

Brussels, 8 November 2016
(OR. en)

13583/16

BUDGET 29

EXPLANATORY MEMORANDUM

Subject: Draft amending budget No 4 to the general budget for 2016: Update of appropriations to reflect the latest developments on migration and security issues, reduction of payment and commitment appropriations as a result of the Global Transfer, extension of EFSI, modification of the staff establishment plan of Frontex and update of revenue appropriations (Own Resources)

– *Council position of 8 November 2016*

I. INTRODUCTION

On 30 September 2016, the Commission submitted to the Council draft amending budget (DAB) No 4/2016 requesting modifications on the expenditure and revenue side of the 2016 budget.

With regard to the expenditure side, the following modifications are proposed:

- to decrease payment appropriations (p/a) by -EUR 7 284.3 million mostly under sub-heading 1b, and to a lesser extent under headings 2 and 3 due to delays in the implementation of the 2014-2020 programmes;
- to decrease commitment appropriations (c/a) by -EUR 14.7 million under heading 2 as a result of the latest needs assessment;

- to frontload EUR 73.9 million in c/a from Financial Instruments under CEF Energy to the European Fund for Strategic Investments (EFSI), with a corresponding compensation in 2018;
- to increase c/a by +EUR 250 million and p/a by +EUR 10 million under heading 3, notably for the Emergency Support Instrument within the Union, the Asylum, Migration and Integration Fund (AMIF) and the Internal Security Fund (ISF). After taking into account a redeployment of EUR 9.9 million from the European Medicines Agency (EMA), due to the changes in the EUR/GBP exchange rate, the Commission proposes to finance the remaining additional c/a under heading 3 through a mobilisation of the Contingency Margin for an amount of EUR 240.1 million to be fully offset in the same year against the margin under heading 5;
- to frontload 50 posts for temporary agents out of the 130 posts requested for the year 2017 for FRONTEX due to the revised mandate of the agency.

The changes on the revenue side proposed in this DAB concern the revision of the forecast of Traditional Own Resources (i.e. customs duties and sugar levies), value added tax (VAT) and gross national income (GNI) bases, and the budgeting of the relevant UK corrections and their financing, which affects the distribution of own resources contributions from Member States to the EU budget.

The net impact of this DAB on the expenditure side of the 2016 budget is an increase of +EUR 225.4 million in c/a and a decrease of -EUR 7 274.3 million in p/a, thus lowering by the same amount Member States' contributions for the financial year 2016 (surplus).

II. CONCLUSION

On 8 November 2016, the Council adopted its position on DAB No 6 to the general budget for 2016 as set out in the ANNEX.

TOTAL REVENUE

A. INTRODUCTION AND FINANCING OF THE GENERAL BUDGET

FINANCING OF THE GENERAL BUDGET

Appropriations to be covered during the financial year 2016 pursuant to Article 1 of Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources

EXPENDITURE

Description	Budget 2016 ¹	Budget 2015 ²	Change (%)
1. Smart and inclusive growth	59 290 697 648	66 853 308 910	– 11,31
2. Sustainable growth: natural resources	54 972 403 654	55 978 784 039	– 1,80
3. Security and citizenship	3 022 387 739	1 926 965 795	+ 56,85
4. Global Europe	10 155 590 403	7 478 225 907	+ 35,80
5. Administration	8 950 916 040	8 658 632 705	+ 3,38
6. Compensation	p.m.	p.m.	—
Special instruments	219 000 000	384 505 583	– 43,04
Total expenditure³	136 610 995 484	141 280 422 939	– 3,31

REVENUE

Description	Budget 2016 ¹	Budget 2015 ²	Change (%)
Miscellaneous revenue (Titles 4 to 9)	1 616 701 373	3 045 497 557	– 46,92
Surplus available from the preceding financial year (Chapter 3 0, Article 3 0 0)	1 349 116 814	1 434 557 708	– 5,96
Surplus of own resources resulting from the repayment of the surplus of the Guarantee Fund for external actions (Chapter 3 0, Article 3 0 2)	p.m.	p.m.	—
Balance of own resources accruing from VAT and GNP/GNI-based own resources for earlier years (Chapters 3 1 and 3 2)	p.m.	7 133 244 000	—
Total revenue for Titles 3 to 9	2 965 818 187	11 613 299 265	– 74,46
Net amount of customs duties and sugar levies (Chapters 1 1 and 1 2)	18 982 100 000	18 759 400 000	+ 1,19
VAT-based own resource at the uniform rate (Tables 1 and 2, Chapter 1 3)	18 949 564 800	18 023 353 946	+ 5,14
Remainder to be financed by the additional resource (GNI-based own resource, Table 3, Chapter 1 4)	95 713 512 497	92 884 369 728	+ 3,05
Appropriations to be covered by the own resources referred to in Article 2 of Decision 2007/436/EC, Euratom⁴	133 645 177 297	129 667 123 674	+ 3,07
Total revenue³	136 610 995 484	141 280 422 939	– 3,31

¹ The figures in this column correspond to those in the 2016 budget (OJ L 48, 24.2.2016, p. 1) plus AB No 1 to No 3/2016 and Council position on DAB No 4/2016.

² The figures in this column correspond to those in the 2015 budget (OJ L 69, 13.3.2015, p. 1) plus AB No 1 to No 8/2015.

³ The third subparagraph of Article 310(1) of the Treaty on the Functioning of the European Union reads: 'The revenue and expenditure shown in the budget shall be in balance'.

⁴ The own resources for the 2016 budget are determined on the basis of the budget forecasts adopted at the 166th meeting of the Advisory Committee on Own Resources on 18 May 2016.

TABLE 1

Calculation of capping of harmonised value added tax (VAT) bases pursuant to Article 2(1)(b) of Decision 2007/436/EC, Euratom

Member State	1 % of non-capped VAT base	1 % of gross national income	Capping rate (in %)	1 % of gross national income multiplied by capping rate	1 % of capped VAT base ¹	Member States whose VAT base is capped
	(1)	(2)	(3)	(4)	(5)	(6)
Belgium	1 721 991 000	4 129 447 000	50	2 064 723 500	1 721 991 000	
Bulgaria	207 858 000	432 502 000	50	216 251 000	207 858 000	
Czech Republic	680 378 000	1 524 065 000	50	762 032 500	680 378 000	
Denmark	1 022 373 000	2 724 118 000	50	1 362 059 000	1 022 373 000	
Germany	12 984 422 000	31 112 706 000	50	15 556 353 000	12 984 422 000	
Estonia	102 808 000	204 553 000	50	102 276 500	102 276 500	Estonia
Ireland	809 088 000	1 744 614 000	50	872 307 000	809 088 000	
Greece	759 264 000	1 734 821 000	50	867 410 500	759 264 000	
Spain	4 723 052 000	10 997 285 000	50	5 498 642 500	4 723 052 000	
France	9 712 577 000	22 235 442 000	50	11 117 721 000	9 712 577 000	
Croatia	256 144 000	435 508 000	50	217 754 000	217 754 000	Croatia
Italy	6 088 296 000	16 405 910 000	50	8 202 955 000	6 088 296 000	
Cyprus	116 299 000	173 869 000	50	86 934 500	86 934 500	Cyprus
Latvia	98 291 000	252 115 000	50	126 057 500	98 291 000	
Lithuania	151 049 000	377 324 000	50	188 662 000	151 049 000	
Luxembourg	275 478 000	348 750 000	50	174 375 000	174 375 000	Luxembourg
Hungary	433 908 000	1 052 847 000	50	526 423 500	433 908 000	
Malta	63 326 000	90 780 000	50	45 390 000	45 390 000	Malta
Netherlands	2 787 039 000	6 890 276 000	50	3 445 138 000	2 787 039 000	
Austria	1 556 782 000	3 291 174 000	50	1 645 587 000	1 556 782 000	
Poland	1 833 156 000	4 186 084 000	50	2 093 042 000	1 833 156 000	
Portugal	871 109 000	1 778 729 000	50	889 364 500	871 109 000	
Romania	550 855 000	1 616 422 000	50	808 211 000	550 855 000	
Slovenia	178 550 000	383 307 000	50	191 653 500	178 550 000	
Slovakia	266 343 000	761 013 000	50	380 506 500	266 343 000	
Finland	915 357 000	2 028 318 000	50	1 014 159 000	915 357 000	
Sweden	2 034 845 000	4 689 977 000	50	2 344 988 500	2 034 845 000	
United Kingdom	12 151 903 000	25 327 327 000	50	12 663 663 500	12 151 903 000	
Total	63 352 541 000	146 929 283 000		73 464 641 500	63 165 216 000	

¹ The base to be used does not exceed 50 % of GNI.

TABLE 2

Breakdown of own resources accruing from VAT pursuant to Article 2(1)(b) of Decision 2007/436/EC, Euratom (Chapter 1 3)

Member State	1 % of capped VAT base	Uniform rate of VAT own resource (in %)	VAT-based own resource at uniform rate
	(1)	(2)	(3) = (1) × (2)
Belgium	1 721 991 000	0,300	516 597 300
Bulgaria	207 858 000	0,300	62 357 400
Czech Republic	680 378 000	0,300	204 113 400
Denmark	1 022 373 000	0,300	306 711 900
Germany	12 984 422 000	0,300	3 895 326 600
Estonia	102 276 500	0,300	30 682 950
Ireland	809 088 000	0,300	242 726 400
Greece	759 264 000	0,300	227 779 200
Spain	4 723 052 000	0,300	1 416 915 600
France	9 712 577 000	0,300	2 913 773 100
Croatia	217 754 000	0,300	65 326 200
Italy	6 088 296 000	0,300	1 826 488 800
Cyprus	86 934 500	0,300	26 080 350
Latvia	98 291 000	0,300	29 487 300
Lithuania	151 049 000	0,300	45 314 700
Luxembourg	174 375 000	0,300	52 312 500
Hungary	433 908 000	0,300	130 172 400
Malta	45 390 000	0,300	13 617 000
Netherlands	2 787 039 000	0,300	836 111 700
Austria	1 556 782 000	0,300	467 034 600
Poland	1 833 156 000	0,300	549 946 800
Portugal	871 109 000	0,300	261 332 700
Romania	550 855 000	0,300	165 256 500
Slovenia	178 550 000	0,300	53 565 000
Slovakia	266 343 000	0,300	79 902 900
Finland	915 357 000	0,300	274 607 100
Sweden	2 034 845 000	0,300	610 453 500
United Kingdom	12 151 903 000	0,300	3 645 570 900
Total	63 165 216 000		18 949 564 800

TABLE 3

Determination of uniform rate and breakdown of resources based on gross national income pursuant to Article 2(1)(c) of Decision 2007/436/EC, Euratom (Chapter 1 4)

Member State	1 % of gross national income	Uniform rate of 'additional base', own resource	'Additional base' own resource at uniform rate
	(1)	(2)	(3) = (1) × (2)
Belgium	4 129 447 000		2 690 027 944
Bulgaria	432 502 000		281 742 922
Czech Republic	1 524 065 000		992 815 125
Denmark	2 724 118 000		1 774 560 502
Germany	31 112 706 000		20 267 616 595
Estonia	204 553 000		133 251 083
Ireland	1 744 614 000		1 136 486 414
Greece	1 734 821 000		1 130 107 002
Spain	10 997 285 000		7 163 914 189
France	22 235 442 000		14 484 738 592
Croatia	435 508 000		283 701 108
Italy	16 405 910 000		10 687 231 570
Cyprus	173 869 000		113 262 737
Latvia	252 115 000	0,6514257 ¹	164 234 193
Lithuania	377 324 000		245 798 555
Luxembourg	348 750 000		227 184 716
Hungary	1 052 847 000		685 851 604
Malta	90 780 000		59 136 426
Netherlands	6 890 276 000		4 488 502 935
Austria	3 291 174 000		2 143 955 360
Poland	4 186 084 000		2 726 922 742
Portugal	1 778 729 000		1 158 709 802
Romania	1 616 422 000		1 052 978 849
Slovenia	383 307 000		249 696 035
Slovakia	761 013 000		495 743 434
Finland	2 028 318 000		1 321 298 493
Sweden	4 689 977 000		3 055 171 597
United Kingdom	25 327 327 000		16 498 871 973
Total	146 929 283 000		95 713 512 497

¹ Calculation of rate: (95 713 512 497) / (146 929 283 000) = 0,651425709992745.

TABLE 4.1

Correction of budgetary imbalances for the United Kingdom for the year 2015 pursuant to Article 4 of Decision 2007/436/EC,
Euratom (Chapter 1 5)

Description	Coefficient ¹ (%)	Amount
1. United Kingdom's share (in %) of notional uncapped VAT base	19,2145	
2. United Kingdom's share (in %) of enlargement-adjusted total allocated expenditure	7,5910	
3. (1) – (2)	11,6235	
4. Total allocated expenditure		129 194 773 448
5. Enlargement related expenditure ²		31 733 179 803
6. Enlargement-adjusted total allocated expenditure = (4) – (5)		97 461 593 645
7. United Kingdom's correction original amount = (3) × (6) × 0,66		7 476 753 663
8. United Kingdom's advantage ³		1 912 680 343
9. Core United Kingdom's correction = (7) – (8)		5 564 073 321
10. Windfall gains deriving from traditional own resources ⁴		- 79 812 056
11. Correction for the United Kingdom = (9) – (10)		5 643 885 377

¹ Rounded percentages.

² The amount of enlargement-related expenditure corresponds to total allocated expenditure in 13 Member States (that have acceded to the EU after 30 April 2004), except for agricultural direct payments and market-related expenditure as well as that part of rural development expenditure originating from the EAGGF, Guarantee Section.

³ The 'UK advantage' corresponds to the effects arising for the United Kingdom from the changeover to capped VAT and the introduction of the GNP/GNI-based own resource.

⁴ These windfall gains correspond to the net gains of the United Kingdom resulting from the increase — from 10 to 25 % as of 1 January 2001 — in the percentage of traditional own resources retained by Member States to cover the collection costs of traditional own resources (TOR).

TABLE 4.2

Correction of budgetary imbalances for the United Kingdom for the year 2014 pursuant to Article 4 of Decision 2007/436/EC,
Euratom (Chapter 3 6)

Description	Coefficient ¹ (%)	Amount
1. United Kingdom's share (in %) of notional uncapped VAT base	17,4319	
2. United Kingdom's share (in %) of enlargement-adjusted total allocated expenditure	7,4180	
3. (1) – (2)	10,0139	
4. Total allocated expenditure		128 669 838 650
5. Enlargement related expenditure ²		33 342 488 843
6. Enlargement-adjusted total allocated expenditure = (4) – (5)		95 327 349 807
7. United Kingdom's correction original amount = (3) × (6) × 0,66		6 300 352 079
8. United Kingdom's advantage ³		1 531 441 424
9. Core United Kingdom's correction = (7) – (8)		4 768 910 655
10. Windfall gains deriving from traditional own resources ⁴		- 26 651 399
11. Correction for the United Kingdom ⁵ = (9) – (10)		4 795 562 054

¹ Rounded percentages.

² The amount of enlargement-related expenditure corresponds to total allocated expenditure in 13 Member States (that have acceded to the EU after 30 April 2004), except for agricultural direct payments and market-related expenditure as well as that part of rural development expenditure originating from the EAGGF, Guarantee Section.

³ The 'UK advantage' corresponds to the effects arising for the United Kingdom from the changeover to capped VAT and the introduction of the GNP/GNI-based own resource.

⁴ These windfall gains correspond to the net gains of the United Kingdom resulting from the increase — from 10 to 25 % as of 1 January 2001 — in the percentage of traditional own resources retained by Member States to cover the collection costs of traditional own resources (TOR).

⁵ Note: The difference of € -251 403 157 between the provisional amount of the 2014 UK correction (€ 4 795 562 054, as calculated above) and the previously budgeted amount of the 2014 UK correction (€ 4 544 158 897, entered in the AB 6/2015) is financed in chapter 36 of the DAB 4/2016.

TABLE 4.3

Correction of budgetary imbalances for the United Kingdom for the year 2012 pursuant to Article 4 of Decision 2007/436/EC,
Euratom (Chapter 3 5)

Description	Coefficient ¹ (%)	Amount
1. United Kingdom's share (in %) of notional uncapped VAT base	16,1200	
2. United Kingdom's share (in %) of enlargement-adjusted total allocated expenditure	7,2358	
3. (1) – (2)	8,8842	
4. Total allocated expenditure		126 017 496 941
5. Enlargement related expenditure ²		30 151 594 002
5a.Pre-accession expenditure		3 084 519 964
5b.Expenditure related to Art 4(1)(g)		27 067 074 038
6. Enlargement-adjusted total allocated expenditure = (4) – (5)		95 865 902 938
7. United Kingdom's correction original amount = (3) × (6) × 0,66		5 621 164 211
8. United Kingdom's advantage ³		331 907 397
9. Core United Kingdom's correction = (7) – (8)		5 289 256 814
10. Windfall gains deriving from traditional own resources ⁴		12 810 520
11. Correction for the United Kingdom ⁵ = (9) – (10)		5 276 446 294

¹ Rounded percentages.

² The amount of enlargement-related expenditure corresponds to: (i) payments made to the 10 new Member States (which joined the EU on 01.05.2004) under 2003 appropriations, as adjusted by applying the EU GDP deflator for years 2004-2011, as well as payments made to Bulgaria and Romania under 2006 appropriations, as adjusted by applying the EU GDP deflator for years 2007-2011 (5a); and (ii) total allocated expenditure in those Member States, except for agricultural direct payments and market-related expenditure as well as that part of rural development expenditure originating from the EAGGF, Guarantee Section (5b). This amount is deducted from total allocated expenditure to ensure that expenditure which is unabated before enlargement remains so after enlargement.

³ The 'UK advantage' corresponds to the effects arising for the United Kingdom from the changeover to capped VAT and the introduction of the GNP/GNI-based own resource.

⁴ These windfall gains correspond to the net gains of the United Kingdom resulting from the increase — from 10 to 25 % as of 1 January 2001 — in the percentage of traditional own resources retained by Member States to cover the collection costs of traditional own resources (TOR).

⁵ Note: The difference of € -132 837 911 between the definitive amount of the 2012 UK correction (€ 5 276 446 294, as calculated above) and the previously budgeted amount of the 2012 UK correction (€ 5 143 608 383, entered in the AB 6/2015) is financed in chapter 35 of the DAB 4/2016.

TABLE 5.1

Calculation of the financing of the correction for the United Kingdom amounting to EUR – 5 643 885 377 (Chapter 1 5)

Member State	Percentage share of GNI base	Shares without the United Kingdom	Shares without Germany, the Netherlands, Austria, Sweden and the United Kingdom	Three quarters of the share of Germany, the Netherlands, Austria and Sweden in column 2	Column 4 distributed in accordance with column 3	Financing scale	Financing scale applied to the correction
	(1)	(2)	(3)	(4)	(5)	(6) = (2) + (4) + (5)	(7)
Belgium	2,81	3,40	5,46		1,55	4,94	279 071 850
Bulgaria	0,29	0,36	0,57		0,16	0,52	29 228 885
Czech Republic	1,04	1,25	2,02		0,57	1,82	102 997 723
Denmark	1,85	2,24	3,60		1,02	3,26	184 098 416
Germany	21,18	25,59	0,00	-19,19	0,00	6,40	361 006 829
Estonia	0,14	0,17	0,27		0,08	0,24	13 823 881
Ireland	1,19	1,43	2,31		0,65	2,09	117 902 629
Greece	1,18	1,43	2,29		0,65	2,08	117 240 809
Spain	7,48	9,04	14,54		4,12	13,17	743 206 698
France	15,13	18,29	29,41		8,34	26,63	1 502 691 749
Croatia	0,30	0,36	0,58		0,16	0,52	29 432 034
Italy	11,17	13,49	21,70		6,15	19,64	1 108 726 582
Cyprus	0,12	0,14	0,23		0,07	0,21	11 750 228
Latvia	0,17	0,21	0,33		0,09	0,30	17 038 165
Lithuania	0,26	0,31	0,50		0,14	0,45	25 499 905
Luxembourg	0,24	0,29	0,46		0,13	0,42	23 568 848
Hungary	0,72	0,87	1,39		0,39	1,26	71 152 375
Malta	0,06	0,07	0,12		0,03	0,11	6 134 996
Netherlands	4,69	5,67	0,00	-4,25	0,00	1,42	79 949 224
Austria	2,24	2,71	0,00	-2,03	0,00	0,68	38 188 137
Poland	2,85	3,44	5,54		1,57	5,01	282 899 431
Portugal	1,21	1,46	2,35		0,67	2,13	120 208 152
Romania	1,10	1,33	2,14		0,61	1,94	109 239 295
Slovenia	0,26	0,32	0,51		0,14	0,46	25 904 242
Slovakia	0,52	0,63	1,01		0,29	0,91	51 429 963
Finland	1,38	1,67	2,68		0,76	2,43	137 075 608
Sweden	3,19	3,86	0,00	-2,89	0,00	0,96	54 418 723
United Kingdom	17,24	0,00	0,00		0,00	0,00	0
Total	100,00	100,00	100,00	-28,36	28,36	100,00	5 643 885 377

The calculations are made to 15 decimal places.

TABLE 5.2

Intermediate update of the financing of the 2014 UK correction (chapter 36)

Member State	Amount
	(1)
Belgium	9 808 723
Bulgaria	1 857 845
Czech Republic	5 618 512
Denmark	5 183 926
Germany	15 098 382
Estonia	615 754
Ireland	6 055 723
Greece	3 882 091
Spain	23 452 091
France	62 183 471
Croatia	2 392 016
Italy	60 923 232
Cyprus	1 058 836
Latvia	517 980
Lithuania	493 224
Luxembourg	3 153 754
Hungary	2 775 751
Malta	557 582
Netherlands	3 797 278
Austria	1 572 870
Poland	16 987 381
Portugal	5 315 559
Romania	6 012 749
Slovenia	1 449 464
Slovakia	2 207 088
Finland	5 796 891
Sweden	2 634 984
United Kingdom	- 251 403 157
Total	0

TABLE 5.3

Financing of the definitive 2012 UK correction (chapter 35)

Member State	Amount
	(1)
Belgium	12 108 628
Bulgaria	1 275 199
Czech Republic	3 342 634
Denmark	4 686 427
Germany	7 934 870
Estonia	568 776
Ireland	5 094 409
Greece	1 773 357
Spain	7 537 051
France	38 002 662
Croatia	382 317
Italy	19 830 215
Cyprus	241 390
Latvia	102 976
Lithuania	646 364
Luxembourg	1 741 166
Hungary	2 179 154
Malta	101 561
Netherlands	4 101 900
Austria	1 068 284
Poland	7 063 680
Portugal	3 441 569
Romania	2 351 280
Slovenia	554 253
Slovakia	1 502 129
Finland	4 198 567
Sweden	1 007 093
United Kingdom	- 132 837 911
Total	0

TABLE 6

Summary of financing¹ of the general budget by type of own resource and by Member State

Member State	Traditional own resources (TOR)				VAT and GNI-based own resources, including adjustments					Total own resources ²
	Net sugar sector levies (75 %)	Net customs duties (75 %)	Total net traditional own resources (75 %)	Collection costs (25 % of gross TOR) (p.m.)	VAT-based own resource	GNI-based own resource	United Kingdom correction	Total 'national contributions'	Share in total 'national contributions' (%)	
	(1)	(2)	(3) = (1) + (2)	(4)	(5)	(6)	(7)	(8) = (5) + (6) + (7)	(9)	(10) = (3) + (8)
Belgium	6 600 000	1 860 800 000	1 867 400 000	622 466 667	516 597 300	2 690 027 944	300 989 201	3 507 614 445	3,06	5 375 014 445
Bulgaria	400 000	61 000 000	61 400 000	20 466 667	62 357 400	281 742 922	32 361 929	376 462 251	0,33	437 862 251
Czech Republic	3 400 000	235 000 000	238 400 000	79 466 667	204 113 400	992 815 125	111 958 869	1 308 887 394	1,14	1 547 287 394
Denmark	3 400 000	357 400 000	360 800 000	120 266 667	306 711 900	1 774 560 502	193 968 769	2 275 241 171	1,98	2 636 041 171
Germany	26 300 000	3 867 100 000	3 893 400 000	1 297 799 998	3 895 326 600	20 267 616 595	384 040 081	24 546 983 276	21,41	28 440 383 276
Estonia	0	26 100 000	26 100 000	8 700 000	30 682 950	133 251 083	15 008 411	178 942 444	0,16	205 042 444
Ireland	0	295 000 000	295 000 000	98 333 333	242 726 400	1 136 486 414	129 052 761	1 508 265 575	1,32	1 803 265 575
Greece	1 400 000	141 600 000	143 000 000	47 666 667	227 779 200	1 130 107 002	122 896 257	1 480 782 459	1,29	1 623 782 459
Spain	4 700 000	1 340 500 000	1 345 200 000	448 400 000	1 416 915 600	7 163 914 189	774 195 840	9 355 025 629	8,16	10 700 225 629
France	30 900 000	1 572 000 000	1 602 900 000	534 300 000	2 913 773 100	14 484 738 592	1 602 877 882	19 001 389 574	16,57	20 604 289 574
Croatia	1 700 000	41 200 000	42 900 000	14 300 000	65 326 200	283 701 108	32 206 367	381 233 675	0,33	424 133 675
Italy	4 700 000	1 715 100 000	1 719 800 000	573 266 667	1 826 488 800	10 687 231 570	1 189 480 029	13 703 200 399	11,95	15 423 000 399
Cyprus	0	18 300 000	18 300 000	6 100 000	26 080 350	113 262 737	13 050 454	152 393 541	0,13	170 693 541
Latvia	0	30 400 000	30 400 000	10 133 333	29 487 300	164 234 193	17 659 121	211 380 614	0,18	241 780 614
Lithuania	800 000	75 200 000	76 000 000	25 333 334	45 314 700	245 798 555	26 639 493	317 752 748	0,28	393 752 748
Luxembourg	0	17 100 000	17 100 000	5 700 000	52 312 500	227 184 716	28 463 768	307 960 984	0,27	325 060 984
Hungary	2 100 000	132 700 000	134 800 000	44 933 333	130 172 400	685 851 604	76 107 280	892 131 284	0,78	1 026 931 284
Malta	0	11 800 000	11 800 000	3 933 333	13 617 000	59 136 426	6 794 139	79 547 565	0,07	91 347 565
Netherlands	7 200 000	2 260 300 000	2 267 500 000	755 833 333	836 111 700	4 488 502 935	87 848 402	5 412 463 037	4,72	7 679 963 037
Austria	3 200 000	201 100 000	204 300 000	68 100 000	467 034 600	2 143 955 360	40 829 291	2 651 819 251	2,31	2 856 119 251
Poland	12 800 000	516 000 000	528 800 000	176 266 667	549 946 800	2 726 922 742	306 950 492	3 583 820 034	3,13	4 112 620 034
Portugal	100 000	128 300 000	128 400 000	42 800 000	261 332 700	1 158 709 802	128 965 280	1 549 007 782	1,35	1 677 407 782
Romania	900 000	128 900 000	129 800 000	43 266 667	165 256 500	1 052 978 849	117 603 324	1 335 838 673	1,17	1 465 638 673
Slovenia	0	65 100 000	65 100 000	21 700 000	53 565 000	249 696 035	27 907 959	331 168 994	0,29	396 268 994
Slovakia	1 300 000	90 900 000	92 200 000	30 733 333	79 902 900	495 743 434	55 139 180	630 785 514	0,55	722 985 514
Finland	700 000	118 400 000	119 100 000	39 700 000	274 607 100	1 321 298 493	147 071 066	1 742 976 659	1,52	1 862 076 659
Sweden	2 600 000	515 000 000	517 600 000	172 533 334	610 453 500	3 055 171 597	58 060 800	3 723 685 897	3,25	4 241 285 897
United Kingdom	9 500 000	3 035 100 000	3 044 600 000	1 014 866 667	3 645 570 900	16 498 871 973	-6 028 126 445	14 116 316 428	12,31	17 160 916 428
Total	124 700 000	18 857 400 000	18 982 100 000	6 327 366 667	18 949 564 800	95 713 512 497	0	114 663 077 297	100,00	133 645 177 297

¹ p.m. (own resources + other revenue = total revenue = total expenditure); (133 645 177 297 + 2 965 818 187 = 136 610 995 484 = 136 610 995 484).

² Total own resources as percentage of GNI: (133 645 177 297) / (14 692 928 300 000) = 0,91 %; own resources ceiling as percentage of GNI: 1,23 %.

B. GENERAL STATEMENT OF REVENUE BY BUDGET HEADING

REVENUE —

Figures

Title	Heading	Budget 2016	Council position on DAB No. 4/2016	New amount
1	OWN RESOURCES	140 919 477 297	-7 274 300 000	133 645 177 297
3	SURPLUSES, BALANCES AND ADJUSTMENTS	1 349 116 814		1 349 116 814
4	REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES	1 348 027 707		1 348 027 707
5	REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS	55 455 129		55 455 129
6	CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES	60 000 000		60 000 000
7	INTEREST ON LATE PAYMENTS AND FINES	123 000 000		123 000 000
8	BORROWING AND LENDING OPERATIONS	5 217 537		5 217 537
9	MISCELLANEOUS REVENUE	25 001 000		25 001 000
	Total	143 885 295 484	-7 274 300 000	136 610 995 484

TITLE 1 — OWN RESOURCES

Figures

Title Chapter	Heading	Budget 2016	Council position on DAB No. 4/2016	New amount
1 1	LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(a) OF DECISION 2007/436/EC, EURATOM)	124 700 000		124 700 000
1 2	CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN ARTICLE 2(1)(a) OF DECISION 2007/436/EC, EURATOM	18 465 300 000	392 100 000	18 857 400 000
1 3	OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO ARTICLE 2(1)(b) OF DECISION 2007/436/EC, EURATOM	18 812 783 576	136 781 224	18 949 564 800
1 4	OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO ARTICLE 2(1)(c) OF DECISION 2007/436/EC, EURATOM	103 516 693 721	-7 803 181 224	95 713 512 497
1 5	CORRECTION OF BUDGETARY IMBALANCES	0		0
1 6	GROSS REDUCTION IN THE ANNUAL GNI-BASED CONTRIBUTION GRANTED TO THE NETHERLANDS AND SWEDEN	p.m.		p.m.
	Title 1 — Total	140 919 477 297	-7 274 300 000	133 645 177 297

CHAPTER 1 2 — CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM

Figures

Title Chapter Article Item	Heading	Budget 2016	Council position on DAB No. 4/2016	New amount
1 2	CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN ARTICLE 2(1)(a) OF DECISION 2007/436/EC, EURATOM			
1 2 0	Customs duties and other duties referred to in Article 2(1)(a) of Decision 2007/436/EC, Euratom	18 465 300 000	392 100 000	18 857 400 000
	Chapter 1 2 — Total	18 465 300 000	392 100 000	18 857 400 000

Article 1 2 0 — Customs duties and other duties referred to in Article 2(1)(a) of Decision 2007/436/EC, Euratom

Figures

Budget 2016	Council position on DAB No. 4/2016	New amount
18 465 300 000	392 100 000	18 857 400 000

Remarks

The assignment of customs duties as own resources to the financing of common expenditure is the logical consequence of the free movement of goods within the Union. This article may comprise levies, premiums, additional or compensatory amounts, additional amounts or factors, Common Customs Tariff duties and other duties established or to be established by the institutions of the European Union in respect of trade with third countries and customs duties on products under the expired Treaty establishing the European Coal and Steel Community.

Figures are net of collection costs.

Legal basis

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(a) thereof.

Member State	Budget 2016	Council position on DAB No. 4/2016	New amount
Belgium	1 769 700 000	91 100 000	1 860 800 000
Bulgaria	58 200 000	2 800 000	61 000 000
Czech Republic	216 200 000	18 800 000	235 000 000
Denmark	340 900 000	16 500 000	357 400 000
Germany	3 655 500 000	211 600 000	3 867 100 000
Estonia	24 900 000	1 200 000	26 100 000
Ireland	250 700 000	44 300 000	295 000 000
Greece	130 300 000	11 300 000	141 600 000
Spain	1 261 400 000	79 100 000	1 340 500 000
France	1 571 200 000	800 000	1 572 000 000
Croatia	44 000 000	- 2 800 000	41 200 000
Italy	1 596 900 000	118 200 000	1 715 100 000
Cyprus	17 800 000	500 000	18 300 000
Latvia	28 200 000	2 200 000	30 400 000
Lithuania	69 600 000	5 600 000	75 200 000
Luxembourg	15 100 000	2 000 000	17 100 000
Hungary	109 300 000	23 400 000	132 700 000
Malta	11 200 000	600 000	11 800 000
Netherlands	2 230 500 000	29 800 000	2 260 300 000
Austria	208 100 000	- 7 000 000	201 100 000
Poland	489 200 000	26 800 000	516 000 000
Portugal	131 200 000	- 2 900 000	128 300 000
Romania	123 500 000	5 400 000	128 900 000
Slovenia	64 200 000	900 000	65 100 000
Slovakia	96 400 000	- 5 500 000	90 900 000
Finland	113 700 000	4 700 000	118 400 000
Sweden	514 300 000	700 000	515 000 000
United Kingdom	3 323 100 000	- 288 000 000	3 035 100 000
Article 1 2 0 — Total	18 465 300 000	392 100 000	18 857 400 000

CHAPTER 13 — OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO ARTICLE 2(1)(B) OF DECISION 2007/436/EC, EURATOM

Figures

Title Chapter Article Item	Heading	Budget 2016	Council position on DAB No. 4/2016	New amount
1 3	OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO ARTICLE 2(1)(b) OF DECISION 2007/436/EC, EURATOM			
1 3 0	<i>Own resources accruing from value added tax pursuant to Article 2(1)(b) of Decision 2007/436/EC, Euratom</i>	18 812 783 576	136 781 224	18 949 564 800
	Chapter 13 — Total	18 812 783 576	136 781 224	18 949 564 800

Article 1 3 0 — Own resources accruing from value added tax pursuant to Article 2(1)(b) of Decision 2007/436/EC, Euratom

Figures

Budget 2016	Council position on DAB No. 4/2016	New amount
18 812 783 576	136 781 224	18 949 564 800

Remarks

The applied uniform rate valid for all Member States to the harmonised VAT assessment bases determined according to Union rules is fixed at 0,30 %. The assessment base to be taken into account for this purpose shall not exceed 50 % of GNI for each Member State.

Legal basis

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17) and in particular Article 2(1)(b) and (4) thereof.

Member State	Budget 2016	Council position on DAB No. 4/2016	New amount
Belgium	523 409 700	- 6 812 400	516 597 300
Bulgaria	63 202 436	- 845 036	62 357 400
Czech Republic	200 818 634	3 294 766	204 113 400
Denmark	311 819 810	- 5 107 910	306 711 900
Germany	3 912 398 326	- 17 071 726	3 895 326 600
Estonia	30 202 119	480 831	30 682 950
Ireland	219 088 800	23 637 600	242 726 400
Greece	221 387 850	6 391 350	227 779 200
Spain	1 375 304 700	41 610 900	1 416 915 600
France	2 952 872 217	- 39 099 117	2 913 773 100
Croatia	64 085 770	1 240 430	65 326 200
Italy	1 741 842 900	84 645 900	1 826 488 800
Cyprus	24 682 350	1 398 000	26 080 350
Latvia	28 305 295	1 182 005	29 487 300
Lithuania	45 043 722	270 978	45 314 700
Luxembourg	47 922 750	4 389 750	52 312 500
Hungary	133 968 470	- 3 796 070	130 172 400
Malta	12 561 825	1 055 175	13 617 000
Netherlands	819 396 150	16 715 550	836 111 700
Austria	462 261 900	4 772 700	467 034 600
Poland	555 928 977	- 5 982 177	549 946 800
Portugal	242 598 450	18 734 250	261 332 700
Romania	173 796 047	- 8 539 547	165 256 500
Slovenia	56 158 800	- 2 593 800	53 565 000
Slovakia	79 892 400	10 500	79 902 900
Finland	279 661 350	- 5 054 250	274 607 100
Sweden	587 407 923	23 045 577	610 453 500
United Kingdom	3 646 763 905	- 1 193 005	3 645 570 900
Article 1 3 0 — Total	18 812 783 576	136 781 224	18 949 564 800

CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO ARTICLE 2(1)(c) OF DECISION 2007/436/EC, EURATOM

Figures

Title Chapter Article Item	Heading	Budget 2016	Council position on DAB No. 4/2016	New amount
1 4	OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO ARTICLE 2(1)(c) OF DECISION 2007/436/EC, EURATOM			
<i>1 4 0</i>	<i>Own resources based on gross national income pursuant to Article 2(1)(c) of Decision 2007/436/EC, Euratom</i>	103 516 693 721	-7 803 181 224	95 713 512 497
	Chapter 1 4 — Total	103 516 693 721	-7 803 181 224	95 713 512 497

Article 1 4 0 — Own resources based on gross national income pursuant to Article 2(1)(c) of Decision 2007/436/EC, Euratom

Figures

Budget 2016	Council position on DAB No. 4/2016	New amount
103 516 693 721	-7 803 181 224	95 713 512 497

Remarks

The GNI-based resource is an ‘additional’ resource, providing the revenue required to cover expenditure in excess of the amount yielded by traditional own resources, VAT-based payments and other revenue in any particular year. By implication, the GNI-based resource ensures that the general budget of the Union is always balanced *ex ante*.

The GNI call rate is determined by the additional revenue needed to finance the budgeted expenditure not covered by the other resources (VAT-based payments, traditional own resources and other revenue). Thus a call rate is applied to the GNI of each of the Member States.

The rate to be applied to the Member States’ gross national income for this financial year is 0,6514 %.

Legal basis

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(c) thereof.

Member State	Budget 2016	Council position on DAB No. 4/2016	New amount
Belgium	2 919 612 001	- 229 584 057	2 690 027 944
Bulgaria	296 743 452	- 15 000 530	281 742 922
Czech Republic	1 059 677 884	- 66 862 759	992 815 125
Denmark	1 961 259 436	- 186 698 934	1 774 560 502
Germany	21 895 426 525	-1 627 809 930	20 267 616 595
Estonia	145 753 755	- 12 502 672	133 251 083
Ireland	1 184 462 169	- 47 975 755	1 136 486 414
Greece	1 285 548 718	- 155 441 716	1 130 107 002
Spain	7 825 924 994	- 662 010 805	7 163 914 189
France	15 667 221 716	-1 182 483 124	14 484 738 592
Croatia	300 890 812	- 17 189 704	283 701 108
Italy	11 424 036 809	- 736 805 239	10 687 231 570
Cyprus	115 886 762	- 2 624 025	113 262 737
Latvia	181 842 612	- 17 608 419	164 234 193
Lithuania	270 514 323	- 24 715 768	245 798 555
Luxembourg	225 003 387	2 181 329	227 184 716
Hungary	787 966 764	- 102 115 160	685 851 604
Malta	58 979 361	157 065	59 136 426
Netherlands	4 786 194 982	- 297 692 047	4 488 502 935
Austria	2 323 918 730	- 179 963 370	2 143 955 360
Poland	3 110 515 894	- 383 593 152	2 726 922 742
Portugal	1 243 776 057	- 85 066 255	1 158 709 802
Romania	1 139 418 748	- 86 439 899	1 052 978 849
Slovenia	265 083 337	- 15 387 302	249 696 035
Slovakia	544 470 025	- 48 726 591	495 743 434
Finland	1 440 488 254	- 119 189 761	1 321 298 493
Sweden	3 181 849 691	- 126 678 094	3 055 171 597
United Kingdom	17 874 226 523	-1 375 354 550	16 498 871 973
Article 1 4 0 — Total	103 516 693 721	-7 803 181 224	95 713 512 497

CHAPTER 1 5 — CORRECTION OF BUDGETARY IMBALANCES

Figures

Title Chapter Article Item	Heading	Budget 2016	Council position on DAB No. 4/2016	New amount
1 5	CORRECTION OF BUDGETARY IMBALANCES			
1 5 0	<i>Correction of budgetary imbalances granted to the United Kingdom in accordance with Articles 4 and 5 of Decision 2007/436/EC, Euratom</i>	0		0
	Chapter 1 5 — Total	0		0

Article 1 5 0 — Correction of budgetary imbalances granted to the United Kingdom in accordance with Articles 4 and 5 of Decision 2007/436/EC, Euratom

Figures

Budget 2016	Council position on DAB No. 4/2016	New amount
0		0

Remarks

The budgetary imbalance correction mechanism in favour of the United Kingdom (UK correction) was introduced by the European Council in Fontainebleau in June 1984 and the resulting own resources decision of 1985. The purpose of the mechanism is to reduce the UK budgetary imbalance through a reduction in its payments to the Union.

Legal basis

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Articles 4 and 5 thereof.

Member State	Budget 2016	Council position on DAB No. 4/2016	New amount
Belgium	261 447 948	17 623 902	279 071 850
Bulgaria	26 573 040	2 655 845	29 228 885
Czech Republic	94 892 954	8 104 769	102 997 723
Denmark	175 628 561	8 469 855	184 098 416
Germany	337 679 947	23 326 882	361 006 829
Estonia	13 052 084	771 797	13 823 881
Ireland	106 067 246	11 835 383	117 902 629
Greece	115 119 431	2 121 378	117 240 809
Spain	700 802 719	42 403 979	743 206 698
France	1 402 981 958	99 709 791	1 502 691 749
Croatia	26 944 431	2 487 603	29 432 034
Italy	1 023 009 556	85 717 026	1 108 726 582
Cyprus	10 377 528	1 372 700	11 750 228
Latvia	16 283 800	754 365	17 038 165
Lithuania	24 224 251	1 275 654	25 499 905
Luxembourg	20 148 798	3 420 050	23 568 848
Hungary	70 561 531	590 844	71 152 375
Malta	5 281 535	853 461	6 134 996
Netherlands	73 814 596	6 134 628	79 949 224
Austria	35 840 396	2 347 741	38 188 137
Poland	278 543 175	4 356 256	282 899 431
Portugal	111 378 737	8 829 415	120 208 152
Romania	102 033 658	7 205 637	109 239 295
Slovenia	23 737 913	2 166 329	25 904 242
Slovakia	48 756 674	2 673 289	51 429 963
Finland	128 994 091	8 081 517	137 075 608
Sweden	49 071 747	5 346 976	54 418 723
United Kingdom	-5 283 248 305	- 360 637 072	-5 643 885 377
Article 1 5 0 — Total	0	0	0

CHAPTER 1 6 — GROSS REDUCTION IN THE ANNUAL GNI-BASED CONTRIBUTION GRANTED TO THE NETHERLANDS AND SWEDEN

Figures

Title Chapter Article Item	Heading	Budget 2016	Council position on DAB No. 4/2016	New amount
1 6	GROSS REDUCTION IN THE ANNUAL GNI-BASED CONTRIBUTION GRANTED TO THE NETHERLANDS AND SWEDEN			
1 6 0	<i>Gross reduction in the annual GNI-based contribution granted to the Netherlands and Sweden pursuant to Article 2(5) of Decision 2007/436/EC, Euratom</i>	p.m.		p.m.
	Chapter 1 6 — Total	p.m.		p.m.

Article 1 6 0 — Gross reduction in the annual GNI-based contribution granted to the Netherlands and Sweden pursuant to Article 2(5) of Decision 2007/436/EC, Euratom

Figures

Budget 2016	Council position on DAB No. 4/2016	New amount
p.m.		p.m.

Remarks

This article is intended to record gross reductions in the annual GNI contributions of certain Member States in accordance with Council Decision 2007/436/EC, Euratom.

Legal basis

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1), and in particular Article 10(9) thereof.

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(5) thereof.

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	p.m.	p.m.	0,—
Bulgaria	p.m.	p.m.	0,—
Czech Republic	p.m.	p.m.	0,—
Denmark	p.m.	p.m.	0,—
Germany	p.m.	p.m.	0,—
Estonia	p.m.	p.m.	0,—
Ireland	p.m.	p.m.	0,—
Greece	p.m.	p.m.	0,—
Spain	p.m.	p.m.	0,—
France	p.m.	p.m.	0,—
Croatia	p.m.	p.m.	0,—
Italy	p.m.	p.m.	0,—
Cyprus	p.m.	p.m.	0,—
Latvia	p.m.	p.m.	0,—
Lithuania	p.m.	p.m.	0,—
Luxembourg	p.m.	p.m.	0,—
Hungary	p.m.	p.m.	0,—
Malta	p.m.	p.m.	0,—
Netherlands	p.m.	p.m.	0,—
Austria	p.m.	p.m.	0,—
Poland	p.m.	p.m.	0,—
Portugal	p.m.	p.m.	0,—
Romania	p.m.	p.m.	0,—
Slovenia	p.m.	p.m.	0,—
Slovakia	p.m.	p.m.	0,—
Finland	p.m.	p.m.	0,—
Sweden	p.m.	p.m.	0,—
United Kingdom	p.m.	p.m.	0,—
Article 1 6 0 — Total	p.m.	p.m.	0,—

TITLE 3 — SURPLUSES, BALANCES AND ADJUSTMENTS

Figures

Title Chapter	Heading	Budget 2016	Council position on DAB No. 4/2016	New amount
3 0	SURPLUS AVAILABLE FROM THE PRECEDING FINANCIAL YEAR	1 349 116 814		1 349 116 814
3 1	BALANCES AND ADJUSTMENT OF BALANCES BASED ON VAT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(4), (5) AND (8) OF REGULATION (EC, EURATOM) No 1150/2000	p.m.		p.m.
3 2	BALANCES AND ADJUSTMENTS OF BALANCES BASED ON GROSS NATIONAL INCOME/PRODUCT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(6), (7) AND (8) OF REGULATION (EC, EURATOM) No 1150/2000	p.m.		p.m.
3 4	ADJUSTMENT RELATING TO THE NON-PARTICIPATION OF CERTAIN MEMBER STATES IN CERTAIN POLICIES IN THE AREA OF FREEDOM, SECURITY AND JUSTICE	p.m.		p.m.
3 5	RESULT OF THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM	p.m.		0
3 6	RESULT OF INTERMEDIATE UPDATES OF THE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM	p.m.		0
	Title 3 — Total	1 349 116 814		1 349 116 814

CHAPTER 3 0 — SURPLUS AVAILABLE FROM THE PRECEDING FINANCIAL YEAR

Figures

Title Chapter Article Item	Heading	Budget 2016	Council position on DAB No. 4/2016	New amount
3 0	SURPLUS AVAILABLE FROM THE PRECEDING FINANCIAL YEAR			
3 0 0	<i>Surplus available from the preceding financial year</i>	1 349 116 814		1 349 116 814
3 0 2	<i>Surplus own resources resulting from repayment of the surplus from the Guarantee Fund for external actions</i>	p.m.		p.m.
	Chapter 3 0 — Total	1 349 116 814		1 349 116 814

Article 3 0 0 — Surplus available from the preceding financial year

Figures

Budget 2016	Council position on DAB No. 4/2016	New amount
1 349 116 814		1 349 116 814

Remarks

In accordance with Article 18 of the Financial Regulation, the balance from each financial year, whether surplus or deficit, is entered as revenue or expenditure in the budget of the subsequent financial year.

The relevant estimates of such revenue or expenditure are entered in the budget during the budgetary procedure and, where appropriate, in a letter of amendment submitted pursuant to Article 39 of the Financial Regulation. They are drawn up in accordance with the principles set out in Article 15 of Regulation (EC, Euratom) No 1150/2000.

After the closure of the accounts for each financial year, any discrepancy in relation to the estimates is entered in the budget for the following financial year through an amending budget that must be presented by the Commission within 15 days following the submission of the provisional accounts.

A deficit is entered in Article 27 02 01 of the statement of expenditure in Section III ‘Commission’.

Legal basis

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities’ own resources (OJ L 130, 31.5.2000, p. 1).

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities’ own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 7 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 18 thereof.

Article 3 0 2 — Surplus own resources resulting from repayment of the surplus from the Guarantee Fund for external actions

Figures

Budget 2016	Council position on DAB No. 4/2016	New amount
p.m.		p.m.

Remarks

This article is intended to receive, once the target amount has been reached, any surplus in the Guarantee Fund for external actions in accordance with Articles 3 and 4 of Regulation (EC, Euratom) No 480/2009.

Legal basis

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17).

Council Regulation (EC, Euratom) No 480/2009 of 25 May 2009 establishing a Guarantee Fund for external actions (OJ L 145, 10.6.2009, p. 10).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 7(2) thereof.

CHAPTER 3 1 — BALANCES AND ADJUSTMENT OF BALANCES BASED ON VAT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(4), (5) AND (8) OF REGULATION (EC, EURATOM) No 1150/2000

Figures

Title Chapter Article Item	Heading	Budget 2016	Council position on DAB No. 4/2016	New amount
3 1	BALANCES AND ADJUSTMENT OF BALANCES BASED ON VAT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(4), (5) AND (8) OF REGULATION (EC, EURATOM) No 1150/2000			
3 1 0	<i>Application for 1995 and subsequent financial years of Article 10(4), (5) and (8) of Regulation (EC, Euratom) No 1150/2000</i>			
3 1 0 3	Application for 1995 and subsequent years of Article 10(4), (5) and (8) of Regulation (EC, Euratom) No 1150/2000	p.m.		p.m.
	<i>Article 3 1 0 — Subtotal</i>	p.m.		p.m.
	Chapter 3 1 — Total	p.m.		p.m.

Article 3 1 0 — Application for 1995 and subsequent financial years of Article 10(4), (5) and (8) of Regulation (EC, Euratom) No 1150/2000

Item 3 1 0 3 — Application for 1995 and subsequent years of Article 10(4), (5) and (8) of Regulation (EC, Euratom) No 1150/2000

Figures

Budget 2016	Council position on DAB No. 4/2016	New amount
p.m.		p.m.

Remarks

Under Article 7(1) of Regulation (EEC, Euratom) No 1553/89, Member States are to send the Commission a statement of the total amount of the VAT resources base for the previous calendar year before 31 July.

Each Member State is debited with an amount calculated on the basis of that statement according to Union rules and credited with the 12 payments actually made during the previous financial year. The Commission works out each Member State's balance and informs it in time for that Member State to enter its balance in the Commission's account referred to in Article 9(1) of Regulation (EC, Euratom) No 1150/2000 on the first working day of December of the same year.

Any corrections to the abovementioned statements resulting from Commission controls in accordance with Article 9 of Regulation (EEC, Euratom) No 1553/89 or/and any changes to the GNI of previous financial years that have an effect on the capping of the 'VAT' base will lead to adjustments of the 'VAT' balances.

Legal basis

Council Regulation (EEC, Euratom) No 1553/89 of 29 May 1989 on the definitive uniform arrangements for the collection of own resources accruing from value added tax (OJ L 155, 7.6.1989, p. 9).

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1), and in particular Article 10(4), (5) and (8) thereof.

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	p.m.	72 870 000	9 023 791,36
Bulgaria	p.m.	1 525 000	782 111,42
Czech Republic	p.m.	9 640 000	5 253 417,30
Denmark	p.m.	- 5 927 000	- 5 933 619,05
Germany	p.m.	- 103 806 000	- 27 013 915,04
Estonia	p.m.	608 000	- 459 385,02
Ireland	p.m.	7 099 000	6 536 069,87
Greece	p.m.	- 45 286 000	73 209 509,30
Spain	p.m.	- 72 892 000	- 45 030 311,20
France	p.m.	- 43 477 000	36 977 772,10
Croatia	p.m.	- 1 184 000	- 213 679,91
Italy	p.m.	- 216 644 000	- 52 745 669,33
Cyprus	p.m.	11 239 000	0,—
Latvia	p.m.	1 669 000	5 899 999,26
Lithuania	p.m.	358 000	- 1 224 942,82
Luxembourg	p.m.	14 886 000	- 10 259 465,23
Hungary	p.m.	4 379 000	1 928 539,21
Malta	p.m.	3 630 000	0,—
Netherlands	p.m.	- 26 259 000	- 4 502 509,48
Austria	p.m.	- 4 400 000	3 073 121,20
Poland	p.m.	30 536 000	- 76 259 558,01
Portugal	p.m.	18 273 000	12 195 115,10
Romania	p.m.	- 12 492 000	2 158 848,32
Slovenia	p.m.	1 905 000	0,—
Slovakia	p.m.	6 967 000	34 033,11
Finland	p.m.	- 8 412 000	- 8 009 160,90
Sweden	p.m.	1 356 000	- 4 155 879,63
United Kingdom	p.m.	161 126 000	0,—
Item 3 1 0 3 — Total	p.m.	- 192 713 000	- 78 735 768,07

CHAPTER 3 2 — BALANCES AND ADJUSTMENTS OF BALANCES BASED ON GROSS NATIONAL INCOME/PRODUCT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(6), (7) AND (8) OF REGULATION (EC, EURATOM) No 1150/2000

Figures

Title Chapter Article Item	Heading	Budget 2016	Council position on DAB No. 4/2016	New amount
3 2	BALANCES AND ADJUSTMENTS OF BALANCES BASED ON GROSS NATIONAL INCOME/PRODUCT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(6), (7) AND (8) OF REGULATION (EC, EURATOM) No 1150/2000			
3 2 0	<i>Application for 1995 and subsequent financial years of Article 10(6), (7) and (8) of Regulation (EC, Euratom) No 1150/2000</i>			
3 2 0 3	Application for 1995 and subsequent financial years of Article 10(6), (7) and (8) of Regulation (EC, Euratom) No 1150/2000	p.m.		p.m.
	<i>Article 3 2 0 — Subtotal</i>	p.m.		p.m.
	Chapter 3 2 — Total	p.m.		p.m.

Article 3 2 0 — Application for 1995 and subsequent financial years of Article 10(6), (7) and (8) of Regulation (EC, Euratom) No 1150/2000

Item 3 2 0 3 — Application for 1995 and subsequent financial years of Article 10(6), (7) and (8) of Regulation (EC, Euratom) No 1150/2000

Figures

Budget 2016	Council position on DAB No. 4/2016	New amount
p.m.		p.m.

Remarks

On the basis of figures for aggregate gross national income and its components from the preceding year, supplied by the Member States in accordance with Article 2(2) of Regulation (EC, Euratom) No 1287/2003, each Member State shall be debited with an amount calculated according to Union rules and credited with the 12 payments made during that previous financial year.

The Commission works out each Member State's balance and informs it in time for that Member State to enter its balance in the account referred to in Article 9(1) of Regulation (EC, Euratom) No 1150/2000 on the first working day of December of the same year.

Any changes to the gross national product/gross national income of previous financial years pursuant to Article 2(2) of Regulation (EC, Euratom) No 1287/2003, subject to Articles 4 and 5 thereof, gives rise for each Member State concerned to an adjustment to the balance established pursuant to Article 10(7) of Regulation (EC, Euratom) No 1150/2000.

Legal basis

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1), and in particular Article 10(6), (7) and (8) thereof.

Council Regulation (EC, Euratom) No 1287/2003 of 15 July 2003 on the harmonisation of gross national income at market prices (OJ L 181, 19.7.2003, p. 1).

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	p.m.	147 716 000	109 853 224,02
Bulgaria	p.m.	59 923 000	34 946 866,83
Czech Republic	p.m.	51 471 000	73 085 521,36
Denmark	p.m.	- 60 420 000	- 117 815 874,57
Germany	p.m.	383 851 000	1 386 016 819,89
Estonia	p.m.	11 786 000	7 770 364,28
Ireland	p.m.	156 657 000	105 640 410,37
Greece	p.m.	- 171 235 000	148 775 724,38
Spain	p.m.	- 333 271 000	634 182 093,25
France	p.m.	300 400 000	0,—
Croatia	p.m.	- 5 551 000	- 1 201 179,69
Italy	p.m.	1 167 877 000	381 035 520,19
Cyprus	p.m.	55 184 000	0,—
Latvia	p.m.	- 1 293 000	19 995 102,02
Lithuania	p.m.	6 460 000	8 741 021,54
Luxembourg	p.m.	74 065 000	- 56 671 059,21
Hungary	p.m.	42 869 000	37 789 156,61
Malta	p.m.	17 767 000	0,—
Netherlands	p.m.	538 657 000	1 107 926 623,17
Austria	p.m.	- 72 668 000	- 60 166 556,31
Poland	p.m.	197 995 000	49 398 353,91
Portugal	p.m.	21 688 000	109 406 869,62
Romania	p.m.	40 791 000	72 788 666,16
Slovenia	p.m.	14 745 000	0,—
Slovakia	p.m.	- 14 817 000	- 6 696 989,68
Finland	p.m.	9 616 000	- 26 309 817,72
Sweden	p.m.	41 121 000	193 966 411,28
United Kingdom	p.m.	4 644 573 000	0,—
Item 3 2 0 3 — Total	p.m.	7 325 957 000	4 212 457 271,7

CHAPTER 3 4 — ADJUSTMENT RELATING TO THE NON-PARTICIPATION OF CERTAIN MEMBER STATES IN CERTAIN POLICIES IN THE AREA OF FREEDOM, SECURITY AND JUSTICE

Figures

Title Chapter Article Item	Heading	Budget 2016	Council position on DAB No. 4/2016	New amount
3 4	ADJUSTMENT RELATING TO THE NON-PARTICIPATION OF CERTAIN MEMBER STATES IN CERTAIN POLICIES IN THE AREA OF FREEDOM, SECURITY AND JUSTICE			
3 4 0	<i>Adjustment for the impact of the non-participation of certain Member States in certain policies in the area of freedom, security and justice</i>	p.m.		p.m.
	Chapter 3 4 — Total	p.m.		p.m.

Article 3 4 0 — Adjustment for the impact of the non-participation of certain Member States in certain policies in the area of freedom, security and justice

Figures

Budget 2016	Council position on DAB No. 4/2016	New amount
p.m.		p.m.

Remarks

Article 3 of the Protocol on the position of Denmark and Article 5 of the Protocol on the position of the United Kingdom and Ireland, annexed to the Treaty on European Union and the Treaty on the Functioning of the European Union, grant full exemption to these Member States from supporting the financial consequences of some specific policies in the area of freedom, security and justice, with the exception of the related administrative costs. They can therefore obtain an adjustment to the own resources paid for each year in which they do not participate.

Each Member State's contribution to the adjustment mechanism is calculated by applying to the budget expenditure resulting from this operation or policy the scale of the aggregate gross national income and its components from the preceding year, supplied by the Member States in accordance with Article 2(2) of Council Regulation (EC, Euratom) No 1287/2003 of 15 July 2003 on the harmonisation of gross national income at market prices (OJ L 181, 19.7.2003, p. 1).

The Commission works out each Member State's balance and informs it in time for that Member State to enter its balance in the account referred to in Article 9(1) of Regulation (EC, Euratom) No 1150/2000 on the first working day of December, in accordance with Article 10a of that Regulation.

Legal basis

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1), and in particular Article 10a thereof.

Protocol on the position of Denmark, annexed to the Treaty on European Union and to the Treaty on the Functioning of the European Union, and in particular Article 3 thereof, and Protocol on the position of the United Kingdom and Ireland in respect of the area of freedom, security and justice, annexed to the Treaty on European Union and to the Treaty on the Functioning of the European Union, and in particular Article 5 thereof.

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	p.m.	p.m.	2 002 598,81
Bulgaria	p.m.	p.m.	210 680,74
Czech Republic	p.m.	p.m.	697 292,21
Denmark	p.m.	p.m.	- 5 768 732,87
Germany	p.m.	p.m.	14 742 277,52
Estonia	p.m.	p.m.	94 419,01
Ireland	p.m.	p.m.	- 3 315 510,86
Greece	p.m.	p.m.	944 652,73
Spain	p.m.	p.m.	5 392 603,41
France	p.m.	p.m.	11 025 252,65
Croatia	p.m.	p.m.	110 312,49
Italy	p.m.	p.m.	8 348 177,54
Cyprus	p.m.	p.m.	91 301,32
Latvia	p.m.	p.m.	120 648,96
Lithuania	p.m.	p.m.	177 930,11
Luxembourg	p.m.	p.m.	150 863,27
Hungary	p.m.	p.m.	487 546,82
Malta	p.m.	p.m.	37 433,23
Netherlands	p.m.	p.m.	3 320 348,32
Austria	p.m.	p.m.	1 621 642,12
Poland	p.m.	p.m.	1 988 014,63
Portugal	p.m.	p.m.	859 755,01
Romania	p.m.	p.m.	740 086,79
Slovenia	p.m.	p.m.	184 143,09
Slovakia	p.m.	p.m.	372 965,33
Finland	p.m.	p.m.	1 026 106,80
Sweden	p.m.	p.m.	2 131 288,13
United Kingdom	p.m.	p.m.	- 49 586 754,66
Article 3 4 0 — Total	p.m.	p.m.	- 1 792 657,35

CHAPTER 3 5 — RESULT OF THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM

Figures

Title Chapter Article Item	Heading	Budget 2016	Council position on DAB No. 4/2016	New amount
3 5	RESULT OF THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM			
3 5 0	<i>Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom</i>			
3 5 0 4	Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom	p.m.	0	0
	<i>Article 3 5 0 — Subtotal</i>	p.m.		0
	Chapter 3 5 — Total	p.m.		0

Article 3 5 0 — Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom

Item 3 5 0 4 — Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom

Figures

Budget 2016	Council position on DAB No. 4/2016	New amount
p.m.	0	0

Remarks

Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom.

The figures correspond to the result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom in respect of the correction for the year 2012.

Legal basis

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Articles 4 and 5 thereof.

Member State	Budget 2016	Council position on DAB No. 4/2016	New amount
Belgium	p.m.	12 108 628	12 108 628
Bulgaria	p.m.	1 275 199	1 275 199
Czech Republic	p.m.	3 342 634	3 342 634
Denmark	p.m.	4 686 427	4 686 427
Germany	p.m.	7 934 870	7 934 870
Estonia	p.m.	568 776	568 776
Ireland	p.m.	5 094 409	5 094 409
Greece	p.m.	1 773 357	1 773 357
Spain	p.m.	7 537 051	7 537 051
France	p.m.	38 002 662	38 002 662
Croatia	p.m.	382 317	382 317
Italy	p.m.	19 830 215	19 830 215
Cyprus	p.m.	241 390	241 390
Latvia	p.m.	102 976	102 976
Lithuania	p.m.	646 364	646 364
Luxembourg	p.m.	1 741 166	1 741 166
Hungary	p.m.	2 179 154	2 179 154
Malta	p.m.	101 561	101 561
Netherlands	p.m.	4 101 900	4 101 900
Austria	p.m.	1 068 284	1 068 284
Poland	p.m.	7 063 680	7 063 680
Portugal	p.m.	3 441 569	3 441 569
Romania	p.m.	2 351 280	2 351 280
Slovenia	p.m.	554 253	554 253
Slovakia	p.m.	1 502 129	1 502 129
Finland	p.m.	4 198 567	4 198 567
Sweden	p.m.	1 007 093	1 007 093
United Kingdom	p.m.	- 132 837 911	- 132 837 911
Item 3 5 0 4 — Total	p.m.	0	0

CHAPTER 3 6 — RESULT OF INTERMEDIATE UPDATES OF THE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM

Figures

Title Chapter Article Item	Heading	Budget 2016	Council position on DAB No. 4/2016	New amount
3 6	RESULT OF INTERMEDIATE UPDATES OF THE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM			
3 6 0	<i>Result of intermediate updates of the calculation of the financing of the correction of budgetary imbalances for the United Kingdom</i>			
3 6 0 4	Result of intermediate updates of the calculation of the financing of the correction of budgetary imbalances for the United Kingdom	p.m.	0	0
	<i>Article 3 6 0 — Subtotal</i>	p.m.		0
	Chapter 3 6 — Total	p.m.		0

Article 3 6 0 — Result of intermediate updates of the calculation of the financing of the correction of budgetary imbalances for the United Kingdom

Item 3 6 0 4 — Result of intermediate updates of the calculation of the financing of the correction of
budgetary imbalances for the United Kingdom

Figures

Budget 2016	Council position on DAB No. 4/2016	New amount
p.m.	0	0

Remarks

This item is intended to record the difference between previously budgeted and the latest
intermediate update of the UK correction before the final calculations are made.

The figures correspond to the result of the intermediate calculation of the financing of the correction
of budgetary imbalances for the United Kingdom in respect of the correction for the year 2014.

Legal basis

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Articles 4 and 5 thereof.

Member State	Budget 2016	Council position on DAB No. 4/2016	New amount
Belgium	p.m.	9 808 723	9 808 723
Bulgaria	p.m.	1 857 845	1 857 845
Czech Republic	p.m.	5 618 512	5 618 512
Denmark	p.m.	5 183 926	5 183 926
Germany	p.m.	15 098 382	15 098 382
Estonia	p.m.	615 754	615 754
Ireland	p.m.	6 055 723	6 055 723
Greece	p.m.	3 882 091	3 882 091
Spain	p.m.	23 452 091	23 452 091
France	p.m.	62 183 471	62 183 471
Croatia	p.m.	2 392 016	2 392 016
Italy	p.m.	60 923 232	60 923 232
Cyprus	p.m.	1 058 836	1 058 836
Latvia	p.m.	517 980	517 980
Lithuania	p.m.	493 224	493 224
Luxembourg	p.m.	3 153 754	3 153 754
Hungary	p.m.	2 775 751	2 775 751
Malta	p.m.	557 582	557 582
Netherlands	p.m.	3 797 278	3 797 278
Austria	p.m.	1 572 870	1 572 870
Poland	p.m.	16 987 381	16 987 381
Portugal	p.m.	5 315 559	5 315 559
Romania	p.m.	6 012 749	6 012 749
Slovenia	p.m.	1 449 464	1 449 464
Slovakia	p.m.	2 207 088	2 207 088
Finland	p.m.	5 796 891	5 796 891
Sweden	p.m.	2 634 984	2 634 984
United Kingdom	p.m.	- 251 403 157	- 251 403 157
Item 3 6 0 4 — Total	p.m.	0	0

VOLUME III

SECTION III - COMMISSION

EXPENDITURE — EXPENDITURE

Figures

Title	Heading	Budget 2016		Council position on DAB No. 4/2016		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
01	Economic and financial affairs	2 532 673 157	1 097 025 157	73 908 000		2 606 581 157	1 097 025 157
02	Internal market, industry, entrepreneurship and SMEs	2 285 812 989	1 894 487 636			2 285 812 989	1 894 487 636
03	Competition	102 698 620	102 698 620			102 698 620	102 698 620
04	Employment, social affairs and inclusion	12 924 259 299	13 030 720 525		-1 845 000 000	12 924 259 299	11 185 720 525
05	Agriculture and rural development	61 382 084 429	54 625 119 708	-1 250 000		61 380 834 429	54 625 119 708
06	Mobility and transport	4 219 477 187	2 295 863 330			4 219 477 187	2 295 863 330
07	Environment	448 266 445	397 061 087			448 266 445	397 061 087
08	Research and innovation	5 854 638 306	5 402 950 507	-1 400 000		5 853 238 306	5 402 950 507
09	Communications networks, content and technology	1 803 314 364	2 373 056 657			1 803 314 364	2 373 056 657
10	Direct research	396 834 657	402 688 960			396 834 657	402 688 960
11	Maritime affairs and fisheries	999 860 215	675 121 774	-5 370 000	-138 795 000	994 490 215	536 326 774
		83 345 750	83 345 750	-3 830 000	-6 735 000	79 515 750	76 610 750
		1 083 205 965	758 467 524	-9 200 000	-145 530 000	1 074 005 965	612 937 524
12	Financial stability, financial services and capital markets union	84 986 304	85 662 304			84 986 304	85 662 304
13	Regional and urban policy	35 988 630 661	36 386 098 987		-5 111 000 000	35 988 630 661	31 275 098 987
14	Taxation and customs union	166 447 251	159 265 251			166 447 251	159 265 251
15	Education and culture	2 889 262 253	3 030 752 053			2 889 262 253	3 030 752 053
16	Communication	203 694 896	196 759 396			203 694 896	196 759 396
17	Health and food safety	570 625 060	571 327 060	-12 770 000	-12 770 000	557 855 060	558 557 060
18	Migration and home affairs	3 225 091 730	2 323 443 097	250 000 000	10 000 000	3 475 091 730	2 333 443 097
19	Foreign policy instruments	782 603 058	677 343 652			782 603 058	677 343 652
20	Trade	107 216 392	105 566 392			107 216 392	105 566 392
21	International cooperation and development	3 161 973 792	3 345 883 780			3 161 973 792	3 345 883 780
22	Neighbourhood and enlargement negotiations	3 835 177 683	3 565 517 946			3 835 177 683	3 565 517 946
23	Humanitarian aid and civil protection	1 202 303 141	1 560 487 834			1 202 303 141	1 560 487 834
24	Fight against fraud	80 226 300	85 655 000			80 226 300	85 655 000
25	Commission's policy coordination and legal advice	206 099 587	205 749 587			206 099 587	205 749 587
26	Commission's administration	1 021 829 325	1 021 284 705			1 021 829 325	1 021 284 705
		3 426 739	3 426 739			3 426 739	3 426 739
		1 025 256 064	1 024 711 444			1 025 256 064	1 024 711 444
27	Budget	72 184 538	72 184 538			72 184 538	72 184 538
28	Audit	18 774 034	18 774 034			18 774 034	18 774 034
29	Statistics	139 150 570	127 507 570			139 150 570	127 507 570
30	Pensions and related expenditure	1 647 355 000	1 647 355 000			1 647 355 000	1 647 355 000
31	Language services	398 824 459	398 824 459			398 824 459	398 824 459
32	Energy	1 531 675 330	1 507 745 646	-73 908 000		1 457 767 330	1 507 745 646
33	Justice and consumers	258 626 977	239 160 105			258 626 977	239 160 105
34	Climate action	137 514 278	81 944 278			137 514 278	81 944 278
40	Reserves	561 384 489	395 772 489	-3 830 000	-176 735 000	557 554 489	219 037 489
	Total	151 241 576 776	140 106 859 124	225 380 000	-7 274 300 000	151 466 956 776	132 832 559 124
	Of which Reserves: 40 01 40, 40 02 41	86 772 489	86 772 489	-3 830 000	-6 735 000	82 942 489	80 037 489

TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

Figures

Title Chapter	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
01 01	Administrative expenditure of the ‘Economic and financial affairs’ policy area	5	82 891 865	82 891 865			82 891 865	82 891 865
01 02	Economic and monetary union	1	15 990 500	14 692 500			15 990 500	14 692 500
01 03	International economic and financial affairs	4	336 790 792	336 790 792			336 790 792	336 790 792
01 04	Financial operations and instruments	1	2 097 000 000	662 650 000	73 908 000		2 170 908 000	662 650 000
	Title 01 — Total		2 532 673 157	1 097 025 157	73 908 000		2 606 581 157	1 097 025 157

CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS

Figures

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
01 04	Financial operations and instruments							
01 04 01	<i>European Investment Fund</i>							
01 04 01 01	European Investment Fund — Provision of paid-up shares of subscribed capital	1.1	41 000 000	41 000 000			41 000 000	41 000 000
01 04 01 02	European Investment Fund — Callable portion of subscribed capital	1.1	p.m.	p.m.			p.m.	p.m.
	<i>Article 01 04 01 — Subtotal</i>		41 000 000	41 000 000			41 000 000	41 000 000
01 04 02	<i>Nuclear safety — Cooperation with the European Investment Bank</i>	1.1	p.m.	p.m.			p.m.	p.m.
01 04 03	<i>Guarantee for Euratom borrowings</i>	1.1	p.m.	p.m.			p.m.	p.m.
01 04 04	<i>Guarantee for the European Fund for Strategic Investments (EFSI)</i>	1.1	p.m.	p.m.			p.m.	p.m.
01 04 05	<i>Provisioning of the EFSI guarantee fund</i>	1.1	2 030 000 000	500 000 000	73 908 000		2 103 908 000	500 000 000
01 04 06	<i>European Investment Advisory Hub (EIAH) and European Investment Project Portal (EIPP)</i>	1.1	20 000 000	20 000 000			20 000 000	20 000 000
01 04 07	<i>Fees due to the European Investment Fund for increased assistance under the European Fund for Strategic Investments</i>	1.1	5 000 000	5 000 000			5 000 000	5 000 000
01 04 51	<i>Completion of programmes in the field of small and middle-sized enterprises (SMEs) (prior to 2014)</i>	1.1	p.m.	96 000 000			p.m.	96 000 000
01 04 77	<i>Pilot projects and preparatory actions</i>							
01 04 77 01	Pilot project — Strengthening cooperation and synergies between National Promotional Banks in order to support the long-term financing of the real economy	1.1	p.m.	250 000			p.m.	250 000
01 04 77 02	Pilot project — State asset management	1.1	1 000 000	400 000			1 000 000	400 000
	<i>Article 01 04 77 — Subtotal</i>		1 000 000	650 000			1 000 000	650 000
	Chapter 01 04 — Total		2 097 000 000	662 650 000	73 908 000		2 170 908 000	662 650 000

Article 01 04 05 — Provisioning of the EFSI guarantee fund

Title Chapter	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
04 01	Administrative expenditure of the 'Employment, social affairs and inclusion' policy area		102 287 606	102 287 606			102 287 606	102 287 606
04 02	European Social Fund	1	12 033 016 235	12 164 352 919		-1 845 000 000	12 033 016 235	10 319 352 919
04 03	Employment, Social Affairs and Inclusion		253 802 800	208 080 000			253 802 800	208 080 000
04 04	European Globalisation Adjustment Fund	9	p.m.	30 000 000			p.m.	30 000 000
04 05	Instrument for Pre-Accession Assistance — Employment, Social Policies and Human Resources Development	4	p.m.	65 000 000			p.m.	65 000 000
04 06	Fund for European Aid to the Most Deprived	1	535 152 658	461 000 000			535 152 658	461 000 000
	Title 04 — Total		12 924 259 299	13 030 720 525		-1 845 000 000	12 924 259 299	11 185 720 525

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 030 000 000	500 000 000	73 908 000		2 103 908 000	500 000 000

Remarks

This appropriation is intended to provide the financial resources for payments to the EFSI guarantee fund in accordance with Regulation (EU) 2015/1017 and the procedures determined therein.

Notably, the provisioning has the objective to provide for orderly execution of the budget if the EFSI guarantee is called.

Legal basis

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

Reference acts

Communication from the Commission to the European Parliament, the Council, the European Central Bank, the European Economic And Social Committee, the Committee of the Regions and the European Investment bank of 26 November 2014 — An Investment Plan for Europe (COM(2014) 903 final).

TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

Figures

CHAPTER 04 02 — EUROPEAN SOCIAL FUND

Figures

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
04 02	European Social Fund							
04 02 01	Completion of the European Social Fund — Objective 1 (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 02	Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 03	Completion of the European Social Fund — Objective 1 (prior to 2000)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 04	Completion of the European Social Fund — Objective 2 (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 05	Completion of the European Social Fund — Objective 2 (prior to 2000)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 06	Completion of the European Social Fund — Objective 3 (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 07	Completion of the European Social Fund — Objective 3 (prior to 2000)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 08	Completion of EQUAL (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 09	Completion of previous Community initiatives (prior to 2000)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 10	Completion of the European Social Fund — Innovative actions and technical assistance (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 11	Completion of the European Social Fund — Innovative actions and technical assistance (prior to 2000)	1.2	—	—			—	—
04 02 17	Completion of the European Social Fund — Convergence (2007 to 2013)	1.2	p.m.	3 470 000 000		-645 000 000	p.m.	2 825 000 000
04 02 18	Completion of the European Social Fund — PEACE (2007 to 2013)	1.2	p.m.	p.m.			p.m.	p.m.
04 02 19	Completion of the European Social Fund — Regional competitiveness and employment (2007 to 2013)	1.2	p.m.	1 109 595 811			p.m.	1 109 595 811
04 02 20	Completion of the European Social Fund — Operational technical assistance (2007 to 2013)	1.2	p.m.	1 500 000			p.m.	1 500 000
04 02 60	European Social Fund — Less developed regions — Investment for growth and jobs goal	1.2	6 904 001 096	3 420 000 000		-528 000 000	6 904 001 096	2 892 000 000
04 02 61	European Social Fund — Transition regions — Investment for growth and jobs goal	1.2	1 631 895 346	927 965 850		-192 000 000	1 631 895 346	735 965 850
04 02 62	European Social Fund — More developed regions — Investment for growth and jobs goal	1.2	3 479 119 793	2 178 091 258		-480 000 000	3 479 119 793	1 698 091 258
04 02 63	European Social Fund — Operational technical assistance							
04 02 63 01	European Social Fund — Operational technical assistance	1.2	18 000 000	7 200 000			18 000 000	7 200 000
04 02 63 02	European Social Fund — Operational technical assistance managed by the Commission at the request of a Member State	1.2	p.m.	p.m.			p.m.	p.m.
	Article 04 02 63 — Subtotal		18 000 000	7 200 000			18 000 000	7 200 000
04 02 64	Youth Employment Initiative	1.2	—	1 050 000 000			—	1 050 000 000
	Chapter 04 02 — Total		12 033 016 235	12 164 352 919		-1 845 000 000	12 033 016 235	10 319 352 919

Remarks

Article 175 of the Treaty on the Functioning of the European Union (TFEU) provides that the objectives of economic, social and territorial cohesion set out in Article 174 shall be supported by the action it takes through the Structural Funds, which includes European Social Fund (ESF). The tasks, priority objectives and the organisation of the Structural Funds are defined in accordance with Article 177 TFEU.

Article 80 of the Financial Regulation provides for financial corrections in the event of expenditure incurred in breach of applicable law.

Article 39 of Regulation (EC) No 1260/1999, Articles 100 and 102 of Regulation (EC) No 1083/2006 and Articles 85, 144 and 145 of Regulation (EU) No 1303/2013 on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to the ESF.

Any revenue from the financial corrections carried out on that basis is entered in Article 6 5 1, 6 5 2, 6 5 3 or 6 5 4 of the statement of revenue and constitutes assigned revenue in accordance with point (c) of Article 21(3) of the Financial Regulation.

Article 177 of the Financial Regulation lays down the conditions for the repayment in full, or in part, of pre-financing payments in respect of a given operation.

Article 82 of Regulation (EC) No 1083/2006 provides for specific rules on repayment of pre-financing amounts applicable to the ESF.

Pre-financing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Measures to combat fraud are funded under Article 24 02 01.

Legal basis

Treaty on the Functioning of the European Union, and in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 39 thereof.

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25), and in particular Articles 82, 83, 100 and 102 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 21(3) and (4), and Articles 80 and 177 thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Conclusions of the Brussels European Council of 16 and 17 December 2005.

Conclusions of the European Council of 7 and 8 February 2013.

Article 04 02 17 — Completion of the European Social Fund — Convergence (2007 to 2013)

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	3 470 000 000		-645 000 000	p.m.	2 825 000 000

Remarks

This appropriation is intended to cover commitments remaining to be settled for programmes under the ESF convergence objective in the 2007-2013 programming period. That objective is aimed at speeding up the convergence of the least developed Member States and regions by improving conditions for growth and employment.

This appropriation aims to reduce the economic, social and territorial disparities which have arisen, particularly in countries and regions whose development is lagging behind, in connection with the speeding-up of economic and social restructuring and population ageing.

In accordance with Article 105a(1) of Regulation (EC) No 1083/2006, as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

Legal basis

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Article 04 02 60 — European Social Fund — Less developed regions — Investment for growth and jobs goal

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 904 001 096	3 420 000 000		-528 000 000	6 904 001 096	2 892 000 000

Remarks

This appropriation is intended to cover ESF support under the Investment for growth and jobs goal in less developed regions in the 2014-2020 programming period. The catching-up process for these economically and socially lagging regions requires long-term sustained efforts. This category of regions concerns those regions whose GDP per capita is less than 75 % of the average GDP of the EU-27.

Legal basis

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470), and in particular point (c) of Article 4(3) thereof.

Article 04 02 61 — European Social Fund — Transition regions — Investment for growth and jobs goal

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 631 895 346	927 965 850		-192 000 000	1 631 895 346	735 965 850

Remarks

This appropriation is intended to cover ESF support under the Investment for growth and jobs goal in the 2014-2020 programming period in a new category of region ('transition regions') that replaces the 2007-2013 phasing-out and phasing-in system. This category of regions includes all regions with a GDP per capita is between 75 and 90 % of the average GDP of the EU-27.

Legal basis

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470), and in particular point (b) of Article 4(3) thereof.

Article 04 02 62 — European Social Fund — More developed regions — Investment for growth and jobs goal

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 479 119 793	2 178 091 258		-480 000 000	3 479 119 793	1 698 091 258

Remarks

This appropriation is intended to cover ESF support under the Investment for growth and jobs goal in more developed regions in the 2014-2020 programming period. While interventions in the less developed regions will remain the priority for cohesion policy, this appropriation is thus intended to cover important challenges that concern all Member States such as global competition in the knowledge-based economy, the shift towards the low carbon economy and social polarisation

exacerbated by the current economic climate. This category of regions concerns those regions whose GDP per capita is above 90 % of the average GDP of the EU-27.

Legal basis

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470), and in particular point (a) of Article 4(3) thereof.

TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

Figures

Title Chapter	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
05 01	Administrative expenditure of the 'Agriculture and rural development' policy area		134 218 823	134 218 823			134 218 823	134 218 823
05 02	Improving the competitiveness of the agricultural sector through interventions in agricultural markets	2	2 703 000 000	2 691 337 221			2 703 000 000	2 691 337 221
05 03	Direct payments aimed at contributing to farm incomes, limiting farm income variability and meeting environment and climate objectives	2	39 445 708 157	39 445 708 157			39 445 708 157	39 445 708 157
05 04	Rural development	2	18 671 922 495	11 742 025 443			18 671 922 495	11 742 025 443
05 05	Instrument for Pre-accession Assistance — Agriculture and rural development	4	112 000 000	425 400 000			112 000 000	425 400 000
05 06	International aspects of the 'Agriculture and rural development' policy area	4	6 966 518	6 966 518			6 966 518	6 966 518
05 07	Audit of agricultural expenditure financed by the European Agricultural Guarantee Fund (EAGF)	2	58 630 000	58 630 000			58 630 000	58 630 000
05 08	Policy strategy and coordination of the 'Agriculture and rural development' policy area	2	35 433 167	41 555 618	-1 250 000		34 183 167	41 555 618
05 09	Horizon 2020 — Research and innovation related to agriculture	1	214 205 269	79 277 928			214 205 269	79 277 928
	Title 05 — Total		61 382 084 429	54 625 119 708	-1 250 000		61 380 834 429	54 625 119 708

CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
05 08	Policy strategy and coordination of the ‘Agriculture and rural development’ policy area							
05 08 01	<i>Farm Accountancy Data Network (FADN)</i>	2	15 119 325	17 487 116			15 119 325	17 487 116
05 08 02	<i>Surveys on the structure of agricultural holdings</i>	2	250 000	1 500 000			250 000	1 500 000
05 08 03	<i>Restructuring of systems for agricultural surveys</i>	2	5 681 842	5 437 303	-1 250 000		4 431 842	5 437 303
05 08 06	<i>Enhancing public awareness of the common agricultural policy</i>	2	8 000 000	8 000 000			8 000 000	8 000 000
05 08 09	<i>European Agricultural Guarantee Fund (EAGF) — Operational technical assistance</i>	2	4 382 000	4 382 000			4 382 000	4 382 000
05 08 77	<i>Pilot projects and preparatory actions</i>							
05 08 77 01	Pilot project — Assessing end-user costs of compliance with Union legislation in the fields of environment, animal welfare and food safety	2	p.m.	p.m.			p.m.	p.m.
05 08 77 06	Preparatory action — European farm prices and margins observatory	2	p.m.	670 000			p.m.	670 000
05 08 77 08	Pilot project — Exchange programme for young farmers	2	p.m.	299 969			p.m.	299 969
05 08 77 09	Preparatory action — Union plant and animal genetic resources	2	p.m.	1 144 230			p.m.	1 144 230
05 08 77 10	Pilot project — Agropol: development of a European cross-border Agribusiness Model Region	2	p.m.	480 000			p.m.	480 000
05 08 77 11	Pilot project — Agroforestry	2	p.m.	500 000			p.m.	500 000
05 08 77 12	Pilot project — Social eco-village	2	400 000	200 000			400 000	200 000
05 08 77 13	Pilot project — Improving crisis prevention and management criteria and strategies in the agricultural sector	2	300 000	150 000			300 000	150 000
05 08 77 14	Pilot project — Restructuring the honey bee chain and Varroa resistance breeding and selection programme	2	700 000	350 000			700 000	350 000
05 08 77 15	Pilot project — Analysis of the best ways for producer organisations (POs) to be formed, carry out their activities and be supported	2	300 000	150 000			300 000	150 000
	<i>Article 05 08 77 — Subtotal</i>		1 700 000	3 944 199			1 700 000	3 944 199
05 08 80	<i>Union participation at the ‘Feeding the Planet — Energy for Life’ World Exposition 2015 in Milan</i>	2	300 000	805 000			300 000	805 000
	Chapter 05 08 — Total		35 433 167	41 555 618	-1 250 000		34 183 167	41 555 618

Remarks

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations in accordance with Articles 21 and 174 of the Financial Regulation.

The following legal basis applies to all articles and items of this chapter unless otherwise stated.

Legal basis

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

Article 05 08 03 — Restructuring of systems for agricultural surveys

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 681 842	5 437 303	-1 250 000		4 431 842	5 437 303

Remarks

This appropriation is intended to cover:

- expenditure on the improvement of systems of agricultural statistics in the Union,
- subsidies, contractual expenditure and expenditure involving payments for services connected with the purchasing and the consulting of databases,
- subsidies, contractual expenditure and expenditure involving payments for services connected with agricultural sector modelling and short- and medium-term forecasts of market and agricultural structure trends, and with the dissemination of results,
- subsidies, contractual expenditure and expenditure involving payments for services connected with the implementation of operations for applying remote sensing, area sampling and agrometeorological models to agricultural statistics,
- subsidies, contractual expenditure and expenditure involving payments for services connected with the carrying out of economic analyses and development of indicators in the field of the agricultural policy,
- subsidies, contractual expenditure and expenditure involving payments for services connected with measures required for the analysis, management, monitoring of agricultural resources and implementation of the common agricultural policy in accordance with Articles 6(c) and 22 of Regulation (EU) No 1306/2013 as well as for the implementation of the Common Monitoring and Evaluation Framework in accordance with Articles 6(a) and 110 of Regulation (EU) No 1306/2013,
- outstanding commitments made under Council Regulation (EC) No 78/2008.

Legal basis

Tasks resulting from the Commission's prerogatives at the institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Decision 96/411/EC of 25 June 1996 on improving Community agricultural statistics (OJ L 162, 1.7.1996, p. 14).

Decision No 1445/2000/EC of the European Parliament and of the Council of 22 May 2000 on the application of aerial-survey and remote-sensing techniques to the agricultural statistics for 1999 to 2003 (OJ L 163, 4.7.2000, p. 1).

Council Regulation (EC) No 78/2008 of 21 January 2008 on the measures to be undertaken by the Commission in 2008-2013 making use of the remote-sensing applications developed within the framework of the common agricultural policy (OJ L 25, 30.1.2008, p. 1).

TITLE 08 — RESEARCH AND INNOVATION

Figures

Title Chapter	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 01	Administrative expenditure of the 'Research and innovation' policy area		326 792 757	326 792 757			326 792 757	326 792 757
08 02	Horizon 2020 — Research		5 336 470 831	4 927 342 012	-1 400 000		5 335 070 831	4 927 342 012
08 03	Euratom Programme — Indirect actions	1	191 374 718	148 815 738			191 374 718	148 815 738
08 05	Research programme of the Research Fund for Coal and Steel	1	p.m.	p.m.			p.m.	p.m.
	Title 08 — Total		5 854 638 306	5 402 950 507	-1 400 000		5 853 238 306	5 402 950 507

Remarks

These remarks apply to all the budget lines in this title.

Research and innovation activities under this title will contribute to three main research programmes, i.e. Horizon 2020, Euratom and the programme for the ITER project. It will also cover research programmes of the research fund for coal and steel.

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively, and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

Particular account will be taken of the need to step up efforts to enhance the participation and role of women in science and research.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

These appropriations are also intended to cover administrative expenditure, including expenditure on staff, whether covered by the Staff Regulations or not, information, publications, administrative and technical operation, and certain other expenditure items relating to internal infrastructure linked with the achievement of the objective of the measure of which they form an integral part, including the action and initiatives necessary for preparation and monitoring of the Union's strategy on research, technological development and demonstration (RTD).

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from States taking part in the European cooperation in the field of scientific and technical research will be entered in Item 6 0 1 6 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contribution by outside bodies to Union activities will be entered in Item 6 0 3 3 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be made available under Items 08 02 50 01, 08 03 50 01 and 08 04 50 01.

Administrative appropriations of this title will be provided under Article 08 01 05.

CHAPTER 08 02 — HORIZON 2020 — RESEARCH

Figures

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 02	Horizon 2020 — Research							
08 02 01	Excellent science							
08 02 01 01	Strengthening frontier research in the European Research Council	1.1	1 622 722 376	591 884 144			1 622 722 376	591 884 144
08 02 01 02	Strengthening research in future and emerging technologies	1.1	p.m.	p.m.			p.m.	p.m.
08 02 01 03	Strengthening European research infrastructures, including e-infrastructures	1.1	183 905 321	83 564 914			183 905 321	83 564 914
	<i>Article 08 02 01 — Subtotal</i>		1 806 627 697	675 449 058			1 806 627 697	675 449 058
08 02 02	Industrial leadership							
08 02 02 01	Leadership in nanotechnologies, advanced materials, laser technology, biotechnology and advanced manufacturing and processing	1.1	504 175 361	407 929 917			504 175 361	407 929 917
08 02 02 02	Enhancing access to risk finance for investing in research and innovation	1.1	329 381 199	337 572 482			329 381 199	337 572 482
08 02 02 03	Increasing innovation in small and medium-sized enterprises (SMEs)	1.1	36 120 567	31 169 883			36 120 567	31 169 883
	<i>Article 08 02 02 — Subtotal</i>		869 677 127	776 672 282			869 677 127	776 672 282
08 02 03	Societal challenges							
08 02 03 01	Improving lifelong health and well-being	1.1	524 745 272	299 890 040			524 745 272	299 890 040
08 02 03 02	Securing sufficient supplies of safe, healthy and high quality food and other bio-based products	1.1	142 233 804	89 735 746			142 233 804	89 735 746
08 02 03 03	Making the transition to a reliable, sustainable and competitive energy system	1.1	335 369 074	242 548 217			335 369 074	242 548 217
08 02 03 04	Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless	1.1	331 555 393	174 476 315			331 555 393	174 476 315
08 02 03 05	Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials	1.1	284 530 369	150 855 696			284 530 369	150 855 696
08 02 03 06	Fostering inclusive, innovative and reflective European societies	1.1	112 411 389	117 834 666			112 411 389	117 834 666
	<i>Article 08 02 03 — Subtotal</i>		1 730 845 301	1 075 340 680			1 730 845 301	1 075 340 680
08 02 04	Spreading excellence and widening participation	1.1	105 470 711	47 808 292			105 470 711	47 808 292
08 02 05	Horizontal activities of Horizon 2020	1.1	109 162 522	79 820 088			109 162 522	79 820 088
08 02 06	Science with and for society	1.1	53 497 266	40 461 390			53 497 266	40 461 390

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
08 02 07	<i>Joint Undertakings</i>							
08 02 07 31	Innovative Medicines Initiative 2 (IMI2) Joint Undertaking — Support expenditure	1.1	1 200 000	1 200 000			1 200 000	1 200 000
08 02 07 32	Innovative Medicines Initiative 2 (IMI2) Joint Undertaking	1.1	197 787 000	68 973 824			197 787 000	68 973 824
08 02 07 33	Bio-Based Industries (BBI) Joint Undertaking — Support expenditure	1.1	1 946 263	1 946 263			1 946 263	1 946 263
08 02 07 34	Bio-Based Industries (BBI) Joint Undertaking	1.1	156 136 237	60 148 775			156 136 237	60 148 775
08 02 07 35	Clean Sky 2 Joint Undertaking — Support expenditure	1.1	2 625 785	2 625 785			2 625 785	2 625 785
08 02 07 36	Clean Sky 2 Joint Undertaking	1.1	194 773 655	177 301 922			194 773 655	177 301 922
08 02 07 37	Fuel Cells and Hydrogen 2 (FCH2) Joint Undertaking — Support expenditure	1.1	454 948	454 948			454 948	454 948
08 02 07 38	Fuel Cells and Hydrogen 2 (FCH2) Joint Undertaking	1.1	102 166 319	47 344 982			102 166 319	47 344 982
	<i>Article 08 02 07 — Subtotal</i>		657 090 207	359 996 499			657 090 207	359 996 499
08 02 50	<i>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</i>							
08 02 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.			p.m.	p.m.
08 02 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.			p.m.	p.m.
	<i>Article 08 02 50 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
08 02 51	<i>Completion of previous research framework programme — Seventh framework programme — EC indirect action (2007 to 2013)</i>	1.1	p.m.	1 867 645 867			p.m.	1 867 645 867
08 02 52	<i>Completion of previous research framework programmes — Indirect action (prior to 2007)</i>	1.1	p.m.	1 272 856			p.m.	1 272 856
08 02 77	<i>Pilot projects and preparatory actions</i>							
08 02 77 01	Pilot project — Coordinate research on the use of homeopathy and phytotherapy in livestock farming	2	p.m.	75 000			p.m.	75 000
08 02 77 02	Pilot project — Recovering critical raw materials through recycling: an opportunity for the European Union and the African Union	4	p.m.	p.m.			p.m.	p.m.
08 02 77 03	Pilot project — Research and development for poverty-related and neglected diseases in achieving universal health coverage post-2015	1.1	p.m.	300 000			p.m.	300 000
08 02 77 04	Preparatory action — Towards a single and innovative European transport system	1.1	1 500 000	1 250 000			1 500 000	1 250 000
08 02 77 05	Pilot project — Maternal immunisation: bridging knowledge gaps for advancing maternal immunisation in low-resource settings	1.1	600 000	300 000			600 000	300 000
08 02 77 06	Preparatory action — Active political co-determination and co-decisive participation of the younger and older generations in Europe	1.1	600 000	250 000			600 000	250 000
08 02 77 07	Pilot project — Optimisation of non-invasive opto-acoustic in situ underwater fish detection using a UFO pre-prototype to foster EEA based stock assessments and a better Marine Strategy Framework Directive (MSFD) implementation	2	200 000	100 000	-200 000		p.m.	100 000
08 02 77 08	Pilot project — Developing an Automated Non-Invasive Opto-Acoustic UFO Test Array System to Support Monitoring of Fish Biodiversity and Other Marine Strategy Framework Directive (MSFD) Indicators in Marine Key Areas	2	1 200 000	600 000	-1 200 000		p.m.	600 000
	<i>Article 08 02 77 — Subtotal</i>		4 100 000	2 875 000	-1 400 000		2 700 000	2 875 000
	Chapter 08 02 — Total		5 336 470 831	4 927 342 012	-1 400 000		5 335 070 831	4 927 342 012

Remarks

These budgetary remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for Horizon 2020 — the framework programme for research and innovation, which covers the 2014-2020 period and brings together all existing Union research and innovation funding, including the framework programme for research, the innovation related activities of the competitiveness and innovation framework programme and the European Institute of Innovation and Technology (EIT). The programme shall play a central role in the implementation of the Europe 2020 flagship initiative ‘Innovation Union’ and other flagship initiatives, notably ‘A digital agenda for Europe’ ‘Resource-efficient Europe’ and ‘An industrial policy for the globalisation era’, as well as in the development and functioning of the European Research Area (ERA). Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding. The appropriation will be used also for the completion of the previous research programmes (Seventh Framework Programme and preceding framework programmes).

This appropriation will be used in accordance with the Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for the participation and dissemination in ‘Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)’ and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Article 08 02 77 — Pilot projects and preparatory actions

Item 08 02 77 07 — Pilot project — Optimisation of non-invasive opto-acoustic in situ underwater fish detection using a UFO pre-prototype to foster EEA based stock assessments and a better Marine Strategy Framework Directive (MSFD) implementation

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
200 000	100 000	-200 000		p.m.	100 000

Remarks

The proposed research is completely new in so far as it synchronously combines highly resolved acoustical (medium to far field) and optical (near field) imaging (low light, high frequencies) through a blending and calibration mechanism to translate the information from the near field into the far field and vice versa. This is to quantify fish abundance, biomass, and diversity in key areas as well as other MSFD indicators (through a further set of (environmental) instrumentation). Instead of snapshots (as generated like in regular surveys) ‘videos’ will be produced, where the assessment method will be following international (ICES) standards. The complete system is anticipated to work autonomously, continuously and non-invasively in an event based mode to save energy.

As a research platform an opto-acoustic lander hardware (underwater fish observatory, UFO) will be provided by the research institute at no cost for the project, for optimising the pattern recognition algorithms and related research.

The indicated amount covers inter alia the costs for biometrical measurements of fish, modelling of fish age-, length-, biomass-, volume-to-photo/video relationships, quantification of the reef effect through tagging experiments, calibration (integration) of biological results with those from acoustics and optics, optimisation of survey design from a biological perspective, migration and single fish separation (medium to far field fish tracing), event based control of the full instrumentation, distance estimation (near field stereo-metrics), fish separation (near field fish tracing) and calibration (integration) of optical results with those from acoustics and biology.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Item 08 02 77 08 — Pilot project — Developing an Automated Non-Invasive Opto-Acoustic UFO Test Array System to Support Monitoring of Fish Biodiversity and Other Marine Strategy Framework Directive (MSFD) Indicators in Marine Key Areas

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 200 000	600 000	-1 200 000		p.m.	600 000

Remarks

The proposed research is completely new in so far as it synchronously combines highly resolved acoustical (medium to far field) and optical (near field) imaging (low light, high frequencies) through a blending and calibration mechanism to translate the information from the near field into the far field and vice versa. This is to quantify fish abundance, biomass, and diversity in key areas as well as other MSFD indicators (through a further set of (environmental) instrumentation). Instead of snapshots (as generated like in regular surveys) ‘videos’ will be produced, where the assessment method will be following international (ICES) standards. The complete system is anticipated to work autonomously, continuously and non-invasively in an event based mode to save energy (Is that fish or something else? If fish, then switch on the full instrumentation). The two underwater fish observatories of the test array will be trained to learn talking to each other and exchanging relevant information (for instance, related to the reef effect).

The indicated amount covers inter alia the costs for biometrical measurements of fish, modelling of fish age-, length, biomass-, volume-to-photo/video relationships, quantification of reef effect through tagging experiments, calibration (integration) of biological results with those from acoustics and optics, optimisation of survey design from a biological perspective, migration and single fish separation (medium to far field fish tracing), event based control of the full instrumentation, distance estimation (near field stereo-metrics), distance estimation (near field

stereo-metrics), calibration (integration) of optical results with those from acoustics and biology, array hardware and technical sensor interfacing.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

TITLE 11 — MARITIME AFFAIRS AND FISHERIES

Figures

Title Chapter	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 01	Administrative expenditure of the 'Maritime affairs and fisheries' policy area		43 057 571	43 057 571	-370 000	-370 000	42 687 571	42 687 571
11 03	Compulsory contributions to Regional Fisheries Management Organisations and other International Organisations and Sustainable Fisheries Agreements	2	56 154 250	55 654 250			56 154 250	55 654 250
			83 345 750 139 500 000	83 345 750 139 000 000	-3 830 000	-6 735 000	79 515 750 135 670 000	76 610 750 132 265 000
11 06	European Maritime and Fisheries Fund (EMFF)	2	900 648 394	576 409 953	-5 000 000	-138 425 000	895 648 394	437 984 953
	Title 11 — Total		999 860 215	675 121 774	-5 370 000	-138 795 000	994 490 215	536 326 774
	Total including reserves		83 345 750 1 083 205 965	83 345 750 758 467 524	-3 830 000 -9 200 000	-6 735 000 -145 530 000	79 515 750 1 074 005 965	76 610 750 612 937 524

CHAPTER 11 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘MARITIME AFFAIRS AND FISHERIES’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2016	Council position on DAB No. 4/2016	New amount
11 01	Administrative expenditure of the ‘Maritime affairs and fisheries’ policy area				
11 01 01	Expenditure relating to officials and temporary staff in the ‘Maritime affairs and fisheries’ policy area	5.2	29 715 805		29 715 805
11 01 02	External personnel and other management expenditure in support of the ‘Maritime affairs and fisheries’ policy area				
11 01 02 01	External personnel	5.2	2 194 611		2 194 611
11 01 02 11	Other management expenditure	5.2	2 602 903		2 602 903
	<i>Article 11 01 02 — Subtotal</i>		4 797 514		4 797 514
11 01 03	Expenditure relating to information and communication technology equipment and services of the ‘Maritime affairs and fisheries’ policy area	5.2	1 896 494		1 896 494
11 01 04	Support expenditure for operations and programmes in the ‘Maritime affairs and fisheries’ policy area				
11 01 04 01	Support expenditure for maritime affairs and fisheries — Non-operational administrative and technical assistance	2	3 700 000		3 700 000
	<i>Article 11 01 04 — Subtotal</i>		3 700 000		3 700 000
11 01 06	Executive agencies				
11 01 06 01	Executive Agency for Small and Medium-sized Enterprises — Contribution from European Maritime and Fisheries Fund (EMFF)	2	2 947 758	-370 000	2 577 758
	<i>Article 11 01 06 — Subtotal</i>		2 947 758	-370 000	2 577 758
	Chapter 11 01 — Total		43 057 571	-370 000	42 687 571

Article 11 01 06 — Executive agencies

Item 11 01 06 01 — Executive Agency for Small and Medium-sized Enterprises — Contribution from European Maritime and Fisheries Fund (EMFF)

Figures

Budget 2016	Council position on DAB No. 4/2016	New amount
2 947 758	-370 000	2 577 758

Remarks

This appropriation is intended to cover the Agency’s expenditure on staff and administration incurred as a result of the Agency’s role in the management of measures forming part of the European Maritime and Fisheries Fund.

The establishment plan of the Executive Agency is set out in Annex ‘Staff’ to this Section.

Legal basis

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Commission Decision C(2013) 9414 of 23 December 2013 delegating powers to the Executive Agency for Small and Medium-sized Enterprises with a view to performance of tasks linked to the implementation of Union programmes in the field of energy, environment, climate action, competitiveness and SMEs, research and innovation, ICT, maritime policy and fisheries comprising, in particular, implementation of appropriations entered in the general budget of the Union, as amended by Decision C(2014) 4636 of 11 July 2014.

Commission Decision C(2014) 4636 of 11 July 2014 amending Decision C(2013) 9414 of 23 December 2013 as regards the delegation of powers to the Executive Agency for Small and Medium-sized Enterprises with a view to performance of tasks linked to the implementation of the Union programme in the field of maritime policy and fisheries, comprising, in particular, implementation of appropriations entered in the general budget of the Union.

Commission Implementing Decision 2013/771/EU of 17 December 2013 establishing the ‘Executive Agency for Small and Medium-sized Enterprises’ and repealing Decisions 2004/20/EC and 2007/372/EC (OJ L 341, 18.12.2013, p. 73).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1).

**CHAPTER 11 03 — COMPULSORY CONTRIBUTIONS TO REGIONAL FISHERIES
MANAGEMENT ORGANISATIONS AND OTHER INTERNATIONAL ORGANISATIONS AND
SUSTAINABLE FISHERIES AGREEMENTS**

Figures

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 03	Compulsory contributions to Regional Fisheries Management Organisations and other International Organisations and Sustainable Fisheries Agreements							
11 03 01	<i>Establishing a governance framework for fishing activities carried out by Union fishing vessels in third country waters</i>	2	50 654 250	50 654 250			50 654 250	50 654 250
			83 345 750 134 000 000	83 345 750 134 000 000	-3 830 000	-6 735 000	79 515 750 130 170 000	76 610 750 127 265 000
11 03 02	<i>Promoting sustainable development for fisheries management and maritime governance in line with the CFP objectives (Compulsory contributions to international bodies)</i>	2	5 500 000	5 000 000			5 500 000	5 000 000
	Chapter 11 03 — Total		56 154 250	55 654 250			56 154 250	55 654 250
	Total including reserves		83 345 750 139 500 000	83 345 750 139 000 000	-3 830 000	-6 735 000	79 515 750 135 670 000	76 610 750 132 265 000

Article 11 03 01 — Establishing a governance framework for fishing activities carried out by Union fishing vessels in third country waters

Figures

	Budget 2016		Council position on DAB No. 4/2016		New amount	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
11 03 01	50 654 250	50 654 250			50 654 250	50 654 250
	83 345 750	83 345 750	-3 830 000	-6 735 000	79 515 750	76 610 750
Total	134 000 000	134 000 000	-3 830 000	-6 735 000	130 170 000	127 265 000

Remarks

This appropriation is intended to cover the expenditure arising from the fisheries agreements which the Union has negotiated or intends to renew or renegotiate with third countries.

In addition, the Union may negotiate new fisheries partnership agreements which would need to be financed under this article.

Legal basis

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Union financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22), and in particular Article 31 thereof.

Regulations and Decisions concerning the conclusion of agreements and/or protocols adopted with regard to fisheries between the Union/Community and the governments of the following countries:

Status (as of September 2015)	Country	Legal basis	Date	Official Journal	Duration
In force	Cape Verde	Decision 2014/948/EU	15 December 2014	L 369, 24.12.2014	23.12.2014 to 22.12.2018
	Comoros	Decision 2014/369/EU	13 May 2014	L 179, 19.6.2014	1.1.2014 to 31.12.2016
	Côte d'Ivoire	Decision 2013/303/EU	29 May 2013	L 170, 22.6.2013	1.7.2013 to 30.6.2018
	Gabon	Decision 2013/462/EU	22 July 2013	L 250, 20.9.2013	24.7.2013 to 23.7.2016
	Guinea-Bissau	Decision 2014/782/EU	16 October 2014	L 328, 13.11.2014	24.11.2014 to 23.11.2017
	Madagascar	Decision 2014/929/EU	15 December 2014	L 365, 19.12.2014	1.1.2015 to 31.12.2018
	Mauritius	Decision 2014/146/EU	28 January 2014	L 79, 18.3.2014	28.1.2014 to 27.1.2017
	Morocco	Decision 2013/785/EU	16 December 2013	L 349, 21.12.2013	15.7.2014 to 14.7.2018
	São Tomé and Príncipe	Decision 2014/334/EU	19 May 2014	L 168, 7.6.2014	23.5.2014 to 22.5.2018
	Seychelles	Decision 2014/5/EU	18 January 2014	L 12, 17.1.2014	18.1.2014 to 17.1.2020
	Senegal	Decision 2014/733/EU	8 October 2014	L 340, 23.10.2014	20.10.2014 to 19.10.2019
Under negotiation or legislative procedure ongoing	Greenland	Decision 2012/653/EU	16 July 2012	L 293, 23.10.2012	1.1.2013 to 31.12.2015
	Mauritania	Decision 2013/672/EU	15 November 2013	L 313, 22.11.2013	16.12.2012 to 15.12.2014
	Mozambique	Decision 2012/306/EU	12 June 2012	L 153, 14.6.2012	1.2.2012 to 31.1.2015

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF)

Figures

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 06	European Maritime and Fisheries Fund (EMFF)							
11 06 01	Completion of the Financial Instrument for Fisheries Guidance (FIFG) — Objective 1 (2000 to 2006)	2	p.m.	p.m.			p.m.	p.m.
11 06 02	Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)	2	—	—			—	—
11 06 03	Completion of earlier programmes — Former Objectives 1 and 6 (prior to 2000)	2	p.m.	p.m.			p.m.	p.m.
11 06 04	Completion of the Financial Instrument for Fisheries Guidance (FIFG) — Outside Objective 1 areas (2000 to 2006)	2	p.m.	p.m.			p.m.	p.m.
11 06 05	Completion of earlier programmes — Former Objective 5a (prior to 2000)	2	p.m.	p.m.			p.m.	p.m.
11 06 06	Completion of earlier programmes — Initiatives prior to 2000	2	—	—			—	—
11 06 08	Completion of earlier programmes — Former operational technical assistance and innovative measures (prior to 2000)	2	—	—			—	—
11 06 09	Specific measure aiming to promote the conversion of vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco	2	—	—			—	—
11 06 11	Completion of European Fisheries Fund (EFF) — Operational technical assistance (2007 to 2013)	2	p.m.	p.m.			p.m.	p.m.
11 06 12	Completion of European Fisheries Fund (EFF) — Convergence objective (2007 to 2013)	2	p.m.	100 000 000			p.m.	100 000 000
11 06 13	Completion of European Fisheries Fund (EFF) — Outside convergence objective (2007 to 2013)	2	p.m.	32 000 000			p.m.	32 000 000
11 06 14	Completion of intervention in fishery products (2007 to 2013)	2	p.m.	p.m.			p.m.	p.m.
11 06 15	Completion of the fisheries programme for the outermost regions (2007 to 2013)	2	p.m.	p.m.			p.m.	p.m.
11 06 60	Promoting sustainable and competitive fisheries and aquaculture, balanced and inclusive territorial development of fisheries areas and fostering the implementation of the common fisheries policy	2	805 423 852	335 000 000		-117 681 000	805 423 852	217 319 000
11 06 61	Fostering the development and implementation of the Union's integrated maritime policy	2	38 426 980	30 491 000		-5 149 000	38 426 980	25 342 000
11 06 62	Accompanying measures for the common fisheries policy and the integrated maritime policy							
11 06 62 01	Scientific advice and knowledge	2	8 680 015	14 000 000			8 680 015	14 000 000
11 06 62 02	Control and enforcement	2	15 510 967	32 700 000	-5 000 000	-15 595 000	10 510 967	17 105 000
11 06 62 03	Voluntary contributions to international organisations	2	7 978 580	6 900 000			7 978 580	6 900 000
11 06 62 04	Governance and communication	2	5 078 000	4 509 000			5 078 000	4 509 000
11 06 62 05	Market intelligence	2	4 900 000	4 100 000			4 900 000	4 100 000
	<i>Article 11 06 62 — Subtotal</i>		42 147 562	62 209 000	-5 000 000	-15 595 000	37 147 562	46 614 000
11 06 63	European Maritime and Fisheries Fund (EMFF) — Technical assistance							

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
11 06 63 01	European Maritime and Fisheries Fund (EMFF) — Operational technical assistance	2	4 080 000	4 300 000			4 080 000	4 300 000
11 06 63 02	European Maritime and Fisheries Fund (EMFF) — Operational Technical assistance managed by the Commission at the request of a Member State	2	p.m.	p.m.			p.m.	p.m.
	<i>Article 11 06 63 — Subtotal</i>		4 080 000	4 300 000			4 080 000	4 300 000
11 06 64	European Fisheries Control Agency	2	9 070 000	9 070 000			9 070 000	9 070 000
11 06 77	Pilot projects and preparatory actions							
11 06 77 01	Preparatory action — Monitoring centre for fisheries market prices	2	p.m.	p.m.			p.m.	p.m.
11 06 77 02	Pilot project — Tools for a common governance and sustainable fisheries management: fostering collaborative research between scientists and stakeholders	2	p.m.	359 953			p.m.	359 953
11 06 77 03	Preparatory action — Maritime policy	2	p.m.	p.m.			p.m.	p.m.
11 06 77 05	Pilot project — Establishment of a single instrument for commercial designations for fishery and aquaculture products	2	p.m.	p.m.			p.m.	p.m.
11 06 77 06	Preparatory action — Guardians of the Sea	2	p.m.	480 000			p.m.	480 000
11 06 77 07	Pilot project — Bringing a network of marine protected areas established or to be established under national and international environmental or fisheries legislation into service, with a view to enhancing the production potential of Union's Mediterranean fisheries on the basis of maximum sustainable yields and an ecosystem approach to fisheries management	2	p.m.	400 000			p.m.	400 000
11 06 77 08	Pilot project — Support measures for small-scale fishing	2	p.m.	600 000			p.m.	600 000
11 06 77 09	Pilot project — Development of innovative, low-impact offshore fishing practices for small-scale vessels in outermost regions, including exchange of good practices and fishing trials	2	p.m.	750 000			p.m.	750 000
11 06 77 10	Pilot project — Assessment of voluntary claims relating to fisheries and aquaculture products in Europe	2	250 000	125 000			250 000	125 000
11 06 77 11	Pilot project — Modernising fisheries controls and optimising vessel monitoring through the use of innovative European systems	2	500 000	250 000			500 000	250 000
11 06 77 12	Pilot project — Creation of a European coastguard function	2	750 000	375 000			750 000	375 000
	<i>Article 11 06 77 — Subtotal</i>		1 500 000	3 339 953			1 500 000	3 339 953
	Chapter 11 06 — Total		900 648 394	576 409 953	-5 000 000	-138 425 000	895 648 394	437 984 953

Remarks

Article 80 of the Financial Regulation provides for financial corrections in the event of expenditure incurred in breach of applicable law.

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections, any revenue from which is entered in Article 6 5 2 of the statement of revenue.

Articles 97, 98 and 99 of Regulation (EC) No 1198/2006 provide for financial corrections, any revenue from which is entered in Article 6 5 3 of the statement of revenue.

Articles 85, 144 and 145 of Regulation (EU) No 1303/2013 provide for financial corrections, any revenue from which is entered in Article 6 5 4 of the statement of revenue.

That revenue may give rise to the provision of additional appropriations, in accordance with Article 21 of the Financial Regulation, in specific instances where they are necessary to cover risks of cancellation or of reductions in corrections previously decided upon.

Article 177 of the Financial Regulation lays down the conditions for the repayment in full, or in part, of pre-financing payments in respect of a given operation.

Pre-financing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Measures to combat fraud are funded under Article 24 02 01.

Legal basis

Treaty on the Functioning of the European Union, in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1) and in particular Article 21(3) and (4), Article 80 and Article 177 thereof.

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European

Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1).

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Article 11 06 60 — Promoting sustainable and competitive fisheries and aquaculture, balanced and inclusive territorial development of fisheries areas and fostering the implementation of the common fisheries policy

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
805 423 852	335 000 000		-117 681 000	805 423 852	217 319 000

Remarks

This appropriation is intended to cover expenditure relating to the operational programmes of the EMFF with a view to increasing employment and economic, social and territorial cohesion, fostering innovative, competitive and knowledge-based fisheries and aquaculture, supporting small-scale fishing, taking account of the specific characteristics of each Member State, promoting sustainable and resource-efficient fisheries and aquaculture, as well as fostering the implementation of the common fisheries policy.

Legal basis

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and

(EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1), and in particular Article 5(a), (c) and (d) thereof.

Article 11 06 61 — Fostering the development and implementation of the Union’s integrated maritime policy

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
38 426 980	30 491 000		-5 149 000	38 426 980	25 342 000

Remarks

This appropriation is intended to cover expenditure arising from the programme to support the further development of the integrated maritime policy including inter alia:

- the European Marine Observation and Data Network,
- projects including test projects and cooperation projects,
- implementation of the road map for the Common Information Sharing Environment,
- pilot studies on cross-border maritime spatial planning,
- information technology applications such as the maritime forum and the European Atlas of the Seas,
- events and conferences,
- development and follow-up of sea-basin strategies,

- initiatives to co-finance, purchase and maintain marine observation systems and technical tools for designing, setting up and running of an operational European Marine Observation and Data Network system which aims to facilitate the collection, acquisition, assembling, processing, quality control, re-use and distribution of marine data and knowledge, through cooperation between the Member States and/or international institutions involved,
- secretarial or support services,
- studies, to be carried out at a European and sea-basin scale, in order to identify barriers to growth, assess new opportunities and determine human impact on the marine environment.

Legal basis

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1), and in particular Article 5(b) thereof.

Article 11 06 62 — Accompanying measures for the common fisheries policy and the integrated maritime policy

Item 11 06 62 02 — Control and enforcement

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
15 510 967	32 700 000	-5 000 000	-15 595 000	10 510 967	17 105 000

Remarks

This appropriation is intended to cover the payments for actions of the 2007 to 2013 period and relating to the expenditure incurred by Member States in implementing the monitoring and control systems applicable to the common fisheries policy for:

- investments relating to control activities carried out by administrative bodies or by the private sector including implementation of new control technologies such as electronic recording systems (ERS), vessel monitoring systems (VMS), automatic identification systems (AIS) connected with vessels detection systems (VDS) and the purchase and modernisation of control means,
- training and exchange programmes for civil servants responsible for monitoring control and surveillance tasks in the fisheries area,
- implementation of pilot inspection and observer schemes,
- cost/benefit analysis, assessment of expenditure and audits incurred by competent authorities in carrying out monitoring, control and surveillance,
- initiatives, including seminars and media tools, aimed at enhancing awareness both among fishermen and other players such as inspectors, public prosecutors and judges, and among the general public of the need to fight irresponsible and illegal fishing and on the implementation of the rules of the common fisheries policy,
- implementation of systems and procedures to enable traceability and instruments to control fleet capacity through the monitoring of engine power,
- pilot projects such as CCTV (closed circuit television).

This appropriation is also intended to cover the control actions under direct management included in the EMFF:

- joint purchase and/or chartering by several Member States belonging to the same geographical area, of patrol vessels, aircrafts and helicopters, provided they are used at least 60 % of the time for fisheries control,
- assessment and development of new control technologies, as well as processes for the exchange of data,
- operational expenditure related to control and evaluation by the Commission of the implementation of the common fisheries policy, in particular verification, inspection and audit missions, equipment and training for Commission officials, the organisation of or participation to meetings, including the exchange between Member States of information and best practices, studies, IT services and suppliers, and the charter or purchase by the Commission of inspection means as specified in Title X of Regulation (EC) No 1224/2009,
- support to the implementation of transnational projects aimed at developing and testing the inter-State control, inspection and enforcement systems provided for under Article 36 of Regulation (EU) No 1380/2013 and laid down in Regulation (EC) No 1224/2009,
- international training programmes for personnel responsible for monitoring, control and surveillance of fisheries activities,
- initiatives, including seminars and media tools, for standardising the interpretation of regulations and associated controls in the Union.

Legal basis

Council Regulation (EC) No 2371/2002 of 20 December 2002 on the conservation and sustainable exploitation of fisheries resources under the Common Fisheries Policy (OJ L 358, 31.12.2002, p. 59).

Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing, amending Regulations (EEC) No 2847/93, (EC) No 1936/2001 and (EC) No 601/2004 and repealing Regulations (EC) No 1093/94 and (EC) No 1447/1999 (OJ L 286, 29.10.2008, p. 1).

Council Regulation (EC) No 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy (OJ L 343, 22.12.2009, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1), and in particular Article 86 thereof.

Reference acts

Commission Regulation (EC) No 391/2007 of 11 April 2007 laying down detailed rules for the implementation of Council Regulation (EC) No 861/2006 as regards the expenditure incurred by Member States in implementing the monitoring and control systems applicable to the Common Fisheries Policy (OJ L 97, 12.4.2007, p. 30).

Commission implementing Regulation (EU) No 404/2011 of 8 April 2011 laying down detailed rules for the implementation of Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the Common Fisheries Policy (OJ L 112, 30.4.2011, p. 1).

TITLE 13 — REGIONAL AND URBAN POLICY

Figures

Title Chapter	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 01	Administrative expenditure of the 'Regional and urban policy' policy area		89 264 976	89 264 976			89 264 976	89 264 976
13 03	European Regional Development Fund and other regional operations		27 001 568 669	29 056 491 090		-5 111 000 000	27 001 568 669	23 945 491 090
13 04	Cohesion Fund (CF)	1	8 764 484 012	6 636 678 932			8 764 484 012	6 636 678 932
13 05	Instrument for Pre-Accession Assistance — Regional development and regional and territorial cooperation		50 101 004	529 881 989			50 101 004	529 881 989
13 06	Solidarity Fund	9	50 000 000	50 000 000			50 000 000	50 000 000
13 07	Aid Regulation	4	33 212 000	23 782 000			33 212 000	23 782 000
	Title 13 — Total		35 988 630 661	36 386 098 987		-5 111 000 000	35 988 630 661	31 275 098 987

CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS

Figures

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 03	European Regional Development Fund and other regional operations							
13 03 01	Completion of European Regional Development Fund (ERDF) — Objective 1 (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 02	Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 03	Completion of European Regional Development Fund (ERDF) — Objective 1 (prior to 2000)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 04	Completion of European Regional Development Fund (ERDF) — Objective 2 (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 05	Completion of European Regional Development Fund (ERDF) — Objective 2 (prior to 2000)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 06	Completion of Urban (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 07	Completion of earlier programmes — Community initiatives (prior to 2000)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 08	Completion of European Regional Development Fund (ERDF) — Technical assistance and innovative measures (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 09	Completion of European Regional Development Fund (ERDF) — Technical assistance and innovation measures (prior to 2000)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 12	Union contribution to the International Fund for Ireland	1.1	p.m.	3 000 000			p.m.	3 000 000
13 03 13	Completion of Interreg III Community initiative (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 14	Support for regions bordering candidate countries — Completion of earlier programmes (2000 to 2006)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 16	Completion of European Regional Development Fund (ERDF) — Convergence	1.2	p.m.	11 630 610 000		-1 072 000 000	p.m.	10 558 610 000
13 03 17	Completion of European Regional Development Fund (ERDF) — PEACE	1.2	p.m.	20 000 000			p.m.	20 000 000
13 03 18	Completion of European Regional Development Fund (ERDF) — Regional competitiveness and employment	1.2	p.m.	2 302 998 509		-1 097 000 000	p.m.	1 205 998 509
13 03 19	Completion of European Regional Development Fund (ERDF) — European territorial cooperation	1.2	p.m.	504 208 000		-227 000 000	p.m.	277 208 000

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 03 20	Completion of European Regional Development Fund (ERDF) — Operational technical assistance	1.2	p.m.	4 770 484			p.m.	4 770 484
13 03 31	Completion of technical assistance and dissemination of information on the European Union strategy for the Baltic Sea region and an improved knowledge of macro-regions strategy (2007 to 2013)	1.2	p.m.	558 015			p.m.	558 015
13 03 40	Completion of risk-sharing instruments financed from the European Regional Development Fund (ERDF) Convergence envelope (2007 to 2013)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 41	Completion of risk-sharing instruments financed from the European Regional Development Fund (ERDF) Regional competitiveness and employment envelope (2007 to 2013)	1.2	p.m.	p.m.			p.m.	p.m.
13 03 60	European Regional Development Fund (ERDF) — Less developed regions — Investment for growth and jobs goal	1.2	17 862 662 517	9 467 650 000		-1 069 000 000	17 862 662 517	8 398 650 000
13 03 61	European Regional Development Fund (ERDF) — Transition regions — Investment for growth and jobs goal	1.2	3 396 891 363	1 860 036 800		-636 000 000	3 396 891 363	1 224 036 800
13 03 62	European Regional Development Fund (ERDF) — More developed regions — Investment for growth and jobs goal	1.2	4 426 018 219	2 750 605 336		-1 000 000 000	4 426 018 219	1 750 605 336
13 03 63	European Regional Development Fund (ERDF) — Additional allocation for outermost and sparsely populated regions — Investment for growth and jobs goal	1.2	217 673 091	108 017 000		-10 000 000	217 673 091	98 017 000
13 03 64	European Regional Development Fund (ERDF) — European territorial cooperation							
13 03 64 01	European Regional Development Fund (ERDF) — European territorial cooperation	1.2	958 188 214	284 930 000			958 188 214	284 930 000
13 03 64 02	Participation of candidate countries and potential candidates in ERDF ETC— Contribution from Heading 4 (IPA II)	4	5 171 292	1 500 000			5 171 292	1 500 000
13 03 64 03	Participation of European neighbourhood countries in ERDF ETC — Contribution from Heading 4 (ENI)	4	1 414 450	264 000			1 414 450	264 000
	<i>Article 13 03 64 — Subtotal</i>		964 773 956	286 694 000			964 773 956	286 694 000
13 03 65	European Regional Development Fund (ERDF) — Operational technical assistance							
13 03 65 01	European Regional Development Fund (ERDF) — Operational technical assistance	1.2	74 000 000	57 415 941			74 000 000	57 415 941
13 03 65 02	European Regional Development Fund (ERDF) — Operational technical assistance managed by the Commission at the request of a Member State	1.2	p.m.	p.m.			p.m.	p.m.
	<i>Article 13 03 65 — Subtotal</i>		74 000 000	57 415 941			74 000 000	57 415 941
13 03 66	European Regional Development Fund (ERDF) — Innovative actions in the field of sustainable urban development	1.2	52 049 523	48 649 262			52 049 523	48 649 262
13 03 67	Macro-regional strategies 2014-2020 — European strategy for the Baltic Sea region — Technical assistance	1.2	p.m.	1 420 620			p.m.	1 420 620
13 03 68	Macro-regional strategies 2014-2020 — European Union strategy for the Danube region — Technical assistance	1.2	p.m.	750 000			p.m.	750 000
13 03 77	Pilot projects and preparatory actions							
13 03 77 01	Pilot project — Pan-European coordination of Roma integration methods	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 02	Pilot project — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 03	Preparatory action — Promoting a more favourable environment for micro-credit in Europe	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 04	Pilot project — Suburbs sustainable regeneration	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 05	Preparatory action — Rurban — Partnership for sustainable urban-rural development	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 06	Preparatory action — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 07	Preparatory action — The definition of governance model for the European Union Danube region — better and effective coordination	1.2	p.m.	p.m.			p.m.	p.m.

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
13 03 77 08	Pilot project — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region	1.2	p.m.	315 452			p.m.	315 452
13 03 77 09	Preparatory action on an Atlantic Forum for the European Union Atlantic strategy	1.2	p.m.	334 000			p.m.	334 000
13 03 77 10	Preparatory action — Supporting Mayotte, or any other territory potentially affected, with the switchover to outermost-region status	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 11	Preparatory action — Erasmus for elected local and regional representatives	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 12	Preparatory action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region	1.2	p.m.	1 857 671			p.m.	1 857 671
13 03 77 13	Pilot project — Cohesion policy and the synergies with the research and development funds: the 'stairway to excellence'	1.2	p.m.	2 100 000			p.m.	2 100 000
13 03 77 14	Preparatory action — A regional strategy for the North Sea region	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 15	Preparatory action — World cities: EU-third countries cooperation on urban development	1.2	1 500 000	1 500 000			1 500 000	1 500 000
13 03 77 16	Preparatory action — The actual and desired state of the economic potential in regions outside the Greek capital Athens	1.2	p.m.	p.m.			p.m.	p.m.
13 03 77 17	Preparatory action — EU-CELAC cooperation on territorial cohesion	1.2	2 000 000	1 000 000			2 000 000	1 000 000
13 03 77 18	Preparatory action — Cohesion policy and synergies with the research and development funds: the stairway to excellence — the way forward	1.2	1 000 000	500 000			1 000 000	500 000
13 03 77 19	Preparatory action — Support for growth and governance in regions whose development is lagging behind	1.2	1 000 000	500 000			1 000 000	500 000
13 03 77 20	Preparatory action — The economic competitive advantages and potential for smart specialisation at regional level in Romania	1.2	2 000 000	1 000 000			2 000 000	1 000 000
	<i>Article 13 03 77 — Subtotal</i>		7 500 000	9 107 123			7 500 000	9 107 123
	Chapter 13 03 — Total		27 001 568 669	29 056 491 090		-5 111 000 000	27 001 568 669	23 945 491 090

Remarks

Article 175 of the Treaty on the Functioning of the European Union provides that the objectives of economic, social and territorial cohesion set out in Article 174 shall be supported by the action it takes through the Structural Funds, which includes the European Regional Development Fund (ERDF). In accordance with Article 176, the ERDF is intended to help redress the main regional imbalances in the Union. The tasks, priority objectives and the organisation of the Structural Funds are defined in accordance with Article 177.

Article 80 of the Financial Regulation provides for financial corrections in the event of expenditure incurred in breach of applicable law.

Article 39 of Regulation (EC) No 1260/1999, Articles 100 and 102 of Regulation (EC) No 1083/2006 and Articles 85, 144 and 145 of Regulation (EU) No 1303/2013 on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to the ERDF.

Any revenue from the financial corrections carried out on that basis is entered in Article 6 5 1, 6 5 2, 6 5 3 or 6 5 4 of the statement of revenue and constitutes assigned revenue in accordance with Article 21(3)(c) of the Financial Regulation.

Article 177 of the Financial Regulation lays down the conditions for the repayment in full, or in part, of prefinancing payments in respect of a given operation.

Article 82 of Regulation (EC) No 1083/2006 provides for specific rules on repayment of prefinancing applicable to the ERDF.

Prefinancing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Measures to combat fraud are funded from Article 24 02 01.

Legal basis

Treaty on the Functioning of the European Union, and in particular Articles 174, 175, 176 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1) and in particular Article 39 thereof.

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25) and in particular Articles 82, 83, 100 and 102 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing

Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 21(3) and (4) and Articles 80 and 177 thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Conclusions of the Brussels European Council of 15 and 16 December 2005.

Conclusions of the European Council of 7 and 8 February 2013.

Article 13 03 16 — Completion of European Regional Development Fund (ERDF) — Convergence

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	11 630 610 000		-1 072 000 000	p.m.	10 558 610 000

Remarks

This appropriation is intended to cover commitments remaining to be settled for programmes under the ERDF objective of the convergence in the 2007-2013 programming period. This objective is aimed at speeding up the convergence of the least-developed Member States and regions by improving conditions for growth and employment.

Part of this appropriation is intended to be used to address intra-regional disparities so as to ensure that the overall state of development of a given region does not hide poverty loops and disadvantaged territorial units.

In accordance with Article 105a(1) of Regulation (EC) No 1083/2006 as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

Legal basis

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Article 13 03 18 — Completion of European Regional Development Fund (ERDF) — Regional competitiveness and employment

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 302 998 509		-1 097 000 000	p.m.	1 205 998 509

Remarks

This appropriation is intended to cover commitments remaining to be settled for the programmes under the ERDF objective of the regional competitiveness and employment in the 2007-2013 programming period. This objective shall, outside the least developed regions, be aimed at strengthening regions' competitiveness and attractiveness as well as employment by taking into consideration the goals set in the Europe 2020 strategy.

Legal basis

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Article 13 03 19 — Completion of European Regional Development Fund (ERDF) — European territorial cooperation

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	504 208 000		-227 000 000	p.m.	277 208 000

Remarks

This appropriation is intended to cover commitments remaining to be settled for the programmes under the ERDF objective of European territorial cooperation in the 2007-2013 programming period. This objective shall be aimed at strengthening territorial cooperation and macro-regional cooperation and exchange of experience at the appropriate level.

In accordance with Article 105a(1) of Regulation (EC) No 1083/2006 as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

Legal basis

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Article 13 03 60 — European Regional Development Fund (ERDF) — Less developed regions — Investment for growth and jobs goal

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
17 862 662 517	9 467 650 000		-1 069 000 000	17 862 662 517	8 398 650 000

Remarks

This appropriation is intended to cover ERDF support under the investment for growth and jobs goal in less developed regions in the 2014-2020 programming period. The catching up process for these economically and socially lagging regions requires long-term sustained efforts. This category of regions includes regions with a GDP per capita less than 75 % of the average GDP of the Union.

Legal basis

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European

Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Article 13 03 61 — European Regional Development Fund (ERDF) — Transition regions — Investment for growth and jobs goal

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 396 891 363	1 860 036 800		-636 000 000	3 396 891 363	1 224 036 800

Remarks

This appropriation is intended to cover ERDF support under the investment for growth and jobs goal in the 2014-2020 period in a new category of region — ‘transition regions’ — that replaces the 2007-2013 phasing-out and phasing-in system. This category of regions includes regions with a GDP per capita between 75 % and 90 % of the average GDP of the Union.

Legal basis

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

***Article 13 03 62 — European Regional Development Fund (ERDF) — More developed regions
— Investment for growth and jobs goal***

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 426 018 219	2 750 605 336		-1 000 000 000	4 426 018 219	1 750 605 336

Remarks

This appropriation is intended to cover ERDF support under the investment for growth and jobs goal in more developed regions in the 2014-2020 programming period. While interventions in the less developed regions will remain the priority for cohesion policy, this appropriation is thus intended to cover important challenges that concern all Member States such as global competition in the knowledge-based economy, the shift towards the low carbon economy and social polarisation exacerbated by the current economic climate. This category of regions includes regions with a GDP per capita above 90 % of the average GDP of the Union.

Legal basis

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Article 13 03 63 — European Regional Development Fund (ERDF) — Additional allocation for outermost and sparsely populated regions — Investment for growth and jobs goal

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
217 673 091	108 017 000		-10 000 000	217 673 091	98 017 000

Remarks

This appropriation is intended to cover the specific additional ERDF support under the Investment for growth and jobs goal to outermost and sparsely populated regions in the 2014-2020 period. This additional funding is intended to take into account the specific challenges faced by the outermost regions identified by Article 349 of the Treaty on the Functioning of the European Union and the northern sparsely populated regions fulfilling the criteria laid down in Article 2 of Protocol No 6 to the Treaty of Accession of Austria, Finland and Sweden.

Legal basis

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

TITLE 17 — HEALTH AND FOOD SAFETY

Figures

Title Chapter	Heading	Budget 2016		Council position on DAB No. 4/2016		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
17 01	Administrative expenditure of the 'Health and food safety' policy area	101 836 432	101 836 432			101 836 432	101 836 432
17 03	Public health	214 853 000	226 241 000	-9 900 000	-9 900 000	204 953 000	216 341 000
17 04	Food and feed safety, animal health, animal welfare and plant health	253 935 628	243 249 628	-2 870 000	-2 870 000	251 065 628	240 379 628
	Title 17 — Total	570 625 060	571 327 060	-12 770 000	-12 770 000	557 855 060	558 557 060

CHAPTER 17 03 — PUBLIC HEALTH

Figures

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
17 03	Public health							
17 03 01	Third programme for the Union's action in the field of health (2014-2020)	3	56 451 000	48 500 000			56 451 000	48 500 000
17 03 10	European Centre for Disease Prevention and Control	3	53 683 000	53 683 000			53 683 000	53 683 000
17 03 11	European Food Safety Authority	3	76 244 000	76 075 000			76 244 000	76 075 000
17 03 12	European Medicines Agency							
17 03 12 01	Union contribution to the European Medicines Agency	3	14 503 000	14 503 000	-9 900 000	-9 900 000	4 603 000	4 603 000
17 03 12 02	Special contribution for orphan medicinal products	3	9 972 000	9 972 000			9 972 000	9 972 000
	<i>Article 17 03 12 — Subtotal</i>		24 475 000	24 475 000	-9 900 000	-9 900 000	14 575 000	14 575 000
17 03 13	International agreements and membership of international organisations in the field of public health and tobacco control	4	200 000	200 000			200 000	200 000
17 03 51	Completion of public health programmes	3	p.m.	16 000 000			p.m.	16 000 000
17 03 77	Pilot projects and preparatory actions							
17 03 77 01	Pilot project — New employment situation in the health sector: best practices for improving professional training and qualifications of healthcare workers and their remuneration	1.1	p.m.	p.m.			p.m.	p.m.
17 03 77 02	Pilot project — Complex research on health, environment, transport and climate change — Improvement of indoor and outdoor air quality	2	p.m.	p.m.			p.m.	p.m.
17 03 77 03	Pilot project — Fruit and vegetable consumption	2	p.m.	350 000			p.m.	350 000
17 03 77 04	Pilot project — Healthy diet: early years and ageing population	2	p.m.	300 000			p.m.	300 000
17 03 77 05	Pilot project — Developing and implementing successful prevention strategies for type 2 diabetes	2	p.m.	400 000			p.m.	400 000
17 03 77 06	Preparatory action — Antimicrobial resistance (AMR): research on the causes of high and improper antibiotic usage	2	p.m.	320 000			p.m.	320 000
17 03 77 07	Preparatory action — Creation of a Union network of experts in the field of adapted care for adolescents with psychological problems	3	p.m.	400 000			p.m.	400 000

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
17 03 77 08	Pilot project — European prevalence protocol for early detection of autistic spectrum disorders in Europe	3	p.m.	630 000			p.m.	630 000
17 03 77 09	Pilot project — Promotion of self-care systems in the Union	3	p.m.	600 000			p.m.	600 000
17 03 77 10	Pilot project — Gender-specific mechanisms in coronary artery disease in Europe	3	p.m.	297 000			p.m.	297 000
17 03 77 11	Preparatory action — Fruit and vegetable consumption	2	p.m.	225 000			p.m.	225 000
17 03 77 12	Pilot project — Reducing health inequalities: building expertise and evaluation of actions.	2	p.m.	450 000			p.m.	450 000
17 03 77 13	Pilot project — Developing evidence-based strategies to improve the health of isolated and vulnerable persons	2	p.m.	300 000			p.m.	300 000
17 03 77 14	Preparatory action — Healthy diet: early years and ageing population	2	p.m.	100 000			p.m.	100 000
17 03 77 15	Preparatory action — European study on the burden and care of epilepsy	3	p.m.	246 000			p.m.	246 000
17 03 77 16	Pilot project — The effect of differing kidney disease treatment modalities and organ donation and transplantation practices on health expenditure and patient outcomes	3	p.m.	300 000			p.m.	300 000
17 03 77 17	Pilot project — Platform for increasing organ donation in the European Union and neighbouring countries: Eudonorg 2015-2016	3	p.m.	180 000			p.m.	180 000
17 03 77 18	Pilot project — Reducing health inequalities experienced by LGBTI people	3	p.m.	135 000			p.m.	135 000
17 03 77 19	Pilot project — Access to healthcare for people in rural areas	3	p.m.	300 000			p.m.	300 000
17 03 77 20	Pilot project — Establishing a registry of rare congenital malformations (as part of the Rare Diseases Registry), drawing on the structure, organisation and experience of the Polish Registry of Congenital Malformations (PRCM)	3	100 000	50 000			100 000	50 000
17 03 77 21	Pilot project — Providing support to women struggling with an alcohol problem, in order to reduce risks, in particular during pregnancy	3	350 000	150 000			350 000	150 000
17 03 77 22	Pilot project — MentALLY	3	400 000	200 000			400 000	200 000
17 03 77 23	Pilot project — Severe mental disorders and the risk of violence: pathways through care and effective treatment strategies	3	1 200 000	500 000			1 200 000	500 000
17 03 77 24	Pilot project — Towards a fairer and more effective measurement of access to healthcare across the EU in order to enhance cooperation and know-how transfer	3	250 000	125 000			250 000	125 000
17 03 77 25	Pilot project — Integrate: development of integrated strategies to monitor and treat chronic and rheumatic diseases: the role of quality indicators and patient-reported outcomes in addition to physician evaluation of disease activity and damage	3	500 000	250 000			500 000	250 000
17 03 77 26	Pilot project — Primary prevention courses for girls living in areas with a higher risk of breast cancer	3	500 000	250 000			500 000	250 000
17 03 77 27	Pilot project — Food redistribution	3	500 000	250 000			500 000	250 000
	<i>Article 17 03 77 — Subtotal</i>		3 800 000	7 308 000			3 800 000	7 308 000
	Chapter 17 03 — Total		214 853 000	226 241 000	-9 900 000	-9 900 000	204 953 000	216 341 000

Article 17 03 12 — European Medicines Agency

Item 17 03 12 01 — Union contribution to the European Medicines Agency

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 503 000	14 503 000	-9 900 000	-9 900 000	4 603 000	4 603 000

Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2), and operational expenditure relating to the work programme (Title 3), in order to carry out the tasks provided for in Article 57 of Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004.

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA states pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA states entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (Article 21(3)(c) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Agency's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 26 424 000. An amount of EUR 1 949 000 coming from the recovery of surplus is added to the amount of EUR 24 475 000 entered in the budget.

Legal basis

Council Regulation (EC) No 297/95 of 10 February 1995 on fees payable to the European Agency for the Evaluation of Medicinal Products (OJ L 35, 15.2.1995, p. 1).

Regulation (EC) No 141/2000 of the European Parliament and of the Council of 16 December 1999 on orphan medicinal products (OJ L 18, 22.1.2000, p. 1).

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1) (replacing Council Regulation (EEC) No 2309/93).

Reference acts

Directive 2001/82/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to veterinary medicinal products (OJ L 311, 28.11.2001, p. 1).

Directive 2001/83/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to medicinal products for human use (OJ L 311, 28.11.2001, p. 67).

Commission Regulation (EC) No 2049/2005 of 15 December 2005 laying down, pursuant to Regulation (EC) No 726/2004 of the European Parliament and of the Council, rules regarding the payment of fees to, and the receipt of administrative assistance from, the European Medicines Agency by micro, small and medium-sized enterprises (OJ L 329, 16.12.2005, p. 4).

Regulation (EC) No 1901/2006 of the European Parliament and of the Council of 12 December 2006 on medicinal products for paediatric use and amending Regulation (EEC) No 1768/92, Directive 2001/20/EC, Directive 2001/83/EC and Regulation (EC) No 726/2004 (OJ L 378, 27.12.2006, p. 1).

Regulation (EC) No 1394/2007 of the European Parliament and of the Council of 13 November 2007 on advanced therapy medicinal products and amending Directive 2001/83/EC and Regulation (EC) No 726/2004 (OJ L 324, 10.12.2007, p. 121).

Commission Regulation (EC) No 1234/2008 of 24 November 2008 concerning the examination of variations to the terms of marketing authorisations for medicinal products for human use and veterinary medicinal products (OJ L 334, 12.12.2008, p. 7).

Regulation (EC) No 470/2009 of the European Parliament and of the Council of 6 May 2009 laying down Community procedures for the establishment of residue limits of pharmacologically active substances in foodstuffs of animal origin, repealing Council Regulation (EEC) No 2377/90 and amending Directive 2001/82/EC of the European Parliament and of the Council and Regulation (EC) No 726/2004 of the European Parliament and of the Council (OJ L 152, 16.6.2009, p. 11).

Regulation (EU) No 1235/2010 of the European Parliament and of the Council of 15 December 2010 amending, as regards pharmacovigilance of medicinal products for human use, Regulation (EC) No 726/2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency, and Regulation (EC) No 1394/2007 on advanced therapy medicinal products (OJ L 348, 31.12.2010, p. 1).

CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH

Figures

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
17 04	Food and feed safety, animal health, animal welfare and plant health							
17 04 01	<i>Ensuring a higher animal health status and high level of protection of animals in the Union</i>	3	171 925 000	144 840 000			171 925 000	144 840 000
17 04 02	<i>Ensuring timely detection of harmful organisms for plants and their eradication</i>	3	12 000 000	6 100 000			12 000 000	6 100 000
17 04 03	<i>Ensuring effective, efficient and reliable controls</i>	3	47 401 000	55 250 000			47 401 000	55 250 000
17 04 04	<i>Fund for emergency measures related to animal and plant health</i>	3	19 000 000	18 000 000			19 000 000	18 000 000
17 04 07	<i>European Chemicals Agency — Activities in the field of biocides legislation</i>	2	3 319 628	3 319 628	-2 870 000	-2 870 000	449 628	449 628
17 04 10	<i>Contributions to international agreements and membership of international organisations in the fields of food safety, animal health, animal welfare and plant health</i>	4	290 000	290 000			290 000	290 000
17 04 51	<i>Completion of previous measures in food and feed safety, animal health, animal welfare and plant health</i>	3	p.m.	15 000 000			p.m.	15 000 000
17 04 77	<i>Pilot projects and preparatory actions</i>							
17 04 77 01	Pilot project — Coordinated European Animal Welfare Network	2	p.m.	p.m.			p.m.	p.m.
17 04 77 02	Preparatory action — Control posts (resting points) in relation to transport of animals	2	p.m.	p.m.			p.m.	p.m.
17 04 77 03	Pilot project — Developing best practices in animal transport	2	p.m.	300 000			p.m.	300 000
17 04 77 04	Pilot project — Farmhouse and Artisan Cheesemakers' European Network — Project involving the production of European guidelines for proper hygienic practice	2	p.m.	150 000			p.m.	150 000
	<i>Article 17 04 77 — Subtotal</i>		p.m.	450 000			p.m.	450 000
	Chapter 17 04 — Total		253 935 628	243 249 628	-2 870 000	-2 870 000	251 065 628	240 379 628

Article 17 04 07 — European Chemicals Agency — Activities in the field of biocides legislation

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 319 628	3 319 628	-2 870 000	-2 870 000	449 628	449 628

Remarks

Former Item 07 02 05 01

This appropriation is intended to cover the Agency's staff, administrative and operational expenditure for the activities related to the implementation of the biocides legislation.

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA states pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA states entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The establishment plan of the European Chemicals Agency is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 3 650 000. An amount of EUR 330 372 coming from the recovery of surplus is added to the amount of EUR 3 319 628 entered in the budget.

Legal basis

Regulation (EU) No 334/2014 of the European Parliament and of the Council of 11 March 2014 amending Regulation (EU) No 528/2012 concerning the making available on the market and use of biocidal products, with regard to certain conditions for access to the market (OJ L 103, 5.4.2014, p. 22).

TITLE 18 — MIGRATION AND HOME AFFAIRS

Figures

Title Chapter	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
18 01	Administrative expenditure of the ‘Migration and home affairs’ policy area		53 847 598	53 847 598	500 000	500 000	54 347 598	54 347 598
18 02	Internal security	3	1 089 208 867	849 121 556	70 000 000		1 159 208 867	849 121 556
18 03	Asylum and migration		1 806 730 094	1 066 910 600	130 000 000		1 936 730 094	1 066 910 600
18 04	Fostering European citizenship	3	22 977 000	21 450 000			22 977 000	21 450 000
18 05	Horizon 2020 — Research related to security	1	136 092 171	235 589 343			136 092 171	235 589 343
18 06	Anti-drugs policy	3	17 236 000	17 324 000			17 236 000	17 324 000
18 07	Instrument for emergency support within the Union		99 000 000	79 200 000	49 500 000	9 500 000	148 500 000	88 700 000
	Title 18 — Total		3 225 091 730	2 323 443 097	250 000 000	10 000 000	3 475 091 730	2 333 443 097

CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘MIGRATION AND HOME AFFAIRS’ POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2016	Council position on DAB No. 4/2016	New amount
18 01	Administrative expenditure of the ‘Migration and home affairs’ policy area				
18 01 01	Expenditure relating to officials and temporary staff in the ‘Migration and Home affairs’ policy area	5.2	35 334 794		35 334 794
18 01 02	External personnel and other management expenditure in support of the ‘Migration and home affairs’ policy area				
18 01 02 01	External personnel	5.2	2 352 155		2 352 155
18 01 02 11	Other management expenditure	5.2	2 502 512		2 502 512
	<i>Article 18 01 02 — Subtotal</i>		4 854 667		4 854 667
18 01 03	Expenditure relating to information and communication technology equipment and services of the ‘Migration and home affairs’ policy area	5.2	2 255 104		2 255 104
18 01 04	Support expenditure for operations and programmes in the ‘Migration and home affairs’ policy area				
18 01 04 01	Support expenditure for Internal Security Fund	3	2 325 000		2 325 000
18 01 04 02	Support expenditure for Asylum, Migration and Integration Fund	3	2 325 000		2 325 000
18 01 04 03	Support expenditure for the programme ‘Europe for citizens’	3	160 000		160 000
18 01 04 04	Support expenditure for the Justice programme — Anti-drugs	3	100 000		100 000
18 01 04 05	Support expenditure for Emergency support within the Union	3	1 000 000	500 000	1 500 000
	<i>Article 18 01 04 — Subtotal</i>		5 910 000	500 000	6 410 000

Title Chapter Article Item	Heading	FF	Budget 2016	Council position on DAB No. 4/2016	New amount
18 01 05	<i>Support expenditure for research and innovative programmes in the 'Migration and home affairs' policy area</i>				
18 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	1.1	2 229 533		2 229 533
18 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	1.1	576 000		576 000
18 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	1.1	484 500		484 500
	<i>Article 18 01 05 — Subtotal</i>		3 290 033		3 290 033
18 01 06	<i>Executive agencies</i>				
18 01 06 01	Education, Audiovisual and Culture Executive Agency — Contribution from the programme 'Europe for citizens'	3	2 203 000		2 203 000
	<i>Article 18 01 06 — Subtotal</i>		2 203 000		2 203 000
	Chapter 18 01 — Total		53 847 598	500 000	54 347 598

Article 18 01 04 — Support expenditure for operations and programmes in the 'Migration and home affairs' policy area

Item 18 01 04 05 — Support expenditure for Emergency support within the Union

Figures

Budget 2016	Council position on DAB No. 4/2016	New amount
1 000 000	500 000	1 500 000

Remarks

New item

This appropriation is intended to cover support expenditure directly linked to the achievement of the objectives of the Emergency support within the Union. This covers, inter alia:

- preparatory, monitoring, control, audit and evaluation activities,
- development, maintenance, operation and support of information systems, intended for internal use or to improve coordination between the Commission and other institutions, national administrations, agencies, non-governmental organisations, other partners in emergency support, and experts in the field,
- studies, meeting of experts, information and publications directly linked to the achievement of the objective of the measure,
- any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Any income from financial contributions from public and private donors, entered in Item 6 0 2 1 of the Statement of Revenue, may give rise to the provision of additional appropriations.

Legal basis

See Article 18 07 01.

CHAPTER 18 02 — INTERNAL SECURITY

Figures

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
18 02	Internal security							
18 02 01	Internal Security Fund							
18 02 01 01	Support of border management and a common visa policy to facilitate legitimate travel	3	487 653 803	214 436 438	70 000 000		557 653 803	214 436 438
18 02 01 02	Prevention and fight against cross-border organised crime and better management of security- related risks and crisis	3	155 555 064	78 737 456			155 555 064	78 737 456
18 02 01 03	Setting up new IT systems to support the management of migration flows across the external borders of the Union	3	p.m.	p.m.			p.m.	p.m.
	<i>Article 18 02 01 — Subtotal</i>		643 208 867	293 173 894	70 000 000		713 208 867	293 173 894
18 02 02	Schengen facility for Croatia	3	p.m.	p.m.			p.m.	p.m.
18 02 03	European Agency for the Management of Operational Cooperation at the External Borders (Frontex)	3	238 686 000	238 686 000			238 686 000	238 686 000
18 02 04	European Police Office (Europol)	3	99 660 000	99 660 000			99 660 000	99 660 000
18 02 05	European Police College (CEPOL)	3	8 411 000	8 411 000			8 411 000	8 411 000
18 02 07	European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA')	3	80 022 000	80 022 000			80 022 000	80 022 000
18 02 08	Schengen information system (SIS II)	3	9 610 500	13 398 000			9 610 500	13 398 000
18 02 09	Visa information system (VIS)	3	9 610 500	16 285 000			9 610 500	16 285 000
18 02 51	Completion of operations and programmes in the field of external borders, security and safeguarding liberties	3	p.m.	99 485 662			p.m.	99 485 662
18 02 77	Pilot projects and preparatory actions							
18 02 77 01	Pilot project — Completion of the fight against terrorism	3	p.m.	p.m.			p.m.	p.m.
18 02 77 02	Pilot project — New integrated mechanisms for cooperation between public and private actors to identify sports betting risks	3	p.m.	p.m.			p.m.	p.m.
	<i>Article 18 02 77 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
	Chapter 18 02 — Total		1 089 208 867	849 121 556	70 000 000		1 159 208 867	849 121 556

Article 18 02 01 — Internal Security Fund

Item 18 02 01 01 — Support of border management and a common visa policy to facilitate legitimate travel

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
487 653 803	214 436 438	70 000 000		557 653 803	214 436 438

Remarks

The Internal Security Fund shall contribute to the following specific objectives:

- supporting a common visa policy to facilitate legitimate travel, provide a high quality of service to visa applicants, ensure equal treatment of third country nationals and tackle illegal immigration,
- supporting integrated borders management, including promoting further harmonisation of border management-related measures in accordance with common Union standards and through sharing of information between Member States and between Member States and Frontex, to ensure, on one hand, a uniform and high level of control and protection of the external borders, including by the tackling of illegal immigration, and, on the other hand, the smooth crossing of the external borders in conformity with the Schengen *acquis*, while guaranteeing access to international protection for those needing it, in accordance with the obligations contracted by the Member States in the field of human rights, including the principle of non-refoulement and with due regard for the specific characteristics of the people concerned and for the gender perspective.

This appropriation is intended to cover the expenditure related to actions in or by Member States and in particular the following:

- infrastructures, buildings and systems required at border crossing points and for surveillance between border crossing points to prevent and tackle unauthorised border crossings, illegal immigration and cross-border criminality as well as to guarantee smooth travel flows,
- operating equipment, means of transport and communication systems required for effective and secure border control and the detection of persons,
- IT and communication systems for efficient management of migration flows across borders, including investments in existing and future systems,
- infrastructures, buildings, communication and IT systems and operating equipment required for the processing of visa applications and consular cooperation, as well as other actions aimed at improving the quality of service for the visa applicants,
- training regarding the use of that equipment and those systems and the promotion of quality management standards and training of border guards, including where appropriate in third countries, regarding the execution of their surveillance, advisory and control tasks with respect to international human rights law, and taking into consideration a gender-sensitive approach, including the identification of victims of human trafficking and people smuggling,
- secondment of immigration liaison officers and document advisers in third countries and the exchange and secondment of border guards between Member States or between a Member State and a third country,

- studies, training, pilot projects and other actions gradually establishing an integrated management system for external borders as referred to in Article 3(3) of Regulation (EU) No 515/2014 including actions aiming to foster interagency cooperation either within Member States or between Member States and actions relating to the interoperability and harmonisation of border management systems,
- studies, pilot projects and actions aiming to implement the recommendations, operational standards and best practices resulting from the operational cooperation between Member States and Union agencies.

This appropriation is also intended to cover the expenditure related to actions in relation to and in third countries and in particular the following:

- information systems, tools or equipment for sharing information between Member States and third countries,
- actions relating to operational cooperation between Member States and third countries, including joint operations,
- projects in third countries aimed at improving surveillance systems to ensure cooperation with the Eurosur,
- studies, seminars, workshops, conferences, training, equipment and pilot projects to provide ad hoc technical and operational expertise to third countries,
- studies, seminars, workshops, conferences, training, equipment and pilot projects implementing specific recommendations, operational standards and best practices, resulting from the operational cooperation between Member States and Union agencies in third countries.

This appropriation is also intended to cover foregone fees from visas issued for the purpose of transit and additional costs incurred in implementing the Facilitated Transit Document (FTD) and the Facilitated Rail Transit Document (FRTD) scheme in accordance with Council Regulation (EC) No 693/2003 of 14 April 2003 establishing a specific Facilitated Transit Document (FTD), a Facilitated Rail Transit Document (FRTD) and amending the Common Consular Instructions and the Common Manual (OJ L 99, 17.4.2003, p. 8) and Council Regulation (EC) No 694/2003 of 14 April 2003 on uniform formats for Facilitated Transit Documents (FTD) and Facilitated Rail Transit Documents (FRTD) provided for in Regulation (EC) No 693/2003 (OJ L 99, 17.4.2003, p. 15).

At the Commission's initiative, this appropriation may be used to finance transnational actions or actions of particular interest to the Union. To be eligible for funding, those actions shall in particular pursue the following objectives:

- to support preparatory, monitoring, administrative and technical activities, required to implement external borders and visa policies, including to strengthen the governance of the Schengen area by developing and implementing the evaluation mechanism as established by Council Regulation (EU) No 1053/2013 of 7 October 2013 establishing an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* and repealing the Decision of the Executive Committee of 16 September 1998 setting up a Standing Committee on the evaluation and implementation of Schengen (OJ L 295, 6.11.2013, p. 27), to verify the application of the Schengen *acquis* and the Schengen Borders Code in particular mission expenditure for experts of the Commission and the Member States participating in on site visits,
- to improve the knowledge and understanding of the situation prevailing in the Member States and third countries through analysis, evaluation and close monitoring of policies,

- to support the development of statistical tools, including common statistical tools, and methods and common indicators, with gender-disaggregated data,
- to support and monitor the implementation of Union law and Union policy objectives in the Member States, and assess their effectiveness and impact, including with regard to the respect of human rights and fundamental freedoms, as far as the scope of this instrument is concerned,
- to promote networking, mutual learning, identification and dissemination of best practices and innovative approaches amongst different stakeholders at European level,
- to promote projects aiming at harmonisation and interoperability of border management-related measures in accordance with common Union standards with a view to developing an integrated European border management system,
- to enhance awareness of Union policies and objectives among stakeholders and the general public, including corporate communication on the political priorities of the Union,
- to boost the capacity of European networks to assess, promote, support and further develop Union policies and objectives,
- to support particularly innovative projects developing new methods and/or technologies with a potential for transferability to other Member States, especially projects aiming at testing and validating research projects,
- to support actions in relation to and in third countries as referred to in Article 4(2) of Regulation (EU) No 1053/2013,
- awareness-raising, information and communication activities in relation to Union home affairs policies, priorities and achievements.

This appropriation shall also cover financial assistance to address urgent and specific needs in the event of an emergency situation which means a situation of urgent and exceptional pressure where a large or disproportionate number of third-country nationals cross or are expected to cross the external border of one or more Member States.

This appropriation will provide for reimbursement of the costs incurred by the Commission and Member States experts for the on-the-spot evaluation visits (travel cost and accommodation) regarding the application of the Schengen *acquis*. The cost of supplies and equipment needed for the on-the-spot evaluation visits and for their preparation and follow-up must be added to these costs.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (e) of Article 21(2) of the Financial Regulation.

Legal basis

Council Regulation (EU) No 1053/2013 of 7 October 2013 establishing an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* and repealing the Decision

of the Executive Committee of 16 September 1998 setting up a Standing Committee on the evaluation and implementation of Schengen (OJ L 295, 6.11.2013, p. 27).

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

Regulation (EU) No 515/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for external borders and visa and repealing Decision No 574/2007/EC (OJ L 150, 20.5.2014, p. 143).

CHAPTER 18 03 — ASYLUM AND MIGRATION

Figures

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
18 03	Asylum and migration							
18 03 01	<i>Asylum, Migration and Integration Fund</i>							
18 03 01 01	Strengthening and developing the common European asylum system and enhancing solidarity and responsibility-sharing between the Member States	3	1 473 487 626	704 850 000	130 000 000		1 603 487 626	704 850 000
18 03 01 02	Supporting legal migration to the Union and promoting the effective integration of third-country nationals and enhancing fair and effective return strategies	3	314 476 868	216 910 000			314 476 868	216 910 000
	<i>Article 18 03 01 — Subtotal</i>		1 787 964 494	921 760 000	130 000 000		1 917 964 494	921 760 000
18 03 02	<i>European Asylum Support Office (EASO)</i>	3	18 665 600	18 665 600			18 665 600	18 665 600
18 03 03	<i>European fingerprint database (Eurodac)</i>	3	100 000	100 000			100 000	100 000
18 03 51	<i>Completion of operations and programmes in the field of return, refugees and migration flows</i>	3	p.m.	125 000 000			p.m.	125 000 000
18 03 77	<i>Pilot projects and preparatory actions</i>							
18 03 77 01	Preparatory action — Completion of return management in the area of migration	3	—	—			—	—
18 03 77 03	Preparatory action — Completion of integration of third-country nationals	3	p.m.	p.m.			p.m.	p.m.
18 03 77 04	Pilot project — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees	3	p.m.	p.m.			p.m.	p.m.
18 03 77 05	Pilot project — Funding for victims of torture	3	p.m.	560 000			p.m.	560 000
18 03 77 06	Preparatory action — Enable the resettlement of refugees during emergency situations	3	p.m.	300 000			p.m.	300 000
18 03 77 07	Pilot project — Analysis of reception, protection and integration policies for unaccompanied minors in the Union	3	p.m.	285 000			p.m.	285 000
18 03 77 08	Preparatory action — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees	3	p.m.	150 000			p.m.	150 000

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
18 03 77 09	Preparatory action — Funding for the rehabilitation of victims of torture	3	p.m.	90 000			p.m.	90 000
18 03 77 10	Pilot project — Completion of funding for victims of torture	4	p.m.	p.m.			p.m.	p.m.
	<i>Article 18 03 77 — Subtotal</i>		p.m.	1 385 000			p.m.	1 385 000
	Chapter 18 03 — Total		1 806 730 094	1 066 910 600	130 000 000		1 936 730 094	1 066 910 600

Article 18 03 01 — Asylum, Migration and Integration Fund

Item 18 03 01 01 — Strengthening and developing the common European asylum system and enhancing solidarity and responsibility-sharing between the Member States

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 473 487 626	704 850 000	130 000 000		1 603 487 626	704 850 000

Remarks

This appropriation is intended to contribute to strengthen and develop all aspects of the common European asylum system, including its external dimension and to enhance the solidarity and responsibility sharing between the Member States, in particular towards those most affected by migration and asylum flows, including through practical cooperation.

In the case of the common European asylum system, this appropriation is intended to cover actions relating to reception and asylum systems and actions enhancing the capacity of Member States to develop, monitor and evaluate their asylum policies and procedures.

This appropriation is also intended to cover actions related to the resettlement, transfer of applicants for and beneficiaries of international protection and other ad hoc humanitarian admission

At the Commission's initiative, the appropriation may be used to finance transnational actions or actions of particular interest to the Union. These actions shall, in particular, support:

- the furthering of Union cooperation in implementing Union law and in sharing good practices in the field of asylum, notably on resettlement and transfer of applicants for and/or beneficiaries of international protection from one Member State to another including through networking and exchanging information, including arrival support and coordination activities to promote resettlement with the local communities that are to welcome resettled refugees,

- the setting-up of transnational cooperation networks and pilot projects, including innovative projects, based on transnational partnerships between bodies located in two or more Member States designed to stimulate innovation, and to facilitate exchanges of experience and good practice,
- studies and research on possible new forms of Union cooperation in the field of asylum, and relevant Union law, the dissemination and exchange of information on best practices and on all other aspects of asylum policies, including corporate communication on the political priorities of the Union,
- development and application by Member States of common statistical tools, methods and indicators for measuring policy developments in the field of asylum,
- preparatory, monitoring, administrative and technical support, development of an evaluation mechanism, required to implement the policies on asylum,
- cooperation with third countries on the basis of the Union's global approach to migration and mobility, in particular in the framework of the implementation mobility partnerships, regional protection programmes,
- awareness-raising, information and communication activities in relation to Union home affairs policies, priorities and achievements.

This appropriation shall also cover urgent and specific needs in the event of an emergency situation.

Legal basis

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

Regulation (EU) No 516/2014 of the European Parliament and of the Council of 16 April 2014 establishing the Asylum, Migration and Integration Fund, amending Council Decision 2008/381/EC and repealing Decisions No 573/2007/EC and No 575/2007/EC of the European Parliament and of the Council and Council Decision 2007/435/EC (OJ L 150, 20.5.2014, p. 168).

Council Decision (EU) 2015/1523 of 14 September 2015 establishing provisional measures in the area of international protection for the benefit of Italy and of Greece (OJ L 239, 15.9.2015, p. 146).

Council Decision (EU) 2015/1601 of 22 September 2015 establishing provisional measures in the area of international protection for the benefit of Italy and Greece (OJ L 248, 24.9.2015, p. 80).

CHAPTER 18 07 — INSTRUMENT FOR EMERGENCY SUPPORT WITHIN THE UNION

Figures

Title Chapter Article Item	Heading	Budget 2016		Council position on DAB No. 4/2016		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
18 07	Instrument for emergency support within the Union						
18 07 01	<i>Emergency support within the Union</i>	99 000 000	79 200 000	49 500 000	9 500 000	148 500 000	88 700 000
	Chapter 18 07 — Total	99 000 000	79 200 000	49 500 000	9 500 000	148 500 000	88 700 000

Remarks

New chapter

Article 18 07 01 — *Emergency support within the Union*

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
99 000 000	79 200 000	49 500 000	9 500 000	148 500 000	88 700 000

Remarks

New article

This appropriation is intended to cover the financing of emergency support operations to respond to the urgent and exceptional needs in Member States as a result of a natural or man-made disaster, such as the sudden and massive influx of third-country nationals (refugees and migrants) into their territory.

This can be ensured in the form of a needs-based emergency response, complementing the response of the affected Member States, aimed at preserving life, preventing and alleviating human suffering and maintaining human dignity. Emergency response may encompass assistance, relief and, where need be, protection operations to save and preserve life in disasters or their immediate aftermath. This appropriation is also intended to cover the purchase and delivery of any product or equipment needed for the implementation of emergency support operations, including the building of homes or shelters for affected groups of people, short-term rehabilitation and reconstruction work,

particularly of facilities storage, shipment, logistic support and distribution of relief and any other action aimed at facilitating freedom of access to the recipients of the aid.

This appropriation may be used to finance the purchase and delivery of food or any product or equipment needed for the implementation of the emergency support operations.

This appropriation may also cover any other costs directly linked to the implementation of emergency support operations and the cost of the measures that are essential for it within the requisite timescale and in a way which meets the needs of the recipients, satisfies the requirement to achieve the greatest possible degree of cost-effectiveness and provides greater transparency.

Any income from financial contributions from public and private donors entered in Item 6 0 2 1 of the Statement of Revenue, may give rise to the provision of additional appropriations.

Legal basis

Council Regulation (EU) 2016/369 of 15 March 2016 on the provision of emergency support within the Union (OJ L 70, 16.3.2016, p. 1).

TITLE 32 — ENERGY

Figures

Title Chapter	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
32 01	Administrative expenditure in the 'Energy' policy area		82 340 477	82 340 477			82 340 477	82 340 477
32 02	Conventional and renewable energy		641 188 400	374 741 196	-73 908 000		567 280 400	374 741 196
32 03	Nuclear energy	1	163 258 000	174 900 000			163 258 000	174 900 000
32 04	Horizon 2020 — Research and innovation related to energy	1	324 676 361	426 866 961			324 676 361	426 866 961
32 05	ITER	1	320 212 092	448 897 012			320 212 092	448 897 012
	Title 32 — Total		1 531 675 330	1 507 745 646	-73 908 000		1 457 767 330	1 507 745 646

CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY

Figures

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
32 02	Conventional and renewable energy							
32 02 01	Connecting Europe Facility							
32 02 01 01	Further integration of the internal energy market and the interoperability of electricity and gas networks across borders	1.1	182 235 000	43 223 000			182 235 000	43 223 000
32 02 01 02	Enhancing Union security of energy supply	1.1	182 235 000	43 223 000			182 235 000	43 223 000
32 02 01 03	Contributing to sustainable development and protection of the environment	1.1	182 235 818	43 223 000			182 235 818	43 223 000
32 02 01 04	Creating an environment more conducive to private investment for energy projects	1.1	73 908 000	31 201 614	-73 908 000		p.m.	31 201 614
	<i>Article 32 02 01 — Subtotal</i>		620 613 818	160 870 614	-73 908 000		546 705 818	160 870 614
32 02 02	Support activities for the European energy policy and internal energy market	1.1	5 098 000	5 000 000			5 098 000	5 000 000
32 02 03	Security of energy installations and infrastructure	1.1	312 000	436 000			312 000	436 000
32 02 10	Agency for the Cooperation of Energy Regulators (ACER)	1.1	15 164 582	15 164 582			15 164 582	15 164 582
32 02 51	Completion of financial support for projects of common interest in the trans-European energy network	1.1	p.m.	15 000 000			p.m.	15 000 000
32 02 52	Completion of energy projects to aid economic recovery	1.1	p.m.	176 000 000			p.m.	176 000 000
32 02 77	Pilot projects and preparatory actions							
32 02 77 01	Pilot project — Energy security — Shale gas	1.1	p.m.	p.m.			p.m.	p.m.
32 02 77 02	Preparatory action — Cooperation mechanisms implementing the renewable energy sources (Directive 2009/28/EC)	2	p.m.	p.m.			p.m.	p.m.
32 02 77 05	Preparatory action — European islands for a common energy policy	1.1	p.m.	p.m.			p.m.	p.m.
32 02 77 06	Pilot project — Techno-economic models for district heating networks with multiple inputs	2	p.m.	1 250 000			p.m.	1 250 000
32 02 77 07	Pilot project — Feasibility study on the financing of low-cost energy efficiency measures in low-income households	1.1	p.m.	20 000			p.m.	20 000
32 02 77 08	Pilot project — Fuel/energy poverty — Assessment of the impact of the crisis and review of existing and possible new measures in the Member States	1.1	p.m.	1 000 000			p.m.	1 000 000
	<i>Article 32 02 77 — Subtotal</i>		p.m.	2 270 000			p.m.	2 270 000
	Chapter 32 02 — Total		641 188 400	374 741 196	-73 908 000		567 280 400	374 741 196

Article 32 02 01 — Connecting Europe Facility

Item 32 02 01 04 — Creating an environment more conducive to private investment for energy projects

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
73 908 000	31 201 614	-73 908 000		p.m.	31 201 614

Remarks

This appropriation is intended to cover the cost of the Union contribution to financial instruments established under the Connecting Europe Facility to enable or facilitate access to long-term financing or private investors' resources and thus to accelerate or to make possible the financing of projects of common interest eligible under Regulation (EU) No 347/2013 of the European Parliament and of the Council of 17 April 2013 on guidelines for trans-European energy infrastructure and repealing Decision No 1364/2006/EC and amending Regulations (EC) No 713/2009, (EC) No 714/2009 and (EC) No 715/2009 (OJ L 115, 25.4.2013, p. 39). The financial instruments will be set up as 'debt' or 'equity' frameworks following an *ex ante* assessment as required by Article 224 of Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1). They are intended to be implemented under direct management mode by the entrusted entities, within the meaning of the Financial Regulation, or jointly with the entrusted entities.

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(3)(i) of the Financial Regulation.

Legal basis

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129).

TITLE 40 — RESERVES

Figures

Title Chapter	Heading	FF	Budget 2016		Council position on DAB No 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
40 01	Reserves for administrative expenditure	5	3 426 739	3 426 739			3 426 739	3 426 739
40 02	Reserves for financial interventions	9	557 957 750	392 345 750	-3 830 000	-176 735 000	554 127 750	215 610 750
40 03	Negative reserve	8	p.m.	p.m.			p.m.	p.m.
	Title 40 — Total		561 384 489	395 772 489	-3 830 000	-176 735 000	557 554 489	219 037 489

CHAPTER 40 02 — RESERVES FOR FINANCIAL INTERVENTIONS

Figures

Title Chapter Article Item	Heading	FF	Budget 2016		Council position on DAB No. 4/2016		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
40 02	Reserves for financial interventions							
40 02 40	Non-differentiated appropriations		p.m.	p.m.			p.m.	p.m.
40 02 41	Differentiated appropriations		83 345 750	83 345 750	-3 830 000	-6 735 000	79 515 750	76 610 750
40 02 42	Emergency aid reserve	9	309 000 000	309 000 000		-170 000 000	309 000 000	139 000 000
40 02 43	Reserve for the European Globalisation Adjustment Fund	9	165 612 000	p.m.			165 612 000	p.m.
	Chapter 40 02 — Total		557 957 750	392 345 750	-3 830 000	-176 735 000	554 127 750	215 610 750

Article 40 02 41 — Differentiated appropriations

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
83 345 750	83 345 750	-3 830 000	-6 735 000	79 515 750	76 610 750

Remarks

The appropriations in the Title ‘Reserves’ are intended for two circumstances only: (a) where no basic act exists for the action concerned when the budget is established; and (b) where there are serious grounds for doubting the adequacy of the appropriations or the possibility of implementing, under conditions consistent with sound financial management, the appropriations entered in the lines concerned. The appropriations entered in this article may be used only after transfer in accordance with the procedure laid down in Article 27 of the Financial Regulation.

The breakdown is as follows (commitments, payments):

1.	Article	11 03 01	Establishing a governance framework for fishing activities carried out by Union fishing vessels in third country waters	79 515 750	76 610 750
				Total	79 515 750 76 610 750

Legal basis

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Article 40 02 42 — Emergency aid reserve

Figures

Budget 2016		Council position on DAB No. 4/2016		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
309 000 000	309 000 000		-170 000 000	309 000 000	139 000 000

Remarks

The emergency aid reserve (EAR) is intended to allow for a rapid response to specific aid requirements of third countries following events which could not be foreseen when the budget was established, first and foremost for humanitarian operations, but also for civil crisis management and protection, and situations of particular pressure resulting from migratory flows at the Union's external borders where circumstances so require.

The annual amount of the reserve is fixed at EUR 280 000 000 (2011 prices) and may be used up to year n+1 in accordance with the Financial Regulation. The reserve shall be entered in the general budget of the Union as a provision. The portion of the annual amount stemming from the previous year shall be drawn on first. That portion of the annual amount from year n which is not used in year n+1 shall lapse.

Legal basis

Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 (OJ L 347, 20.12.2013, p. 884).

S — STAFF

S 03 — Bodies set up by the European Union and having legal personality

S 03 01 — Decentralised agencies

S 03 01 18 — Decentralised agencies — Home affairs

S 03 01 18 01 — European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union (Frontex)

Function group and grade	European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union (Frontex)					
	Posts					
	2016		2016			
	Authorised under the Union budget		Council position on DAB No. 4/2016		Revised Budget 2016	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				1
AD 14		1				1
AD 13		4				4
AD 12		11		1		12
AD 11		8		1		9
AD 10		6		2		8
AD 9		8		2		10
AD 8		55		11		66
AD 7		29		15		44
AD 6		21		5		26
AD 5		13		1		14
<i>AD total</i>		<i>157</i>		<i>38</i>		<i>195</i>
AST 11						
AST 10						
AST 9						
AST 8		5				5
AST 7		11				11
AST 6		14				14
AST 5		20				20
AST 4		14		12		26
AST 3		4				4
AST 2						
AST 1						
<i>AST total</i>		<i>68</i>		<i>12</i>		<i>80</i>
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
<i>AST/SC total</i>						
Grand total		225		50		275
Total staff		225		50		275