687 565 120	975 634 892		-157 500 000	1 687 565 120	818 134 892
18 04	Fostering European citizenship	3	24 071 000	24 000 000			24 071 000	24 000 000
18 05	Horizon 2020 — Research related to security	1	149 923 837	204 953 259			149 923 837	204 953 259
18 06	Anti-drugs policy	3	18 213 600	18 270 320			18 213 600	18 270 320
18 07	Instrument for emergency support within the Union	3	198 000 000	217 000 000			198 000 000	217 000 000
	<b>Title 18 — Total</b>		<b>3 419 137 519</b>	<b>2 800 013 252</b>		<b>-259 650 000</b>	<b>3 419 137 519</b>	<b>2 540 363 252</b>
			40 000 000	28 000 000		-28 000 000	40 000 000	p.m.
	Total including reserves		3 459 137 519	2 828 013 252		-287 650 000	3 459 137 519	2 540 363 252

## CHAPTER 18 02 — INTERNAL SECURITY

### Figures

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
18 02	Internal security							
<b>18 02 01</b>	<b>Internal Security Fund</b>							
18 02 01 01	Support of border management and a common visa policy to facilitate legitimate travel	3	403 680 352	364 282 173		-84 000 000	403 680 352	280 282 173
18 02 01 02	Prevention and fight against cross-border organised crime and better management of security-related risks and crisis	3	292 374 838	162 872 986		-8 700 000	292 374 838	154 172 986
18 02 01 03	Establishing an Entry/Exit System (EES) to register data on the entry, exit and refusal of entry of third country nationals crossing the external borders of the Member States of the European Union	3	p.m.	p.m.			p.m.	p.m.
			40 000 000	28 000 000		-28 000 000	40 000 000	p.m.
			40 000 000	28 000 000			40 000 000	p.m.
	<i>Article 18 02 01 — Subtotal</i>		696 055 190	527 155 159		-92 700 000	696 055 190	434 455 159
			40 000 000	28 000 000		-28 000 000	40 000 000	p.m.
			736 055 190	555 155 159		-120 700 000	736 055 190	434 455 159
18 02 02	Schengen facility for Croatia	3	p.m.	p.m.			p.m.	p.m.
18 02 03	European Border and Coast Guard Agency (Frontex)	3	281 267 000	281 267 000			281 267 000	281 267 000
18 02 04	European Union Agency for Law Enforcement Cooperation (Europol)	3	113 726 613	113 726 613			113 726 613	113 726 613
18 02 05	European Union Agency for Law Enforcement Training (CEPOL)	3	8 721 000	8 721 000			8 721 000	8 721 000
18 02 07	European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA')	3	153 334 200	153 334 200			153 334 200	153 334 200
18 02 08	Schengen information system (SIS II)	3	9 804 000	7 544 300			9 804 000	7 544 300
18 02 09	Visa information system (VIS)	3	9 804 000	9 262 550			9 804 000	9 262 550
18 02 51	Completion of operations and programmes in the field of external borders, security and safeguarding liberties	3	p.m.	190 000 000		-9 450 000	p.m.	180 550 000
18 02 77	Pilot projects and preparatory actions							
18 02 77 01	Pilot project — Completion of the fight against terrorism	3	p.m.	p.m.			p.m.	p.m.

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
18 02 77 02	Pilot project — New integrated mechanisms for cooperation between public and private actors to identify sports betting risks	3	p.m.	492 000			p.m.	492 000
	<i>Article 18 02 77 — Subtotal</i>		p.m.	492 000			p.m.	492 000
	<b>Chapter 18 02 — Total</b>		<b>1 272 712 003</b>	<b>1 291 502 822</b>		<b>-102 150 000</b>	<b>1 272 712 003</b>	<b>1 189 352 822</b>
	<b>Total including reserves</b>		<b>40 000 000</b> <b>1 312 712 003</b>	<b>28 000 000</b> <b>1 319 502 822</b>		<b>-28 000 000</b> <b>-130 150 000</b>	<b>40 000 000</b> <b>1 312 712 003</b>	<b>p.m.</b> <b>1 189 352 822</b>

### *Article 18 02 01 — Internal Security Fund*

Item 18 02 01 01 — Support of border management and a common visa policy to facilitate legitimate travel

#### *Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
403 680 352	364 282 173		-84 000 000	403 680 352	280 282 173

#### *Remarks*

The Internal Security Fund shall contribute to the following specific objectives:

- supporting a common visa policy to facilitate legitimate travel, provide a high quality of service to visa applicants, ensure equal treatment of third country nationals and tackle illegal immigration,
- supporting integrated borders management, including promoting further harmonisation of border management-related measures in accordance with common Union standards and through sharing of information between Member States and between Member States and Frontex, to ensure, on one hand, a uniform and high level of control and protection of the external borders, including by the tackling of illegal immigration, and, on the other hand, the smooth crossing of the external borders in conformity with the Schengen *acquis*, while guaranteeing access to international protection for those needing it, in accordance with the obligations contracted by the Member States in the field of human rights, including the principle of non-refoulement and with due regard for the specific characteristics of the people concerned and for the gender perspective.

This appropriation is intended to cover the expenditure related to actions in or by Member States and in particular the following:

- infrastructures, buildings and systems required at border crossing points and for surveillance between border crossing points to prevent and tackle unauthorised border crossings, illegal immigration and cross-border criminality as well as to guarantee smooth travel flows,
- operating equipment, means of transport and communication systems required for effective and secure border control and the detection of persons,
- IT and communication systems for efficient management of migration flows across borders, including investments in existing and future systems,
- infrastructures, buildings, communication and IT systems and operating equipment required for the processing of visa applications and consular cooperation, as well as other actions aimed at improving the quality of service for the visa applicants,

- training regarding the use of that equipment and those systems and the promotion of quality management standards and training of border guards, including where appropriate in third countries, regarding the execution of their surveillance, advisory and control tasks with respect to international human rights law, and taking into consideration a gender-sensitive approach, including the identification of victims of human trafficking and people smuggling,
- secondment of immigration liaison officers and document advisers in third countries and the exchange and secondment of border guards between Member States or between a Member State and a third country,
- studies, training, pilot projects and other actions gradually establishing an integrated management system for external borders as referred to in Article 3(3) of Regulation (EU) No 515/2014 including actions aiming to foster interagency cooperation either within Member States or between Member States and actions relating to the interoperability and harmonisation of border management systems,
- studies, pilot projects and actions aiming to implement the recommendations, operational standards and best practices resulting from the operational cooperation between Member States and Union agencies.

This appropriation is also intended to cover the expenditure related to actions in relation to and in third countries and in particular the following:

- information systems, tools or equipment for sharing information between Member States and third countries,
- actions relating to operational cooperation between Member States and third countries, including joint operations,
- projects in third countries aimed at improving surveillance systems to ensure cooperation with the Eurosur,
- studies, seminars, workshops, conferences, training, equipment and pilot projects to provide ad hoc technical and operational expertise to third countries,
- studies, seminars, workshops, conferences, training, equipment and pilot projects implementing specific recommendations, operational standards and best practices, resulting from the operational cooperation between Member States and Union agencies in third countries.

This appropriation is also intended to cover foregone fees from visas issued for the purpose of transit and additional costs incurred in implementing the Facilitated Transit Document (FTD) and the Facilitated Rail Transit Document (FRTD) scheme in accordance with Council Regulation (EC) No 693/2003 of 14 April 2003 establishing a specific Facilitated Transit Document (FTD), a Facilitated Rail Transit Document (FRTD) and amending the Common Consular Instructions and the Common Manual (OJ L 99, 17.4.2003, p. 8) and Council Regulation (EC) No 694/2003 of 14 April 2003 on uniform formats for Facilitated Transit Documents (FTD) and Facilitated Rail Transit Documents (FRTD) provided for in Regulation (EC) No 693/2003 (OJ L 99, 17.4.2003, p. 15).

At the Commission's initiative, this appropriation may be used to finance transnational actions or actions of particular interest to the Union. To be eligible for funding, those actions shall in particular pursue the following objectives:

- to support preparatory, monitoring, administrative and technical activities, required to implement external borders and visa policies, including to strengthen the governance of the Schengen area by developing and implementing the evaluation mechanism as established by Council Regulation (EU) No 1053/2013 of 7 October 2013 establishing an evaluation and

monitoring mechanism to verify the application of the Schengen *acquis* and repealing the Decision of the Executive Committee of 16 September 1998 setting up a Standing Committee on the evaluation and implementation of Schengen (OJ L 295, 6.11.2013, p. 27), to verify the application of the Schengen *acquis* and the Schengen Borders Code in particular mission expenditure for experts of the Commission and the Member States participating in on site visits,

- to improve the knowledge and understanding of the situation prevailing in the Member States and third countries through analysis, evaluation and close monitoring of policies,
- to support the development of statistical tools, including common statistical tools, and methods and common indicators, with gender-disaggregated data,
- to support and monitor the implementation of Union law and Union policy objectives in the Member States, and assess their effectiveness and impact, including with regard to the respect of human rights and fundamental freedoms, as far as the scope of this instrument is concerned,
- to promote networking, mutual learning, identification and dissemination of best practices and innovative approaches amongst different stakeholders at European level,
- to promote projects aiming at harmonisation and interoperability of border management-related measures in accordance with common Union standards with a view to developing an integrated European border management system,
- to enhance awareness of Union policies and objectives among stakeholders and the general public, including corporate communication on the political priorities of the Union,
- to boost the capacity of European networks to assess, promote, support and further develop Union policies and objectives,
- to support particularly innovative projects developing new methods and/or technologies with a potential for transferability to other Member States, especially projects aiming at testing and validating research projects,
- to support actions in relation to and in third countries as referred to in Article 4(2) of Regulation (EU) No 1053/2013,
- awareness-raising, information and communication activities in relation to Union home affairs policies, priorities and achievements.

This appropriation shall also cover financial assistance to address urgent and specific needs in the event of an emergency situation which means a situation of urgent and exceptional pressure where a large or disproportionate number of third-country nationals cross or are expected to cross the external border of one or more Member States.

This appropriation will provide for reimbursement of the costs incurred by the Commission and Member States experts for the on-the-spot evaluation visits (travel cost and accommodation) regarding the application of the Schengen *acquis*. The cost of supplies and equipment needed for the on-the-spot evaluation visits and for their preparation and follow-up must be added to these costs.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (e) of Article 21(2) of the Financial Regulation.

#### *Legal basis*

Council Regulation (EU) No 1053/2013 of 7 October 2013 establishing an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* and repealing the Decision



of the Executive Committee of 16 September 1998 setting up a Standing Committee on the evaluation and implementation of Schengen (OJ L 295, 6.11.2013, p. 27).

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

Regulation (EU) No 515/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for external borders and visa and repealing Decision No 574/2007/EC (OJ L 150, 20.5.2014, p. 143).

#### *Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 April 2016, establishing an Entry/Exit System (EES) to register entry and exit data and refusal of entry data of third country national crossing the external borders of the Member States of the European Union and determining the conditions for access to the EES for law enforcement purposes and amending Regulation (EC) No 767/2008 and Regulation (EU) No 1077/2011 (COM(2016) 194 final).

Item 18 02 01 02 — Prevention and fight against cross-border organised crime and better management of security- related risks and crisis

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
292 374 838	162 872 986		-8 700 000	292 374 838	154 172 986

*Remarks*

The Internal Security Fund shall contribute to the following specific objectives:

- crime prevention, combating cross-border, serious and organised crime including terrorism, and reinforcing coordination and cooperation between law enforcement authorities and other national authorities of Member States, including with Europol or other relevant Union bodies, and with relevant third-countries and international organisations,
- enhancing the capacity of Member States and the Union for managing effectively security-related risks and crisis, and preparing for and protecting people and critical infrastructure against terrorist attacks and other security related incidents.

This appropriation is intended to cover actions in Member States, and in particular the following:

- actions improving police cooperation and coordination between law enforcement authorities, including with and between relevant Union bodies, in particular Europol and Eurojust, joint investigation teams and any other form of cross-border joint operation, the access to and exchange of information and interoperable technologies,
- development of counter-terrorism initiatives with a view to ensuring appropriate responses to emerging threats, including threats related to home-grown radicalisation and foreign fighters who are either abroad or coming or returning to one or more Member States or candidate countries,
- projects promoting networking, public-private partnerships, mutual confidence, understanding and learning, the identification, exchange and dissemination of know-how, experience and good practices, information-sharing, shared situation awareness and foresight, contingency planning and interoperability,
- analytical, monitoring and evaluation activities, including studies and threat, risk and impact assessments, which are evidence-based and consistent with priorities and initiatives identified at Union level, in particular those that have been endorsed by the European Parliament and the Council,
- awareness-raising, dissemination and communication activities,
- acquisition, maintenance of Union and national IT systems contributing to the achievement of the objectives of Regulation (EU) No 513/2014, and/or further upgrading of IT systems and technical equipment, including testing compatibility of systems, secure facilities, infrastructures, related buildings and systems, especially information and communication technology (ICT) systems and their components, including for the purpose of European cooperation on cyber security and cybercrime, notably with the European Cybercrime Centre,
- exchange, training and education of staff and experts of relevant authorities, including language training and joint exercises or programmes,
- measures deploying, transferring, testing and validating new methodology or technology, including pilot projects and follow-up measures to Union-funded security research projects.

This appropriation is also intended to cover actions in relation to and in third-countries, and in particular the following:

- actions improving police cooperation and coordination between law enforcement authorities, including joint investigation teams and any other form of cross-border joint operation, the access to and exchange of information and interoperable technologies,
- networking, mutual confidence, understanding and learning, the identification, exchange and dissemination of know-how, experience and good practices, information-sharing, shared situation awareness and foresight, contingency planning and interoperability,
- exchange, training and education of staff and experts of relevant authorities.

At the Commission's initiative, this appropriation may be used to finance transnational actions or actions of particular interest to the Union concerning the general, specific and operational objectives set out in Article 3 of Regulation (EU) No 513/2014. To be eligible for funding, Union actions shall be consistent with the priorities and initiatives identified at Union level, in particular those that have been endorsed by the European Parliament and the Council, in relevant Union strategies, policy cycles, programmes, threat and risk assessments, and support in particular:

- preparatory, monitoring, administrative and technical activities, and development of an evaluation mechanism required to implement the policies on police cooperation, preventing and combating crime, and crisis management,
- transnational projects involving two or more Member States or at least one Member State and one third-country,
- analytical, monitoring and evaluation activities, including threat, risk and impact assessments, which are evidence-based and consistent with priorities and initiatives identified at Union level, in particular those that have been endorsed by the European Parliament and the Council and projects monitoring the implementation of Union law and Union policy objectives in the Member States,
- projects promoting networking, public-private partnerships, mutual confidence, understanding and learning, identification and dissemination of good practices and innovative approaches at Union level, training and exchange programmes,
- projects supporting the development of methodological, notably statistical, tools and methods and common indicators,
- the acquisition, maintenance and/or further upgrading of technical equipment, expertise, secure facilities, infrastructures, related buildings and systems, especially ICT systems and their components at the Union level, including for the purpose of European cooperation on cyber security and cybercrime, notably a European Cybercrime Centre,
- projects enhancing awareness of Union policies and objectives among stakeholders and the general public, including corporate communication on the political priorities of the Union,
- particularly innovative projects developing new methods and/or deploying new technologies with a potential for transferability to other Member States, especially projects aiming at testing and validating the outcome of Union funded security research projects,
- studies and pilot projects,
- awareness-raising, information and communication activities in relation to Union home affairs policies, priorities and achievements.

This appropriation shall also support actions in relation to and in third countries, and in particular the following:

- actions improving police cooperation and coordination between law enforcement authorities, and, where applicable, international organisations, including joint investigation teams and any other form of cross-border joint operation, the access to and exchange of information and interoperable technologies,
- networking, mutual confidence, understanding and learning, the identification, exchange and dissemination of know-how, experience and good practices, information sharing, shared situation awareness and foresight, contingency planning and interoperability,
- acquisition, maintenance, and/or further upgrading of technical equipment, including ICT systems and their components,
- exchange, training and education of staff and experts of relevant authorities, including language training,
- awareness-raising, dissemination and communication activities,
- threat, risk and impact assessments,
- studies and pilot projects.

This appropriation shall be used to provide financial assistance to address urgent and specific needs in the event of an emergency situation which means any security-related incident or newly emerging threat which has or may have a significant adverse impact on the security of people in one or more Member States.

*Legal basis*

Regulation (EU) No 513/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for police cooperation, preventing and combating crime, and crisis management and repealing Council Decision 2007/125/JHA (OJ L 150, 20.5.2014, p. 93).

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

Item 18 02 01 03 — Establishing an Entry/Exit System (EES) to register data on the entry, exit and refusal of entry of third country nationals crossing the external borders of the Member States of the European Union

*Figures*

	Budget 2017		Council position on DAB No 6/2017		New amount	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
18 02 01 03	p.m.	p.m.			p.m.	p.m.
	40 000 000	28 000 000		-28 000 000	40 000 000	p.m.
Total	40 000 000	28 000 000		-28 000 000	40 000 000	p.m.

*Remarks*

This appropriation is intended to cover the setting up and running of IT systems, their communication infrastructure and equipment supporting the management of migration flows across the external borders of the Union.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (e) of Article 21(2) of the Financial Regulation.

#### *Conditions for releasing the reserve*

The appropriations have been entered in the reserve and will be released following the adoption of the legislative act establishing the Entry/Exit System.

#### *Legal basis*

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

Regulation (EU) No 515/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for external borders and visa and repealing Decision No 574/2007/EC (OJ L 150, 20.5.2014, p. 143).

#### *Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 6 April 2016, establishing an Entry/Exit System (EES) to register entry and exit data and refusal of entry data of third country national crossing the external borders of the Member States of the European Union and determining the conditions for access to the EES for law enforcement purposes and amending Regulation (EC) No 767/2008 and Regulation (EU) No 1077/2011 (COM(2016) 194 final).

**Article 18 02 51 — Completion of operations and programmes in the field of external borders, security and safeguarding liberties**

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	190 000 000		-9 450 000	p.m.	180 550 000

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Joint Action 98/245/JHA of 19 March 1998 adopted by the Council, on the basis of Article K.3 of the Treaty on European Union, establishing a programme of exchanges, training and cooperation for persons responsible for action to combat organised crime (Falcone programme) (OJ L 99, 31.3.1998, p. 8).

Council Decision 2001/512/JHA of 28 June 2001 establishing a second phase of the programme of incentives and exchanges, training and cooperation for legal practitioners (Grotius II — Criminal) (OJ L 186, 7.7.2001, p. 1).

Council Decision 2001/513/JHA of 28 June 2001 establishing a second phase of the programme of incentives, exchanges, training and cooperation for law enforcement authorities (Oisín II) (OJ L 186, 7.7.2001, p. 4).

Council Decision 2001/514/JHA of 28 June 2001 establishing a second phase of the programme of incentives, exchanges, training and cooperation for persons responsible for combating trade in human beings and the sexual exploitation of children (Stop II) (OJ L 186, 7.7.2001, p. 7).

Council Decision 2001/515/JHA of 28 June 2001 establishing a programme of incentives and exchanges, training and cooperation for the prevention of crime (Hippokrates) (OJ L 186, 7.7.2001, p. 11).

Council Decision 2002/630/JHA of 22 July 2002 establishing a framework programme on police and judicial cooperation in criminal matters (AGIS) (OJ L 203, 1.8.2002, p. 5).

Council Decision 2007/124/EC, Euratom of 12 February 2007 establishing for the period 2007-2013, as part of General Programme on Security and Safeguarding Liberties, the Specific Programme 'Prevention, Preparedness and Consequence Management of Terrorism and other Security related risks' (OJ L 58, 24.2.2007, p. 1).

Council Decision 2007/125/JHA of 12 February 2007 establishing for the period 2007 to 2013, as part of General Programme on Security and Safeguarding Liberties, the Specific Programme 'Prevention of and Fight against Crime' (OJ L 58, 24.2.2007, p. 7).

Decision No 574/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing the External Borders Fund for the period 2007 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' (OJ L 144, 6.6.2007, p. 22).

Council Regulation (EU) No 1053/2013 of 7 October 2013 establishing an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* and repealing the Decision of the Executive Committee of 16 September 1998 setting up a Standing Committee on the evaluation and implementation of Schengen (OJ L 295, 6.11.2013, p. 27).

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Task resulting from the Commission's administrative autonomy, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

#### *Reference acts*

Communication from the Commission to the Council and the European Parliament of 6 April 2005 establishing a framework programme on 'Security and Safeguarding Liberties' for the period 2007-2013 (COM(2005) 124 final).

Communication from the Commission to the Council and the European Parliament of 2 May 2005 establishing a framework programme on Solidarity and Management of Migration Flows for the period 2007-2013 (COM(2005) 123 final).

Commission Decision 2007/599/EC of 27 August 2007 implementing Decision No 574/2007/EC of the European Parliament and of the Council as regards the adoption of strategic guidelines for 2007 to 2013 (OJ L 233, 5.9.2007, p. 3).

Commission Decision 2008/456/EC of 5 March 2008 laying down rules for the implementation of Decision No 574/2007/EC of the European Parliament and of the Council establishing the External Border Fund for the period 2007 to 2013 as part of the general programme 'Solidarity and Management of Migration Flows' as regards Member States' management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 167, 27.6.2008, p. 1).

Regulation (EC) No 810/2009 of the European Parliament and of the Council of 13 July 2009 establishing a Community Code on Visas (Visa Code) (OJ L 243, 15.9.2009, p. 1).

## CHAPTER 18 03 — ASYLUM AND MIGRATION

### Figures

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
18 03	Asylum and migration							
<b>18 03 01</b>	<b>Asylum, Migration and Integration Fund</b>							
18 03 01 01	Strengthening and developing the common European asylum system and enhancing solidarity and responsibility-sharing between the Member States	3	951 548 126	463 819 432		-118 000 000	951 548 126	345 819 432
18 03 01 02	Supporting legal migration to the Union and promoting the effective integration of third-country nationals and enhancing fair and effective return strategies	3	666 210 994	285 783 460		-8 000 000	666 210 994	277 783 460
	<i>Article 18 03 01 — Subtotal</i>		1 617 759 120	749 602 892		-126 000 000	1 617 759 120	623 602 892
<b>18 03 02</b>	<b>European Asylum Support Office (EASO)</b>	3	69 206 000	69 206 000			69 206 000	69 206 000
<b>18 03 03</b>	<b>European fingerprint database (Eurodac)</b>	3	100 000	100 000			100 000	100 000
<b>18 03 51</b>	<b>Completion of operations and programmes in the field of return, refugees and migration flows</b>	3	p.m.	155 000 000		-31 500 000	p.m.	123 500 000
<b>18 03 77</b>	<b>Pilot projects and preparatory actions</b>							
18 03 77 03	Preparatory action — Completion of integration of third-country nationals	3	p.m.	p.m.			p.m.	p.m.
18 03 77 04	Pilot project — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees	3	p.m.	p.m.			p.m.	p.m.
18 03 77 05	Pilot project — Funding for victims of torture	3	p.m.	298 000			p.m.	298 000
18 03 77 06	Preparatory action — Enable the resettlement of refugees during emergency situations	3	p.m.	111 000			p.m.	111 000
18 03 77 07	Pilot project — Analysis of reception, protection and integration policies for unaccompanied minors in the Union	3	p.m.	404 000			p.m.	404 000
18 03 77 08	Preparatory action — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees	3	p.m.	p.m.			p.m.	p.m.
18 03 77 09	Preparatory action — Funding for the rehabilitation of victims of torture	3	p.m.	663 000			p.m.	663 000
18 03 77 10	Pilot project — Completion of funding for victims of torture	4	p.m.	p.m.			p.m.	p.m.
18 03 77 11	Pilot project — Private sponsorships: enhancing refugee resettlement opportunities and ensuring the availability of safe and regular routes for refugees to reach the Union	4	500 000	250 000			500 000	250 000
	<i>Article 18 03 77 — Subtotal</i>		500 000	1 726 000			500 000	1 726 000
	<b>Chapter 18 03 — Total</b>		<b>1 687 565 120</b>	<b>975 634 892</b>		<b>-157 500 000</b>	<b>1 687 565 120</b>	<b>818 134 892</b>

### Article 18 03 01 — Asylum, Migration and Integration Fund

Item 18 03 01 01 — Strengthening and developing the common European asylum system and enhancing solidarity and responsibility-sharing between the Member States

### Figures

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
951 548 126	463 819 432		-118 000 000	951 548 126	345 819 432

### Remarks



This appropriation is intended to contribute to strengthen and develop all aspects of the common European asylum system, including its external dimension and to enhance the solidarity and responsibility sharing between the Member States, in particular towards those most affected by migration and asylum flows, including through practical cooperation.

In the case of the common European asylum system, this appropriation is intended to cover actions relating to reception and asylum systems and actions enhancing the capacity of Member States to develop, monitor and evaluate their asylum policies and procedures. Particular attention should be paid to the specific situation of vulnerable women, especially women with children, and unaccompanied young girls, and to the imperative of preventing gender based violence in reception and asylum centres.

This appropriation is also intended to cover actions related to the resettlement, transfer of applicants for and beneficiaries of international protection and other ad hoc humanitarian admission.

At the Commission's initiative, the appropriation may be used to finance transnational actions or actions of particular interest to the Union. These actions shall, in particular, support:

- the furthering of Union cooperation in implementing Union law and in sharing good practices in the field of asylum, notably on gender-sensitive reception centres, resettlement and transfer of applicants for and/or beneficiaries of international protection from one Member State to another including through networking and exchanging information, including arrival support and coordination activities to promote resettlement with the local communities that are to welcome resettled refugees,
- the setting-up of transnational cooperation networks and pilot projects, including innovative projects, based on transnational partnerships between bodies located in two or more Member States designed to stimulate innovation, and to facilitate exchanges of experience and good practice,
- studies and research on possible new forms of Union cooperation in the field of asylum, and relevant Union law, the dissemination and exchange of information on best practices and on all other aspects of asylum policies, including corporate communication on the political priorities of the Union,
- development and application by Member States of common statistical tools, methods and indicators for measuring policy developments in the field of asylum, including gender- and age-disaggregated data,
- preparatory, monitoring, administrative and technical support, development of an evaluation mechanism, required to implement the policies on asylum,
- cooperation with third countries on the basis of the Union's global approach to migration and mobility, in particular in the framework of the implementation mobility partnerships, regional protection programmes,
- awareness-raising, information and communication activities in relation to Union home affairs policies, priorities and achievements.

This appropriation shall also cover urgent and specific needs in the event of an emergency situation.

### *Legal basis*

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

Regulation (EU) No 516/2014 of the European Parliament and of the Council of 16 April 2014 establishing the Asylum, Migration and Integration Fund, amending Council Decision 2008/381/EC and repealing Decisions No 573/2007/EC and No 575/2007/EC of the European Parliament and of the Council and Council Decision 2007/435/EC (OJ L 150, 20.5.2014, p. 168).

Council Decision (EU) 2015/1523 of 14 September 2015 establishing provisional measures in the area of international protection for the benefit of Italy and of Greece (OJ L 239, 15.9.2015, p. 146).

Council Decision (EU) 2015/1601 of 22 September 2015 establishing provisional measures in the area of international protection for the benefit of Italy and Greece (OJ L 248, 24.9.2015, p. 80).

Council Decision (EU) 2016/1754 of 29 September 2016 amending Decision (EU) 2015/1601 establishing provisional measures in the area of international protection for the benefit of Italy and Greece (OJ L 268, 1.10.2016, p. 82).

### *Reference acts*

Commission Recommendation of 11 January 2016 for a voluntary humanitarian admission scheme with Turkey (C(2015) 9490 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 4 May 2016, establishing the criteria and mechanisms for determining the Member State responsible for examining an application for international protection lodged in one of the Member States by a third-country national or a stateless person (COM(2016) 270 final).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 13 July 2016, establishing a Union Resettlement Framework and amending Regulation (EU) No 516/2014 of the European Parliament and the Council (COM(2016) 468 final).

Item 18 03 01 02 — Supporting legal migration to the Union and promoting the effective integration of third-country nationals and enhancing fair and effective return strategies

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
666 210 994	285 783 460		-8 000 000	666 210 994	277 783 460

*Remarks*

This appropriation is intended to support legal migration to the Member States in line with their economic and social needs such as labour market needs, while safeguarding the integrity of the immigration systems of Member States, to promote the effective integration of third-country nationals, and to enhance fair and effective return strategies in the Member States, which contribute to combating illegal immigration, with an emphasis on sustainability of return and effective readmission in the countries of origin and transit.

As regards legal migration and the integration of third-country nationals, this appropriation is intended to cover immigration and pre-departure measures, integration measures, and practical cooperation and capacity building measures of Member States.

As regards fair and effective return strategies, this appropriation is intended to cover measures accompanying return procedures, return measures, practical cooperation and capacity building measures of Member States.

At the Commission's initiative, the appropriation may be used to finance transnational actions or actions of particular interest to the Union. These actions shall, in particular, support:

- the furthering of Union cooperation in implementing Union law and in sharing good practices in the field of legal migration, integration of third-country nationals, and return; good practices should include examples of successful integration of female third-country nationals,
- the setting-up of transnational cooperation networks and pilot projects, including innovative projects, based on transnational partnerships between bodies located in two or more Member States designed to stimulate innovation, and to facilitate exchanges of experience and good practice,
- studies and research on possible new forms of Union cooperation in the field of immigration, integration and return and relevant Union law, the dissemination and exchange of information on best practices and on all other aspects of immigration, integration and return policies, including corporate communication on the political priorities of the Union,
- development and application by Member States of common statistical tools, methods and indicators for measuring policy developments in the field of legal migration and integration and return, including gender- and age-disaggregated data and monitoring participation by third-country nationals in education and the labour market,
- preparatory, monitoring, administrative and technical support, development of an evaluation mechanism, required to implement the policies on immigration,
- cooperation with third countries on the basis of the Union's global approach to migration and mobility, in particular in the framework of the implementation of readmission agreements, mobility partnerships,
- information measures and campaigns in third countries aimed at raising awareness of appropriate legal channels for immigration and the risks of illegal immigration,

- awareness-raising, information and communication activities in relation to Union home affairs policies, priorities and achievements.

This appropriation shall also cover the European Migration Network for its activities and its future development.

### *Legal basis*

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

Regulation (EU) No 516/2014 of the European Parliament and of the Council of 16 April 2014 establishing the Asylum, Migration and Integration Fund, amending Council Decision 2008/381/EC and repealing Decisions No 573/2007/EC and No 575/2007/EC of the European Parliament and of the Council and Council Decision 2007/435/EC (OJ L 150, 20.5.2014, p. 168).

### ***Article 18 03 51 — Completion of operations and programmes in the field of return, refugees and migration flows***

#### *Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	155 000 000		-31 500 000	p.m.	123 500 000

#### *Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

#### *Legal basis*

Council Directive 2001/55/EC of 20 July 2001 on minimum standards for giving temporary protection in the event of a mass influx of displaced persons and on measures promoting a balance of effort between Member States in receiving such persons and bearing the consequences thereof (OJ L 212, 7.8.2001, p. 12).

Council Decision 2002/463/EC of 13 June 2002 adopting an action programme for administrative cooperation in the fields of external borders, visas, asylum and immigration (ARGO programme) (OJ L 161, 19.6.2002, p. 11).

Decision No 573/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing the European Refugee Fund for the period 2008 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' and repealing Council Decision 2004/904/EC (OJ L 144, 6.6.2007, p. 1).

Decision No 575/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing the European Return Fund for the period 2008 to 2013 as part of the General Programme 'Solidarity and Management of Migration Flows' (OJ L 144, 6.6.2007, p. 45).

Council Decision 2007/435/EC of 25 June 2007 establishing the European Fund for the Integration of third-country nationals for the period 2007 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' (OJ L 168, 28.6.2007, p. 18).

Council Decision 2008/381/EC of 14 May 2008 establishing a European Migration Network (OJ L 131, 21.5.2008, p. 7).

Directive 2008/115/EC of the European Parliament and of the Council of 16 December 2008 on common standards and procedures in Member States for returning illegally staying third-country nationals (OJ L 348, 24.12.2008, p. 98).

Decision No 458/2010/EU of the European Parliament and of the Council of 19 May 2010 amending Decision No 573/2007/EC establishing the European Refugee Fund for the period 2008 to 2013 by removing funding for certain Community actions and altering the limit for funding such actions (OJ L 129, 28.5.2010, p. 1).

#### *Reference acts*

Communication from the Commission to the Council and the European Parliament of 2 May 2005 establishing a framework programme on Solidarity and Management of Migration Flows for the period 2007-2013 (COM(2005) 123 final).

Commission Decision 2007/815/EC of 29 November 2007 implementing Decision No 573/2007/EC of the European Parliament and of the Council as regards the adoption of strategic guidelines 2008 to 2013 (OJ L 326, 12.12.2007, p. 29).

Commission Decision 2007/837/EC of 30 November 2007 implementing Decision No 575/2007/EC of the European Parliament and of the Council as regards the adoption of strategic guidelines for 2008 to 2013 (OJ L 330, 15.12.2007, p. 48).

Commission Decision 2008/22/EC of 19 December 2007 laying down rules for the implementation of Decision No 573/2007/EC of the European Parliament and of the Council establishing the European Refugee Fund for the period 2008 to 2013 as part of the General programme ‘Solidarity and Management of Migration Flows’ as regards Member States’ management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 7, 10.1.2008, p. 1).

Commission Decision 2008/457/EC of 5 March 2008 laying down rules for the implementation of Council Decision 2007/435/EC establishing the European Fund for the Integration of third-country nationals for the period 2007 to 2013 as part of the General programme ‘Solidarity and Management of Migration Flows’ as regards Member States’ management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 167, 27.6.2008, p. 69).

Commission Decision 2008/458/EC of 5 March 2008 laying down rules for the implementation of Decision No 575/2007/EC of the European Parliament and of the Council establishing the European Return Fund for the period 2008 to 2013 as part of the General programme ‘Solidarity and Management of Migration Flows’ as regards Member States’ management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 167, 27.6.2008, p. 135).

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

### Figures

Title Chapter	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
22 01	Administrative expenditure of the 'Neighbourhood and enlargement negotiations' policy area	4	164 498 708	164 498 708			164 498 708	164 498 708
22 02	Enlargement process and strategy		1 803 453 832	1 318 866 271		-236 638 519	1 803 453 832	1 082 227 752
22 04	European Neighbourhood Instrument (ENI)		2 540 127 860	2 372 888 530		-436 649 655	2 540 127 860	1 936 238 875
<b>Title 22 — Total</b>			<b>4 508 080 400</b>	<b>3 856 253 509</b>		<b>-673 288 174</b>	<b>4 508 080 400</b>	<b>3 182 965 335</b>

## CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY

### Figures

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
22 02	Enlargement process and strategy							
22 02 01	<i>Support to Albania, Bosnia and Herzegovina, Kosovo<sup>1</sup>, Montenegro, Serbia and the former Yugoslav Republic of Macedonia</i>							
22 02 01 01	Support for political reforms and related progressive alignment with the Union acquis	4	276 700 000	131 933 508		-74 886 680	276 700 000	57 046 828
22 02 01 02	Support for economic, social and territorial development and related progressive alignment with the Union acquis	4	280 658 000	147 317 400		-27 107 354	280 658 000	120 210 046
	<i>Article 22 02 01 — Subtotal</i>		557 358 000	279 250 908		-101 994 034	557 358 000	177 256 874
22 02 02	<i>Support to Iceland</i>							
22 02 02 01	Support for political reforms and related progressive alignment with the Union acquis	4	p.m.	p.m.			p.m.	p.m.
22 02 02 02	Support for economic, social and territorial development and related progressive alignment with the Union acquis	4	p.m.	p.m.			p.m.	p.m.
	<i>Article 22 02 02 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
22 02 03	<i>Support to Turkey</i>							
22 02 03 01	Support for political reforms and related progressive alignment with the Union acquis	4	137 200 000	38 547 500		-25 583 372	137 200 000	12 964 128
22 02 03 02	Support for economic, social and territorial development and related progressive alignment with the Union acquis	4	751 187 000	375 400 000			751 187 000	375 400 000
	<i>Article 22 02 03 — Subtotal</i>		888 387 000	413 947 500		-25 583 372	888 387 000	388 364 128
22 02 04	<i>Regional integration and territorial cooperation and support to groups of countries (horizontal programmes)</i>							
22 02 04 01	Multi-country programmes, regional integration and territorial cooperation	4	320 292 285	224 547 358		-94 444 080	320 292 285	130 103 278
22 02 04 02	Erasmus+ — Contribution from the Instrument for Pre-accession Assistance (IPA)	4	33 061 715	33 087 700			33 061 715	33 087 700
22 02 04 03	Contribution to the Energy Community for South-East Europe	4	4 354 832	4 354 832			4 354 832	4 354 832

<sup>1</sup> This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
	<i>Article 22 02 04 — Subtotal</i>		357 708 832	261 989 890		-94 444 080	357 708 832	167 545 810
22 02 51	<b>Completion of former pre-accession assistance (prior to 2014)</b>	4	p.m.	363 275 973		-14 617 033	p.m.	348 658 940
22 02 77	<b>Pilot projects and preparatory actions</b>							
22 02 77 01	Pilot project — Preserving and restoring cultural heritage in conflict areas	4	p.m.	p.m.			p.m.	p.m.
22 02 77 02	Preparatory action — Preserving and restoring cultural heritage in conflict areas	4	p.m.	402 000			p.m.	402 000
	<i>Article 22 02 77 — Subtotal</i>		p.m.	402 000			p.m.	402 000
	<b>Chapter 22 02 — Total</b>		<b>1 803 453 832</b>	<b>1 318 866 271</b>		<b>-236 638 519</b>	<b>1 803 453 832</b>	<b>1 082 227 752</b>

**Article 22 02 01 — Support to Albania, Bosnia and Herzegovina, Kosovo<sup>1</sup>, Montenegro, Serbia and the former Yugoslav Republic of Macedonia**

Item 22 02 01 01 — Support for political reforms and related progressive alignment with the Union *acquis*

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
276 700 000	131 933 508		-74 886 680	276 700 000	57 046 828

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

<sup>1</sup> This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.



Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (a) and (c) of Article 2(1) thereof.

Item 22 02 01 02 — Support for economic, social and territorial development and related progressive alignment with the Union *acquis*

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
280 658 000	147 317 400		-27 107 354	280 658 000	120 210 046

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (b) and (c) of Article 2(1) thereof.

***Article 22 02 03 — Support to Turkey***

Item 22 02 03 01 — Support for political reforms and related progressive alignment with the Union *acquis*

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
137 200 000	38 547 500		-25 583 372	137 200 000	12 964 128

### Remarks

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

### Legal basis

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (a) and (c) of Article 2(1) thereof.

### **Article 22 02 04 — Regional integration and territorial cooperation and support to groups of countries (horizontal programmes)**

Item 22 02 04 01 — Multi-country programmes, regional integration and territorial cooperation

### Figures

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
320 292 285	224 547 358		-94 444 080	320 292 285	130 103 278

### Remarks

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the specific objective of regional integration and territorial cooperation involving the beneficiaries listed in Annex I to Regulation (EU) No 231/2014, Member States and, where appropriate, third countries within the scope of Regulation (EU) No 232/2014.

This appropriation is intended to cover the financing of pre-accession regional and multi-beneficiary programmes for the beneficiaries.

This appropriation is also intended to cover technical assistance for the beneficiaries in the field of approximation of legislation for the entire *acquis* of the Union, helping all bodies involved in the

implementation and enforcement of the *acquis*, including non-governmental organisations, to achieve their objectives and to monitor their rate of achievement.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

In accordance with Article 3 of Regulation (EU) No 236/2014 of the European Parliament and of the Council of 11 March 2014 laying down common rules and procedures for the implementation of the Union's instruments for financing external action (OJ L 77, 15.3.2014, p. 95), this appropriation also covers expenditure directly necessary for the implementation of IPA II related to the preparation, follow-up, monitoring, audit and evaluation activities as well as to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

#### *Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular point (d) of Article 2(1) thereof.

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

#### ***Article 22 02 51 — Completion of former pre-accession assistance (prior to 2014)***

#### *Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	363 275 973		-14 617 033	p.m.	348 658 940

#### *Remarks*

This appropriation is intended to cover the liquidation of commitments made prior to 2014.

#### *Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Tasks resulting from the specific powers assigned directly to the Commission by Article 34 of the Act of Accession of 16 April 2003 and Article 31 of Title III of the Act of Accession of 25 April

2005 (part of the Treaty concerning the accession of the Republic of Bulgaria and Romania to the European Union).

Tasks resulting from the specific powers assigned directly to the Commission by Article 30 of the Act of Accession of Croatia.

Council Regulation (EEC) No 3906/89 of 18 December 1989 on economic aid to the Republic of Hungary and the Polish People's Republic (OJ L 375, 23.12.1989, p. 11).

Council Regulation (EC) No 1488/96 of 23 July 1996 on financial and technical measures to accompany (MEDA) the reform of economic and social structures in the framework of the Euro-Mediterranean partnership (OJ L 189, 30.7.1996, p. 1).

Council Regulation (EC) No 555/2000 of 13 March 2000 on the implementation of operations in the framework of the pre-accession strategy for the Republic of Cyprus and the Republic of Malta (OJ L 68, 16.3.2000, p. 3).

Council Regulation (EC) No 764/2000 of 10 April 2000 regarding the implementation of measures to intensify the EC-Turkey customs union (OJ L 94, 14.4.2000, p. 6).

Council Regulation (EC) No 2666/2000 of 5 December 2000 on assistance for Albania, Bosnia and Herzegovina, Croatia, the Federal Republic of Yugoslavia and the Former Yugoslav Republic of Macedonia, repealing Regulation (EC) No 1628/96 and amending Regulations (EEC) No 3906/89 and (EEC) No 1360/90 and Decisions 97/256/EC and 1999/311/EC (OJ L 306, 7.12.2000, p. 1).

Council Regulation (EC) No 2500/2001 of 17 December 2001 concerning pre-accession financial assistance for Turkey and amending Regulations (EEC) No 3906/89, (EC) No 1267/1999, (EC) No 1268/1999 and (EC) No 555/2000 (OJ L 342, 27.12.2001, p. 1).

Council Decision 2006/500/EC of 29 May 2006 on the conclusion by the European Community of the Energy Community Treaty (OJ L 198, 20.7.2006, p. 15).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

## CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI)

### Figures

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
22 04	European Neighbourhood Instrument (ENI)							
22 04 01	<i>Supporting cooperation with Mediterranean countries</i>							
22 04 01 01	Mediterranean countries — Human rights, good governance and mobility	4	173 000 000	68 000 000		-6 200 513	173 000 000	61 799 487
22 04 01 02	Mediterranean countries — Poverty reduction and sustainable development	4	613 835 212	333 300 000		-85 959 584	613 835 212	247 340 416

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
22 04 01 03	Mediterranean countries — Confidence building, security and the prevention and settlement of conflicts	4	332 480 439	134 805 000			332 480 439	134 805 000
22 04 01 04	Support to the peace process and financial assistance to Palestine and to the United Nations Relief and Works Agency for Palestine Refugees (UNRWA)	4	310 100 000	307 661 000		-56 028 674	310 100 000	251 632 326
	<i>Article 22 04 01 — Subtotal</i>		1 429 415 651	843 766 000		-148 188 771	1 429 415 651	695 577 229
<b>22 04 02</b>	<b>Supporting cooperation with Eastern Partnership countries</b>							
22 04 02 01	Eastern Partnership — Human rights, good governance and mobility	4	214 000 000	97 000 000		-25 995 110	214 000 000	71 004 890
22 04 02 02	Eastern Partnership — Poverty reduction and sustainable development	4	322 125 583	172 135 000		-103 090 754	322 125 583	69 044 246
22 04 02 03	Eastern Partnership — Confidence building, security and the prevention and settlement of conflicts	4	8 000 000	5 000 000			8 000 000	5 000 000
	<i>Article 22 04 02 — Subtotal</i>		544 125 583	274 135 000		-129 085 864	544 125 583	145 049 136
<b>22 04 03</b>	<b>Ensuring efficient cross-border cooperation (CBC) and support to other multi-country cooperation</b>							
22 04 03 01	Cross-border cooperation (CBC) — Contribution from Heading 4	4	86 119 807	35 000 000			86 119 807	35 000 000
22 04 03 02	Cross-border cooperation (CBC) — Contribution from Heading 1b (Regional Policy)	1.2	150 691 819	35 000 000			150 691 819	35 000 000
22 04 03 03	Support to other multi-country cooperation in the neighbourhood — Umbrella programme	4	196 500 000	100 000 000		-58 339 566	196 500 000	41 660 434
22 04 03 04	Other multi-country cooperation in the neighbourhood — Supporting measures	4	30 110 000	8 000 000		-1 239 476	30 110 000	6 760 524
	<i>Article 22 04 03 — Subtotal</i>		463 421 626	178 000 000		-59 579 042	463 421 626	118 420 958
<b>22 04 20</b>	<b>Erasmus+ — Contribution from the European Neighbourhood Instrument (ENI)</b>							
22 04 20 01	Erasmus+ — Contribution from the European Neighbourhood Instrument (ENI)	4	102 415 000	96 647 388			102 415 000	96 647 388
<b>22 04 51</b>	<b>Completion of actions in the area of European Neighbourhood Policy and relations with Russia (prior to 2014)</b>							
22 04 51 01	Completion of actions in the area of European Neighbourhood Policy and relations with Russia (prior to 2014)	4	p.m.	950 000 000		-97 282 992	p.m.	852 717 008
<b>22 04 52</b>	<b>Cross-border cooperation (CBC) — Contribution from Heading 1b (Regional policy)</b>							
22 04 52 01	Cross-border cooperation (CBC) — Contribution from Heading 1b (Regional policy)	1.2	p.m.	29 288 091		-2 512 986	p.m.	26 775 105
<b>22 04 77</b>	<b>Pilot projects and preparatory actions</b>							
22 04 77 03	Preparatory action — New Euro-Mediterranean strategy for youth employment promotion	4	p.m.	335 789			p.m.	335 789
22 04 77 04	Pilot project — ENP funding — Preparing staff for EU-ENP-related jobs	4	p.m.	p.m.			p.m.	p.m.
22 04 77 05	Preparatory action — Asset recovery to Arab Spring countries	4	p.m.	341 262			p.m.	341 262
22 04 77 06	Pilot project — Developing knowledge-based European journalism relating to Europe's neighbours, through educational activities delivered by the Natolin Campus of the College of Europe.	4	750 000	375 000			750 000	375 000
	<i>Article 22 04 77 — Subtotal</i>		750 000	1 052 051			750 000	1 052 051
	<b>Chapter 22 04 — Total</b>		<b>2 540 127 860</b>	<b>2 372 888 530</b>		<b>-436 649 655</b>	<b>2 540 127 860</b>	<b>1 936 238 875</b>

## Article 22 04 01 — Supporting cooperation with Mediterranean countries

Item 22 04 01 01 — Mediterranean countries — Human rights, good governance and mobility

### Figures

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
173 000 000	68 000 000		-6 200 513	173 000 000	61 799 487

### Remarks

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- human rights and fundamental freedoms,
- the rule of law,
- principles of equality,
- establishing deep and sustainable democracy,
- good governance,
- developing a thriving civil society including social partners,
- creating conditions for well managed mobility of people,
- promotion of people-to-people contacts.

An adequate level of appropriations should be reserved for the support of civil society organisations.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

### Legal basis

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

Item 22 04 01 02 — Mediterranean countries — Poverty reduction and sustainable development

### Figures

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
613 835 212	333 300 000		-85 959 584	613 835 212	247 340 416

## *Remarks*

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- progressive integration into the Union internal market and enhanced sector and cross-sectoral cooperation including through:
  - legislative approximation and regulatory convergence towards Union and other relevant international standards,
  - institution building,
  - investments,
- sustainable and inclusive development in all aspects,
- poverty reduction, including through private-sector development,
- promotion of internal economic, social and territorial cohesion,
- rural development,
- climate action,
- disaster resilience.

An adequate level of appropriations should be reserved for the support of civil society organisations.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

## *Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

Item 22 04 01 04 — Support to the peace process and financial assistance to Palestine and to the United Nations Relief and Works Agency for Palestine Refugees (UNRWA)

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
310 100 000	307 661 000		-56 028 674	310 100 000	251 632 326

*Remarks*

This appropriation is intended to cover operations for the benefit of the Palestinian people and the occupied Palestinian territories of the West Bank and the Gaza Strip, in the context of the Middle East peace process.

The operations are primarily aimed at:

- supporting state-building and institutional development,
- promoting social and economic development,
- mitigating the effects on the Palestinian population of the deteriorating economic, fiscal and humanitarian conditions through the provision of essential services and other support,
- contributing to reconstruction efforts in Gaza,
- contributing to the financing of the operation of the United Nations Relief and Works Agency for Palestine Refugees (UNRWA) and in particular its health, education and social services programmes,
- financing preparatory operations aimed at promoting cooperation between Israel and its neighbours in the context of the peace process, notably with regard to institutions, economic matters, water, the environment and energy,
- financing activities designed to create a public opinion favourable to the peace process,
- financing information, including in Arabic and Hebrew, and disseminating information on Israeli-Palestinian cooperation,
- promoting respect for human rights and fundamental freedoms, promoting enhanced respect for minority rights, combating anti-Semitism and promoting gender equality and non-discrimination,
- fostering the development of civil society, inter alia, to promote social inclusion.

An adequate level of appropriations should be reserved for the support of civil society organisations.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*



Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

**Article 22 04 02 — Supporting cooperation with Eastern Partnership countries**

Item 22 04 02 01 — Eastern Partnership — Human rights, good governance and mobility

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
214 000 000	97 000 000		-25 995 110	214 000 000	71 004 890

*Remarks*

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- human rights and fundamental freedoms,
- the rule of law,
- principles of equality,
- establishing deep and sustainable democracy,
- good governance,
- developing a thriving civil society including social partners,
- creating conditions for well managed mobility of people,
- promotion of people-to-people contacts.

An adequate level of appropriations should be reserved for the support of civil society organisations.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

Item 22 04 02 02 — Eastern Partnership — Poverty reduction and sustainable development

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
322 125 583	172 135 000		-103 090 754	322 125 583	69 044 246

*Remarks*

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- progressive integration into the Union internal market and enhanced sector and cross-sectoral cooperation including through:
  - legislative approximation and regulatory convergence towards Union and other relevant international standards,
  - institution building,
  - investments,
- sustainable and inclusive development in all aspects,
- poverty reduction, including through private-sector development,
- promotion of internal economic, social and territorial cohesion,
- rural development,
- climate action,
- disaster resilience.

An adequate level of appropriations should be reserved for the support of civil society organisations.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

**Article 22 04 03 — Ensuring efficient cross-border cooperation (CBC) and support to other multi-country cooperation**

Item 22 04 03 03 — Support to other multi-country cooperation in the neighbourhood — Umbrella programme

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
196 500 000	100 000 000		-58 339 566	196 500 000	41 660 434

*Remarks*

This appropriation is intended mainly to finance the multi-country umbrella programmes that will supplement the country financial allocations. The purpose of the multi-country umbrella programmes — as stipulated in Regulation (EU) No 232/2014 — is to facilitate the implementation of the incentive-based approach.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

Item 22 04 03 04 — Other multi-country cooperation in the neighbourhood — Supporting measures

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
30 110 000	8 000 000		-1 239 476	30 110 000	6 760 524

*Remarks*

This appropriation is intended to cover actions which aim to:

- provide general support to the functioning of the Union for the Mediterranean,
- provide general support to the functioning of the Eastern Partnership initiative,
- provide general support to the functioning of the other regional cooperation frameworks, such as the Northern Dimension and Black Sea Synergy.

It is also intended to cover actions improving the level and capacity of implementation of Union assistance as well as for actions aiming to inform the general public and the potential beneficiaries of assistance and to increase visibility.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

***Article 22 04 51 — Completion of actions in the area of European Neighbourhood Policy and relations with Russia (prior to 2014)***

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	950 000 000		-97 282 992	p.m.	852 717 008

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

It is also intended to cover the completion of the financial protocols with Mediterranean countries, including, inter alia, support for the Euro-Mediterranean Investment Facility within the European Investment Bank and covers the execution of non-EIB financial aid provided for in the third and

fourth generation of financial protocols with the southern Mediterranean countries. The protocols cover the period 1 November 1986 to 31 October 1991 for the third generation of financial protocols and the period 1 November 1991 to 31 October 1996 for the fourth generation of financial protocols.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e), (f) and (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

#### *Legal basis*

Council Regulation (EEC) No 2210/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 263, 27.9.1978, p. 1).

Council Regulation (EEC) No 2211/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Kingdom of Morocco (OJ L 264, 27.9.1978, p. 1).

Council Regulation (EEC) No 2212/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Republic of Tunisia (OJ L 265, 27.9.1978, p. 1).

Council Regulation (EEC) No 2213/78 of 26 September 1978 on the conclusion of the Cooperation Agreement between the European Economic Community and the Arab Republic of Egypt (OJ L 266, 27.9.1978, p. 1).

Council Regulation (EEC) No 2214/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Lebanese Republic (OJ L 267, 27.9.1978, p. 1).

Council Regulation (EEC) No 2215/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 268, 27.9.1978, p. 1).

Council Regulation (EEC) No 2216/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Syrian Arab Republic (OJ L 269, 27.9.1978, p. 1).

Council Regulation (EEC) No 3177/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 337, 29.11.1982, p. 1).

Council Regulation (EEC) No 3178/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 337, 29.11.1982, p. 8).

Council Regulation (EEC) No 3179/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 337, 29.11.1982, p. 15).

Council Regulation (EEC) No 3180/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 337, 29.11.1982, p. 22).

Council Regulation (EEC) No 3181/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 337, 29.11.1982, p. 29).

Council Regulation (EEC) No 3182/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 337, 29.11.1982, p. 36).

Council Regulation (EEC) No 3183/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 337, 29.11.1982, p. 43).

Council Decision 88/30/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 22, 27.1.1988, p. 1).

Council Decision 88/31/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 22, 27.1.1988, p. 9).

Council Decision 88/32/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 22, 27.1.1988, p. 17).

Council Decision 88/33/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 22, 27.1.1988, p. 25).

Council Decision 88/34/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 22, 27.1.1988, p. 33).

Council Decision 88/453/EEC of 30 June 1988 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 224, 13.8.1988, p. 32).

Council Decision 92/44/EEC of 19 December 1991 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 18, 25.1.1992, p. 34).

Council Decision 92/206/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 94, 8.4.1992, p. 13).

Council Decision 92/207/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 94, 8.4.1992, p. 21).

Council Decision 92/208/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 94, 8.4.1992, p. 29).

Council Decision 92/209/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 94, 8.4.1992, p. 37).

Council Regulation (EEC) No 1762/92 of 29 June 1992 on the implementation of the Protocols on financial and technical cooperation concluded by the Community with Mediterranean non-member countries (OJ L 181, 1.7.1992, p. 1).

Council Decision 92/548/EEC of 16 November 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 352, 2.12.1992, p. 13).

Council Decision 92/549/EEC of 16 November 1992 on the conclusion of the Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 352, 2.12.1992, p. 21).

Council Decision 94/67/EC of 24 January 1994 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 32, 5.2.1994, p. 44).

Council Regulation (EC) No 1734/94 of 11 July 1994 on financial and technical cooperation with the West Bank and the Gaza Strip (OJ L 182, 16.7.1994, p. 4).

Council Regulation (EC) No 213/96 of 29 January 1996 on the implementation of the European Communities investment partners financial instrument for the countries of Latin America, Asia, the Mediterranean region and South Africa (OJ L 28, 6.2.1996, p. 2).

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

**Article 22 04 52 — Cross-border cooperation (CBC) — Contribution from Heading 1b (Regional policy)**

*Figures*

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	29 288 091		-2 512 986	p.m.	26 775 105

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from the European Regional Development Fund 2007-2013 contribution to cross-border cooperation under the European Neighbourhood and Partnership Instrument.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).



## TITLE 40 — RESERVES

### Figures

Title Chapter	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
40 01	Reserves for administrative expenditure	5	5 769 253	5 769 253			5 769 253	5 769 253
40 02	Reserves for financial interventions	9	588 889 522	372 326 522	-11 166 522	-38 361 522	577 723 000	333 965 000
40 03	Negative reserve	8	p.m.	p.m.			p.m.	p.m.
<b>Title 40 — Total</b>			<b>594 658 775</b>	<b>378 095 775</b>	<b>-11 166 522</b>	<b>-38 361 522</b>	<b>583 492 253</b>	<b>339 734 253</b>

## CHAPTER 40 02 — RESERVES FOR FINANCIAL INTERVENTIONS

### Figures

Title Chapter Article Item	Heading	FF	Budget 2017		Council position on DAB No 6/2017		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
40 02	Reserves for financial interventions							
40 02 40	<i>Non-differentiated appropriations</i>		p.m.	p.m.			p.m.	p.m.
40 02 41	<i>Differentiated appropriations</i>		82 165 522	57 326 522	-11 166 522	-38 361 522	70 999 000	18 965 000
40 02 42	<i>Emergency aid reserve</i>	9	337 800 000	315 000 000			337 800 000	315 000 000
40 02 43	<i>Reserve for the European Globalisation Adjustment Fund</i>	9	168 924 000	p.m.			168 924 000	p.m.
40 02 44	<i>Reserve for the European Union Solidarity Fund</i>	9	p.m.	p.m.			p.m.	p.m.
<b>Chapter 40 02 — Total</b>			<b>588 889 522</b>	<b>372 326 522</b>	<b>-11 166 522</b>	<b>-38 361 522</b>	<b>577 723 000</b>	<b>333 965 000</b>

### Article 40 02 41 — Differentiated appropriations

#### Figures

Budget 2017		Council position on DAB No 6/2017		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
82 165 522	57 326 522	-11 166 522	-38 361 522	70 999 000	18 965 000

#### Remarks

The appropriations in the Title ‘Reserves’ are intended for two circumstances only: (a) where no basic act exists for the action concerned when the budget is established; and (b) where there are serious grounds for doubting the adequacy of the appropriations or the possibility of implementing, under conditions consistent with sound financial management, the appropriations entered in the lines concerned. The appropriations entered in this article may be used only after transfer in accordance with the procedure laid down in Article 27 of the Financial Regulation.

The breakdown is as follows (commitments, payments):

1.	Article	11 03 01	Establishing a governance framework for fishing activities carried out by Union fishing vessels in third country waters	3 643 000	4 448 000
2.	Article	12 02 03	Standards in the fields of financial reporting and auditing	3 356 000	2 517 000
3.	Article	12 02 08	Enhancing the involvement of consumers and other end-users in Union policy-making in financial services	1 500 000	750 000
4.	Article	13 08 01	Structural Reform Support Programme (SRSP) – Operational technical assistance transferred from H1b (ESF, ERDF and CF)	17 442 912	8 721 500
5.	Article	13 08 02	Structural Reform Support Programme (SRSP) – Operational technical assistance transferred from H2 (EAFRD)	5 057 088	2 528 500
6.	Item	18 02 01 03	Establishing an Entry/Exit System (EES) to register data on the entry, exit and refusal of entry of third country nationals crossing the external borders of the Member States of the European Union	40 000 000	p.m.
<b>Total</b>				<b>70 999 000</b>	<b>18 965 000</b>

### *Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).