



Brussels, 18 September 2018  
(OR. en)

11843/18

**BUDGET 25**

## **EXPLANATORY MEMORANDUM**

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Subject: Draft amending budget No 5 to the general budget for 2018: Cancellation of the reserve related to the support to Turkey from the Instrument for Pre-Accession (IPA II), reinforcement of the European Neighbourhood Instrument (ENI) and of the Humanitarian Aid for other urgent actions and modification of the establishment plan of the Innovation and Networks Executive Agency (INEA) in the context of the WiFi4EU initiative  
– *Council position of 18 September 2018*

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### **I. INTRODUCTION**

On 10 July 2018, the Commission submitted to the Council draft amending budget (DAB) No 5 to the general budget for 2018 regarding the cancellation of the reserve related to the support to Turkey from the Instrument for Pre-Accession (IPA II), reinforcement of the European Neighbourhood Instrument (ENI) and of the Humanitarian Aid for other urgent actions and modification of the establishment plan of the Innovation and Networks Executive Agency (INEA) in the context of the WiFi4EU initiative.

The objectives of this proposal are to:

- cancel the reserves of EUR 70 million in commitment appropriations (c/a) and of EUR 35 million in payment appropriations (p/a) related to Item 22 02 03 01 (*Instrument for Pre-accession Assistance - Turkey Support for political reforms and related progressive alignment with the Union acquis*);
- reinforce Item 22 04 01 03 (*Mediterranean countries - Confidence building, security and the prevention and settlement of conflicts*) with EUR 70 million in c/a and Article 23 02 01 (*Delivery of rapid, effective and needs-based humanitarian aid and food assistance*) with EUR 35 million in p/a;
- modify INEA's establishment plan adding one AD 7 temporary post.

## **II. CONCLUSION**

On 18 September 2018, the Council adopted its position on DAB No 5 to the general budget for 2018 as set out in the ANNEX.

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# TECHNICAL ANNEX

**VOLUME 3**

**SECTION III - COMMISSION**

# EXPENDITURE — EXPENDITURE

Figures

Title	Heading	Budget 2018		Council position on DAB No 5/2018		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
01	Economic and financial affairs	2 208 268 036	2 153 674 136			2 208 268 036	2 153 674 136
		130 185 000	25 000 000			130 185 000	25 000 000
		2 338 453 036	2 178 674 136			2 338 453 036	2 178 674 136
02	Internal market, industry, entrepreneurship and SMEs	2 356 185 710	2 062 361 812			2 356 185 710	2 062 361 812
03	Competition	109 841 980	109 841 980			109 841 980	109 841 980
04	Employment, social affairs and inclusion	14 504 772 962	12 207 667 532			14 504 772 962	12 207 667 532
		11 102 000	8 327 000			11 102 000	8 327 000
		14 515 874 962	12 215 994 532			14 515 874 962	12 215 994 532
05	Agriculture and rural development	58 159 838 271	55 462 985 694			58 159 838 271	55 462 985 694
		1 800 000	1 350 000			1 800 000	1 350 000
		58 161 638 271	55 464 335 694			58 161 638 271	55 464 335 694
06	Mobility and transport	4 007 575 953	2 267 065 045			4 007 575 953	2 267 065 045
07	Environment	498 283 862	350 533 612			498 283 862	350 533 612
		1 000 000	750 000			1 000 000	750 000
		499 283 862	351 283 612			499 283 862	351 283 612
08	Research and innovation	6 890 257 765	6 472 483 396			6 890 257 765	6 472 483 396
09	Communications networks, content and technology	2 132 573 932	2 259 062 678			2 132 573 932	2 259 062 678
10	Direct research	403 727 789	397 336 829			403 727 789	397 336 829
11	Maritime affairs and fisheries	1 084 735 790	659 590 725			1 084 735 790	659 590 725
		46 565 000	43 302 703			46 565 000	43 302 703
		1 131 300 790	702 893 428			1 131 300 790	702 893 428
12	Financial stability, financial services and capital markets union	94 563 454	94 367 704			94 563 454	94 367 704
13	Regional and urban policy	39 943 720 682	34 264 145 763			39 943 720 682	34 264 145 763
14	Taxation and customs union	175 802 112	168 303 344			175 802 112	168 303 344
15	Education and culture	3 806 105 904	3 502 751 391			3 806 105 904	3 502 751 391
		42 785 652	33 226 000			42 785 652	33 226 000
		3 848 891 556	3 535 977 391			3 848 891 556	3 535 977 391
16	Communication	213 021 691	211 969 691			213 021 691	211 969 691
17	Health and food safety	599 104 264	562 925 962			599 104 264	562 925 962
18	Migration and home affairs	2 637 400 839	2 257 961 158			2 637 400 839	2 257 961 158
19	Foreign policy instruments	816 917 462	705 331 155			816 917 462	705 331 155
		23 750 000	8 673 000			23 750 000	8 673 000
		840 667 462	714 004 155			840 667 462	714 004 155
20	Trade	115 924 575	114 524 575			115 924 575	114 524 575

Title	Heading	Budget 2018		Council position on DAB No 5/2018		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
21	International cooperation and development	3 486 428 453	3 248 829 555			3 486 428 453	3 248 829 555
		6 250 000 3 492 678 453				6 250 000 3 492 678 453	
22	Neighbourhood and enlargement negotiations	4 391 105 748	3 680 713 958	70 000 000		4 461 105 748	3 680 713 958
		70 000 000 4 461 105 748	35 000 000 3 715 713 958	-70 000 000	-35 000 000		
23	Humanitarian aid and civil protection	1 189 000 497	1 195 508 750		35 000 000	1 189 000 497	1 230 508 750
		2 000 000 1 191 000 497	1 500 000 1 197 008 750			2 000 000 1 191 000 497	1 500 000 1 232 008 750
24	Fight against fraud	82 294 500	79 884 041			82 294 500	79 884 041
25	Commission's policy coordination and legal advice	244 565 633	244 015 633			244 565 633	244 015 633
26	Commission's administration	1 126 245 771	1 128 233 771			1 126 245 771	1 128 233 771
		5 915 694 1 132 161 465	5 915 694 1 134 149 465			5 915 694 1 132 161 465	5 915 694 1 134 149 465
27	Budget	78 630 924	78 630 924			78 630 924	78 630 924
28	Audit	19 359 668	19 359 668			19 359 668	19 359 668
29	Statistics	144 068 650	130 593 650			144 068 650	130 593 650
30	Pensions and related expenditure	1 899 409 800	1 899 409 800			1 899 409 800	1 899 409 800
31	Language services	398 802 462	398 802 462			398 802 462	398 802 462
32	Energy	1 640 012 879	1 579 046 002			1 640 012 879	1 579 046 002
33	Justice and consumers	257 557 182	224 742 182			257 557 182	224 742 182
34	Climate action	155 158 150	96 805 400			155 158 150	96 805 400
		500 000 155 658 150	375 000 97 180 400			500 000 155 658 150	375 000 97 180 400
40	Reserves	858 755 346	508 019 397	-70 000 000	-35 000 000	788 755 346	473 019 397
	<b>Total</b>	<b>156 730 018 696</b>	<b>140 797 479 375</b>			<b>156 730 018 696</b>	<b>140 797 479 375</b>
	Of which Reserves: 40 01 40, 40 02 40, 40 02 41	341 853 346	163 419 397	-70 000 000	-35 000 000	271 853 346	128 419 397

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

Figures

Title Chapter	Heading	FF	Budget 2018		Council position on DAB No 5/2018		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
22 01	Administrative expenditure of the 'Neighbourhood and enlargement negotiations' policy area	4	167 697 810	167 697 810			167 697 810	167 697 810
22 02	Enlargement process and strategy		1 787 877 832	1 176 132 420			1 787 877 832	1 176 132 420
			70 000 000	35 000 000	-70 000 000	-35 000 000		
			1 857 877 832	1 211 132 420				
22 04	European Neighbourhood Instrument (ENI)		2 435 530 106	2 336 883 728	70 000 000		2 505 530 106	2 336 883 728
<b>Title 22 — Total</b>			<b>4 391 105 748</b>	<b>3 680 713 958</b>	<b>70 000 000</b>		<b>4 461 105 748</b>	<b>3 680 713 958</b>
<b>Total including reserves</b>			<b>70 000 000</b>	<b>35 000 000</b>	<b>-70 000 000</b>	<b>-35 000 000</b>		
			<b>4 461 105 748</b>	<b>3 715 713 958</b>				

## CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY

Figures

Title Chapter Article Item	Heading	FF	Budget 2018		Council position on DAB No 5/2018		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
22 02	Enlargement process and strategy							
22 02 01	<i>Support to Albania, Bosnia and Herzegovina, Kosovo<sup>1</sup>, Montenegro, Serbia and the former Yugoslav Republic of Macedonia</i>							
22 02 01 01	Support for political reforms and related progressive alignment with the Union acquis	4	199 267 000	221 500 000			199 267 000	221 500 000
22 02 01 02	Support for economic, social and territorial development and related progressive alignment with the Union acquis	4	307 100 000	166 000 000			307 100 000	166 000 000
	<i>Article 22 02 01 — Subtotal</i>		506 367 000	387 500 000			506 367 000	387 500 000
22 02 02	<i>Support to Iceland</i>							
22 02 02 01	Support for political reforms and related progressive alignment with the Union acquis	4	p.m.	p.m.			p.m.	p.m.
22 02 02 02	Support for economic, social and territorial development and related progressive alignment with the Union acquis	4	p.m.	p.m.			p.m.	p.m.
	<i>Article 22 02 02 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
22 02 03	<i>Support to Turkey</i>							
22 02 03 01	Support for political reforms and related progressive alignment with the Union acquis	4	97 400 000	13 500 000			97 400 000	13 500 000
			70 000 000	35 000 000	-70 000 000	-35 000 000		
			167 400 000	48 500 000				

<sup>1</sup> This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.

Title Chapter Article Item	Heading	FF	Budget 2018		Council position on DAB No 5/2018		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
22 02 03 02	Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>	4	736 384 000	262 500 000			736 384 000	262 500 000
	<i>Article 22 02 03 — Subtotal</i>		833 784 000	276 000 000			833 784 000	276 000 000
			70 000 000 903 784 000	35 000 000 311 000 000	-70 000 000	-35 000 000		
<b>22 02 04</b>	<b>Regional integration and territorial cooperation and support to groups of countries (horizontal programmes)</b>							
22 02 04 01	Multi-country programmes, regional integration and territorial cooperation	4	411 426 000	283 000 000			411 426 000	283 000 000
22 02 04 02	Erasmus+ — Contribution from the Instrument for Pre-accession Assistance (IPA)	4	30 271 000	34 352 588			30 271 000	34 352 588
22 02 04 03	Contribution to the Energy Community for South-East Europe	4	4 529 832	4 529 832			4 529 832	4 529 832
	<i>Article 22 02 04 — Subtotal</i>		446 226 832	321 882 420			446 226 832	321 882 420
<b>22 02 51</b>	<b>Completion of former pre-accession assistance (prior to 2014)</b>	4	p.m.	190 000 000			p.m.	190 000 000
<b>22 02 77</b>	<b>Pilot projects and preparatory actions</b>							
22 02 77 01	Pilot project — Preserving and restoring cultural heritage in conflict areas	4	p.m.	p.m.			p.m.	p.m.
22 02 77 02	Preparatory action — Preserving and restoring cultural heritage in conflict areas	4	p.m.	p.m.			p.m.	p.m.
22 02 77 03	Preparatory action — Enhancing regional cooperation on the issue of missing persons following the conflicts in the former Yugoslavia	4	1 500 000	750 000			1 500 000	750 000
	<i>Article 22 02 77 — Subtotal</i>		1 500 000	750 000			1 500 000	750 000
	<b>Chapter 22 02 — Total</b>		<b>1 787 877 832</b>	<b>1 176 132 420</b>			<b>1 787 877 832</b>	<b>1 176 132 420</b>
	<b>Total including reserves</b>		70 000 000 1 857 877 832	35 000 000 1 211 132 420	-70 000 000	-35 000 000		

### *Article 22 02 03 — Support to Turkey*

Item 22 02 03 01 — Support for political reforms and related progressive alignment with the Union *acquis*

Figures

	Budget 2018		Council position on DAB No 5/2018		New amount	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
22 02 03 01	97 400 000	13 500 000			97 400 000	13 500 000
	70 000 000	35 000 000	-70 000 000	-35 000 000		
Total	167 400 000	48 500 000	-70 000 000	-35 000 000	97 400 000	13 500 000

## Remarks

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

### Legal basis

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (a) and (c) of Article 2(1) thereof.

## CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI)

### Figures

Title Chapter Article Item	Heading	FF	Budget 2018		Council position on DAB No 5/2018		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
22 04	European Neighbourhood Instrument (ENI)							
<b>22 04 01</b>	<b>Supporting cooperation with Mediterranean countries</b>							
22 04 01 01	Mediterranean countries — Human rights, good governance and mobility	4	119 435 744	65 000 000			119 435 744	65 000 000
22 04 01 02	Mediterranean countries — Poverty reduction and sustainable development	4	596 250 682	460 000 000			596 250 682	460 000 000
22 04 01 03	Mediterranean countries — Confidence building, security and the prevention and settlement of conflicts	4	296 072 675	133 500 000	70 000 000		366 072 675	133 500 000
22 04 01 04	Support to the peace process and financial assistance to Palestine and to the United Nations Relief and Works Agency for Palestine Refugees (UNRWA)	4	299 379 163	261 500 000			299 379 163	261 500 000
	<i>Article 22 04 01 — Subtotal</i>		1 311 138 264	920 000 000	70 000 000		1 381 138 264	920 000 000
<b>22 04 02</b>	<b>Supporting cooperation with Eastern Partnership countries</b>							
22 04 02 01	Eastern Partnership — Human rights, good governance and mobility	4	229 520 067	110 000 000			229 520 067	110 000 000
22 04 02 02	Eastern Partnership — Poverty reduction and sustainable development	4	361 556 726	322 500 000			361 556 726	322 500 000
22 04 02 03	Eastern Partnership — Confidence building, security and the prevention and settlement of conflicts	4	11 603 569	2 500 000			11 603 569	2 500 000
	<i>Article 22 04 02 — Subtotal</i>		602 680 362	435 000 000			602 680 362	435 000 000

Title Chapter Article Item	Heading	FF	Budget 2018		Council position on DAB No 5/2018		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
<b>22 04 03</b>	<b>Ensuring efficient cross-border cooperation (CBC) and support to other multi-country cooperation</b>							
22 04 03 01	Cross-border cooperation (CBC) — Contribution from Heading 4	4	89 211 797	60 000 000			89 211 797	60 000 000
22 04 03 02	Cross-border cooperation (CBC) — Contribution from Heading 1b (Regional Policy)	1.2	121 608 308	103 795 278			121 608 308	103 795 278
22 04 03 03	Support to other multi-country cooperation in the neighbourhood — Umbrella programme	4	204 300 000	125 000 000			204 300 000	125 000 000
22 04 03 04	Other multi-country cooperation in the neighbourhood — Supporting measures	4	26 208 375	6 500 000			26 208 375	6 500 000
	<i>Article 22 04 03 — Subtotal</i>		441 328 480	295 295 278			441 328 480	295 295 278
<b>22 04 20</b>	<b>Erasmus+ — Contribution from the European Neighbourhood Instrument (ENI)</b>	4	79 733 000	99 263 450			79 733 000	99 263 450
<b>22 04 51</b>	<b>Completion of actions in the area of European Neighbourhood Policy and relations with Russia (prior to 2014)</b>	4	p.m.	580 000 000			p.m.	580 000 000
<b>22 04 52</b>	<b>Cross-border cooperation (CBC) — Contribution from Heading 1b (Regional policy)</b>	1.2	p.m.	7 000 000			p.m.	7 000 000
<b>22 04 77</b>	<b>Pilot projects and preparatory actions</b>							
22 04 77 03	Preparatory action — New Euro-Mediterranean strategy for youth employment promotion	4	p.m.	p.m.			p.m.	p.m.
22 04 77 04	Pilot project — ENP funding — Preparing staff for EU-ENP-related jobs	4	p.m.	p.m.			p.m.	p.m.
22 04 77 05	Preparatory action — Asset recovery to Arab Spring countries	4	p.m.	p.m.			p.m.	p.m.
22 04 77 06	Pilot project — Developing knowledge-based European journalism relating to Europe's neighbours, through educational activities delivered by the Natolin Campus of the College of Europe.	4	p.m.	p.m.			p.m.	p.m.
22 04 77 07	Preparatory action — Support for Union neighbours to implement asset recovery	4	650 000	325 000			650 000	325 000
	<i>Article 22 04 77 — Subtotal</i>		650 000	325 000			650 000	325 000
	<b>Chapter 22 04 — Total</b>		<b>2 435 530 106</b>	<b>2 336 883 728</b>	<b>70 000 000</b>		<b>2 505 530 106</b>	<b>2 336 883 728</b>

## Article 22 04 01 — Supporting cooperation with Mediterranean countries

Item 22 04 01 03 — Mediterranean countries — Confidence building, security and the prevention and settlement of conflicts

### Figures

Budget 2018		Council position on DAB No 5/2018		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
296 072 675	133 500 000	70 000 000		366 072 675	133 500 000

### Remarks

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- confidence and peace building including amongst children,
- security and the prevention and settlement of conflicts,
- support to refugees and displaced population including children.

An adequate level of appropriations should be reserved for the support of civil society organisations.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

### Legal basis

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

## TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

### Figures

Title Chapter	Heading	FF	Budget 2018		Council position on DAB No 5/2018		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
23 01	Administrative expenditure of the 'Humanitarian aid and civil protection' policy area		44 369 855	44 369 855			44 369 855	44 369 855
23 02	Humanitarian aid, food assistance and disaster preparedness	4	1 076 528 642	1 085 871 178		35 000 000	1 076 528 642	1 120 871 178
23 03	The Union Civil Protection Mechanism		48 867 000	49 486 754			48 867 000	49 486 754
			2 000 000 50 867 000	1 500 000 50 986 754			2 000 000 50 867 000	1 500 000 50 986 754
23 04	EU Aid Volunteers initiative	4	19 235 000	15 780 963			19 235 000	15 780 963
	<b>Title 23 — Total</b>		<b>1 189 000 497</b>	<b>1 195 508 750</b>		<b>35 000 000</b>	<b>1 189 000 497</b>	<b>1 230 508 750</b>
	<b>Total including reserves</b>		<b>2 000 000 1 191 000 497</b>	<b>1 500 000 1 197 008 750</b>			<b>2 000 000 1 191 000 497</b>	<b>1 500 000 1 232 008 750</b>

## CHAPTER 23 02 — HUMANITARIAN AID, FOOD ASSISTANCE AND DISASTER PREPAREDNESS

Figures

Title Chapter Article Item	Heading	FF	Budget 2018		Council position on DAB No 5/2018		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
23 02	Humanitarian aid, food assistance and disaster preparedness							
<b>23 02 01</b>	<b><i>Delivery of rapid, effective and needs-based humanitarian aid and food assistance</i></b>	4	1 026 028 642	1 040 825 501		35 000 000	1 026 028 642	1 075 825 501
<b>23 02 02</b>	<b><i>Disaster prevention, disaster risk reduction and preparedness</i></b>	4	50 000 000	44 795 677			50 000 000	44 795 677
<b>23 02 77</b>	<b><i>Pilot projects and preparatory actions</i></b>							
23 02 77 01	Pilot project — Ensuring effective delivery of aid to victims of sexual and gender-based violence in humanitarian settings	4	500 000	250 000			500 000	250 000
	<i>Article 23 02 77 — Subtotal</i>		500 000	250 000			500 000	250 000
	<b>Chapter 23 02 — Total</b>		<b>1 076 528 642</b>	<b>1 085 871 178</b>		<b>35 000 000</b>	<b>1 076 528 642</b>	<b>1 120 871 178</b>

### ***Article 23 02 01 — Delivery of rapid, effective and needs-based humanitarian aid and food assistance***

Figures

Budget 2018		Council position on DAB No 5/2018		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 026 028 642	1 040 825 501		35 000 000	1 026 028 642	1 075 825 501

Remarks

This appropriation is intended to cover the financing of humanitarian and food assistance operations of a humanitarian nature to help people in countries outside the Union who are the victims of conflicts or disasters, both natural and man-made (wars, outbreaks of fighting, etc.), or comparable emergencies, for as long as is necessary to meet the humanitarian needs that such situations give rise to. It will be carried out in accordance with the rules on humanitarian aid under Regulation (EC) No 1257/96.

The aid and assistance is granted to victims without discrimination or adverse distinction on the grounds of race, ethnic origin, religion, disability, sex, age, nationality or political affiliation. That aid and assistance is provided in accordance with international humanitarian law and should not be subject to restrictions imposed by other partner donors, as long as it is necessary to meet the humanitarian needs to which such situations give rise.

This appropriation is also intended to cover the purchase and delivery of any product or equipment needed for the implementation of humanitarian aid operations, including the building of homes or shelters for affected groups of people, short-term rehabilitation and reconstruction work, particularly of infrastructure and facilities, the costs associated with external, expatriate or local staff, storage, international or national shipment, logistic support and distribution of relief and any other action aimed at facilitating freedom of access to the recipients of the aid.

This appropriation may be used to finance the purchase and delivery of food, seeds, livestock or any product or equipment needed for the implementation of the humanitarian aid and food assistance operations.

This appropriation may also cover any other costs directly linked to the implementation of humanitarian aid operations and the cost of the measures that are essential for implementing food assistance operations of a humanitarian nature within the requisite timescale and in a way which meets the needs of the recipients, satisfies the requirement to achieve the greatest possible degree of cost-effectiveness and provides greater transparency.

It covers, inter alia:

- measures to provide an enabling environment and access to quality education in humanitarian emergencies, including the basic rehabilitation and reconstruction of school buildings and facilities, psycho-social support, training of teachers and any product or equipment needed for the implementation of humanitarian aid operations related to access to education,
- feasibility studies on humanitarian operations, evaluations of humanitarian aid projects and plans, visibility operations and information campaigns linked to humanitarian operations,
- the monitoring of humanitarian aid projects and plans and the promotion and development of initiatives intended to increase coordination and cooperation so as to make aid more effective and improve the monitoring of projects and plans,
- the supervision and coordination of the implementation of aid operations forming part of the humanitarian aid and food assistance concerned activities, in particular the terms for the supply, delivery, distribution and use of the products involved, including the use of counterpart funds,
- measures to strengthen Union coordination with the Member States, other donor countries, international organisations and institutions (in particular those forming part of the United Nations), non-governmental organisations and organisations representing the latter,
- the financing of technical assistance contracts to facilitate the exchange of technical know-how and expertise between Union humanitarian organisations and agencies or between such bodies and those of third countries,
- studies and training linked to the achievement of the objectives of the humanitarian aid and food assistance policy,
- action grants and running cost grants in favour of humanitarian networks,
- humanitarian mine-clearance operations including public awareness campaigns for local communities on anti-personnel mines,
- expenditure incurred by the network on humanitarian assistance (NOHA), pursuant to Article 4 of Regulation (EC) No 1257/96. This is a 1-year multidisciplinary postgraduate diploma in the humanitarian field designed to promote greater professionalism amongst humanitarian workers and involving several participating universities,
- the transport and distribution of aid or assistance, including any related operations such as insurance, loading, unloading, coordination, etc.,
- back-up measures that are essential for the programming, coordination and optimum implementation of the aid or assistance, the cost of which is not covered by other appropriations, e.g. exceptional transport and storage operations, processing or preparation of foodstuffs on the spot, disinfection, consultants' services, technical assistance and equipment directly involved in providing the aid or assistance (tools, utensils, fuel, etc.),
- pilot schemes concerning new forms of transport, packaging or storage, studies of food assistance operations, visibility operations linked to the humanitarian operations, and information campaigns to increase public awareness,
- the storage of food (including administrative costs, futures operations, with or without options, training of technicians, purchase of packaging and mobile storage units, cost of maintaining and repairing stores, etc.),
- the technical assistance necessary for the preparation and implementation of humanitarian aid projects, in particular expenditure incurred covering the cost of contracts of individual experts in the field and the infrastructure and logistics costs, covered by imprest accounts and expenditure authorisations, of the Directorate-General for European Civil Protection and Humanitarian Aid Operations (ECHO) units deployed throughout the world.

In order to ensure full financial transparency under Articles 58 to 61 of the Financial Regulation, the Commission, when concluding or modifying agreements on the management and implementation of projects by international organisations, will make every effort to commit to sending all their internal and external audits regarding the use of Union funds to the European Court of Auditors and to the Internal Auditor of the Commission.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 633 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

## TITLE 40 — RESERVES

*Figures*

Title Chapter	Heading	FF	Budget 2018		Council position on DAB No 5/2018		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
40 01	Reserves for administrative expenditure	5	11 138 694	11 138 694			11 138 694	11 138 694
40 02	Reserves for financial interventions	9	847 616 652	496 880 703	-70 000 000	-35 000 000	777 616 652	461 880 703
40 03	Negative reserve	8	p.m.	p.m.			p.m.	p.m.
	<b>Title 40 — Total</b>		<b>858 755 346</b>	<b>508 019 397</b>	<b>-70 000 000</b>	<b>-35 000 000</b>	<b>788 755 346</b>	<b>473 019 397</b>

## CHAPTER 40 02 — RESERVES FOR FINANCIAL INTERVENTIONS

*Figures*

Title Chapter Article Item	Heading	FF	Budget 2018		Council position on DAB No 5/2018		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
40 02	Reserves for financial interventions							
40 02 40	<i>Non-differentiated appropriations</i>		25 000 000	25 000 000			25 000 000	25 000 000
40 02 41	<i>Differentiated appropriations</i>		305 714 652	127 280 703	-70 000 000	-35 000 000	235 714 652	92 280 703
40 02 42	<i>Emergency aid reserve</i>	9	344 600 000	344 600 000			344 600 000	344 600 000
40 02 43	<i>Reserve for the European Globalisation Adjustment Fund</i>	9	172 302 000	p.m.			172 302 000	p.m.
40 02 44	<i>Reserve for the European Union Solidarity Fund</i>	9	p.m.	p.m.			p.m.	p.m.
	<b>Chapter 40 02 — Total</b>		<b>847 616 652</b>	<b>496 880 703</b>	<b>-70 000 000</b>	<b>-35 000 000</b>	<b>777 616 652</b>	<b>461 880 703</b>

## Article 40 02 41 — Differentiated appropriations

### Figures

Budget 2018		Council position on DAB No 5/2018		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
305 714 652	127 280 703	-70 000 000	-35 000 000	235 714 652	92 280 703

### Remarks

The appropriations in the Title ‘Reserves’ are intended for two circumstances only: (a) where no basic act exists for the action concerned when the budget is established; and (b) where there are serious grounds for doubting the adequacy of the appropriations or the possibility of implementing, under conditions consistent with sound financial management, the appropriations entered in the lines concerned. The appropriations entered in this article may be used only after transfer in accordance with the procedure laid down in Article 27 of the Financial Regulation.

The breakdown is as follows (commitments, payments):

1.	Article	01 04 05	Provisioning of the EFSI guarantee fund	105 185 000	
2.	Article	04 02 65	European Solidarity Corps — Contribution from the European Social Fund	11 102 000	8 327 000
3.	Item	05 04 60 04	European Solidarity Corps – Contribution from the European Agricultural Fund for Rural Development (EAFRD)	1 800 000	1 350 000
4.	Article	07 02 07	European Solidarity Corps — Contribution from the LIFE sub-programme for Environment	1 000 000	750 000
5.	Article	11 03 01	Establishing a governance framework for fishing activities carried out by Union fishing vessels in third country waters	46 565 000	43 302 703
6.	Article	15 05 01	European Solidarity Corps	38 235 652	28 676 000
7.	Article	19 02 01	Response to crisis and emerging crisis	20 400 000	8 000 000
8.	Article	19 02 02	Support to conflict prevention, peace-building and crisis preparedness	2 677 000	
9.	Article	21 05 01	Global and trans-regional threats and emerging threats	6 250 000	
10.	Item	23 03 01 03	European Solidarity Corps — Contribution from Union Civil Protection Mechanism (UCPM)	2 000 000	1 500 000
11.	Article	34 02 05	European Solidarity Corps — Contribution from the LIFE sub-programme for Climate Action	500 000	375 000
			<b>Total</b>	<b>235 714 652</b>	<b>92 280 703</b>

### Legal basis

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## S — STAFF

### S 03 — Bodies set up by the European Union and having legal personality

#### S 03 04 — Executive agencies

## S 03 04 04 — Innovation and Networks Executive Agency (INEA)

Function group and grade						
	Budget 2018		Council position on DAB No 5/2018		Revised Budget 2018	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16						
AD 15						
AD 14 <sup>1</sup>		7				7
AD 13		9				9
AD 12		5				5
AD 11		5				5
AD 10		5				5
AD 9		7				7
AD 8		10				10
AD 7		9		1		10
AD 6		2				2
AD 5		2				2
<i>AD Subtotal</i>		<i>61</i>		<i>1</i>		<i>62</i>
AST 11						
AST 10						
AST 9						
AST 8						
AST 7		1				1
AST 6		1				1
AST 5		2				2
AST 4		4				4
AST 3		2				2
AST 2						
AST 1						
<i>AST Subtotal</i>		<i>10</i>				<i>10</i>
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
<i>AST/SC Subtotal</i>						
<b>Total</b>		<b>71</b>		<b>1</b>		<b>72</b>

<sup>1</sup> The establishment plan accepts the following ad personam appointment: one AD 14 official may become AD 15.